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EXTENDED TO NOVEMBER 15, 2018

## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

- Do not enter social security numbers on this form as it may be made public.  
► Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information

OMB No 1545-0052

2017

Open to Public Inspection

Form 990-PF

Department of the Treasury  
Internal Revenue Service

For calendar year 2017 or tax year beginning

, and ending

Name of foundation <b>PAUL &amp; PHYLLIS FIREMAN CHARITABLE FOUNDATION</b>		A Employer identification number <b>22-2677986</b>
Number and street (or P O box number if mail is not delivered to street address) <b>800 SOUTH ST., SUITE 600</b>	Room/suite	B Telephone number <b>617-482-5620</b>
City or town, state or province, country, and ZIP or foreign postal code <b>WALTHAM, MA 02453</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ <b>145,614,668.</b>	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		500.		N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		1,805,464.	1,698,452.		SEE STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		3,396,830.			SEE STATEMENT 1
b Gross sales price for all assets on line 6a 5,680,048.					
7 Capital gain net income (from Part IV, line 2)			2,536,834.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		194,216.	-230,316.		SEE STATEMENT 3
12 Total Add lines 1 through 11		5,397,010.	4,004,970.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages		257,475.	0.		257,475.
15 Pension plans, employee benefits		27,955.	0.		27,955.
16a Legal fees STMT 4		1,406.	0.		1,406.
b Accounting fees					
c Other professional fees STMT 5		708,956.	656,860.		52,096.
17 Interest					
18 Taxes STMT 6		114,125.	59,545.		500.
19 Depreciation and depletion		8,823.	0.		
20 Occupancy		99,177.	0.		99,177.
21 Travel, conferences, and meetings		14,223.	0.		14,223.
22 Printing and publications					
23 Other expenses STMT 7		2,103,044.	1,893,494.		50,268.
24 Total operating and administrative expenses Add lines 13 through 23		3,335,184.	2,609,899.		503,100.
25 Contributions, gifts, grants paid		5,694,400.			5,694,400.
26 Total expenses and disbursements Add lines 24 and 25		9,029,584.	2,609,899.		6,197,500.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-3,632,574.			
b Net investment income (if negative, enter -0-)			1,395,071.		
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end of year amounts only			Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value			
Assets	1 Cash - non-interest-bearing		1,699,280.	3,098,670.	3,098,670.		
	2 Savings and temporary cash investments						
	3 Accounts receivable ▶ 4,548.						
	Less: allowance for doubtful accounts ▶	6,653.	4,548.	4,548.			
	4 Pledges receivable ▶						
	Less: allowance for doubtful accounts ▶						
	5 Grants receivable						
	6 Receivables due from officers, directors, trustees, and other disqualified persons						
	7 Other notes and loans receivable ▶ 4,995,085.						
	Less: allowance for doubtful accounts ▶ 0.	4,995,085.	4,995,085.	4,995,085.			
	8 Inventories for sale or use						
	9 Prepaid expenses and deferred charges						
	10a Investments - U.S. and state government obligations						
	b Investments - corporate stock						
	c Investments - corporate bonds						
	Liabilities	11 Investments - land, buildings, and equipment basis ▶					
Less: accumulated depreciation ▶							
12 Investments - mortgage loans							
13 Investments - other STMT 8		127,413,547.	137,394,730.	137,394,730.			
14 Land, buildings, and equipment basis ▶ 73,802.							
Less: accumulated depreciation STMT 9 ▶		82,625.	73,802.	73,802.			
15 Other assets (describe ▶ SECURITY DEPOSIT )		47,833.	47,833.	47,833.			
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		134,245,023.	145,614,668.	145,614,668.			
17 Accounts payable and accrued expenses							
18 Grants payable							
19 Deferred revenue							
20 Loans from officers, directors, trustees, and other disqualified persons							
21 Mortgages and other notes payable							
22 Other liabilities (describe ▶ )							
23 Total liabilities (add lines 17 through 22)	0.	0.					
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>						
	24 Unrestricted						
	25 Temporarily restricted						
	26 Permanently restricted						
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>						
	27 Capital stock, trust principal, or current funds	1,525.	1,525.				
	28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.				
	29 Retained earnings, accumulated income, endowment, or other funds	134,243,498.	145,613,143.				
30 Total net assets or fund balances	134,245,023.	145,614,668.					
31 Total liabilities and net assets/fund balances	134,245,023.	145,614,668.					

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	134,245,023.
2 Enter amount from Part I, line 27a	2	-3,632,574.
3 Other increases not included in line 2 (itemize) ▶ UNREALIZED INVESTMENT GAIN	3	15,002,219.
4 Add lines 1, 2, and 3	4	145,614,668.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	145,614,668.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENTS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a			
b			
c			
d			
e 5,680,048.		7,399,187.	2,536,834.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			2,536,834.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	2,536,834.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2016	6,134,233.	129,569,399.	.047343
2015	7,462,269.	135,195,385.	.055196
2014	6,790,531.	134,926,802.	.050328
2013	6,846,205.	134,108,760.	.051050
2012	3,976,103.	124,295,601.	.031989

2 Total of line 1, column (d)	2	.235906
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	.047181
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	4	136,416,704.
5 Multiply line 4 by line 3	5	6,436,277.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	13,951.
7 Add lines 5 and 6	7	6,450,228.
8 Enter qualifying distributions from Part XII, line 4	8	6,197,500.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	27,901.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		2	0.
3 Add lines 1 and 2		3	27,901.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		4	0.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		5	27,901.
6 Credits/Payments:			
a 2017 estimated tax payments and 2016 overpayment credited to 2017	6a	162,913.	
b Exempt foreign organizations - tax withheld at source	6b	0.	
c Tax paid with application for extension of time to file (Form 8868)	6c	0.	
d Backup withholding erroneously withheld	6d	0.	
7 Total credits and payments Add lines 6a through 6d	7	162,913.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	0.	
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	135,012.	
11 Enter the amount of line 10 to be: Credited to 2018 estimated tax <input type="checkbox"/> 135,012. Refunded <input type="checkbox"/>	11	0.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered See instructions <input type="checkbox"/> MA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the tax year beginning in 2017? See the instructions for Part XIV If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

**Part VII-A Statements Regarding Activities** (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <b>WWW.PFFFOUND.ORG</b>	X	
14 The books are in care of <b>PAUL FIREMAN</b> Telephone no. <b>617-482-5620</b> Located at <b>800 SOUTH ST., SUITE 600, WALTHAM, MA</b> ZIP+4 <b>02453</b>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year <b>15</b> N/A		
16 At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country		X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here N/A		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions ) N/A		
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017 )		X
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?		X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

		Yes	No
5a During the year, did the foundation pay or incur any amount to			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions		5b	x
Organizations relying on a current notice regarding disaster assistance, check here	<input type="checkbox"/>		
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? SEE STATEMENT 10	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
If "Yes," attach the statement required by Regulations section 53.4945-5(d).			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	6b	x
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			
If "Yes" to 6b, file Form 8870.			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b	

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, and foundation managers and their compensation.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
PAUL FIREMAN	TRUSTEE			
800 SOUTH ST., SUITE 600				
WALTHAM, MA 02453	0.50	0.	0.	0.
PHYLLIS FIREMAN	TRUSTEE			
800 SOUTH ST., SUITE 600				
WALTHAM, MA 02453	0.50	0.	0.	0.

**2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DEVA HIRSCH - 800 SOUTH ST., SUITE 610, WALTHAM, MA 02453	EXECUTIVE DIRECTOR	122,500.	0.	0.
SUSANNE BEATON - 800 SOUTH ST., SUITE 610, WALTHAM, MA 02453	DIRECTOR OF SPECIAL INITIATIVES	81,500.	6,028.	0.
NICOLE KARALIS - 800 SOUTH ST., SUITE 610, WALTHAM, MA 02453	OFFICE COORDINATOR	53,475.	0.	0.

