

For calendar year 2017, or tax year beginning 01-01-2017, and ending 12-31-2017

Name of foundation AUFZIEN FOUNDATION INC		A Employer identification number 22-2838652	
Number and street (or P O box number if mail is not delivered to street address) 350 PASSAIC AVENUE		Room/suite	B Telephone number (see instructions) (973) 575-5132
City or town, state or province, country, and ZIP or foreign postal code FAIRFIELD, NJ 07004		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 6,726,123	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) (Part I, column (d) must be on cash basis )		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	230,000			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	130,094	130,094		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	185,284			
	b Gross sales price for all assets on line 6a	3,489,909			
	7 Capital gain net income (from Part IV, line 2)		185,284		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	545,378	315,378		
	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	6,590	6,590		0
	c Other professional fees (attach schedule)				
	17 Interest	3,804	3,804		0
	18 Taxes (attach schedule) (see instructions)	1,369	1,227		0
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	10,079	10,079		0
	22 Printing and publications				
	23 Other expenses (attach schedule)	43,406	43,406		0
	24 Total operating and administrative expenses. Add lines 13 through 23	65,248	65,106		0
	25 Contributions, gifts, grants paid	576,485			576,485
	26 Total expenses and disbursements. Add lines 24 and 25	641,733	65,106		576,485
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-96,355			
	b Net investment income (if negative, enter -0-)		250,272		
c Adjusted net income (if negative, enter -0-)					

<b>Part II Balance Sheets</b>		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	<b>1</b> Cash—non-interest-bearing . . . . .		152,496	109,195	109,195
	<b>2</b> Savings and temporary cash investments . . . . .		327,899	395,742	395,742
	<b>3</b> Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____				
	<b>4</b> Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____				
	<b>5</b> Grants receivable . . . . .				
	<b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .				
	<b>7</b> Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____				
	<b>8</b> Inventories for sale or use . . . . .				
	<b>9</b> Prepaid expenses and deferred charges . . . . .				
	<b>10a</b> Investments—U S and state government obligations (attach schedule)				
	<b>b</b> Investments—corporate stock (attach schedule) . . . . .				
	<b>c</b> Investments—corporate bonds (attach schedule) . . . . .				
	<b>11</b> Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____				
	<b>12</b> Investments—mortgage loans . . . . .				
	<b>13</b> Investments—other (attach schedule) . . . . .		5,342,203	5,175,542	6,221,186
	<b>14</b> Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____				
	<b>15</b> Other assets (describe ▶ _____)				
	<b>16 Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)		5,822,598	5,680,479	6,726,123
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses . . . . .				
	<b>18</b> Grants payable . . . . .				
	<b>19</b> Deferred revenue . . . . .				
	<b>20</b> Loans from officers, directors, trustees, and other disqualified persons				
	<b>21</b> Mortgages and other notes payable (attach schedule) . . . . .				
	<b>22</b> Other liabilities (describe ▶ _____)				
	<b>23 Total liabilities</b> (add lines 17 through 22) . . . . .		0	0	
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow SFAS 117, check here</b> <input type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>				
	<b>24</b> Unrestricted . . . . .				
	<b>25</b> Temporarily restricted . . . . .				
	<b>26</b> Permanently restricted . . . . .				
	<b>Foundations that do not follow SFAS 117, check here</b> <input checked="" type="checkbox"/> <b>and complete lines 27 through 31.</b>				
	<b>27</b> Capital stock, trust principal, or current funds . . . . .		5,822,598	5,680,479	
	<b>28</b> Paid-in or capital surplus, or land, bldg, and equipment fund		0	0	
	<b>29</b> Retained earnings, accumulated income, endowment, or other funds		0	0	
	<b>30 Total net assets or fund balances</b> (see instructions) . . . . .		5,822,598	5,680,479	
	<b>31 Total liabilities and net assets/fund balances</b> (see instructions) .		5,822,598	5,680,479	

**Part III Analysis of Changes in Net Assets or Fund Balances**

<b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	<b>1</b>	5,822,598
<b>2</b> Enter amount from Part I, line 27a . . . . .	<b>2</b>	-96,355
<b>3</b> Other increases not included in line 2 (itemize) ▶ _____	<b>3</b>	148
<b>4</b> Add lines 1, 2, and 3 . . . . .	<b>4</b>	5,726,391
<b>5</b> Decreases not included in line 2 (itemize) ▶ _____	<b>5</b>	45,912
<b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	<b>6</b>	5,680,479

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
<b>1a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

  

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

  

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
<b>a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

  

<b>2</b> Capital gain net income or (net capital loss) <span style="float: right;">{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }</span>	<b>2</b>	185,284
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	<b>3</b>	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

**1** Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	427,680	6,808,523	0 062815
2015	477,050	6,367,084	0 074924
2014	478,100	6,392,111	0 074795
2013	374,350	5,634,687	0 066437
2012	438,242	5,384,002	0 081397

  

<b>2</b> Total of line 1, column (d)	<b>2</b>	0 360368
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	0 072074
<b>4</b> Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	<b>4</b>	6,087,565
<b>5</b> Multiply line 4 by line 3	<b>5</b>	438,755
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	2,503
<b>7</b> Add lines 5 and 6	<b>7</b>	441,258
<b>8</b> Enter qualifying distributions from Part XII, line 4	<b>8</b>	576,485

