

EXTENDED TO NOVEMBER 15, 2018

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2017

Open to Public Inspection

Form 990-PF

Department of the Treasury
Internal Revenue Service

Do not enter social security numbers on this form as it may be made public.
Go to www.irs.gov/Form990PF for instructions and the latest information.

For calendar year 2017 or tax year beginning

, and ending

Name of foundation

ALFIERO AND LUCIA PALESTRONI
FOUNDATION, INC.

A Employer identification number

22-3452466

Number and street (or P.O. box number if mail is not delivered to street address)

Room/suite

333 SYLVAN AVENUE

B Telephone number

(201) 568-8000

City or town, state or province, country, and ZIP or foreign postal code

ENGLEWOOD CLIFFS, NJ 07632

C If exemption application is pending, check here ☐

G Check all that apply:

☐

Initial return

☐

Initial return of a former public charity

☐

Final return

☐

Amended return

☐

Address change

☐

Name change

D 1 Foreign organizations, check here ☐2 - Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization:

☒

Section 501(c)(3) exempt private foundation

☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationE If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

I Fair market value of all assets at end of year

(from Part II, col. (c), line 16)

J Accounting method:

☒

Cash

☐

Accrual

☐ Other (specify) _____

\$ 26,505,455. (Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received					
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		8,242.	8,242.		STATEMENT 1
4 Dividends and interest from securities		558,465.	558,465.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		603,131.			
b Gross sales price for all assets on line 6a		5,737,630.			
7 Capital gain net income (from Part IV, line 2)			603,131.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		13,928.	13,928.	0.	STATEMENT 3
12 Total. Add lines 1 through 11		1,183,766.	1,183,766.	0.	
13 Compensation of officers, directors, trustees, etc.		50,000.	0.	0.	50,000.
14 Other employee salaries and wages					
15 Pension plans, employee benefits		4,294.	0.	0.	4,294.
16a Legal fees		68,778.	0.	0.	68,778.
16b Accounting fees		36,410.	0.	0.	36,410.
17 Other professional fees		211,014.	211,014.	0.	0.
18 Interest					
19 Taxes		35,291.	11,751.	0.	5,540.
20 Depreciation and depletion		1,500.	0.	0.	
21 Occupancy					
22 Travel, conferences, and meetings					
23 Printing and publications					
24 Other expenses		44,932.	4,004.	0.	40,923.
25 Total operating and administrative expenses. Add lines 13 through 23		452,219.	226,769.	0.	205,945.
26 Contributions, gifts, grants paid		821,500.			821,500.
27 Total expenses and disbursements. Add lines 24 and 25		1,273,719.	226,769.	0.	1,027,445.
28 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-89,953.			
b Net investment income (if negative, enter -0-)			956,997.		
c Adjusted net income (if negative, enter -0-)				0.	

**ALFIERO AND LUCIA PALESTRONI
FOUNDATION, INC.**

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	2,279,836.	215,222.	215,222.
	2 Savings and temporary cash investments	348,739.	328,925.	328,925.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 10	7,067,430.	7,765,570.	10,946,756.
	c Investments - corporate bonds STMT 11	3,186,763.	4,915,538.	4,967,865.
	11 Investments - land, buildings, and equipment: basis ▶			
Less: accumulated depreciation ▶				
12 Investments - mortgage loans STMT 12	49,529.	43,527.	43,527.	
13 Investments - other STMT 13	8,647,768.	8,664,006.	9,553,241.	
14 Land, buildings, and equipment: basis ▶ 1,500.				
Less: accumulated depreciation STMT 9 ▶ 1,500.	0.	0.	1,500.	
15 Other assets (describe ▶ STATEMENT 14)	891,095.	448,419.	448,419.	
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	22,471,160.	22,381,207.	26,505,455.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ STATEMENT 15)	2,233.	2,233.	
23 Total liabilities (add lines 17 through 22)	2,233.	2,233.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ X			
	and complete lines 24 through 26, and lines 30 and 31.			
	24 Unrestricted	22,468,927.	22,378,974.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐			
	and complete lines 27 through 31			
	27 Capital stock, trust principal, or current funds			
28 Paid-in or capital surplus, or land, bldg., and equipment fund				
29 Retained earnings, accumulated income, endowment, or other funds				
30 Total net assets or fund balances	22,468,927.	22,378,974.		
31 Total liabilities and net assets/fund balances	22,471,160.	22,381,207.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	22,468,927.
2 Enter amount from Part I, line 27a	-89,953.
3 Other increases not included in line 2 (itemize) ▶	0.
4 Add lines 1, 2, and 3	22,378,974.
5 Decreases not included in line 2 (itemize) ▶	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	22,378,974.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a			
b			
c			
d			
e 5,737,630.		5,134,487.	603,131.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			603,131.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	603,131.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2016	1,275,858.	22,348,742.	.057089
2015	1,212,957.	24,236,403.	.050047
2014	1,386,483.	24,911,898.	.055655
2013	812,546.	23,998,119.	.033859
2012	710,973.	21,467,624.	.033118

2 Total of line 1, column (d)	2	.229768
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	.045954
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	4	25,014,452.
5 Multiply line 4 by line 3	5	1,149,514.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	9,570.
7 Add lines 5 and 6	7	1,159,084.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	1,027,445.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	19,140.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		2	0.
3 Add lines 1 and 2		3	19,140.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	19,140.
6 Credits/Payments:			
a 2017 estimated tax payments and 2016 overpayment credited to 2017	6a	18,248.	
b Exempt foreign organizations - tax withheld at source	6b	0.	
c Tax paid with application for extension of time to file (Form 8868)	6c	6,000.	
d Backup withholding erroneously withheld	6d	0.	
7 Total credits and payments. Add lines 6a through 6d	7	24,248.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	22.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	5,086.	
11 Enter the amount of line 10 to be: Credited to 2018 estimated tax ☐ 5,086. Refunded ☐ 0.	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. <u>NJ</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the tax year beginning in 2017? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A. Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions	STMT 16	<table border="1"><thead><tr><th>Line</th><th>Yes</th><th>No</th></tr></thead><tbody><tr><td>11</td><td>X</td><td></td></tr><tr><td>12</td><td></td><td>X</td></tr><tr><td>13</td><td>X</td><td></td></tr></tbody></table>	Line	Yes	No	11	X		12		X	13	X	
Line	Yes	No													
11	X														
12		X													
13	X														
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions														
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A														
14	The books are in care of ORGANIZATION Telephone no. (201) 568-8000 Located at 333 SYLVAN AVENUE, ENGLEWOOD CLIFFS, NJ ZIP+4 07632														
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A												
16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country	<table border="1"><thead><tr><th>Line</th><th>Yes</th><th>No</th></tr></thead><tbody><tr><td>16</td><td></td><td>X</td></tr></tbody></table>	Line	Yes	No	16		X							
Line	Yes	No													
16		X													

Part VII-B. Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year, did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception: Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<table border="0"><tr><td>☐ Yes</td><td>☒ No</td></tr><tr><td>☐ Yes</td><td>☒ No</td></tr><tr><td>☐ Yes</td><td>☒ No</td></tr><tr><td>☒ Yes</td><td>☐ No</td></tr><tr><td>☐ Yes</td><td>☒ No</td></tr><tr><td>☐ Yes</td><td>☒ No</td></tr></table>	☐ Yes	☒ No	☐ Yes	☒ No	☐ Yes	☒ No	☒ Yes	☐ No	☐ Yes	☒ No	☐ Yes	☒ No	<table border="1"><thead><tr><th>Line</th><th>Yes</th><th>No</th></tr></thead><tbody><tr><td>1a</td><td></td><td>X</td></tr><tr><td>1b</td><td></td><td>X</td></tr><tr><td>1c</td><td></td><td>X</td></tr></tbody></table>	Line	Yes	No	1a		X	1b		X	1c		X
☐ Yes	☒ No																										
☐ Yes	☒ No																										
☐ Yes	☒ No																										
☒ Yes	☐ No																										
☐ Yes	☒ No																										
☐ Yes	☒ No																										
Line	Yes	No																									
1a		X																									
1b		X																									
1c		X																									
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? If "Yes," list the years: _____ b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here: _____	<table border="0"><tr><td>☐ Yes</td><td>☒ No</td></tr></table> N/A	☐ Yes	☒ No	<table border="1"><tbody><tr><td>2a</td><td></td><td></td></tr><tr><td>2b</td><td></td><td></td></tr></tbody></table>	2a			2b																		
☐ Yes	☒ No																										
2a																											
2b																											
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017.)	<table border="0"><tr><td>☒ Yes</td><td>☐ No</td></tr></table>	☒ Yes	☐ No	<table border="1"><tbody><tr><td>3a</td><td></td><td></td></tr><tr><td>3b</td><td></td><td>X</td></tr></tbody></table>	3a			3b		X																
☒ Yes	☐ No																										
3a																											
3b		X																									
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		<table border="1"><tbody><tr><td>4a</td><td></td><td>X</td></tr></tbody></table>	4a		X																					
4a		X																									
4b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?		<table border="1"><tbody><tr><td>4b</td><td></td><td>X</td></tr></tbody></table>	4b		X																					
4b		X																									

5a

During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes

☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes

☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes

☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

☐ Yes

☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes

☒ No

b

If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

Organizations relying on a current notice regarding disaster assistance, check here

N/A

☐

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes

☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes

☒ No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

☐ Yes

☒ No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes

☒ No

b

If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

☐

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 17		50,000.	0.	0.

2

Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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723551 01-03-18

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10101114 707221 ALFIERO AND LUCIA PALESTRONI 2017 04030 ALFIERO AND LUCIA PALESTRONI ALFIERO

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors
 (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
HARTMAN & WINNICKI, PC 74 PASSAIC STREET, RIDGEWOOD, NJ 07451	LEGAL	68,778.
Total number of others receiving over \$50,000 for professional services		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NONE	0.
2	
3	
4	

Part IX-B

Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	23,634,859.
b	Average of monthly cash balances	1b	1,715,497.
c	Fair market value of all other assets	1c	45,027.
d	Total (add lines 1a, b, and c)	1d	25,395,383.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	25,395,383.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	380,931.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	25,014,452.
6	Minimum investment return. Enter 5% of line 5	6	1,250,723.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,250,723.
2a	Tax on investment income for 2017 from Part VI, line 5	2a	19,140.
b	Income tax for 2017. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	19,140.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,231,583.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	1,231,583.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,231,583.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,027,445.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	1,027,445.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,027,445.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				1,231,583.
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only			26,626.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2017:				
a From 2012				
b From 2013				
c From 2014				
d From 2015				
e From 2016				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2017 from Part XII, line 4: ► \$ 1,027,445.				
a Applied to 2016, but not more than line 2a			26,626.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2017 distributable amount				1,000,819.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2016. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2017. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2018				230,764.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2012 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2018 Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2013				
b Excess from 2014				
c Excess from 2015				
d Excess from 2016				
e Excess from 2017				

N/A

☐ 4942(1)(3) or ☒ 4942(1)(5)

Tax year

Prior 3 years

(a) 2017

(b) 2016

(c) 2015

(d) 2014

(e) Total

b 85% of line 2a

c Qualifying distributions from Part XII,
line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities.

3 Subtract line 2d from line 2c.
Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test - enter:
(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test-enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

SEE STATEMENT 18

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

ALFIERO AND LUCIA PALESTRONI
FOUNDATION, INC.

Form 990-PF (2017)

22-3452466 Page 11

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
ADLER APHASIA CENTER 60 WEST HUNTER AVENUE MAYWOOD, NJ 07607	NONE	PC	GALA SPONSORSHIP	1,000.
BERGEN PAC 30 NORTH VAN BRUNT STREET ENGLEWOOD, NJ 07631	NONE	PC	GALA SPONSOR	5,000.
BERGEN VOLUNTEER CENTER 64 PASSAIC STREET HACKENSACK, NJ 07601	NONE	PC	GENERAL	5,000.
CALVARY HOSPITAL 1740 EASTCHESTER ROAD BRONX, NY 10461	NONE	PC	BEREAVEMENT SUPPORT FOR YOUNG CHILDREN	25,000.
CHILDREN'S AID & FAMILY SERVICE 200 ROBIN ROAD PARAMUS, NJ 07652	NONE	PC	REHAB CENTER	50,000.
Total	SEE CONTINUATION SHEET(S)			821,500.
b Approved for future payment				
NONE				
Total				0.

Form 990-PF (2017)

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue:					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	8,242.	
4 Dividends and interest from securities	900099	21.	14	558,444.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income	900099	-3,106.	14	17,034.	
8 Gain or (loss) from sales of assets other than inventory	900099	-12.	18	603,143.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e)		-3,097.		1,186,863.	0.
13 Total. Add line 12, columns (b), (d), and (e)				1,183,766.	

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII

Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|-----|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c)(3) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | | X |
| | (2) Other assets | | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | | X |
| | (3) Rental of facilities, equipment, or other assets | | X |
| | (4) Reimbursement arrangements | | X |
| | (5) Loans or loan guarantees | | X |
| | (6) Performance of services or membership or fundraising solicitations | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee Licia Paley

Date _____

PRESIDENT

Title

May the IRS discuss this return with the preparer shown below? See instr

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date

Check ☐ self-employed

PTIN	
------	--

SPIROS BACKOS

11/14/18

P00050550

Firm's name ► **BACKOS GROUP, PC**

Firm's EIN ► 22-3476387

Firm's address ► 777 TERRACE AVENUE - SUITE 304
HASBROUCK HEIGHTS, NJ 07604

Phone no. 201-727-0707

Form **990-PF** (2017)

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
CONNECTONE BANK	91.	91.	91.
ESCROW INTEREST	3,659.	3,659.	3,659.
MORTGAGE INTEREST	4,492.	4,492.	4,492.
TOTAL TO PART I, LINE 3	8,242.	8,242.	8,242.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
AMERIPRISE - DIVIDENDS	154,125.	35,149.	118,976.	118,976.	118,976.
AMERIPRISE - INTEREST	27,559.	0.	27,559.	27,559.	27,559.
DIVIDENDS FROM PASSTHROUGH ENTITIES	10.	0.	10.	10.	10.
FIDELITY - DIVIDENDS	115,683.	0.	115,683.	115,683.	115,683.
FIDELITY - INTEREST	75,349.	0.	75,349.	75,349.	75,349.
INTEREST FROM PASSTHROUGH ENTITIES	11.	0.	11.	11.	11.
MORGAN STANLEY - DIVIDENDS	452,669.	231,809.	220,860.	220,860.	220,860.
MORGAN STANLEY - INTEREST	17.	0.	17.	17.	17.
TO PART I, LINE 4	825,423.	266,958.	558,465.	558,465.	558,465.

FORM 990-PF	OTHER INCOME	STATEMENT	3
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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
OTHER INCOME	11,451.	11,451.	0.
MORTGAGE LATE FEES	530.	530.	0.
MUTUAL FUND REFUNDS	5,053.	5,053.	0.
ORDINARY INCOME (LOSS) FROM PASSTHROUGH ACTIVITIES	-3,106.	-3,106.	0.
TOTAL TO FORM 990-PF, PART I, LINE 11	13,928.	13,928.	0.

FORM 990-PF	LEGAL FEES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
HARTMAN & WINNICKI	68,778.	0.	0.	68,778.
TO FM 990-PF, PG 1, LN 16A	68,778.	0.	0.	68,778.

FORM 990-PF	ACCOUNTING FEES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BACKOS GROUP	36,410.	0.	0.	36,410.
TO FORM 990-PF, PG 1, LN 16B	36,410.	0.	0.	36,410.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	211,014.	211,014.	0.	0.
TO FORM 990-PF, PG 1, LN 16C	211,014.	211,014.	0.	0.

FORM 990-PF	TAXES	STATEMENT	7
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
REAL ESTATE TAXES	110.	110.	0.	0.
FOREIGN TAXES	11,641.	11,641.	0.	0.
FEDERAL EXCISE TAXES	18,000.	0.	0.	0.
STATE FEES	5,540.	0.	0.	5,540.
TO FORM 990-PF, PG 1, LN 18	35,291.	11,751.	0.	5,540.

FORM 990-PF	OTHER EXPENSES	STATEMENT	8
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INSURANCE	17,328.	0.	0.	17,328.
AMORTIZATION OF BOND PREMIUMS	3,970.	3,970.	0.	0.
OFFICE	4,949.	0.	0.	4,949.
BANK SERVICE FEES	230.	30.	0.	200.
REPAIRS AND MAINTENANCE	18,288.	0.	0.	18,288.
OTHER EXPENSES FROM PASSTHROUGH ENTITIES	9.	4.	0.	0.
ANNUAL REPORT	158.	0.	0.	158.
TO FORM 990-PF, PG 1, LN 23	44,932.	4,004.	0.	40,923.

FORM 990-PF DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT STATEMENT 9

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE	FAIR MARKET VALUE
COPY MACHINE	1,500.	1,500.	0.	1,500.
TO 990-PF, PART II, LN 14	1,500.	1,500.	0.	1,500.

FORM 990-PF CORPORATE STOCK STATEMENT 10

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK - SEE ATTACHED	7,765,570.	10,946,756.
TOTAL TO FORM 990-PF, PART II, LINE 10B	7,765,570.	10,946,756.

FORM 990-PF CORPORATE BONDS STATEMENT 11

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS - SEE ATTACHED	4,915,538.	4,967,865.
TOTAL TO FORM 990-PF, PART II, LINE 10C	4,915,538.	4,967,865.

FORM 990-PF MORTGAGE LOANS STATEMENT 12

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
MORTGAGE RECEIVABLE - BLITZ SAFE	43,527.	43,527.
TOTAL TO FORM 990-PF, PART II, LINE 12	43,527.	43,527.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT 13
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MUTUAL FUNDS - SEE ATTACHED	COST	8,664,006.	9,553,241.
TOTAL TO FORM 990-PF, PART II, LINE 13		8,664,006.	9,553,241.

FORM 990-PF	OTHER ASSETS	STATEMENT 14
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DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
ESCROW	462,689.	448,194.	448,194.
CAPITALIZED COSTS - PAL			
PROPERTIES	428,132.	0.	0.
DIVIDENDS PAID NEXT YEAR	274.	225.	225.
TO FORM 990-PF, PART II, LINE 15	891,095.	448,419.	448,419.

FORM 990-PF	OTHER LIABILITIES	STATEMENT 15
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DESCRIPTION	BOY AMOUNT	EOY AMOUNT
PAYROLL TAXES PAYABLE	2,233.	2,233.
TOTAL TO FORM 990-PF, PART II, LINE 22	2,233.	2,233.

FORM 990-PF SCHEDULE OF CONTROLLED ENTITIES STATEMENT 16
PART VII-A, LINE 11

NAME OF CONTROLLED ENTITY EMPLOYER ID NO
PALESTRONI PROPERTIES, LLC 22-3417784

ADDRESS EXCESS BUSINESS HOLDING [] YES [X] NO
333 SYLVAN AVENUE
ENGLEWOOD CLIFFS, NJ 07632

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 17

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
LUCIA PALESTRONI 333 SYLVAN AVENUE ENGLEWOOD CLIFFS, NJ 07632	PRESIDENT 20.00	0.	0.	0.
KAREN LLOYD 2982 HURLINGTON DRIVE WELLINGTON, FL 33414	TRUSTEE 1.00	0.	0.	0.
KRISTINE SAYRAFE 333 SYLVAN AVENUE ENGLEWOOD CLIFFS, NJ 07632	EXECUTIVE DIRECTOR 40.00	50,000.	0.	0.
FRANK HUTTLE III 500 FRANK W. BURR BLVD. TEANECK, NJ 07666	TRUSTEE 1.00	0.	0.	0.
DEBBIE SENA 200 GARDEN CITY PLAZA GARDEN CITY, NY 11530	TRUSTEE 1.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		50,000.	0.	0.

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 18

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

KRISTINE SAYRAFE
333 SYLVAN AVENUE
ENGLEWOOD CLIFFS, NJ 07632

TELEPHONE NUMBER

(201) 568-8000

FORM AND CONTENT OF APPLICATIONS

APPLICATIONS CAN BE SUBMITTED ON THE PETITIONING CHARITY'S LETTERHEAD
DESCRIBING THE CHARITY AND PURPOSE FOR THE REQUEST.