Total number of other employees paid over \$50,000

0

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
WINDROSE ADVISORS		
800 SOUTH ST., SUITE 600, WALTHAM, MA 02453	INVESTMENT ADVISORY	656,860.
JEWISH COMMUNITY RELATIONS COUNCIL		
126 HIGH ST., BOSTON, MA 02110	CONSULTING	25,000.
BOSTON MEDICAL CENTER		
1 BOSTON MEDICAL CENTER PL., BOSTON, MA 02118	CONSULTING	25,000.
MOYNETWORKS LLC		
126 PROCTOR ROAD, CHELMSFORD, MA 01824	CONSULTING	8,989.
ROPES & GRAY LLP		
PO BOX 414265, BOSTON, MA 02241	LEGAL	1,406.
Total number of others receiving over \$50,000 for professional services		0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 LOAN TO HOME FUNDERS COLLABORATIVE LLC - THE HOME FUNDERS MISSION IS TO SUPPORT THE CREATION OF HOUSING FOR EXTREMELY LOW-INCOME FAMILIES IN A MIXED INCOME HOUSING ENVIRONMENT.	0
2	
All other program-related investments. See instructions	
3	
Total. Add lines 1 through 3	0

Form 990-PF (2017)

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	134,901,684.
b Average of monthly cash balances	1b	3,592,432.
c Fair market value of all other assets	1c	
d Total (add lines 1a, b, and c)	1d	138,494,116.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	138,494,116.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	2,077,412.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	136,416,704.
6 Minimum investment return. Enter 5% of line 5	6	6,820,835.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	6,820,835.
2a Tax on investment income for 2017 from Part VI, line 5	2a	27,901.
b Income tax for 2017. (This does not include the tax from Part VI.)	2b	113,005.
c Add lines 2a and 2b	2c	140,906.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	6,679,929.
4 Recoveries of amounts treated as qualifying distributions	4	0.
5 Add lines 3 and 4	5	6,679,929.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	6,679,929.

**Part XII Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes.		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	6,197,500.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	6,197,500.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	6,197,500.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				6,679,929.
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only			0.	
b Total for prior years.		0.		
3 Excess distributions carryover, if any, to 2017:				
a From 2012				
b From 2013				
c From 2014				
d From 2015	720,520.			
e From 2016				
f Total of lines 3a through e	720,520.			
4 Qualifying distributions for 2017 from Part XII, line 4: ► \$ 6,197,500.				
a Applied to 2016, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2017 distributable amount				6,197,500.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))	482,429.			482,429.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	238,091.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount - see instr.			0.	
f Undistributed income for 2017. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2018				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2012 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2018 Subtract lines 7 and 8 from line 6a	238,091.			
10 Analysis of line 9:				
a Excess from 2013				
b Excess from 2014				
c Excess from 2015	238,091.			
d Excess from 2016				
e Excess from 2017				

N/A

4942(1)(5)

(4) Gross investment income

[illegible]

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV** **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
SEE SCHEDULE ATTACHED	NONE	PC	FOR DONEE'S EXEMPT PURPOSE	5,694,400.
<b>Total</b>				<b>5,694,400.</b>
<b>b Approved for future payment</b>				
NONE				
<b>Total</b>				<b>0.</b>

## Enter gross amounts unless otherwise indicated

(See worksheet in line 13 instructions to verify calculations )

7	RENTAL REIMBURSEMENT FOR SHARED SPACE, RECEIVED 1099-MISC
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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr)	(d) Date sold (mo., day, yr)
1a BNY MELLON #2000 - SEE ATTACHED	P	VARIOUS	12/31/17
b BNY MELLON #2000 - SEE ATTACHED	P	VARIOUS	12/31/17
c GAIN SUBJECT TO UBTI	P	VARIOUS	12/31/17
d GAIN SUBJECT TO UBTI	P	VARIOUS	12/31/17
e GAIN SUBJECT TO UBTI 1231	P	VARIOUS	12/31/17
f VIA AG SUPER FUND LP	P	VARIOUS	12/31/17
g VIA AG SUPER FUND LP	P	VARIOUS	12/31/17
h VIA AG SUPER FUND LP, 1231 GAIN	P	VARIOUS	12/31/17
i VIA AVENUE INVESTMENTS, LP	P	VARIOUS	12/31/17
j VIA AVENUE INVESTMENTS, LP, 1231 LOSS	P	VARIOUS	12/31/17
k VIA BAY POND PARTNERS, LP	P	VARIOUS	12/31/17
l VIA BAY POND PARTNERS, LP	P	VARIOUS	12/31/17
m VIA BAY POND PARTNERS, LP, 1231 LOSS	P	VARIOUS	12/31/17
n VIA BLUM STRATEGIC PARTNERS III, LP	P	VARIOUS	12/31/17
o VIA CARMEL PARTNERS INVESTMENT FUND IV, LP	P	VARIOUS	12/31/17

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 79,298.		74,169.	5,129.
b 5,600,750.		6,465,022.	-864,272.
c		353,010.	-353,010.
d		507,105.	-507,105.
e		-119.	119.
f			17,706.
g			-72,053.
h			-6.
i			-225,167.
j			-2,385.
k			145,749.
l			598,330.
m			532.
n			1,010.
o			1,591.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			5,129.
b			-864,272.
c			-353,010.
d			-507,105.
e			119.
f			17,706.
g			-72,053.
h			-6.
i			-225,167.
j			-2,385.
k			145,749.
l			598,330.
m			532.
n			1,010.
o			1,591.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c)  
If (loss), enter "-0-" in Part I, line 8

3

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a VIA CARMEL PARTNERS INVESTMENT FUND IV, LP	P	VARIOUS	12/31/17
b VIA CARMEL PARTNERS INVESTMENT FUND V, LP	P	VARIOUS	12/31/17
c VIA CENTERBRIDGE CREDIT PARTNERS TE, LP	P	VARIOUS	12/31/17
d VIA CENTERBRIDGE CREDIT PARTNERS TE, LP	P	VARIOUS	12/31/17
e VIA DOMAIN ENVIRONMENTAL INVESTMENTS II LP	P	VARIOUS	12/31/17
f VIA DOMAIN ENVIRONMENTAL INVESTMENTS II LP 1231 LOSS	P	VARIOUS	12/31/17
g VIA DOMAIN TIMBER INVESTMENTS II LP, 1231 GAIN	P	VARIOUS	12/31/17
h VIA DOMAIN TIMBER INVESTMENTS III LP, 1231 GAIN	P	VARIOUS	12/31/17
i VIA ENDEAVOUR CAPITAL FUND VI, LP	P	VARIOUS	12/31/17
j VIA ENDEAVOUR CAPITAL FUND VI, LP, 1231 LOSS	P	VARIOUS	12/31/17
k VIA FCA BERKSHIRE, LLC	P	VARIOUS	12/31/17
l VIA FCA BERKSHIRE, LLC	P	VARIOUS	12/31/17
m VIA FCA BERKSHIRE, LLC, 1231 LOSS	P	VARIOUS	12/31/17
n VIA HIGHFIELDS CAPITAL IV LP	P	VARIOUS	12/31/17
o VIA HIGHFIELDS CAPITAL IV LP	P	VARIOUS	12/31/17

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			133,987.
b			939.
c			807.
d			2,104.
e			37.
f			-14,474.
g			36,592.
h			11,375.
i			21,169.
j			-80.
k			-3,942.
l			183,657.
m			-21.
n			-131,167.
o			532,796.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			133,987.
b			939.
c			807.
d			2,104.
e			37.
f			-14,474.
g			36,592.
h			11,375.
i			21,169.
j			-80.
k			-3,942.
l			183,657.
m			-21.
n			-131,167.
o			532,796.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  
If gain, also enter in Part I, line 8, column (c)  
If (loss), enter "-0-" in Part I, line 8