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .	<b>1</b>	2,503
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>	0
<b>3</b>	Add lines 1 and 2. . . . .	<b>3</b>	2,503
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>	0
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .	<b>5</b>	2,503
<b>6</b>	Credits/Payments		
<b>a</b>	2017 estimated tax payments and 2016 overpayment credited to 2017	<b>6a</b>	10,832
<b>b</b>	Exempt foreign organizations—tax withheld at source . . . . .	<b>6b</b>	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868) . . . . .	<b>6c</b>	
<b>d</b>	Backup withholding erroneously withheld . . . . .	<b>6d</b>	0
<b>7</b>	Total credits and payments. Add lines 6a through 6d. . . . .	<b>7</b>	10,832
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>	0
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . .	<b>9</b>	
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . .	<b>10</b>	8,329
<b>11</b>	Enter the amount of line 10 to be <b>Credited to 2018 estimated tax</b> <input type="checkbox"/> 8,329 <b>Refunded</b> <input type="checkbox"/>	<b>11</b>	0

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .	<b>1a</b>	No
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? . . . . . <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	<b>1b</b>	No
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .	<b>1c</b>	No
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ 0 (2) On foundation managers <input type="checkbox"/> \$ 0		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . <i>If "Yes," attach a detailed description of the activities</i>	<b>2</b>	No
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> . . . . .	<b>3</b>	No
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	<b>4a</b>	No
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .	<b>4b</b>	
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . <i>If "Yes," attach the statement required by General Instruction T</i>	<b>5</b>	No
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	<b>6</b>	Yes
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i> . . . . .	<b>7</b>	Yes
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> NJ		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	<b>8b</b>	Yes
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the taxable year beginning in 2017 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i> . . . . .	<b>9</b>	No
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i> . . . . .	<b>10</b>	No

**Part VII-A Statements Regarding Activities** (continued)

<b>11</b>	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	<b>11</b>		<b>No</b>
<b>12</b>	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	<b>12</b>		<b>No</b>
<b>13</b>	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <b>N/A</b>	<b>13</b>	<b>Yes</b>	
<b>14</b>	The books are in care of <b>ALAN AUFZIEN</b> Telephone no <b>(973) 575-5132</b>			

Located at **350 PASSAIC AVENUE FAIRFIELD NJ** ZIP+4 **07004**

<b>15</b>	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here . . . . . <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year . . . . . <b>15</b>			
<b>16</b>	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country <b>▶</b>	<b>16</b>	<b>Yes</b>	<b>No</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

<b>1a</b>	During the year did the foundation (either directly or indirectly)		<b>Yes</b>	<b>No</b>
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b>	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> <b>1b</b>			
<b>c</b>	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017? <input type="checkbox"/> <b>1c</b>			<b>No</b>
<b>2</b>	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
<b>a</b>	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years <b>▶ 20____, 20____, 20____, 20____</b>			
<b>b</b>	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions). <input type="checkbox"/> <b>2b</b>			
<b>c</b>	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here <b>▶ 20____, 20____, 20____, 20____</b>			
<b>3a</b>	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b>	If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <b>3b</b>			
<b>4a</b>	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? <b>4a</b>			<b>No</b>
<b>b</b>	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017? <b>4b</b>			<b>No</b>

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

<b>5a</b>	During the year did the foundation pay or incur any amount to			
	<b>(1)</b> Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	<b>(2)</b> Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	<b>(3)</b> Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	<b>(4)</b> Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	<b>(5)</b> Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	<b>5b</b>		
	Organizations relying on a current notice regarding disaster assistance check here.			
<b>c</b>	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?			
	<i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No		
<b>6a</b>	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<b>6b</b>		
	<b>b</b> Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	<b>6b</b>		<b>No</b>
	<i>If "Yes" to 6b, file Form 8870</i>			
<b>7a</b>	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<b>7b</b>		
	<b>b</b> If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?			

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	Contributions to employee benefit plans and deferred compensation (d)	Expense account, (e) other allowances
NONE				

Total number of other employees paid over \$50,000.

0

3

Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services.

0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3

0

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities.	<b>1a</b>	5,583,262
<b>b</b>	Average of monthly cash balances.	<b>1b</b>	597,007
<b>c</b>	Fair market value of all other assets (see instructions).	<b>1c</b>	0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c).	<b>1d</b>	6,180,269
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	<b>1e</b>	0
<b>2</b>	Acquisition indebtedness applicable to line 1 assets.	<b>2</b>	0
<b>3</b>	Subtract line 2 from line 1d.	<b>3</b>	6,180,269
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	<b>4</b>	92,704
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4.	<b>5</b>	6,087,565
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5.	<b>6</b>	304,378

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6.	<b>1</b>	304,378
<b>2a</b>	Tax on investment income for 2017 from Part VI, line 5.	<b>2a</b>	2,503
<b>b</b>	Income tax for 2017 (This does not include the tax from Part VI).	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b.	<b>2c</b>	2,503
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1.	<b>3</b>	301,875
<b>4</b>	Recoveries of amounts treated as qualifying distributions.	<b>4</b>	0
<b>5</b>	Add lines 3 and 4.	<b>5</b>	301,875
<b>6</b>	Deduction from distributable amount (see instructions).	<b>6</b>	0
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	<b>7</b>	301,875

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	<b>1a</b>	576,485
<b>b</b>	Program-related investments—total from Part IX-B.	<b>1b</b>	0
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required).	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule).	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	<b>4</b>	576,485
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	<b>5</b>	2,503
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4.	<b>6</b>	573,982