ANY SUBMISSION DEADLINES

NONE

RESTRICTIONS AND LIMITATIONS ON AWARDS

NONE

Alfiero & Lucia Palestroni Foundation
Summary of Investments on Hand
As of December 31, 2017

Form 990-PF (2017)
Part II

22-3452466

Description	Corporate Stock	Mutual Funds	Alternative Investments	Corporate Bonds	Fixed Income	Total
Cost Basis						
Fidelity	3,701,593.90	-	-	3,493,808.83	-	7,195,402.73
Ameriprise						
SPS Advantage	367,678.05	1,118,727.35	-	1,062,916.90	-	2,549,322.30
Victory IGC	-	-	-	204,750.55	154,061.91	358,812.46
Munder MCC	319,061.20	-	-	-	-	319,061.20
Miller Howard IE MLP	291,633.21	-	-	-	-	291,633.21
Logan Intl Div ADR	589,621.34	-	-	-	-	589,621.34
Federated SV	468,252.56	-	-	-	-	468,252.56
Santa Barbara DG	602,261.76	-	-	-	-	602,261.76
Kayne Andersen Rdnk SC	199,524.65	-	-	-	-	199,524.65
Total Ameriprise	2,838,032.77	1,118,727.35	-	1,267,667.45	154,061.91	5,378,489.48
Morgan Stanley						
622-112256	-	260,358.70	-	-	-	260,358.70
622-112258	207,931.32	7,284,920.23	-	-	-	7,492,851.55
622-112266	1,018,011.63	-	-	-	-	1,018,011.63
Total Morgan Stanley	1,225,942.95	7,545,278.93	-	-	-	8,771,221.88
Grand Total	7,765,569.62	8,664,006.28	-	4,761,476.28	154,061.91	21,345,114.09
FMV						
Fidelity	5,840,867.60	-	-	3,506,167.50	-	9,347,035.10
Ameriprise						
SPS Advantage	589,975.00	1,259,426.10	-	1,037,454.40	-	2,886,855.50
Victory IGC	-	-	-	253,817.12	170,426.09	424,243.21
Munder MCC	490,192.01	-	-	-	-	490,192.01
Miller Howard IE MLP	342,366.14	-	-	-	-	342,366.14
Logan Intl Div ADR	677,209.17	-	-	-	-	677,209.17
Federated SV	510,111.13	-	-	-	-	510,111.13
Santa Barbara DG	865,549.42	-	-	-	-	865,549.42
Kayne Andersen Rdnk SC	300,002.07	-	-	-	-	300,002.07
Total Ameriprise	3,775,404.94	1,259,426.10	-	1,291,271.52	170,426.09	6,496,528.65
Morgan Stanley						
622-112256	-	311,226.35	-	-	-	311,226.35
622-112258	247,764.83	7,982,588.24	-	-	-	8,230,353.07
622-112266	1,082,718.57	-	-	-	-	1,082,718.57
Total Morgan Stanley	1,330,483.40	8,293,814.59	-	-	-	9,624,297.99
Grand Total	10,946,755.94	9,553,240.69	-	4,797,439.02	170,426.09	25,467,861.74

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a AMERIPRISE LONG TERM - SEE ATTACHED				
b AMERIPRISE SHORT TERM - SEE ATTACHED				
c FIDELITY LONG TERM - SEE ATTACHED				
d FIDELITY SHORT TERM - SEE ATTACHED				
e MORGAN STANLEY LONG TERM - SEE ATTACHED				
f MORGAN STANLEY SHORT TERM - SEE ATTACHED				
g 625 MAIN STREET, HACKENSACK, NJ		P		01/09/17
h SECTION 1231 GAIN (LOSS) FROM PASSTHROUGH ENTITIE				
i CAPITAL GAINS DIVIDENDS				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,148,417.		1,015,314.	133,103.
b 466,360.		434,425.	31,935.
c 1,063,712.		847,815.	215,897.
d 449,708.		450,000.	-292.
e 1,835,327.		1,557,709.	277,618.
f 437,148.		396,537.	40,611.
g 70,000.		432,687.	-362,687.
h			-12.
i 266,958.			266,958.
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			133,103.
b			31,935.
c			215,897.
d			-292.
e			277,618.
f			40,611.
g			-362,687.
h			-12.
i			266,958.
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	603,131.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

**ALFIERO AND LUCIA PALESTRONI
FOUNDATION, INC.**

22-3452466

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
EHMC FOUNDATION 350 ENGLE STREET ENGLEWOOD, NJ 07631	NONE	PC	BEHAVIORAL HEALTH UNIT	5,000.
FRIEDRICH'S ATAXIA RESEARCH ALLIANCE P.O. BOX 1537 SPRINGFIELD, VA 22151	NONE	PC	GENERAL	25,000.
GIRL SCOUTS OF NORTHERN NEW JERSEY 95 NEWARK POMPTON TURNPIKE RIVERDALE, NJ 07457	NONE	PC	SUPPORT OF GIRLS IN LOW INCOME COMMUNITIES	5,000.
HOLY NAME MEDICAL CENTER FOUNDATION 718 TEANECK ROAD TEANECK, NJ 07666	NONE	PC	GENERAL	525,000.
LAKE DRIVE EDUCATIONAL FOUNDATION P.O. BOX 155 MOUNTAIN LAKES, NJ 07046	NONE	PC	GENERAL	15,000.
MARBLEJAM KIDS 214 STATE STREET HACKENSACK, NJ 07601	NONE	PC	GALA SPONSOR	1,500.
MENTAL HEALTH ASSOCIATION OF MORRIS COUNTY 100 ROUTE 46 MOUNTAIN LAKES, NJ 07046	NONE	PC	GENERAL	2,500.
MICHAEL GERARD PUHARIC MEMORIAL FUND, INC. P.O. BOX 787 MATAWAN, NJ 07747	NONE	PC	GENERAL	10,000.
ST. FRANCIS CHURCH 308 JEFFERSON STREET HOBOKEN, NJ 07030	NONE	PC	GENERAL	15,000.
ST. JOSEPH'S CHURCH 61 MONROE STREET HOBOKEN, NJ 07030	NONE	PC	GENERAL	15,000.
Total from continuation sheets				735,500.

ALFIERO AND LUCIA PALESTRONI
FOUNDATION, INC.

22-3452466

Part XV. Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SUMMIT SPEECH SCHOOL 705 CENTRAL AVENUE NEW PROVIDENCE, NJ 07974	NONE	PC	EARLY INTERVENTION PROGRAM	50,000.
THE CATHOLIC COMMUNITY OF SAINTS PETER AND PAUL 404 HUDSON STREET HOBOKEN, NJ 07030	NONE	PC	GENERAL	15,000.
THE SEEING EYE 10 WASHINGTON VALLEY ROAD MORRISTOWN, NJ 07960	NONE	PC	GENERAL	50,000.
THE VALERIE FUND 2101 MILLBURN AVENUE MAPLEWOOD, NJ 07040	NONE	PC	GENERAL	1,500.
Total from continuation sheets				

Description	Beginning of Year		End of Year		Unrealized Gain (Loss)
	Shares	Book Value at Cost	Book Value at Cost	FMV	
ABB LIMITED SPONSORED ADR	734.000	15,465.31	15,465.31	19,685.88	4,220.57
ABBOTT LABORATORIES	0.000	6,120.90	-	-	-
ABBVIE INC	771.000	53,335.78	41,853.79	74,563.41	32,709.62
ACCENTURE PLC SHS CL A NEW	3,959.000	148,014.41	148,014.41	606,083.31	458,068.90
ACUITY BRANDS INC	0.000	5,123.53	-	-	-
ADVANCE AUTO PARTS INC	0.000	4,194.03	-	-	-
AETNA INC (NEW) (CT)	0.000	16,008.92	-	-	-
AFFILIATED MANAGERS GROUP INC	46.000	3,503.97	3,972.42	9,441.50	5,469.08
AGILENT TECHNOLOGIES INC	119.000	4,588.53	5,151.23	7,969.43	2,818.20
AKZO NOBEL NV NEW SPONSORED ADR	350.000	11,552.15	7,866.25	10,199.00	2,332.75
ALIGN TECHNOLOGY INC	24.000	-	3,667.58	5,332.56	1,664.98
ALLERGAN PLC	73.000	-	12,716.77	11,941.34	(775.43)
ALLIANZ SE SPONSORED ADR REPSTG 1/10 SH	928.000	16,310.96	13,992.30	21,311.52	7,319.22
ALTRIA GROUP INC	1,061.000	13,231.69	71,556.12	75,766.01	4,209.89
AMERICAN ELECTRIC POWER COMPANY INC	273.000	13,241.49	12,882.15	20,084.61	7,202.46
AMERICAN EXPRESS CO	0.000	16,493.81	-	-	-
AMERICAN SOFTWARE INC CLASS A	362.000	3,532.03	3,532.03	4,210.06	678.03
AMERIPRISE FINCL INC	0.000	5,963.43	-	-	-
AMETEK INC NEW	96.000	3,382.23	3,693.88	6,957.12	3,263.24
AMPHENOL CORP CLASS A NEW	87.000	4,798.89	5,225.37	7,638.60	2,413.23
ANIKA THERAPEUTICS INC	206.000	-	10,108.54	11,105.46	996.92
AO SMITH	151.000	5,009.52	5,585.51	9,253.28	3,667.77
APACHE CORP	0.000	16,595.22	-	-	-
APPLE INC	214.000	36,149.12	17,119.35	36,215.22	19,095.87
ARTISAN PARTNERS ASSET MGMT INC CL A	241.000	9,390.47	8,974.73	9,519.50	544.77
ASTRAZENECA PLC SPONSORED ADR	1,918.000	17,870.04	58,012.97	66,554.60	8,541.63
AT&T INC	2,669.000	57,887.10	98,626.71	103,770.72	5,144.01
AXA SA SPONSORED ADR	729.000	16,597.29	16,597.29	21,625.78	5,028.49
BADGER METER INC	236.000	4,381.54	4,381.54	11,280.80	6,899.26
BAE SYSTEMS PLC SPONSORED ADR	585.000	15,714.48	16,805.06	18,240.30	1,435.24
BALL CORP	137.000	-	5,669.12	5,185.45	(483.67)
BANK MONTREAL QUEBEC	93.000	4,066.87	5,929.06	7,441.86	1,512.80
BANK OF HAWAII CORP	138.000	7,110.31	8,023.78	11,826.60	3,802.82
BARD CR INC	22.000	4,593.88	3,077.46	7,287.28	4,209.82
BASF SE	676.000	13,385.85	13,385.85	18,569.72	5,183.87
BAXTER INTL INC	145.000	-	6,860.58	9,372.80	2,512.22
BB&T-CORP	5,218.000	227,948.07	230,709.55	259,438.96	28,729.41
BCE INC NEW	1,972.000	32,961.41	88,679.15	94,675.72	5,996.57

Alfiero & Lucia Palestroni Foundation
Schedule of Investments on Hand
Stocks - Book Value Listed at Cost
As of December 31, 2017

Form 990-PF (2017)
Part II

22-3452466

Description	Beginning of Year		End of Year		Unrealized Gain (Loss)
	Shares	Book Value at Cost	Book Value at Cost	FMV	
BECTON DICKINSON & COMPANY	103.000	-	18,338.27	22,048.18	3,709.91
BIOMARIN PHARMACEUTICAL INC	83.000	2,648.95	3,281.57	7,401.11	4,119.54
BLACK HILLS CORP	0.000	1,891.65	-	-	-
BLACKROCK INC	47.000	6,702.61	12,404.29	24,144.37	11,740.08
BP PLC SPONSORED ADR	1,027.000	9,640.80	36,941.54	43,164.81	6,223.27
BRIDGESTONE CORP ADR LTD	620.000	10,397.40	10,397.40	14,408.80	4,011.40
BRITISH AMERN TOBACCO PLC SPONS ADR 25P	588.000	17,647.30	37,871.52	39,390.12	1,518.60
BROADCOM LTD	15.000	3,702.92	1,052.39	3,853.50	2,801.11
BURLINGTON STORES INC	57.000	2,605.46	4,619.11	7,012.71	2,393.60
CA INCORPORATED	0.000	12,721.79	-	-	-
CABOT MICROELECTRONICS CORP	0.000	4,585.43	-	-	-
CANADIAN IMPERIAL BANK COMMERCE	562.000	15,245.10	47,646.11	54,744.42	7,098.31
CARLISLE COMPANIES INC	51.000	4,189.83	4,614.78	5,796.15	1,181.37
CASS INFORMATION SYSTEMS INC	177.000	5,916.89	5,913.55	10,303.17	4,389.62
CBRE GROUP INC CL A	138.000	2,035.00	3,912.75	5,976.78	2,064.03
CBS CORP NEW CL B SHRS	4,500.000	17,851.65	290,616.60	265,500.00	(25,116.60)
CDW CORP	103.000	4,318.05	4,659.35	7,157.47	2,498.12
CEB INC	0.000	6,144.62	-	-	-
CELANESE CORP SERIES A COM STK	0.000	18,439.12	-	-	-
CELGENE CORP	3,500.000	125,605.86	125,605.86	365,260.00	239,654.14
CENTENE CORP DEL	92.000	4,604.85	5,081.41	9,280.96	4,199.55
CENTERPOINT ENERGY INC	155.000	4,355.43	3,546.73	4,395.80	849.07
CF INDUSTRIES HOLDINGS INC	90.000	-	2,573.29	3,828.60	1,255.31
CHEESECAKE FACTORY INC	289.000	8,068.79	12,958.11	13,924.02	965.91
CHEVRON CORP	668.000	36,044.10	64,024.10	83,626.92	19,602.82
CHUBB LTD	127.000	12,835.96	12,835.96	18,558.51	5,722.55
CHURCH & DWIGHT COMPANY INC	0.000	1,857.46	-	-	-
CINEMARK HOLDINGS INC	273.000	8,168.21	8,168.21	9,505.86	1,337.65
CISCO SYSTEMS INC	1,034.000	32,658.78	31,399.74	39,602.20	8,202.46
CITIGROUP INC NEW	0.000	15,475.10	-	-	-
CLARCOR INC	0.000	3,128.68	-	-	-
CME GROUP INC CLASS A	56.000	5,355.15	5,355.15	8,178.80	2,823.65
COACH INC	0.000	204,371.59	-	-	-
COCA-COLA COMPANY	1,439.000	11,365.27	60,872.77	66,021.32	5,148.55
COGNEX CORP	132.000	2,002.45	1,202.75	8,073.12	6,870.37
COLFAX CORP	153.000	-	5,684.62	6,061.86	377.24
COLGATE-PALMOLIVE COMPANY	223.000	13,612.51	14,822.74	16,825.35	2,002.61
COMCAST CORP CL A NEW	594.000	-	22,805.84	23,789.70	983.86

Description	Beginning of Year		End of Year		
	Shares	Book Value at Cost	Book Value at		Unrealized Gain (Loss)
			Cost	FMV	
CONCHO RESOURCES INC	41.000	3,175.77	3,434.49	6,159.02	2,724.53
CONSOLIDATED EDISON INC	0.000	3,622.22	-	-	-
CORE LABORATORIES NV	108.000	8,933.23	10,890.01	11,831.40	941.39
CRACKER BARREL OLD COUNTRY STORE INC	36.000	6,193.74	4,847.27	5,720.04	872.77
CROWN CASTLE INTL CORP NEW	569.000	15,675.86	51,985.55	63,164.69	11,179.14
CVS HEALTH CORP	279.000	13,168.67	17,650.95	20,227.50	2,576.55
CYPRESS SEMICONDUCTOR CORP	611.000	-	8,340.48	9,311.64	971.16
CYRUSONE INC	216.000	-	12,287.64	12,858.48	570.84
DAIMLER AG	145.000	-	10,794.99	12,272.07	1,477.08
DARDEN RESTAURANTS INC	0.000	1,744.40	-	-	-
DIAMONDBACK ENERGY JNC	55.000	3,675.86	4,166.62	6,943.75	2,777.13
DIGITAL REALTY TRUST INC	134.000	8,801.79	8,955.55	15,262.60	6,307.05
DISCOVER FINANCIAL SVCS	0.000	12,751.34	-	-	-
DOLLAR TREE INC	0.000	2,092.98	-	-	-
DOMINION ENERGY INC	741.000	-	55,905.62	60,065.46	4,159.84
DOMINION RESOURCES INC VA NEW	0.000	7,005.44	-	-	-
DOMTAR CORP NEW	0.000	7,297.50	-	-	-
DUKE ENERGY CORP NEW	615.000	11,095.56	48,733.02	51,727.65	2,994.63
DUN & BRADSTREET CORP	0.000	161,256.97	-	-	-
DUNKIN BRANDS GROUP INC	4,700.000	174,680.82	174,680.82	303,009.00	128,328.18
DUPONT FABROS TECHNOLOGY INC	0.000	3,058.85	-	-	-
EAGLE MATERIALS INC	44.000	3,755.36	4,048.91	4,985.20	936.29
EATON CORP PLC	4,130.000	203,770.70	178,510.81	326,311.30	147,800.49
ENBRIDGE INC	211.000	-	6,239.03	8,252.21	2,013.18
ENI SPA SPONSORED ADR	490.000	15,725.57	15,725.57	16,263.10	537.53
ENTERGY CORP NEW	0.000	16,333.35	-	-	-
ENTERPRISE PRODUCTS PARTNERS LTD PARTNERSHIP	387.000	9,195.00	10,259.37	10,259.37	2,980.64
ESSEX PPTY TR INC	0.000	2,499.72	-	-	-
EXPEDIA INC NEW	0.000	4,842.34	-	-	-
EXTRA SPACE STORAGE INC	0.000	12,134.92	-	-	-
EXXON MOBIL CORP	2,985.000	109,260.31	141,536.73	249,665.40	108,128.67
FIDELITY NATIONAL INFORMATION SERVICES INC	378.000	19,968.19	20,604.48	35,566.02	14,961.54
FIFTH 3RD BANCORP OHIO	0.000	12,421.06	-	-	-
FIRST FINL BANKSHS INC	165.000	3,046.99	5,354.05	7,433.25	2,079.20
FMC CORP NEW	57.000	-	5,258.84	5,395.62	136.78
FREEMPORT MCMORAN INC	178.000	-	2,722.66	3,374.88	652.22
GCP APPLIED TECHNOLOGIES INC	0.000	976.41	-	-	-
GENERAL ELECTRIC COMPANY	0.000	8,024.00	-	-	-

Description	Beginning of Year		End of Year		Unrealized Gain (Loss)
	Shares	Book Value at Cost	Book Value at Cost	FMV	
GENERAL MILLS INC	555.000	2,973.24	31,694.06	32,905.95	1,211.89
GENERAL MOTORS COMPANY	0.000	35,633.95	-	-	-
GENESIS ENERGY LTD PARTNERSHIP	0.000	6,883.52	-	-	-
GENL DYNAMICS CORP	0.000	16,329.96	-	-	-
GLAXOSMITHKLINE PLC SPONSORED ADR	1,674.000	30,498.54	70,204.86	59,376.78	(10,828.08)
GRACE WR & COMPANY DE NEW	0.000	3,362.84	-	-	-
GRACO INC	222.000	6,038.37	3,819.14	10,038.84	6,219.70
GRAMERCY PROPERTY TRUST NEW	127.000	-	3,352.11	3,385.82	33.71
GULFPORT ENERGY CORP NEW	85.000	2,790.51	2,893.93	1,084.60	(1,809.33)
HANESBRANDS INC	274.000	-	6,433.75	5,729.34	(704.41)
HARRIS CORP DEL	20.000	-	2,426.87	2,833.00	406.13
HARTFORD FIN SERS GRP INC	0.000	14,310.15	-	-	-
HD SUPPLY HOLDINGS INC	0.000	1,866.21	-	-	-
HEALTH SOUTH CORP NEW	161.000	3,558.20	4,058.40	7,955.01	3,896.61
HENRY JACK & ASSOC INC	79.000	3,911.33	2,971.10	9,239.84	6,268.74
HFF INC CL A	234.000	7,518.48	7,518.48	11,381.76	3,863.28
HONEYWELL INTERNATIONAL INC	154.000	30,839.22	13,651.00	23,617.44	9,966.44
HP INC	343.000	-	6,423.95	7,206.43	782.48
HSBC HOLDINGS PLC SPONSORED ADR NEW	581.000	13,087.77	21,693.07	30,002.84	8,309.77
IMPERIAL BRANDS PLC SPONSORED ADR	473.000	21,432.57	23,520.95	20,182.91	(3,338.04)
ING GROEP NV SPONSORED ADR	897.000	11,065.80	11,065.80	16,558.62	5,492.82
INGERSOLL RAND PLC	199.000	10,875.81	13,760.67	17,748.81	3,988.14
INTEL CORP	0.000	12,366.17	-	-	-
INTERNATIONAL PAPER CO	157.000	11,588.21	8,308.63	9,096.58	787.95
INTERPUBLIC GROUP COMPANY INC	567.000	-	13,926.24	11,430.72	(2,495.52)
INTESA SANPAOLO SPA SPONSORED ADR REPSTG ORDINARY SHARES	674.000	9,568.44	9,568.44	13,449.67	3,881.23
INTL BUSINESS MACHINES CORP	1,227.000	175,491.20	157,191.97	188,246.34	31,054.37
INVESTORS BANCORP INC	20,000.000	-	272,492.95	277,600.00	5,107.05
IPG PHOTONICS CORP	46.000	4,020.85	3,851.13	9,849.98	5,998.85
ISHARES CORE S&P 500 ETF	1,500.000	270,058.25	270,058.25	403,275.00	133,216.75
iShares MSCI India ETF	6,869.000	-	207,931.32	247,764.83	39,833.51
ISHARES RUSSELL 2000 GROWTH ETF	1,000.000	97,619.80	97,619.80	186,700.00	89,080.20
J2 GLOBAL INC	0.000	4,675.72	-	-	-
JAPAN TOBACCO INC ADR	984.000	16,288.59	18,880.19	15,812.88	(3,067.31)
JOHNSON & JOHNSON	147.000	36,072.15	14,450.11	20,538.84	6,088.73
JOHNSON CONTROLS INTL PLC	0.000	8,274.92	-	-	-
JPMORGAN CHASE & CO	289.000	30,051.04	12,496.92	30,905.66	18,408.74

Alfiero & Lucia Palestroni Foundation
Schedule of Investments on Hand
Stocks - Book Value Listed at Cost
As of December 31, 2017

Form 990-PF (2017)
Part II

22-3452466

Description	Beginning of Year		End of Year	
	Shares	Book Value at Cost	Book Value at Cost	Unrealized Gain (Loss)
KAR AUCTION SVCS INC	142.000	4,377.61	4,905.44	7,172.42
KEYCORP NEW	235.000		4,402.56	2,266.98
KILROY RLTY CORP	77.000	3,270.96	3,891.23	4,739.95
KIMBERLY CLARK CORP	238.000	7,704.00	30,256.72	5,748.05
KIMCO REALTY CORP	0.000	4,124.52		1,856.82
KINDER MORGAN INC	0.000	171,402.90		(1,539.64)
KONINKLIJKE PHILIPS NV SPONSORED ADR	356.000	15,895.61		-
KRAFT HEINZ CO	0.000	3,371.09	9,177.63	13,456.80
L BRANDS INC	98.000	6,320.09		-
L3 TECHNOLOGIES INC	32.000		5,946.76	5,901.56
LABORATORY CORP AMER HLDGS COM	2,000.000	262,258.23	5,863.17	6,331.20
LAFARGEHOLCIM ADR	858.000		262,258.23	319,020.00
LAM RESEARCH CORP	26.000		10,072.92	56,761.77
LAMAR ADVERTISING COMPANY NEW CL A	115.000	5,452.15	5,014.80	(454.74)
LANDSTAR SYSTEM INC	94.000	4,785.76	7,190.14	(228.98)
LIBERTY BROADBAND CORP SER A	36.000	1,340.47	4,785.76	1,347.46
LIBERTY BROADBAND CORP SER C	66.000	2,417.07	\$1,591.75	4,999.64
LIBERTY MEDIA CORP DEL SER A SIRIUSXM GROUP	32.000	928.79	\$2,840.95	3,061.80
LIBERTY MEDIA CORP DEL SER C SIRIUSXM GROUP	105.000	1,451.95	\$408.24	5,620.56
LINCOLN ELECTRIC HOLDINGS INC	69.000		\$1,748.88	1,269.12
LIVE NATION ENTERTAINMENT INC	92.000		5,737.79	4,164.30
LOCKHEED MARTIN CORP	59.000	17,263.67	3,215.89	6,319.02
LOWES COMPANIES	5,288.000	129,300.87	14,337.97	3,916.44
LYONDELLBASELL INDUSTRIES NV ORD SHS CL A	100.000	12,267.58	133,738.14	18,941.95
MACERICH COMPANY	75.000	4,352.90	10,312.22	491,466.72
MAGELLAN MIDSTREAM PARTNERS LTD PARTNERSHIP	0.000	7,939.00	3,242.29	357,728.58
MANHATTAN ASSOCIATES INC	115.000			719.78
MARSH & MCLENNAN COS INC	222.000	12,524.52	5,377.41	1,683.71
MATTEL INC	0.000	12,996.42	11,500.93	-
MCDONALDS CORP	0.000	13,646.61		-
MEDTRONIC PLC	3,845.000	290,597.45		-
MERCK & COMPANY INC NEW	750.000	19,776.10	290,597.45	310,483.75
METLIFE INCORPORATED	0.000	18,825.47	46,492.93	42,202.50
MGM GROWTH PROPERTIES LLC CL A	374.000			19,886.30
MICROCHIP TECHNOLOGY INC	112.000	8,113.63	\$11,544.11	(4,290.43)
MICROSOFT CORP	7,415.000	223,539.91	3,354.67	-
MOND ELEZ INTERNATIONAL INC CL A	336.000	14,280.11	223,539.91	10,902.10
MONOTYPE IMAGING HOLDINGS INC	0.000	9,386.78	14,280.11	9,842.56
				634,279.10
				410,739.19
				100.69
				-

