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For 990-PF

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2017

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Do not enter social security numbers on this form as it may be made public.
Go to www.irs.gov/Form990PF for instructions and the latest information

For calendar year 2017 or tax year beginning , and ending

Name of foundation
**MARGARET AND MARSHALL BARTLETT
FAMILY FOUNDATION, INC.**

A Employer identification number
22-3512759

Number and street (or P.O. box number if mail is not delivered to street address) Room/suite
P.O. BOX 489, YOUNG'S ROAD

B Telephone number
(973) 993-5974

City or town, state or province, country, and ZIP or foreign postal code
NEW VERNON, NJ 07976-0489

C If exemption application is pending, check here ☐

D 1 Foreign organizations, check here ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

G Check all that apply:
☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16)
\$ 6,296,441.

J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify)

(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received	26,748.		N/A	
2	Check ☐ if the foundation is not required to attach Sch. B				
3	Interest on savings and temporary cash investments	3.	3.		STATEMENT 1
4	Dividends and interest from securities	124,589.	124,589.		STATEMENT 2
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	77,545.			
b	Gross sales price for all assets on line 6a	2,545,505.			
7	Capital gain net income (from Part IV, line 2)		77,545.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss)				
11	Other income				
12	Total. Add lines 1 through 11	228,885.	202,137.		
13	Compensation of officers, directors, trustees, etc.	0.	0.		0.
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees				
b	Accounting fees	15,501.	5,167.		10,334.
c	Other professional fees				
17	Interest				
18	Taxes	50,972.	766.		0.
19	Depreciation and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses	54,184.	17,972.		35,942.
24	Total operating and administrative expenses. Add lines 13 through 23	120,657.	23,905.		46,276.
25	Contributions, gifts, grants paid	454,659.			454,659.
26	Total expenses and disbursements. Add lines 24 and 25	575,316.	23,905.		500,935.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	-346,431.			
b	Net investment income (if negative, enter -0-)		178,232.		
c	Adjusted net income (if negative, enter -0-)			N/A	

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1 Cash - non-interest-bearing			265,706.	191,619.	191,619.
	2 Savings and temporary cash investments					
	3 Accounts receivable ▶					
	Less: allowance for doubtful accounts ▶					
	4 Pledges receivable ▶					
	Less: allowance for doubtful accounts ▶					
	5 Grants receivable					
	6 Receivables due from officers, directors, trustees, and other disqualified persons					
	7 Other notes and loans receivable ▶					
	Less: allowance for doubtful accounts ▶					
	8 Inventories for sale or use					
	9 Prepaid expenses and deferred charges					
	10a Investments - U.S. and state government obligations					
	b Investments - corporate stock STMT 6	4,861,816.	4,655,491.	5,568,100.		
	c Investments - corporate bonds STMT 7	488,465.	514,181.	517,112.		
	Liabilities	11 Investments - land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶						
12 Investments - mortgage loans						
13 Investments - other STMT 8		0.	19,610.	19,610.		
14 Land, buildings, and equipment: basis ▶						
Less accumulated depreciation ▶						
15 Other assets (describe ▶)						
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		5,615,987.	5,380,901.	6,296,441.		
17 Accounts payable and accrued expenses						
18 Grants payable						
Net Assets or Fund Balances	19 Deferred revenue					
	20 Loans from officers, directors, trustees, and other disqualified persons					
	21 Mortgages and other notes payable					
	22 Other liabilities (describe ▶ STATEMENT 9)	0.	111,345.			
23 Total liabilities (add lines 17 through 22)	0.	111,345.				
Foundations that follow SFAS 117, check here ▶ ☐	24 Unrestricted					
	25 Temporarily restricted					
	26 Permanently restricted					
	Foundations that do not follow SFAS 117, check here ▶ ☒					
	27 Capital stock, trust principal, or current funds	497,883.	497,883.			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.			
	29 Retained earnings, accumulated income, endowment, or other funds	5,118,104.	4,771,673.			
	30 Total net assets or fund balances	5,615,987.	5,269,556.			
31 Total liabilities and net assets/fund balances	5,615,987.	5,380,901.				

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,615,987.
2 Enter amount from Part I, line 27a	2	-346,431.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	5,269,556.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	5,269,556.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a					
b SEE ATTACHED STATEMENT					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))		
a					
b					
c					
d					
e 2,545,505.		2,467,960.	77,545.		
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.					
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
a					
b					
c					
d					
e			77,545.		
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	77,545.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8			3	N/A	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2016	701,838.	5,638,334.	.124476
2015	364,470.	5,060,676.	.072020
2014	342,267.	5,628,798.	.060806
2013	309,333.	4,966,254.	.062287
2012	175,663.	4,427,068.	.039679
2 Total of line 1, column (d)			2 .359268
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years			3 .071854
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5			4 5,822,765.
5 Multiply line 4 by line 3			5 418,389.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 1,782.
7 Add lines 5 and 6			7 420,171.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 500,935.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)	1	1,782.
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	2	0.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b).	3	1,782.
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	4	0.
3	Add lines 1 and 2	5	1,782.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	6a	18,202.
5	Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-	6b	0.
6	Credits/Payments:	6c	0.
a	2017 estimated tax payments and 2016 overpayment credited to 2017	6d	0.
b	Exempt foreign organizations - tax withheld at source	7	18,202.
c	Tax paid with application for extension of time to file (Form 8868)	8	0.
d	Backup withholding erroneously withheld	9	
7	Total credits and payments. Add lines 6a through 6d	10	16,420.
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	11	0.
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		
11	Enter the amount of line 10 to be: Credited to 2018 estimated tax ☐ 16,420. Refunded ☒		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☐ \$ 0. (2) On foundation managers. ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. ☐ NJ		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the tax year beginning in 2017? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes" attach a schedule listing their names and addresses	X	

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	X	
14 The books are in care of MARSHALL BARTLETT Telephone no 973-993-5974 Located at YOUNG'S ROAD, P.O. BOX 489, NEW VERNON, NJ ZIP+4 07976		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year 15 N/A		
16 At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance, check here N/A		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? ☐ Yes ☒ No If "Yes," list the years: _____ b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017.) N/A		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

		Yes	No
5a	During the year, did the foundation pay or incur any amount to:		
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No	
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No	
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No	
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	☐ Yes ☒ No	
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No	
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here	N/A	5b
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945-5(d).	N/A ☐ Yes ☐ No	
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.	☐ Yes ☒ No	6b X
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No	
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3

0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	5,737,697.
b	Average of monthly cash balances	1b	173,740.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	5,911,437.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	5,911,437.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	88,672.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	5,822,765.
6	Minimum investment return. Enter 5% of line 5	6	291,138.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	291,138.
2a	Tax on investment income for 2017 from Part VI, line 5	2a	1,782.
b	Income tax for 2017. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,782.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	289,356.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	289,356.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	289,356.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	500,935.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	500,935.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	1,782.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	499,153.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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FAMILY FOUNDATION, INC.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				289,356.
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2017:				
a From 2012				
b From 2013	65,076.			
c From 2014	68,059.			
d From 2015	120,232.			
e From 2016	492,729.			
f Total of lines 3a through e	746,096.			
4 Qualifying distributions for 2017 from Part XII, line 4: ▶ \$ 500,935.				
a Applied to 2016, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2017 distributable amount				289,356.
e Remaining amount distributed out of corpus	211,579.			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below.	957,675.			
a Corpus: Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2016. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2017. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2018				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2012 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	957,675.			
10 Analysis of line 9:				
a Excess from 2013	65,076.			
b Excess from 2014	68,059.			
c Excess from 2015	120,232.			
d Excess from 2016	492,729.			
e Excess from 2017	211,579.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII, line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities.

Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test - enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

SEE STATEMENT 12

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
AMERICAN INDIAN COLLEGE FUND P.O. BOX 172449 DENVER, CO 80217-9673	NONE	PUBLIC	GENERAL	1,000.
AMISTAD MISSION PO BOX 23030 NASHVILLE, TN 37202	NONE	PUBLIC	GENERAL	500.
ARTS COUNCIL OF PRINCETON, PAUL ROBESON CENTER FOR THE ARTS 102 WITHERSPOON ST. PRINCETON, NJ 08542	NONE	PUBLIC	GENERAL	1,000.
ASPCA PO BOX 96929 WASHINGTON, DC 20090-6929	NONE	PUBLIC	GENERAL	100.
BOCA GRANDE COMMUNITY CENTER 131 1ST ST. W BOCA GRANDE, FL 33921	NONE	PUBLIC	GENERAL	200.
Total	SEE CONTINUATION SHEET(S)			454,659.
b Approved for future payment				
NONE				
Total				0.

2017.05000 MARGARET AND MARSHALL BARTL 91032BF1

Schedule B(For: 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

- ▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No 1545-0047

2017

Name of the organization

**MARGARET AND MARSHALL BARTLETT
FAMILY FOUNDATION, INC.**

Employer identification number

22-3512759

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

- ☐ 501(c)() (enter number) organization
- ☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- ☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
- ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
- ☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000, or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2017)

Name of organization

MARGARET AND MARSHALL BARTLETT
FAMILY FOUNDATION, INC.

Employer identification number

22-3512759

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	MARSHALL BARTLETT P.O. BOX 489, YOUNG'S ROAD NEW VERNON, NJ 07976	\$ 68,120.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Employer identification number

22-3512759

[illegible]

Name of organization

Employer identification number

**MARGARET AND MARSHALL BARTLETT
FAMILY FOUNDATION, INC.**

22-3512759

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this info once) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a UBS - 4370 REAVES UTILITIES ETF		P	06/06/16	05/15/17
b UBS - 4370 BIO RAD LABORATORIES		D	04/04/08	07/05/17
c THROUGH UBS- 4909- SEE ATTACHED STMT		P		
d THROUGH UBS- 4909- SEE ATTACHED STMT		P		
e THROUGH UBS- 4910- SEE ATTACHED STMT		P		
f THROUGH UBS- 4910- SEE ATTACHED STMT		P		
g THROUGH UBS- 4911- SEE ATTACHED STMT		P		
h THROUGH UBS- 4912- SEE ATTACHED STMT		P		
i THROUGH UBS- 4912- SEE ATTACHED STMT		P		
j THROUGH UBS- 5528- SEE ATTACHED STMT		P		
k CAPITAL GAINS DIVIDENDS				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 70,813.		67,870.	2,943.
b 68,534.		26,748.	41,786.
c 43,512.		37,364.	6,148.
d 118,145.		129,672.	-11,527.
e 291,361.		289,049.	2,312.
f 62,737.		57,605.	5,132.
g 16,006.		15,445.	561.
h 107,730.		106,640.	1,090.
i 53,939.		53,160.	779.
j 1,702,968.		1,684,407.	18,561.
k 9,760.			9,760.
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			2,943.
b			41,786.
c			6,148.
d			-11,527.
e			2,312.
f			5,132.
g			561.
h			1,090.
i			779.
j			18,561.
k			9,760.
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }		2	77,545.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }		3	N/A

MARGARET AND MARSHALL BARTLETT
FAMILY FOUNDATION, INC.

22-3512759

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
BOCA GRANDE HEALTH CLINIC FOUNDATION, INC PO BOX 2340 BOCA GRANDE, FL 33921	NONE	PUBLIC	GENERAL	250.
BOY SCOUTS: INTERNATIONAL CIRCLE IN THE BALDEN-POWELL FELLOWSHIP PO BOX 152079 IRVING, TX 75015-2079	NONE	PUBLIC	GENERAL	5,000.
BOY SCOUTS OF AMERICA 350 FIFTH AVENUE, SUITE 7820 NEW YORK, NY 10118	NONE	PUBLIC	GENERAL	1,000.
CALVARY EPISCOPAL CHURCH 31 WOODLAND AVE SUMMIT, NJ 07901	NONE	PUBLIC	GENERAL	15,000.
CALVARY EPISCOPAL CHURCH 31 WOODLAND AVE SUMMIT, NJ 07901	NONE	PUBLIC	GENERAL	500.
CAMBRIDGE IN AMERICA 292 MADISON AVE., 8TH FLOOR NEW YORK, NY 10017	NONE	PUBLIC	GENERAL	100.
CAMP PASQUANEY 5 SOUTH STATE STREET CONCORD, NH 03301	NONE	PUBLIC	GENERAL	200.
CARE.ORG PO BOX 1871 MERRIFIELD, VA 22116-9753	NONE	PUBLIC	GENERAL	100.
CHURCH OF THE HEAVENLY REST 2 EAST 90TH ST NEW YORK, NY 10128	NONE	PUBLIC	GENERAL	500.
COLD SPRING HARBOR FISH HATCHERY 1660 ROUTE 25A COLD SPRING HARBOR, NY 11724	NONE	PUBLIC	GENERAL	1,000.
Total from continuation sheets				451,859.

MARGARET AND MARSHALL BARTLETT
FAMILY FOUNDATION, INC.

22-3512759

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
COLLEGE OF ST. ELIZABETH 2 CONVENT ROAD MORRISTOWN, NJ 07960-9766	NONE	PUBLIC	GENERAL	500.
COMMUNITY FOODBANK OF NEW JERSEY 31 EVANS TERMINAL HILLSIDE, NJ 07205	NONE	PUBLIC	GENERAL	1,000.
COMMUNITY FOODBANK OF NEW JERSEY 31 EVANS TERMINAL HILLSIDE, NJ 07205	NONE	PUBLIC	GENERAL	1,000.
CONNECTICUT COLLEGE 270 MOHEGAN AVENUE NEW LONDON, CT 06320	NONE	PUBLIC	GENERAL	5,000.
CONVENT OF THE SACRED HEART 1 EAST 91ST STREET NEW YORK, NY 10128-0689	NONE	PUBLIC	GENERAL	5,684.
DOCTORS WITHOUT BORDERS PO BOX 5023 HAGERSTOWN, MD 21741-5023	NONE	PUBLIC	GENERAL	250.
DOE FUND, THE 345 E 102ND ST #305 NEW YORK, NY 10028	NONE	PUBLIC	GENERAL	250.
DREW UNIVERSITY 36 MADISON AVENUE MADISON, NJ 07940	NONE	PUBLIC	GENERAL	35,000.
EAGLEBROOK SCHOOL 271 PINE NOOK ROAD DEERFIELD, MA 01342-0007	NONE	PUBLIC	GENERAL	10,000.
EAST WOODS SCHOOL 31 YELLOW COTE RD OYSTER BAY, NY 11771-4199	NONE	PUBLIC	GENERAL	250.
Total from continuation sheets				

MARGARET AND MARSHALL BARTLETT
FAMILY FOUNDATION, INC.

22-3512759

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
FAIRLEIGH DICKINSON UNIVERSITY 1000 RIVER ROAD H-DH3-17 TEANECK, NJ 07666	NONE	PUBLIC	GENERAL	10,000.
FOUNDATION FOR MORRISTOWN MEDICAL CENTER 475 SOUTH ST., 1ST FLR MORRISTOWN, NJ 07960	NONE	PUBLIC	GENERAL	250.
FOXCROFT SCHOOL-ANNUAL FUND 22407 FOXHOUND LANE, P.O. BOX 5555 MIDDLEBURG, VA 20118	NONE	PUBLIC	GENERAL	5,000.
FOXCROFT SCHOOL-NEW ENGLISH CLASSROOM 22407 FOXHOUND LANE, P.O. BOX 5555 MIDDLEBURG, VA 20118	NONE	PUBLIC	ENGLISH CLASSROOM	50,000.
FOXCROFT SCHOOL-NEW MATH CLASSROOM 22407 FOXHOUND LANE, P.O. BOX 5555 MIDDLEBURG, VA 20118	NONE	PUBLIC	MATH CLASSROOM	50,000.
FRIENDS OF INSTITUTE FOR ADVANCED STUDY EINSTEIN DRIVE PRINCETON, NJ 08540	NONE	PUBLIC	GENERAL	1,750.
FRIENDS OF THE PRINCETON UNIVERSITY LIBRARY P.O. BOX 5357 PRINCETON, NJ 08543	NONE	PUBLIC	GENERAL	1,500.
GEORGE W. BUSH PRESIDENTIAL CENTER/FOUNDATION 2943 SMU BOULEVARD DALLAS, TX 75205	NONE	PUBLIC	GENERAL	500.
GREAT SWAMP WATERSHED ASSOCIATION PO BOX 300 NEW VERNON, NJ 07976	NONE	PUBLIC	GENERAL	1,825.
HARDING LAND TRUST PO BOX 576 NEW VERNON, NJ 07976	NONE	PUBLIC	GENERAL	250.
Total from continuation sheets				

MARGARET AND MARSHALL BARTLETT
FAMILY FOUNDATION, INC.

22-3512759

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
HARDING TOWNSHIP CIVIC ASSOCIATION PO BOX 72 NEW VERNON, NJ 07976	NONE	PUBLIC	GENERAL	150.
HARDING TOWNSHIP EDUCATION FOUNDATION PO BOX 734 NEW VERNON, NJ 07976	NONE	PUBLIC	GENERAL	200.
HARDING TOWNSHIP HISTORICAL SOCIETY PO BOX 1776 VILLAGE ROAD NEW VERNON, NJ 07976	NONE	PUBLIC	GENERAL	100.
HARVARD LAW SCHOOL ANNUAL FUND 1563 MASSACHUSETTS AVE. CAMBRIDGE, MA 02138	NONE	PUBLIC	GENERAL	1,000.
HARVARD LAW SCHOOL ANNUAL FUND-ALUMNI CENTER 1563 MASSACHUSETTS AVE. CAMBRIDGE, MA 02138	NONE	PUBLIC	ALUMNI CTR	1,000.
HARVARD MAGAZINE PO BOX 414946 BOSTON, MA 02241-4946	NONE	PUBLIC	GENERAL	55.
HELEN KELLER INTERNATIONAL PO BOX 509 ETNA, NH 03750-9902	NONE	PUBLIC	GENERAL	250.
HT-GV BRIDLE PATH ASSOCIATION, INC. PO BOX 710 NEW VERNON, NJ 07976	NONE	PUBLIC	GENERAL	100.
INTERLOCHEN CENTER FOR ARTS P.O. BOX 199 INTERLOCHEN, MI 49643	NONE	PUBLIC	GENERAL	5,000.
JUDITH G. WHARTON MUSIC CENTER 60 LOCUST AVENUE BERKELEY HEIGHTS, NJ 07922	NONE	PUBLIC	GENERAL	500.
Total from continuation sheets				

MARGARET AND MARSHALL BARTLETT
FAMILY FOUNDATION, INC.

22-3512759

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
KEMMERER LIBRARY P.O. BOX 283 NEW VERNON, NJ 07976-0283	NONE	PUBLIC	GENERAL	250.
MCCARTER THEATRE CENTER 91 UNIVERSITY PLACE PRINCETON, NJ 08540-5121	NONE	PUBLIC	GENERAL	3,000.
MCCARTER THEATRE CENTER 91 UNIVERSITY PLACE PRINCETON, NJ 08540-5121	NONE	PUBLIC	GENERAL	200.
MEMORIAL SLOAN KETTERING CANCER CENTER PO BOX 5028 HAGERSTOWN, MD 21741-5028	NONE	PUBLIC	GENERAL	200.
MORRIS ARTS 14 MAPLE AVENUE, SUITE 301 MORRISTOWN, NJ 07960-6989	NONE	PUBLIC	GENERAL	200.
MORRIS MUSEUM 6 NORMANDY HEIGHTS ROAD MORRISTOWN, NJ 07960	NONE	PUBLIC	GENERAL	70.
NATURE CONSERVANCY, THE PO BOX 6014 ALBERT LEA, MN 56007-9984	NONE	PUBLIC	GENERAL	200.
NAVAL SPECIAL WARFARE FOUNDATION, IN MEMORY OF MARK METHERELL P.O. BOX 5965 VIRGINIA BEACH, VA 23471	NONE	PUBLIC	GENERAL	500.
NEW JERSEY AUDUBON 9 HARDCRABBLE ROAD BERNARDSVILLE, NJ 07924-9906	NONE	PUBLIC	GENERAL	200.
NEW VERNON VOLUNTEER FIRE DEPARTMENT FUND PO BOX 143 NEW VERNON, NJ 07976	NONE	PUBLIC	GENERAL	500.
Total from continuation sheets				

MARGARET AND MARSHALL BARTLETT
FAMILY FOUNDATION, INC.

22-3512759

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NEW YORK CITY CHILDREN'S CHORUS 921 MADISON AVENUE NEW YORK, NY 10021	NONE	PUBLIC	GENERAL	250.
NEW YORK PUBLIC RADIO P.O. BOX 1550 NEW YORK, NY 10116-1550	NONE	PUBLIC	GENERAL	200.
PG CHAMBERS SCHOOL 15 HALKO DRIVE CEDAR KNOLLS, NJ 07927	NONE	PUBLIC	GENERAL	250.
PHI BETA KAPPA SOCIETY 1606 NEW HAMPSHIRE AVE WASHINGTON, DC 20009	NONE	PUBLIC	GENERAL	100.
POTOMAC VALLEY OPERA COMPANY 2218 N. KENSINGTON STREET ARLINGTON, VA 22205	NONE	PUBLIC	GENERAL	2,500.
PRINCETON DAY SCHOOL 650 GREAT ROAD PRINCETON, NJ 08540	NONE	PUBLIC	GENERAL	25,000.
PRINCETON SYMPHONY ORCHESTRA PO BOX 250 PRINCETON, NJ 08542	NONE	PUBLIC	GENERAL	2,000.
PRINCETON UNIVERSITY - ANNUAL GIVING P.O. BOX 46 PRINCETON, NJ 08540-0046	NONE	PUBLIC	GENERAL	500.
PRINCETON UNIVERSITY LIBRARY PO BOX 5357 PRINCETON, NJ 08543-5357	NONE	PUBLIC	GENERAL	1,435.
PRINCETON UNIVERSITY ART MUSEUM MCCORMICK HALL PRINCETON, NJ 08540	NONE	PUBLIC	GENERAL	900.
Total from continuation sheets				

MARGARET AND MARSHALL BARTLETT
FAMILY FOUNDATION, INC.

22-3512759

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PRINCETON UNIVERSITY CHAPEL MURRAY-DODGE HALL PRINCETON, NJ 08544	NONE	PUBLIC	GENERAL	1,000.
PRINCETON UNIVERSITY CONCERTS WOOLWORTH CENTER ROOM 301 PRINCETON, NJ 08544	NONE	PUBLIC	GENERAL	1,000.
RWJ UNIVERSITY HOSPITAL FOUNDATION 10 PLUM ST. SUITE 910 NEW BRUNSWICK, NJ 08901	NONE	PUBLIC	GENERAL	250.
SAIFF - SOUTH ASIAN INTERNATIONAL FILM FESTIVAL 154 GRAND ST., 3RD FLOOR NEW YORK, NY 10013	NONE	PUBLIC	GENERAL	250.
SHEPHERD'S TABLE 8210 DIXON AVENUE SILVER SPRING, MD 20910	NONE	PUBLIC	GENERAL	150.
ST. ANDREWS EPISCOPAL CHURCH PO BOX 272 BOCA GRANDE, FL 33921	NONE	PUBLIC	GENERAL	1,500.
ST. ANDREWS EPISCOPAL CHURCH - MUSIC PO BOX 272 BOCA GRANDE, FL 33921	NONE	PUBLIC	MUSIC	50.
ST. HUBERT'S ANIMAL WELFARE CENTER 575 WOODLAND AVE., P.O. BOX 159 MADISON, NJ 07940-0159	NONE	PUBLIC	GENERAL	250.
ST. PAUL'S SCHOOL 325 PLEASANT STREET CONCORD, NH 03301	NONE	PUBLIC	GENERAL	2,500.
ST. PAUL'S SCHOOL 325 PLEASANT STREET CONCORD, NH 03301	NONE	PUBLIC	GENERAL	5,000.
Total from continuation sheets				

MARGARET AND MARSHALL BARTLETT
FAMILY FOUNDATION, INC.