3

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a VIA HIGHFIELDS CAPITAL IV LP 1231 LOSS	P	VARIOUS	12/31/17
b VIA MERGANSER CORE PLUS BOND FUND LLC	P	VARIOUS	12/31/17
c VIA MERGANSER CORE PLUS BOND FUND LLC	P	VARIOUS	12/31/17
d VIA RIVERSIDE FUND V OFFSHORE LP	P	VARIOUS	12/31/17
e VIA SANDALWOOD SPECIAL CREDIT OPPORTUNITIES	P	VARIOUS	12/31/17
f VIA SANDALWOOD SPECIAL CREDIT OPPORTUNITIES	P	VARIOUS	12/31/17
g VIA SANDALWOOD SPECIAL CREDIT OPPORTUNITIES, 1231 GAIN	P	VARIOUS	12/31/17
h VIA SHASTA VENTURES III, LP	P	VARIOUS	12/31/17
i VIA SHASTA VENTURES III, LP	P	VARIOUS	12/31/17
j VIA SHASTA VENTURES IV, LP	P	VARIOUS	12/31/17
k VIA SHASTA VENTURES IV, LP	P	VARIOUS	12/31/17
l VIA WA GLOBAL EQUITY FUND LLC	P	VARIOUS	12/31/17
m VIA WA GLOBAL EQUITY FUND LLC	P	VARIOUS	12/31/17
n VIA WA-ARES LLC	P	VARIOUS	12/31/17
o VIA WA-ARES LLC	P	VARIOUS	12/31/17

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			-56.
b			-25,968.
c			1,653.
d			-162,102.
e			81,395.
f			402,915.
g			3.
h			5,082.
i			7,741.
j			-4,255.
k			-55,534.
l			658,991.
m			944,448.
n			66,448.
o			392,183.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col. (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			-56.
b			-25,968.
c			1,653.
d			-162,102.
e			81,395.
f			402,915.
g			3.
h			5,082.
i			7,741.
j			-4,255.
k			-55,534.
l			658,991.
m			944,448.
n			66,448.
o			392,183.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  
If gain, also enter in Part I, line 8, column (c)  
If (loss), enter "-0-" in Part I, line 8

2

3



**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	VIA WELLINGTON TRUST COMPANY, NA CTF ULTRA SHORT DURATION PORTFOLIO	P	VARIOUS	12/31/17
b	VIA WELLINGTON TRUST COMPANY, NA CTF ULTRA SHORT DURATION PORTFOLIO	P	VARIOUS	12/31/17
c	VIA WINDROSE - AC VI LLC	P	VARIOUS	12/31/17
d	VIA WINDROSE - ADVENT VII LLC	P	VARIOUS	12/31/17
e	VIA WINDROSE - ANR FUND LLC	P	VARIOUS	12/31/17
f	VIA WINDROSE - ANR FUND LLC	P	VARIOUS	12/31/17
g	VIA WINDROSE - AV LLC	P	VARIOUS	12/31/17
h	VIA WINDROSE - EAF VII LLC 1231 GAIN	P	VARIOUS	12/31/17
i	VIA WINDROSE - ENERGY FUND IV LLC.	P	VARIOUS	12/31/17
j	VIA WINDROSE - ENERGY FUND IV LLC, 1231 GAIN	P	VARIOUS	12/31/17
k	VIA WINDROSE - IVP XIV LLC	P	VARIOUS	12/31/17
l	VIA WINDROSE - IVP XV LLC	P	VARIOUS	12/31/17
m	VIA WINDROSE - IVP XV LLC	P	VARIOUS	12/31/17
n	VIA WINDROSE - MCP IV LLC	P	VARIOUS	12/31/17
o	VIA WINDROSE - MEP IV LLC	P	VARIOUS	12/31/17

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			-1.
b			10.
c			-26,355.
d			35,424.
e			10,508.
f			2,034.
g			-1,335.
h			43.
i			-431.
j			637.
k			172,772.
l			12,954.
m			12,988.
n			4.
o			234,370.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-1.
b			10.
c			-26,355.
d			35,424.
e			10,508.
f			2,034.
g			-1,335.
h			43.
i			-431.
j			637.
k			172,772.
l			12,954.
m			12,988.
n			4.
o			234,370.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  
If gain, also enter in Part I, line 8, column (c).  
If (loss), enter "-0-" in Part I, line 8

3

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr.)
1a VIA WINDROSE - RREF II LLC ,	P	VARIOUS	12/31/17
b VIA WINDROSE - SC II LLC	P	VARIOUS	12/31/17
c VIA WINDROSE - TB IV LLC	P	VARIOUS	12/31/17
d VIA WINDROSE - XPE VI LLC	P	VARIOUS	12/31/17
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			1,150.
b			77,209.
c			21,326.
d			150,636.
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F M.V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			1,150.
b			77,209.
c			21,326.
d			150,636.
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	2,536,834.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

FORM 990-PF

GAIN OR (LOSS) FROM SALE OF ASSETS

STATEMENT 1

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
BNY MELLON #2000 - SEE ATTACHED	79,298.	74,169.	0.	0.	5,129.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
BNY MELLON #2000 - SEE ATTACHED	5,600,750.	6,465,022.	0.	0.	-864,272.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
GAIN SUBJECT TO UBTI	0.	0.	0.	0.	0.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
GAIN SUBJECT TO UBTI	PURCHASED		VARIOUS	12/31/17
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	0.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
GAIN SUBJECT TO UBTI 1231	PURCHASED		VARIOUS	12/31/17
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	0.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
VIA AG SUPER FUND LP	PURCHASED		VARIOUS	12/31/17
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	17,706.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
VIA AG SUPER FUND LP	PURCHASED		VARIOUS	12/31/17
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	-72,053.

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
VIA AG SUPER FUND LP, 1231 GAIN			PURCHASED	VARIOUS	12/31/17
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
0.	0.	0.	0.	-6.	

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
VIA AVENUE INVESTMENTS, LP			PURCHASED	VARIOUS	12/31/17
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
0.	0.	0.	0.	-225,167.	

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
VIA AVENUE INVESTMENTS, LP, 1231 LOSS			PURCHASED	VARIOUS	12/31/17
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
0.	0.	0.	0.	-2,385.	

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
VIA BAY POND PARTNERS, LP			PURCHASED	VARIOUS	12/31/17
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
0.	0.	0.	0.	145,749.	

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED (E) DEPREC.	DATE ACQUIRED (F) GAIN OR LOSS	DATE SOLD
VIA BAY POND PARTNERS, LP				PURCHASED	VARIOUS	12/31/17
	0.	0.	0.	0.		598,330.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED (E) DEPREC.	DATE ACQUIRED (F) GAIN OR LOSS	DATE SOLD
VIA BAY POND PARTNERS, LP, 1231 LOSS				PURCHASED	VARIOUS	12/31/17
	0.	0.	0.	0.		532.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED (E) DEPREC.	DATE ACQUIRED (F) GAIN OR LOSS	DATE SOLD
VIA BLUM STRATEGIC PARTNERS III, LP				PURCHASED	VARIOUS	12/31/17
	0.	0.	0.	0.		1,010.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED (E) DEPREC.	DATE ACQUIRED (F) GAIN OR LOSS	DATE SOLD
VIA CARMEL PARTNERS INVESTMENT FUND IV, LP				PURCHASED	VARIOUS	12/31/17
	0.	0.	0.	0.		1,591.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
VIA CARMEL PARTNERS INVESTMENT FUND IV, LP				PURCHASED	VARIOUS	12/31/17
	0.	0.	0.			133,987.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
VIA CARMEL PARTNERS INVESTMENT FUND V, LP				PURCHASED	VARIOUS	12/31/17
	0.	0.	0.			939.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
VIA CENTERBRIDGE CREDIT PARTNERS TE, LP				PURCHASED	VARIOUS	12/31/17
	0.	0.	0.			807.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
VIA CENTERBRIDGE CREDIT PARTNERS TE, LP				PURCHASED	VARIOUS	12/31/17
	0.	0.	0.			2,104.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
VIA DOMAIN ENVIRONMENTAL INVESTMENTS II LP					
	0.	0.	0.	0.	37.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
VIA DOMAIN ENVIRONMENTAL INVESTMENTS II LP 1231 LOSS					
	0.	0.	0.	0.	-14,474.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
VIA DOMAIN TIMBER INVESTMENTS II LP, 1231 GAIN					
	0.	0.	0.	0.	36,592.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
VIA DOMAIN TIMBER INVESTMENTS III LP, 1231 GAIN					
	0.	0.	0.	0.	11,375.