Description	Beginning of Year		End of Year		
	Shares	Book Value at Cost	Book Value at Cost	FMV	Unrealized Gain (Loss)
MONSANTO COMPANY NEW	139,000	15,213.68	15,726.80	16,232.42	505.62
MONSTER BEVERAGE CORP NEW	121,000	1,520.46	1,993.03	7,658.09	5,665.06
MPLX LTD PARTNERSHIP REPSTG LTD PARTNER INT	282,000	8,758.00	\$6,939.30	10,002.54	3,063.23
MUNICH RE GROUP ADR	840,000	14,747.67	14,747.67	18,177.60	3,429.93
NABORS INDUSTRIES LTD NEW	0,000	17,771.98	-	-	-
NATIONAL BEVERAGE CORP	128,000	1,914.50	1,914.50	12,472.32	10,557.82
NATIONAL GRID PLC SPONSORED ADR NEW	1,203,000	32,986.29	84,257.93	70,748.43	(13,509.50)
NESTLES A SPNSD ADR REPSTING REG SHS	206,000	15,234.73	15,234.73	17,709.82	2,475.09
NEWELL BRANDS INC	0,000	5,311.76	-	-	-
NEXTERA ENERGY INC	159,000	12,805.17	12,123.39	24,834.21	12,710.82
NIELSEN HLDGS PLC SHS EUR	366,000	11,597.54	15,848.27	13,322.40	(2,525.87)
NIPPON TELEG & TEL CORP SPON ADR	0,000	15,303.63	-	-	-
NISOURCE INC	244,000	1,695.71	3,397.48	6,263.48	2,866.00
NISSAN MTR LTD NEW SPONSORED ADR	545,000	14,298.32	10,616.60	10,845.50	228.90
NORTHERN TRUST CORP	86,000	3,947.44	4,377.41	8,590.54	4,213.13
NOVARTIS AG SPONSORED ADR	226,000	31,936.10	17,589.17	18,974.96	1,385.79
NOVO NORDISK AS ADR	0,000	11,329.26	-	-	-
NTT DOCOMO INC SPONSORED ADR	667,000	-	15,988.66	15,854.59	(134.07)
NUANCE COMMUNICATIONS INC COM	10,000,000	156,479.75	156,479.75	163,500.00	7,020.25
NVIDIA CORP	12,000	-	1,233.51	2,322.00	1,088.49
NVR INC	1,000	1,818.99	1,818.99	3,508.22	1,689.23
OCCIDENTAL PETROLEUM CORP	689,000	3,967.03	45,057.52	50,751.74	5,694.22
OMEGA HEALTHCARE INVESTORS INC	0,000	2,560.50	-	-	-
OMNICOM GROUP	4,000,000	145,671.24	145,671.24	291,320.00	145,648.76
ORACLE CORP	0,000	16,226.11	-	-	-
ORANGE SPONS ADR	1,002,000	-	17,071.41	17,434.80	363.39
OWENS CORNING INC NEW	42,000	1,865.24	2,048.40	3,861.48	1,813.08
PACKAGING CORP OF AMERICA	188,000	10,522.50	12,424.46	22,663.40	10,238.94
PACWEST BANCORP DELAWARE	78,000	2,842.25	2,842.25	3,931.20	1,088.95
PALO ALTO NETWORKS INC	26,000	3,640.43	3,851.81	3,768.44	(83.37)
PANERA BREAD COMPANY CLASS A	0,000	4,007.12	-	-	-
PARSLEY ENERGY INC CL A	140,000	4,199.57	4,851.47	4,121.60	(729.87)
PATTERSON COMPANIES INC	189,000	8,050.94	8,050.94	6,828.57	(1,222.37)
PEPSICO INC	334,000	187,128.52	33,730.29	40,053.28	6,322.99
PERKINELMER INC	0,000	5,178.82	-	-	-
PFIZER INC	831,000	52,208.83	24,363.09	30,098.82	5,735.73
PHILIP MORRIS INTL INC	608,000	15,177.42	61,429.28	64,235.20	2,805.92
PHILLIPS 66	295,000	15,909.31	20,569.10	29,839.25	9,270.15

Description	Beginning of Year		End of Year		Unrealized Gain (Loss)
	Shares	Book Value at Cost	Book Value at Cost	FMV	
PINNACLE FOODS INC DE	101.000	4,823.48	4,844.91	6,006.47	1,161.56
PNC FINL SVCS GP	0.000	12,107.54	-	-	-
PPL CORP	1,061.000	7,200.00	37,603.55	32,837.95	(4,765.60)
PRAXAIR INC	152.000	13,821.35	18,924.63	23,511.36	4,586.73
PRIMERICA INC	106.000	4,745.34	4,745.34	10,764.30	6,018.96
PROCTER & GAMBLE COMPANY	384.000	33,760.08	30,616.69	35,281.92	4,665.23
PTC INC	120.000	4,281.12	4,759.32	7,292.40	2,533.08
PUBLIC SERVICE ENTERPRISE GP	445.000	13,847.65	19,911.52	22,917.50	3,005.98
PUBLIC STORAGE	144.000	-	30,117.49	30,096.00	(21.49)
QUALCOMM INC	119.000	7,958.56	5,513.65	7,618.38	2,104.73
QUEST DIAGNOSTICS INC	0.000	15,292.49	-	-	-
RADIAN GROUP INC	281.000	3,291.45	3,630.98	5,791.41	2,160.43
RBC BEARINGS INC	105.000	-	6,968.34	13,272.00	6,303.66
RE/MAX HOLDINGS INC CL A	223.000	8,907.40	8,907.40	10,815.50	1,908.10
REALTY INCOME CORP	247.000	2,854.07	13,977.64	14,083.94	106.30
RED HAT INC	0.000	4,041.90	-	-	-
REINSURANCE GROUP AMERICA INC NEW	79.000	4,554.64	4,927.79	12,318.47	7,390.68
RLI CORP	112.000	3,622.08	3,622.08	6,793.92	3,171.84
ROCHE HOLDING LIMITED SPONSORED ADR	603.000	17,889.68	18,865.20	19,042.74	177.54
ROPER TECHNOLOGIES INC	28.000	-	5,762.70	7,252.00	1,489.30
ROSS STORES INC	155.000	4,449.64	5,104.10	12,438.75	7,334.65
ROYAL BANK CANADA MONTREAL	240.000	13,021.04	14,944.52	19,596.00	4,651.48
ROYAL CARIBBEAN CRUISES LIMITED	42.000	5,108.89	3,380.49	5,009.76	1,629.27
ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG A SHARES	0.000	44,054.27	-	-	-
ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG B SHARES	390.000	19,020.91	21,169.68	26,633.10	5,463.42
RPM INTERNATIONAL INC	0.000	4,166.47	-	-	-
RSC BEARINGS INC	0.000	6,968.34	-	-	-
RYDER SYSTEMS INC	0.000	18,236.43	-	-	-
SABRE CORP	351.000	-	6,769.84	7,195.50	425.66
SALLY BEAUTY HOLDINGS INC	348.000	7,049.08	9,373.06	6,528.48	(2,844.58)
SANOFI SPON ADR	1,046.000	30,343.79	43,821.01	44,978.00	1,156.99
SBA COMMUNICATIONS CORP CLASS A	67.000	2,970.34	3,546.30	10,945.12	7,398.82
SCHLUMBERGER LTD	194.000	14,074.12	15,445.58	13,073.66	(2,371.92)
SCOTT'S MIRACLE-GRO CO	120.000	6,266.93	9,463.93	12,838.80	3,374.87
SEMPRA ENERGY	56.000	5,111.20	5,764.66	5,987.52	222.86
SIEMENS AG SPONS ADR	299.000	18,937.64	16,075.73	20,711.73	4,636.00
SIGNATURE BANK NEW YORK NY	0.000	2,813.38	-	-	-
SITEONE LANDSCAPE SUPPLY INC	212.000	-	7,814.60	16,260.40	8,445.80

Description	Beginning of Year		End of Year		
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SKYWORKS SOLUTIONS INC	70.000	2,017.79	1,645.41	6,646.50	5,001.09
SLM CORP	665.000	6,287.26	6,684.90	7,514.50	829.60
SNAP ON INC	20.000		3,342.62	3,486.00	143.38
SOUTHERN COMPANY	485.000	9,879.20	23,197.46	23,323.65	126.19
SPECTRA ENERGY CORP	0.000	6,255.59		-	-
SUN COMMUNITIES INC	43.000	2,040.50	3,269.45	3,989.54	720.09
SUN HYDRAULICS INC	0.000	3,913.46		-	-
SUNTRUST BANKS INC	138.000	5,040.18	5,988.62	8,913.42	2,924.80
SVB FINANCIAL GROUP	54.000	6,397.24	6,620.62	12,623.58	6,002.96
SWISSCOM SPONSORED ADR	0.000	14,232.00		-	-
SYNNEX CORP	31.000	-	3,890.26	4,214.45	324.19
SYSCO CORP	112.000	-	6,834.86	6,801.76	(33.10)
TAIWAN SEMICONDUCTOR MANUFACTURING COMPANY LTD SPONS ADR	208.000	-	6,408.72	8,247.20	1,838.48
TAPESTRY INC	215.000	-	7,509.42	9,509.45	2,000.03
TAPESTRY INC COM	3,600.000	-	196,970.25	159,228.00	(37,742.25)
TARGET CORP	169.000	-	9,867.22	11,027.25	1,160.03
TE CONNECTIVITY LTD	0.000	14,048.73		-	-
TEXAS INSTRUMENTS INC	70.000		6,855.68	7,310.80	455.12
THOMSON REUTERS CORP	0.000	12,506.70		-	-
THOR INDUSTRIES INC	184.000	11,319.05	11,934.06	27,732.48	15,798.42
TORONTO DOMINION BANK NEW	141.000	5,493.61	5,493.61	8,259.78	2,766.17
TOTAL S A SPON ADR	1,399.000	22,871.49	71,559.33	77,336.72	5,777.39
TOYOTA MOTOR CORP SPONSORED ADR	98.000	14,202.40	10,464.92	12,462.66	1,997.74
TRANSCANADA CORP	212.000	9,353.22	9,353.22	10,311.68	958.46
TRAVELERS COMPANIES INC COM	0.000	19,560.44		-	-
TUPPERWARE BRANDS CORP	4,000.000	292,019.11	292,019.11	250,800.00	(41,219.11)
TYLER TECHNOLOGIES INC	29.000	-	5,173.53	5,134.45	(39.08)
UNILEVER PLC SPONSORED ADR NEW	313.000	23,287.68	13,991.44	17,321.42	3,329.98
UNION PACIFIC CORP	145.000	12,901.47	10,651.94	19,444.50	8,792.56
UNITED PARCEL SERVICE INC CL B	188.000	5,307.61	20,303.22	22,400.20	2,096.98
UNITED TECHNOLOGIES CORP	0.000	17,184.11		-	-
UNITEDHEALTH GROUP INC	130.000	10,563.00	9,602.04	28,659.80	19,057.76
US BANCORP DEL COM NEW	7,000.000	236,284.52	219,930.73	375,060.00	155,129.27
VANGUARD SHORT TERM CORP BOND ETF	0.000	100,371.25		-	-
VCA INC	0.000	4,435.64		-	-
VENTAS INC	429.000	4,370.82	26,863.25	25,744.29	(1,118.96)
VERISK ANALYTICS INC	62.000	4,646.94	5,872.64	5,952.00	79.36

Description	Beginning of Year		End of Year		Unrealized Gain (Loss)
	Shares	Book Value at Cost	Book Value at Cost	FMV	
VERIZON COMMUNICATIONS INC	1,900.000	37,656.77	91,282.53	100,567.00	9,284.47
VF CORP	0.000	11,367.83	-	-	-
VINCI SA ADR	673.000	14,926.68	12,356.28	17,161.50	4,805.22
VODAFONE GROUP PLC NEW SPONSORED ADR NO PAR	3,520.000	45,287.08	101,300.01	112,288.00	10,987.99
VULCAN MATERIALS COMPANY	43.000	4,972.42	5,665.45	5,519.91	(145.54)
WABCO HOLDINGS INC	45.000	1,284.53	3,970.75	6,457.50	2,486.75
WABTEC	0.000	4,767.13	-	-	-
WAL MART STORES INC	0.000	26,571.15	-	-	-
WALT DISNEY CO	143.000	15,801.54	15,801.54	15,373.93	(427.61)
WATSCO INC A	58.000	-	8,212.93	9,862.32	1,649.39
WD 40 COMPANY	48.000	2,467.08	2,467.08	5,664.00	3,196.92
WEC ENERGY GROUP INC	259.000	12,586.07	12,586.07	17,205.37	4,619.30
WELLS FARGO & CO NEW	415.000	40,472.63	15,810.72	25,178.05	9,367.33
WELLTOWER INC	442.000	6,591.88	30,486.75	28,186.34	(2,300.41)
WESTERN DIGITAL CORP	120.000	10,997.78	6,187.58	9,543.60	3,356.02
WESTPAC BANKING CORP SPONSORED ADR	568.000	10,336.29	12,640.43	13,847.84	1,207.41
WEYERHAEUSER COMPANY	302.000	9,647.05	9,774.44	10,648.52	874.08
WHIRLPOOL CORP	86.000	10,414.68	10,414.68	14,503.04	4,088.36
WILLIAMS COS INC DEL	225.000	-	6,407.21	6,860.25	453.04
WILLIAMS SONOMA INC	3,800.000	195,168.96	195,168.96	196,460.00	1,291.04
WYNN RESORTS LIMITED	45.000	4,035.93	4,265.29	7,586.55	3,321.26
XPO LOGISTICS INC	109.000	-	6,972.56	9,983.31	3,010.75
ZOETIS INC CL A	81.000	-	5,692.36	5,835.24	142.88
		7,067,429.54	7,765,569.62	10,946,755.94	3,181,186.32

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			Book Value at Cost		Book Value at Cost	FMV	Unrealized Gain (Loss)
AT&T INC GLBL NOTE CPN 3.000% DUE 2/15/2022 DTD 02/13/12 FC 08/15/12	00206RBD3	20,000	20,147.80	20,120.59	20,040.80	(79.79)	
AT&T INC BOND 11/27/18	00206RCA8	100,000	100,189.32	100,091.24	100,307.00	215.76	
AT&T INC GLBL NOTE CPN 4.250% DUE 3/1/2027 DTD 02/09/17 FC 09/01/17	00206RDQ2	55,000	-	57,268.07	56,068.10	(1,199.97)	
CALL							
AKAMAI TECHS INC SR NOTE CONV CPN 0.000% DUE 02/ 15/ 19 DTD 02/15/	00971TAG6	0	4,190.00	-	-	-	
1502/15/2019							
AMAZON COM INC NOTE CPN 2.500% DUE 11/29/22 DTD 11/29/12 FC 05/29/13	023135AJ5	20,000	20,632.96	20,525.96	19,989.80	(536.16)	
CALL 08/29/22 @ 100.000 11/29/2022	023135AM8	100,000	100,092.46	100,074.92	103,269.00	3,194.08	
AMAZON.COM INC SR NT 3.3% 12/5/2021							
AMERICAN RLTY CAP PPTY'S INC SR NOTE CONV CPN 3.750% DUE	02917TABO	16,000	-	16,583.00	16,430.08	(152.92)	
12/15/2020							
AMGEN INC SR NOTE CPN 2.200% DUE 5/22/2019 DTD 05/22/14 FC 11/22/14	031162BU3	70,000	20,267.74	70,448.30	70,014.00	(434.30)	
CALL 04/22/19 @ 100.0000 5/22/2019							
ANHEUSER BUSCH INBEV FIN INC GTD NOTE CPN 2.650% DUE 02/01/21 DTD	035242AJ5	20,000	20,364.03	20,275.89	20,100.40	(175.49)	
01/25/16 FC 08/01/16 CALL 01/01/21 @ 100.000 02/01/2021	035242AL0	100,000	99,843.00	99,843.00	102,329.00	2,486.00	
ANHEUSER BUSCH INBEV FIN INC 2/1/23							
APPLE COMPUTER INC SR NOTE CPN 2.400% DUE 05/03/23 DTD 05/03/13 FC	037833AK6	20,000	20,152.64	20,129.99	19,794.20	(335.79)	
11/03/13 05/03/2023							
ARES CAP CORP SR NT CONV CPN 4.375% DUE 01/ 15/ 19 DTD 07/ 19/ 13 FC	04010LAM5	14,000	14,044.00	14,044.00	14,280.00	236.00	
01/ 15/ 1401/ 15/2019	053332AL6	100,000	101,835.87	101,386.23	103,787.00	2,400.77	
AUTOZONE INC 11/15/2020							
BP CAP MKTS PLC SR NOTE CPN 3.723% DUE 11/28/28 DTD 11/28/16 FC	05565QDH8	55,000	-	56,976.63	57,608.65	632.02	
05/28/17 CALL	075896AA8	50,000	48,814.50	48,814.50	49,963.50	1,149.00	
BED BATH & BEYOND INC NOT 8/1/24	084601GE7	0	100,000.00	-	-	-	
BERKSHIRE BK CD 0.6% 2/28/2017							
BIOMARIN PHARMACEUTICAL CONV NOTE CPN 1.500% DUE 10/15/20 DTD	09061GAF8	7,000	8,468.75	8,468.75	8,290.66	(178.09)	
10/ 15/ 13 FC 04/ 15/ 1410/ 15/2020	101137AM9	100,000	100,412.89	100,179.63	100,395.00	215.37	
BOSTON SCIENTIFIC CORP BOND 10/1/18	120568AV2	0	99,885.00	-	-	-	
BUNGE LIMITED FINANCE CO 6/15/2017	120568AX8	100,000	-	96,712.00	95,567.00	(1,145.00)	
BUNGE LIMITED FINANCE CO 3.25% 8/15/26	149159KW4	0	50,000.00	-	-	-	
CATHAY BK LOS ANGEL CALIF CD 0.7% 6/28/17							
CHEVRON CORP NEW NOTE CPN 1.718% DUE 06/24/18 DTD 06/24/13 FC	166764AEO	20,000	20,110.20	20,031.42	20,000.00	(31.42)	
12/24/13 CALL 05/24/18 @ 100.000 06/24/2018							
CITRIX SYS INC SR NOTE CONV CPN 0.500% DUE 04/15/19 DTD 04/30/14 FC	177376AD2	9,000	-	11,451.79	11,638.17	186.38	
10/15/14 04/15/2019							
COMCAST CORP NEW BOND CPN 3.300% DUE 02/01/27 DTD 01/10/17 FC	20030NBY6	55,000	-	56,262.48	56,111.00	(151.48)	
08/01/17 CALL	205887BJ0	100,000	-	100,362.51	101,289.00	926.49	
CONAGRA BRANDS INC 3.25% 9/15/2022							

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			Cost	Cost	Cost	FMV	
CREDIT SUISSE NY NOTE 2/15/18	22541HCC4	200,000	200,000.00	200,000.00	200,906.00	906.00	
DANAHER CORP SR NOTE CONV CPN 0.000% DUE 01/22/21 OTI) 01/22/01							
CALL 01/15/17@ 88.86501/22/2021	235851AF9	4,000	3,712.04	3,663.05	14,182.52	10,519.47	
DR PEPPER SNAPPLE GROUP 3.13% 12/15/23	26138EAW9	100,000	100,538.24	100,467.84	100,918.00	450.16	
DYCOM INDS INC SR NOTE CONV CPN 0.750% DUE 09/15/21	267475AB7	8,000	-	8,855.34	10,430.00	1,574.66	
EBAY INC 2.6% 7/15/2022	278642AE3	50,000	49,029.50	49,029.50	49,549.00	519.50	
GENL ELECTRIC CO NOTE CPN 2.700% DUE 10/09/22 DTD 10/09/12 FC 04/09/13							
10/09/2022							
GENERAL ELECTRIC CAPITAL CORP 3/15/23	369604BD4	20,000	20,627.82	20,524.44	19,969.40	(555.04)	
GILEAD SCIENCES INC SR NOTE CPN 3.650% DUE 03/01/26 DTD 09/14/15 FC	36966THT2	100,000	100,000.00	100,000.00	101,150.00	1,150.00	
03/01/16 CALL 12/01/25 @ 100.000 03/01/2026	375558BF9	20,000	21,265.82	21,139.12	20,748.00	(391.12)	
GOLDMAN SACHS GROUP INC 4/30/18	38141GVK7	100,000	100,136.88	100,034.62	100,298.00	263.38	
GOLDMAN SACHS GROUP INC SR NOTE CPN 3.500% DUE 11/16/26 DTD							
11/16/16 FC 05/16/17 CALL	38145GAH3	55,000	-	54,981.85	55,321.75	339.90	
GOLDMAN SACHS GROUP INC GLOBAL NOTE CPN 2.550% DUE 10/23/19 DTD							
10/23/14 FC 04/23/15 10/23/2019	38148FAB5	20,000	20,242.42	20,157.80	20,037.60	(120.20)	
ILLUMINA INC SR NOTE CONV CPN 0.000% DUE 06/15/19 DTD	452327AF6	13,000	15,044.99	13,875.27	14,105.00	229.73	
INTEL CORP CONV SUB DEB CPN 3.250% DUE 08/01/39 DTD 07/27/09 FC							
02/01/1008/01/2039	458140AF7	12,000	16,888.92	17,829.72	26,655.00	8,825.28	
INTEL CORP SR NOTE CPN 3.700% DUE 7/29/2025 DTD 07/29/15 FC 01/29/16							
CALL 04/29/25 @ 100.000 07/29/2025	458140AS9	20,000	22,063.26	21,835.94	21,114.80	(721.14)	
JPMORGAN CHASE & CO NOTE CPN 3.125% DUE 01/23/25 DTD 01/23/15 FC							
07/23/15 CALL 10/22/24 @ 100.000 01/23/2025	46625HKC3	20,000	20,339.69	20,300.24	20,110.20	(190.04)	
JPMORGAN CHASE & CO SUB NOTE CPN 4.250% DUE 10/01/27 DTD 09/25/15							
FC 04/01/16 10/01/2027	46625HNJ5	55,000	-	57,828.06	58,456.75	628.69	
JEFFERIES GROUP INC SR DEBENTURE CONV CPN 3.875% DUE 11/01/29 DTD							
10/26/09 FC 05/01/ 10 CALL	472319AG7	9,000	110,744.13	12,731.94	9,000.00	(3,731.94)	
KELLOGG CO 2.65% 12/1/23	487836BS6	100,000	-	98,975.00	99,340.00	365.00	
KRAFT HEINZ FOODS CO GTD SR NOTE CPN 3.000% DUE 06/01/26 DTD							
05/24/16 FC 12/01/16 CALL	50077LAD8	55,000	-	53,229.29	52,925.40	(303.89)	
LAM RESEARCH CORP SR NOTE CONV CPN 1.250% DUE 05/15/18 DTD 11/15/							
11 FC 05/15/ 1205/ 15/2018	512807AL2	0	5,062.50	-	-	-	
LINKEDIN CORP SR NOTE CONV CPN 0.500% DUE 11/01/ 19 DTD 11/ 12/ 14 FC							
05/01/ 1511/01/2019	53578AAB4	0	10,980.38	-	-	-	
LOCKHEED MARTIN CORP 3.35% 9/15/2021	539830AY5	100,000	100,999.69	100,799.77	102,813.00	2,013.23	
MACQUARIE INFRA CO LLC SR NOTE CONV CPN 2.875% DUE 07/15/ 19 DTD							
07J 15/ 14 FC 01/ 15/ 1507/ 15/2019	55608BAA3	6,000	6,812.22	6,812.22	6,183.78	(628.44)	
MCDONALDS CORP MED TERM 12/09/2020	58013MEX8	100,000	100,439.17	100,332.11	101,038.00	705.89	