22-3512759

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ST. PAUL'S SCHOOL 325 PLEASANT STREET CONCORD, NH 03301	NONE	PUBLIC	GENERAL	35,000.
SUMMIT AREA YMCA 490 MORRIS AVENUE SUMMIT, NJ 07901	NONE	PUBLIC	GENERAL	250.
SUMMIT SPEECH SCHOOL 705 CENTRAL AVENUE NEW PROVIDENCE, NJ 07974	NONE	PUBLIC	GENERAL	250.
THE CAUMSETT FOUNDATION 25 LLOYD HARBOR RD LLOYD HARBOR, NY 11743	NONE	PUBLIC	GENERAL	1,050.
THE FOOTE SCHOOL 50 LOOMIS PLACE NEW HAVEN, CT 06511	NONE	PUBLIC	GENERAL	114,330.
THE FOOTE SCHOOL-ANNUAL FUND 50 LOOMIS PLACE NEW HAVEN, CT 06511	NONE	PUBLIC	GENERAL	10,000.
THE PECK SCHOOL 247 SOUTH STREET MORRISTOWN, NJ 07960	NONE	PUBLIC	GENERAL	250.
THE TOWN SCHOOL 540 EAST 76TH ST. NEW YORK, NY 10021-3394	NONE	PUBLIC	GENERAL	3,660.
THE TOWN SCHOOL 540 EAST 76TH ST. NEW YORK, NY 10021-3394	NONE	PUBLIC	GENERAL	200.
THE TRUST FOR PUBLIC LAND 101 MONTGOMERY STREET, SUITE 900 SAN FRANCISCO, CA 94104	NONE	PUBLIC	GENERAL	250.
Total from continuation sheets				

MARGARET AND MARSHALL BARTLETT
FAMILY FOUNDATION, INC.

22-3512759

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
TRINITY EPISCOPAL CHURCH 33 MERCER STREET PRINCETON, NJ 08540	NONE	PUBLIC	GENERAL	750.
UNIVERSITY OF VA OFFICE OF UNIVERSITY ADVANCEMENT P.O. BOX 400807 CHARLOTTESVILLE, VA 22904-4807	NONE	PUBLIC	GENERAL	10,000.
US FUND FOR UNICEF P.O. BOX 96964 WASHINGTON, DC 20090-6964	NONE	PUBLIC	GENERAL	500.
WASHINGTON ASSOCIATION OF NJ PO BOX 1473 MORRISTOWN, NJ 07962-1473	NONE	PUBLIC	GENERAL	2,500.
WESTERN COLLEGE ALUMNAE ASSOCIATION 325 SOUTH PATTERSON AVENUE OXFORD, OH 45056	NONE	PUBLIC	GENERAL	5,000.
WESTERN COLLEGE ALUMNAE ASSOCIATION 325 SOUTH PATTERSON AVENUE OXFORD, OH 45056	NONE	PUBLIC	GENERAL	5,000.
WNET THIRTEEN PO BOX 1313 NEW YORK, NY 10101-1313	NONE	PUBLIC	GENERAL	250.
WORLD WILDLIFE FUND 1250 TWENTY-FOURTH ST. N.W., P.O. BOX 96555 WASHINGTON, DC 20077-7789	NONE	PUBLIC	GENERAL	200.
Total from continuation sheets				

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
SCHWAB-2512	3.	3.	
TOTAL TO PART I, LINE 3	3.	3.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
UBS - 04912	27,316.	0.	27,316.	27,316.	
UBS - 04912					
ACCRUED INT PD	-2,891.	0.	-2,891.	-2,891.	
UBS - 4370	44,026.	0.	44,026.	44,026.	
UBS - 4909	5,660.	0.	5,660.	5,660.	
UBS - 4910	40,128.	0.	40,128.	40,128.	
UBS - 4911	20,110.	0.	20,110.	20,110.	
TO PART I, LINE 4	134,349.	0.	134,349.	134,349.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	15,501.	5,167.		10,334.
TO FORM 990-PF, PG 1, LN 16B	15,501.	5,167.		10,334.

FORM 990-PF	TAXES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX	766.	766.		0.
EXCISE TAX	50,206.	0.		0.
TO FORM 990-PF, PG 1, LN 18	50,972.	766.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
NJ CHARITIES REGISTRATION FEE	30.	0.		0.
BANK FEES	23.	8.		15.
UBS - INVESTMENT ADVISORY FEES	53,891.	17,964.		35,927.
MISCELLANEOUS	240.	0.		0.
TO FORM 990-PF, PG 1, LN 23	54,184.	17,972.		35,942.

FORM 990-PF	CORPORATE STOCK	STATEMENT	6
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
UBS 4370- SEE ATTACHED STMT	1,474,635.	1,556,846.
UBS 4911 - SEE ATTACHED STMT	852,873.	1,040,423.
UBS 4910- SEE ATTACHED STMT	1,730,801.	2,230,864.
UBS 4909- SEE ATTACHED STMT	532,287.	687,152.
UBS V8 05528- SEE ATTACHED STMT	64,895.	52,815.
TOTAL TO FORM 990-PF, PART II, LINE 10B	4,655,491.	5,568,100.

FORM 990-PF	CORPORATE BONDS	STATEMENT	7
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
UBS 4912- SEE ATTACHED STMT	514,181.	517,112.
TOTAL TO FORM 990-PF, PART II, LINE 10C	514,181.	517,112.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	8
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
UBS V8- OPEN SHORT SALES	COST	19,610.	19,610.
TOTAL TO FORM 990-PF, PART II, LINE 13		19,610.	19,610.

FORM 990-PF	OTHER LIABILITIES	STATEMENT	9
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DESCRIPTION	BOY AMOUNT	EOY AMOUNT
DUE TO UBS V8 05528	0.	111,345.
TOTAL TO FORM 990-PF, PART II, LINE 22	0.	111,345.

FORM 990-PF LIST OF SUBSTANTIAL CONTRIBUTORS STATEMENT 10
PART VII-A, LINE 10

NAME OF CONTRIBUTOR	ADDRESS
MARSHALL BARTLETT	PO BOX 489, YOUNG'S RD NEW VERNON, NJ 07976-0489

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS STATEMENT 11
TRUSTEES AND FOUNDATION MANAGERS

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
MARSHALL P. BARTLETT YOUNG'S ROAD, P.O. BOX 489 NEW VERNON, NJ 07976	TRUSTEE, PRES., & TREASURER 0.00	0.	0.	0.
MARGARET W. BARTLETT YOUNG'S ROAD, P.O. BOX 489 NEW VERNON, NJ 07976	TRUSTEE & VICE-PRESIDENT 0.00	0.	0.	0.
JOHN P. BARTLETT 19 CLEVELAND LANE PRINCETON, NJ 085403049	TRUSTEE & VICE- PRESIDENT 0.00	0.	0.	0.
STEPHEN W. BARTLETT 885 PARK AVE., APT. 8C NEW YORK, NY 10075	TRUSTEE & VICE- PRESIDENT 0.00	0.	0.	0.
MARSHALL P. BARTLETT YOUNG'S ROAD, P.O. BOX 489 NEW VERNON, NJ 07976	TRUSTEE & SECRETARY 0.00	0.	0.	0.
DAVID L. SCULL 8401 CONNECTICUT AVENUE # 1202 CHEVY CHASE, MD 208155804	TRUSTEE 0.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

FORM 990-PF

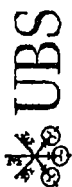
PART XV - LINE 1A
LIST OF FOUNDATION MANAGERS

STATEMENT 12

NAME OF MANAGER

MARSHALL P. BARTLETT

MARGARET W. BARTLETT



Business Services Account
December 2017

Account name: M & M BARTLETT FAMILY
Friendly account name: UTES/Cash
Account number: MT 04370 Y6

1010-79

Your Financial Advisor:
RASWEILER GROUP/EPSTEIN
973-360-4200/800-631-8179

Equities

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 29 (\$)	Value on Dec 29 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
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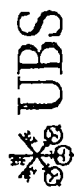
REAVES UTILITIES ETF

Symbol: UTES

Trade date: Jun 6, 16

EAI: \$33.938 Current yield: 2.18%

	47,800,000	30.850	1,474,635.02	1,474,635.02	32.570	1,556,846.00	82,210.98	82,210.98	LT
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Portfolio Management Program
December 2017

Account name: M & M BARTLETT FAMILY
Friendly account name: TRG ETF
Account number: MT 04911 A4

Your Financial Advisor:
THE RASWEILER GROUP
973-360-4200/800-631-8179

1010-478

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Equities

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

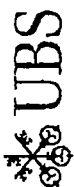
Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 29 (\$)	Value on Dec 29 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
ISHARES RUSSELL 1000 VALUE ETF									
Symbol IWD									
Trade date: Jun 7, 16	1,292,000	103.668	133,939.44	133,939.44	124.340	160,647.28	26,707.84		LT
Trade date: Jun 8, 16	353,000	103.668	36,594.91	36,594.91	124.340	43,892.02	7,297.11		LT
EAI \$4,267 Current yield 2.09%									
Security total	1,645,000	103.668	170,534.35	170,534.35		204,539.30	34,004.95		

ISHARES CORE HIGH DIVID ETF

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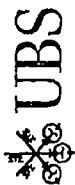
Portfolio Management Program
December 2017

Account name: M & M. BARTLETT FAMILY
Friendly account name: TRG ETF
Account number: MT 04911 A4

1010-479
Your Financial Advisor:
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Your assets • Equities • Closed end funds & Exchange traded products (continued)

Holding	Symbol	HDV	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 29 (\$)	Value on Dec 29 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
Trade date: Jun 8, 16			2,097,000	81.239	170,360.07	170,360.07	90.140	189,023.58	18,663.51	18,663.51	LT
EAI: \$6,184 Current yield: 3.27%											
ISHARES EDGE MSCI MIN VOL USA											
ETF											
Symbol: USMV											
Trade date: Jun 8, 16			3,797,000	44.918	170,553.65	170,553.65	52.780	200,405.66	29,852.01	29,852.01	LT
EAI: \$3,543 Current yield: 1.77%											
ISHARES EDGE MSCI USA MOMENTUM											
FACTOR ETF											
Symbol: MTUM											
Trade date: Jun 8, 16			2,268,000	75.239	170,643.19	170,643.19	103.130	233,898.84	63,255.65	63,255.65	LT
EAI: \$2,375 Current yield: 1.02%											
ISHARES EDGE MSCI USA QUALITY											
FACTOR ETF											
Symbol: QUAL											
Trade date: Jun 8, 16			2,564,000	66.607	170,781.63	170,781.63	82.900	212,555.60	41,773.97	41,773.97	LT
EAI: \$3,554 Current yield: 1.67%											
Total					\$852,872.89	\$852,872.89		\$1,040,422.98	\$187,550.09	\$187,550.09	
Total estimated annual income:										\$19,923	



Portfolio Management Program
December 2017

Account name: M & M BARTLETT FAMILY
Friendly account name: US Core Equity
Account number: MT 04910 A4

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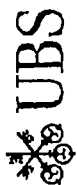
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Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 29 (\$)	Value on Dec 29 (\$)	Unrealized gain or loss (\$)	Holding period
ACCENTURE PLC IRELAND CL A								
Symbol ACN Exchange NYSE								
EAI: \$622 Current yield 1.74%	Jun 7, 16	234,000	119.455	27,952.58	153.090	35,823.06	7,870.48	LT
ADIENT PLC								
Symbol ADNT Exchange NYSE								
EAI: \$85 Current yield 1.40%	Oct 31, 16	66,900	46.584	3,116.53	78.700	5,265.03	2,148.50	LT
	Oct 31, 16	10,100	46.585	470.51	78.700	794.87	324.36	LT
Security total		77,000	46.585	3,587.04		6,059.90	2,472.86	
ADOBE SYSTEMS INC (DELAWARE)								
Symbol ADBE Exchange OTC								
	Dec 14, 15	254,000	91.895	23,341.46	175.240	44,510.96	21,169.50	LT
	Jun 7, 16	6,000	99.350	596.10	175.240	1,051.44	455.34	LT
Security total		260,000	92.068	23,937.56		45,562.40	21,624.84	

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Portfolio Management Program
December 2017

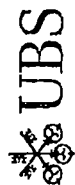
Account name: M & M. BARTLETT FAMILY
Friendly account name: US Core Equity
Account number: MT 04910 A4

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YOUR assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 29 (\$)	Value on Dec 29 (\$)	Unrealized gain or loss (\$)	Holding period
ALPHABET INC CL A								
Symbol GOOGL Exchange OTC	Jun 7, 16	35 000	734 510	25,707.85	1,053 400	36,869.00	11,161.15	LT
AMERICAN TOWER CORP REIT								
Symbol AMT Exchange NYSE								
EAI \$711 Current yield 1.96%	Mar 15, 17	254 000	114 764	29,150.13	142 670	36,238.18	7,088.05	ST
AMERIPRISE FINANCIAL INC								
Symbol AMP Exchange NYSE								
EAI \$923 Current yield 1.96%	Jun 7, 16	278 000	101 948	28,341.65	169 470	47,112.66	18,771.01	LT
AMERISOURCEBERGEN CORP								
Symbol ABC Exchange NYSE								
EAI \$451 Current yield: 1.65%	Jan 24, 17	297 000	84 660	25,144.02	91 820	27,270.54	2,126.52	ST
APTIV PLC								
Symbol APTV Exchange NYSE								
EAI \$230 Current yield 1.04%	Jun 21, 17	261 000	71 752	18,727.32	84 830	22,140.63	3,413.31	ST
BLACKROCK INC								
Symbol BLK Exchange NYSE	Dec 14, 16	83 000	393 050	32,623.15	513 710	42,637.93	10,014.78	LT
CHUBB LTD CHF								
Symbol CB Exchange NYSE								
EAI \$826 Current yield 1.94%	Jun 7, 16	288 000	126 649	36,474.94	146 130	42,085.44	5,610.50	LT
CHF Exchange rate 0.97450	Oct 4, 16	3 000	123 070	369.21	146 130	438.39	69.18	LT
Security total		291 000	126 612	36,844.15		42,523.83	5,679.68	
COCA COLA CO COM								
Symbol KO Exchange NYSE								
EAI \$1,265 Current yield 3.22%	Jun 7, 16	802 000	45 387	36,400.61	45 880	36,795.76	395.15	LT
Security total	Oct 4, 16	53 000	41 969	2,224.40	45 880	2,431.64	207.24	LT
Security total		855 000	45 175	38,625.01		39,227.40	602.39	
COLGATE PALMOLIVE CO								
Symbol CL Exchange NYSE								
EAI \$1,382 Current yield: 2.12%	Jun 7, 16	864 000	71 878	62,102.77	75 450	65,188.80	3,086.03	LT
COMCAST CORP NEW CL A								
Symbol CMCSA Exchange OTC								
EAI \$537 Current yield: 1.57%	Jun 7, 16	852 000	31 783	27,079.25	40 050	34,122.60	7,043.35	LT

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Portfolio Management Program
December 2017

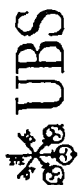
Account name: M. & M. BARTLETT FAMILY
Friendly account name: US Core Equity
Account number: MT 04910 A4

Your Financial Advisor:
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973-360-4200/800-631-8179

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 29 (\$)	Value on Dec 29 (\$)	Unrealized gain or loss (\$)	Holding period
CRANE CO								
Symbol CR Exchange NYSE								
EAI \$759 Current yield 1.48%	Jun 7, 16	575,000	59.643	34,295.25	89.220	51,301.50	17,006.25	LT
CVS HEALTH CORP								
Symbol CVS Exchange NYSE								
EAI \$650 Current yield 2.76%	Jun 7, 16	297,000	97.026	28,816.96	72.500	21,532.50	-7,284.46	LT
	Oct 4, 16	28,000	86.478	2,421.41	72.500	2,030.00	-391.41	LT
Security total		325,000	96.118	31,238.37		23,562.50	-7,675.87	
DANAHER CORP								
Symbol DHR Exchange NYSE								
EAI \$202 Current yield 0.60%	Jun 7, 16	286,000	75.201	21,507.50	92.820	26,546.52	5,039.02	LT
	Oct 4, 16	75,000	78.029	5,852.18	92.820	6,961.50	1,109.32	LT
Security total		361,000	75.789	27,359.68		33,508.02	6,148.34	
DELPHI TECHNOLOGIES PLC								
Symbol DLPH Exchange NYSE								
DIAGEO PLC NEW GB SPON ADR	Jun 21, 17	87,000	42.374	3,686.58	52.470	4,564.89	878.31	ST
Symbol DEO Exchange NYSE								
EAI \$976 Current yield 2.18%	Jun 7, 16	307,000	110.141	33,813.32	146.030	44,831.21	11,017.89	LT
ECOLAB INC								
Symbol ECL Exchange NYSE								
EAI \$384 Current yield 1.22%	Sep 28, 15	211,000	108.094	22,808.01	134.180	28,311.98	5,503.97	LT
	Jun 7, 16	23,000	120.350	2,768.05	134.180	3,086.14	318.09	LT
Security total		234,000	109.299	25,576.06		31,398.12	5,822.06	
FACEBOOK INC CL A								
Symbol FB Exchange OTC								
HOME DEPOT INC	Jun 7, 16	219,000	117.826	25,804.07	176.460	38,644.74	12,840.67	LT
Symbol HD Exchange NYSE								
EAI \$1,741 Current yield 1.88%	Jun 7, 16	489,000	130.024	63,582.22	189.530	92,680.17	29,097.95	LT
HONEYWELL INTL INC								
Symbol HON Exchange NYSE								
EAI \$727 Current yield 1.94%	Jan 31, 01	244,000	47.805	11,664.53	153.360	37,419.84	25,755.31	LT
INTEL CORP								
Symbol INTC Exchange OTC								
EAI \$1,038 Current yield 2.36%	Jul 7, 15	522,000	29.812	15,562.01	46.160	24,095.52	8,533.51	LT



Portfolio Management Program
December 2017

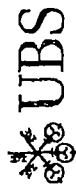
Account name: M & M. BARTLETT FAMILY
Friendly account name: US Core Equity
Account number: MT 04910 A4

1010-384
Your Financial Advisor:
THE RASWEILER GROUP
973-360-4200/800-631-8179

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 29 (\$)	Value on Dec 29 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Sep 28, 15	430 000	29 151	12,535 02	46 160	19,848 80	7,313 78	LT
INTERCONTINENTAL EXCHANGE GROUP		952 000	29 514	28,097 03		43,944 32	15,847 29	
Symbol ICE Exchange NYSE								
EAI \$418 Current yield 1 13%	Jun 7, 16	522 000	53 049	27,691.58	70.560	36,832.32	9,140.74	LT
INVESCO LTD								
Symbol IVZ Exchange NYSE								
EAI \$2,332 Current yield 3 18%	Jun 7, 16	2,010 000	30 269	60,840 69	36 540	73,445 40	12,604.71	LT
JOHNSON & JOHNSON COM								
Symbol JNJ Exchange NYSE								
EAI \$827 Current yield 2.41%	Jun 13, 17	246 000	131 617	32,377.89	139 720	34,371.12	1,993 23	ST
LOCKHEED MARTIN CORP								
Symbol LMT Exchange NYSE								
EAI \$1,208 Current yield 2.49%	Jun 7, 16	151 000	240 441	36,306 69	321 050	48,478 55	12,171 86	LT
LOWES COMPANIES INC								
Symbol LOW Exchange NYSE								
EAI \$633 Current yield 1 76%	Jun 7, 16	362 000	79 429	28,753 62	92 940	33,644 28	4,890 66	LT
Security total	Oct 4, 16	24 000	72.686	1,744 47	92 940	2,230 56	486 09	LT
		386 000	79 011	30,498 09		35,874 84	5,376 75	
MARSH & MCLENNAN COS INC								
Symbol MMC Exchange NYSE								
EAI \$810 Current yield 1 84%	Jun 7, 16	540 000	66 517	35,919 19	81.390	43,950 60	8,031 41	LT
MCDONALDS CORP								
Symbol MCD Exchange NYSE								
EAI \$1,265 Current yield 2 35%	Dec 14, 15	183 000	116.519	21,323 10	172 120	31,497 96	10,174 86	LT
Security total	Jun 7, 16	114 000	122 786	13,997 70	172 120	19,621 68	5,623 98	LT
	Oct 4, 16	16 000	114.528	1,832.46	172 120	2,753 92	921 46	LT
		313 000	118.701	37,153 26		53,873 56	16,720 30	
MEDTRONIC PLC								
Symbol MDT Exchange NYSE								
EAI \$1,345 Current yield 2.28%	Jun 7, 16	731 000	83 780	61,243.18	80.750	59,028 25	-2,214 93	LT
MICROSOFT CORP								
Symbol MSFT Exchange OTC								
EAI \$1,052 Current yield 1 96%	Jul 7, 15	351 000	44 177	15,506.40	85 540	30,024.54	14,518.14	LT

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Portfolio Management Program
December 2017

Account name: M & M BARTLETT FAMILY
Friendly account name: US Core Equity
Account number: MT 04910 A4

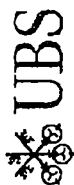
Your Financial Advisor:
THE RASWEILER GROUP
973-360-4200/800-631-8179

1010-385

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 29 (\$)	Value on Dec 29 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Sep 28, 15	275 000	43.668	12,008.73	85.540	23,523.50	11,514.77	LT
MONDELEZ INTL INC		626 000	43.954	27,515.13		53,548.04	26,032.91	
Symbol MDLZ Exchange OTC								
EAI \$725 Current yield 2.06%	May 15, 17	824 000	45.110	37,170.64	42.800	35,267.20	-1,903.44	ST
MYLAN NV EUR								
Symbol MYL Exchange OTC								
EUR Exchange rate 0.83277	Jun 7, 16	617 000	46.379	28,615.99	42.310	26,105.27	-2,510.72	LT
	Oct 4, 16	124 000	37.976	4,709.10	42.310	5,246.44	537.34	LT
Security total		741 000	44.973	33,325.09		31,351.71	-1,973.38	
NEXTERA ENERGY INC COM								
Symbol NEE Exchange NYSE								
EAI \$1,175 Current yield 2.52%	Jun 7, 16	299 000	122.193	36,535.89	156.190	46,700.81	10,164.92	LT
NORDSTROM INC								
Symbol JWN Exchange NYSE								
EAI \$1,036 Current yield 3.12%	Jun 7, 16	700 000	39.851	27,896.26	47.380	33,166.00	5,269.74	LT
NOVARTIS AG SPON ADR								
Symbol NVS Exchange NYSE								
EAI \$1,046 Current yield 2.74%	Jun 7, 16	446 000	81.925	36,538.55	83.960	37,446.16	907.61	LT
	Oct 4, 16	8 000	79.198	633.59	83.960	671.68	38.09	LT
Security total		454 000	81.877	37,172.14		38,117.84	945.70	
PEPSICO INC								
Symbol PEP Exchange OTC								
EAI \$1,075 Current yield 2.68%	Jun 7, 16	334 000	102.908	34,371.34	119.920	40,053.28	5,681.94	LT
PRAXAIR INC								
Symbol PX Exchange NYSE								
EAI \$932 Current yield 2.04%	Oct 4, 16	296 000	121.185	35,870.76	154.680	45,785.28	9,914.52	LT
RED HAT INC								
Symbol RHT Exchange NYSE								
ROCKWELL COLLINS INC								
Symbol COL Exchange NYSE								
EAI \$444 Current yield 0.97%	Jun 7, 16	325 000	88.830	28,869.75	135.620	44,076.50	15,206.75	LT
	Oct 4, 16	11 000	83.649	920.14	135.620	1,491.82	571.68	LT