(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
VIA ENDEAVOUR CAPITAL FUND VI, LP	PURCHASED		VARIOUS	12/31/17
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	21,169.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
VIA ENDEAVOUR CAPITAL FUND VI, LP, 1231 LOSS	PURCHASED		VARIOUS	12/31/17
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	-80.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
VIA FCA BERKSHIRE, LLC	PURCHASED		VARIOUS	12/31/17
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	-3,942.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
VIA FCA BERKSHIRE, LLC	PURCHASED		VARIOUS	12/31/17
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	183,657.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED (E) DEPREC.	DATE ACQUIRED (F) GAIN OR LOSS	DATE SOLD
VIA FCA BERKSHIRE, LLC, 1231 LOSS	0.	0.	0.	PURCHASED	VARIOUS	12/31/17
						-21.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED (E) DEPREC.	DATE ACQUIRED (F) GAIN OR LOSS	DATE SOLD
VIA HIGHFIELDS CAPITAL IV LP	0.	0.	0.	PURCHASED	VARIOUS	12/31/17
						-131,167.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED (E) DEPREC.	DATE ACQUIRED (F) GAIN OR LOSS	DATE SOLD
VIA HIGHFIELDS CAPITAL IV LP	0.	0.	0.	PURCHASED	VARIOUS	12/31/17
						532,796.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED (E) DEPREC.	DATE ACQUIRED (F) GAIN OR LOSS	DATE SOLD
VIA HIGHFIELDS CAPITAL IV LP 1231 LOSS	0.	0.	0.	PURCHASED	VARIOUS	12/31/17
						-56.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED (E) DEPREC.	DATE ACQUIRED (F) GAIN OR LOSS	DATE SOLD
VIA MERGANSER CORE PLUS BOND FUND LLC	0.	0.	0.	PURCHASED	VARIOUS	12/31/17
	0.	0.	0.			-25,968.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED (E) DEPREC.	DATE ACQUIRED (F) GAIN OR LOSS	DATE SOLD
VIA MERGANSER CORE PLUS BOND FUND LLC	0.	0.	0.	PURCHASED	VARIOUS	12/31/17
	0.	0.	0.			1,653.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED (E) DEPREC.	DATE ACQUIRED (F) GAIN OR LOSS	DATE SOLD
VIA RIVERSIDE FUND V OFFSHORE LP	0.	0.	0.	PURCHASED	VARIOUS	12/31/17
	0.	0.	0.			-162,102.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED (E) DEPREC.	DATE ACQUIRED (F) GAIN OR LOSS	DATE SOLD
VIA SANDALWOOD SPECIAL CREDIT OPPORTUNITIES	0.	0.	0.	PURCHASED	VARIOUS	12/31/17
	0.	0.	0.			81,395.

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
VIA SANDALWOOD SPECIAL CREDIT OPPORTUNITIES			PURCHASED	VARIOUS	12/31/17
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
0.	0.	0.	0.	402,915.	

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
VIA SANDALWOOD SPECIAL CREDIT OPPORTUNITIES, 1231 GAIN			PURCHASED	VARIOUS	12/31/17
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
0.	0.	0.	0.	3.	

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
VIA SHASTA VENTURES III, LP			PURCHASED	VARIOUS	12/31/17
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
0.	0.	0.	0.	5,082.	

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
VIA SHASTA VENTURES III, LP			PURCHASED	VARIOUS	12/31/17
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
0.	0.	0.	0.	7,741.	

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD			
VIA SHASTA VENTURES IV, LP	PURCHASED	VARIOUS	12/31/17		
	0.	0.	0.	0.	-4,255.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD			
VIA SHASTA VENTURES IV, LP	PURCHASED	VARIOUS	12/31/17		
	0.	0.	0.	0.	-55,534.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD			
VIA WA GLOBAL EQUITY FUND LLC	PURCHASED	VARIOUS	12/31/17		
	0.	0.	0.	0.	658,991.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD			
VIA WA GLOBAL EQUITY FUND LLC	PURCHASED	VARIOUS	12/31/17		
	0.	0.	0.	0.	944,448.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
VIA WA-ARES LLC	PURCHASED		VARIOUS	12/31/17
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	66,448.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
VIA WA-ARES LLC	PURCHASED		VARIOUS	12/31/17
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	392,183.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
VIA WELLINGTON TRUST COMPANY, NA CTF ULTRA SHORT DURATION PORTFOLIO	PURCHASED		VARIOUS	12/31/17
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	-1.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
VIA WELLINGTON TRUST COMPANY, NA CTF ULTRA SHORT DURATION PORTFOLIO	PURCHASED		VARIOUS	12/31/17
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	10.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED (E) DEPREC.	DATE ACQUIRED (F) GAIN OR LOSS	DATE SOLD
VIA WINDROSE - AC VI LLC	0.	0.	0.	PURCHASED	VARIOUS	12/31/17
	0.	0.	0.			-26,355.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED (E) DEPREC.	DATE ACQUIRED (F) GAIN OR LOSS	DATE SOLD
VIA WINDROSE - ADVENT VII LLC	0.	0.	0.	PURCHASED	VARIOUS	12/31/17
	0.	0.	0.			35,424.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED (E) DEPREC.	DATE ACQUIRED (F) GAIN OR LOSS	DATE SOLD
VIA WINDROSE - ANR FUND LLC	0.	0.	0.	PURCHASED	VARIOUS	12/31/17
	0.	0.	0.			10,508.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED (E) DEPREC.	DATE ACQUIRED (F) GAIN OR LOSS	DATE SOLD
VIA WINDROSE - ANR FUND LLC	0.	0.	0.	PURCHASED	VARIOUS	12/31/17
	0.	0.	0.			2,034.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED (E) DEPREC.	DATE ACQUIRED (F) GAIN OR LOSS	DATE SOLD
VIA WINDROSE - AV LLC				PURCHASED	VARIOUS	12/31/17
	0.	0.	0.	0.		-1,335.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED (E) DEPREC.	DATE ACQUIRED (F) GAIN OR LOSS	DATE SOLD
VIA WINDROSE - EAF VII LLC 1231 GAIN				PURCHASED	VARIOUS	12/31/17
	0.	0.	0.	0.		43.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED (E) DEPREC.	DATE ACQUIRED (F) GAIN OR LOSS	DATE SOLD
VIA WINDROSE - ENERGY FUND IV LLC.				PURCHASED	VARIOUS	12/31/17
	0.	0.	0.	0.		-431.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED (E) DEPREC.	DATE ACQUIRED (F) GAIN OR LOSS	DATE SOLD
VIA WINDROSE - ENERGY FUND IV LLC, 1231 GAIN				PURCHASED	VARIOUS	12/31/17
	0.	0.	0.	0.		637.



(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
VIA WINDROSE - IVP XIV LLC					
	0.	0.	0.	0.	172,772.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
VIA WINDROSE - IVP XV LLC					
	0.	0.	0.	0.	12,954.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
VIA WINDROSE - IVP XV LLC					
	0.	0.	0.	0.	12,988.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
VIA WINDROSE - MCP IV LLC					
	0.	0.	0.	0.	4.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD			
VIA WINDROSE - MEP IV LLC	PURCHASED	VARIOUS	12/31/17		
	0.	0.	0.	0.	234,370.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD			
VIA WINDROSE - RREF II LLC,	PURCHASED	VARIOUS	12/31/17		
	0.	0.	0.	0.	1,150.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD			
VIA WINDROSE - SC II LLC	PURCHASED	VARIOUS	12/31/17		
	0.	0.	0.	0.	77,209.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD			
VIA WINDROSE - TB IV LLC	PURCHASED	VARIOUS	12/31/17		
	0.	0.	0.	0.	21,326.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
VIA WINDROSE - XPE VI LLC	PURCHASED	VARIOUS	12/31/17	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	150,636.