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Symbol / CUSIP	Description	Shares	Beginning of Year		End of Year		Unrealized Gain (Loss)
			Book Value at Cost	Book Value at Cost	FMV	Book Value at Cost	
58471AAB1	MEDIDATA SLTNS INC SR NOTE CONV CPN 1.000% DUE 08/01/18 DTD 08/12/13 FC 02/01/1408/01/2018	3,000	3,360.75	3,360.75	3,465.00	3,360.75	104.25
595112AY9	MICRON TECH INC BOND CONV 144A CPN 3.000% DUE 11/15/43 DTD 11/12/13 FC 05/15/14 CALL 11/20/18@ 83.04411/15/2043	0	6,072.18	-	-	-	-
61166WAU5	MONSANTO CO NEW SR NOTE CPN 3.375% DUE 07/15/24 DTD 07/01/14 FC 01/15/15 CALL 04/15/24 @ 100.000 07/15/2024	20,000	20,507.36	20,443.99	20,299.80	20,443.99	(144.19)
61166WAW1	MONSANTO CO SR NOTE CPN 2.850% DUE 04/15/25 DTD 04/10/15 FC 10/15/15 CALL	55,000	-	53,835.46	53,787.25	53,835.46	(48.21)
651639AJ5	NEWMONT MINING CORP SR NOTE CONV CPN 1.625% DUE 07/15/17 DTD 07/17/07 FC 01/15/0807/15/2017	0	9,217.50	-	-	-	-
655844BG2	NORFOLK SOUTHERN CORP 12/1/2021	100,000	99,998.81	99,998.81	102,468.00	99,998.81	2,469.19
670008AD3	NOVELLUS SYS INC SR NOTE CONV CPN	4,000	8,583.13	6,769.46	22,010.00	6,769.46	15,240.54
67066GAC8	NVIDIA CORP CONV SR NOTE CPN 1.000% DUE 12/01/18 DTD 12/02/13 FC 06/01/1412/01/2018	0	12,382.22	-	-	-	-
680223AH7	OLD REP INTL CORP SR NOTE CONV CPN 3.750% DUE 03/15/18 DTD 03/08/11 FC09/15/1103/15/2018	10,000	7,107.79	12,146.89	13,862.50	12,146.89	1,715.61
682189AK1	ON SEMICONDUCTOR CORP CONVERTIBLE BOND CPN 1.000% DUE 12/01/20	4,000	-	4,350.00	5,105.00	4,350.00	755.00
718546AC8	PHILLIPS 66 SR NT 4.3% 4/1/2022	50,000	50,884.42	50,729.97	53,142.00	50,729.97	2,412.03
741503AQ9	PRICELINE COM INC SR NOTE CONV CPN 1.000% DUE 03/15/18 DTD 03/12/12 FC 09/15/1203/15/2018	9,000	10,814.68	10,814.68	16,503.75	10,814.68	5,689.07
741503AS5	PRICELINE GRP INC SR NOTE CONV CPN 0.350% DUE 06/15/20 DTD 06/04/13 FC 12/15/1306/15/2020	5,000	5,731.25	5,731.25	6,893.75	5,731.25	1,162.50
74348TAR3	PROSPECT CAP CORP CONV NOTE CPN 4.950% DUE 07/15/22	9,000	-	8,933.75	8,988.75	8,933.75	55.00
749685ATO	RPM INTL INC SR NOTE CONV CPN 2.250% DUE 12/15/20 DTD 12/09/13 FC 06/15/1412/15/2020	7,000	3,311.25	7,885.37	8,080.66	7,885.37	195.29
756577AD4	RED HAT INC CONV BOND CPN 0.250% DUE 10/01/19 DTD 10/07/14 FC 04/01/1510/01/2019	12,000	14,780.87	14,780.87	19,740.00	14,780.87	4,959.13
78355HJX8	RYDER SYS MTN BE FR 2.45% 9/3/2019	50,000	49,915.00	49,915.00	50,055.00	49,915.00	140.00
79466LAD6	SALESFORCE COM INC SR NOTE CONV CPN 0.250% DUE 04/01/18 DTD 10/01/13 FC 04/01/1404/01/2018	7,000	8,076.20	8,076.20	10,718.75	8,076.20	2,642.55
80280JPY1	SANTANDER BK CD 0.7% 5/31/2017	0	100,000.00	-	-	-	-
842587CM7	SOUTHERN CO SR NOTE CPN 2.750% DUE 06/15/20 DTD 06/12/15 FC 12/15/15 CALL 05/15/20 @ 100.000 06/15/2020	20,000	20,527.22	20,374.37	20,126.60	20,374.37	(247.77)
84860WAB8	SPIRIT REALTY CAP INC NEW SR NOTE CONV CPN 3.750% DUE 05/15/21 DTD 05/20/14 FC 11/15/1405/15/2021	7,000	8,670.00	7,586.25	7,253.75	7,586.25	(332.50)
855030AL6	STAPLES INC 1/12/2018	0	101,041.15	-	-	-	-
871503AJ7	SYMANTEC CORP SR NT 6/15/2017	0	50,169.74	-	-	-	-

Alfiero & Lucia Palestroni Foundation
Schedule of Investments on Hand
Corporate Bonds & Fixed Income - Book Value Listed at Cost
As of December 31, 2017

Form 990-PF (2017)
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22-3452466

Symbol / CUSIP	Description	Shares	Beginning of Year		End of Year	
			Book Value at Cost	Book Value at Cost	FMV	Unrealized Gain (Loss)
TEVA PHARM FINL LLC GTD SR DEB CONVINTO ADR OF TEVA PHARM INDS CPN 0.250% DUE 02/01/26 DTD 01/31/06 FC 08/01/06 CALL 01/20/17@ 100. 00002/01/2026		0	16,838.13	-	-	-
TEVA PHARMACEUTICAL 7/21/23		100,000	96,345.00	96,345.00	87,081.00	(9,264.00)
THERMO FISHER SCIENTIFIC 4/15/23		100,000	98,738.49	98,738.49	100,617.00	1,878.51
TIME WARNER INC NEW NOTE CPN 3.600% DUE 07/15/25 DTD 06/04/15 FC 01/15/16 CALL 04/15/25 @ 100.000 07/15/2025		20,000	21,023.47	20,912.49	20,044.80	(867.69)
TOLL BROS INC CONV GTD NOTE CPN 0.500% DUE 09/15/32 DTD 09/11/12 FC 03/15/13 CALL 09/15/17@ 100.00009/15/2032		0	11,258.62	-	-	-
UNITED PARCEL SERVICE INC SR NOTE CPN 2.450% DUE 10/01/22 DTD 09/27/12 FC 04/01/13 10/01/2022		50,000	-	50,844.20	49,879.50	(964.70)
VERIZON COMMNS INC NOTE CPN 5.150% DUE 09/15/23 DTD 09/18/13 FC 03/15/14 09/15/2023		20,000	22,905.67	22,505.45	22,252.80	(252.65)
VERIZON COMM NS INC NOTE CPN 3.500% DUE 11/01/24 DTD 10/29/14 FC 05/01/15 CALL		50,000	-	51,037.73	50,896.50	(141.23)
WAL-MART STORES INC NOTE CPN 5.800%DUE 02/15/18 DTD 08/24/07 FC 02/15/08 02/15/2018		100,000	102,600.59	100,286.42	100,442.00	155.58
WAL-MART STORES INC NOTE CPN 3.250% DUE 10/25/20 DTD 10/25/10 FC 04/25/11 10/25/2020		20,000	21,334.33	20,991.75	20,605.00	(386.75)
WALGREEN CO SR NT 3.1% 9/15/2022		100,000	97,000.00	97,000.00	100,400.00	3,400.00
WALGREEN BOOTS ALLIANCE SR UNSECD NOTE CPN 3.300% DUE 11/18/2021 DTD 11/18/14 FC 05/18/15 CALL 09/18/21 @100.000 11/18/2021		20,000	20,703.91	20,561.30	20,333.80	(227.50)
WELLPOINT INC SR NOTE CONV CPN 2.750% DUE 10/15/42 DTD 10/09/12 FC 04/15/13 CALL 10/20/22@ 100.00010/15/2042		0	14,054.17	-	-	-
WELLS FARGO & CO MTN 6/2/2017		0	100,016.45	-	-	-
WELLS FARGO & CO NOTE CPN 3.069% DUE 01/24/23 DTD 01/24/17 FC 07/24/17 CALL		50,000	-	50,757.05	50,375.50	(381.55)
XILINX INC SR NOTE CONV CPN 2.625% DUE06/15/17 DTD 06/09/10 FC 12/15/1006/15/2017		0	6,135.00	-	-	-
ZIMMER BIOMET HLDGS 3.55% 4/1/25		100,000	-	98,689.00	99,934.00	1,245.00
			3,094,484.18	3,437,855.97	3,497,887.02	60,031.05
AMG CAPITAL TRUST II CONV PFD 5.15% 10/15/37		126,000	4,496.79	7,564.31	8,032.50	468.19
ANTHEM INC CORP UNIT		384,000	-	19,832.06	21,504.00	1,671.94
ALLERGAN PLC PFD CONV SER A 5.5% 3/1/2018		0,000	4,091.21	-	-	-
ARCONIC INC PFD CONV CL B SER I		0,000	2,425.54	-	-	-
BECTON DICKINSON & CO DEP SHS REPSTG 1/20TH MAND CONV PFD A 6.12% BDXA		391,000	-	21,528.68	22,638.90	1,110.22
DOMINION RES INC VA NEW 2014 SER A CORP UNIT 7/1/2017		0,000	16,440.30	-	-	-

Alfiero & Lucia Palestro Foundation
Schedule of Investments on Hand

Corporate Bonds & Fixed Income - Book Value Listed at Cost
As of December 31, 2017

Form 990-PF (2017)
Part II

22-3452466

Description	Symbol / CUSIP	Shares	Beginning of Year		End of Year		
			Book Value at		Book Value at		Unrealized Gain (Loss)
			Cost		Cost	FMV	
DOMINION ENERGY INC UTS C/O 2016 SER A-2 & A-1 1/40 RMKTABLE SUB N1DCUD		341.000	-		17,493.78	17,616.06	122.28
DTE ENERGY COMPANY UTS CORP UNIT	DTV	225.000	-		12,601.74	12,141.00	(460.74)
WELLTOWER INC 6.5% PERPETUAL CONV SER I	HCN'I	276.000	7,319.21		17,079.35	16,524.12	(555.23)
HESS CORP DEP SHS REPSTG 1/20TH INT MANDATORY CONV PFD SER A							
PERPTL 8%							
NEXTERA ENERGY INC UTS 6.371% CORP UNIT	HES'A	112.000	5,065.50		6,979.58	6,482.56	(497.02)
NEXTERA ENERGY INC UTS 6.123% EQUITY/CORPORATE UNIT	NEE'Q	0.000	10,989.37		-	-	-
NEW YORK CMNTY CAP TR V BIFURCATED OPT NT UNIT SECS BONUSES	NEE'R	262.000	-		13,544.89	14,724.40	1,179.51
CONV 6% 11/1/2051							
STANLEY BLACK & DECKER INC CORP UNIT 2017	NYCB'U	235.000	9,956.89		9,524.28	11,921.47	2,397.19
TYSON FOODS INC TANGIBLE EQIY UNIT 1 PREPAID STK PURC	SWP	135.000	-		14,767.11	16,571.25	1,804.14
CONTACT&ISR NT 4. 75 7/15/2017							
WELLS FARGO & COMPANY PERPETUAL PFD CONVERTIBLE CL A 7.5%	TSNU	0.000	3,263.23		-	-	-
	WFC'L	17.000	8,508.70		13,146.13	22,269.83	9,123.70
			60,784.81		126,148.67	131,585.01	5,436.34
Total			3,155,268.99		3,564,004.64	3,629,472.03	65,467.39
Amortization			19,722.33		23,620.31		
Grand Total			3,174,991.32		3,587,624.95		

Description	Shares	Symbol/ CUSIP	Beginning of Year		End of Year		
			Book Value at Cost		Book Value at Cost	FMV	Unrealized Gain (Loss)
ACAP STRATEGIC INTERVAL COMMON	19,391.050	XCAPX	253,519.19		260,358.70	311,226.35	50,867.65
BLACKROCK HEALTH SCIENCE OPP I	5,690.509	SHSSX	198,190.48		248,368.81	319,522.08	71,153.27
BLACKROCK STRATEGIC INC OPP I	60,514.371	BSIIX	340,242.53		614,070.63	600,871.89	(13,198.74)
BLACKROCK STRATEGIC INCOME OPPTY INVESTOR CL A	0.000	BASIX	216,154.25		-	-	-
BLACKROCK TOTAL RET I	36,012.865	MAHGX	420,146.95		433,050.23	421,350.52	(11,699.71)
COLUMBIA ACORN INTL CL A	0.000	LAIAX	114,999.99		-	-	-
COLUMBIA EMERGING MARKETS BOND CL A	0.000	REBAX	99,999.99		-	-	-
DELAWARE SMALL CAP CORE INST	8,272.601	DCCIX	-		195,906.93	199,452.41	3,545.48
DEUTSCHE GLOBAL INFRASTRUCTURE CL A	0.000	TOLIX	127,783.98		-	-	-
DEUTSCHE GLOBAL INFRASTRUCTURE CL S	8,735.230	TOLSX	-		130,416.45	130,766.39	349.94
EATON VANCE SHORT DURATION STRATEGIC INCOME CL A	0.000	ETSIX	124,537.78		-	-	-
EV ATLANTA CAP SMID CAP I	17,977.713	EISMX	300,513.91		339,816.07	604,230.93	264,414.86
FIDELITY ADVISOR NEW INSIGHTS CL A	0.000	FNIAIX	414,575.92		-	-	-
FIDELITY ADVISOR NEW INSIGHTS CL I	14,828.920	FINSX	-		369,980.43	475,118.59	105,138.16
GOLDMAN SACHS INT SMCP INSGH I	15,732.593	GICIX	-		177,543.63	200,590.56	23,046.93
GOLDMAN SACHS STRATEGIC INC I	45,009.026	GSZIX	506,285.26		472,874.42	427,135.66	(45,738.76)
HENDERSON GLOBAL INCOME I	43,854.575	HFQIX	567,290.47		355,658.82	350,398.05	(5,260.77)
JOHN HANCOCK INTL GROWTH CL I	6,016.847	GOGIX	-		149,999.99	166,606.49	16,606.50
JP MORGAN LARGE CAP GRW A	37,101.749	OLGAX	1,161,360.79		1,341,294.88	1,395,396.78	54,101.90
JP MORGAN MID CAP VALUE S	4,702.665	JMVX	208,791.90		141,855.21	187,260.12	45,404.91
KOPERNIK GLB ALL CAP INSTL	28,555.113	KGGIX	-		303,666.80	300,685.34	(2,981.46)
LOOMIS SAYLES STRATEGIC INC Y	39,552.801	NEZYX	543,685.10		567,912.11	574,306.67	6,394.56
LORD ABBETT SHORT DURATION INCOME CL A	0.000	LALDX	138,132.54		-	-	-
LORD ABBETT SHORT DURATION INCOME CL F	31,616.596	LDLFX	-		143,142.24	134,370.53	(8,771.71)
NATIXIS OAKMARK INTL A	49,657.075	NOIAX	710,327.12		667,289.17	773,657.23	106,368.06
OPPENHEIMER DEVELOPING MARKETS CL A	0.000	ODMAX	101,799.05		-	-	-
OPPENHEIMER DEVELOPING MKTS Y	16,991.853	ODVYX	656,064.36		555,666.87	729,630.17	173,963.30
OPPENHEIMER EMERG MKTS INNOV Y	17,375.908	EMIYX	-		156,742.22	201,734.29	44,992.07
PIMCO INCOME P	45,704.088	PONPX	499,986.00		564,832.97	567,187.73	2,354.76
PRINCIPAL GLB DIVERS INC INST	33,938.520	PGDIX	-		473,558.70	479,890.67	6,331.97
PRINCIPAL GLB DIVERS INC P	0.000	PGDPX	451,776.21		-	-	-
VIRTUS QUALITY SM CAP I	0.000	PXQX	204,199.83		-	-	-
			8,360,363.60		8,664,006.28	9,551,389.45	887,383.17

Description	Quantity	How Acquired	Date Acquired	Date Sold	Gross Sales		Cost Basis	Adjustments	Gain/(Loss)	Notes
					Price					
THOMSON REUTERS CORP	300	P	6/20/2016	1/3/2017	13,125.49		12,506.70	-	618.79	
TE CONNECTIVITY LTD	42	P	1/12/2016	1/6/2017	2,865.06		2,446.00	-	419.06	
TE CONNECTIVITY LTD	91	P	1/12/2016	1/9/2017	6,107.31		5,299.66	-	807.65	
TE CONNECTIVITY LTD	19	P	6/21/2016	1/9/2017	1,275.16		1,173.97	-	101.19	
WESTERN DIGITAL CORP	13	P	7/11/2016	1/9/2017	930.83		640.75	-	290.08	
MACERICH COMPANY	3	P	4/21/2016	1/10/2017	212.38		230.54	-	(18.16)	
MACERICH COMPANY	5	P	8/11/2016	1/10/2017	353.97		422.20	-	(68.23)	
NISOURCE INC	14	P	4/21/2016	1/10/2017	307.95		309.22	-	(1.27)	
NISOURCE INC	2	P	8/11/2016	1/10/2017	43.99		49.91	-	(5.92)	
PERKINELMER INC	2	P	8/11/2016	1/10/2017	107.09		109.38	-	(2.29)	
PINNACLE FOODS INC DE	8	P	11/10/2016	1/10/2017	424.21		378.32	-	45.89	
VCA INC CHG	11	P	6/27/2016	1/10/2017	1,000.44		712.41	-	288.03	
VCA INC CHG	4	P	8/11/2016	1/10/2017	363.79		290.76	-	73.03	
CRACKER BARREL OLD COUNTRY STORE INC	9	P	11/1/2016	1/12/2017	1,421.96		1,211.82	-	210.14	
PERKINELMER INC	7	P	4/21/2016	1/12/2017	369.90		359.80	-	10.10	
PERKINELMER INC	1	P	8/11/2016	1/12/2017	52.84		54.69	-	(1.85)	
RED HAT INC	6	P	4/21/2016	1/12/2017	436.45		457.82	-	(21.37)	
RED HAT INC	3	P	8/11/2016	1/12/2017	218.22		223.57	-	(5.35)	
RED HAT INC	2	P	1/10/2017	1/12/2017	145.48		143.93	-	1.55	
VCA INC CHG	5	P	6/27/2016	1/12/2017	454.80		323.82	-	130.98	
HESS CORP DEP SHS REPSTG 1/20TH INT										
MANDATORY CONV PFD SER A PERPTL 8%	2	P	4/27/2016	1/24/2017	135.25		154.97	-	(19.72)	
WELLTOWER INC 6.5% PERPETUAL CONV SER I	4	P	6/7/2016	1/24/2017	242.35		257.58	-	(15.23)	
ABBOTT LABORATORIES	3	P	6/22/2016	1/26/2017	122.50		116.22	-	6.28	
CRACKER BARREL OLD COUNTRY STORE INC	1	P	11/1/2016	1/26/2017	160.94		134.65	-	26.29	
INTL BUSINESS MACHINES CORP	11	P	7/20/2016	1/26/2017	1,957.37		1,777.92	-	179.45	
UNITED PARCEL SERVICE INC CL B	3	P	2/4/2016	1/26/2017	350.33		289.51	-	60.82	
WESTERN DIGITAL CORP	50	P	7/11/2016	1/26/2017	3,955.04		2,464.42	-	1,490.62	
LOCKHEED MARTIN CORP	12	P	5/10/2016	1/30/2017	3,052.45		2,925.70	-	126.75	
VCA INC CHG	48	P	6/27/2016	1/31/2017	4,351.58		3,108.65	-	1,242.93	
ACUITY BRANDS INC	2	P	4/21/2016	2/9/2017	422.68		510.70	-	(88.02)	
ESSEX PPTY TR INC	2	P	4/21/2016	3/2/2017	463.05		445.87	-	17.18	
LINKEDIN CORP SR NOTE CONV	4,000.00	P	5/9/2016	3/13/2017	3,825.00		3,727.50	(64.17)	33.33	D
AKZO NOBEL N V NEW SPONSORED ADR	164	P	6/20/2016	3/16/2017	4,383.62		3,685.90	-	697.72	
DARDEN RESTAURANTS INC	28	P	7/8/2016	3/21/2017	2,153.96		1,744.40	-	409.56	
DARDEN RESTAURANTS INC	2	P	1/10/2017	3/21/2017	153.85		145.21	-	8.64	
PANERA BREAD COMPANY CHG CLASS A	21	P	10/13/2016	3/21/2017	5,013.08		4,007.12	-	1,005.96	
PANERA BREAD COMPANY CHG CLASS A	1	P	1/10/2017	3/21/2017	238.71		214.46	-	24.25	
VERISK ANALYTICS INC	4	P	4/21/2016	3/21/2017	321.19		313.90	-	7.29	
VERISK ANALYTICS INC	2	P	8/11/2016	3/21/2017	160.59		163.25	-	(2.66)	
ALTRIA GROUP INC	21	P	10/25/2016	3/23/2017	1,558.84		1,359.87	-	198.97	
MCDONALDS CORP	13	P	10/14/2016	3/23/2017	1,681.97		1,492.24	-	189.73	