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Portfolio Management Program
December 2017

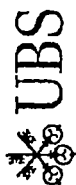
Account name: M & M BARTLETT FAMILY
Friendly account name: US Core Equity
Account number: MT 04910 A4

1010-386
Your Financial Advisor:
THE RASWEILER GROUP
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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec. 29 (\$)	Value on Dec. 29 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		336 000	88 660	29,789 89		45,568 32	15,778 43	
ROCKWELL AUTOMATION INC NEW								
Symbol ROK Exchange NYSE								
EAI \$1,757 Current yield 1 70%	Jun 7, 16	526 000	119 468	62,840 32	196 350	103,280 10	40,439 78	LT
STARBUCKS CORP								
Symbol SBUX Exchange OTC								
EAI \$628 Current yield 2 09%	Jun 7, 16	519 000	55 698	28,907 37	57 430	29,806 17	898 80	LT
	Oct 4, 16	4 000	53 800	215 20	57 430	229 72	14 52	LT
Security total		523 000	55 684	29,122 57		30,035 89	913 32	
SUNCOR ENERGY INC NEW CAD								
Symbol SU Exchange NYSE								
EAI \$1,188 Current yield 2 74%	Jan 24, 17	1,181 000	32 351	38,206 65	36 720	43,366 32	5,159 67	ST
CAD Exchange rate 1 25295								
TEXAS INSTRUMENTS								
Symbol TXN Exchange OTC								
EAI \$1,277 Current yield 2 37%	Jun 7, 16	515 000	61 627	31,738 06	104 440	53,786 60	22,048 54	LT
THERMO FISHER SCIENTIFIC INC								
Symbol TMO Exchange NYSE								
EAI \$106 Current yield 0 32%	Jun 7, 16	177 000	152 634	27,016 36	189 880	33,608 76	6,592 40	LT
TIX COS INC NEW								
Symbol TIX Exchange NYSE								
EAI \$471 Current yield 1 63%	Jun 7, 16	377 000	76 146	28,707 34	76 460	28,825 42	118 08	LT
UNION PACIFIC CORP								
Symbol UNP Exchange NYSE								
EAI \$982 Current yield 1 98%	Jun 7, 16	369 000	87 616	32,330 60	134 100	49,482 90	17,152 30	LT
UNITD TECHNOLOGIES CORP								
Symbol UTX Exchange NYSE								
EAI \$1,733 Current yield 2 19%	Jun 7, 16	619 000	102 029	63,156 08	127 570	78,965 83	15,809 75	LT
VF CORP								
Symbol VFC Exchange NYSE								
EAI \$1,172 Current yield 2 49%	Jun 7, 16	584 000	62 365	36,421 16	74 000	43,216 00	6,794 84	LT
	Oct 4, 16	53 000	56 329	2,985 48	74 000	3,922 00	936 52	LT
Security total		637 000	61 863	39,406 64		47,138 00	7,731 36	

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Portfolio Management Program
December 2017

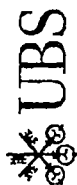
Account name: M & M BARTLETT FAMILY
Friendly account name: US Core Equity
Account number: MT 04910 A4

Your Financial Advisor:
THE RASWEILER GROUP
973-360-4200/800-631-8179

1010-387

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 29 (\$)	Value on Dec 29 (\$)	Unrealized gain or loss (\$)	Holding period
VISA INC CL A								
Symbol V Exchange NYSE								
EAI \$338 Current yield 0.68%	Oct 4, 16	433,000	82.874	35,884.87	114.020	49,370.66	13,485.79	LT
WALT DISNEY CO (HOLDING CO)								
DISNEY COM								
Symbol DIS Exchange NYSE								
EAI \$511 Current yield 1.56%	Oct 4, 16	304,000	92.649	28,165.57	107.510	32,683.04	4,517.47	LT
Total				\$1,730,800.99		\$2,230,864.08	\$500,063.09	



ACCESS
December 2017

1010-218

Account name: M & M BARTLETT FAMILY
Friendly account name: Kayne Anderson
Account number: MT 04909 Y6

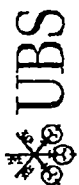
Your Financial Advisor:
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973-360-4200/800-631-8179

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 29 (\$)	Value on Dec 29 (\$)	Unrealized gain or loss (\$)	Holding period
ANSYS INC								
Symbol ANSS Exchange OTC	Jun 7, 16	95 000	88 337	8,392 08	147 590	14,021 05	5,628 97	LT
ASPEN TECHNOLOGY INC DEL								
CHANGE IN STATE OF INC								
Symbol AZPN Exchange OTC	Jun 7, 16	455 000	39 153	17,815 02	66 200	30,121 00	12,305 98	LT
BANK OF THE OZARKS INC								
Symbol OZRK Exchange OTC	Jun 7, 16	320 000	39 503	12,641 15	48 450	15,504 00	2,862 85	LT
EAI \$391 Current yield 1.53%	Sep 15, 17	209 000	43 478	9,087 01	48 450	10,126 05	1,039 04	ST
Security total		529 000	41 074	21,728 16		25,630 05	3,901 89	
BERKLEY W R CORP								
Symbol WRB Exchange NYSE	Jun 7, 16	318 000	56 182	17,866 13	71 650	22,784 70	4,918 57	LT
EAI \$178 Current yield 0.78%								continued next page

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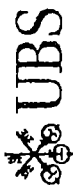
ACCESS
December 2017

Account name: M & M BARTLETT FAMILY
Friendly account name: Kayne Anderson
Account number: MT 04909 Y6

1010-219
Your Financial Advisor:
RASWEILER GROUPEPSTEIN
973-360-4200/800-631-8179

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 29 (\$)	Value on Dec 29 (\$)	Unrealized gain or loss (\$)	Holding period
CARLISLE COS INC								
Symbol CSL Exchange NYSE								
EAI \$262 Current yield 1.30%	Jun 7, 16	177 000	101 009	17,878 63	113.650	20,116.05	2,237 42	LT
CDW CORP								
Symbol CDW Exchange OTC								
EAI \$396 Current yield 1.21%	Jun 7, 16	472 000	42.688	20,148 83	69 490	32,799 28	12,650 45	LT
COOPER COMPANIES INC NEW								
Symbol COO Exchange NYSE								
EAI \$8 Current yield 0.03%	Jun 7, 16	137 000	164 500	22,536 55	217 880	29,849 56	7,313 01	LT
COPART INC								
Symbol CPRT Exchange OTC								
	Jul 5, 17	408 000	31 830	12,986 80	43 190	17,621.52	4,634 72	ST
CORE LABORATORIES NV EUR								
Symbol CLB Exchange NYSE								
EAI \$528 Current yield 2.01%	Jun 7, 16	146 000	130 401	19,038 68	109 550	15,994.30	-3,044 38	LT
EUR Exchange rate 0.83277	Sep 25, 17	94 000	98 826	9,289 66	109 550	10,297 70	1,008 04	ST
Security total		240 000	118 035	28,328 34		26,292 00	-2,036 34	
COTIVITI HLDGS INC								
Symbol COTV Exchange NYSE								
	Mar 24, 17	330 000	39.975	13,191 88	32 210	10,629.30	-2,562 58	ST
	Aug 15, 17	297 000	36 171	10,742 79	32 210	9,566 37	-1,176 42	ST
Security total		627 000	38 173	23,934 67		20,195 67	-3,739 00	
DRIL-QUIP INC								
Symbol DRQ Exchange NYSE								
	Oct 4, 16	261 000	55 624	14,517 99	47 700	12,449 70	-2,068 29	LT
EXPEDITORS INTL WASH INC								
Symbol EXPD Exchange OTC								
EAI \$362 Current yield 1.30%	Jun 7, 16	431 000	49.454	21,314 93	64 690	27,881 39	6,566 46	LT
HENRY JACK & ASSOC INC								
Symbol JKHV Exchange OTC								
EAI \$236 Current yield 1.05%	Jun 7, 16	190 000	84 697	16,092 54	116 960	22,222 40	6,129.86	LT
LANDSTAR SYSTEMS INC								
Symbol LSTR Exchange OTC								
EAI \$72 Current yield 0.39%	Jun 7, 16	179 000	67 741	12,125 64	104 100	18,633 90	6,508.26	LT
LENNOX INTL INC								
Symbol LI Exchange NYSE								
EAI \$277 Current yield 0.98%	Mar 16, 17	77 000	172 054	13,248 17	208 260	16,036 02	2,787.85	ST



ACCESS
December 2017

Account name: M & M. BARTLETT FAMILY
Friendly account name: Kayne Anderson
Account number: MT 04909 Y6

Your Financial Advisor:
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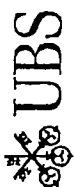
1010-220

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 29 (\$)	Value on Dec 29 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Aug 31, 17 Sep 1, 17	45 000 14 000 136 000	165 416 166 108 169 246	7,443.72 2,325.52 23,017.41	208 260 208 260	9,371 70 2,915 64 28,323 36	1,927 98 590 12 5,305 95	ST ST
MANHATTAN ASSOC INC								
Symbol: MANH Exchange OTC	Jun 7, 16 Sep 26, 16 Nov 23, 16 Oct 23, 17	145 000 109 000 145 000 162 000 561 000	67 650 57 428 53.335 48 480 56 429	9,809.31 6,259.72 7,733.68 7,853.82 31,656.53	49 540 49 540 49 540 49 540	7,183 30 5,399 86 7,183 30 8,025 48 27,791 94	-2,626 01 -859 86 -550 38 171 66 -3,864 59	LT LT LT ST
Security total								
MSCI INC								
Symbol: MSCI Exchange NYSE	Jun 7, 16	184 000	77 243	14,212.82	126 540	23,283 36	9,070 54	LT
EAI: \$280 Current yield 1 20%								
NORDSON CORP								
Symbol: NDSN Exchange OTC	Jun 7, 16	234 000	88 570	20,725.43	146 400	34,257 60	13,532 17	LT
EAI: \$281 Current yield 0 82%								
POOL CORP								
Symbol: POOL Exchange OTC	Sep 26, 17	156 000	107 830	16,821.59	129 650	20,225 40	3,403 81	ST
EAI: \$231 Current yield 1 14%								
PRIMERICA INC								
Symbol: PRI Exchange NYSE	Jun 7, 16	296 000	57 926	17,146.24	101 550	30,058 80	12,912 56	LT
EAI: \$237 Current yield: 0 79%								
RBC BEARINGS INC								
Symbol: ROLL Exchange OTC	Jun 7, 16	322 000	75 366	24,268.08	126 400	40,700 80	16,432 72	LT
SIGNATURE BANK NEW YORK NY								
Symbol: SBNY Exchange OTC	Jun 7, 16 Aug 4, 16 Jun 30, 17	82 000 62 000 61 000 205 000	133 710 119.657 143 537 132 384	10,964.22 7,418.77 8,755.80 27,138.79	137 260 137 260 137 260	11,255.32 8,510 12 8,372 86 28,138.30	291 10 1,091.35 -382 94 999 51	LT LT ST
Security total								
SNAP ON INC								
Symbol: SNA Exchange NYSE	Jun 7, 16	127 000	158 941	20,185.52	174 300	22,136 10	1,950 58	LT
EAI: \$587 Current yield 1 88%	May 22, 17	52 000 179 000	164.339 160 509	8,545.67 28,731.19	174 300	9,063 60 31,199 70	517 93 2,468 51	ST
Security total								

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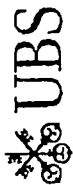
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December 2017

1010-221
Your Financial Advisor:
RASWEILER GROUP/EPSTEIN
973-360-4200/800-631-8179

Account name: M & M BARTLETT FAMILY
Friendly account name: Kayne Anderson
Account number: MT 04909 Y6

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 29 (\$)	Value on Dec 29 (\$)	Unrealized gain or loss (\$)	Holding period
TERADYNE INC								
Symbol TER Exchange NYSE	Aug 2, 17	479 000	33 465	16,029 83	41 870	20,055 73	4,025 90	ST
EAI \$134 Current yield 0 67%								
WABCO HOLDINGS INC								
Symbol WBC Exchange NYSE	Jun 7, 16	227 000	109 342	24,820 77	143 500	32,574 50	7,753 73	LT
WINMARK CORP								
Symbol WINA Exchange OTC	Jun 7, 16	63 000	100 999	6,362 94	129 400	8,152 20	1,789 26	LT
EAI \$47 Current yield 0 34%	Aug 2, 16	27 000	99 012	2,673 35	129 400	3,493 80	820 45	LT
	Aug 2, 16	17 000	99 010	1,683 17	129 400	2,199 80	516 63	LT
Security total		107 000	100 182	10,719 46		13,845 80	3,126 34	
WYNN RESORTS LTD								
Symbol WYNN Exchange OTC	Jun 7, 16	214 000	99 684	21,332 42	168 590	36,078 26	14,745 84	LT
EAI \$428 Current yield 1 19%								
Total				\$532,286.87		\$687,151.82	\$154,864.95	



Portfolio Management Program
December 2017

Account name: MARGARET AND MARSHALL BARTLETT
Friendly account name: YES
Account number: V8 05528 95

Your Financial Advisor:
MB/SBSA/GC TEAM 844-229-0886
212-821-7000/800-308-3140

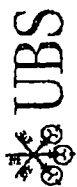
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Equities

Long options

Holding	Trade date	Number of contracts	Purchase price per contract (\$)	Cost base (\$)	Price per contract on Dec 29 (\$)	Contract premium (\$)	Value on Dec 29 (\$)	Unrealized gain or loss (\$)	Holding period
CALL CBOE S&P 500 INDEX DUE 01/12/18 2700 000 Expires Jan 18 Symbol: SPXW	Dec 13, 17	5 000	11 250	5,625 00	420 000	4 200	2,100 00	-3,525 00	4
CALL CBOE S&P 500 INDEX DUE 01/12/18 2680 000 Expires Jan 18 Symbol: SPXW	Nov 28, 17	5 000	5 100	2,550 00	1,250 000	12 500	6,250 00	3,700 00	4
CALL CBOE S&P 500 INDEX DUE 01/26/18 2690 000 Expires Jan 18 Symbol: SPXW	Nov 27, 17	7 000	4 900	3,430 00	1,505 000	15 050	10,535 00	7,105 00	4
CALL CBOE S&P 500 INDEX DUE 01/19/18 2700 000 Expires Jan 18 Symbol: SPX	Dec 12, 17	6 000	11,200	6,720 00	760 000	7 600	4,560 00	-2,160 00	4
CALL CBOE S&P 500 INDEX DUE 01/31/18 2705 000 Expires Jan 18 Symbol: SPXW	Dec 14, 17	7 000	11 700	8,190 00	1,050 000	10 500	7,350 00	-840 00	4

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Portfolio Management Program
December 2017

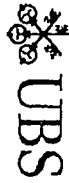
Account name: MARGARET AND MARSHALL BARTLETT
Friendly account name: YES
Account number: V8 05528 95

1010-847
Your Financial Advisor
MB/SF/SA/GC TEAM 844-229-0886
212-821-7000/800-308-3140

Your assets • Equities • Long options (continued)

Holding	Trade date	Number of contracts	Purchase price per contract (\$)	Cost basis (\$)	Price per contract on Dec 29 (\$)	Contract premium (\$)	Value on Dec 29 (\$)	Unrealized gain or loss (\$)	Holding period
CALL CBOE S&P 500 INDEX DUE 01/19/18 2720 000 Expires Jan 18 Symbol: SPX	Dec 27, 17	5 000	4 700	2,350 00	255 000	2 550	1,275 00	-1,075.00	4
PUT CBOE S&P 500 INDEX DUE 01/19/18 2500 000 Expires Jan 18 Symbol: SPX	Dec 07, 17	5 000	6 700	3,350 00	255 000	2 550	1,275 00	-2,075.00	4
PUT CBOE S&P 500 INDEX DUE 01/12/18 2520 000 Expires Jan 18 Symbol: SPXW	Dec 19, 17	5 000	2 200	1,100 00	185 000	1 850	925 00	-175.00	4
PUT CBOE S&P 500 INDEX DUE 01/26/18 2350 000 Expires Jan 18 Symbol: SPXW	Nov 27, 17	7 000	5 000	3,500 00	175 000	1 750	1,225 00	-2,275.00	4
PUT CBOE S&P 500 INDEX DUE 01/12/18 2600 000 Expires Jan 18 Symbol: SPXW	Dec 13, 17	10 000	7 300	7,300 00	375 000	3 750	3,750 00	-3,550.00	4
PUT CBOE S&P 500 INDEX DUE 01/31/18 2425 000 Expires Jan 18 Symbol: SPXW	Dec 14, 17	7 000	3 800	2,660 00	285 000	2 850	1,995 00	-665.00	4
PUT CBOE S&P 500 INDEX DUE 01/19/18 2580 000 Expires Jan 18 Symbol: SPX	Dec 27, 17	5 000	4 200	2,100.00	490 000	4 900	2,450 00	350.00	4
PUT CBOE S&P 500 INDEX DUE 01/19/18 2450 000 Expires Jan 18 Symbol: SPX	Nov 22, 17	7 000	9 000	6,300 00	185 000	1 850	1,295 00	-5,005.00	4
CALL CBOE S&P 500 INDEX DUE 02/09/18 2720.000 Expires Feb 18 Symbol: SPXW	Dec 14, 17	6 000	10 500	6,300 00	895 000	8 950	5,370 00	-930.00	4

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Portfolio Management Program
 December 2017

Account name: MARGARET AND MARSHALL BARTLETT
 Friendly account name: YES
 Account number: V8 05528 95

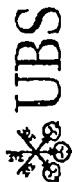
Your Financial Advisor:
 MBSR/SAGC TEAM 844-229-0886
 212-821-7000/800-308-3140

1010-848

Your assets • Equities • Long options (continued)

Holding	Trade date	Number of contracts	Purchase price per contract (\$)	Cost basis (\$)	Price per contract on Dec 29 (\$)	Contract premium (\$)	Value on Dec 29 (\$)	Unrealized gain or loss (\$)	Holding period
PUT CBOE S&P 500 INDEX DUE 02/09/18 2435 000 Expires Feb 18 Symbol SPXV	Dec 14, 17	6 000	5 700	3 420 00	410.000	4 100	2 460 00	-960 00	4
Total				\$64,895.00			\$52,815.00	-\$12,080.00	

4 60% of your gain or loss is long term 40% of your gain or loss is short term



Managed Accounts Consulting
December 2017

Account name: M. & M. BARTLETT FAMILY
Friendly account name: Dolan Credit
Account number: MT 04912 Y6

Your Financial Advisor:
RASWEILER GROUPEPSTEIN
973-360-4200/800-631-8179

1010-685

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS FDIC Insured Program Bank Balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

See the *Important information about your statement* at the end of this document for details about those balances.

UBS Bank USA and all UBS FDIC Insured Program Bank deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC, but are not insured by the FDIC.

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 29 (\$)	Price per share on Dec 29 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
UBS BANK USA BUS ACCT	23,779.95	13,051.89					250,000.00

Fixed income

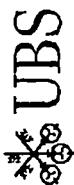
Corporate bonds and notes

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected. Cost basis has been adjusted for accreted original issue discount (OID). Cost basis has been

automatically adjusted for amortization of bond premium using the constant yield method. If you have made a tax election to deduct the premium amortization on taxable debt securities, you may request UBS adjust cost basis for the bond premium amortization.