CAPITAL GAINS DIVIDENDS FROM PART IV 0.

TOTAL TO FORM 990-PF, PART I, LINE 6A 3,396,830.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
AG SUPER FUND, LP	39,421.	0.	39,421.	30,111.	
AG SUPER FUND, LP AVENUE	161,951.	0.	161,951.	138,039.	
INVESTMENTS, LP AVENUE	47.	0.	47.	47.	
INVESTMENTS, LP	7,611.	0.	7,611.	7,611.	
BAY POND PARTNERS, LP	90,402.	0.	90,402.	44,495.	
BAY POND PARTNERS, LP	25,154.	0.	25,154.	21,336.	
BNY MELLON #2000	22,767.	0.	22,767.	22,767.	
BNY MELLON #2000	6,789.	0.	6,789.	6,789.	
BNY MELLON #2030	1.	0.	1.	1.	
CARMEL PARTNERS INVESTMENT FUND IV LP	40,416.	0.	40,416.	40,416.	
CARMEL PARTNERS INVESTMENT FUND IV, LP	44,583.	0.	44,583.	44,583.	
CARMEL PARTNERS INVESTMENT FUND V, LP	7,794.	0.	7,794.	7,488.	
CARMEL PARTNERS INVESTMENT FUND V, LP	2,026.	0.	2,026.	2,026.	
CENTERBRIDGE CREDIT PARTNERS TE LP	1,782.	0.	1,782.	1,782.	

CENTERBRIDGE				
CREDIT PARTNERS TE				
LP	38,404.	0.	38,404.	36,063.
DOMAIN				
ENVIRONMENTAL				
INVESTMENTS II LLP	11.	0.	11.	11.
DOMAIN				
ENVIRONMENTAL				
INVESTMENTS II LLP	12,960.	0.	12,960.	12,960.
DOMAIN TIMBER				
INVESTMENTS II,LP	9,882.	0.	9,882.	9,882.
DOMAIN TIMBER				
INVESTMENTS III,LP	44.	0.	44.	44.
ENDEAVOR CAPITAL				
FUND VI, LP	13,112.	0.	13,112.	13,112.
ENDEAVOUR CAPITAL				
FUND VI, LP	14,975.	0.	14,975.	7,810.
FCA BERKSHIRE LLC	251.	0.	251.	91.
FCA BERKSHIRE LLC	451.	0.	451.	451.
FCA ELEMENT LLC	202,370.	0.	202,370.	202,354.
FCA ELEMENT LLC	5,525.	0.	5,525.	5,525.
HIGHFIELDS CAPITAL				
IV LP	15,631.	0.	15,631.	15,631.
HIGHFIELDS CAPITAL				
IV LP	128,661.	0.	128,661.	128,661.
HOME FUNDERS				
COLLABORATIVE, LLC	179.	0.	179.	179.
HOME FUNDERS				
COLLABORATIVE, LLC	99,902.	0.	99,902.	99,902.
MERGANSER CORE				
PLUS BOND FUND LLC	128,157.	0.	128,157.	128,157.
MORGAN STANLEY				
#859228	49.	0.	49.	49.
SANDALWOOD SPECIAL				
CREDIT				
OPPORTUNITIES	56,519.	0.	56,519.	55,483.
SANDALWOOD SPECIAL				
CREDIT				
OPPORTUNITIES	28,245.	0.	28,245.	28,245.
SHASTA VENTURES				
III, LP	667.	0.	667.	667.
SHASTA VENTURES				
IV, LP	977.	0.	977.	977.
SHASTA VENTURES				
IV, LP	83,787.	0.	83,787.	83,787.
US TRUST BANK OF				
AMERICA #8623	520.	0.	520.	520.
WA GLOBAL EQUITY				
FUND LLC	9,983.	0.	9,983.	9,983.
WA GLOBAL EQUITY				
FUND LLC	389,338.	0.	389,338.	389,338.
WA PRIVATE EQUITY				
FUND V LLC	817.	0.	817.	817.
WA PRIVATE EQUITY				
FUND V LLC	273.	0.	273.	273.

WA PRIVATE EQUITY				
FUND VI LLC	96.	0.	96.	96.
WA-ARES LLC	8,751.	0.	8,751.	5,765.
WA-ARES LLC	6,151.	0.	6,151.	6,151.
WATERTON FUND X				
INVESTORS, LLC	11.	0.	11.	11.
WELLINGTON TRUST				
COMPANY, NA ULTRA				
SHORT DURATION	574.	0.	574.	574.
WINDROSE - AC VI				
LLC	507.	0.	507.	507.
WINDROSE - ADVENT				
VII, LLC	24.	0.	24.	24.
WINDROSE - ADVENT				
VII, LLC	11.	0.	11.	11.
WINDROSE - ANR				
FUND LLC	14,350.	0.	14,350.	14,350.
WINDROSE - AV LLC	502.	0.	502.	502.
WINDROSE - ECF XI				
LLC	6.	0.	6.	6.
WINDROSE - ENERGY				
FUND IV LLC	29,603.	0.	29,603.	29,603.
WINDROSE - ENERGY				
FUND IV LLC	120.	0.	120.	120.
WINDROSE - IVP XIV				
LLC	142.	0.	142.	142.
WINDROSE - IVP XV				
LLC	84.	0.	84.	84.
WINDROSE - MCP IV				
LLC	433.	0.	433.	433.
WINDROSE - MEP IV				
LLC	5,475.	0.	5,475.	5,475.
WINDROSE - MEP IV				
LLC	2,734.	0.	2,734.	2,734.
WINDROSE - NED				
LITTLE ROCK LLC	1,886.	0.	1,886.	1,886.
WINDROSE - OVP IV				
LLC	65.	0.	65.	65.
WINDROSE - RREF II				
LLC	1,004.	0.	1,004.	429.
WINDROSE - RREF II				
LLC	13,262.	0.	13,262.	4,500.
WINDROSE - SC II				
LLC	124.	0.	124.	124.
WINDROSE - SC II				
LLC	18,786.	0.	18,786.	18,786.
WINDROSE - TB IV				
LLC	948.	0.	948.	383.
WINDROSE - TB IV				
LLC	4,792.	0.	4,792.	4,639.
WINDROSE - XPE VI				
LLC	14.	0.	14.	14.
WINDROSE EAF VII				
LLC	78.	0.	78.	78.

WINDROSE EAF VII  
LLC

2,497.

0.

2,497.

2,497.

TO PART I, LINE 4

1,805,464.

0.

1,805,464.

1,698,452.

FORM 990-PF

OTHER INCOME

STATEMENT

3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
OTHER INCOME VIA AG SUPER FUND, LP	58,759.	64,268.	
OTHER INCOME VIA AVENUE INVESTMENTS, LP	-35,436.	719.	
OTHER INCOME VIA BAY POND PARTNERS	-232,585.	-250,831.	
OTHER INCOME VIA CARMEL PARTNERS INVESTMENT FUND IV, LP	2,364.	0.	
OTHER INCOME VIA CARMEL PARTNERS INVESTMENT FUND V, LP	142.	141.	
OTHER INCOME VIA CENTERBRIDGE CREDIT PARTNERS TE LP	-10,911.	-10,911.	
OTHER INCOME VIA CHARLES RIVER INSTITUTIONAL FUND II LP	-11.	0.	
OTHER INCOME VIA CHARLES RIVER INSTITUTIONAL FUND III LP	-46.	0.	
OTHER INCOME VIA DOMAIN ENVIRONMENTAL INVESTMENTS II LP	18,658.	0.	
OTHER INCOME VIA DOMAIN TIMBER INVESTMENTS II LP	-61,073.	-61,073.	
OTHER INCOME VIA DOMAIN TIMBER INVESTMENTS III LP	-24,235.	-24,235.	
OTHER INCOME VIA ENDEAVOUR CAPITAL FUND VI, LP	97,086.	10,673.	
OTHER INCOME VIA FCA BERKSHIRE, LLC	7,703.	-2.	
OTHER INCOME VIA FCA ELEMENT LLC	312,144.	312,135.	
OTHER INCOME VIA HIGHFIELDS CAPITAL IV LP	-349,040.	-349,040.	
OTHER INCOME VIA HOME FUNDERS COLLABORATIVE, LLC	-284.	-284.	
OTHER INCOME VIA SANDALWOOD SPECIAL CREDIT OPPORTUNITIES	-4,333.	-4,333.	
OTHER INCOME VIA SIENA INVESTORS LLC	151,127.	0.	
OTHER INCOME VIA SHASTA VENTURES IV LP	12,505.	12,505.	
OTHER INCOME VIA WA GLOBAL EQUITY FUND LLC	102,177.	102,177.	
OTHER INCOME VIA WA PRIVATE EQUITY FUND V LLC	211.	81.	
OTHER INCOME VIA WA-ARES LLC	7,269.	3,906.	
OTHER INCOME VIA WATERTON FUND X INVESTORS, LLC	28,054.	28,054.	