Description	Quantity	How Acquired	Date Acquired	Date Sold	Gross Sales		Cost Basis	Adjustments	Gain/(Loss)	Notes
					Price					
MCDONALDS CORP	1	P	10/25/2016	3/23/2017	129.39		113.26	-	16.13	
UNILEVER PLC SPONSORED ADR NEW	78	P	6/20/2016	3/23/2017	3,917.35		3,562.16	-	355.19	
DOMINION ENERGY INC VCHG NEW 2014 SER A CORP UNIT	151	P	5/2/2016	3/24/2017	7,701.85		7,489.48	-	212.37	
SNAP ON INC	1	P	2/9/2017	3/28/2017	166.79		168.04	-	(1.25)	
GENESIS ENERGY LTD PARTNERSHIP	193	P	7/20/2016	4/3/2017	6,231.79		6,299.49	-	(67.70) P	
GRACE W R & COMPANY DE NEW	4	P	8/11/2016	4/3/2017	275.43		302.85	-	(27.42)	
GRACE W R & COMPANY DE NEW	2	P	1/10/2017	4/3/2017	137.71		138.04	-	(0.33)	
SIGNATURE BANK NEW YORK NY	1	P	4/21/2016	4/3/2017	146.09		138.62	-	7.47	
SIGNATURE BANK NEW YORK NY	3	P	8/11/2016	4/3/2017	438.28		355.25	-	83.03	
SIGNATURE BANK NEW YORK NY	1	P	10/13/2016	4/3/2017	146.09		116.71	-	29.38	
A O SMITH	2	P	3/28/2017	4/4/2017	100.73		103.21	-	(2.48)	
ADVANCE AUTO PARTS INC	1	P	8/11/2016	4/4/2017	144.10		167.10	-	(23.00)	
BARD C R INC	1	P	3/28/2017	4/4/2017	249.46		249.75	-	(0.29)	
CDW CORP	2	P	3/28/2017	4/4/2017	114.96		113.77	-	1.19	
DIGITAL REALTY TRUST INC	1	P	3/28/2017	4/4/2017	105.78		103.21	-	2.57	
MICROCHIP TECHNOLOGY INC	2	P	3/28/2017	4/4/2017	147.37		147.25	-	0.12	
REINSURANCE GROUP AMERICA INC NEW	1	P	3/28/2017	4/4/2017	126.93		125.29	-	1.64	
SBA COMMUNICATIONS CORP CL A NEW	1	P	3/28/2017	4/4/2017	119.72		118.13	-	1.59	
SEMPRA ENERGY	1	P	3/28/2017	4/4/2017	109.95		111.73	-	(1.78)	
SKYWORKS SOLUTIONS INC	3	P	3/28/2017	4/4/2017	297.97		293.52	-	4.45	
BAXTER INTL INC	2	P	3/28/2017	4/5/2017	105.76		103.54	-	2.22	
HEALTHSOUTH CORP NEW XXX	3	P	3/28/2017	4/5/2017	127.54		126.57	-	0.97	
NVIDIA CORP	2	P	3/28/2017	4/5/2017	202.83		215.80	-	(12.97)	
PHILIP MORRIS INTL INC	12	P	11/17/2016	4/7/2017	1,364.82		1,075.41	-	289.41	
PHILIP MORRIS INTL INC	4	P	3/23/2017	4/7/2017	454.94		454.01	-	0.93	
SOUTHERN COMPANY	7	P	3/23/2017	4/7/2017	349.58		356.76	-	(7.18)	
CARLISLE COMPANIES INC	1	P	1/10/2017	4/11/2017	103.85		108.00	-	(4.15)	
CONCHO RESOURCES INC	1	P	4/4/2017	4/11/2017	130.67		129.15	-	1.52	
GRAMERCY PROPERTY TRUST NEW	4	P	3/8/2017	4/11/2017	109.37		105.93	-	3.44	
KIMCO REALTY CORP	3	P	4/21/2016	4/11/2017	66.31		82.92	-	(16.61)	
KIMCO REALTY CORP	2	P	8/11/2016	4/11/2017	44.20		60.21	-	(16.01)	
PINNACLE FOODS INC DE	2	P	3/28/2017	4/11/2017	118.15		116.10	-	2.05	
ROSS STORES INC	2	P	1/10/2017	4/11/2017	127.04		133.77	-	(6.73)	
SBA COMMUNICATIONS CORP CL A NEW	1	P	3/28/2017	4/11/2017	123.58		118.13	-	5.45	
IPG PHOTONICS CORP	1	P	3/28/2017	4/12/2017	119.37		119.64	-	(0.27)	
MICROCHIP TECHNOLOGY INC	2	P	4/5/2017	4/12/2017	145.10		148.34	-	(3.24)	
REINSURANCE GROUP AMERICA INC NEW	1	P	3/28/2017	4/12/2017	125.30		125.29	-	0.01	
ROPER TECHNOLOGIES INC	1	P	4/11/2017	4/12/2017	206.04		205.62	-	0.42	
VULCAN MATERIALS COMPANY	1	P	11/15/2016	4/12/2017	120.12		134.39	-	(14.27)	
BROADCOM LTD	4	P	8/11/2016	4/20/2017	868.90		690.08	-	178.82	
BROADCOM LTD	3	P	3/28/2017	4/20/2017	651.67		659.81	-	(8.14)	

Description	Quantity	How Acquired	Date		Gross Sales Price	Cost Basis	Adjustments	Gain/(Loss)	Notes
			Acquired	Date Sold					
NEXTERA ENERGY INC UTS 6.371% CORP UNIT	35	P	3/24/2017	4/21/2017	2,144.47	2,169.61	-	(25.14)	
MCDONALDS CORP	13	P	10/25/2016	4/27/2017	1,848.81	1,472.32	-	376.49	
MCDONALDS CORP	4	P	10/25/2016	4/28/2017	559.44	453.01	-	106.43	
NIPPON TELEG & TEL CORP SPON ADR	321	P	6/20/2016	5/1/2017	13,772.21	13,792.31	-	(20.10)	
NIPPON TELEG & TEL CORP SPON ADR	38	P	11/22/2016	5/1/2017	1,630.36	1,511.32	-	119.04	
ADVANCE AUTO PARTS INC	1	P	8/11/2016	5/9/2017	146.80	167.10	-	(20.30)	
ADVANCE AUTO PARTS INC	3	P	3/28/2017	5/9/2017	440.41	444.05	-	(3.64)	
CONCHO RESOURCES INC	1	P	4/12/2017	5/10/2017	132.86	132.92	-	(0.06)	
DIAMONDBACK ENERGY INC	1	P	1/10/2017	5/10/2017	102.43	102.67	-	(0.24)	
MICROCHIP TECHNOLOGY INC	2	P	3/28/2017	5/10/2017	156.24	147.24	-	9.00	
NVIDIA CORP	5	P	1/12/2017	5/10/2017	588.92	514.42	-	74.50	
NVIDIA CORP	2	P	3/28/2017	5/10/2017	235.56	215.79	-	19.77	
SEMPRA ENERGY	1	P	3/28/2017	5/10/2017	112.49	111.73	-	0.76	
VULCAN MATERIALS COMPANY	1	P	11/15/2016	5/10/2017	131.91	134.39	-	(2.48)	
NOVARTIS AG SPONSORED ADR	29	P	10/14/2016	5/16/2017	2,339.42	2,212.64	-	126.78	
NOVARTIS AG SPONSORED ADR	18	P	2/22/2017	5/16/2017	1,452.04	1,383.46	-	68.58	
NOVARTIS AG SPONSORED ADR	20	P	10/14/2016	5/18/2017	1,599.24	1,525.95	-	73.29	
NOVARTIS AG SPONSORED ADR	24	P	10/25/2016	5/18/2017	1,919.08	1,747.16	-	171.92	
NOVARTIS AG SPONSORED ADR	24	P	11/17/2016	5/18/2017	1,919.10	1,739.08	-	180.02	
KONINKLIJKE PHILIPS N V SPONSORED ADR	85	P	6/20/2016	5/19/2017	3,021.06	2,191.30	-	829.76	
KONINKLIJKE PHILIPS N V SPONSORED ADR	18	P	12/19/2016	5/19/2017	639.75	530.79	-	108.96	
SIEMENS A G SPONS ADR	51	P	6/20/2016	5/19/2017	3,662.23	2,742.02	-	920.21	
SIEMENS A G SPONS ADR	2	P	12/19/2016	5/19/2017	143.61	119.89	-	23.72	
DISCOVER FINANCIAL SVCS	23	P	7/1/2016	5/23/2017	1,373.43	1,233.08	-	140.35	
NISSAN MTR LTD NEW SPONSORED ADR	189	P	6/20/2016	5/30/2017	3,650.12	3,681.72	-	(31.60)	
TOYOTA MOTOR CORP SPONSORED ADR	35	P	6/20/2016	5/30/2017	3,779.98	3,737.48	-	42.50	
NATIONAL GRID PLC SPONSORED ADR NEW 2019	0	P	3/23/2017	5/31/2017	0.30	0.30	-	-	
NATIONAL GRID PLC SPONSORED ADR NEW 2019	0.33	P	3/24/2017	5/31/2017	22.83	23.15	-	(0.32)	
NATIONAL GRID PLC SPONSORED ADR NEW 2019	0.04	P	5/5/2017	5/31/2017	3.08	3.23	-	(0.15)	
NATIONAL GRID PLC SPONSORED ADR NEW 2020	0.01	P	12/19/2016	5/31/2017	0.95	0.88	-	0.07	
NATIONAL GRID PLC SPONSORED ADR NEW 2021	0.86	P	6/20/2016	5/31/2017	58.74	67.68	-	(8.94)	
NVIDIA CORP CONV SR NOTE	2,000.00	P	9/15/2016	5/31/2017	14,340.00	6,191.11	-	8,148.89	
DOMTAR CORP NEW	3	P	1/26/2017	6/1/2017	110.99	127.71	-	(16.72)	
DAIMLER AG SPONSORED ADR	140	P	5/30/2017	6/2/2017	10,642.99	10,557.78	-	85.21	
PHILIP MORRIS INTL INC	5	P	11/17/2016	6/12/2017	594.76	448.08	-	146.68	
NOVO NORDISK AS ADR	58	P	9/12/2016	6/14/2017	2,554.90	2,675.44	-	(120.54)	
BARD C R INC	2	P	3/28/2017	6/19/2017	630.34	499.48	-	130.86	
LYONDELLBASELL INDUSTRIES N V ORD SHS CL A	2	P	10/13/2016	6/19/2017	162.54	158.45	-	4.09	
LYONDELLBASELL INDUSTRIES N V ORD SHS CL A	2	P	1/10/2017	6/19/2017	162.54	174.08	-	(11.54)	

Description	Quantity	How Acquired	Date Acquired	Date Sold	Gross Sales		Cost Basis	Adjustments	Gain/(Loss)	Notes
					Price					
LYONDELLBASELL INDUSTRIES N V ORD SHS CL A	2	P	3/28/2017	6/19/2017	162.54		182.14	-	(19.60)	
NVIDIA CORP	18	P	1/12/2017	7/7/2017	2,643.95		1,851.90	-	792.05	
MCDONALDS CORP	14	P	6/22/2017	7/13/2017	2,170.73		2,159.78	-	10.95	
SANOFI SPON ADR	45	P	6/22/2017	7/13/2017	2,177.09		2,234.12	-	(57.03)	
QUALCOMM INC	9	P	1/26/2017	7/17/2017	508.58		474.36	-	34.22	
SANOFI SPON ADR	25	P	6/22/2017	7/18/2017	1,187.50		1,241.17	-	(53.67)	
V F CORP	18	P	12/1/2016	7/19/2017	1,013.16		1,003.29	-	9.87	
DOLLAR TREE INC	2	P	8/11/2016	7/21/2017	142.00		194.41	-	(52.41)	
NVIDIA CORP CONV SR NOTE	2,000.00	P	9/15/2016	7/26/2017	16,814.84		6,191.11	-	10,623.73	
ABBVIE INC	25	P	6/22/2017	7/27/2017	1,796.86		1,834.90	-	(38.04)	
MERCK & COMPANY INC NEW	43	P	6/22/2017	7/27/2017	2,764.43		2,846.47	-	(82.04)	
LIBERTY MEDIA CORP DEL SER A SIRIUSXM GROUP	4	P	1/10/2017	8/2/2017	178.01		142.20	-	35.81	
LIBERTY MEDIA CORP DEL SER A SIRIUSXM GROUP	3	P	3/28/2017	8/2/2017	133.51		115.46	-	18.05	
WABTEC	55	P	11/17/2016	8/2/2017	4,007.48		4,767.13	-	(759.65)	
WABTEC	4	P	3/28/2017	8/2/2017	291.46		304.83	-	(13.37)	
HD SUPPLY HOLDINGS INC	6	P	3/28/2017	8/14/2017	189.07		243.27	-	(54.20)	
NVIDIA CORP	14	P	1/12/2017	8/14/2017	2,314.17		1,440.36	-	873.81	
CENTERPOINT ENERGY INC	49	P	10/13/2016	8/24/2017	1,453.47		1,100.09	-	353.38	
CENTERPOINT ENERGY INC	8	P	1/10/2017	8/24/2017	237.29		199.42	-	37.87	
CENTERPOINT ENERGY INC	12	P	3/28/2017	8/24/2017	355.94		331.17	-	24.77	
RPM INTERNATIONAL INC	2	P	1/10/2017	8/24/2017	97.35		104.59	-	(7.24)	
RPM INTERNATIONAL INC	5	P	3/28/2017	8/24/2017	243.39		274.74	-	(31.35)	
SWISSCOM SPONSORED ADR	38	P	3/23/2017	8/29/2017	1,906.86		1,763.58	-	143.28	
ALLIANZ SE SPONSORED ADR REPSTG 1/10 SH	20	P	12/19/2016	9/13/2017	438.90		325.10	-	113.80	
ABBVIE INC	22	P	6/22/2017	9/15/2017	1,910.98		1,614.71	-	296.27	
ABBVIE INC	17	P	6/22/2017	9/22/2017	1,484.14		1,247.73	-	236.41	
AKAMAI TECHS INC SR NOTE CONV	4,000.00	P	12/28/2016	9/26/2017	3,882.00		4,190.00	-	(308.00)	
AKAMAI TECHS INC SR NOTE CONV	4,000.00	P	5/18/2017	9/26/2017	3,882.00		3,925.00	-	(43.00)	
J2 GLOBAL INC	4	P	3/28/2017	9/26/2017	297.38		336.14	-	(38.76)	
ROYAL CARIBBEAN CRUISES LIMITED	5	P	3/28/2017	9/26/2017	584.55		502.38	-	82.17	
ROYAL CARIBBEAN CRUISES LIMITED	1	P	5/10/2017	9/26/2017	116.91		108.34	-	8.57	
WESTERN DIGITAL CORP	31	P	10/13/2016	9/26/2017	2,689.63		1,705.03	-	984.60	
WESTERN DIGITAL CORP	2	P	3/28/2017	9/26/2017	173.52		160.41	-	13.11	
PALO ALTO NETWORKS INC	1	P	10/13/2016	9/27/2017	139.91		152.11	-	(12.20)	
REINSURANCE GROUP AMERICA INC NEW	2	P	3/28/2017	9/27/2017	278.32		250.56	-	27.76	
SLM CORP	19	P	3/28/2017	9/27/2017	218.68		231.80	-	(13.12)	
SLM CORP	2	P	4/4/2017	9/27/2017	23.02		24.04	-	(1.02)	
SVB FINANCIAL GROUP	1	P	4/5/2017	9/27/2017	186.40		182.19	-	4.21	
WYNN RESORTS LIMITED	1	P	5/10/2017	9/27/2017	146.21		122.51	-	23.70	

Description	Quantity	How Acquired	Date Acquired	Date Sold	Gross Sales		Cost Basis	Adjustments	Gain/(Loss)	Notes
					Price					
DIGITAL REALTY TRUST INC	0.75	P	10/21/2016	9/28/2017	86.94		59.98	-	26.96	
DIGITAL REALTY TRUST INC	0.03	P	1/26/2017	9/28/2017	3.73		2.81	-	0.92	
JOHNSON CONTROLS INTL PLC	7	P	1/26/2017	9/28/2017	275.87		307.49	-	(31.62)	
IPG PHOTONICS CORP	2	P	3/28/2017	9/29/2017	368.44		239.28	-	129.16	
IPG PHOTONICS CORP	1	P	4/11/2017	9/29/2017	184.22		119.03	-	65.19	
IPG PHOTONICS CORP	1	P	5/10/2017	9/29/2017	184.22		134.37	-	49.85	
SKYWOKS SOLUTIONS INC	3	P	3/28/2017	9/29/2017	304.48		293.52	-	10.96	
SKYWOKS SOLUTIONS INC	2	P	4/5/2017	9/29/2017	202.99		200.79	-	2.20	
ABBVIE INC	6	P	2/22/2017	10/18/2017	575.47		370.54	-	204.93	
EXTRA SPACE STORAGE INC	20	P	3/24/2017	10/19/2017	1,634.28		1,503.40	-	130.88	
ABBVIE INC	17	P	2/22/2017	10/25/2017	1,559.27		1,049.83	-	509.44	
L BRANDS INC	2	P	1/26/2017	11/6/2017	95.42		123.23	-	(27.81)	
ANTHEM INC CORP UNIT	17	P	4/26/2017	11/7/2017	918.70		877.99	-	40.71	
DOMINION ENERGY INC UTS C/O 2016 SER A-2 & A-1 1/40 RMKTABLE SUB NT	11	P	3/21/2017	11/7/2017	574.68		565.72	-	8.96	
DTE ENERGY COMPANY UTS CORP UNIT	6	P	5/31/2017	11/7/2017	333.23		339.17	-	(5.94)	
NEXTERA ENERGY INC UTS 6.123%										
EQUITY/CORPORATE UNIT	10	P	4/21/2017	11/7/2017	566.41		522.57	-	43.84	
STANLEY BLACK & DECKER INC CORP UNIT 2017	6	P	7/26/2017	11/7/2017	722.15		659.12	-	63.03	
WELLTOWER INC 6.5% PERPETUAL CONV SER I	4	P	9/25/2017	11/7/2017	252.27		261.53	-	(9.26)	
AMERICAN CENTURY INFLATION ADJUSTED BOND										
INVESTOR CL	10,280.39	P	3/1/2017	11/8/2017	120,074.92		119,646.91	-	428.01	
AMERICAN CENTURY INFLATION ADJUSTED BOND										
INVESTOR CL	135.91	P	6/21/2017	11/8/2017	1,587.45		1,581.78	-	5.67	
INVESCO FLOATING RATE CL Y	11,840.68	P	3/1/2017	11/8/2017	89,633.95		90,209.77	-	(575.82)	
INVESCO FLOATING RATE CL Y	39.96	P	4/3/2017	11/8/2017	302.51		304.45	-	(1.94)	
INVESCO FLOATING RATE CL Y	36.76	P	5/1/2017	11/8/2017	278.26		280.05	-	(1.79)	
INVESCO FLOATING RATE CL Y	35.93	P	6/1/2017	11/8/2017	272.01		273.76	-	(1.75)	
INVESCO FLOATING RATE CL Y	37.5	P	7/3/2017	11/8/2017	283.86		285.68	-	(1.82)	
INVESCO FLOATING RATE CL Y	44.3	P	8/1/2017	11/8/2017	335.31		337.46	-	(2.15)	
INVESCO FLOATING RATE CL Y	47.88	P	9/1/2017	11/8/2017	362.42		364.74	-	(2.32)	
INVESCO FLOATING RATE CL Y	42.54	P	10/2/2017	11/8/2017	322.05		324.11	-	(2.06)	
INVESCO FLOATING RATE CL Y	42.65	P	11/1/2017	11/8/2017	322.84		324.89	-	(2.05)	
BLACK HILLS CORP	3	P	3/28/2017	11/15/2017	174.54		200.03	-	(25.49)	
NEWELL BRANDS INC	3	P	1/10/2017	11/15/2017	84.58		141.24	-	(56.66)	
NEWELL BRANDS INC	21	P	3/2/2017	11/15/2017	591.97		1,036.28	-	(444.31)	
NEWELL BRANDS INC	9	P	3/28/2017	11/15/2017	253.70		430.71	-	(177.01)	
PITNEY BOWES INC	398	P	1/13/2017	11/15/2017	3,822.53		6,570.58	-	(2,748.05)	
PITNEY BOWES INC	12	P	1/26/2017	11/15/2017	115.25		189.17	-	(73.92)	
PITNEY BOWES INC	105	P	8/8/2017	11/15/2017	1,008.47		1,393.84	-	(385.37)	
CONSOLIDATED EDISON INC	2	P	3/23/2017	11/17/2017	172.60		157.27	-	15.33	
CONSOLIDATED EDISON INC	15	P	6/22/2017	11/17/2017	1,294.54		1,268.19	-	26.35	

Description	Quantity	How Acquired	Date Acquired	Date Sold	Gross Sales		Cost Basis	Adjustments	Gain/(Loss)	Notes
					Price					
CROWN CASTLE INTL CORP NEW	25	P	4/26/2017	11/17/2017	2,766.15		2,361.64	-	404.51	
CROWN CASTLE INTL CORP NEW	19	P	6/22/2017	11/17/2017	2,103.93		1,899.99	-	203.94	
FMC CORP NEW	11	P	11/15/2017	11/17/2017	1,026.55		1,014.87	-	11.68	
KIMCO REALTY CORP	7	P	1/10/2017	11/28/2017	126.37		174.90	-	(48.53)	
KIMCO REALTY CORP	8	P	3/28/2017	11/28/2017	144.42		172.60	-	(28.18)	
KIMCO REALTY CORP	12	P	9/27/2017	11/28/2017	216.66		231.30	-	(14.64)	
SNAP ON INC	9	P	2/9/2017	11/28/2017	1,454.52		1,512.28	-	(57.76)	
CHURCH & DWIGHT COMPANY INC	6	P	1/10/2017	12/6/2017	286.31		262.47	-	23.84	
CHURCH & DWIGHT COMPANY INC	5	P	3/28/2017	12/6/2017	238.59		251.33	-	(12.74)	
CHURCH & DWIGHT COMPANY INC	4	P	9/27/2017	12/6/2017	190.87		191.66	-	(0.79)	
EXPEDIA INC NEW	1	P	1/10/2017	12/18/2017	122.69		116.90	-	5.79	
EXPEDIA INC NEW	1	P	3/28/2017	12/18/2017	122.67		128.82	-	(6.15)	
					466,359.97		434,361.04	(64.17)	31,934.76	