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 29 (\$)	Value on Dec 29 (\$)	Unrealized gain or loss (\$)	Holding period
DISH DBS CORP NTS B/E CALL@MMW+50BP RATE 05.125% MATURES 05/01/20 ACCRUED INTEREST \$107.33 CUSIP 25470XAQ8 Moody Baa3 S&P B+ EAI: \$666 Current yield 5.02% Original cost basis: \$13,243.75	Jun 09, 16	13,000.000	101.163	13,151.25	102.125	13,276.25	125.00	LT

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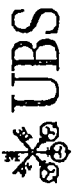
Account name: M & M BARTLETT FAMILY
Friendly account name: Dolan Credit
Account number: MT 04912 Y6

1010-686
Your Financial Advisor:
RASWEILER GROUP/EPSTEIN
973-360-4200/800-631-8179

Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 29 (\$)	Value on Dec 29 (\$)	Unrealized gain or loss (\$)	Holding period
DUN & BRADSTREET CORP								
NTS B/E								
RATE 04 250% MATURES 06/15/20								
ACCURED INTEREST \$24.79								
CUSIP 26483EAH3								
S&P BB+								
EAI \$638 Current yield 4.14%								
Original cost basis \$15,551.10	Jun 14, 16	15,000.000	102.389	15,358.43	102.560	15,384.00	25.57	LT
EXPEDIA INC NTS B/E								
CALL@MW+50BP								
RATE 05 950% MATURES 08/15/20								
ACCURED INTEREST \$310.06								
CUSIP 30212PAH8								
Moody Ba1 S&P BBB-								
EAI \$833 Current yield 5.53%								
Original cost basis \$15,667.75	Jun 13, 16	14,000.000	107.657	15,072.05	107.589	15,052.46	-9.60	LT
MASCO CORP NTS B/E								
CALL@MW+35BP								
RATE 03 500% MATURES 04/01/21								
CALLABLE								
ACCURED INTEREST \$128.33								
CUSIP 574599BK1								
Moody Ba1 S&P BBB								
EAI \$525 Current yield 3.45%								
Original cost basis \$13,240.50	Jun 10, 16	13,000.000	101.280	13,166.46	101.565	13,203.45	36.99	LT
Original cost basis \$2,055.00	Oct 14, 16	2,000.000	102.037	2,040.74	101.565	2,031.30	-9.44	LT
Security total		15,000.000		15,207.20		15,234.75	27.55	
GAP INC CALL@MW T+40BP								
RATE 05 950% MATURES 04/12/21								
ACCURED INTEREST \$178.16								
CUSIP 364750AK4								
Moody Baa2 S&P BB+								
EAI \$833 Current yield 5.52%								
Original cost basis \$14,525.00	Jun 08, 16	14,000.000	102.637	14,369.26	107.829	15,096.06	726.80	LT

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December 2017

Account name: M & M BARTLETT FAMILY
Friendly account name: Dolan Credit
Account number: MT 04912 Y6

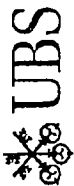
Your Financial Advisor:
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973-360-4200/800-631-8179

1010-687

Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 29 (\$)	Value on Dec 29 (\$)	Unrealized gain or loss (\$)	Holding period
DISH DBS CORP NTS B/E								
CALL@MW+50BP								
RATE 06 750% MATURES 06/01/21								
ACCURED INTEREST \$10.50								
CUSIP 25470XAE5								
Moody Baa3 S&P B+								
EAI \$135 Current yield 6.43%								
Original cost basis \$2,185.00								
	Feb 09, 17	2,000,000	107,472	2,149,44	105,000	2,100,00	-49,44	ST
INFOR US INC NTS B/E								
RATE 06.500% MATURES 05/15/22								
CALLABLE								
ACCURED INTEREST \$111.22								
CUSIP 45672NAG6								
Moody Caa1 S&P CCC+								
EAI \$910 Current yield 6.28%								
	Aug 31, 16	14,000,000	101,625	14,227,50	103,500	14,490,00	262,50	LT
TRANSDIGM INC NTS B/E								
CALL@MW+50BP								
RATE 06 000% MATURES 07/15/22								
ACCURED INTEREST \$382.66								
CUSIP 893647AW7								
Moody B3 S&P B-								
EAI \$840 Current yield 5.88%								
	Jun 13, 16	14,000,000	101,600	14,224,00	102,000	14,280,00	56,00	LT
VERISK ANALYTICS INC NTS								
CALL@MW +10BP								
RATE 04 125% MATURES 09/12/22								
ACCURED INTEREST \$183.90								
CUSIP 92345YACO								
Moody Baa3 S&P BBB-								
EAI \$619 Current yield 3.95%								
Original cost basis \$15,887.40								
	Jun 13, 16	15,000,000	104,552	15,682,91	104,399	15,659,85	-23,06	LT

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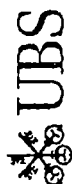
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December 2017

Account name: M. & M. BARTLETT FAMILY
Friendly account name: Dolan Credit
Account number: MT 04912 Y6

1010-688
Your Financial Advisor:
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Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 29 (\$)	Value on Dec 29 (\$)	Unrealized gain or loss (\$)	Holding period
AMERICAN TOWER CORP NTS								
CALL@MW+25BP								
RATE 03 500% MATURES 01/31/23								
ACCURED INTEREST \$217.29								
CUSIP 03027XAB6								
Moody Baa3 S&P BBB-								
EAI \$525 Current yield 3.42%								
Original cost basis \$15,531.00	Jun 27, 16	15,000,000	102,787	15,418.18	102,250	15,337.50	-80.68	LT
KOHLS CORP B/E								
CALL@MW+25BP								
RATE 03 250% MATURES 02/01/23								
CALLABLE								
ACCURED INTEREST \$26.72								
CUSIP 500255AS3								
Moody Baa2 S&P BBB-								
EAI \$65 Current yield 3.29%	Oct 25, 17	2,000,000	98,384	1,967.68	98,861	1,977.22	9.54	ST
ARROW ELECTRONICS INC								
CALL@MW+40BP								
RATE 04 500% MATURES 03/01/23								
CALLABLE								
ACCURED INTEREST \$221.25								
CUSIP 042735BC3								
Moody Baa3 S&P BBB-								
EAI \$675 Current yield 4.28%								
Original cost basis \$16,041.15	Aug 04, 16	15,000,000	105,579	15,836.90	105,184	15,777.60	-59.30	LT
TRINITY ACQUISITION PLC								
CALL@MW+35BP								
RATE 04 625% MATURES 08/15/23								
ACCURED INTEREST \$241.01								
CUSIP 89641UAA9								
Moody Baa3 S&P BBB								
EAI \$648 Current yield 4.37%	Jun 08, 16	14,000,000	104,954	14,693.69	105,939	14,831.46	137.77	LT
Original cost basis \$14,862.75								continued next page



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December 2017

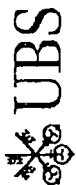
Account name: M & M BARTLETT FAMILY
Friendly account name: Dolan Credit
Account number: MT 04912 Y6

Your Financial Advisor:
RASWEILER GROUPEPSTEIN
973-360-4200/800-631-8179

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Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 29 (\$)	Value on Dec 29 (\$)	Unrealized gain or loss (\$)	Holding period
CDW LLC / CDW FIN CORP								
CALL@MW+50BP								
RATE 05 000% MATURES 09/01/23								
CALLABLE								
ACCURED INTEREST \$245.83								
CUSIP 12513GBB4								
Moody Baa3 S&P BB-								
EAI \$750 Current yield 4.84%	Jun 09, 16	15,000,000	100,875	15,131.25	103,375	15,506.25	375.00	LT
VIA COM INC B/E								
CALL@MW+30BP								
RATE 04 250% MATURES 09/01/23								
CALLABLE								
ACCURED INTEREST \$208.95								
CUSIP 92553PAT9								
Moody Baa3 S&P BBB-								
EAI \$638 Current yield 4.16%								
Original cost basis \$15,519.38	Jun 13, 16	15,000,000	102,794	15,419.17	102,099	15,314.85	-104.32	LT
VERIZON COMMUNICATIONS								
CALL@MW+40BP								
RATE 05 150% MATURES 09/15/23								
ACCURED INTEREST \$208.28								
CUSIP 92343VBR4								
Moody Baa1 S&P BBB+								
EAI \$721 Current yield 4.63%	Jul 28, 17	5,000,000	110,680	5,534.04	111,264	5,563.20	29.16	ST
Original cost basis \$5,570.10	Jul 31, 17	3,000,000	110,543	3,316.29	111,264	3,337.92	21.63	ST
Original cost basis \$3,337.35	Aug 01, 17	6,000,000	111,194	6,671.64	111,264	6,675.84	4.20	ST
Original cost basis \$6,716.22		14,000,000		15,521.97		15,576.96	54.99	
Security total								
GANNETT CO INC NTS B/L								
CALL@MW+50BP								
RATE 06 375% MATURES 10/15/23								
ACCURED INTEREST \$183.45								
CUSIP 364725BEO								
Moody Baa2 S&P BB+								
EAI \$893 Current yield 6.09%	Jun 07, 16	14,000,000	105,966	14,835.30	104,750	14,665.00	-170.30	LT
Original cost basis: \$15,022.00								



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Account name: M & M BARTLETT FAMILY
Friendly account name: Dolan Credit
Account number: MT 04912 Y6

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Your Financial Advisor:
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Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 29 (\$)	Value on Dec 29 (\$)	Unrealized gain or loss (\$)	Holding period
L BRANDS INC NTS B/E								
CALL@MW+50BP								
RATE 05 625% MATURES 10/15/23								
ACCURED INTEREST \$161 87								
CUSIP 501797AJ3								
Moody Ba1 S&P BB+								
EAL \$788 Current yield 5.21%								
Original cost basis \$14,770 00								
	May 02, 17	14,000 000	105 006	14,700.96	107 875	15,102 50	401 54	ST
CBS OUTDOOR AMERICAS								
CALL@MW+50BP								
RATE 05 625% MATURES 02/15/24								
ACCURED INTEREST \$103 12								
CUSIP 12505FAD3								
Moody B1 S&P BB-								
EAL \$844 Current yield 5.32%								
Original cost basis \$15,487 50								
	Mar 16, 17	15,000 000	103 074	15,461 22	105 750	15,862 50	401 28	ST
CENTURYLINK INC NTS B/E								
CALL@MW+50BP								
RATE 07 500% MATURES 04/01/24								
CALLABLE								
ACCURED INTEREST \$256 66								
CUSIP 156700BA3								
Moody B2 S&P B+								
EAL \$1,050 Current yield 7.52%								
Original cost basis \$14,630 00								
	Aug 22, 17	14,000 000	104 302	14,602 32	99 750	13,965 00	-637 32	ST
QVC INC NTS B/E								
CALL@MW+35BP								
RATE 04 850% MATURES 04/01/24								
ACCURED INTEREST \$47 42								
CUSIP 747262AS2								
Moody Ba2 S&P BBB-								
EAL \$194 Current yield 4.62%								
Original cost basis \$4,053 52								
	Nov 09, 16	4,000 000	101.159	4,046 38	105.085	4,203 40	157 02	LT

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Account name: M. & M. BARTLETT FAMILY
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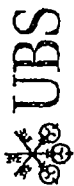
Your Financial Advisor:
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1010-691

Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 29 (\$)	Value on Dec 29 (\$)	Unrealized gain or loss (\$)	Holding period
TESORO CORP B/E								
CALL@MW+50BP								
RATE 05 125% MATURES 04/01/24								
CALLABLE								
ACCURED INTEREST \$150.33								
CUSIP 881609BA8								
Moody Baa3 S&P BBB-								
EAI \$615 Current yield 4.86%	Jun 17, 16	12,000,000	100,750	12,090.00	105,559	12,667.08	577.08	LT
SIGNET UK FIN PLC B/E								
CALL@MW+35BP								
RATE 04 700% MATURES 06/15/24								
CALLABLE								
ACCURED INTEREST \$29.24								
CUSIP 82671AAA1								
Moody Ba1 S&P BBB-								
EAI \$752 Current yield 4.77%	Oct 30, 17	16,000,000	99,000	15,840.00	98,462	15,753.92	-86.08	ST
WESCO DISTR INC NTS B/E								
CALL@MW+50BP								
RATE 05 375% MATURES 06/15/24								
CALLABLE								
ACCURED INTEREST \$18.81								
CUSIP 95081QAM6								
Moody B1 S&P BB-								
EAI \$484 Current yield 5.23%	Feb 10, 17	9,000,000	102,750	9,247.50	102,750	9,247.50		ST
DAVITA HEALTHCARE PARTNE								
CALL@MW+50BP								
RATE 05 125% MATURES 07/15/24								
CALLABLE								
ACCURED INTEREST \$350.20								
CUSIP 23918KAQ1								
Moody Baa3 S&P B+								
EAI \$769 Current yield 5.07%	Nov 16, 16	15,000,000	98,250	14,737.50	101,000	15,150.00	412.50	LT

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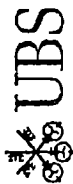
Account name: M & M BARTLETT FAMILY
Friendly account name: Dolan Credit
Account number: MT 04912 Y6

1010-692
Your Financial Advisor:
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Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 29 (\$)	Value on Dec 29 (\$)	Unrealized gain or loss (\$)	Holding period
MOTOROLA SOLUTIONS INC								
CALL@MW+30BP								
RATE 04 000% MATURES 09/01/24								
ACCURED INTEREST \$196.66								
CUSIP 620076BFF5								
Moody Baa3 S&P: BBB-								
EAI \$600 Current yield 3.90%	Sep 14, 16	15,000,000	99,823	14,973.45	102,566	15,384.90	411.45	LT
STEEL DYNAMICS INC NTS								
CALL@MW+50BP								
RATE 05.500% MATURES 10/01/24								
CALLABLE								
ACCURED INTEREST \$188.22								
CUSIP 858119BD1								
Moody Ba1 S&P: BB+								
EAI \$770 Current yield 5.18%								
Original cost basis \$15,050.00	Sep 07, 17	14,000,000	107,210	15,009.45	106,250	14,875.00	-134.45	ST
TRINITY INDUSTRIES INC								
CALL@MW+30BP								
RATE 04 550% MATURES 10/01/24								
CALLABLE								
ACCURED INTEREST \$177.95								
CUSIP 896522AHZ								
Moody Ba1 S&P: BBB-								
EAI \$728 Current yield 4.55%	Jun 27, 16	16,000,000	92,462	14,794.00	99,895	15,983.20	1,189.20	LT
KJA-TENCOR CORP NTS B/E								
CALL@MW+37.5BP								
RATE 04 650% MATURES 11/01/24								
CALLABLE								
ACCURED INTEREST \$104.88								
CUSIP 482480AEO								
Moody Baa2 S&P: BBB								
EAI \$651 Current yield 4.29%	Jun 07, 16	14,000,000	106,352	14,889.30	108,470	15,185.80	296.50	LT
Original cost basis \$15,064.28								

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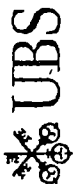
Account name: M & M BARTLETT FAMILY
Friendly account name: Dolan Credit
Account number: MT 04912 Y6

Your Financial Advisor:
RASWEILER GROUPEPSTEIN
973-360-4200/800-631-8179

1010-693

Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 29 (\$)	Value on Dec 29 (\$)	Unrealized gain or loss (\$)	Holding period
UNITED RENTALS NORTH AM								
CALL@MW+50BP								
RATE 05 750% MATURES 11/15/24								
CALLABLE								
ACCRUED INTEREST \$98.38								
CUSIP 911365889								
Moody Baa3 S&P BB-								
EAI \$805 Current yield 5.46%	Jun 07, 16	14,000 000	102 100	14,294 00	105.250	14,735 00	441 00	LT
CARUSLE COS INC NTS BE								
CALL@MW+20BP								
RATE 03 500% MATURES 12/01/24								
INTEREST EARNED FROM 11/16/17								
1ST INTEREST PAYMENT 06/01/18								
CALLABLE								
ACCRUED INTEREST \$20 90								
CUSIP 142339AG5								
Moody Baa2 S&P BBB								
EAI \$175 Current yield 3.47%								
Original cost basis \$5,011.00	Nov 13, 17	5,000 000	100 216	5,010 83	100 790	5,039 50	28 67	ST
PENSKE AUTO GROUP INC								
CALL@MW+50BP								
RATE 05 375% MATURES 12/01/24								
CALLABLE								
ACCRUED INTEREST \$66.88								
CUSIP 70959WAF0								
Moody B1 S&P B+								
EAI \$860 Current yield 5.30%	May 17, 17	10,000 000	100 375	10,037 50	101 500	10,150 00	112 50	ST
	Aug 02, 17	6,000 000	100 500	6,030 00	101 500	6,090 00	60 00	ST
		16,000 000		16,067 50		16,240 00	172 50	
Security total								continued next page



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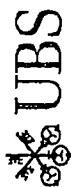
Account name: M & M BARTLETT FAMILY
Friendly account name: Dolan Credit
Account number: MT 04912 Y6

1010-694
Your Financial Advisor:
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Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 29 (\$)	Value on Dec 29 (\$)	Unrealized gain or loss (\$)	Holding period
AT&T INC NTS B/E								
CALL@MW+30BP								
RATE 03 950% MATURES 01/15/25								
CALLABLE								
ACCURED INTEREST \$269.91								
CUSIP 00206RDD1								
Moody: Baa1 S&P BBB+								
EAI \$593 Current yield 3.86%								
Original cost basis \$15,733.05								
Jun 13, 16		15,000,000	104,104	15,615.65	102,386	15,357.90	-257.75	LT
QVC INC B/E								
CALL@MW+30BP								
RATE 04 450% MATURES 02/15/25								
ACCURED INTEREST \$231.89								
CUSIP 747262AU7								
Moody: Baa2 S&P BBB-								
EAI \$623 Current yield 4.36%								
Sep 22, 16		14,000,000	98,388	13,774.32	102,007	14,280.98	506.66	LT
KRAFT HEINZ FOODS CO NTS								
CALL@MW+25BP								
RATE 03 950% MATURES 07/15/25								
CALLABLE								
ACCURED INTEREST \$233.92								
CUSIP 50077LAK2								
Moody: Baa3 S&P BBB-								
EAI \$514 Current yield 3.82%								
Original cost basis \$13,439.66								
Dec 05, 17		13,000,000	103,354	13,436.12	103,287	13,427.31	-8.81	ST
KOHL'S CORP B/E								
CALL@MW+30BP								
RATE 04 250% MATURES 07/17/25								
CALLABLE								
ACCURED INTEREST \$267.75								
CUSIP 500255AU8								
Moody: Baa2 S&P BBB-								
EAI \$595 Current yield 4.18%								
May 15, 17		7,000,000	97,915	6,854.05	101,609	7,112.63	258.58	ST
May 30, 17		7,000,000	99,371	6,955.97	101,609	7,112.63	156.66	ST
Security total		14,000,000		13,810.02		14,225.26	415.24	

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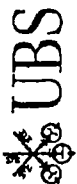
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Account number: MT 04912 Y6

Your Financial Advisor:
RASWEILER GROUP/EPSTEIN
973-360-4200/800-631-8179

1010-695

Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 29 (\$)	Value on Dec 29 (\$)	Unrealized gain or loss (\$)	Holding period
SALLY HOLDINGS/SALLY CAP								
CALL@MMW+50BP								
RATE 05 625% MATURES 12/01/25								
CALLABLE								
ACCURED INTEREST \$70.00								
CUSIP 79546VAL0								
Moody Baa3 S&P BB+								
EAI: \$900 Current yield 5.65%								
	Jun 13, 17	2,000,000	102,250	2,045.00	99.500	1,990.00	-55.00	ST
	Aug 02, 17	2,000,000	102,375	2,047.50	99.500	1,990.00	-57.50	ST
	Aug 11, 17	2,000,000	101,250	2,025.00	99.500	1,990.00	-35.00	ST
	Nov 27, 17	2,000,000	100,000	2,000.00	99.500	1,990.00	-10.00	ST
	Dec 21, 17	8,000,000	99,500	7,960.00	99.500	7,960.00		ST
Security total		16,000,000		16,077.50		15,920.00	-157.50	
DISCOVERY COMM INC B/E								
CALL@MMW+50BP								
RATE 04 900% MATURES 03/11/26								
CALLABLE								
ACCURED INTEREST \$205.80								
CUSIP 25470DAL3								
Moody Baa3 S&P BB-								
EAI: \$686 Current yield 4.59%								
Original cost basis: \$14,927.50								
	Jun 07, 16	14,000,000	105,729	14,802.13	106.681	14,935.34	133.21	LT
Total		\$499,000,000		\$511,546.34		\$517,112.30	\$5,565.96	
Total accrued interest: \$6,240.52								
Total estimated annual income: \$24,910								



Managed Accounts Consulting
December 2017

Account name: M. & M. BARTLETT FAMILY
Friendly account name: Dolan Credit
Account number: MT 04912 Y6

1010-696
Your Financial Advisor:
RASWEILER GROUPEPSTEIN
973-360-4200/800-631-8179

Your assets (continued)

Your total assets

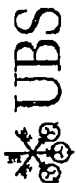
Cash	Value on Dec 29 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash and money balances	13,051.89	2.43%	13,051.89		
Corporate bonds and notes	517,112.30		511,546.34	24,910.00	5,565.96
Total accrued interest	6,240.52				
Total fixed income	523,352.82	97.57%	511,546.34	24,910.00	5,565.96
Total	\$536,404.71	100.00%	\$524,598.23	\$24,910.00	\$5,565.96

Account activity this month

For more information about the price/value shown for restricted securities, see Important information about your statement at the end of this document.

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Nov 30		Cash and money balance				67.50	\$23,779.95
Dec 1	Interest	DISH DBS CORP NTS B/E 06 750% 060121 D1D050511 FC120111 CALL@MW+508P PAID ON 2000 CUSIP: 25470XAE5					
Dec 1	Interest	PENSKE AUTO GROUP INC 05 375% 120124 D1D112114 FC060115 CALL@MW+508P PAID ON 16000 CUSIP: 70959WAF0				430.00	
Dec 1	Interest	SALLY HOLDINGS/SALLY CAP 05 625% 120125 D1D120315 FC060116 CALL@MW+508P PAID ON 8000 CUSIP: 79546VAL0				225.00	24,502.45
Dec 7	Interest	UBS BANK USA BUSINESS ACCOUNT AS OF 12/06/17				2.62	
Dec 7	Bought	KRAFT HEINZ FOODS CO NTS 03 950% 071525 D1D071516 FC011517 CALL@MW+258P YTM = 3 440 UNSOLICITED ACCRUED INT PAID \$202.55 CUSIP: 50077LAKZ		13,000.000	103.3820000	-13,642.21	10,862.86
Dec 15	Interest	DUN & BRADSTREET CORP 04 250% 061520 D1D061515 FC121515 NTS B/E PAID ON 15000 CUSIP: 26483EAH3				318.75	

continued next page



Portfolio Management Program
December 2017

Account name: MARGARET AND MARSHALL BARTLETT
Friendly account name: YES
Account number: V8 05528 95

1010-859
Your Financial Advisor:
MBSRUSA/GC TEAM 844-229-0886
212-821-7000/800-308-3140

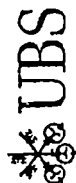
Short account

Short account portfolio

Cash

Restricted short cash represents the
proceeds from Short Sales which is
not available for withdrawal

Holding	Opening balance on Nov 30 (\$)	Closing balance on Dec 29 (\$)
RESTRICTED SHORT CASH	2,600 00	3,325 00



Portfolio Management Program
December 2017

Account name: MARGARET AND MARSHALL BARTLETT
Friendly account name: YES
Account number: V8 05528 95

Your Financial Advisor:
MB/SR/SA/GC TEAM 844-229-0886
212-821-7000/800-308-3140

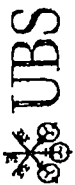
1010-860

Short Account • Short account portfolio (continued)

Equities

Holding	Trade date	Number of Shares	Sale price	Sales proceeds	Price per share on Dec 29 (\$)	Value on Dec 29 (\$)	Unrealized gain or loss (\$)	Holding period
CALL CBOE S&P 500 INDEX								
DUE 01/26/18 2655 000	Nov 27, 17	-7 000	12 300	-8,610 00	3,820 000	-26,740 00	-18,130 00	4
CALL CBOE S&P 500 INDEX								
DUE 01/21/18 2640 000	Nov 28, 17	-5 000	15 200	-7,600 00	4,270 000	-21,350 00	-13,750 00	4
CALL CBOE S&P 500 INDEX								
DUE 01/21/18 2750 000	Dec 26, 17	-5 000	0 450	-225 00	18 000	-90 00	135 00	4
CALL CBOE S&P 500 INDEX								
DUE 02/09/18 2685 000	Dec 14, 17	-6 000	23 800	-14,280 00	2,455 000	-14,730 00	-450 00	4
CALL CBOE S&P 500 INDEX								
DUE 01/31/18 2675 000	Dec 14, 17	-7 000	24 000	-16,800 00	2,635 000	-18,445 00	-1,645 00	4
CALL CBOE S&P 500 INDEX								
DUE 01/19/18 2715 000	Dec 14, 17	-6 000	4 750	-2,850 00	340 000	-2,040 00	810 00	4
CALL CBOE S&P 500 INDEX								
DUE 01/19/18 2705 000	Dec 27, 17	-5 000	9 400	-4,700 00	590 000	-2,950 00	1,750 00	4
PUT CBOE S&P 500 INDEX								
DUE 01/19/18 2375 000	Dec 18, 17	-7 000	1 250	-875 00	115 000	-805 00	70 00	4
PUT CBOE S&P 500 INDEX								
DUE 01/19/18 2445 000	Dec 7, 17	-5 000	4 500	-2,250 00	180 000	-900 00	1,350 00	4
PUT CBOE S&P 500 INDEX								
DUE 01/26/18 2490 000	Nov 27, 17	-7 000	13 100	-9,170 00	350 000	-2,450 00	6,720 00	4
PUT CBOE S&P 500 INDEX								
DUE 01/12/18 2590 000	Dec 12, 17	-5 000	6 300	-3,150 00	335 000	-1,675 00	1,475 00	4
PUT CBOE S&P 500 INDEX								
DUE 02/09/18 2570 000	Dec 14, 17	-6 000	13 300	-7,980 00	1,070 000	-6,420 00	1,560 00	4
PUT CBOE S&P 500 INDEX								
DUE 01/31/18 2550 000	Dec 14, 17	-7 000	9 100	-6,370 00	675 000	-4,725 00	1,645 00	4
PUT CBOE S&P 500 INDEX								
DUE 01/12/18 2655 000	Dec 19, 17	-5 000	9 100	-4,550 00	945 000	-4,725 00	-175 00	4
PUT CBOE S&P 500 INDEX								
DUE 01/19/18 2645 000	Dec 27, 17	-5 000	9 400	-4,700 00	1,110 000	-5,550 00	-850 00	4
PUT CBOE S&P 500 INDEX								
DUE 01/12/18 2545 000	Dec 28, 17	-5 000	1 900	-950 00	215 000	-1,075 00	-125 00	4

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Portfolio Management Program
December 2017

Account name: MARGARET AND MARSHALL BARTLETT
Friendly account name: YES
Account number: VB 05528 95

1010-861
Your Financial Advisor:
MB/SWSA/GC TEAM 844-229-0886
212-821-7000/800-308-3140

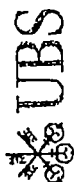
Short account • Short account portfolio • Equities (continued)

Holding	Trade date	Number of Shares	Sale price	Sales proceeds	Price per share on Dec 29 (\$)	Value on Dec 29 (\$)	Unrealized gain or loss (\$)	Holding period
Total				-\$95,060.00		-\$114,670.00	-\$19,610.00	

4 60% of your gain or loss is long term 40% of your gain or loss is short term

2017 Year End Summary

UBS Financial Services Inc.
500 Campus Drive
Florham Park NJ 07932-1024



EPZ60002287343 1217 X123 MT 0

Account name: M. & M. BARTLETT FAMILY
FOUNDATION, INC

Friendly account name: Kayne Anderson

Account number: MT 04909 Y6

Your Financial Advisor:

RASWEILER GROUP/EPSTEIN

Phone: 973-360-4200/800-631-8179

M. & M. BARTLETT FAMILY
FOUNDATION, INC.
PO BOX 489
NEW VERNON NJ 07976-0489

Margaret and Marshall Bartlett Family Foundation
EIN 22-3512759
Attachment to 2017 Form 990-PF, pg 3, Part IV

Summary of gains and losses

	Amount (\$)
Short term	6,148.28
Long term	-11,526.94
Total	\$-5,378.66

Realized gains and losses

Estimated 2017 gains and losses for transactions with trade dates through 12/29/17 have been incorporated into this statement. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order.