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
<b>1</b> Distributable amount for 2017 from Part XI, line 7				301,875
<b>2</b> Undistributed income, if any, as of the end of 2017				
<b>a</b> Enter amount for 2016 only. . . . .			0	
<b>b</b> Total for prior years 20____, 20____, 20____		0		
<b>3</b> Excess distributions carryover, if any, to 2017				
<b>a</b> From 2012. . . . .	171,038			
<b>b</b> From 2013. . . . .	101,852			
<b>c</b> From 2014. . . . .	166,957			
<b>d</b> From 2015. . . . .	170,088			
<b>e</b> From 2016. . . . .	93,822			
<b>f</b> <b>Total</b> of lines 3a through e. . . . .	703,757			
<b>4</b> Qualifying distributions for 2017 from Part XII, line 4 ▶ \$ 576,485				
<b>a</b> Applied to 2016, but not more than line 2a			0	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .		0		
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
<b>d</b> Applied to 2017 distributable amount. . . . .				301,875
<b>e</b> Remaining amount distributed out of corpus	274,610			
<b>5</b> Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a) )	0			0
<b>6</b> <b>Enter the net total of each column as indicated below:</b>				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	978,367			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . .		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .		0		
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .		0		
<b>e</b> Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .			0	
<b>f</b> Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018 . . . . .				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .	0			
<b>8</b> Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions). . . . .	171,038			
<b>9</b> <b>Excess distributions carryover to 2018.</b> Subtract lines 7 and 8 from line 6a . . . . .	807,329			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2013. . . . .	101,852			
<b>b</b> Excess from 2014. . . . .	166,957			
<b>c</b> Excess from 2015. . . . .	170,088			
<b>d</b> Excess from 2016. . . . .	93,822			
<b>e</b> Excess from 2017. . . . .	274,610			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

<b>1a</b> If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling. . . . . ▶					
<b>b</b> Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)					
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .	Tax year	Prior 3 years			(e) Total
	(a) 2017	(b) 2016	(c) 2015	(d) 2014	
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test—enter					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
<b>c</b> "Support" alternative test—enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**

<b>1 Information Regarding Foundation Managers:</b>	
<b>a</b> List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) ) ALAN AUFZIEN	
<b>b</b> List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest	
<b>2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:</b>	
Check here <input type="checkbox"/> if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d	
<b>a</b> The name, address, and telephone number or e-mail address of the person to whom applications should be addressed ALAN AUFZIEN 350 PASSAIC AVENUE FAIRFIELD, NJ 07004 (973) 575-5132	
<b>b</b> The form in which applications should be submitted and information and materials they should include LETTER	
<b>c</b> Any submission deadlines NONE	
<b>d</b> Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors NONE	

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i> See Additional Data Table				
<b>Total</b> . . . . .			<b>3a</b>	576,485
<b>b</b> <i>Approved for future payment</i>				
<b>Total</b> . . . . .			<b>3b</b>	0

## Enter gross amounts unless otherwise indicated

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**Form **990-PF** (2017)

**Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

<b>1</b> Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		<b>Yes</b>	<b>No</b>
<b>a</b> Transfers from the reporting foundation to a noncharitable exempt organization of			
<b>(1)</b> Cash. . . . .	<b>1a(1)</b>		<b>No</b>
<b>(2)</b> Other assets. . . . .	<b>1a(2)</b>		<b>No</b>
<b>b</b> Other transactions			
<b>(1)</b> Sales of assets to a noncharitable exempt organization. . . . .	<b>1b(1)</b>		<b>No</b>
<b>(2)</b> Purchases of assets from a noncharitable exempt organization. . . . .	<b>1b(2)</b>		<b>No</b>
<b>(3)</b> Rental of facilities, equipment, or other assets. . . . .	<b>1b(3)</b>		<b>No</b>
<b>(4)</b> Reimbursement arrangements. . . . .	<b>1b(4)</b>		<b>No</b>
<b>(5)</b> Loans or loan guarantees. . . . .	<b>1b(5)</b>		<b>No</b>
<b>(6)</b> Performance of services or membership or fundraising solicitations. . . . .	<b>1b(6)</b>		<b>No</b>
<b>c</b> Sharing of facilities, equipment, mailing lists, other assets, or paid employees. . . . .	<b>1c</b>		<b>No</b>
<b>d</b> If the answer to any of the above is "Yes," complete the following schedule. Column <b>(b)</b> should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column <b>(d)</b> the value of the goods, other assets, or services received.			

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . . . ☐ Yes ☒ No

**b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

<b>Sign Here</b>	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.	***** 2018-11-12 Date	***** Title	May the IRS discuss this return with the preparer shown below (see instr.)? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
	Signature of officer or trustee			

<b>Paid Preparer Use Only</b>	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed <input type="checkbox"/>	PTIN
	LARRY GOLDSTEIN		2018-11-12		P00005683
	Firm's name ▶ LM COHEN & CO LLP				Firm's EIN ▶ 46-4133700
	Firm's address ▶ 535 FIFTH AVENUE 12TH FLOOR NEW YORK, NY 10017				Phone no. (212) 967-2300

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
MERRILL LYNCH #847-03407-SHORT-TERM REPORTED	P	2017-01-01	2017-12-31
MERRILL LYNCH #847-03407-LONG-TERM REPORTED	P	2016-12-31	2017-12-31
MERRILL LYNCH #847-03482-SHORT-TERM REPORTED	P	2017-01-01	2017-12-31
MERRILL LYNCH #847-03482-LONG-TERM REPORTED	P	2016-12-31	2017-12-31
352 SHS ABBVIE INC	P	2017-03-02	2017-10-25
558 SHS AGRIMUM INC	P	2016-05-25	2017-03-02
1,109 SHS CENTURYLINK INC	P	2017-02-21	2017-03-22
1,993 SHS CF INDUSTRIES HLDG	P	2016-09-15	2017-01-13
85 SHS COMPASS MINERALS INT	P	2017-05-24	2017-09-15
191 SHS CROWN CASTLE INTL CO	P	2017-02-16	2017-04-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
46,334		43,612	2,722
168,415		143,974	24,441
6,728		6,901	-173
90,029		74,251	15,778
30,716		22,328	8,388
53,279		50,461	2,818
25,628		27,237	-1,609
65,985		49,143	16,842
5,925		5,631	294
17,985		17,286	699