D Market Discount

P Basis adjustment from equity pickups

Description	Quantity	How Acquired	Date Acquired	Date Sold	Gross Sales		Cost Basis	Adjustments	Gain/(Loss)	Notes
					Price					
CEB INC	108	P	9/10/2012	1/5/2017	8,089.27		5,190.70	-	2,898.57	
CEB INC	16	P	6/6/2013	1/5/2017	1,198.42		953.92	-	244.50	
AT&T INC	77	P	9/10/2012	1/6/2017	3,207.23		2,889.62	-	317.61	
GENERAL MOTORS COMPANY	112	P	12/16/2014	1/6/2017	4,058.45		3,423.32	-	635.13	
TE CONNECTIVITY LTD	78	P	12/30/2015	1/6/2017	5,320.81		5,129.10	-	191.71	
ABBVIE INC	21	P	6/17/2015	1/9/2017	1,345.50		1,406.37	-	(60.87)	
GENERAL ELECTRIC COMPANY	92	P	6/17/2015	1/9/2017	2,901.61		2,502.40	-	399.21	
JOHNSON & JOHNSON	14	P	6/17/2015	1/9/2017	1,628.06		1,377.32	-	250.74	
MACERICH COMPANY	10	P	1/2/2013	1/10/2017	707.95		584.18	-	123.77	
MACERICH COMPANY	2	P	10/19/2015	1/10/2017	141.58		166.82	-	(25.24)	
MACERICH COMPANY	20	P	1/2/2013	1/10/2017	439.94		180.20	-	259.74	
NISOURCE INC	1	P	8/12/2015	1/10/2017	175.45		138.68	-	36.77	
SVB FINANCIAL GROUP	99	P	3/6/2014	1/12/2017	5,231.57		4,548.97	-	682.60	
PERKINELMER INC	2	P	11/23/2015	1/12/2017	105.68		105.98	-	(0.30)	
PERKINELMER INC	33	P	8/12/2011	1/12/2017	2,400.48		1,239.69	-	1,160.79	
RED HAT INC	12	P	9/9/2011	1/12/2017	872.93		446.86	-	426.07	
RED HAT INC	28	P	1/2/2013	1/12/2017	2,036.77		1,516.76	-	520.01	
RED HAT INC	2	P	10/19/2015	1/12/2017	145.48		157.20	-	(11.72)	
ALLERGAN PLC PFD CONV SER A 5.5%	1	P	2/27/2015	1/24/2017	774.63		1,022.81	-	(248.18)	
ARCONIC INC PFD CONV CL B SER 2	6	P	10/29/2014	1/24/2017	211.43		306.39	-	(94.96)	
DOMINION ENERGY INC VCHG NEW 2014 SER A										
CORP UNIT	5	P	1/7/2015	1/24/2017	251.39		263.75	-	(12.36)	
DOMINION ENERGY INC VCHG NEW 2014 SER A										
CORP UNIT	2	P	1/9/2015	1/24/2017	100.56		105.50	-	(4.94)	
NEW YORK CMNTY CAP TR V BIFURCATED OPT NT										
UNIT SECS BONUSES CONV 6%	2	P	3/20/2008	1/24/2017	100.29		98.00	-	2.29	
WELLS FARGO & COMPANY PERPETUAL PFD										
CONVERTIBLE CL A 7.5%	1	P	11/18/2008	1/24/2017	1,200.86		700.00	-	500.86	
ILLUMINA INC SR NOTE CONV	1,000.00	P	7/22/2015	1/25/2017	992.50		1,169.72	-	(177.22)	
INTEL CORP CONV SUB DEB	1,000.00	P	10/18/2012	1/25/2017	1,825.00		1,470.12	-	354.88	
ABBVIE INC	45	P	6/17/2015	1/26/2017	2,779.31		3,013.65	-	(234.34)	
AT&T INC	7	P	6/17/2015	1/26/2017	290.73		243.11	-	47.62	
CISCO SYSTEMS INC	4	P	6/17/2015	1/26/2017	122.84		115.36	-	7.48	
DIGITAL REALTY TRUST INC	26	P	6/17/2015	1/26/2017	2,804.65		1,730.90	-	1,073.75	
GENERAL ELECTRIC COMPANY	203	P	6/17/2015	1/26/2017	6,129.69		5,521.60	-	608.09	
JOHNSON & JOHNSON	1	P	6/17/2015	1/26/2017	112.81		98.38	-	14.43	
LAMAR ADVERTISING COMPANY NEW CL A	10	P	6/17/2015	1/26/2017	771.04		581.00	-	190.04	
LYONDELLBASELL INDUSTRIES N V ORD SHS CL A	11	P	6/17/2015	1/26/2017	1,048.28		1,157.42	-	(109.14)	
MAGELLAN MIDSTREAM PARTNERS LTD										
PARTNERSHIP	18	P	1/13/2016	1/26/2017	1,445.26		1,011.38	-	433.88	P
MICROCHIP TECHNOLOGY INC	19	P	6/17/2015	1/26/2017	1,289.51		880.51	-	409.00	

Description	Quantity	How Acquired	Date Acquired	Date Sold	Gross Sales		Cost Basis	Adjustments	Gain/(Loss)	Notes
					Price					
PFIZER INC	78	P	6/17/2015	1/26/2017	2,449.19		2,653.56	-	(204.37)	
COLUMBIA ACORN INTL CL A	1,417.23	P	1/15/2010	1/27/2017	55,513.05		52,569.72	-	2,943.33	
COLUMBIA ACORN INTL CL A	1,683.07	P	10/6/2010	1/27/2017	65,925.70		62,430.27	-	3,495.43	
CVS HEALTH CORP	11	P	9/10/2012	1/30/2017	861.17		510.26	-	350.91	
CVS HEALTH CORP	10	P	11/21/2012	1/30/2017	782.88		457.18	-	325.70	
CVS HEALTH CORP	24	P	1/2/2013	1/30/2017	1,878.92		1,181.94	-	696.98	
ACUITY BRANDS INC	24	P	5/20/2015	2/9/2017	5,072.22		4,384.03	-	688.19	
ACUITY BRANDS INC	1	P	11/23/2015	2/9/2017	211.34		228.80	-	(17.46)	
PROCTER & GAMBLE COMPANY	41	P	6/17/2015	2/13/2017	3,607.79		3,248.43	-	359.36	
PFIZER INC	85	P	9/10/2012	2/15/2017	2,808.69		2,054.29	-	754.40	
KRAFT HEINZ CO	48	P	6/17/2015	2/17/2017	4,504.31		3,371.09	-	1,133.22	
UNILEVER PLC SPONSORED ADR NEW	132	P	6/17/2015	2/17/2017	6,119.43		5,734.08	-	385.35	
COLUMBIA EMERGING MARKETS BOND CL A	7,818.61	P	5/8/2013	2/24/2017	90,226.74		99,999.99	-	(9,773.25)	
EATON VANCE SHORT DURATION STRATEGIC										
INCOME CL A	16,170.76	P	6/5/2015	2/24/2017	119,663.65		124,537.78	-	(4,874.13)	
CLARCOR INC CHG	65	P	2/26/2016	2/28/2017	5,395.00		3,128.68	-	2,266.32	
ESSEX PPTY TR INC	14	P	2/26/2008	3/2/2017	3,241.44		1,522.96	-	1,718.48	
ESSEX PPTY TR INC	2	P	1/2/2013	3/2/2017	463.05		295.04	-	168.01	
ESSEX PPTY TR INC	1	P	10/19/2015	3/2/2017	231.52		235.85	-	(4.33)	
APPLE INC	22	P	9/10/2012	3/6/2017	3,065.45		2,096.04	-	969.41	
ENBRIDGE INC	0.31	P	6/17/2015	3/7/2017	12.85		10.34	-	2.51	
ENBRIDGE INC	0.25	P	1/13/2016	3/7/2017	10.56		6.22	-	4.34	
UNION PACIFIC CORP	23	P	9/10/2012	3/7/2017	2,505.25		1,414.73	-	1,090.52	
UNION PACIFIC CORP	13	P	1/2/2013	3/7/2017	1,416.02		834.80	-	581.22	
LINKEDIN CORP SR NOTE CONV	4,000.00	P	2/5/2016	3/13/2017	3,825.00		3,635.00	(103.53)	86.47	D
LINKEDIN CORP SR NOTE CONV	4,000.00	P	2/18/2016	3/13/2017	3,825.00		3,602.00	(109.86)	113.14	D
COGNEX CORP	23	P	9/12/2012	3/14/2017	1,828.78		435.23	-	1,393.55	
COGNEX CORP	20	P	10/5/2012	3/14/2017	1,590.26		364.47	-	1,225.79	
JOHNSON & JOHNSON	18	P	6/17/2015	3/17/2017	2,311.56		1,770.84	-	540.72	
OMEGA HEALTHCARE INVESTORS INC	73	P	6/17/2015	3/20/2017	2,292.44		2,543.89	-	(251.45)	
DOMINION ENERGY INC VCHG NEW 2014 SER A										
CORP UNIT	17	P	1/9/2015	3/21/2017	865.66		896.75	-	(31.09)	
DOMINION ENERGY INC VCHG NEW 2014 SER A										
CORP UNIT	151	P	12/17/2015	3/21/2017	7,689.13		7,344.36	-	344.77	
VERISK ANALYTICS INC	58	P	3/16/2015	3/21/2017	4,657.40		4,169.79	-	487.61	
NOVARTIS AG SPONSORED ADR	69	P	6/17/2015	3/22/2017	5,128.31		6,956.58	-	(1,828.27)	
NOVARTIS AG SPONSORED ADR	2	P	1/8/2016	3/22/2017	148.65		165.52	-	(16.87)	
JOHNSON & JOHNSON	44	P	6/17/2015	3/23/2017	5,557.60		4,328.72	-	1,228.88	
KIMBERLY CLARK CORP	13	P	6/17/2015	3/23/2017	1,734.98		1,391.00	-	343.98	
DOMINION ENERGY INC VCHG NEW 2014 SER A										
CORP UNIT	7	P	12/17/2015	3/24/2017	357.04		340.46	-	16.58	
UNITEDHEALTH GROUP INC	13	P	9/5/2013	3/29/2017	2,126.67		960.96	-	1,165.71	

Description	Quantity	How Acquired	Date		Gross Sales Price	Cost Basis	Adjustments	Gain/(Loss)	Notes
			Acquired	Sold					
GRACE W R & COMPANY DE NEW	43	P	6/14/2013	4/3/2017	2,960.98	2,825.52	-	135.46	
GRACE W R & COMPANY DE NEW	3	P	10/19/2015	4/3/2017	206.57	234.47	-	(27.90)	
SIGNATURE BANK NEW YORK NY	8	P	1/2/2013	4/3/2017	1,168.78	575.60	-	593.18	
SIGNATURE BANK NEW YORK NY	1	P	10/19/2015	4/3/2017	146.09	142.71	-	3.38	
SIGNATURE BANK NEW YORK NY	1	P	11/23/2015	4/3/2017	146.09	159.00	-	(12.91)	
SIGNATURE BANK NEW YORK NY	1	P	1/2/2013	4/4/2017	147.06	71.95	-	75.11	
NEXTERA ENERGY INC UTS 6.371% CORP UNIT	68	P	9/23/2015	4/6/2017	4,073.64	3,478.17	-	595.47	
NEXTERA ENERGY INC UTS 6.371% CORP UNIT	2	P	9/29/2015	4/6/2017	119.81	102.50	-	17.31	
PROCTER & GAMBLE COMPANY	21	P	6/17/2015	4/7/2017	1,879.81	1,663.83	-	215.98	
SOUTHERN COMPANY	31	P	6/17/2015	4/7/2017	1,548.17	1,314.40	-	233.77	
NEXTERA ENERGY INC UTS 6.371% CORP UNIT	66	P	9/29/2015	4/11/2017	3,945.40	3,382.36	-	563.04	
SOUTHERN COMPANY	76	P	6/17/2015	4/12/2017	3,775.45	3,222.40	-	553.05	
BROADCOM LTD	3	P	7/14/2014	4/20/2017	651.68	222.88	-	428.80	
NEXTERA ENERGY INC UTS 6.371% CORP UNIT	5	P	9/23/2015	4/21/2017	306.36	255.74	-	50.62	
NEXTERA ENERGY INC UTS 6.371% CORP UNIT	1	P	9/29/2015	4/21/2017	61.27	51.24	-	10.03	
NEXTERA ENERGY INC UTS 6.371% CORP UNIT	72	P	11/6/2015	4/21/2017	4,411.48	3,719.36	-	692.12	
DISCOVER FINANCIAL SVCS	123	P	4/4/2014	4/24/2017	8,259.96	7,252.35	-	1,007.61	
WELLPOINT INC SR NOTE CONV	7,000.00	P	11/19/2013	4/24/2017	16,222.50	10,250.02	-	5,972.48	
WELLPOINT INC SR NOTE CONV	3,000.00	P	2/28/2014	4/24/2017	6,952.50	4,391.42	-	2,561.08	
MCDONALDS CORP	10	P	6/17/2015	4/28/2017	1,398.63	945.40	-	453.23	
MCDONALDS CORP	13	P	6/17/2015	5/2/2017	1,831.60	1,229.02	-	602.58	
DOMTAR CORP NEW	88	P	6/17/2015	5/4/2017	3,299.76	3,861.44	-	(561.68)	
ADVANCE AUTO PARTS INC	30	P	3/26/2014	5/9/2017	4,404.22	3,702.81	-	701.41	
ADVANCE AUTO PARTS INC	1	P	4/21/2016	5/9/2017	146.80	157.02	-	(10.22)	
NOVO NORDISK AS ADR	57	P	9/10/2012	5/9/2017	2,269.02	1,802.68	-	466.34	
NOVO NORDISK AS ADR	60	P	1/2/2013	5/9/2017	2,388.45	1,983.84	-	404.61	
BROADCOM LTD	1	P	7/14/2014	5/10/2017	231.64	74.30	-	157.34	
SIGNATURE BANK NEW YORK NY	17	P	9/19/2008	5/10/2017	2,362.82	634.01	-	1,728.81	
SIGNATURE BANK NEW YORK NY	5	P	6/22/2009	5/10/2017	694.97	133.23	-	561.74	
SIGNATURE BANK NEW YORK NY	10	P	2/8/2010	5/10/2017	1,389.89	342.40	-	1,047.49	
SIGNATURE BANK NEW YORK NY	2	P	1/2/2013	5/10/2017	277.97	143.90	-	134.07	
DISCOVER FINANCIAL SVCS	11	P	4/4/2014	5/23/2017	656.85	648.58	-	8.27	
DISCOVER FINANCIAL SVCS	30	P	4/16/2014	5/23/2017	1,791.41	1,684.62	-	106.79	
DISCOVER FINANCIAL SVCS	33	P	4/23/2015	5/23/2017	1,970.55	1,932.71	-	37.84	
GLAXOSMITHKLINE PLC SPONSORED ADR	53	P	6/17/2015	5/25/2017	2,248.74	2,271.58	-	(22.84)	
MICROCHIP TECHNOLOGY INC	55	P	6/17/2015	5/25/2017	4,520.35	2,529.00	-	1,991.35	
VODAFONE GROUP PLC NEW SPONSORED ADR NO PAR	89	P	6/17/2015	5/25/2017	2,663.71	3,241.38	-	(577.67)	
XILINX INC SR NOTE CONV	1,000.00	P	6/30/2011	5/25/2017	2,274.41	1,342.50	-	931.91	
XILINX INC SR NOTE CONV	3,000.00	P	11/6/2013	5/25/2017	6,823.23	4,792.50	-	2,030.73	
NATIONAL GRID PLC SPONSORED ADR NEW 2020	0.03	P	6/24/2015	5/31/2017	1.87	2.00	-	(0.13)	
NATIONAL GRID PLC SPONSORED ADR NEW 2021	0.13	P	6/17/2015	5/31/2017	9.24	9.92	-	(0.68)	

Description	Quantity	How Acquired	Date Acquired	Date Sold	Gross Sales		Cost Basis	Adjustments	Gain/(Loss)	Notes
					Price					
DOMTAR CORP NEW	64	P	6/17/2015	6/1/2017	2,367.81		2,808.32	-	(440.51)	
DOMTAR CORP NEW	5	P	6/22/2015	6/1/2017	184.98		216.11	-	(31.13)	
DOMTAR CORP NEW	7	P	7/2/2015	6/1/2017	258.97		290.83	-	(31.86)	
DOMTAR CORP NEW	3	P	7/7/2015	6/1/2017	111.01		120.80	-	(9.79)	
ALLERGAN PLC PFD CONV SER A 5.5%	3	P	2/27/2015	6/5/2017	2,445.60		3,068.40	-	(622.80)	
ARCONIC INC PFD CONV CL B SER 1	12	P	10/29/2014	6/5/2017	524.63		612.76	-	(88.13)	
ARCONIC INC PFD CONV CL B SER 3	20	P	10/13/2014	6/5/2017	874.40		952.90	-	(78.50)	
ARCONIC INC PFD CONV CL B SER 4	11	P	9/30/2014	6/5/2017	480.91		553.49	-	(72.58)	
MCDONALDS CORP	11	P	6/17/2015	6/6/2017	1,667.73		1,039.94	-	627.79	
SANOFI SPON ADR	19	P	6/17/2015	6/6/2017	934.07		927.96	-	6.11	
MCDONALDS CORP	8	P	6/17/2015	6/12/2017	1,191.46		756.32	-	435.14	
PHILIP MORRIS INTL INC	6	P	6/17/2015	6/12/2017	713.73		490.98	-	222.75	
SANOFI SPON ADR	33	P	6/17/2015	6/12/2017	1,566.50		1,611.72	-	(45.22)	
NOVO NORDISK AS ADR	115	P	1/2/2013	6/14/2017	5,065.74		3,802.36	-	1,263.38	
NOVO NORDISK AS ADR	21	P	2/18/2016	6/14/2017	925.05		1,064.94	-	(139.89)	
LAM RESEARCH CORP SR NOTE CONV	1,000.00	P	5/15/2012	6/16/2017	2,433.42		1,023.75	-	1,409.67	
NOVELLUS SYS INC SR NOTE CONV	2,000.00	P	3/19/2013	6/16/2017	8,761.88		3,314.79	-	5,447.09	
BARD C R INC	5	P	11/25/2013	6/19/2017	1,575.88		699.43	-	876.45	
BARD C R INC	1	P	10/19/2015	6/19/2017	315.17		195.75	-	119.42	
BARD C R INC	3	P	4/21/2016	6/19/2017	945.51		621.24	-	324.27	
LYONDELLBASELL INDUSTRIES N V ORD SHS CL A	42	P	11/1/2010	6/19/2017	3,413.53		850.09	-	2,563.44	
LYONDELLBASELL INDUSTRIES N V ORD SHS CL A	14	P	1/2/2013	6/19/2017	1,137.82		826.14	-	311.68	
LYONDELLBASELL INDUSTRIES N V ORD SHS CL A	2	P	10/19/2015	6/19/2017	162.54		186.46	-	(23.92)	
LYONDELLBASELL INDUSTRIES N V ORD SHS CL A	4	P	4/21/2016	6/19/2017	325.09		366.35	-	(41.26)	
FIDELITY ADVISOR NEW INSIGHTS CL I	3,261.58	P	1/2/2013	6/21/2017	100,000.00		79,818.91	-	20,181.09	
VANGUARD SHORT TERM CORP BOND ETF	1,250.00	P	5/13/2014	6/21/2017	100,075.56		100,371.25	-	(295.69)	
ABBVIE INC	22	P	6/17/2015	6/27/2017	1,594.96		1,473.34	-	121.62	
HENRY JACK & ASSOC INC	25	P	9/10/2012	6/28/2017	2,644.02		940.23	-	1,703.79	
CABOT MICROELECTRONICS CORP	36	P	9/10/2012	6/29/2017	2,659.32		1,279.66	-	1,379.66	
BROADCOM LTD	6	P	5/29/2014	7/7/2017	1,430.68		420.96	-	1,009.72	
BROADCOM LTD	12	P	7/14/2014	7/7/2017	2,861.35		891.51	-	1,969.84	
GRACO INC	43	P	9/10/2012	7/10/2017	4,666.56		2,219.23	-	2,447.33	
MCDONALDS CORP	2	P	6/17/2015	7/13/2017	310.11		189.08	-	121.03	
DIGITAL REALTY TRUST INC	24	P	6/17/2015	7/17/2017	2,694.39		1,597.75	-	1,096.64	
QUALCOMM INC	18	P	2/18/2016	7/17/2017	1,017.18		855.36	-	161.82	
QUALCOMM INC	24	P	2/24/2016	7/17/2017	1,356.23		1,172.99	-	183.24	
SUN HYDRAULICS INC	59	P	8/14/2013	7/17/2017	2,477.94		1,875.62	-	602.32	
SUN HYDRAULICS INC	55	P	5/26/2015	7/17/2017	2,309.96		2,037.84	-	272.12	

Description	Quantity	How Acquired	Date Acquired	Date Sold	Gross Sales		Cost Basis	Adjustments	Gain/(Loss)	Notes
					Price					
MCDONALDS CORP	16	P	6/17/2015	7/18/2017	2,460.96		1,512.64	-	948.32	
NEWMONT MINING CORP SR NOTE CONV	6,000.00	P	10/18/2010	7/18/2017	6,000.00		6,000.00	-	-	
NEWMONT MINING CORP SR NOTE CONV	3,000.00	P	7/12/2013	7/18/2017	3,000.00		3,217.50	-	(217.50)	
SANOFI SPON ADR	23	P	6/17/2015	7/18/2017	1,092.50		1,123.32	-	(30.82)	
SANOFI SPON ADR	6	P	4/13/2016	7/18/2017	285.00		258.30	-	26.70	
V F CORP	23	P	9/10/2012	7/19/2017	1,294.58		893.82	-	400.76	
V F CORP	180	P	1/2/2013	7/19/2017	10,131.55		6,639.30	-	3,492.25	
V F CORP	40	P	7/1/2015	7/19/2017	2,251.46		2,831.42	-	(579.96)	
DOLLAR TREE INC	20	P	7/8/2016	7/21/2017	1,420.07		1,898.57	-	(478.50)	
TYSON FOODS INC CL A	0.27	P	8/1/2014	7/21/2017	16.33		12.14	-	4.19	
TYSON FOODS INC CL A	0.27	P	8/14/2014	7/21/2017	16.33		12.09	-	4.24	
TYSON FOODS INC CL A	0.3	P	8/27/2014	7/21/2017	18.04		13.41	-	4.63	
TYSON FOODS INC CL A	0.11	P	9/11/2014	7/21/2017	6.87		5.21	-	1.66	
MCDONALDS CORP	15	P	6/17/2015	7/27/2017	2,334.90		1,418.10	-	916.80	
ABBVIE INC	50	P	1/9/2013	8/2/2017	3,504.93		1,692.00	-	1,812.93	
ABBVIE INC	71	P	1/15/2013	8/2/2017	4,977.01		2,446.16	-	2,530.85	
LIBERTY MEDIA CORP DEL SER A SIRIUSXM GROUP	10	P	1/14/2013	8/2/2017	445.06		1227.53	-	317.48	C
LIBERTY MEDIA CORP DEL SER A SIRIUSXM GROUP	12	P	4/21/2016	8/2/2017	534.05		392.97	-	141.08	
MCDONALDS CORP	16	P	6/17/2015	8/3/2017	2,477.72		1,512.64	-	965.08	
MCDONALDS CORP	16	P	6/17/2015	8/7/2017	2,471.08		1,512.64	-	958.44	
TYSON FOODS INC CL A	14.52	P	8/1/2014	8/7/2017	971.23		658.07	-	313.16	
TYSON FOODS INC CL A	8.48	P	9/11/2014	8/7/2017	566.96		391.54	-	175.42	
TYSON FOODS INC CL A	5.61	P	8/1/2014	8/10/2017	360.43		254.29	-	106.14	
TYSON FOODS INC CL A	9.39	P	8/27/2014	8/10/2017	602.97		425.27	-	177.70	
HD SUPPLY HOLDINGS INC	55	P	6/29/2016	8/14/2017	1,733.20		1,866.21	-	(133.01)	
TYSON FOODS INC CL A	4.13	P	8/14/2014	8/14/2017	274.53		186.54	-	87.99	
TYSON FOODS INC CL A	12.87	P	8/27/2014	8/14/2017	854.31		582.79	-	271.52	
RPM INTERNATIONAL INC	91	P	10/22/2013	8/24/2017	4,429.89		3,459.16	-	970.73	
RPM INTERNATIONAL INC	3	P	10/19/2015	8/24/2017	146.03		131.76	-	14.27	
RPM INTERNATIONAL INC	6	P	4/21/2016	8/24/2017	292.07		303.95	-	(11.88)	
RPM INTERNATIONAL INC	5	P	8/11/2016	8/24/2017	243.39		271.60	-	(28.21)	
SWISSCOM SPONSORED ADR	300	P	6/20/2016	8/29/2017	15,054.10		14,232.00	-	822.10	
PROCTER & GAMBLE COMPANY	18	P	6/18/2015	9/5/2017	1,661.58		1,458.55	-	203.03	
PROCTER & GAMBLE COMPANY	2	P	6/22/2015	9/5/2017	184.62		161.17	-	23.45	
PROCTER & GAMBLE COMPANY	44	P	9/10/2015	9/5/2017	4,061.65		3,010.22	-	1,051.43	
PROCTER & GAMBLE COMPANY	2	P	12/23/2015	9/5/2017	184.62		160.36	-	24.26	
PROCTER & GAMBLE COMPANY	3	P	1/8/2016	9/5/2017	276.93		230.13	-	46.80	
PROCTER & GAMBLE COMPANY	3	P	1/14/2016	9/5/2017	276.93		228.69	-	48.24	
KONINKLIJKE PHILIPS N V SPONSORED ADR	81	P	6/20/2016	9/6/2017	3,163.78		2,088.18	-	1,075.60	
CABOT MICROELECTRONICS CORP	93	P	9/10/2012	9/7/2017	6,640.75		3,305.77	-	3,334.98	