Short-term capital gains and losses

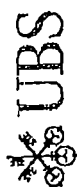
Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
ASPEN TECHNOLOGY INC DEL CHANGE IN STATE OF INC	FIFO	89.000	Jun 07, 16	Mar 27, 17	5,036.68	3,484.70			1,551.98

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Member SIPC

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Page 1 of 2



ACCESS

Account name: M. & M. BARTLETT FAMILY
 Friendly account name: Kayne Anderson
 Account number: MT 04909 Y6

1010-85
 Your Financial Advisor:
 RASWEILER GROUPEPSTF J
 973-360-4200/800-631-8179

Realized gains and losses (continued)

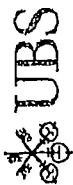
Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
CHURCH & DWIGHT CO INC	FIFO	140,000	Jun 07, 16	Mar 24, 17	7,036.19	5,917.93			118.26
MONOTYPE IMAGING HOLDINGS INC	FIFO	197,000	Aug 15, 16	Aug 07, 17	3,706.51	4,272.38		-565.87	3,991.07
MSCI INC	FIFO	137,000	Jun 07, 16	Mar 15, 17	14,573.45	10,582.38			1,052.84
WATSCO INC	FIFO	89,000	Jun 07, 16	Mar 17, 17	13,159.24	12,106.40			\$6,714.15
Total					\$43,512.07	\$37,363.79		-\$565.87	\$6,148.28

Net short-term capital gains and losses

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
CHURCH & DWIGHT CO INC	FIFO	258,000	Jun 07, 16	Aug 15, 17	12,851.76	12,748.76			103.00
DENTSPLY SIRONA INC	FIFO	384,000	Jun 07, 16	Oct 19, 17	23,236.95	24,202.29		-965.34	
EQUIFAX INC	FIFO	230,000	Jun 07, 16	Sep 08, 17	28,363.93	28,796.44		-432.51	
FACTSET RESH SYSTEMS INC	FIFO	65,000	Jun 07, 16	Jun 28, 17	10,962.24	10,407.74			554.50
FASTENAL CO	FIFO	276,000	Jun 07, 16	Jun 26, 17	11,757.23	12,678.94		-921.71	
HENRY JACK & ASSOC INC	FIFO	11,000	Jun 07, 16	Jun 28, 17	1,158.38	931.67			226.71
	FIFO	31,000	Jun 07, 16	Jun 29, 17	3,217.53	2,625.63			591.90
	FIFO	33,000	Jun 07, 16	Jun 30, 17	3,434.80	2,795.02			639.78
MONOTYPE IMAGING HOLDINGS INC	FIFO	224,000	Jun 07, 16	Aug 02, 17	4,250.32	5,334.90		-1,084.58	
	FIFO	193,000	Jun 07, 16	Aug 03, 17	3,646.38	4,596.58		-950.20	
	FIFO	224,000	Jun 07, 16	Aug 04, 17	4,226.44	5,334.90		-1,108.46	
	FIFO	109,000	Jun 07, 16	Aug 07, 17	2,050.81	2,596.00		-545.19	
TRIPADVISOR INC	FIFO	244,000	Jun 07, 16	Jun 26, 17	8,988.20	16,623.04		-7,634.84	
Total					\$118,144.97	\$129,671.91		-\$13,642.83	\$2,115.89
Net long-term capital gains or losses								-\$11,526.94	
Net capital gains/losses:								-\$5,378.66	



UBS Financial Services Inc.
500 Campus Drive
Florham Park, NJ 07932-1024

EFZ6002287329 1217 X123 MT 0

1010-225

2017 Year End Summary

Account name: M. & M. BARTLETT FAMILY
FOUNDATION, INC.

Friendly account name: US Core Equity

Account number: MT 04910 A4

Your Financial Advisor:
THE RASWEILER GROUP

Phone: 973-360-4200/800-631-8179

M. & M. BARTLETT FAMILY
FOUNDATION, INC.
PO BOX 489
NEW VERNON NJ 07976-0489

Summary of gains and losses

	Amount (\$)
Short term	2,311.32
Long term	5,131.94
Total	\$7,443.26

Realized gains and losses

Estimated 2017 gains and losses for transactions with trade dates through 12/29/17 have been incorporated into this statement. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order.

Short-term capital gains and losses

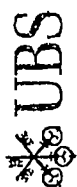
Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
ACCENTURE PLC IRELAND CLA	FIFO	13.000	Jun 07, 16	May 15, 17	1,589.74	1,552.93			36.81

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Member SIPC

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Portfolio Management Program

Account name: M. & M. BARTLETT FAMILY
 Friendly account name: US Core Equity
 Account number: MT 04910 A4

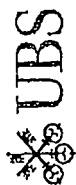
1010-226
 Your Financial Advisor:
 THE RASWELLER GROUP
 973-360-4200/800-631-8779

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
ADIENT PLC	FIFO	4,000	Oct 31, 16	May 15, 17	290.91	186.34			104.57
ALPHABET INC CL A	FIFO	2,000	Jun 07, 16	May 15, 17	1,919.64	1,469.02			450.62
AMERICAN TOWER CORP REIT	FIFO	14,000	Mar 15, 17	May 15, 17	1,791.26	1,606.70			184.56
AMERIPRISE FINANCIAL INC	FIFO	15,000	Jun 07, 16	May 15, 17	1,927.01	1,529.23			397.78
AMERSOURCEBERGEN CORP	FIFO	16,000	Jan 24, 17	May 15, 17	1,437.89	1,354.56			83.33
BECTON DICKINSON & CO	FIFO	168,000	Jun 07, 16	Mar 15, 17	30,806.85	28,389.35			2,417.50
BLACKROCK INC	FIFO	4,000	Dec 14, 16	May 15, 17	1,562.65	1,572.20		-9.55	
BRITISH AMER TOBACCO PLC GB SPON ADR	FIFO	293,000	Jun 07, 16	Jan 20, 17	34,349.84	36,483.54		-2,133.70	
CHUBB LTD CHF	FIFO	16,000	Jun 07, 16	May 15, 17	2,198.83	2,026.39			172.44
COCA COLA CO COM	FIFO	46,000	Jun 07, 16	May 15, 17	2,010.16	2,087.82		-77.66	
COLGATE PALMOLIVE CO	FIFO	46,000	Jun 07, 16	May 15, 17	3,298.82	3,306.40		-7.58	
COMCAST CORP NEW CL A	FIFO	46,000	Jun 07, 16	May 15, 17	1,800.40	1,462.02			338.38
CRANE CO	FIFO	31,000	Jun 07, 16	May 15, 17	2,435.34	1,848.96			586.38
CVS HEALTH CORP	FIFO	17,000	Jun 07, 16	May 15, 17	1,370.09	1,649.46		-279.37	
DANAHER CORP	FIFO	19,000	Jun 07, 16	May 15, 17	1,582.48	1,428.82			153.66
DIAGEO PLC NEW GB SPON ADR	FIFO	16,000	Jun 07, 16	May 15, 17	1,905.42	1,762.26			143.16
ENERGY SELECT SECTOR SPDR FUND ETF	FIFO	538,000	Oct 04, 16	Jan 24, 17	40,241.52	37,824.74			2,416.78
FACEBOOK INC CL A	FIFO	12,000	Jun 07, 16	May 15, 17	1,799.49	1,413.92			385.57
HOME DEPOT INC	FIFO	26,000	Jun 07, 16	May 15, 17	4,081.26	3,380.65			700.61
INTERCONTINENTAL EXCHANGE GROUP	FIFO	28,000	Jun 07, 16	May 15, 17	1,661.75	1,485.37			176.39
INVESCO LTD	FIFO	198,000	Jun 07, 16	May 15, 17	3,490.88	3,269.06			221.82
JOHNSON CTLS INTL PLC	FIFO	42,000	Sep 06, 16	May 15, 17	1,786.01	2,009.41		-223.40	
	FIFO	675,000	Sep 06, 16	Jun 13, 17	28,229.23	32,293.98		-4,064.75	
	FIFO	101,000	Oct 04, 16	Jun 13, 17	4,223.93	4,662.81		-438.88	
LOCKHEED MARTIN CORP	FIFO	8,000	Jun 07, 16	May 15, 17	2,175.63	1,923.53			252.10
LOWES COMPANIES INC	FIFO	21,000	Jun 07, 16	May 15, 17	1,790.02	1,668.03			121.99
MARSH & MCLENNAN COS INC	FIFO	29,000	Jun 07, 16	May 15, 17	2,147.55	1,928.99			218.56

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Portfolio Management Program

Account name: M. & M. BARTLETT FAMILY
 Friendly account name: US Core Equity
 Account number: MT 04910 A4

Your Financial Advisor
 THE RASWEILER GROUP
 973-360-4200/800-631-8179

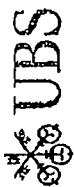
1010-227

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
MCKESSON CORP	FIFO	163,000	Jun 07, 16	Jan 24, 17	24,172.50	30,469.44		-6,296.94	
	FIFO	16,000	Oct 04, 16	Jan 24, 17	2,372.76	2,650.54		-277.78	
MEDTRONIC PLC	FIFO	39,000	Jun 07, 16	May 15, 17	3,276.71	3,267.42			9.29
MYLAN NV EUR	FIFO	40,000	Jun 07, 16	May 15, 17	1,547.77	1,855.17		-307.40	
NEXTERA ENERGY INC COM	FIFO	16,000	Jun 07, 16	May 15, 17	2,181.95	1,955.10			226.85
NORDSTROM INC	FIFO	38,000	Jun 07, 16	May 15, 17	1,591.79	1,514.37			77.42
NOVARTIS AG SPON ADR	FIFO	24,000	Jun 07, 16	May 15, 17	1,932.20	1,966.20		-34.00	
O REILLY AUTOMOTIVE INC	FIFO	5,000	Jun 07, 16	May 15, 17	1,293.58	1,298.01		-4.43	
PARCEL INTL CORP **MERGER: 10/2017**	FIFO	429,000	Jun 07, 16	Mar 15, 17	27,258.76	26,755.87			502.89
PEPSICO INC	FIFO	18,000	Jun 07, 16	May 15, 17	2,049.08	1,852.35			196.73
PRAXAIR INC	FIFO	16,000	Oct 04, 16	May 15, 17	2,080.51	1,938.96			141.55
RED HAT INC	FIFO	19,000	Jun 07, 16	May 15, 17	1,700.84	1,490.24			210.60
ROCKWELL AUTOMATION INC NEW	FIFO	28,000	Jun 07, 16	May 15, 17	4,438.46	3,345.12			1,093.34
ROCKWELL COLLINS INC	FIFO	18,000	Jun 07, 16	May 15, 17	1,867.10	1,598.94			268.16
STARBUCKS CORP	FIFO	28,000	Jun 07, 16	May 15, 17	1,692.00	1,559.55			132.45
SUNCOR ENERGY INC NEW CAD	FIFO	63,000	Jan 24, 17	May 15, 17	2,024.16	2,038.12		-13.96	
TEXAS INSTRUMENTS	FIFO	28,000	Jun 07, 16	May 15, 17	2,284.19	1,725.56			558.63
THERMO FISHER SCIENTIFIC INC	FIFO	10,000	Jun 07, 16	May 15, 17	1,721.87	1,526.35			195.52
TIME WARNER INC NEW	FIFO	86,000	Jun 07, 16	May 15, 17	8,463.94	6,514.49			1,949.45
TJX COS INC NEW	FIFO	20,000	Jun 07, 16	May 15, 17	1,543.99	1,522.94			21.05
UNION PACIFIC CORP	FIFO	20,000	Jun 07, 16	May 15, 17	2,229.75	1,752.34			477.41
UNITD TECHNOLOGIES CORP	FIFO	33,000	Jun 07, 16	May 15, 17	3,999.51	3,366.96			632.55
VF CORP	FIFO	34,000	Jun 07, 16	May 15, 17	1,833.61	2,120.41		-286.80	
VISA INC CL A	FIFO	23,000	Oct 04, 16	May 15, 17	2,144.95	1,906.13			238.82

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Portfolio Management Program

Account name: M. & M. BARTLETT FAMILY
 Friendly account name: US Core Equity
 Account number: MT 04910 A4

1010-228
 Your Financial Advisor
 THE RASWELER GROUP
 973-360-4200/800-631-8179

Realized gains and losses (continued)

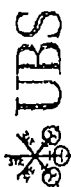
Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
WALT DISNEY CO (HOLDING CO) DISNEY COM	FIFO	16,000	Oct 04, 16	May 15, 17	1,754.20	1,482.40			271.80
Total					\$291,360.79	\$289,049.47		-\$14,456.20	\$16,767.52
Net short-term capital gains and losses									\$2,311.32

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
ADOBE SYSTEMS INC (DELAWARE)	FIFO	14,000	Dec 14, 15	May 15, 17	1,923.84	1,286.53			637.31
ECOLAB INC	FIFO	13,000	Sep 28, 15	May 15, 17	1,659.29	1,405.23			254.06
HONEYWELL INTL INC	FIFO	13,000	Jan 31, 01	May 15, 17	1,713.98	621.47			1,092.51
INTEL CORP	FIFO	51,000	Jul 07, 15	May 15, 17	1,817.60	1,520.43			297.17
MCDONALDS CORP	FIFO	17,000	Dec 14, 15	May 15, 17	2,482.55	1,980.83			501.72
MICROSOFT CORP	FIFO	34,000	Jul 07, 15	May 15, 17	2,310.62	1,502.04			808.58
O RILLY AUTOMOTIVE INC	FIFO	102,000	Jun 07, 16	Jun 21, 17	22,386.27	26,479.50		-4,093.23	
TIME WARNER INC NEW	FIFO	289,000	Aug 13, 15	May 15, 17	28,442.76	22,808.94			5,633.82
Total					\$62,736.91	\$57,604.97		-\$4,093.23	\$9,225.17
Net long-term capital gains or losses									\$5,131.94
Net capital gains/losses:									\$7,443.26

2017 Year End Summary

UBS Financial Services Inc.
500 Campus Drive
Florham Park NJ 07932-1024

EPZ6002287337 1217 X123 MT 0

Account name: M. & M. BARTLETT FAMILY
FOUNDATION, INC

Friendly account name: TRG ETF

Account number: MT 04911 A4

Your Financial Advisor:

THE RASWEILER GROUP

Phone: 973-360-4200/800-631-8179

M. & M. BARTLETT FAMILY
FOUNDATION, INC
PO BOX 489
NEW VERNON NJ 07976-0489

Summary of gains and losses

	Amount (\$)
Short term	560.39

Realized gains and losses

Estimated 2017 gains and losses for transactions with trade dates through 12/29/17 have been incorporated into this statement. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order.

Short-term capital gains and losses

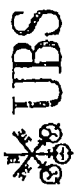
Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
ISHARES CORE HIGH DIVID ETF	FIFO	38,000	Jun 08, 16	Jan 20, 17	3,122.39	3,087.12			35.27
ISHARES EDGE MSCI MIN VOL USA ETF	FIFO	69,000	Jun 08, 16	Jan 20, 17	3,142.20	3,099.34			42.86

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Member SIPC

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Portfolio Management Program

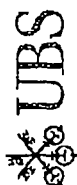
Account name: M & M. BARTLETT FAMILY
 Friendly account name: TRG ETF
 Account number: MT 04911 A4

1010-395
 Your Financial Advisor
 THE RASWEILER GROUP
 973-360-4200/800-631-8179

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
10 SHARES EDGE MSCI USA MOMENTUM FACTOR ETF	FIFO	41.000	Jun 08, 16	Jan 20, 17	3,178.55	3,084.82			93.73
10 SHARES EDGE MSCI USA QUALITY FACTOR ETF	FIFO	45.000	Jun 08, 16	Jan 20, 17	3,193.30	3,063.95			129.35
10 SHARES RUSSELL 1000 VALUE ETF	FIFO	30.000	Jun 07, 16	Jan 20, 17	3,369.23	3,110.05			259.18
Total					\$16,005.67	\$15,445.28			\$560.39
Net short-term capital gains and losses									\$560.39
Net capital gains/losses:									\$560.39



Managed Accounts Consulting

Account name: M. & M. BARTLETT FAMILY

Friendly account name: Dolan Credit

Account number: MT 04912 Y6

1010-484

Your Financial Advisor:

RASWEILER GROUP/EPST

973-360-4200/800-631-8179

Realized gains and losses

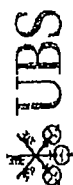
Estimated 2017 gains and losses for transactions with trade dates through 12/29/17 have been incorporated into this statement. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the "first-in, first-out" or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a "specific purchases or VSP" order.

See important information about your statement on the last page of this statement for more information. We may not adjust gains and losses for all capital changes. Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium. Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided.

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
BLOCK FINANCIAL NTS B/E 04.125% 100120 DTD093015 FC040116 CALL@MMW+45BP Original cost basis: \$5,159.38 Original cost basis: \$10,318.75	FIFO FIFO	5,000.000 10,000.000	Jun 27, 16 Jun 27, 16	Feb 03, 17 Feb 22, 17	5,098.25 10,150.20	5,138.20 10,272.65		-39.95 -122.46	
FIDELITY NATL INFRM B/E 03.875% 080524 DTD060314 FC120514 CALL@MMW+25BP	FIFO FIFO	10,000.000 5,000.000	Aug 12, 16 Aug 12, 16	Jul 25, 17 Aug 01, 17	10,579.70 5,278.05	10,647.16 5,322.87		-67.46 -44.82	
LIFEPOINT HOSP INC NTS 05.875% 120123 DTD120415 FC060116 CALL@MMW+50BP	FIFO FIFO	4,000.000 11,000.000	Sep 28, 16 Oct 05, 16	Apr 28, 17 Apr 28, 17	4,130.00 11,357.50	4,140.00 11,385.00		-10.00 -27.50	
R R DONNELLEY & SONS CO 07.875% 031521 DTD031413 FC091513 CALL@MMW+50BP Original cost basis: \$14,532.00	FIFO	14,000.000	Jun 07, 16	May 17, 17	15,085.00	14,439.61			645.39
RPM INTL INC B/E 03.450% 111522 DTD102312 FC051513 CALL@MMW+25BP Original cost basis: \$14,253.75	FIFO	14,000.000	Apr 11, 17	Aug 01, 17	14,491.40	14,240.92			250.48
SALLY HDGS LLC NTS B/E 05.750% 080122 DTD051812 CALL@MMW+50BP	FIFO	2,000.000	Mar 24, 17	Jul 06, 17	2,057.50	2,054.05			3.45
STEELE DYNAMICS INC NTS 06.375% 081522 DTD021513 FC081513 CALL@MMW+50BP Original cost basis: \$14,723.75	FIFO	14,000.000	Dec 07, 16	Sep 07, 17	14,502.60	14,645.28		-142.68	

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Managed Accounts Consulting

Account name: M. & M. BARTLETT FAMILY
Friendly account name: Dolan Credit
Account number: MT 04912 Y6

Your Financial Advisor
RASWEILER GROUP/EPSTEIN
973-360-4200/800-631-8179

1010-485

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
URS CORP NTS B/E 05.000% 040122 DTD1001173 STEPUP CALL CALL@MW+50BP	FIFO	13,000.000	Jun 07, 16	Mar 16, 17	13,000.00	12,379.25			620.75
	FIFO	2,000.000	Aug 04, 16	Mar 16, 17	2,000.00	1,975.00			25.00
Total					\$107,730.20	\$106,640.00		-\$454.87	\$1,545.07 \$1,090.20

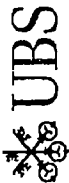
Net short-term capital gains and losses

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
CDK GLOB INC NTS B/E 03.800% 101519 DTD041515 FC101515	FIFO	9,000.000	Jun 16, 16	Dec 20, 17	9,180.00	8,966.25			213.75
CENTURYLINK INC NTS B/E 06.450% 061521 DTD061611 FC121511 CALL@MW+50BP Original cost basis: \$14,276.50	FIFO	14,000.000	Jun 07, 16	Aug 22, 17	14,630.00	14,217.16			412.84
HCA INC B/E 05.875% 031522 DTD021612 FC091512 Original cost basis: \$15,169.00	FIFO	14,000.000	Jun 10, 16	Nov 22, 17	15,120.00	14,900.49			219.51
L-3 COMMS CORP NTS B/E 04.950% 021521 DTD020711 FC081511 CALL@MW+25BP Original cost basis: \$15,455.10	FIFO	14,000.000	Jul 27, 16	Oct 30, 17	15,009.12	15,076.06		-66.94 -\$66.94	\$846.10 \$779.16 \$1,869.36
Total					\$53,939.12	\$53,159.96			

Net long-term capital gains or losses

Net capital gains/losses:



UBS Financial Services Inc
Private Wealth Management
299 Park Avenue
25th Floor
New York NY 10171-0002

CPZ6002287415 1217 X123 V8 0

1010-700

2017 Year End Summary

Account name: MARGARET AND MARSHALL BARTLETT
FAMILY FOUNDATION, INC

Friendly account name: YES

Account number: V8 05528 95

Your Financial Advisor:

MB/SR/SAVGC TEAM 844-229-0886
Phone 212-821-7000/800-308-3140

MARGARET AND MARSHALL BARTLETT
FAMILY FOUNDATION, INC.
PO BOX 489
NEW VERNON NJ 07976-0489

Summary of gains and losses

Amount (\$)
Long term
11,136.11

Realized gains and losses

Estimated 2017 gains and losses for transactions with trade dates through 12/29/17 have been incorporated into this statement. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order.

