

For calendar year 2017, or tax year beginning 01-01-2017, and ending 12-31-2017

Name of foundation JAMES T AND DIANA P BARNES FOUNDATION INC		A Employer identification number 20-8054616	
Number and street (or P O box number if mail is not delivered to street address) 1031 W MORSE BLVD SUITE 230		Room/suite	B Telephone number (see instructions) (407) 628-8700
City or town, state or province, country, and ZIP or foreign postal code WINTER PARK, FL 32789		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 3,435,005		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method ☐ Cash ☒ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ☒ If the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	5,162	5,162		
	4 Dividends and interest from securities	38,808	38,808		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	281,065			
	b Gross sales price for all assets on line 6a				
		863,891			
	7 Capital gain net income (from Part IV, line 2)		281,065		
	8 Net short-term capital gain				
	9 Income modifications				
Operating and Administrative Expenses	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	325,035	325,035		
	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)	19,676	2,896		
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	3,085	724		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	442			
	22 Printing and publications				
	23 Other expenses (attach schedule)	2,907			
	24 Total operating and administrative expenses. Add lines 13 through 23	26,110	3,620		0
	25 Contributions, gifts, grants paid	74,712			74,712
	26 Total expenses and disbursements. Add lines 24 and 25	100,822	3,620		74,712
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	224,213			
	b Net investment income (if negative, enter -0-)		321,415		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing	1,990	1,029	1,029
	2	Savings and temporary cash investments	792,969	164,683	164,683
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	1,967,619	1,938,326	2,388,562
	c	Investments—corporate bonds (attach schedule)		882,935	880,731
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ <u>2,732</u> Less accumulated depreciation (attach schedule) ▶ <u>2,018</u>	896	714	
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	2,763,474	2,987,687	3,435,005	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable.			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule).			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	2,763,474	2,987,687	
	30	Total net assets or fund balances (see instructions)	2,763,474	2,987,687	
31	Total liabilities and net assets/fund balances (see instructions) .	2,763,474	2,987,687		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,763,474
2	Enter amount from Part I, line 27a	2	224,213
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	2,987,687
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	2,987,687

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a WELLS FARGO 4050	P	2011-04-05	2017-10-11
b VANGUARD 0488	P	2017-12-05	2017-11-21
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 843,891		562,800	281,091
b 20,000		20,026	-26
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			281,091
b			-26
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	281,065
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	106,597	2,354,288	0 045278
2015	38,020	1,538,362	0 024715
2014	84,245	1,649,581	0 051071
2013	7,820	1,665,488	0 004695
2012	81,970	1,631,736	0 050235
2 Total of line 1, column (d)			2 0 175994
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0 035199
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5			4 3,941,240
5 Multiply line 4 by line 3			5 138,728
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 3,214
7 Add lines 5 and 6			7 141,942
8 Enter qualifying distributions from Part XII, line 4			8 74,712

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	6,428
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	6,428
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	6,428
6	Credits/Payments		
a	2017 estimated tax payments and 2016 overpayment credited to 2017	6a	2,068
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	2,068
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	4,360
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be Credited to 2018 estimated tax ☐ Refunded ☐	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ FL _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the taxable year beginning in 2017 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	Yes	
14	The books are in care of ► LESLEY MOULTON Telephone no ► (407) 628-8700			

Located at **►** 1031 W MORSE BLVD STE 230 WINTER PARK FL 32789 WINTER PARK FL ZIP+4 **►** 32789

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ► ☐	15		
16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country ►	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐ Organizations relying on a current notice regarding disaster assistance check here. ► ☐	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017? ☐	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? ☐ Yes ☒ No If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). ☐	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017). ☐	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).	☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b	
	Organizations relying on a current notice regarding disaster assistance check here.	☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No		
	<i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	No
	<i>If "Yes" to 6b, file Form 8870</i>			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
JAMES T BARNES JR 1031 W MORSE BLVD STE 230 WINTER PARK, FL 32789	PRES/TR/DIR 000 00	0	0	0
DIANA P BARNES 1031 W MORSE BLVD STE 230 WINTER PARK, FL 32789	SEC/DIR 000 00	0	0	0
J LESLEY MOULTON 1031 W MORSE BLVD STE 230 WINTER PARK, FL 32789	AST S/AST T 000 00	0	0	0
REX V MCPHERSON II 800 N ORANGE AVENUE STE 400 ORLANDO, FL 32801	DIRECTOR 000 00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	Contributions to employee benefit plans and deferred compensation (d)	Expense account, (e) other allowances
NONE				