Description	Quantity	How Acquired	Date Acquired	Date Sold	Gross Sales		Cost Basis	Adjustments	Gain/(Loss)	Notes
					Price					
TEVA PHARM FINL LLC GTD SR DEB CONV INTO	3,000.00	P	1/11/2011	9/12/2017	2,752.50		3,660.00	-	(907.50)	
ADR OF TEVA PHARM INDS										
TEVA PHARM FINL LLC GTD SR DEB CONV INTO	2,000.00	P	7/21/2016	9/12/2017	1,835.00		2,727.15	-	(892.15)	
ADR OF TEVA PHARM INDS	130	P	6/20/2016	9/13/2017	2,852.91		1,993.56	-	859.35	
ALLIANZ SE SPONSORED ADR REPSTG 1/10 SH	4,000.00	P	5/7/2014	9/18/2017	4,000.00		5,049.29	-	(1,049.29)	
TOLL BROS INC CONV GTD NOTE	2,000.00	P	6/10/2014	9/18/2017	2,000.00		2,582.55	-	(582.55)	
TOLL BROS INC CONV GTD NOTE	4,000.00	P	9/4/2014	9/18/2017	4,000.00		4,954.55	-	(954.55)	
TYSON FOODS INC CL A	16	P	8/14/2014	9/19/2017	1,059.39		721.88	-	337.51	
MICRON TECH INC BOND CONV 144A	3,000.00	P	10/14/2014	9/21/2017	3,828.75		3,393.75	-	435.00	
MICRON TECH INC BOND CONV 144A	1,000.00	P	5/16/2016	9/21/2017	1,276.25		669.61	(7.54)	599.10	D
ABBVIE INC	18	P	7/12/2016	9/22/2017	1,571.46		1,183.62	-	387.84	
J2 GLOBAL INC	55	P	1/11/2016	9/26/2017	4,089.10		4,208.52	-	(119.42)	
J2 GLOBAL INC	3	P	4/21/2016	9/26/2017	223.07		191.78	-	31.29	
J2 GLOBAL INC	4	P	8/11/2016	9/26/2017	297.38		275.42	-	21.96	
ROYAL CARIBBEAN CRUISES LIMITED	20	P	1/14/2016	9/26/2017	2,338.25		1,728.40	-	609.85	
BROADCOM LTD	1	P	5/29/2014	9/27/2017	240.79		70.16	-	170.63	
JOHNSON CONTROLS INTL PLC	172	P	9/6/2016	9/28/2017	6,778.28		8,274.92	-	(1,496.64)	
BROADCOM LTD	4	P	5/29/2014	9/29/2017	971.90		280.64	-	691.26	
GENERAL MOTORS COMPANY	103	P	12/16/2014	9/29/2017	4,167.68		3,148.23	-	1,019.45	
IPG PHOTONICS CORP	2	P	8/11/2016	9/29/2017	368.45		169.72	-	198.73	
SKYWORKS SOLUTIONS INC	2	P	11/23/2015	9/29/2017	202.99		157.02	-	45.97	
SKYWORKS SOLUTIONS INC	3	P	4/21/2016	9/29/2017	304.49		215.36	-	89.13	
APPLE INC	10	P	9/10/2012	10/6/2017	1,549.81		952.74	-	597.07	
JPMORGAN CHASE & COMPANY	20	P	9/10/2012	10/6/2017	1,937.17		778.16	-	1,159.01	
MARSH & MCLENNAN COS INC	20	P	7/28/2014	10/6/2017	1,661.60		1,023.59	-	638.01	
NEXTERA ENERGY INC	10	P	9/10/2012	10/6/2017	1,473.89		681.78	-	792.11	
PEPSICO INC	15	P	1/28/2015	10/6/2017	1,653.62		1,465.15	-	188.47	
WELLS FARGO & CO NEW	25	P	9/10/2012	10/6/2017	1,385.08		868.75	-	516.33	
SANOFI SPON ADR	53	P	4/13/2016	10/12/2017	2,635.21		2,281.58	-	353.63	
ABBVIE INC	28	P	7/12/2016	10/16/2017	2,537.33		1,841.17	-	696.16	
ABBVIE INC	29	P	7/12/2016	10/18/2017	2,781.43		1,906.92	-	874.51	
EXTRA SPACE STORAGE INC	59	P	7/20/2016	10/18/2017	4,825.12		5,466.55	-	(641.43)	
EXTRA SPACE STORAGE INC	16	P	7/21/2016	10/18/2017	1,308.51		1,485.28	-	(176.77)	
EXTRA SPACE STORAGE INC	43	P	7/21/2016	10/19/2017	3,513.67		3,991.66	-	(477.99)	
EXTRA SPACE STORAGE INC	15	P	9/12/2016	10/19/2017	1,225.70		1,191.43	-	34.27	
AT&T INC	177	P	6/17/2015	10/20/2017	6,274.58		6,147.21	-	127.37	
AT&T INC	3	P	12/22/2015	10/20/2017	106.34		102.14	-	4.20	
AT&T INC	42	P	1/13/2016	10/20/2017	1,488.91		1,425.02	-	63.89	
AT&T INC	5	P	1/14/2016	10/20/2017	177.24		172.00	-	5.24	
ABBVIE INC	3	P	1/6/2016	10/25/2017	275.17		171.91	-	103.26	
MONOTYPE IMAGING HOLDINGS INC	69	P	10/3/2013	10/25/2017	1,540.09		1,895.11	-	(355.02)	

Description	Quantity	How Acquired	Date Acquired	Date Sold	Gross Sales		Cost Basis	Adjustments	Gain/(Loss)	Notes
					Price					
MONOTYPE IMAGING HOLDINGS INC	67	P	10/10/2014	10/25/2017	1,495.45		1,855.70	-	(360.25)	
MONOTYPE IMAGING HOLDINGS INC	97	P	10/29/2015	10/25/2017	2,165.07		2,214.26	-	(49.19)	
MONOTYPE IMAGING HOLDINGS INC	62	P	2/5/2016	10/25/2017	1,383.86		1,473.96	-	(90.10)	
MONOTYPE IMAGING HOLDINGS INC	91	P	8/9/2016	10/25/2017	2,031.15		1,947.75	-	83.40	
SANOFI SPON ADR	42	P	4/13/2016	10/25/2017	1,998.42		1,808.03	-	190.39	
SANOFI SPON ADR	2	P	7/12/2016	10/25/2017	95.17		85.25	-	9.92	
ABBVIE INC	24	P	1/6/2016	10/30/2017	2,183.64		1,375.23	-	808.41	
MONSANTO COMPANY NEW	30	P	3/27/2015	11/2/2017	3,613.53		3,380.26	-	233.27	
GENERAL MOTORS COMPANY	2	P	12/16/2014	11/3/2017	84.61		61.13	-	23.48	
GENERAL MOTORS COMPANY	206	P	12/22/2014	11/3/2017	8,715.54		6,853.66	-	1,861.88	
GENERAL MOTORS COMPANY	70	P	7/29/2015	11/3/2017	2,961.59		2,221.14	-	740.45	
GENERAL MOTORS COMPANY	132	P	7/1/2016	11/3/2017	5,584.72		3,785.44	-	1,799.28	
L BRANDS INC	75	P	2/10/2016	11/6/2017	3,577.98		6,320.09	-	(2,742.11)	
AMG CAPITAL TRUST II CONV PFD 5.15% 10/15/37	3	P	8/19/2015	11/7/2017	179.99		181.23	-	(1.24)	
HESS CORP DEP SHS REPSTG 1/20TH INT										
MANDATORY CONV PFD SER A PERPTL 8%	8	P	4/27/2016	11/7/2017	473.10		619.87	-	(146.77)	
LAM RESEARCH CORP SR NOTE CONV	1,000.00	P	5/15/2012	11/7/2017	3,432.35		1,023.75	-	2,408.60	
LAM RESEARCH CORP SR NOTE CONV	3,000.00	P	5/23/2012	11/7/2017	10,297.05		3,015.00	-	7,282.05	
NEW YORK CMNTY CAP TR V BIFURCATED OPT NT										
UNIT SECS BONUSES CONV 6%	7	P	3/20/2008	11/7/2017	352.82		343.00	-	9.82	
BLACK HILLS CORP	38	P	1/31/2013	11/15/2017	2,210.84		1,548.17	-	662.67	
BLACK HILLS CORP	4	P	4/21/2016	11/15/2017	232.72		225.22	-	7.50	
BLACK HILLS CORP	2	P	8/11/2016	11/15/2017	116.36		118.26	-	(1.90)	
GCP APPLIED TECHNOLOGIES INC	43	P	6/14/2013	11/15/2017	1,248.43		751.42	-	497.01	
GCP APPLIED TECHNOLOGIES INC	3	P	10/19/2015	11/15/2017	87.09		62.35	-	24.74	
GCP APPLIED TECHNOLOGIES INC	6	P	8/11/2016	11/15/2017	174.19		162.64	-	11.55	
JEFFERIES GROUP INC SR DEBENTURE CONV	1,000.00	P	1/28/2010	11/15/2017	1,000.00		1,499.00	-	(499.00)	
NEWELL BRANDS INC	103	P	10/13/2016	11/15/2017	2,903.50		5,311.76	-	(2,408.26)	
SPIRIT REALTY CAP INC NEW SR NOTE CONV	1,000.00	P	4/28/2016	11/15/2017	1,027.50		1,083.75	-	(56.25)	
CONSOLIDATED EDISON INC	19	P	1/7/2016	11/17/2017	1,639.77		1,251.32	-	388.45	
MAGELLAN MIDSTREAM PARTNERS LTD										
PARTNERSHIP	45	P	12/17/2015	11/17/2017	2,898.00		2,196.65	-	701.35	P
MAGELLAN MIDSTREAM PARTNERS LTD										
PARTNERSHIP	39	P	12/21/2015	11/17/2017	2,511.59		1,982.37	-	529.22	P
MAGELLAN MIDSTREAM PARTNERS LTD										
PARTNERSHIP	2	P	1/11/2016	11/17/2017	128.79		103.64	-	25.15	P
MAGELLAN MIDSTREAM PARTNERS LTD										
PARTNERSHIP	35	P	1/13/2016	11/17/2017	2,253.99		1,843.25	-	410.74	P
MICROCHIP TECHNOLOGY INC	32	P	6/17/2015	11/20/2017	2,928.24		1,471.43	-	1,456.81	
MICROCHIP TECHNOLOGY INC	4	P	1/7/2016	11/20/2017	366.03		168.15	-	197.88	
MICROCHIP TECHNOLOGY INC	4	P	1/14/2016	11/20/2017	366.03		161.91	-	204.12	
CONSOLIDATED EDISON INC	36	P	1/7/2016	11/21/2017	3,116.12		2,370.90	-	745.22	

Description	Quantity	How Acquired	Date Acquired	Date Sold	Gross Sales		Cost Basis	Adjustments	Gain/(Loss)	Notes
					Price					
KONINKLIJKE PHILIPS N V SPONSORED ADR	74	P	6/20/2016	11/27/2017	2,877.29		1,907.71	-	969.58	
VINCI S A ADR	140	P	6/20/2016	11/27/2017	3,581.60		2,570.40	-	1,011.20	
KIMCO REALTY CORP	130	P	1/22/2016	11/28/2017	2,346.94		3,421.67	-	(1,074.73)	
KIMCO REALTY CORP	18	P	4/21/2016	11/28/2017	324.96		493.56	-	(168.60)	
TEVA PHARM FINL LLC GTD SR DEB CONV INTO										
ADR OF TEVA PHARM INDS	2,000.00	P	10/18/2010	12/5/2017	1,722.50		2,000.00	-	(277.50)	
TEVA PHARM FINL LLC GTD SR DEB CONV INTO										
ADR OF TEVA PHARM INDS	1,000.00	P	1/11/2011	12/5/2017	861.25		1,220.00	-	(358.75)	
TEVA PHARM FINL LLC GTD SR DEB CONV INTO										
ADR OF TEVA PHARM INDS	3,000.00	P	2/17/2012	12/5/2017	2,583.75		3,319.64	-	(735.89)	
TEVA PHARM FINL LLC GTD SR DEB CONV INTO										
ADR OF TEVA PHARM INDS	3,000.00	P	5/24/2016	12/5/2017	2,583.75		3,911.34	-	(1,327.59)	
CHURCH & DWIGHT COMPANY INC	100	P	2/20/2008	12/6/2017	4,771.92		1,335.53	-	3,436.39	
CHURCH & DWIGHT COMPANY INC	20	P	9/10/2009	12/6/2017	954.37		278.50	-	675.87	
CHURCH & DWIGHT COMPANY INC	9	P	1/2/2013	12/6/2017	429.46		243.43	-	186.03	
ABBOTT LABORATORIES	155	P	6/22/2016	12/7/2017	8,495.41		6,004.68	-	2,490.73	
GLAXOSMITHKLINE PLC SPONSORED ADR	86	P	6/17/2015	12/7/2017	2,996.13		3,685.96	-	(689.83)	
GLAXOSMITHKLINE PLC SPONSORED ADR	3	P	7/7/2015	12/7/2017	104.51		124.01	-	(19.50)	
GLAXOSMITHKLINE PLC SPONSORED ADR	3	P	12/31/2015	12/7/2017	104.51		121.73	-	(17.22)	
GLAXOSMITHKLINE PLC SPONSORED ADR	4	P	1/7/2016	12/7/2017	139.35		156.71	-	(17.36)	
GLAXOSMITHKLINE PLC SPONSORED ADR	3	P	1/11/2016	12/7/2017	104.51		117.92	-	(13.41)	
GLAXOSMITHKLINE PLC SPONSORED ADR	54	P	2/29/2016	12/7/2017	1,881.33		2,110.09	-	(228.76)	
JOHNSON & JOHNSON	64	P	6/17/2015	12/7/2017	8,983.78		6,296.32	-	2,687.46	
MICRON TECH INC BOND CONV i44A	3,000.00	P	5/16/2016	12/14/2017	4,432.50		2,008.82	(26.65)	2,397.03	D
EXPEDIA INC NEW	39	P	11/10/2016	12/18/2017	4,784.40		4,842.34	-	(57.94)	
CASS INFORMATION SYSTEMS INC	0.1	P	9/10/2012	12/19/2017	6.40		3.34	-	3.06	
					1,148,417.45		1,015,066.64	(247.58)	133,103.23	

D Market Discount

P Basis adjustment from equity pickups

C Corrected basis

<u>Description</u>	<u>Quantity</u>	<u>How Acquired</u>	<u>Date Acquired</u>	<u>Date Sold</u>	<u>Gross Sales Price</u>	<u>Cost Basis</u>	<u>Adjustments</u>	<u>Gain/(Loss)</u>	<u>Notes</u>
<u>Short Term Capital Gains and Losses</u>									
Berkshire Bk Pittsfield MA CD	100,000	P	8/24/2016	2/28/2017	100,000.00	100,000.00		-	
Santander Bk CD	100,000	P	8/26/2016	5/31/2017	100,000.00	100,000.00		-	
Cathay Bk Los Angel Calif CD	50,000	P	9/23/2016	6/28/2017	50,000.00	50,000.00		-	
Morgan Stanley Pvt Bk CD	200,000	P	6/29/2017	11/8/2017	199,708.00	200,000.00		(292.00)	
					449,708.00	450,000.00		(292.00)	
<u>Long Term Capital Gains and Losses</u>									
Dun & Bradstreet Corp	2,000	P	3/1/2013	2/7/2017	245,733.69	161,256.97		84,476.72	
Kinder Morgan Inc	4,300	P	5/14/2013	2/7/2017	95,124.03	171,402.90		(76,278.87)	
Wells Fargo Co 1.15% 6/2/17	100,000	P	5/31/2016	6/2/2017	100,000.00	100,000.00		-	
Bunge Ltd Fin Corp 3.2% 6/15/17	100,000	P	6/13/2012	6/15/2017	100,000.00	99,885.00		115.00	
Symantec Corp 2.75% 6/15/17	50,000	P	11/1/2013	6/15/2017	50,000.00	50,000.00		-	
Pepsico Inc	270	P	5/3/2000	7/24/2017	31,424.40	13,572.38		17,852.02	
Pepsico Inc	1,000	P	11/16/2001	7/24/2017	116,386.66	49,910.00		66,476.66	
Pepsico Inc	500	P	1/3/2002	7/24/2017	58,193.33	24,384.95		33,808.38	
Pepsico Inc	1,430	P	3/7/2005	7/24/2017	166,432.93	77,342.40		89,090.53	
Staples Inc 3.75% 1/12/18	100,000	P	12/11/2014	10/12/2017	100,417.00	100,060.04		356.96	
					1,063,712.04	847,814.64		215,897.40	
Total					1,513,420.04	1,297,814.64		215,605.40	

Description	Quantity	How Acquired	Date		Gross Sales Price	Cost Basis	Adjustments	Gain/(Loss)	Notes
			Acquired	Date Sold					
Virtus Quality Sm Cap I	840.877	P	6/22/2016	2/22/2017	14,706.94	12,806.55		1,900.39	
Virtus Quality Sm Cap I	95.142	P	6/22/2016	2/22/2017	1,664.03	1,449.02		215.01	
Virtus Quality Sm Cap I	186.589	P	12/21/2016	2/22/2017	3,263.44	3,168.28		95.16	
Virtus Quality Sm Cap I	216.964	P	12/21/2016	2/22/2017	3,794.70	3,684.05		110.65	
AETNA INC (NEW)(CT)	69.000	P	10/26/2016	2/23/2017	8,939.90	7,715.36		1,224.54	
AETNA INC (NEW)(CT)	69.000	P	11/11/2016	2/23/2017	8,939.89	8,293.56		646.33	
AMERICAN EXPRESS CO	258.000	P	7/19/2016	2/23/2017	20,684.25	16,493.81		4,190.44	
APACHE CORP	133.000	P	11/3/2016	2/23/2017	6,972.23	7,446.56		(474.33)	
APACHE CORP	158.000	P	11/11/2016	2/23/2017	8,282.81	9,148.66		(865.85)	
CARNIVAL CP NEW PAIRED COM	315.000	P	2/9/2017	2/23/2017	17,668.97	17,667.37		1.60	
CBS CORP NEW CL B SHRS	276.000	P	12/20/2016	2/23/2017	18,382.07	17,851.65		530.42	
ENERGY CORP NEW	106.000	P	3/16/2005	2/23/2017	7,965.70	8,091.16		(125.46)	
ENERGY CORP NEW	108.000	P	11/16/2005	2/23/2017	8,116.00	8,242.19		(126.19)	
GENL DYNAMICS CORP	54.000	P	5/10/2016	2/23/2017	10,155.99	7,854.14		2,301.85	
GENL DYNAMICS CORP	59.000	P	5/19/2016	2/23/2017	11,096.35	8,475.82		2,620.53	
HONEYWELL INTERNATIONAL INC	150.000	P	11/30/2016	2/23/2017	18,684.60	17,188.22		1,496.38	
METLIFE INCORPORATED	116.000	P	3/8/2016	2/23/2017	6,209.37	4,828.82		1,380.55	
NABORS INDUSTRIES LTD NEW	931.000	P	12/20/2016	2/23/2017	14,143.72	15,333.20		(1,189.48)	
NABORS INDUSTRIES LTD NEW	146.000	P	12/21/2016	2/23/2017	2,218.03	2,438.78		(220.75)	
ORACLE CORP	188.000	P	8/3/2016	2/23/2017	8,052.80	7,651.00		401.80	
ORACLE CORP	208.000	P	8/11/2016	2/23/2017	8,909.49	8,575.11		334.38	
PFIZER INC	589.000	P	11/30/2016	2/23/2017	20,069.73	18,934.23		1,135.50	
PNC FINL SVCS GP	29.000	P	7/19/2016	2/23/2017	3,706.10	2,396.07		1,310.03	
U S BANCORP COM NEW	183.000	P	8/3/2016	2/23/2017	10,125.48	7,715.43		2,410.05	
U S BANCORP COM NEW	200.000	P	8/17/2016	2/23/2017	11,066.09	8,638.36		2,427.73	
WELLS FARGO & CO NEW	87.000	P	7/19/2016	2/23/2017	5,078.36	4,210.93		867.43	
ALTRIA GROUP INC	65.000	P	2/23/2017	3/22/2017	4,899.95	4,838.33		61.62	
KIMBERLY CLARK CORP	36.000	P	2/23/2017	3/22/2017	4,777.76	4,843.26		(65.50)	
MC DONALDS CORP	38.000	P	2/23/2017	3/22/2017	4,899.47	4,877.30		22.17	
PHILIP MORRIS INTL INC	41.000	P	2/23/2017	4/6/2017	4,614.83	4,365.14		249.69	
SOUTHERN CO	93.000	P	2/23/2017	4/6/2017	4,658.76	4,616.51		42.25	
SOUTHERN CO	197.000	P	2/23/2017	4/11/2017	9,721.65	9,779.06		(57.41)	
MC DONALDS CORP	32.000	P	2/23/2017	4/26/2017	4,518.24	4,107.20		411.04	
MC DONALDS CORP	35.000	P	2/23/2017	4/28/2017	4,916.88	4,492.25		424.63	
MC DONALDS CORP	36.000	P	2/23/2017	5/2/2017	5,086.77	4,620.60		466.17	
NOVARTIS AG ADR	120.000	P	2/23/2017	5/17/2017	9,597.64	9,312.80		284.84	
NATIONAL GRID PLC SPON ADR	0.166	P	2/23/2017	5/19/2017	11.65	11.04		0.61	
NOVARTIS AG ADR	168.000	P	2/23/2017	5/22/2017	13,687.63	13,037.93		649.70	
NOVARTIS AG ADR	5.000	P	5/18/2017	5/22/2017	407.37	400.75		6.62	
MC DONALDS CORP	27.000	P	2/23/2017	6/8/2017	4,091.44	3,465.45		625.99	
SANOFI ADR	74.000	P	2/23/2017	6/8/2017	3,563.81	3,171.65		392.16	