OTHER INCOME VIA WELLINGTON TRUST COMPANY, NA	3.	3.
OTHER INCOME VIA WINDROSE - ADVENT VII, LLC	39,233.	39,233.
OTHER INCOME VIA WINDROSE - ANR FUND, LLC	-674.	300.
OTHER INCOME VIA WINDROSE - AV LLC	-428.	0.
OTHER INCOME VIA WINDROSE - ENERGY FUND IV LLC	-29,208.	0.
OTHER INCOME VIA WINDROSE - EAF VII LLC	31,356.	20,937.
OTHER INCOME VIA WINDROSE - ECF XI LLC	-340.	255.
OTHER INCOME VIA WINDROSE - MCP IV LLC	-49,381.	-431.
OTHER INCOME VIA WINDROSE - MEP IV LLC	-5,967.	5.
OTHER INCOME VIA WINDROSE - RREF II LLC	-6,659.	0.
OTHER INCOME VIA WINDROSE - SC II LLC	-10,019.	2,842.
OTHER INCOME VIA WINDROSE - TB IV LLC	-17,834.	-17,834.
OTHER INCOME VIA WINDROSE - XPE VI LLC	-19,846.	-19,846.
OTHER RENTAL INCOME VIA DOMAIN ENVIRONMENTAL INVESTMENTS II LP	1,022.	1,022.
OTHER RENTAL INCOME VIA DOMAIN TIMBER INVESTMENTS II LP	11,908.	11,908.
RENTAL REAL ESTATE INCOME VIA AG SUPER FUND LP	-1,825.	-1,825.
RENTAL REAL ESTATE INCOME VIA BAY POND PARTNERS LP	16.	15.
RENTAL REAL ESTATE INCOME VIA CARMEL PARTNERS INVESTMENT FUND IV LP	-318.	-318.
RENTAL REAL ESTATE INCOME VIA CARMEL PARTNERS INVESTMENT FUND V LP	-3,359.	-845.
RENTAL REAL ESTATE INCOME VIA DOMAIN TIMBER INVESTMENTS III LP	5,665.	5,665.
RENTAL REAL ESTATE INCOME VIA ENDEAVOUR CAPITAL FUND VI, LP	-3,693.	-3,693.
RENTAL REAL ESTATE INCOME VIA HIGHFIELDS CAPITAL IV LP	195.	-49.
RENTAL REAL ESTATE INCOME VIA ND BURLINGTON SOUTH AVENUE LLC	243,912.	1.
RENTAL REAL ESTATE INCOME VIA ONE FAMILY INC.	48,241.	0.
RENTAL REAL ESTATE INCOME VIA WATERTON FUND X INVESTORS LLC	-24,580.	-24,580.
RENTAL REAL ESTATE INCOME VIA WINDROSE - NED LITTLE ROCK LLC	-81,140.	-81,140.
RENTAL REAL ESTATE INCOME VIA WINDROSE - RREF II LLC	-29,028.	-12,610.

RECOVERY OF LEGAL SUIT	14,959.	14,959.
OTHER - MISC. INCOME	1,760.	1,760.
TOTAL TO FORM 990-PF, PART I, LINE 11	194,216.	-230,316.

FORM 990-PF	LEGAL FEES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
LEGAL FEES	1,406.	0.		1,406.	
TO FM 990-PF, PG 1, LN 16A	1,406.	0.		1,406.	

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
CONSULTING FEES	52,096.	0.		52,096.	
INVESTMENT MANAGEMENT FEES	656,860.	656,860.		0.	
TO FORM 990-PF, PG 1, LN 16C	708,956.	656,860.		52,096.	

FORM 990-PF	TAXES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FEDERAL EXCISE TAX	30,034.	0.		0.	
FOREIGN TAXES PAID - BNY MELLON	1,670.	1,670.		0.	
FOREIGN TAXES PAID FROM PASSTHROUGH K-1S	61,330.	57,875.		0.	
MASSACHUSETTS ANNUAL REPORT/STATE TAX	500.	0.		500.	
PAYROLL TAXES	20,591.	0.		0.	
TO FORM 990-PF, PG 1, LN 18	114,125.	59,545.		500.	



FORM 990-PF

OTHER EXPENSES

STATEMENT

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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK CHARGES	640.	0.		0.
COMPUTER SERVICES	9,692.	0.		9,692.
DUES & SUBSCRIPTIONS	18,834.	0.		18,834.
INSURANCE	8,116.	0.		8,116.
OFFICE EXPENSES	3,086.	0.		3,086.
OFFICE SUPPLIES	3,889.	0.		0.
PAYROLL SERVICE CHARGES	2,604.	0.		2,604.
UTILITIES	7,936.	0.		7,936.
VIA ACI CAPITAL INVESTORS II, LP	1,298.	1,298.		0.
VIA AG SUPER FUND, LP	121,060.	96,174.		0.
VIA AVENUE INVESTMENTS, LP	4,126.	4,126.		0.
VIA BAY POND PARTNERS, LP	192,783.	143,693.		0.
VIA BLUM STRATEGIC PARTNERS III, LP	311.	311.		0.
VIA CARMEL PARTNERS INVESTMENT FUND IV, LP	33,347.	33,347.		0.
VIA CARMEL PARTNERS INVESTMENT FUND V, LP	7,160.	7,101.		0.
VIA CENTERBRIDGE CREDIT PARTNERS TE LP	26,663.	26,663.		0.
VIA CHARLES RIVER INSTITUTIONAL FUND II LP	55,653.	55,653.		0.
VIA CHARLES RIVER INSTITUTIONAL FUND III LP	34,877.	34,877.		0.
VIA ENDEAVOUR CAPITAL FUND VI, LP	53,932.	36,223.		0.
VIA FCA BERKSHIRE, LLC	26,413.	22,160.		0.
VIA FCA ELEMENT LLC	206,664.	206,648.		0.
VIA HIGHFIELDS CAPITAL IV LP	22,288.	22,288.		0.
VIA MERGANSER CORE PLUS BOND FUND LLC	12,151.	12,151.		0.
VIA RIVERSIDE FUND V OFFSHORE, LP	50,883.	50,883.		0.
VIA SANDALWOOD SPECIAL CREDIT OPPORTUNITIES	255,794.	255,794.		0.
VIA SHASTA VENTURES III, LP	21,886.	21,886.		0.
VIA SHASTA VENTURES IV, LP	31,624.	31,624.		0.
VIA WA GLOBAL EQUITY FUND LLC	184,332.	184,332.		0.
VIA WA PRIVATE EQUITY FUND V LLC	142,924.	142,369.		0.
VIA WA PRIVATE EQUITY FUND VI LLC	54,527.	54,527.		0.
VIA WA-ARES LLC	51,637.	21,353.		0.
VIA WINDROSE - AC VI LLC	42,688.	42,688.		0.