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			2,722
			24,441
			-173
			15,778
			8,388
			2,818
			-1,609
			16,842
			294
			699

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
355 SHS CROWN CASTLE INTL CO	P	2017-02-16	2017-05-26
473 SHS DIGITAL REALTY TRUST	P	2016-12-20	2017-02-16
197 SHS ENTERGY CORP	P	2016-09-28	2017-03-15
717 SHS EPR PROPERTIES	P	2017-01-23	2017-11-15
930 SHS GENERAL MOTORS CO	P	2016-09-20	2017-09-15
1,313 SHS GLAXOSMITHKLINE	P	2017-01-11	2017-11-15
4,682 SHS GLOBAL X MLP	P	2017-04-26	2017-08-18
650 SHS GLOBAL X SUPERDIVIDEND	P	2016-10-25	2017-09-15
1,732 SHS HAWAIIAN ELEC INDUST	P	2016-11-16	2017-04-26
1,110 SHS ISHARES INTL	P	2017-03-15	2017-11-15

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
36,453		32,129	4,324
49,067		45,722	3,345
15,084		15,601	-517
50,354		54,422	-4,068
32,875		29,536	3,339
46,884		52,805	-5,921
45,890		54,452	-8,562
16,420		15,901	519
57,941		51,973	5,968
37,056		34,756	2,300

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			4,324
			3,345
			-517
			-4,068
			3,339
			-5,921
			-8,562
			519
			5,968
			2,300

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1,728 SHS ISHARES MSCI UTD KINGOMETF	P	2016-12-07	2017-03-09
1,111 SHS JP MORGAN CHASE	P	2016-05-25	2017-04-26
524 SHS L BRANDS INC	P	2017-04-26	2017-08-18
275 SHS LAS VEGAS SANDS CORP	P	2017-03-15	2017-09-15
2,007 SHS NEW YORK CMNTY BANCO	P	2017-04-26	2017-08-18
3,123 SHS PEOPLES UNITED	P	2016-10-27	2017-01-04
1,618 SHS PFIZER INC	P	2017-01-11	2017-07-12
993 SHS PPL CORP	P	2016-11-08	2017-09-15
3,862 SHS PROSHARE SHORT 20+ YEAR TREASURY	P	2016-10-17	2017-05-17
7,234 SHS PROSHARES SHORT DOW 30	P	2016-12-14	2017-09-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
54,330		53,603	727
35,730		33,815	1,915
18,918		27,269	-8,351
17,469		15,445	2,024
24,005		27,013	-3,008
60,992		51,618	9,374
53,961		53,502	459
38,305		34,739	3,566
88,549		89,310	-761
127,971		129,300	-1,329

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			727
			1,915
			-8,351
			2,024
			-3,008
			9,374
			459
			3,566
			-761
			-1,329

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1,681 SHS PROSHARES SHORT QQQ	P	2017-08-18	2017-12-14
4,103 SHS PROSHARES SHORT RUSSELL 2000	P	2016-12-14	2017-10-18
9,386 SHS PROSHARES SHORT S&P 500	P	2017-03-07	2017-12-14
444 SHS QUALCOMM INC	P	2016-05-25	2017-01-04
906 SHS REGAL ENTERTAINMENT	P	2016-10-14	2017-08-23
461 SHS ROYAL DUTCH SHELL	P	2017-01-04	2017-02-21
291 SHS SCHLUMBERGER	P	2017-09-15	2017-12-13
901 SHS SIX FLAGS ENTERTAINMENT	P	2016-09-15	2017-01-19
495 SHS TARGET CORP	P	2017-05-24	2017-08-23
829 SHS VALERO CORP	P	2016-09-20	2017-01-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
60,241		65,782	-5,541
192,892		197,110	-4,218
299,387		320,044	-20,657
29,032		24,877	4,155
13,255		20,657	-7,402
25,658		27,326	-1,668
18,556		19,522	-966
54,189		49,276	4,913
28,007		26,644	1,363
54,831		48,744	6,087

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-5,541
			-4,218
			-20,657
			4,155
			-7,402
			-1,668
			-966
			4,913
			1,363
			6,087

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
517 SHS VENTAS INC	P	2017-01-04	2017-12-06
727 SHS AT&T INC	P	2015-01-22	2017-11-15
573 SHS EMERSON ELECTRIC CO	P	2015-10-26	2017-09-15
276 SHS ENERGY CORP	P	2016-02-05	2017-03-15
622 SHS GENERAL ELECTRIC CO	P	2011-12-01	2017-11-15
838 SHS GLOBAL X SUPERDIVIDEND	P	2016-10-25	2017-11-15
720 SHS JP MORGAN CHASE	P	2016-04-20	2017-04-26
1,358 SHS REGAL ENTERTAINMENT	P	2013-12-11	2017-08-02
696 SHS VERIZON COMMUNICATION	P	2014-05-15	2017-11-15
169 SHS ADVISORY BOARD CO	P	2013-12-30	2017-03-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
33,965		32,273	1,692
25,085		24,376	709
35,334		27,945	7,389
20,734		19,941	793
11,356		9,916	1,440
20,944		20,501	443
23,156		21,357	1,799
23,012		25,111	-2,099
31,614		33,397	-1,783
7,682		9,525	-1,843