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Description	How	Quantity	Acquired	Date	Gross Sales		Cost Basis	Adjustments	Gain/(Loss)	Notes
					Price					
MATTEL INC	P	83.000	1/15/2010	2/9/2017	2,154.37		1,476.08	-	678.29	
MATTEL INC	P	23.000	12/10/2014	2/9/2017	597.00		628.77	-	(31.77)	
MATTEL INC	P	35.000	12/11/2014	2/9/2017	908.47		967.78	-	(59.31)	
MATTEL INC	P	272.000	12/23/2014	2/9/2017	7,060.12		7,460.53	-	(400.41)	
MATTEL INC	P	41.000	12/24/2014	2/9/2017	1,064.21		1,121.74	-	(57.53)	
MATTEL INC	P	49.000	12/26/2014	2/9/2017	1,271.86		1,341.52	-	(69.66)	
GOLDMAN SACHS STRATEGIC INC I	P	3,600.823	3/21/2014	2/22/2017	35,000.00		38,273.76	-	(3,273.76)	
HENDERSON GLOBAL INCOME I	P	27,815.468	4/3/2014	2/22/2017	205,000.00		232,815.47	-	(27,815.47)	
JP MORGAN MID CAP VALUE S	P	3,180.493	4/13/2012	2/22/2017	120,000.00		81,547.84	-	38,452.16	
NATIXIS OAKMARK INTL A	P	5,042.669	4/15/2015	2/22/2017	65,000.00		67,975.13	-	(2,975.13)	
OPPENHEIMER DEVELOPING MKTS Y	P	7,204.611	4/9/2010	2/22/2017	250,000.00		217,336.53	-	32,663.47	
VIRTUS QUALITY SM CAP I	P	11,328.125	4/13/2012	2/22/2017	198,128.91		145,000.00	-	53,128.91	
VIRTUS QUALITY SM CAP I	P	129.395	6/21/2012	2/22/2017	2,263.12		1,519.10	-	744.02	
VIRTUS QUALITY SM CAP I	P	131.151	12/20/2012	2/22/2017	2,293.83		1,649.88	-	643.95	
VIRTUS QUALITY SM CAP I	P	56.386	6/20/2013	2/22/2017	986.19		776.44	-	209.75	
VIRTUS QUALITY SM CAP I	P	51.450	6/20/2014	2/22/2017	899.86		826.80	-	73.06	
VIRTUS QUALITY SM CAP I	P	486.962	6/20/2014	2/22/2017	8,516.97		7,825.48	-	691.49	
VIRTUS QUALITY SM CAP I	P	678.631	12/22/2014	2/22/2017	11,869.26		10,892.01	-	977.25	
VIRTUS QUALITY SM CAP I	P	661.128	6/22/2015	2/22/2017	11,563.13		10,379.71	-	1,183.42	
VIRTUS QUALITY SM CAP I	P	122.067	6/22/2015	2/22/2017	2,134.95		1,916.45	-	218.50	
VIRTUS QUALITY SM CAP I	P	154.872	12/22/2015	2/22/2017	2,708.71		2,306.05	-	402.66	
AMERICAN ELECTRIC POWER CO	P	59.000	1/6/2012	2/23/2017	3,892.44		2,411.40	-	1,481.04	
AMERIPRISE FINCL INC	P	80.000	8/26/2010	2/23/2017	10,409.63		3,379.07	-	7,030.56	
AMERIPRISE FINCL INC	P	63.000	10/19/2011	2/23/2017	8,197.58		2,584.36	-	5,613.22	
APPLE INC	P	14.000	1/15/2009	2/23/2017	1,914.50		1,511.92	-	402.58	
APPLE INC	P	36.000	1/15/2010	2/23/2017	4,923.01		3,905.45	-	1,017.56	
APPLE INC	P	4.000	9/15/2010	2/23/2017	547.00		449.05	-	97.95	
APPLE INC	P	33.000	12/15/2010	2/23/2017	4,512.76		3,679.60	-	833.16	
APPLE INC	P	57.000	8/31/2015	2/23/2017	7,794.76		6,434.97	-	1,359.79	
CA INCORPORATED	P	241.000	5/9/2012	2/23/2017	7,794.57		6,358.30	-	1,436.27	
CA INCORPORATED	P	229.000	5/10/2012	2/23/2017	7,406.45		6,050.45	-	1,356.00	
CA INCORPORATED	P	12.000	5/11/2012	2/23/2017	388.11		313.04	-	75.07	
CELANESE CORP SERIES A COM STK	P	13.000	6/11/2015	2/23/2017	1,161.40		923.89	-	237.51	
CELANESE CORP SERIES A COM STK	P	19.000	6/15/2015	2/23/2017	1,697.42		1,329.88	-	367.54	
CELANESE CORP SERIES A COM STK	P	36.000	6/17/2015	2/23/2017	3,216.17		2,533.11	-	683.06	
CELANESE CORP SERIES A COM STK	P	35.000	6/19/2015	2/23/2017	3,126.83		2,495.01	-	631.82	
CELANESE CORP SERIES A COM STK	P	45.000	6/23/2015	2/23/2017	4,020.22		3,230.07	-	790.15	
CELANESE CORP SERIES A COM STK	P	1.000	6/25/2015	2/23/2017	89.34		71.59	-	17.75	
CELANESE CORP SERIES A COM STK	P	18.000	6/26/2015	2/23/2017	1,608.09		1,314.36	-	293.73	
CELANESE CORP SERIES A COM STK	P	70.000	6/29/2015	2/23/2017	6,253.67		5,030.50	-	1,223.17	
CELANESE CORP SERIES A COM STK	P	21.000	6/30/2015	2/23/2017	1,876.10		1,510.71	-	365.39	

Description	Quantity	How Acquired	Date Acquired	Date Sold	Gross Sales		Cost Basis	Adjustments	Gain/(Loss)	Notes
					Price					
CISCO SYS INC	412.000	P	5/22/2012	2/23/2017	14,044.93		6,879.78	-	7,165.15	
CISCO SYS INC	184.000	P	5/30/2012	2/23/2017	6,272.50		3,026.41	-	3,246.09	
CITIGROUP INC NEW	52.000	P	10/22/2013	2/23/2017	3,148.79		2,647.87	-	500.92	
CITIGROUP INC NEW	105.000	P	10/23/2013	2/23/2017	6,358.14		5,263.89	-	1,094.25	
CITIGROUP INC NEW	45.000	P	10/24/2013	2/23/2017	2,724.92		2,247.57	-	477.35	
CITIGROUP INC NEW	50.000	P	10/25/2013	2/23/2017	3,027.68		2,499.97	-	527.71	
CITIGROUP INC NEW	56.000	P	10/29/2013	2/23/2017	3,391.00		2,815.80	-	575.20	
EATON CORP PLC SHS	39.000	P	4/2/2015	2/23/2017	2,807.16		2,493.68	-	313.48	
EATON CORP PLC SHS	22.000	P	4/6/2015	2/23/2017	1,583.53		1,431.55	-	151.98	
EATON CORP PLC SHS	46.000	P	4/7/2015	2/23/2017	3,311.01		2,978.09	-	332.92	
EATON CORP PLC SHS	11.000	P	4/8/2015	2/23/2017	791.76		703.83	-	87.93	
EATON CORP PLC SHS	5.000	P	4/9/2015	2/23/2017	359.89		321.20	-	38.69	
EATON CORP PLC SHS	34.000	P	4/15/2015	2/23/2017	2,447.27		2,241.97	-	205.30	
EATON CORP PLC SHS	23.000	P	4/17/2015	2/23/2017	1,655.51		1,485.08	-	170.43	
EATON CORP PLC SHS	54.000	P	4/20/2015	2/23/2017	3,886.84		3,545.93	-	340.91	
EATON CORP PLC SHS	33.000	P	4/21/2015	2/23/2017	2,375.29		2,156.76	-	218.53	
EATON CORP PLC SHS	36.000	P	10/1/2015	2/23/2017	2,591.23		1,712.87	-	878.36	
FIFTH 3RD BANCORP OHIO	34.000	P	8/31/2012	2/23/2017	930.31		513.38	-	416.93	
FIFTH 3RD BANCORP OHIO	500.000	P	9/4/2012	2/23/2017	13,681.10		7,512.65	-	6,168.45	
FIFTH 3RD BANCORP OHIO	140.000	P	9/5/2012	2/23/2017	3,830.71		2,087.99	-	1,742.72	
FIFTH 3RD BANCORP OHIO	90.000	P	9/6/2012	2/23/2017	2,462.60		1,356.77	-	1,105.83	
FIFTH 3RD BANCORP OHIO	45.000	P	7/1/2015	2/23/2017	1,231.30		950.27	-	281.03	
GENERAL MTRS CO	145.000	P	11/2/2015	2/23/2017	5,426.24		5,118.11	-	308.13	
GENERAL MTRS CO	159.000	P	11/6/2015	2/23/2017	5,950.16		5,624.05	-	326.11	
GENERAL MTRS CO	40.000	P	11/10/2015	2/23/2017	1,496.89		1,410.48	-	86.41	
GENERAL MTRS CO	113.000	P	11/12/2015	2/23/2017	4,228.73		3,988.39	-	240.34	
HARTFORD FIN SERS GRP INC	56.000	P	3/13/2015	2/23/2017	2,724.06		2,348.66	-	375.40	
HARTFORD FIN SERS GRP INC	34.000	P	3/16/2015	2/23/2017	1,653.89		1,447.53	-	206.36	
HARTFORD FIN SERS GRP INC	42.000	P	3/18/2015	2/23/2017	2,043.04		1,791.20	-	251.84	
HARTFORD FIN SERS GRP INC	202.000	P	3/23/2015	2/23/2017	9,826.08		8,722.76	-	1,103.32	
INTEL CORP	173.000	P	9/13/2012	2/23/2017	6,237.00		3,996.02	-	2,240.98	
INTEL CORP	341.000	P	2/25/2014	2/23/2017	12,293.73		8,370.15	-	3,923.58	
INTERNATIONAL PAPER CO	372.000	P	6/22/2011	2/23/2017	19,411.72		10,521.48	-	8,890.24	
INTERNATIONAL PAPER CO	28.000	P	10/1/2015	2/23/2017	1,461.10		1,066.73	-	394.37	
INTL BUSINESS MACHINES CORP	22.000	P	7/8/2015	2/23/2017	3,997.45		3,606.66	-	390.79	
INTL BUSINESS MACHINES CORP	17.000	P	7/14/2015	2/23/2017	3,088.94		2,865.07	-	223.87	
INTL BUSINESS MACHINES CORP	20.000	P	7/14/2015	2/23/2017	3,634.05		3,371.77	-	262.28	
INTL BUSINESS MACHINES CORP	41.000	P	7/21/2015	2/23/2017	7,449.79		6,677.81	-	771.98	
JOHNSON & JOHNSON	24.000	P	2/11/2011	2/23/2017	2,915.69		1,466.14	-	1,449.55	
JPMORGAN CHASE & CO	484.000	P	11/4/2011	2/23/2017	44,025.08		16,238.49	-	27,786.59	
JPMORGAN CHASE & CO	16.000	P	6/8/2012	2/23/2017	1,455.37		537.47	-	917.90	

Description	How Acquired	Quantity	Date Acquired	Date Sold	Gross Sales		Cost Basis	Adjustments	Gain/(Loss)	Notes
					Price					
METLIFE INCORPORATED	P	2.000	11/20/2013	2/23/2017	107.06		104.28	-	2.78	
METLIFE INCORPORATED	P	5.000	11/22/2013	2/23/2017	267.64		262.93	-	4.71	
METLIFE INCORPORATED	P	90.000	11/25/2013	2/23/2017	4,817.60		4,714.57	-	103.03	
METLIFE INCORPORATED	P	45.000	11/26/2013	2/23/2017	2,408.80		2,367.69	-	41.11	
METLIFE INCORPORATED	P	90.000	11/27/2013	2/23/2017	4,817.60		4,714.99	-	102.61	
METLIFE INCORPORATED	P	35.000	11/29/2013	2/23/2017	1,873.51		1,832.19	-	41.32	
PFIZER INC	P	114.000	3/10/2008	2/23/2017	3,884.47		2,416.69	-	1,467.78	
PFIZER INC	P	110.000	5/22/2009	2/23/2017	3,748.17		1,657.40	-	2,090.77	
PFIZER INC	P	230.000	4/13/2010	2/23/2017	7,837.08		3,944.13	-	3,892.95	
PFIZER INC	P	30.000	6/15/2010	2/23/2017	1,022.23		461.33	-	560.90	
PNC FINL SVCS GP	P	172.000	11/9/2010	2/23/2017	21,981.02		9,711.47	-	12,269.55	
PUBLIC SERVICE ENTERPRISE GP	P	77.000	1/14/2010	2/23/2017	3,417.57		2,871.33	-	546.24	
PUBLIC SERVICE ENTERPRISE GP	P	47.000	2/14/2010	2/23/2017	2,086.05		1,751.38	-	334.67	
PUBLIC SERVICE ENTERPRISE GP	P	56.000	3/14/2010	2/23/2017	2,485.51		2,086.60	-	398.91	
PUBLIC SERVICE ENTERPRISE GP	P	32.000	7/14/2010	2/23/2017	1,420.29		1,195.19	-	225.10	
PUBLIC SERVICE ENTERPRISE GP	P	76.000	9/14/2010	2/23/2017	3,373.18		2,878.64	-	494.54	
PUBLIC SERVICE ENTERPRISE GP	P	36.000	9/29/2014	2/23/2017	1,597.82		1,349.11	-	248.71	
PUBLIC SERVICE ENTERPRISE GP	P	46.000	9/30/2014	2/23/2017	2,041.67		1,715.40	-	326.27	
PUBLIC SERVICE ENTERPRISE GP	P	85.000	8/15/2012	2/23/2017	8,136.02		5,814.67	-	2,321.35	
QUEST DIAGNOSTICS INC	P	10.000	12/14/2015	2/23/2017	957.18		670.53	-	286.65	
QUEST DIAGNOSTICS INC	P	16.000	12/16/2015	2/23/2017	1,531.49		1,086.49	-	445.00	
QUEST DIAGNOSTICS INC	P	17.000	12/23/2015	2/23/2017	1,627.20		1,217.63	-	409.57	
QUEST DIAGNOSTICS INC	P	2.000	12/28/2015	2/23/2017	191.44		142.10	-	49.34	
QUEST DIAGNOSTICS INC	P	33.000	12/31/2015	2/23/2017	3,158.69		2,352.11	-	806.58	
QUEST DIAGNOSTICS INC	P	31.000	1/6/2016	2/23/2017	2,967.25		2,157.23	-	810.02	
QUEST DIAGNOSTICS INC	P	27.000	1/8/2016	2/23/2017	2,584.38		1,851.73	-	732.65	
ROYAL DUTCH SHELL PLC	P	40.000	9/25/2007	2/23/2017	2,092.55		3,344.68	-	(1,252.13)	
ROYAL DUTCH SHELL PLC	P	65.000	10/2/2007	2/23/2017	3,400.40		5,406.08	-	(2,005.68)	
ROYAL DUTCH SHELL PLC	P	75.000	10/5/2007	2/23/2017	3,923.54		6,008.63	-	(2,085.09)	
ROYAL DUTCH SHELL PLC	P	85.000	3/8/2013	2/23/2017	4,446.68		5,629.47	-	(1,182.79)	
ROYAL DUTCH SHELL PLC	P	51.000	2/4/2015	2/23/2017	2,668.01		3,357.11	-	(689.10)	
ROYAL DUTCH SHELL PLC	P	79.000	2/5/2015	2/23/2017	4,132.79		5,272.78	-	(1,139.99)	
ROYAL DUTCH SHELL PLC	P	34.000	2/6/2015	2/23/2017	1,778.67		2,246.53	-	(467.86)	
ROYAL DUTCH SHELL PLC	P	29.000	2/9/2015	2/23/2017	1,517.10		1,935.50	-	(418.40)	
ROYAL DUTCH SHELL PLC	P	27.000	2/10/2015	2/23/2017	1,412.47		1,784.26	-	(371.79)	
ROYAL DUTCH SHELL PLC	P	23.000	2/11/2015	2/23/2017	1,203.22		1,484.96	-	(281.74)	
ROYAL DUTCH SHELL PLC	P	77.000	2/12/2015	2/23/2017	4,028.17		5,012.56	-	(984.39)	
ROYAL DUTCH SHELL PLC	P	23.000	2/17/2015	2/23/2017	1,203.22		1,509.01	-	(305.79)	
ROYAL DUTCH SHELL PLC	P	16.000	2/18/2015	2/23/2017	837.02		1,062.70	-	(225.68)	
RYDER SYSTEMS INC	P	18.000	7/17/2015	2/23/2017	1,346.33		1,643.55	-	(297.22)	
RYDER SYSTEMS INC	P	85.000	7/23/2015	2/23/2017	6,357.66		7,532.50	-	(1,174.84)	

Description	Quantity	How Acquired	Date		Gross Sales Price	Cost Basis	Adjustments	Gain/(Loss)	Notes
			Acquired	Date Sold					
RYDER SYSTEMS INC	73.000	P	8/7/2015	2/23/2017	5,460.11	6,621.65	-	(1,161.54)	
RYDER SYSTEMS INC	5.000	P	8/10/2015	2/23/2017	373.98	455.90	-	(81.92)	
RYDER SYSTEMS INC	22.000	P	8/11/2015	2/23/2017	1,645.51	1,982.83	-	(337.32)	
TRAVELERS COMPANIES INC COM	192.000	P	3/29/2005	2/23/2017	23,489.38	7,015.68	-	16,473.70	
TRAVELERS COMPANIES INC COM	10.000	P	6/15/2008	2/23/2017	1,223.41	1,052.89	-	170.52	
TRAVELERS COMPANIES INC COM	3.000	P	7/15/2008	2/23/2017	367.02	314.95	-	52.07	
TRAVELERS COMPANIES INC COM	13.000	P	12/15/2008	2/23/2017	1,590.42	1,359.98	-	230.44	
TRAVELERS COMPANIES INC COM	3.000	P	6/30/2015	2/23/2017	367.02	289.77	-	77.25	
TRAVELERS COMPANIES INC COM	38.000	P	7/16/2015	2/23/2017	4,648.94	3,924.70	-	724.24	
TRAVELERS COMPANIES INC COM	33.000	P	7/23/2015	2/23/2017	4,037.24	3,476.17	-	561.07	
TRAVELERS COMPANIES INC COM	2.000	P	7/27/2015	2/23/2017	244.68	210.16	-	34.52	
TRAVELERS COMPANIES INC COM	18.000	P	7/31/2015	2/23/2017	2,202.13	1,916.14	-	285.99	
UNITED TECHNOLOGIES CORP	36.000	P	8/3/2015	2/23/2017	4,039.59	3,570.05	-	469.54	
UNITED TECHNOLOGIES CORP	16.000	P	8/4/2015	2/23/2017	1,795.37	1,585.48	-	209.89	
UNITED TECHNOLOGIES CORP	27.000	P	8/5/2015	2/23/2017	3,029.69	2,689.91	-	339.78	
UNITED TECHNOLOGIES CORP	52.000	P	8/7/2015	2/23/2017	5,834.96	5,110.79	-	724.17	
UNITED TECHNOLOGIES CORP	43.000	P	8/11/2015	2/23/2017	4,825.07	4,227.88	-	597.19	
WAL MART STORES INC	190.000	P	7/14/2011	2/23/2017	13,712.63	10,184.57	-	3,528.06	
WAL MART STORES INC	79.000	P	9/1/2015	2/23/2017	5,701.57	5,017.35	-	684.22	
WAL MART STORES INC	11.000	P	9/3/2015	2/23/2017	793.89	711.42	-	82.47	
WAL MART STORES INC	55.000	P	9/10/2015	2/23/2017	3,969.44	3,525.73	-	443.71	
WAL MART STORES INC	59.000	P	9/25/2015	2/23/2017	4,258.13	3,766.88	-	491.25	
WAL MART STORES INC	53.000	P	9/29/2015	2/23/2017	3,825.10	3,365.20	-	459.90	
WELLS FARGO & CO NEW	31.000	P	9/1/2010	2/23/2017	1,809.53	761.51	-	1,048.02	
WELLS FARGO & CO NEW	80.000	P	12/7/2012	2/23/2017	4,669.75	2,645.66	-	2,024.09	
WELLS FARGO & CO NEW	95.000	P	12/12/2012	2/23/2017	5,545.33	3,189.95	-	2,355.38	
WELLS FARGO & CO NEW	320.000	P	12/13/2012	2/23/2017	18,679.02	10,669.22	-	8,009.80	
WELLS FARGO & CO NEW	70.000	P	12/14/2012	2/23/2017	4,086.04	2,315.89	-	1,770.15	
JOHNSON & JOHNSON	64.000	P	5/22/2009	3/22/2017	8,100.51	3,535.31	-	4,565.20	
JOHNSON & JOHNSON	45.000	P	2/11/2011	3/22/2017	5,695.67	2,749.01	-	2,946.66	
PROCTER & GAMBLE	12.000	P	8/15/2010	4/6/2017	1,073.44	883.67	-	189.77	
PROCTER & GAMBLE	7.000	P	9/15/2010	4/6/2017	626.17	520.49	-	105.68	
PROCTER & GAMBLE	35.000	P	12/15/2010	4/6/2017	3,130.86	2,597.72	-	533.14	
ABBVIE INC COM	61.000	P	4/23/2015	7/31/2017	4,274.45	3,866.11	-	408.34	
ABBVIE INC COM	51.000	P	4/23/2015	9/18/2017	4,350.62	3,232.32	-	1,118.30	
ABBVIE INC COM	2.000	P	4/23/2015	9/25/2017	173.70	126.76	-	46.94	
ABBVIE INC COM	67.000	P	4/29/2015	9/25/2017	5,819.11	4,407.03	-	1,412.08	
ABBVIE INC COM	63.000	P	4/29/2015	10/16/2017	5,755.54	4,143.92	-	1,611.62	
ABBVIE INC COM	16.000	P	1/15/2010	10/18/2017	1,496.01	880.12	-	615.89	
ABBVIE INC COM	19.000	P	4/29/2015	10/18/2017	1,776.51	1,249.76	-	526.75	
Skybridge Mul-Ad Ser G	197.334	P	7/1/2012	10/30/2017	234,821.09	225,000.00	-	9,821.09	

<u>Description</u>	<u>Quantity</u>	<u>How</u> <u>Acquired</u>	<u>Date</u> <u>Acquired</u>	<u>Date Sold</u>	<u>Gross Sales</u>		<u>Cost Basis</u>	<u>Adjustments</u>	<u>Gain/(Loss)</u>	<u>Notes</u>
					<u>Price</u>	<u>Price</u>				
Skybridge Mul-Ad Ser G	23.872	P	12/31/2012	10/30/2017	28,406.91		27,182.66	-	1,224.25	
Skybridge Mul-Ad Ser G	7.826	P	12/31/2013	10/30/2017	9,312.69		9,833.40	-	(520.71)	
Skybridge Mul-Ad Ser G	12.128	P	12/31/2014	10/30/2017	14,431.93		14,792.75	-	(360.82)	
Skybridge Mul-Ad Ser G	3.769	P	12/31/2014	12/27/2017	4,484.86		4,597.12	-	(112.26)	
Skybridge Mul-Ad Ser G	5.210	P	12/31/2015	12/27/2017	6,199.55		5,997.98	-	201.57	
					<u>1,835,326.81</u>		<u>1,557,708.65</u>	-	<u>277,618.16</u>	