60/40 rule gains and losses

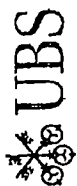
Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
CALL CBOE S&P 500 INDEX DUE 01/19/18 2680 000	FIFO	7 000	Nov 10, 17	Nov 21, 17	3,360.00	3,500.00		-84.00 LT -56.00 ST	

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Member SIPC

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Portfolio Management Program

Account name: MARGARET AND MARSHALL BARTLETT

Friendly account name: YES

Account number: V8 05528 95

1010-701
Your Financial Advisor:
MB/SR/SA/GC TEAM 844-229-0886
212-821-7000/800-308-3140

Realized gains and losses (continued)

60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
CALL CBOE S&P 500 INDEX DUE 01/19/18 2685 000	FIFO	6 000	Dec 06, 17	Dec 11, 17	8,640 00	5,100 00			2,124 00 LT 1,416 00 ST
CALL CBOE S&P 500 INDEX DUE 01/12/18 2680 000	FIFO	7 000	Nov 17, 17	Nov 27, 17	2,660 00	1,890 00			462 00 LT 308 00 ST
CALL CBOE S&P 500 INDEX DUE 01/12/18 2645 000	FIFO	-7 000	Nov 27, 17	Nov 17, 17	5,600 00	7,420 00		-1,092 00 LT -728 00 ST	
CALL CBOE S&P 500 INDEX DUE 01/12/18 2670 000	FIFO	6 000	Nov 21, 17	Dec 06, 17	6,420 00	2,940 00			2,088 00 LT 1,392 00 ST
CALL CBOE S&P 500 INDEX DUE 01/12/18 2635 000	FIFO	-6 000	Dec 06, 17	Nov 21, 17	8,220 00	15,960 00		-4,644 00 LT -3,096 00 ST	
CALL CBOE S&P 500 INDEX DUE 01/31/18 2695 000	FIFO	7 000	Nov 21, 17	Dec 14, 17	10,640 00	3,640 00			4,200 00 LT 2,800 00 ST
CALL CBOE S&P 500 INDEX DUE 01/31/18 2665 000	FIFO	-7 000	Dec 14, 17	Nov 21, 17	7,840 00	21,000 00		-7,896 00 LT -5,264 00 ST	
CALL CBOE S&P 500 INDEX DUE 01/19/18 2710 000	FIFO	-6 000	Dec 14, 17	Dec 12, 17	4,800 00	3,420 00			828 00 LT 552 00 ST
CALL CBOE S&P 500 INDEX DUE 01/12/18 2725 000	FIFO	-10 000	Dec 15, 17	Dec 13, 17	4,650 00	4,000 00			390 00 LT 260 00 ST
CALL CBOE S&P 500 INDEX DUE 01/26/18 2705 000	FIFO	6 000	Dec 11, 17	Dec 14, 17	6,780 00	5,880 00			540 00 LT 360 00 ST
CALL CBOE S&P 500 INDEX DUE 01/26/18 2670 000	FIFO	-6 000	Dec 14, 17	Dec 11, 17	14,820 00	15,960 00		-684 00 LT -456 00 ST	

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Portfolio Management Program

Account name: MARGARET AND MARSHALL BARTLETT
 Friendly account name: YES
 Account number: V8 05528 95

Your Financial Advisor:
 MB/SR/SA/GC TEAM 844-229-0886
 212-821-7000/800-308-3140

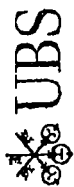
1010-702

Realized gains and losses (continued)

60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
CALL CBOE S&P 500 INDEX DUE 01/12/18 2750 000	FIFO	5 000	Dec 13, 17	Dec 15, 17	800 00	950 00		-90 00 LT -60 00 ST	
CALL CBOE S&P 500 INDEX DUE 01/12/18 2720 000	FIFO	-5 000	Dec 19, 17	Dec 15, 17	2,375 00	3,850 00		-885 00 LT -590 00 ST	
CALL CBOE S&P 500 INDEX DUE 01/05/18 2710 000	FIFO	5 000	Dec 18, 17	Dec 20, 17	2,150 00	4,600 00		-1,470 00 LT -980 00 ST	
	FIFO	5 000	Dec 22, 17	Dec 27, 17	700 00	1,650 00		-570 00 LT -380 00 ST	
CALL CBOE S&P 500 INDEX DUE 01/05/18 2690 000	FIFO	-5 000	Dec 20, 17	Dec 18, 17	9,450 00	5,650 00		2,280 00 LT 1,520 00 ST	
	FIFO	-5 000	Dec 27, 17	Dec 26, 17	4,200 00	3,825 00		225 00 LT 150 00 ST	
CALL CBOE S&P 500 INDEX DUE 01/12/18 2730 000	FIFO	-5 000	Dec 19, 17	Dec 19, 17	2,550 00	2,100 00		270 00 LT 180 00 ST	
CALL CBOE S&P 500 INDEX DUE 01/12/18 2740 000	FIFO	-5,000	Dec 26, 17	Dec 19, 17	1,350 00	450 00		540 00 LT 360 00 ST	
CALL CBOE S&P 500 INDEX DUE 01/05/18 2715 000	FIFO	5 000	Dec 20, 17	Dec 22, 17	1,125 00	1,700 00		-345 00 LT -230 00 ST	
CALL CBOE S&P 500 INDEX DUE 01/05/18 2695 000	FIFO	-5 000	Dec 26, 17	Dec 20, 17	4,600 00	3,150 00		870 00 LT 580 00 ST	

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Portfolio Management Program

Account name: MARGARET AND MARSHALL BARTLETT
 Friendly account name: YES
 Account number: V8 05528 95

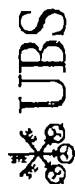
1010-703
 Your Financial Advisor:
 MB/SR/SA/GC TEAM 844-229-0886
 212-821-7000/800-308-3140

Realized gains and losses (continued)

60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
CALL CBOE S&P 500 INDEX DUE 01/19/18 2650 000	FIFO	-7 000	Nov 21, 17	Nov 10, 17	7,490 00	8,015 00		-315 00 LT -210 00 ST	
	FIFO	-6 000	Dec 11, 17	Dec 06, 17	12,840 00	20,040 00		-4,320 00 LT -2,880 00 ST	
CALL CBOE S&P 500 INDEX DUE 09/22/17 2505 000	FIFO	11 000	Aug 23, 17	Aug 28, 17	1,155 00	2,750 00		-957 00 LT -638 00 ST	
CALL CBOE S&P 500 INDEX DUE 09/22/17 2475 000	FIFO	-11 000	Aug 28, 17	Aug 23, 17	11,770 00	7,700 00			2,442 00 LT 1,628 00 ST
CALL CBOE S&P 500 INDEX DUE 09/29/17 2510 000	FIFO	11 000	Aug 28, 17	Sep 12, 17	7,425 00	1,760 00			3,399 00 LT 2,266 00 ST
CALL CBOE S&P 500 INDEX DUE 09/29/17 2480 000	FIFO	-11 000	Sep 12, 17	Aug 28, 17	8,800 00	26,180 00		-10,428 00 LT -6,952 00 ST	
CALL CBOE S&P 500 INDEX DUE 11/17/17 2550 000	FIFO	5,000	Nov 15, 17	Nov 17, 17	15,125 00	10,750 00			2,625 00 LT 1,750 00 ST
CALL CBOE S&P 500 INDEX DUE 11/24/17 2560 000	FIFO	-5 000	Oct 16, 17	Oct 10, 17	9,700 00	9,750 00		-30 00 LT -20 00 ST	
CALL CBOE S&P 500 INDEX DUE 11/17/17 2540 000	FIFO	-5,000	Oct 10, 17	Oct 04, 17	10,875 00	14,700 00		-2,295 00 LT -1,530 00 ST	
	FIFO	-5 000	Nov 17, 17	Oct 04, 17	10,875 00	20,125 00		-5,550 00 LT -3,700 00 ST	

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Portfolio Management Program

Account name: MARGARET AND MARSHALL BARTLETT
 Friendly account name: YES
 Account number: V8 05528 95

Your Financial Advisor:
 MBSR/SVA/GC TEAM 844-229-0886
 212-821-7000/800-308-3140

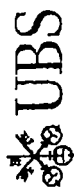
1010-704

Realized gains and losses (continued)

60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
CALL CBOE S&P 500 INDEX DUE 10/06/17 2530 000	FIFO	10 000	Aug 22, 17	Aug 29, 17	1,000 00	2,350 00		-810 00 LT	
	FIFO	-5 000	Sep 26, 17	Sep 20, 17	1,625 00	625 00		-540 00 ST	600 00 LT
	FIFO	-5 000	Oct 02, 17	Sep 20, 17	1,625 00	1,750 00		-75 00 LT	400 00 ST
								-50 00 ST	
CALL CBOE S&P 500 INDEX DUE 10/06/17 2495 000	FIFO	-10 000	Aug 29, 17	Aug 22, 17	9,500 00	5,850 00			2,190 00 LT
	FIFO	10 000	Aug 29, 17	Sep 05, 17	4,300 00	1,750 00			1,460 00 ST
CALL CBOE S&P 500 INDEX DUE 10/06/17 2485 000	FIFO	-10 000	Sep 05, 17	Aug 29, 17	8,900 00	17,000 00		-4,860 00 LT	
	FIFO	-11 000	Sep 18, 17	Sep 12, 17	25,300 00	33,660 00		-3,240 00 ST	
								-5,016 00 LT	
								-3,344 00 ST	
CALL CBOE S&P 500 INDEX DUE 10/13/17 2530 000	FIFO	10 000	Sep 05, 17	Sep 11, 17	4,296 23	4,100 00			117 74 LT
	FIFO	-10 000	Sep 11, 17	Sep 05, 17	15,400 00	18,015 08		-1,569 05 LT	78 49 ST
								-1,046 03 ST	
CALL CBOE S&P 500 INDEX DUE 10/20/17 2535 000	FIFO	10 000	Sep 11, 17	Sep 14, 17	6,200 00	5,550 00			390 00 LT
	FIFO	11 000	Sep 18, 17	Sep 21, 17	5,500 00	8,140 00		-1,584 00 LT	260 00 ST
								-1,056 00 ST	

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Portfolio Management Program

Account name: MARGARET AND MARSHALL BARTLETT

Friendly account name: YES

Account number: V8 05528 95

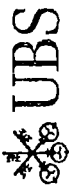
1010-705
Your Financial Advisor:
MB/SR/SA/GC TEAM 844-229-0886
212-821-7000/800-308-3140

Realized gains and losses (continued)

60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
CALL CBOE S&P 500 INDEX DUE 10/20/17 2500 000	FIFO	-10 000	Sep 14, 17	Sep 11, 17	19,200 00	21,000 00		-1,080 00 LT -720 00 ST	
	FIFO	-11 000	Sep 21, 17	Sep 18, 17	27,830 00	22,990 00			2,904 00 LT 1,936 00 ST
CALL CBOE S&P 500 INDEX DUE 10/05/17 2515 000	FIFO	11 000	Sep 12, 17	Sep 18, 17	11,220 00	7,590 00			2,178 00 LT 1,452 00 ST
	FIFO	5 000	Sep 20, 17	Oct 02, 17	6,290 08	4,000 00			1,374 05 LT 916 03 ST
CALL CBOE S&P 500 INDEX DUE 10/27/17 2545 000	FIFO	10 000	Sep 14, 17	Sep 19, 17	5,800 00	5,600 00			120 00 LT 80 00 ST
CALL CBOE S&P 500 INDEX DUE 10/27/17 2510 000	FIFO	-10 000	Sep 19, 17	Sep 14, 17	19,000 00	21,100 00		-1,260 00 LT -840 00 ST	
CALL CBOE S&P 500 INDEX DUE 11/03/17 2555 000	FIFO	10 000	Sep 19, 17	Sep 25, 17	2,850 00	5,500 00		-1,590 00 LT -1,060 00 ST	
	FIFO	11 000	Sep 27, 17	Oct 02, 17	7,700 00	3,740 00			2,376 00 LT 1,584 00 ST
	FIFO	5 000	Oct 03, 17	Nov 02, 17	11,500 00	4,725 00			4,065 00 LT 2,710 00 ST
CALL CBOE S&P 500 INDEX DUE 11/03/17 2520 000	FIFO	-10 000	Sep 25, 17	Sep 19, 17	18,800 00	13,000 00			3,480 00 LT 2,320 00 ST
CALL CBOE S&P 500 INDEX DUE 11/30/17 2620 000	FIFO	5 000	Nov 07, 17	Nov 17, 17	450 00	2,100 00		-990 00 LT -660 00 ST	

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Portfolio Management Program

Account name: MARGARET AND MARSHALL BARTLETT
 Friendly account name: YES
 Account number: V8 05528 95

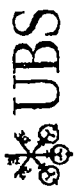
Your Financial Advisor:
 MB/SR/SA/GC TEAM 844-229-0886
 212-821-7000/800-308-3140

1010-706

Realized gains and losses (continued)
 60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
CALL CBOE S&P 500 INDEX DUE 12/29/17 2640 000	FIFO	6 000	Nov 07, 17	Nov 21, 17	4,200 00	6,000 00		-1,080 00 LT -720 00 ST	
	FIFO	5 000	Nov 27, 17	Dec 01, 17	16,500 00	3,700 00			7,680 00 LT 5,120 00 ST
	FIFO	-5 000	Dec 04, 17	Dec 01, 17	16,500 00	16,957 34		-274 40 LT -182 94 ST	
CALL CBOE S&P 500 INDEX DUE 10/06/17 2545 000	FIFO	5 000	Sep 20, 17	Sep 26, 17	200 00	725 00		-315 00 LT -210 00 ST	
CALL CBOE S&P 500 INDEX DUE 10/31/17 2545 000	FIFO	11 000	Sep 21, 17	Sep 27, 17	4,565 00	5,720 00		-693 00 LT -462 00 ST	
CALL CBOE S&P 500 INDEX DUE 10/31/17 2510 000	FIFO	-11 000	Sep 27, 17	Sep 21, 17	21,340 00	19,140 00			1,320 00 LT 880 00 ST
CALL CBOE S&P 500 INDEX DUE 11/10/17 2560 000	FIFO	10 000	Sep 25, 17	Oct 04, 17	9,800 00	3,350 00			3,870 00 LT 2,580 00 ST
CALL CBOE S&P 500 INDEX DUE 11/10/17 2525 000	FIFO	-10 000	Oct 04, 17	Sep 25, 17	13,100 00	28,400 00		-9,180 00 LT -6,120 00 ST	
CALL CBOE S&P 500 INDEX DUE 11/30/17 2595 000	FIFO	-5 000	Nov 17, 17	Nov 09, 17	5,320 00	2,750 00			1,542 00 LT 1,028 00 ST
CALL CBOE S&P 500 INDEX DUE 11/03/17 2595 000	FIFO	5 000	Oct 12, 17	Oct 23, 17	1,600 00	1,150 00			270 00 LT 180 00 ST
CALL CBOE S&P 500 INDEX DUE 11/03/17 2515 000	FIFO	-11 000	Oct 02, 17	Sep 27, 17	18,150 00	29,865 00		-7,029 00 LT -4,686 00 ST	

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Portfolio Management Program

Account name: MARGARET AND MARSHALL BARTLETT

Friendly account name: YES

Account number: V8 05528 95

1010-707

Your Financial Advisor:

MB/SR/SA/GC TEAM 844-229-0886

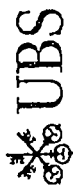
212-821-7000/800-308-3140

Realized gains and losses (continued)

60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
CALL CBOE S&P 500 INDEX DUE 11/10/17 2565 000	FIFO	11 000	Oct 02, 17	Oct 05, 17	12,870 00	6,930 00			3,564 00 LT 2,376 00 ST
CALL CBOE S&P 500 INDEX DUE 11/10/17 2530 000	FIFO	-11 000	Oct 05, 17	Oct 02, 17	21,890 00	35,750 00		-8,316 00 LT -5,544 00 ST	
CALL CBOE S&P 500 INDEX DUE 12/15/17 2640 000	FIFO	5 000	Nov 06, 17	Nov 13, 17	1,850 00	2,850 00		-600 00 LT -400 00 ST	
CALL CBOE S&P 500 INDEX DUE 10/20/17 2565 000	FIFO	-10 000	Oct 10, 17	Oct 03, 17	2,100 00	3,900 00		-1,080 00 LT -720 00 ST	
CALL CBOE S&P 500 INDEX DUE 10/20/17 2580 000	FIFO	5 000	Oct 03, 17	Oct 10, 17	725 00	500 00			135 00 LT 90 00 ST
CALL CBOE S&P 500 INDEX DUE 11/03/17 2570 000	FIFO	-5 000	Oct 12, 17	Oct 03, 17	2,500 00	4,275 00		-1,065 00 LT -710 00 ST	
	FIFO	-5 000	Oct 20, 17	Oct 03, 17	2,500 00	6,500 00		-2,400 00 LT -1,600 00 ST	
CALL CBOE S&P 500 INDEX DUE 11/03/17 2585 000	FIFO	5 000	Oct 03, 17	Oct 12, 17	2,000 00	1,350 00			390 00 LT 260 00 ST
CALL CBOE S&P 500 INDEX DUE 11/17/17 2580 000	FIFO	5 000	Oct 04, 17	Oct 10, 17	4,625 00	3,200 00			855 00 LT 570 00 ST
	FIFO	5 000	Oct 04, 17	Oct 20, 17	6,000 00	3,200 00			1,680 00 LT 1,120 00 ST

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Portfolio Management Program

Account name: MARGARET AND MARSHALL BARTLETT

Friendly account name: YES

Account number: V8 05528 95

Your Financial Advisor:
MBSR/SA/GC TEAM 844-229-0886
212-821-7000/800-308-3140

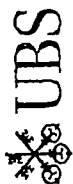
1010-708

Realized gains and losses (continued)

60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
CALL CBOE S&P 500 INDEX DUE 12/22/17 2665 000	FIFO	5 000	Dec 06, 17	Dec 08, 17	4,400 00	2,450 00			1,170 00 LT 780 00 ST
	FIFO	-5 000	Dec 12, 17	Dec 08, 17	4,400 00	8,100 00		-2,220 00 LT -1,480 00 ST	
CALL CBOE S&P 500 INDEX DUE 11/24/17 2585 000	FIFO	11 000	Oct 05, 17	Oct 06, 17	10,230 00	10,010 00			132 00 LT 88 00 ST
	FIFO	5 000	Oct 18, 17	Oct 30, 17	7,000 00	4,950 00			1,230 00 LT 820 00 ST
CALL CBOE S&P 500 INDEX DUE 11/24/17 2545 000	FIFO	-11 000	Oct 06, 17	Oct 05, 17	30,580 00	30,525 00			33 00 LT 22 00 ST
CALL CBOE S&P 500 INDEX DUE 11/24/17 2600 000	FIFO	5 000	Oct 10, 17	Oct 16, 17	2,250 00	2,750 00		-300 00 LT -200 00 ST	
	FIFO	-5 000	Oct 30, 17	Oct 18, 17	2,300 00	3,700 00		-840 00 LT -560 00 ST	
CALL CBOE S&P 500 INDEX DUE 12/29/17 2610 000	FIFO	-6 000	Nov 21, 17	Nov 07, 17	12,240 00	11,160 00			648 00 LT 432 00 ST
CALL CBOE S&P 500 INDEX DUE 12/29/17 2660 000	FIFO	5 000	Dec 01, 17	Dec 04, 17	10,500 00	10,850 00		-210 00 LT -140 00 ST	
CALL CBOE S&P 500 INDEX DUE 10/27/17 2565 000	FIFO	5 000	Oct 06, 17	Oct 18, 17	4,400 00	4,050 00			210 00 LT 140 00 ST
CALL CBOE S&P 500 INDEX DUE 10/27/17 2580 000	FIFO	-10 000	Oct 18, 17	Oct 06, 17	3,950 00	2,850 00			660 00 LT 440 00 ST

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Portfolio Management Program

Account name: MARGARET AND MARSHALL BARTLETT
 Friendly account name: YES
 Account number: VB 05528 95

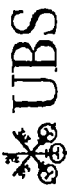
1010-709
 Your Financial Advisor:
 MBRSR5A/GC TEAM 844-229-0886
 212-821-7000/800-308-3140

Realized gains and losses (continued)

60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
CALL CBOE S&P 500 INDEX DUE 10/27/17 2595 000	FIFO	5 000	Oct 06, 17	Oct 18, 17	400 00	1,025 00		-375 00 LT -250 00 ST	
CALL CBOE S&P 500 INDEX DUE 11/03/17 2580 000	FIFO	-5 000	Oct 23, 17	Oct 12, 17	2,550 00	4,100 00		-930 00 LT -620 00 ST	
CALL CBOE S&P 500 INDEX DUE 11/17/17 2560 000	FIFO	5 000	Nov 02, 17	Nov 15, 17	6,750 00	12,850 00		-3,660 00 LT -2,440 00 ST	300 00 LT 200 00 ST
CALL CBOE S&P 500 INDEX DUE 11/24/17 2595 000	FIFO	6 000	Oct 06, 17	Oct 17, 17	3,390 00	3,990 00		-360 00 LT -240 00 ST	
CALL CBOE S&P 500 INDEX DUE 11/24/17 2555 000	FIFO	5 000	Oct 06, 17	Oct 18, 17	3,150 00	3,325 00		-105 00 LT -70 00 ST	2,010 00 LT 1,340 00 ST
CALL CBOE S&P 500 INDEX DUE 12/01/17 2605 000	FIFO	5 000	Oct 16, 17	Nov 06, 17	4,550 00	2,500 00		-540 00 LT -360 00 ST	1,230 00 LT 820 00 ST
CALL CBOE S&P 500 INDEX DUE 12/01/17 2565 000	FIFO	-5 000	Nov 06, 17	Oct 16, 17	9,550 00	16,500 00		-4,170 00 LT -2,780 00 ST	
CALL CBOE S&P 500 INDEX DUE 12/08/17 2610 000	FIFO	6 000	Oct 17, 17	Oct 23, 17	5,760 00	3,630 00			1,278 00 LT 852 00 ST

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Portfolio Management Program

Account name: MARGARET AND MARSHALL BARTLETT
 Friendly account name: YES
 Account number: V8 05528 95

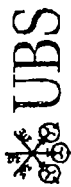
Your Financial Advisor:
 MB/SR/SA/GC TEAM 844-229-0886
 212-821-7000/800-308-3140