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			1,692
			709
			7,389
			793
			1,440
			443
			1,799
			-2,099
			-1,783
			-1,843

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
3 SHS ANSYS INC	P	2013-12-30	2017-10-30
87 SHS BEACON ROOFING SUPPLY CO	P	2013-12-30	2017-12-12
210 SHS BURBERRY GROUP	P	2013-12-30	2017-05-18
24 SHS CHEMED CORP	P	2013-12-30	2017-07-12
8 SHS ECOLAB INC	P	2013-12-30	2017-01-26
21 SHS FASTENAL CO	P	2013-12-30	2017-01-26
11 SHS FISERV INV	P	2013-12-30	2017-10-30
122 SHS GENTEX CORP	P	2013-12-30	2017-02-08
11 SHS GRAND CANYON EDUCATION INC	P	2013-12-30	2017-07-26
5 SHS HEICO CORP	P	2015-06-11	2017-04-19

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
407		261	146
5,337		3,540	1,797
4,682		5,250	-568
4,881		1,856	3,025
966		833	133
1,056		1,003	53
1,231		647	584
2,456		1,999	457
834		479	355
35		23	12

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			146
			1,797
			-568
			3,025
			133
			53
			584
			457
			355
			12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
18 SHS MICROSOFT CORP	P	2013-12-30	2017-01-26
197 SHS NATL INSTRUMENTS CORP	P	2013-12-30	2017-08-29
67 SHS NEOGEN CORP	P	2013-12-30	2017-04-20
117 SHS PATTERSON COMPANIES	P	2013-12-30	2017-07-12
13 SHS PROTO LABS INC	P	2013-12-30	2017-07-26
93 SHS QUALCOMM INC	P	2013-12-30	2017-05-18
16 SHS ROLLINS INC	P	2013-12-30	2017-10-30
21 SHS STARBUCKS CORP	P	2013-12-30	2017-01-26
90 SHS STERICYCLE INC	P	2013-12-30	2017-05-18
110 SHS VERINT SYSTEMS INC	P	2015-08-07	2017-11-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,157		670	487
7,575		6,213	1,362
4,129		3,058	1,071
5,051		4,810	241
926		928	-2
5,187		6,726	-1,539
693		325	368
1,231		825	406
7,531		10,432	-2,901
4,532		6,215	-1,683

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			487
			1,362
			1,071
			241
			-2
			-1,539
			368
			406
			-2,901
			-1,683

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
125 SHS WHOLE FOODS MARKET INC	P	2014-12-05	2017-05-18
135 SHS STRATASYS LTD	P	2015-08-04	2017-07-12
21 SHS CHARTER COMMUNICATIONS INC	P	2016-05-18	2017-05-08
1 SH ALPHABET IN CL C	P	2016-11-16	2017-09-12
71 SHS APPLE INC	P	2016-10-12	2017-05-25
212 SHS ARES CAPITAL CORP	P	2016-12-09	2017-05-09
70 SHS BRAODRIDGE FINANCIAL SOLUTIONS INC	P	2016-10-12	2017-10-03
222 SHS CITIGROUP INC	P	2016-10-12	2017-04-04
185 SHS ENVISION HEALTHCARE CORP	P	2016-10-12	2017-04-25
15 SHS GEERAL DYNAMICS CORP	P	2016-10-12	2017-06-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
4,521		6,051	-1,530
3,233		3,815	-582
12,711		8,678	4,033
926		764	162
9,110		8,319	791
3,503		3,528	-25
5,498		4,610	888
13,272		10,879	2,393
10,723		12,200	-1,477
2,982		2,252	730

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-1,530
			-582
			4,033
			162
			791
			-25
			888
			2,393
			-1,477
			730

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
93 SHS HONEYWELL INTL INC	P	2016-10-12	2017-07-28
26 SHS LAUDER ESTEE COS CL A	P	2017-04-04	2017-12-13
518 SHS MICHALS COS INC	P	2016-10-12	2017-02-07
9 SHS PRICELINE GROUP INC	P	2016-10-12	2017-08-22
11 SHS THERMO FISHER SCIENTIFIC INC	P	2016-10-12	2017-09-07
4 SHS UNITEDHEALTH GROUP INC	P	2017-02-21	2017-10-03
182 SHS WALGREENS BOOTS ALLIANCE INC	P	2016-10-12	2017-04-24
126 SHS WELLS FARGO & CO	P	2016-10-12	2017-08-03
21 667 SHS DELPHI TECHNOLOGIES	P	2017-01-11	2017-12-12
23 SHS DELPHI AUTOMOTIVE	P	2016-10-17	2017-04-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
12,612		9,929	2,683
3,330		2,210	1,120
10,390		12,401	-2,011
16,391		13,194	3,197
2,094		1,698	396
795		636	159
15,296		14,291	1,005
6,730		5,766	964
1,096		789	307
1,751		1,556	195

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			2,683
			1,120
			-2,011
			3,197
			396
			159
			1,005
			964
			307
			195