Total number of other employees paid over \$50,000. ▶

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services. ▶

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	2,623,790
b	Average of monthly cash balances.	1b	487,103
c	Fair market value of all other assets (see instructions).	1c	890,366
d	Total (add lines 1a, b, and c).	1d	4,001,259
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	4,001,259
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	60,019
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	3,941,240
6	Minimum investment return. Enter 5% of line 5.	6	197,062

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	197,062
2a	Tax on investment income for 2017 from Part VI, line 5.	2a	6,428
b	Income tax for 2017 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	6,428
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	190,634
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	190,634
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	190,634

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	74,712
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	74,712
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	74,712

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				190,634
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only.			45,970	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2017				
a From 2012.				
b From 2013.				
c From 2014.				
d From 2015.				
e From 2016.				
f Total of lines 3a through e.				
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ \$ <u>74,712</u>				
a Applied to 2016, but not more than line 2a			45,970	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2017 distributable amount.				28,742
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018				161,892
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions).				
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2013.				
b Excess from 2014.				
c Excess from 2015.				
d Excess from 2016.				
e Excess from 2017.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2017	(b) 2016	(c) 2015	(d) 2014	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
JAMES T BARNES JR

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest
NONE

◀ | ▶

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total ▶ 3a				74,712
b <i>Approved for future payment</i>				
Total ▶ 3b				

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	5,162	
4 Dividends and interest from securities. . . .			14	38,808	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory					281,065
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e). . .				43,970	281,065
13 Total. Add line 12, columns (b), (d), and (e).			13		325,035

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes[illegible]

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

	Yes	No
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--	--	--

1a(1)		No
1a(2)		No

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1b(1)	No
--------------	-----------

1b(2)		No
--------------	--	-----------

1b(3)		No
--------------	--	-----------

1b(4)		No
--------------	--	-----------

1b(5)	No
--------------	-----------

1b(6)		No
--------------	--	-----------

1c		No
----	--	----

value
ue

[illegible]

described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

* * * * *

Title

(see instr)? ☒ Yes ☐ No

Form **990-PF** (2017)

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ADRIAN DOMINICAN SISTERS 1257 E SIENA HEIGHTS DR ADRIAN, MI 49221	N/A	PUBLIC	DONATION TO GENERAL FUND	750
ALBIN POLASEK MUSEUM & SCULPTURE GA 633 OSCEOLA AVE WINTER PARK, FL 32789	N/A	PUBLIC	DONATION TO GENERAL FUND	1,000
AMERICAN DIABETES ASSOC 121 GARFIELD AVE WINTER PARK, FL 32789	N/A	PUBLIC	DONATION TO GENERAL FUND	1,250
AUDUBON CENTER FOR BIRDS OF PREY 1101 AUDUBON WAY MAITLAND, FL 32751	N/A	PUBLIC	DONATION TO GENERAL FUND	100
BISHOP MOORE CATHOLIC HIGH SCHOOL 3901 EDGEWATER DR ORLANDO, FL 32804	N/A	PUBLIC	DONATION TO GENERAL FUND	250
Total ► 3a				74,712

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CATHOLIC LEADERSHIP INSTITUTE 440 E SWEDESFORD RD SUITE 3040 ORLANDO, FL 32802	N/A	PUBLIC	DONATION TO GENERAL FUND	10,000
COVENANT HOUSE 2959 MARTIN LUTHER KING JR BLVD DETROIT, MI 48208	N/A	PUBLIC	DONATION TO GENERAL FUND	200
FORTRESS OF THE BEAR 4639 SAWMILL CREEK RD SITKA, AK 99835	N/A	PUBLIC	DONATION TO GENERAL FUND	250
FOUNDATION FOR FOSTER CHILDREN 2807 EDGEWATER DR ORLANDO, FL 32804	N/A	PUBLIC	DONATION TO GENERAL FUND	1,000
FRIENDS OF CASA FELIZPO BOX 591 WINTER PARK, FL 32790	N/A	PUBLIC	DONATION TO GENERAL FUND	1,000
Total ▶ 3a				74,712