VIA WINDROSE - ADVENT VII, LLC	13,174.	13,174.	0.
VIA WINDROSE - ANR FUND, LLC	30,341.	30,341.	0.
VIA WINDROSE - AV LLC	28,076.	28,076.	0.
VIA WINDROSE - ECF XI LLC	13,573.	520.	0.
VIA WINDROSE - ENERGY FUND IV LLC	40,206.	30,492.	0.
VIA WINDROSE - IVP XIV LLC	46,020.	46,020.	0.
VIA WINDROSE - IVP XV LLC	33,545.	33,545.	0.
VIA WINDROSE - MCP IV LLC	2,311.	2,311.	0.
VIA WINDROSE - MEP IV LLC	15,461.	15,461.	0.
VIA WINDROSE - NED LITTLE ROCK LLC	1,656.	1,656.	0.
VIA WINDROSE - OVP IV LLC	24,705.	24,705.	0.
VIA WINDROSE - RREF II LLC	16,553.	7,531.	0.
VIA WINDROSE - SC II LLC	62,317.	62,317.	0.
VIA WINDROSE - TB IV LLC	28,680.	28,680.	0.
VIA WINDROSE - XPE VI LLC	1,594.	1,594.	0.
VIA WINDROSE EAF VII LLC	55,014.	58,902.	0.
TO FORM 990-PF, PG 1, LN 23	2,103,044.	1,893,494.	50,268.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	8
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
ALTIMETER PARTNERS FUND LP	COST	2,183,798.	2,183,798.
BAXTER STREET OFFSHORE FUND LTD	COST	107,050.	107,050.
BRANDYWINE GLOBAL FIXED INCOME	COST	1,594,051.	1,594,051.
CAI HEDGE FUND (SKYBRIDGE)	COST	164,009.	164,009.
CANYON BALANCED FUND (CAYMAN) LTD	COST	1,664,333.	1,664,333.
CVC INTERNATIONAL (CAYMAN OFF)	COST	683,130.	683,130.
DSAM LONG/SHORT EQUITY FUND	COST	3,490,256.	3,490,256.
EGERTON EUROPEAN DOLLAR FUND LTD	COST	3,099,873.	3,099,873.
GREENLIGHT CAPITAL OFFSHORE (GOLD) LTD	COST	2,237,980.	2,237,980.
INVESTMENT IN ACI CAPITAL II LP	COST	291,711.	291,711.
INVESTMENT IN AG SUPER FUND, LP	COST	4,588,625.	4,588,625.
INVESTMENT IN AVENUE INVESTMENTS, LP	COST	199,298.	199,298.
INVESTMENT IN BAY POND PARTNERS, LP	COST	5,102,962.	5,102,962.
INVESTMENT IN BROADWAY PARTNERS FEEDER FUND A II, LP	COST	28,996.	28,996.
INVESTMENT IN BROADWAY PARTNERS FEEDER FUND A III LP	COST	120,230.	120,230.
INVESTMENT IN CARMEL PARTNERS INVESTMENT FUND IV, LP	COST	3,083,766.	3,083,766.
INVESTMENT IN CARMEL PARTNERS INVESTMENT FUND V, LP	COST	627,770.	627,770.
INVESTMENT IN CENTERBRIDGE CREDIT PARTNERS TE LP	COST	997,892.	997,892.

INVESTMENT IN CHARLES RIVER	COST		
INSTITUTIONAL FUND II LP		4,562,949.	4,562,949.
INVESTMENT IN CHARLES RIVER	COST		
INSTITUTIONAL FUND III LP		134,458.	134,458.
INVESTMENT IN DOMAIN ENVIRONMENTAL	COST		
INVESTMENTS II, LP		2,220,154.	2,220,154.
INVESTMENT IN DOMAIN TIMBER	COST		
INVESTMENTS II LP		2,353,287.	2,353,287.
INVESTMENT IN DOMAIN TIMBER	COST		
INVESTMENTS III, LP		1,120,350.	1,120,350.
INVESTMENT IN ENDEAVOUR CAPITAL	COST		
FUND VI, LP		1,992,843.	1,992,843.
INVESTMENT IN FCA BERKSHIRE, LLC	COST	877,026.	877,026.
INVESTMENT IN FCA ELEMENT LLC	COST	6,160,932.	6,160,932.
INVESTMENT IN HIGHFIELDS CAPITAL IV	COST		
LP		4,062,000.	4,062,000.
INVESTMENT IN MERGANSER CORE PLUS	COST		
BOND FUND LLC		3,576,937.	3,576,937.
INVESTMENT IN ND BURLINGTON SOUTH	COST		
AVENUE LLC		860,175.	860,175.
INVESTMENT IN RIVERSIDE FUND V	COST		
OFFSHORE, LP		1,862,533.	1,862,533.
INVESTMENT IN SANDALWOOD CREDIT	COST		
OPPORTUNITIES		7,673,202.	7,673,202.
INVESTMENT IN SHASTA VENTURES III,	COST		
LP		1,106,573.	1,106,573.
INVESTMENT IN SHASTA VENTURES IV,	COST		
LP		1,131,942.	1,131,942.
INVESTMENT IN SIENA INVESTORS LLC	COST	1,250,000.	1,250,000.
INVESTMENT IN WA GLOBAL EQUITY FUND	COST		
LLC		23,710,687.	23,710,687.
INVESTMENT IN WA PRIVATE EQUITY	COST		
FUND V LLC		2,061,088.	2,061,088.
INVESTMENT IN WA PRIVATE EQUITY	COST		
FUND VI LLC		332,222.	332,222.
INVESTMENT IN WA-ARES LLC	COST	2,080,792.	2,080,792.
INVESTMENT IN WATERTON FUND X	COST		
INVESTORS, LLC		411,010.	411,010.
INVESTMENT IN WELLINGTON TRUST	COST		
COMPANY, NA CTF ULTRA SHORT		3,561.	3,561.
INVESTMENT IN WINDROSE - AC VI LLC	COST	2,096,767.	2,096,767.
INVESTMENT IN WINDROSE - ADVENT	COST		
VII, LLC		1,172,143.	1,172,143.
INVESTMENT IN WINDROSE - ANR FUND	COST		
LLC		554,634.	554,634.
INVESTMENT IN WINDROSE - AV LLC	COST	862,333.	862,333.
INVESTMENT IN WINDROSE - ENERGY	COST		
FUND IV LLC		1,312,831.	1,312,831.
INVESTMENT IN WINDROSE - ENERGY	COST		
FUND IV LLC		52,448.	52,448.
INVESTMENT IN WINDROSE - IVP XIV	COST		
LLC		2,129,191.	2,129,191.
INVESTMENT IN WINDROSE - IVP XV LLC	COST	954,142.	954,142.
INVESTMENT IN WINDROSE - MCP IV LLC	COST	1,649,993.	1,649,993.

INVESTMENT IN WINDROSE - MEP IV LLC	COST	1,186,719.	1,186,719.
INVESTMENT IN WINDROSE - NED LITTLE ROCK LLC	COST	1,100,000.	1,100,000.
INVESTMENT IN WINDROSE - OVP IV LLC	COST	1,044,963.	1,044,963.
INVESTMENT IN WINDROSE - RREF II LLC	COST	703,778.	703,778.
INVESTMENT IN WINDROSE - SC II LLC	COST	717,199.	717,199.
INVESTMENT IN WINDROSE - TB IV LLC	COST	511,075.	511,075.
INVESTMENT IN WINDROSE - XPE VI LLC	COST	390,601.	390,601.
INVESTMENT IN WINDROSE EAF VII	COST	938,452.	938,452.
INVESTMENT IN WINDROSE WRPV XIII LLC	COST	96,486.	96,486.
IR&M INTERMEDIATE FUND LLC	COST	2,535,714.	2,535,714.
JANA OFFSHORE PARTNERS LTD	COST	181,055.	181,055.
MARSHALL WACE GLOBAL OPPORTUNITY	COST	2,792,239.	2,792,239.
MATRIX CAPITAL OFFSHORE FUND	COST	2,440,114.	2,440,114.
NBW CAPITAL	COST	1,500,000.	1,500,000.
PERELLA WEINBER ASSET BASED VAL	COST	466,056.	466,056.
PILGRIM HOME & HEARTH	COST	250,000.	250,000.
SACHEM HEAD LP	COST	2,179,868.	2,179,868.
SHORENSTEIN REALTY INVESTORS ELEVEN LP	COST	721,790.	721,790.
SPINNAKER GLOBAL EM FUND	COST	3,928,515.	3,928,515.
THREE BAYS CAPITAL OFFSHORE LTD	COST	2,087,388.	2,087,388.
VAN ECK GLOBAL HARD ASSETS	COST	1,027,785.	1,027,785.
TOTAL TO FORM 990-PF, PART II, LINE 13		137,394,730.	137,394,730.