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
106 SHS METRONIC	P	2016-10-12	2017-08-25
20 SHS BROADCOM LTD	P	2016-10-12	2017-05-25
20 SHS ALPHABET INC CL C	P	2014-10-31	2017-09-12
16 SHS BROADRIDGE FINANCIAL SOLUTIONS INC	P	2016-10-12	2017-10-13
4 SHS UNITEDHEALTH GROUP INC	P	2016-10-12	2017-10-13
32 333 SHS DELPHI TECHNOLOGIES	P	2016-10-17	2017-12-12
605 6568 SHS WYNNEFIELD SMALL CAP VALUE OFFSHORE FD LTD	P	2006-01-01	2017-09-30
2,485 9938 SHS MAGNITUDE INTL CL A	P	2016-01-01	2017-07-14
LOSS ON TERMINATION OF PARTNERSHIP-CMS PRIVATE REIT LP	P	2015-11-11	2017-12-31
LONG-TERM CAPITAL LOSS-CMS PRIVATE REIT	P	2015-11-11	2017-12-31

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
8,892		8,843	49
4,563		3,382	1,181
17,665		12,781	4,884
1,323		1,045	278
769		538	231
1,655		1,041	614
343,877		227,577	116,300
463,658		285,793	177,865
		19,608	-19,608
		196,299	-196,299

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			49
			1,181
			4,884
			278
			231
			614
			116,300
			177,865
			-19,608
			-196,299

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e g , real estate, <b>(a)</b> 2-story brick warehouse, or common stock, 200 shs MLC Co )	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo , day, yr )	<b>(d)</b> Date sold (mo , day, yr )
CAPITAL GAINS DIVIDENDS	P		

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	Depreciation allowed <b>(f)</b> (or allowable)	Cost or other basis <b>(g)</b> plus expense of sale	Gain or (loss) <b>(h)</b> (e) plus (f) minus (g)
22,438			22,438

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
<b>(i)</b> F M V as of 12/31/69	Adjusted basis <b>(j)</b> as of 12/31/69	Excess of col (i) <b>(k)</b> over col (j), if any	
			22,438

**Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation**

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
ALAN AUFZIEN 350 PASSAIC AVENUE FAIRFIELD, NJ 07004	DIRECTOR 0 00	0	0	0
NORMA AUFZIEN 350 PASSAIC AVENUE FAIRFIELD, NJ 07004				
JONATHAN AUFZIEN 350 PASSAIC AVENUE FAIRFIELD, NJ 07004	DIRECTOR 0 00	0	0	0
LESLIE LEVINE 350 PASSAIC AVENUE FAIRFIELD, NJ 07004				
MEREDITH BAUER 350 PASSAIC AVENUE FAIRFIELD, NJ 07004	DIRECTOR 0 00	0	0	0
LISA AUFZIEN 7 MORAN ROAD WEST ORANGE, NJ 07052				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
AMERICAN FRIENDS OF JAFFA INSTITUTE C/O DR E HARTMAN 171-06 76TH AVE FLUSHING, NY 11366		PC	DONATION	24,000
AMERICAN FRIENDS OF THE TEL AVIV UNIVERSITY INC 33 BROADWAY NEW YORK, NY 10006		PC	DONATION	50,000
ANTI DEFAMATION LEAGUE 605 THIRD AVENUE NEW YORK, NY 10158		PC	DONATION	6,680
<b>Total . . . . . ▶</b> <b>3a</b>				576,485

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
CHANNEL 13 PUBIC BROADCASTING WNET 825 EIGHT AVENUE NEW YORK, NY 10019		PC	DONATION	200
CITY HARVEST575 EIGHTH AVENUE NEW YORK, NY 10018		PC	DONATION	2,000
CONGREGATION SHEARITH ISRAEL 8 WEST 70TH STREET NEW YORK, NY 10023		PC	DONATION	4,000
<b>Total . . . . . ▶</b> <b>3a</b>				576,485

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
DAUGHTERS OF MIRIAMHAZEL ROAD CLIFTON, NJ 07011		PC	DONATION	1,500
JEWISH ADOPTION & FOSTER CARE OPTIONS INC 4200 N UNIVERISTY DRIVE SUNRISE, FL 33351		PC	DONATION	10,000
JEWISH FAMILY SERVICE 199 SCOLES AVENUE CLIFTON, NJ 07012		PC	DONATION	45,000
<b>Total . . . . . ▶</b> <b>3a</b>				576,485

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
JEWISH NATIONAL FUND 42 EAST 69TH STREET NEW YORK, NY 10021		PC	DONATION	3,000
LUBAVITCH HOUSE UNIVERSITY OF PA 4032-34 SPRUCE ST PHILADELPHIA, PA 19104		PC	DONATION	3,825
NEW JERSEY SEEDS THE PEDDIE SCHOOL PO BOX 31 HIGHSTOWN, NJ 08520		PC	DONATION	15,000
<b>Total . . . . . ▶</b> <b>3a</b>				576,485

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
NEW YORK CITY CENTER 130 WEST 56TH STREET NEW YORK, NY 10018		PC	DONATION	200
NEW YORK PUBLIC LIBRARY 445 FIFTH AVENUE NEW YORK, NY 10016		PC	DONATION	180
PAPERMILL PLAYHOUSE 22 BROOKSIDE DR MILLBURN, NJ 07041		PC	DONATION	1,200
<b>Total . . . . . ▶</b> <b>3a</b>				576,485

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
PINE CREST SCHOOL 1501 NE 62ND STREET FORT LAUDERDALE, FL 33334		PC	DONATION	1,000
PRINCETON UNIVERSITYPO BOX 5357 PRINCETON, NJ 08543		PC	DONATION	25,000
PUBLIC RADIO WNYC 160 VARICK STREET NEW YORK, NY 10013		PC	DONATION	200
<b>Total . . . . . ▶</b> <b>3a</b>				576,485