1010-710

Realized gains and losses (continued)
 60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
CALL CBOE S&P 500 INDEX DUE 12/08/17 2570 000	FIFO	-6 000	Oct 23, 17	Oct 17, 17	12,120.00	17,400.00		-3,168.00 LT -2,112.00 ST	
CALL CBOE S&P 500 INDEX DUE 11/10/17 2575 000	FIFO	6 000	Oct 17, 17	Nov 03, 17	9,000.00	4,380.00			2,772.00 LT 1,848.00 ST
CALL CBOE S&P 500 INDEX DUE 11/10/17 2585 000	FIFO	-12 000	Nov 03, 17	Oct 17, 17	5,040.00	9,960.00		-2,952.00 LT -1,968.00 ST	
CALL CBOE S&P 500 INDEX DUE 11/10/17 2595 000	FIFO	6 000	Oct 17, 17	Nov 03, 17	2,220.00	1,440.00			468.00 LT 312.00 ST
CALL CBOE S&P 500 INDEX DUE 11/24/17 2610 000	FIFO	5 000	Oct 18, 17	Nov 17, 17	250.00	1,500.00		-750.00 LT -500.00 ST	
CALL CBOE S&P 500 INDEX DUE 12/08/17 2600 000	FIFO	-5 000	Nov 27, 17	Nov 17, 17	4,150.00	6,500.00		-1,410.00 LT -940.00 ST	
CALL CBOE S&P 500 INDEX DUE 11/17/17 2590 000	FIFO	5 000	Oct 20, 17	Oct 27, 17	4,500.00	3,900.00			360.00 LT 240.00 ST
CALL CBOE S&P 500 INDEX DUE 12/01/17 2610 000	FIFO	5 000	Oct 20, 17	Dec 01, 17	16,110.00	3,400.00			7,626.00 LT 5,084.00 ST
CALL CBOE S&P 500 INDEX DUE 12/01/17 2620 000	FIFO	-5 000	Oct 30, 17	Oct 20, 17	2,300.00	1,850.00			270.00 LT 180.00 ST
	FIFO	-5 000	Dec 01, 17	Nov 13, 17	1,300.00	11,110.00		-5,886.00 LT -3,924.00 ST	

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Portfolio Management Program

Account name: MARGARET AND MARSHALL BARTLETT

Friendly account name: YES

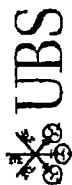
Account number: V8 05528 95

1010-711
Your Financial Advisor:
MB/SR/SA/GC TEAM 844-229-0886
212-821-7000/800-308-3140

Realized gains and losses (continued)
60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
CALL CBOE S&P 500 INDEX DUE 12/15/17 2625 000	FIFO	6 000	Oct 23, 17	Nov 07, 17	5,640 00	4,680 00			576 00 LT 384 00 ST
	FIFO	-5 000	Dec 15, 17	Dec 06, 17	8,500 00	22,010 00		-8,106 00 LT -5,404 00 ST	
CALL CBOE S&P 500 INDEX DUE 12/15/17 2590 000	FIFO	-6 000	Nov 07, 17	Oct 23, 17	12,240 00	14,280 00		-1,224 00 LT -816 00 ST	
CALL CBOE S&P 500 INDEX DUE 11/30/17 2615 000	FIFO	5 000	Oct 23, 17	Oct 27, 17	3,150 00	2,600 00			330 00 LT 220 00 ST
	FIFO	-5 000	Nov 15, 17	Oct 27, 17	3,150 00	650 00			1,500 00 LT 1,000 00 ST
CALL CBOE S&P 500 INDEX DUE 11/30/17 2600 000	FIFO	-5 000	Oct 27, 17	Oct 23, 17	4,550 00	5,700 00		-690 00 LT -460 00 ST	
	FIFO	5 000	Nov 07, 17	Nov 09, 17	4,195 00	5,400 00		-723 00 LT -482 00 ST	
CALL CBOE S&P 500 INDEX DUE 11/17/17 2585 000	FIFO	5 000	Oct 27, 17	Nov 02, 17	5,000 00	5,625 00		-375 00 LT -250 00 ST	
CALL CBOE S&P 500 INDEX DUE 11/03/17 2575 000	FIFO	-5 000	Nov 02, 17	Oct 27, 17	4,500 00	3,050 00			870 00 LT 580 00 ST
CALL CBOE S&P 500 INDEX DUE 11/30/17 2630 000	FIFO	5 000	Oct 27, 17	Nov 03, 17	1,125 00	1,700 00		-345 00 LT -230 00 ST	
CALL CBOE S&P 500 INDEX DUE 11/24/17 2580 000	FIFO	5 000	Oct 30, 17	Nov 01, 17	8,650 00	8,500 00			90 00 LT 60 00 ST

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Portfolio Management Program

Account name: MARGARET AND MARSHALL BARTLETT
 Friendly account name: YES
 Account number: V8 05528 95

Your Financial Advisor:
 MBSR5A/GC TEAM 844-229-0886
 212-821-7000/800-308-3140

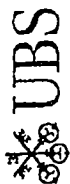
1010-712

Realized gains and losses (continued)

60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
CALL CBOE S&P 500 INDEX DUE 12/01/17 2630 000	FIFO	-5 000	Nov 13, 17	Oct 30, 17	1,175 00	775 00			240 00 LT 160 00 ST
CALL CBOE S&P 500 INDEX DUE 11/24/17 2590 000	FIFO	5 000	Nov 01, 17	Nov 03, 17	6,250 00	5,950 00			180 00 LT 120 00 ST
CALL CBOE S&P 500 INDEX DUE 11/24/17 2565 000	FIFO	-5 000	Nov 03, 17	Nov 01, 17	13,700 00	14,500 00		-480 00 LT -320 00 ST	
CALL CBOE S&P 500 INDEX DUE 11/30/17 2625 000	FIFO	5 000	Nov 03, 17	Nov 15, 17	325 00	1,450 00		-675 00 LT -450 00 ST	
CALL CBOE S&P 500 INDEX DUE 11/30/17 2610 000	FIFO	5 000	Nov 03, 17	Nov 07, 17	3,450 00	3,250 00			120 00 LT 80 00 ST
CALL CBOE S&P 500 INDEX DUE 11/30/17 2585 000	FIFO	-5 000	Nov 09, 17	Nov 07, 17	3,450 00	2,455 00		-90 00 LT -60 00 ST	597 00 LT 398 00 ST
CALL CBOE S&P 500 INDEX DUE 12/15/17 2595 000	FIFO	-5 000	Nov 09, 17	Nov 03, 17	8,800 00	8,035 00			459 00 LT 306 00 ST
CALL CBOE S&P 500 INDEX DUE 12/22/17 2655 000	FIFO	5 000	Nov 13, 17	Nov 20, 17	650 00	1,700 00		-630 00 LT -420 00 ST	
	FIFO	-5 000	Dec 08, 17	Dec 04, 17	8,000 00	6,600 00			840 00 LT 560 00 ST

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Portfolio Management Program

1010-713
Your Financial Advisor:
MBSR/SJA/GC TEAM 844-229-0886
212-821-7000/800-308-3140

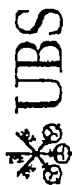
Account name: MARGARET AND MARSHALL BARTLETT
Friendly account name: YES
Account number: V8 05528 95

Realized gains and losses (continued)

60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
CALL CBOE S&P 500 INDEX DUE 12/22/17 2610 000	FIFO	-5 000	Nov 20, 17	Nov 13, 17	7,675 00	4,650 00			1,815 00 LT 1,210 00 ST
CALL CBOE S&P 500 INDEX DUE 11/30/17 2570 000	FIFO	-5 000	Nov 20, 17	Nov 15, 17	8,400 00	9,250 00		-510 00 LT -340 00 ST	
CALL CBOE S&P 500 INDEX DUE 12/08/17 2615 000	FIFO	5 000	Nov 17, 17	Nov 27, 17	2,925 00	1,950 00			585 00 LT 390 00 ST
CALL CBOE S&P 500 INDEX DUE 12/29/17 2655 000	FIFO	5 000	Nov 20, 17	Nov 28, 17	3,150 00	1,100 00			1,230 00 LT 820 00 ST
CALL CBOE S&P 500 INDEX DUE 12/29/17 2655 000	FIFO	-5 000	Dec 08, 17	Dec 04, 17	12,000 00	8,150 00			2,310 00 LT 1,540 00 ST
CALL CBOE S&P 500 INDEX DUE 12/29/17 2615 000	FIFO	-5 000	Nov 28, 17	Nov 20, 17	5,100 00	10,500 00		-3,240 00 LT -2,160 00 ST	
CALL CBOE S&P 500 INDEX DUE 12/15/17 2630 000	FIFO	5 000	Nov 27, 17	Dec 15, 17	19,510 00	2,650 00			10,116 00 LT 6,744 00 ST
CALL CBOE S&P 500 INDEX DUE 12/15/17 2600 000	FIFO	-5 000	Nov 27, 17	Nov 21, 17	8,600 00	8,450 00			90 00 LT 60 00 ST
CALL CBOE S&P 500 INDEX DUE 10/20/17 2550 000	FIFO	5 000	Oct 03, 17	Oct 10, 17	5,050 00	2,550 00			1,500 00 LT 1,000 00 ST
CALL CBOE S&P 500 INDEX DUE 12/08/17 2605 000	FIFO	5 000	Nov 20, 17	Nov 21, 17	5,200 00	2,350 00			1,710 00 LT 1,140 00 ST
CALL CBOE S&P 500 INDEX DUE 12/08/17 2585 000	FIFO	-5 000	Nov 21, 17	Nov 20, 17	6,750 00	11,750 00		-3,000 00 LT -2,000 00 ST	

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Portfolio Management Program

Account name: MARGARET AND MARSHALL BARTLETT

Friendly account name: YES

Account number: V8 05528 95

Your Financial Advisor:
MB/SR/SA/GC TEAM 844-229-0886
212-821-7000/800-308-3140

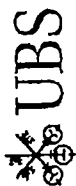
1010-714

Realized gains and losses (continued)

60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
CALL CBOE S&P 500 INDEX DUE 12/15/17 2620 000	FIFO	5 000	Nov 21, 17	Nov 27, 17	4,000 00	3,850 00			90 00 LT 60 00 ST
CALL CBOE S&P 500 INDEX DUE 12/29/17 2620 000	FIFO	-5 000	Dec 01, 17	Nov 27, 17	6,900 00	23,500 00		-9,950 00 LT -6,640 00 ST	
CALL CBOE S&P 500 INDEX DUE 12/15/17 2615 000	FIFO	-5 000	Dec 06, 17	Nov 27, 17	4,850 00	12,000 00		-4,290 00 LT -2,860 00 ST	
CALL CBOE S&P 500 INDEX DUE 12/22/17 2670 000	FIFO	5 000	Dec 04, 17	Dec 06, 17	1,900 00	4,800 00		-1,740 00 LT -1,160 00 ST	
CALL CBOE S&P 500 INDEX DUE 12/22/17 2630 000	FIFO	5 000	Nov 29, 17	Dec 06, 17	9,400 00	10,350 00		-570 00 LT -380 00 ST	
CALL CBOE S&P 500 INDEX DUE 12/22/17 2645 000	FIFO	-5 000	Dec 04, 17	Nov 29, 17	6,750 00	11,000 00		-2,550 00 LT -1,700 00 ST	660 00 LT 440 00 ST
CALL CBOE S&P 500 INDEX DUE 12/22/17 2660 000	FIFO	5 000	Nov 29, 17	Dec 04, 17	7,000 00	4,300 00			1,620 00 LT 1,080 00 ST
CALL CBOE S&P 500 INDEX DUE 12/29/17 2675 000	FIFO	5 000	Dec 04, 17	Dec 08, 17	4,000 00	6,750 00		-1,650 00 LT -1,100 00 ST	
	FIFO	-5 000	Dec 18, 17	Dec 12, 17	7,150 00	12,850 00		-3,420 00 LT -2,280 00 ST	

continued next page



Portfolio Management Program

Account name: MARGARET AND MARSHALL BARTLETT
 Friendly account name: YES
 Account number: VB 05528 95

1010-715
 Your Financial Advisor:
 MB/SR/SA/GC TEAM 844-229-0886
 212-821-7000/800-308-3140

Realized gains and losses (continued) 60/40 rule gains and losses (continued)

Security description	Method	Quantity or Face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
CALL CBOE S&P 500 INDEX DUE 12/29/17 2685 000	FIFO	5 000	Dec 08, 17	Dec 12, 17	5,100 00	2,700 00			1,440 00 LT 960 00 ST
	FIFO	-5 000	Dec 26, 17	Dec 19, 17	7,300 00	2,614 99			2,811 01 LT 1,874 00 ST
CALL CBOE S&P 500 INDEX DUE 12/22/17 2675 000	FIFO	5 000	Dec 08, 17	Dec 12, 17	5,475 00	2,875 00			1,560 00 LT 1,040 00 ST
	FIFO	-5 000	Dec 19, 17	Dec 12, 17	5,475 00	8,250 00		-1,665 00 LT -1,110 00 ST	
CALL CBOE S&P 500 INDEX DUE 12/29/17 2665 000	FIFO	-5 000	Dec 12, 17	Dec 08, 17	5,800 00	10,000 00		-2,520 00 LT -1,680 00 ST	
CALL CBOE S&P 500 INDEX DUE 12/22/17 2685 000	FIFO	5 000	Dec 12, 17	Dec 19, 17	4,600 00	3,650 00			570 00 LT 380 00 ST
CALL CBOE S&P 500 INDEX DUE 12/29/17 2695 000	FIFO	5 000	Dec 12, 17	Dec 18, 17	6,125 00	3,450 00			1,605 00 LT 1,070 00 ST
	FIFO	5 000	Dec 19, 17	Dec 26, 17	650 00	4,625 00		-2,385 00 LT -1,590 00 ST	
PUT CBOE S&P 500 INDEX DUE 01/12/18 2450 000	FIFO	5 000	Dec 05, 17	Dec 12, 17	1,000 00	2,100 00		-660 00 LT -440 00 ST	
PUT CBOE S&P 500 INDEX DUE 01/12/18 2575 000	FIFO	-5 000	Dec 12, 17	Dec 05, 17	6,325 00	2,750 00			2,145 00 LT 1,430 00 ST
PUT CBOE S&P 500 INDEX DUE 01/19/18 2405 000	FIFO	6 000	Dec 06, 17	Dec 11, 17	1,320 00	3,000 00		-1,008 00 LT -672 00 ST	

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Portfolio Management Program

Account name: MARGARET AND MARSHALL BARTLETT
 Friendly account name: YES
 Account number: V8 05528 95

Your Financial Advisor:
 MBS/SA/GC TEAM 844-229-0886
 212-821-7000/800-308-3140

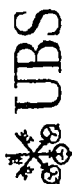
1010-716

Realized gains and losses (continued)

60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
PUT CBOE S&P 500 INDEX DUE 01/19/18 2330 000	FIFO	7 000	Nov 10, 17	Nov 21, 17	2,940 00	5,880 00		-1,764 00 LT -1,176 00 ST	
PUT CBOE S&P 500 INDEX DUE 01/19/18 2455 000	FIFO	-7 000	Nov 21, 17	Nov 10, 17	12,460 00	7,070 00			3,234 00 LT 2,156 00 ST
PUT CBOE S&P 500 INDEX DUE 01/19/18 2540 000	FIFO	-6 000	Dec 11, 17	Dec 06, 17	7,980 00	3,600 00			2,628 00 LT 1,752 00 ST
PUT CBOE S&P 500 INDEX DUE 01/19/18 2390 000	FIFO	5 000	Dec 07, 17	Dec 26, 17	575 00	1,650 00		-645 00 LT -430 00 ST	
PUT CBOE S&P 500 INDEX DUE 01/19/18 2445 000	FIFO	-5 000	Dec 26, 17	Dec 07, 17	2,250 00	825 00			855 00 LT 570 00 ST
PUT CBOE S&P 500 INDEX DUE 01/12/18 2340 000	FIFO	7 000	Nov 17, 17	Nov 27, 17	1,855 00	4,410 00		-1,533 00 LT -1,022 00 ST	
PUT CBOE S&P 500 INDEX DUE 01/12/18 2480 000	FIFO	-7 000	Nov 27, 17	Nov 17, 17	11,200 00	5,670 00			3,318 00 LT 2,212 00 ST
PUT CBOE S&P 500 INDEX DUE 01/26/18 2450 000	FIFO	6 000	Dec 11, 17	Dec 14, 17	2,340 00	2,400 00		-36 00 LT -24 00 ST	
PUT CBOE S&P 500 INDEX DUE 01/12/18 2385 000	FIFO	6 000	Nov 21, 17	Dec 06, 17	2,100 00	2,880 00		-468 00 LT -312 00 ST	
PUT CBOE S&P 500 INDEX DUE 01/12/18 2520 000	FIFO	-6 000	Dec 06, 17	Nov 21, 17	8,640 00	5,520 00			1,972 00 LT 1,248 00 ST

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Portfolio Management Program

Account name: MARGARET AND MARSHALL BARTLETT
 Friendly account name: YES
 Account number: VB 05528 95

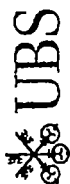
1010-717
 Your Financial Advisor:
 MBSR/SA/GC TEAM 844-229-0886
 212-821-7000/800-308-3140

Realized gains and losses (continued)

60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
PUT CBOE S&P 500 INDEX DUE 01/31/18 2355 000	FIFO	7 000	Nov 21, 17	Dec 14, 17	1,890 00	5,250 00		-2,016 00 LT -1,344 00 ST	
PUT CBOE S&P 500 INDEX DUE 01/31/18 2480 000	FIFO	-7 000	Dec 14, 17	Nov 21, 17	11,550 00	3,780 00			4,662 00 LT 3,108 00 ST
PUT CBOE S&P 500 INDEX DUE 01/12/18 2405 000	FIFO	5 000	Nov 28, 17	Dec 05, 17	1,550 00	2,050 00		-300 00 LT -200 00 ST	
PUT CBOE S&P 500 INDEX DUE 01/12/18 2535 000	FIFO	-5 000	Dec 05, 17	Nov 28, 17	6,025 00	4,300 00			1,035 00 LT 690 00 ST
PUT CBOE S&P 500 INDEX DUE 01/26/18 2585 000	FIFO	-6 000	Dec 14, 17	Dec 11, 17	6,870 00	6,180 00			414 00 LT 276 00 ST
PUT CBOE S&P 500 INDEX DUE 01/12/18 2500 000	FIFO	5 000	Dec 12, 17	Dec 19, 17	950 00	1,400 00		-270 00 LT -180 00 ST	
PUT CBOE S&P 500 INDEX DUE 01/12/18 2560 000	FIFO	-5 000	Dec 28, 17	Dec 13, 17	2,400 00	1,100 00			780 00 LT 520 00 ST
PUT CBOE S&P 500 INDEX DUE 01/12/18 2640 000	FIFO	-5 000	Dec 19, 17	Dec 13, 17	6,250 00	3,500 00			1,650 00 LT 1,100 00 ST
PUT CBOE S&P 500 INDEX DUE 01/05/18 2560 000	FIFO	5 000	Dec 18, 17	Dec 27, 17	500 00	725 00		-135 00 LT -90 00 ST	
PUT CBOE S&P 500 INDEX DUE 01/05/18 2660 000	FIFO	-5,000	Dec 27, 17	Dec 18, 17	3,000 00	2,100 00			540 00 LT 360 00 ST

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Portfolio Management Program

Account name: MARGARET AND MARSHALL BARTLETT
 Friendly account name: YES
 Account number: V8 05528 95

Your Financial Advisor:
 M85R5A/GC TEAM 844-229-0886
 212-821-7000/800-308-3140

1010-718

Realized gains and losses (continued)

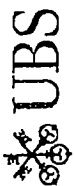
60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
PUT CBOE S&P 500 INDEX DUE 01/19/18 2400 000	FIFO	-7 000	Dec 14, 17	Nov 22, 17	4,340 00	1,680 00			1,596 00 LT 1,064 00 ST
	FIFO	-7 000	Dec 18, 17	Nov 22, 17	4,340 00	1,015 00			1,995 00 LT 1,330 00 ST
PUT CBOE S&P 500 INDEX DUE 01/19/18 2350 000	FIFO	7 000	Nov 22, 17	Dec 14, 17	1,260 00	3,150 00		-1,134 00 LT -756 00 ST	
PUT CBOE S&P 500 INDEX DUE 09/29/17 2390 000	FIFO	-11 000	Sep 12, 17	Sep 05, 17	11,550 00	2,970 00			5,148 00 LT 3,432 00 ST
PUT CBOE S&P 500 INDEX DUE 09/22/17 2275 000	FIFO	11 000	Aug 23, 17	Aug 28, 17	2,860 00	4,070 00		-726 00 LT -484 00 ST	
PUT CBOE S&P 500 INDEX DUE 09/22/17 2375 000	FIFO	-11 000	Aug 28, 17	Aug 23, 17	11,770 00	9,680 00			1,254 00 LT 836 00 ST
PUT CBOE S&P 500 INDEX DUE 09/29/17 2280 000	FIFO	11 000	Aug 28, 17	Sep 12, 17	1,100 00	4,620 00		-2,112 00 LT -1,408 00 ST	
PUT CBOE S&P 500 INDEX DUE 09/29/17 2380 000	FIFO	-11 000	Sep 05, 17	Aug 28, 17	14,080 00	10,505 00			2,145 00 LT 1,430 00 ST
PUT CBOE S&P 500 INDEX DUE 11/24/17 2335 000	FIFO	5 000	Oct 10, 17	Oct 16, 17	1,500 00	2,000 00		-300 00 LT -200 00 ST	
PUT CBOE S&P 500 INDEX DUE 11/24/17 2470 000	FIFO	-5 000	Oct 16, 17	Oct 10, 17	5,350 00	4,150 00			720 00 LT 480 00 ST
PUT CBOE S&P 500 INDEX DUE 11/17/17 2365 000	FIFO	5 000	Oct 12, 17	Oct 20, 17	1,225 00	1,900 00		-405 00 LT -270 00 ST	

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Portfolio Management Program

Account name: MARGARET AND MARSHALL BARTLETT
 Friendly account name: YES
 Account number: VB 05528 95

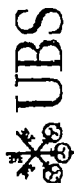
1010-719
 Your Financial Advisor:
 MB/SR/SA/GC TEAM 844-229-0886
 212-821-7000/800-308-3140

Realized gains and losses (continued)