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FORM 990-PF	DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT	STATEMENT	9
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DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
LEASEHOLD IMPROVEMENTS	51,186.	15,356.	35,830.
FURNITURE & FIXTURES	49,363.	14,808.	34,555.
IT RELATED IMPROVEMENTS	8,545.	5,128.	3,417.
TOTAL TO FM 990-PF, PART II, LN 14	109,094.	35,292.	73,802.

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FORM 990-PF	EXPENDITURE RESPONSIBILITY STATEMENT	STATEMENT 10
	PART VII-B, LINE 5C	

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GRANTEE'S NAME

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SEE ATTACHED STATEMENT

GRANTEE'S ADDRESS

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GRANT AMOUNT	DATE OF GRANT	AMOUNT EXPENDED
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PURPOSE OF GRANT

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FORM 990-PF

PART XV - LINE 1A  
LIST OF FOUNDATION MANAGERS

STATEMENT 11

NAME OF MANAGER

PAUL FIREMAN

PHYLLIS FIREMAN

## 2017 DEPRECIATION AND AMORTIZATION REPORT

FORM 990-PF PAGE 1

990-066

[illegible]

728111 04-01-17

(D) - Asset disposed

\* ITC, Salvage, Bonus, Commercial Revitalization Deduction, GO Zone

## 2017 Form 990-PF

**Part VII-B, Line 5c – Expenditure Responsibility**

Pursuant to IRC Regulation 53.4945-5(d)(2), the Foundation provides the following information for one program related investment:

- (i) **PRI Recipient:** Home Funders Collaborative LLC ("Home Funders")  
240 Newbury Street, 2<sup>nd</sup> Floor, Boston, MA 02116
- (ii) **Date and Amount of PRI:**
- |                           |            |                           |                           |                   |
|---------------------------|------------|---------------------------|---------------------------|-------------------|
| 10/29/2004                | \$45,000   | 8/14/2012                 | \$100,000                 |                   |
| 12/17/2004                | \$175,000  | 10/25/2012                | \$114,210                 |                   |
| 11/2/2005                 | \$81,250   | 2/12/2013                 | \$25,622                  |                   |
| 3/13/2006                 | \$150,000  | 2/12/2013                 | \$74,378                  |                   |
| 5/26/2006                 | \$40,000   | 6/12/2013                 | \$177,813                 |                   |
| 10/31/2006                | \$26,853   | 6/12/2013                 | \$377,727                 |                   |
| 2/21/2007                 | \$112,500  | 6/12/2013                 | \$944,460                 |                   |
| 3/26/2007                 | \$60,896   | 6/12/2013                 | \$125,000                 |                   |
| <b>LOANS</b>              |            |                           |                           |                   |
| 8/28/2007                 | \$100,000  | 6/12/2013                 | \$375,000                 |                   |
| 2/15/2008                 | \$10,000   | 6/25/2013                 | \$125,000                 |                   |
| 8/13/2008                 | \$10,000   | 6/25/2013                 | \$164,915                 |                   |
| 10/28/2008                | \$90,000   | 3/10/2014                 | \$150,000                 |                   |
| 10/31/2008                | \$90,000   | 3/13/2015                 | \$225,000                 |                   |
| 1/9/2009                  | \$138,122  | 12/17/2015                | \$100,338                 |                   |
| 1/9/2009                  | \$8,501    | 12/17/2015                | <u>\$400,000</u>          |                   |
| 6/11/2009                 | \$187,500  | <b>Total Loan Balance</b> |                           |                   |
| 6/26/2012                 | \$190,000  | <b>12/31/2017</b>         | <b>\$4,995,085</b>        |                   |
|                           |            |                           |                           |                   |
|                           | 6/3/2003   | \$3,750                   | 12/31/2013                | <u>\$5,000</u>    |
| <b>EQUITY INVESTMENTS</b> | 6/3/2013   | \$2,500                   | Total Contributed         | \$12,500          |
|                           | 4/22/2005  | \$2,500                   | Pass-through Losses       | <u>(\$12,500)</u> |
|                           | 12/31/2006 | (\$1,250)                 | <b>Total Equity</b>       |                   |
|                           |            |                           | <b>Balance 12/31/2017</b> | <b>\$0</b>        |
- (iii) **Purpose of PRI:** The Home Funders mission is to support the creation of housing for extremely low-income families in a mixed income housing environment. The investments have varying terms (5 years, 10 years, and 20 years) for amounts totaling up to \$5 million, for which the first capital call was paid in 2003. Funds are disbursed to Home Funders as real estate closings occur, which subsequently flow to finance permanent loans to an affordable housing project.
- (iv) & (vi) **Reports of Amounts Expended:** Home Funders submitted a complete report on or about the following dates in 2017 of the type ordinarily required by commercial investors under similar circumstances: 3/31/2017, 6/30/2017, 09/30/2017, and 12/31/2017.
- This above investments remained on the Foundation's books as of 12/31/2017.
- (v) **Diversions:** To the knowledge of the grantor, no funds have been diverted to any activity other than the activity for which the investment was originally made.
- (vii) **Verification:** The grantor has no reason to doubt the accuracy or reliability of the reports from the grantee; therefore, no independent verification of the reports was made.



**The Paul & Phyllis Fireman Charitable Foundation (EIN 22-2677986)**

**Part XV-LN3: Grants and Contributions Paid During the Year**

<b>Date</b>	<b>Charity</b>	<b>Address</b>	<b>Amount</b>
1/18/2017	Boston College	140 Commonwealth Avenue, Chestnut Hill, MA 02467	414,400
1/18/2017	Emory University	201 Dowman Drive, Atlanta, Georgia 30322	25,000
1/18/2017	Liberty Science Center	222 Jersey City Boulevard, Jersey City, NJ 07305	100,000
1/18/2017	Temple Beth Shalom	670 Highland Avenue, Needham, MA 02494	20,000
1/18/2017	Tenacre Country Day School	78 Benvenue Street, Wellesley, MA 02482	15,000
5/16/2017	Signature Healthcare	680 Centre Street, Brockton, MA 02302	100,000
5/16/2017	Tenacre Country Day School	78 Benvenue Street, Wellesley, MA 02482	100,000
5/16/2017	The First Tee	425 South Legacy Trail, St Augustine, FL 32092	1,000,000
7/18/2017	Anti-Defamation League	40 Court Street, #12, Boston, MA 02108	100,000
7/18/2017	Institute of Contemporary Art	25 Harbor Shore Drive, Boston, MA 02210	25,000
7/18/2017	Massachusetts General Hospital	55 Fruit Street, Boston, MA 02114	50,000
8/22/2017	Beaver Country Day School	791 Hammond Street, Chestnut Hill, MA 02467	50,000
8/22/2017	Emory University	201 Dowman Drive, Atlanta, Georgia 30322	125,000
8/22/2017	Emory University	201 Dowman Drive, Atlanta, Georgia 30322	40,000
9/18/2017	Dana-Farber Cancer Research Institute	450 Brookline Avenue, Boston, MA 02215	200,000
9/18/2017	Dana-Farber Cancer Research Institute	450 Brookline Avenue, Boston, MA 02215	10,000
11/15/2017	Boston Children's Hospital	300 Longwood Avenue, Boston, MA 02115	200,000
11/15/2017	Rivers School Corp.	333 Winter Street, Weston, MA 02493	100,000
11/15/2017	Temple Beth Shalom	670 Highland Avenue, Needham, MA 02494	20,000
12/19/2017	Massachusetts General Hospital	55 Fruit Street, Boston, MA 02114	3,000,000
			<u><b>5,694,400</b></u>