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
ROUNDABOUT THEATRE COMPANY 231 WEST 39TH STREET NEW YORK, NY 10018		PC	DONATION	650
SYMPHONY SPACE2537 BROADWAY NEW YORK, NY 10025		PC	DONATION	10,000
THE INVESTIGATIVE PROJECT ON TERRORISM 5505 CONNECTICUT AVE NW W SUITE 34 WASHINGTON, DC 20015		PC	DONATION	10,000
<b>Total . . . . .</b> <b>3a</b>				576,485

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA 433 FRANKLIN BUILDING PHILADELPHIA, PA 19104		PC	DONATION	75,000
UJA FEDERATION OF NY ONE INTREPID SQUARE WEST 46TH ST AT 12TH AVE NEW YORK, NY 10003		PC	DONATION	6,000
WASHINGTON INSTITUTE FOR NEAR EAST POLICY 1828 L STREET WASHINGTON, DC 20036		PC	DONATION	220,000
<b>Total . . . . . ▶</b> <b>3a</b>				576,485

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
VASSAR COLLEGEBOX 14 POUGHKEEPSIE, NY 12601		PC	DONATION	25,000
FRIENDS OF AMAL 199 MAIN STREET 7TH FLOOR WHITE PLAINS, NY 10601		PC	DONATION	10,000
BOYS TOWN JERUSALEM FOUNDATION OF AMERICA INC 91 FIFTH AVENUE NEW YORK, NY 10003		PC	DONATION	500
<b>Total . . . . . ▶</b> <b>3a</b>				576,485

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
NY TIMES NEEDIEST CASES FUND PO BOX 5193 NEW YORK, NY 10087		PC	DONATION	100
AMERICAN JEWISH COMMITTEE 165 EAST 56TH STREET NEW YORK, NY 100222746		PC	DONATION	5,000
THE JEWISH MUSEUM 1109 FIFTH AVENUE NEW YORK, NY 101300010		PC	DONATION	5,000
<b>Total . . . . . ▶</b> <b>3a</b>				576,485

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
COMMUNITIES IN SCHOOLS OF MIAMI 12485 SW 137TH AVENUE MIAMI, FL 33186		PC	DONATION	250
AMERICAN TECHNION SOCIETY 7280 WEST PALMETTO PARK ROAD BOCA RATON, FL 33433		PC	DONATION	10,000
THANKS TO SCANDANAVIA 366 AMSTERDAM AVENUE NEW YORK, NY 10024		PC	DONATION	1,000
<b>Total . . . . . ▶</b> <b>3a</b>				576,485

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
GEORGE W BUSH PRESIDENTIAL LIBRARY AND MUSEUM 2543 SMU BLVD DALLAS, TX 75205		PC	DONATION	5,000
<b>Total . . . . . ▶</b> <b>3a</b>				576,485

**TY 2017 Accounting Fees Schedule****Name:** AUFZIEN FOUNDATION INC**EIN:** 22-2838652**Accounting Fees Schedule**

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
ACCOUNTING FEES	6,590	6,590		0

**TY 2017 Investments - Other Schedule****Name:** AUFZIEN FOUNDATION INC**EIN:** 22-2838652**Investments Other Schedule 2**

<b>Category/ Item</b>	<b>Listed at Cost or FMV</b>	<b>Book Value</b>	<b>End of Year Fair Market Value</b>
CMS STRUCTURED PRODUCTS FUND	AT COST	335,742	422,689
MERIDIAN REDEVELOPMENT VALUE FUND	AT COST	22,548	22,548
WHITEBOX MULTI STRATEGY FUND	AT COST	686,244	1,113,932
GEMS FUND LP	AT COST	100,030	100,030
GOLUB CAPITAL PARTNERS VII LP	AT COST	130,995	130,995
GOLUB CAPITAL PARTNERS VIII LP	AT COST	89,941	89,941
GOLUB CAPITAL PARTNERS 9 LP	AT COST	179,880	179,880
1,659 SHS GOLUB CAPITAL BDC INC	AT COST	31,703	31,703
KLEIN ANDREWS RIDGE DE LLC	AT COST	72,603	72,603
GEM FUND 4 LP	AT COST	66,390	66,390
CHARLES SCHWAB #2148-1279	AT COST	993,800	1,120,520
MERRILL LYNCH #847-03407	AT COST	550,657	645,442
MERRILL LYNCH #847-03452	AT COST	100,000	108,080
UBS FINANCIAL #61938	AT COST	257,690	311,162
UBS FINANCIAL #61943	AT COST	404,745	566,669
GOLUB CAPITAL PARTNERS 11 ROLLOVER FUND LP	AT COST	142,185	142,185
MERRILL LYNCH #847-03482	AT COST	401,449	477,482
NEW LEXINGTON ASSOCIATES II LP	AT COST	90,849	90,849
RODMAN PORTFOLIO I LP	AT COST	191,630	191,630
RODMAN WYOMISSING LP	AT COST	94,837	94,837
ROBERT W. BAIRD & CO INC	AT COST	231,624	241,619