60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost bases (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
PUT CBOE S&P 500 INDEX DUE 12/15/17 2400 000	FIFO	5 000	Nov 06, 17	Nov 13, 17	2,000 00	1,950 00			30 00 LT 20 00 ST
PUT CBOE S&P 500 INDEX DUE 12/15/17 2525 000	FIFO	-5 000	Nov 13, 17	Nov 06, 17	6,050 00	6,750 00		-420 00 LT -280 00 ST	
PUT CBOE S&P 500 INDEX DUE 12/15/17 2500 000	FIFO	-6 000	Oct 31, 17	Oct 23, 17	8,820 00	8,400 00			252 00 LT 168 00 ST
PUT CBOE S&P 500 INDEX DUE 12/01/17 2325 000	FIFO	-11 000	Dec 04, 17	Nov 22, 17	220 00	0 00			132 00 LT 88 00 ST
PUT CBOE S&P 500 INDEX DUE 11/17/17 2500 000	FIFO	-5 000	Oct 20, 17	Oct 12, 17	5,700 00	3,400 00			1,380 00 LT 920 00 ST
PUT CBOE S&P 500 INDEX DUE 11/24/17 2345 000	FIFO	6 000	Oct 16, 17	Oct 17, 17	1,800 00	1,980 00		-108 00 LT -72 00 ST	
PUT CBOE S&P 500 INDEX DUE 11/17/17 2475 000	FIFO	5 000	Oct 16, 17	Oct 30, 17	750 00	1,650 00		-540 00 LT -360 00 ST	
PUT CBOE S&P 500 INDEX DUE 11/30/17 2450 000	FIFO	5 000	Oct 23, 17	Nov 20, 17	300 00	3,250 00		-1,770 00 LT -1,180 00 ST	
PUT CBOE S&P 500 INDEX DUE 11/17/17 2475 000	FIFO	-5 000	Oct 10, 17	Oct 09, 17	5,100 00	5,000 00			60 00 LT 40 00 ST
PUT CBOE S&P 500 INDEX DUE 11/17/17 2320 000	FIFO	-5 000	Oct 12, 17	Oct 09, 17	5,100 00	4,325 00			465 00 LT 310 00 ST
PUT CBOE S&P 500 INDEX DUE 11/17/17 2320 000	FIFO	10 000	Oct 04, 17	Oct 09, 17	2,950 00	4,100 00		-690 00 LT -460 00 ST	

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Portfolio Management Program

Account name: MARGARET AND MARSHALL BARTLETT

Friendly account name: YES

Account number: V8 05528 95

Your Financial Advisor:

MB/SR/SA/GC TEAM 844-229-0886

212-821-7000/800-308-3140

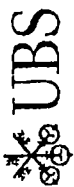
1010-720

Realized gains and losses (continued)

60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
PUT CBOE S&P 500 INDEX DUE 10/20/17 2440 000	FIFO	-11 000	Sep 21, 17	Sep 18, 17	9,350 00	9,130 00			132 00 LT 88 00 ST
PUT CBOE S&P 500 INDEX DUE 10/06/17 2245 000	FIFO	10 000	Aug 22, 17	Sep 05, 17	2,500 00	5,000 00		-1,500 00 LT -1,000 00 ST	
PUT CBOE S&P 500 INDEX DUE 10/06/17 2380 000	FIFO	-10 000	Sep 05, 17	Aug 22, 17	17,000 00	8,700 00			4,980 00 LT 3,320 00 ST
PUT CBOE S&P 500 INDEX DUE 12/01/17 2335 000	FIFO	5 000	Oct 16, 17	Nov 06, 17	475 00	1,950 00		-885 00 LT -590 00 ST	
PUT CBOE S&P 500 INDEX DUE 10/13/17 2255 000	FIFO	10 000	Sep 05, 17	Sep 11, 17	2,446 23	3,850 00		-842 26 LT -561 51 ST	
PUT CBOE S&P 500 INDEX DUE 10/13/17 2390 000	FIFO	-10 000	Sep 11, 17	Sep 05, 17	12,400 00	7,292 45			3,064 52 LT 2,043 02 ST
PUT CBOE S&P 500 INDEX DUE 10/20/17 2270 000	FIFO	10 000	Sep 11, 17	Sep 14, 17	3,050 00	3,900 00		-510 00 LT -340 00 ST	
PUT CBOE S&P 500 INDEX DUE 10/20/17 2405 000	FIFO	-10 000	Sep 14, 17	Sep 11, 17	11,200 00	8,500 00			1,620 00 LT 1,080 00 ST
PUT CBOE S&P 500 INDEX DUE 12/01/17 2470 000	FIFO	-5 000	Nov 06, 17	Oct 16, 17	5,200 00	1,425 00			2,265 00 LT 1,510 00 ST
PUT CBOE S&P 500 INDEX DUE 10/06/17 2320 000	FIFO	11 000	Sep 12, 17	Sep 18, 17	1,540 00	2,860 00		-792 00 LT -528 00 ST	

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Portfolio Management Program

Account name: MARGARET AND MARSHALL BARTLETT
 Friendly account name: YES
 Account number: V8 05528 95

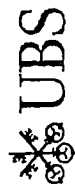
1010-721
 Your Financial Advisor:
 MB/SR/SA/GC TEAM 844-229-0886
 212-821-7000/800-308-3140

Realized gains and losses (continued)

60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
PUT CBOE S&P 500 INDEX DUE 10/06/17 2420 000	FIFO	-11 000	Sep 15, 17	Sep 12, 17	6,820 00	4,730 00			1,254 00 LT 836 00 ST
PUT CBOE S&P 500 INDEX DUE 10/27/17 2280 000	FIFO	10 000	Sep 14, 17	Sep 19, 17	2,800 00	4,400 00		-960 00 LT -640 00 ST	
PUT CBOE S&P 500 INDEX DUE 10/27/17 2415 000	FIFO	-10 000	Sep 19, 17	Sep 14, 17	11,500 00	8,000 00			2,100 00 LT 1,400 00 ST
PUT CBOE S&P 500 INDEX DUE 10/06/17 2435 000	FIFO	-11 000	Sep 18, 17	Sep 15, 17	5,665 00	4,180 00			891 00 LT 594 00 ST
PUT CBOE S&P 500 INDEX DUE 11/17/17 2340 000	FIFO	5 000	Oct 09, 17	Oct 10, 17	1,800 00	1,725 00			45 00 LT 30 00 ST
	FIFO	5 000	Oct 09, 17	Oct 12, 17	1,500 00	1,725 00		-135 00 LT -90 00 ST	
PUT CBOE S&P 500 INDEX DUE 12/08/17 2340 000	FIFO	6 000	Oct 17, 17	Oct 23, 17	2,280 00	2,940 00		-396 00 LT -264 00 ST	
PUT CBOE S&P 500 INDEX DUE 10/20/17 2340 000	FIFO	11 000	Sep 18, 17	Sep 21, 17	3,520 00	3,905 00		-231 00 LT -154 00 ST	
PUT CBOE S&P 500 INDEX DUE 12/08/17 2470 000	FIFO	-6 000	Oct 23, 17	Oct 17, 17	7,140 00	5,640 00			900 00 LT 600 00 ST
PUT CBOE S&P 500 INDEX DUE 11/03/17 2295 000	FIFO	10 000	Sep 19, 17	Sep 25, 17	3,800 00	4,150 00		-210 00 LT -140 00 ST	
PUT CBOE S&P 500 INDEX DUE 11/03/17 2430 000	FIFO	-10 000	Sep 25, 17	Sep 19, 17	11,800 00	11,700 00			60 00 LT 40 00 ST

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Portfolio Management Program

Account name: MARGARET AND MARSHALL BARTLETT
 Friendly account name: YES
 Account number: V8 05528 95

Your Financial Advisor:
 MB/SR/SA/GC TEAM 844-229-0886
 212-821-7000/800-308-3140

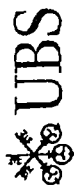
1010-722

Realized gains and losses (continued)

60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
PUT CBOE S&P 500 INDEX DUE 10/31/17 2340 000	FIFO	11 000	Sep 21, 17	Sep 27, 17	4,290 00	5,500 00		-726 00 LT -484 00 ST	
PUT CBOE S&P 500 INDEX DUE 10/31/17 2440 000	FIFO	-11 000	Sep 26, 17	Sep 21, 17	12,980 00	12,320 00			396 00 LT 264 00 ST
PUT CBOE S&P 500 INDEX DUE 11/24/17 2425 000	FIFO	5 000	Oct 18, 17	Nov 07, 17	575 00	2,550 00		-1,185 00 LT -790 00 ST	
PUT CBOE S&P 500 INDEX DUE 11/10/17 2290 000	FIFO	10,000	Sep 25, 17	Oct 04, 17	2,350 00	4,900 00		-1,530 00 LT -1,020 00 ST	
PUT CBOE S&P 500 INDEX DUE 11/10/17 2425 000	FIFO	-10 000	Oct 04, 17	Sep 25, 17	13,800 00	6,500 00			4,380 00 LT 2,920 00 ST
PUT CBOE S&P 500 INDEX DUE 10/31/17 2445 000	FIFO	-11 000	Sep 27, 17	Sep 26, 17	12,980 00	12,650 00			198 00 LT 132 00 ST
PUT CBOE S&P 500 INDEX DUE 11/03/17 2325 000	FIFO	11 000	Sep 27, 17	Oct 02, 17	2,310 00	4,730 00		-1,452 00 LT -968 00 ST	
PUT CBOE S&P 500 INDEX DUE 11/03/17 2440 000	FIFO	-11 000	Oct 02, 17	Sep 27, 17	13,420 00	7,150 00			3,762 00 LT 2,508 00 ST
PUT CBOE S&P 500 INDEX DUE 11/24/17 2525 000	FIFO	-5 000	Nov 17, 17	Oct 18, 17	6,650 00	650 00			3,600 00 LT 2,400 00 ST
PUT CBOE S&P 500 INDEX DUE 11/17/17 2540 000	FIFO	-5 000	Nov 20, 17	Nov 07, 17	1,550 00	0 00			930 00 LT 620 00 ST

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Portfolio Management Program

Account name: MARGARET AND MARSHALL BARTLETT

Friendly account name: YES

Account number: V8 05528 95

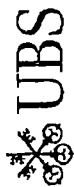
1010-723
Your Financial Advisor:
MB/SR/SA/GC TEAM 844-229-0886
212-821-7000/800-308-3140

Realized gains and losses (continued)

60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
PUT CBOE S&P 500 INDEX DUE 11/10/17 2335 000	FIFO	11 000	Oct 02, 17	Oct 05, 17	2,805 00	3,520 00		-429 00 LT -286 00 ST	
PUT CBOE S&P 500 INDEX DUE 11/10/17 2450 000	FIFO	-11 000	Oct 04, 17	Oct 02, 17	10,560 00	8,580 00			1,188 00 LT 792 00 ST
PUT CBOE S&P 500 INDEX DUE 11/30/17 2540 000	FIFO	-5 000	Nov 17, 17	Nov 07, 17	3,100 00	2,100 00			600 00 LT 400 00 ST
PUT CBOE S&P 500 INDEX DUE 11/7/17 2455 000	FIFO	-10 000	Oct 09, 17	Oct 04, 17	11,700 00	8,450 00			1,950 00 LT 1,300 00 ST
PUT CBOE S&P 500 INDEX DUE 11/10/17 2465 000	FIFO	-11 000	Oct 05, 17	Oct 04, 17	9,955 00	8,140 00			1,089 00 LT 726 00 ST
PUT CBOE S&P 500 INDEX DUE 11/24/17 2330 000	FIFO	11 000	Oct 05, 17	Oct 16, 17	3,245 00	4,620 00		-825 00 LT -550 00 ST	
PUT CBOE S&P 500 INDEX DUE 11/24/17 2460 000	FIFO	-11 000	Oct 16, 17	Oct 05, 17	11,880 00	8,580 00			1,980 00 LT 1,320 00 ST
PUT CBOE S&P 500 INDEX DUE 11/24/17 2475 000	FIFO	-6 000	Oct 17, 17	Oct 16, 17	5,340 00	4,860 00			288 00 LT 192 00 ST
	FIFO	-5 000	Oct 30, 17	Oct 16, 17	4,450 00	2,300 00			1,290 00 LT 860 00 ST
	FIFO	5 000	Nov 07, 17	Nov 17, 17	275 00	875 00		-360 00 LT -240 00 ST	
PUT CBOE S&P 500 INDEX DUE 12/29/17 2380 000	FIFO	6 000	Nov 07, 17	Nov 21, 17	1,440 00	3,570 00		-1,278 00 LT -852 00 ST	

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Portfolio Management Program

Account name: MARGARET AND MARSHALL BARTLETT
 Friendly account name: YES
 Account number: V8 05528 95

Your Financial Advisor:
 MBSR/SAGC TEAM 844-229-0886
 212-821-7000/800-308-3140

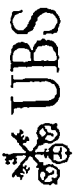
1010-724

Realized gains and losses (continued)

60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
PUT CBOE S&P 500 INDEX DUE 11/17/17 2380 000	FIFO	5.000	Oct 20, 17	Oct 23, 17	1,225 00	1,250 00		-15 00 LT -10 00 ST	
PUT CBOE S&P 500 INDEX DUE 11/17/17 2515 000	FIFO	-5.000	Nov 07, 17	Oct 20, 17	3,950 00	1,000 00			1,770 00 LT 1,180 00 ST
PUT CBOE S&P 500 INDEX DUE 12/15/17 2370 000	FIFO	6.000	Oct 23, 17	Nov 07, 17	2,070 00	3,540 00		-882 00 LT -588 00 ST	
PUT CBOE S&P 500 INDEX DUE 11/30/17 2500 000	FIFO	-5.000	Oct 27, 17	Oct 23, 17	4,900 00	4,500 00			240 00 LT 160 00 ST
PUT CBOE S&P 500 INDEX DUE 11/17/17 2415 000	FIFO	5.000	Oct 23, 17	Nov 20, 17	0 00	1,575 00		-945 00 LT -630 00 ST	
PUT CBOE S&P 500 INDEX DUE 12/01/17 2275 000	FIFO	6.000	Oct 25, 17	Oct 31, 17	810 00	2,100 00		-774 00 LT -516 00 ST	
	FIFO	5.000	Oct 25, 17	Nov 06, 17	275 00	1,750 00		-885 00 LT -590 00 ST	
PUT CBOE S&P 500 INDEX DUE 12/01/17 2350 000	FIFO	-6.000	Oct 31, 17	Oct 25, 17	3,240 00	1,380 00			1,116 00 LT 744 00 ST
	FIFO	-5.000	Nov 06, 17	Oct 25, 17	2,700 00	512 50			1,312 50 LT 875 00 ST
	FIFO	-11.000	Nov 22, 17	Oct 25, 17	5,940 00	330 00			3,366 00 LT 2,244 00 ST
PUT CBOE S&P 500 INDEX DUE 12/01/17 2425 000	FIFO	11.000	Oct 25, 17	Dec 04, 17	0 00	10,340 00		-6,204 00 LT -4,136 00 ST	

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Portfolio Management Program

Account name: MARGARET AND MARSHALL BARTLETT
 Friendly account name: YES
 Account number: V8 05528 95

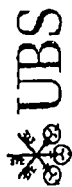
1010-725
 Your Financial Advisor
 MB/SR/SA/GC TEAM 844-229-0886
 212-821-7000/800-308-3140

Realized gains and losses (continued)

60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
PUT CBOE S&P 500 INDEX DUE 11/30/17 2520 000	FIFO	-5 000	Nov 03, 17	Oct 27, 17	5,500 00	3,050 00			1,470 00 LT 980 00 ST
PUT CBOE S&P 500 INDEX DUE 11/24/17 2405 000	FIFO	5 000	Oct 30, 17	Nov 03, 17	550 00	1,150 00		-360 00 LT -240 00 ST	
PUT CBOE S&P 500 INDEX DUE 11/24/17 2505 000	FIFO	-5 000	Nov 01, 17	Oct 30, 17	3,225 00	2,800 00			255 00 LT 170 00 ST
PUT CBOE S&P 500 INDEX DUE 12/15/17 2505 000	FIFO	-6 000	Nov 07, 17	Oct 31, 17	8,760 00	6,480 00			1,368 00 LT 912 00 ST
PUT CBOE S&P 500 INDEX DUE 11/24/17 2520 000	FIFO	-5 000	Nov 03, 17	Nov 01, 17	3,400 00	2,000 00			840 00 LT 560 00 ST
PUT CBOE S&P 500 INDEX DUE 11/30/17 2525 000	FIFO	-5 000	Nov 20, 17	Nov 03, 17	3,250 00	1,050 00			1,320 00 LT 880 00 ST
PUT CBOE S&P 500 INDEX DUE 11/30/17 2430 000	FIFO	5 000	Nov 03, 17	Nov 17, 17	425 00	1,000 00		-345 00 LT -230 00 ST	
PUT CBOE S&P 500 INDEX DUE 11/30/17 2530 000	FIFO	-5 000	Nov 07, 17	Nov 03, 17	3,400 00	2,650 00			450 00 LT 300 00 ST
PUT CBOE S&P 500 INDEX DUE 12/29/17 2515 000	FIFO	-6 000	Nov 21, 17	Nov 07, 17	10,260 00	5,280 00			2,988 00 LT 1,992 00 ST
PUT CBOE S&P 500 INDEX DUE 12/22/17 2390 000	FIFO	5 000	Nov 13, 17	Nov 20, 17	1,600 00	2,650 00		-630 00 LT -420 00 ST	
PUT CBOE S&P 500 INDEX DUE 12/22/17 2520 000	FIFO	-5 000	Nov 20, 17	Nov 13, 17	7,850 00	5,600 00			1,350 00 LT 900 00 ST

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Portfolio Management Program

Account name: MARGARET AND MARSHALL BARTLETT
 Friendly account name: YES
 Account number: VB 05528 95

Your Financial Advisor:
 MB/SR/SA/GC TEAM 844-229-0886
 212-821-7000/800-308-3140

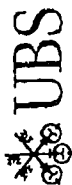
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Realized gains and losses (continued)

60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
PUT CBOE S&P 500 INDEX DUE 12/08/17 2450 000	FIFO	5 000	Nov 17, 17	Nov 27, 17	375 00	1,600 00		-735 00 LT -490 00 ST	
PUT CBOE S&P 500 INDEX DUE 12/08/17 2525 000	FIFO	-5 000	Nov 27, 17	Nov 17, 17	3,600 00	850 00			1,650 00 LT 1,100 00 ST
PUT CBOE S&P 500 INDEX DUE 12/29/17 2390 000	FIFO	5 000	Nov 20, 17	Nov 28, 17	950 00	2,050 00		-660 00 LT -440 00 ST	
PUT CBOE S&P 500 INDEX DUE 12/29/17 2520 000	FIFO	-5 000	Nov 28, 17	Nov 20, 17	6,750 00	3,100 00			2,190 00 LT 1,460 00 ST
PUT CBOE S&P 500 INDEX DUE 12/08/17 2455 000	FIFO	5 000	Nov 20, 17	Nov 21, 17	750 00	1,100 00		-210 00 LT -140 00 ST	
PUT CBOE S&P 500 INDEX DUE 12/08/17 2530 000	FIFO	-5 000	Nov 21, 17	Nov 20, 17	3,000 00	1,800 00			720 00 LT 480 00 ST
PUT CBOE S&P 500 INDEX DUE 12/29/17 2500 000	FIFO	5 000	Dec 08, 17	Dec 12, 17	425 00	850 00		-255 00 LT -170 00 ST	
PUT CBOE S&P 500 INDEX DUE 12/15/17 2470 000	FIFO	5 000	Nov 21, 17	Nov 27, 17	900 00	1,450 00		-330 00 LT -220 00 ST	
PUT CBOE S&P 500 INDEX DUE 12/15/17 2545 000	FIFO	-5 000	Nov 27, 17	Nov 21, 17	3,750 00	2,800 00			570 00 LT 380 00 ST
PUT CBOE S&P 500 INDEX DUE 12/29/17 2460 000	FIFO	5 000	Nov 27, 17	Dec 08, 17	575 00	1,900 00		-795 00 LT -530 00 ST	

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Portfolio Management Program

Account name: MARGARET AND MARSHALL BARTLETT

Friendly account name: YES

Account number: V8 05528 95

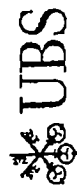
1010-727
Your Financial Advisor:
MB/SR/SA/GC TEAM 844-229-0886
212-821-7000/800-308-3140

Realized gains and losses (continued)

60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
PUT CBOE S&P 500 INDEX DUE 12/29/17 2535 000	FIFO	-5 000	Dec 01, 17	Nov 27, 17	4,700 00	2,800 00			1,140 00 LT 760 00 ST
PUT CBOE S&P 500 INDEX DUE 12/15/17 2465 000	FIFO	5 000	Nov 27, 17	Dec 18, 17	0 00	850 00		-510 00 LT -340 00 ST	
PUT CBOE S&P 500 INDEX DUE 12/15/17 2540 000	FIFO	-5 000	Dec 18, 17	Nov 27, 17	2,450 00	0 00			1,470 00 LT 980 00 ST
PUT CBOE S&P 500 INDEX DUE 12/22/17 2495 000	FIFO	5 000	Nov 29, 17	Dec 12, 17	225 00	1,400 00		-705 00 LT -470 00 ST	
PUT CBOE S&P 500 INDEX DUE 12/22/17 2545 000	FIFO	-5 000	Dec 04, 17	Nov 29, 17	2,600 00	1,550 00			630 00 LT 420 00 ST
PUT CBOE S&P 500 INDEX DUE 12/29/17 2650 000	FIFO	-5 000	Dec 26, 17	Dec 19, 17	1,700 00	400 00			780 00 LT 520 00 ST
PUT CBOE S&P 500 INDEX DUE 12/29/17 2560 000	FIFO	-5 000	Dec 04, 17	Dec 01, 17	3,750 00	2,500 00			750 00 LT 500 00 ST
PUT CBOE S&P 500 INDEX DUE 12/22/17 2605 000	FIFO	-5 000	Dec 08, 17	Dec 04, 17	3,550 00	2,000 00			930 00 LT 620 00 ST
PUT CBOE S&P 500 INDEX DUE 12/29/17 2580 000	FIFO	-5 000	Dec 08, 17	Dec 04, 17	3,200 00	2,250 00			570 00 LT 380 00 ST
	FIFO	5 000	Dec 19, 17	Dec 26, 17	100 00	425 00		-195 00 LT -130 00 ST	
PUT CBOE S&P 500 INDEX DUE 12/22/17 2615 000	FIFO	-5 000	Dec 12, 17	Dec 08, 17	2,550 00	1,250 00			780 00 LT 520 00 ST

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Portfolio Management Program

Account name: MARGARET AND MARSHALL BARTLETT
 Friendly account name: YES
 Account number: VB 05528 95

Your Financial Advisor:
 MB/SR/SAGC TEAM 844-229-0886
 212-821-7000/800-308-3140

1010-728

Realized gains and losses (continued)

60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
PUT CBOE S&P 500 INDEX DUE 12/29/17 2605 000	FIFO	-5 000	Dec 12, 17	Dec 08, 17	3,550 00	1,800 00			1,050 00 LT 700 00 ST
PUT CBOE S&P 500 INDEX DUE 12/22/17 2630 000	FIFO	-5 000	Dec 19, 17	Dec 12, 17	1,950 00	250 00			1,020 00 LT 680 00 ST
PUT CBOE S&P 500 INDEX DUE 12/29/17 2530 000	FIFO	5,000	Dec 12, 17	Dec 18, 17	200 00	575 00		-225 00 LT -150 00 ST	
PUT CBOE S&P 500 INDEX DUE 12/29/17 2635 000	FIFO	-5 000	Dec 18, 17	Dec 12, 17	3,350 00	850 00			1,500 00 LT 1,000 00 ST
PUT CBOE S&P 500 INDEX DUE 12/22/17 2540 000	FIFO	5 000	Dec 12, 17	Dec 19, 17	50 00	375 00		-195 00 LT -130 00 ST	
Total					\$1,702,967.54	\$1,684,407.37		-\$370,611.19	\$389,171.36
Net 60/40 rule capital gains or losses									\$18,560.17
Net capital gains/losses:									\$18,560.17