**TY 2017 Other Decreases Schedule**

**Name:** AUFZIEN FOUNDATION INC  
**EIN:** 22-2838652

Description	Amount
BOOK-TAX DIFFERENCES FROM PASS-THRUS	45,912

**TY 2017 Other Expenses Schedule****Name:** AUFZIEN FOUNDATION INC**EIN:** 22-2838652**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK CHARGES	30	30		0
INVESTMENT FEES	41,537	41,537		0
OTHER INCOME OR LOSS FROM PASS-THRU GEMS FUND 4 LP	-3,294	-3,294		0
OTHER INCOME OR LOSS FROM PASS-THRU GEMS FUND LP	-841	-841		0
OTHER INCOME OR LOSS FROM PASS-THRU GOLUB CAPITAL PARTNERS 11 ROLLOVER FLP	-3,480	-3,480		0
OTHER INCOME OR LOSS FROM PASS-THRU GOLUB CAPITAL PARTNERS 9 LP	-4,306	-4,306		0
OTHER INCOME OR LOSS FROM PASS-THRU GOLUB CAPITAL PARTNERS VII LP	-3,122	-3,122		0
OTHER INCOME OR LOSS FROM PASS-THRU GOLUB CAPITAL PARTNERS VIII LP	-2,145	-2,145		0
OTHER INCOME OR LOSS FROM PASS-THRU KLEIN ANDREWS RIDGE DE LLC	25,569	25,569		0
OTHER INCOME OR LOSS FROM PASS-THRU MERIDIAN REDEVELOPMENT VALUE FUND 1	155	155		0

**Other Expenses Schedule**

<b>Description</b>	<b>Revenue and Expenses per Books</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
OTHER INCOME OR LOSS FROM PASS-THRUS NEW LEXINGTON ASSOCIATES II LP	84,171	84,171		0
OTHER INCOME OR LOSS FROM PASS-THRUS RODMAN PORTFOLIO I LP	8,465	8,465		0
OTHER INCOME OR LOSS FROM PASS-THRUS RODMAN WYOMISSING LP	2,553	2,553		0
OTHER INCOME OR LOSS FROM PASS-THRUS WHITEBOX COMBINED FUND	-104,974	-104,974		0
OTHER INVESTMENT EXPENSES	3,088	3,088		0

**TY 2017 Other Increases Schedule****Name:** AUFZIEN FOUNDATION INC**EIN:** 22-2838652

Description	Amount
NON-TAXABLE DISTRIBUTIONS-CHARLES SCHWAB	99
NON-TAXABLE DISTRIBUTIONS-MERRILL LYNCH	49

**TY 2017 Taxes Schedule****Name:** AUFZIEN FOUNDATION INC**EIN:** 22-2838652

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	1,227	1,227		0
FEDERAL INCOME TAXES	142	0		0

efile GRAPHIC print - DO NOT PROCESS		As Filed Data -		DLN: 93491319042668	
<div>Schedule B (Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service</div>		<div>Schedule of Contributors</div> <div>▶ Attach to Form 990, 990-EZ, or 990-PF</div> <div>▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at <a href="http://www.irs.gov/form990">www.irs.gov/form990</a></div>			<div>OMB No 1545-0047</div> <div>2017</div>
Name of the organization AUFZIEN FOUNDATION INC				Employer identification number 22-2838652	
Organization type (check one)					
Filers of:                      Section:					
Form 990 or 990-EZ		<input type="checkbox"/> 501(c)( ) (enter number) organization			
		<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust <b>not</b> treated as a private foundation			
		<input type="checkbox"/> 527 political organization			
Form 990-PF		<input checked="" type="checkbox"/> 501(c)(3) exempt private foundation			
		<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation			
		<input type="checkbox"/> 501(c)(3) taxable private foundation			
Check if your organization is covered by the <b>General Rule</b> or a <b>Special Rule</b> .					
<b>Note.</b> Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.					
General Rule					
<input checked="" type="checkbox"/> For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.					
Special Rules					
<input type="checkbox"/> For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 <sup>1</sup> 3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.					
<input type="checkbox"/> For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 <i>exclusively</i> for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.					
<input type="checkbox"/> For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions <i>exclusively</i> for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an <i>exclusively</i> religious, charitable, etc., purpose. Don't complete any of the parts unless the <b>General Rule</b> applies to this organization because it received <i>nonexclusively</i> religious, charitable, etc., contributions totaling \$5,000 or more during the year. . . . . ▶ \$ _____					
<b>Caution.</b> An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it <b>must</b> answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).					
For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF		Cat No 30613X		Schedule B (Form 990, 990-EZ, or 990-PF) (2017)	

<b>Name of organization</b> AUFZIEN FOUNDATION INC	<b>Employer identification number</b> 22-2838652
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**Part I** **Contributors** (See instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ALAN AUFZIEN 350 PASSAIC AVENUE FAIRFIELD, NJ 07004	\$ 200,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
2	LISA AUFZIEN 7 MORAN ROAD WEST ORANGE, NJ 07052	\$ 30,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
.		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
.		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
.		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
.		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )

**Part II** **Noncash Property** (See instructions) Use duplicate copies of Part II if additional space is needed

Schedule B (Form 990, 990-EZ, or 990-PF) (2017)

<b>Name of organization</b> AUFZIEN FOUNDATION INC	<b>Employer identification number</b> 22-2838652
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<b>Part III</b>	<b>Exclusively</b> religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of <b>exclusively</b> religious, charitable, etc., contributions of <b>\$1,000 or less</b> for the year. (Enter this information once. See instructions.) ► \$ _____ Use duplicate copies of Part III if additional space is needed
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(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4 Relationship of transferor to transferee		
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4 Relationship of transferor to transferee		
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4 Relationship of transferor to transferee		
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4 Relationship of transferor to transferee		
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4 Relationship of transferor to transferee		
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4 Relationship of transferor to transferee		
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4 Relationship of transferor to transferee		
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4 Relationship of transferor to transferee		
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4 Relationship of transferor to transferee		