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FRIENDS OF WINTER PARK POLICE & FIR PO BOX 1240 WINTER PARK, FL 32790	N/A	PUBLIC	DONATION TO GENERAL FUND	250
H&ELP INC8811 HWY AIA VERO BEACH, FL 32963	N/A	PUBLIC	DONATION TO GENERAL FUND	1,000
HEALTHNETWORK FOUNDATION 33 RIVER ST CHAGRIN FALLS, OH 44022	N/A	PUBLIC	DONATION TO GENERAL FUND	5,000
INSTITUTE FOR JUSTICE 901 N GLEBE RD STE 900 ARLINGTON, VA 22203	N/A	PUBLIC	DONATION TO GENERAL FUND	1,000
JDRF370 CENTER POINTE CIRCLE SUITE 1154 ALTAMONTE SPRINGS, FL 32701	N/A	PUBLIC	DONATION TO GENERAL FUND	1,000
Total ▶ 3a				74,712

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
JEWISH FAMILY SERVICES2100 LEE RD WINTER PARK, FL 32789	N/A	PUBLIC	DONATION TO GENERAL FUND	500
MEAD BOTANICAL GARDENS PO BOX 1227 WINTER PARK, FL 32790	N/A	PUBLIC	DONATION TO GENERAL FUND	5,000
MEMORIAL SLOAN KETTERING CANCER CEN 885 2ND AVE 8TH FLOOR NEW YORK, NY 10017	N/A	PUBLIC	DONATION TO GENERAL FUND	500
MORNING STAR CHARITIES 954 LEIGH AVE ORLANDO, FL 32804	N/A	PUBLIC	DONATION TO GENERAL FUND	1,000
MORSE MUSEUM OF AMERICAN ART 445 N PARK AVE WINTER PARK, FL 32789	N/A	PUBLIC	DONATION TO GENERAL FUND	100
Total 				74,712
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ORLANDO HEALTH HEART INSTITUTE 3160 SOUTHGATE COMMERCE B ORLANDO, FL 32806	N/A	PUBLIC	DONATION TO GENERAL FUND	500
ORLANDO MUSEUM OF ART 2416 N MILLS AVE ORLANDO, FL 32803	N/A	PUBLIC	DONATION TO GENERAL FUND	1,000
ORLANDO SHAKESPEARE THEATER 1308 GREEN COVE RD WINTER PARK, FL 32789	N/A	PUBLIC	DONATION TO GENERAL FUND	10,000
PET RESCUE BY JUDY401 S LAUREL AVE SANFORD, FL 32771	N/A	PUBLIC	DONATION TO GENERAL FUND	1,000
PRINCE OF PEACE LUTERAN CHURCH 1515 SOUTH SEMORAN BLVD ORLANDO, FL 32822	N/A	PUBLIC	DONATION TO GENERAL FUND	250
Total ▶ 3a				74,712

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ROLLINS COLLEGE1000 HOLT AVE WINTER PARK, FL 32789	N/A	PUBLIC	DONATION TO SCHOLARSHIP FUND	100
SECOND HARVEST FOOD BANK 411 MERCY DR ORLANDO, FL 32805	N/A	PUBLIC	DONATION TO GENERAL FUND	500
SMALL PAWS RESCUE 3316 S 72ND W AVE TULSA, OK 74107	N/A	PUBLIC	DONATION TO GENERAL FUND	1,000
ST MARGARET MARY CHURCH 526 NORTH PARK AVENUE WINTER PARK, FL 32789	N/A	PUBLIC	DONATION TO GENERAL FUND	250
ST MARGARET MARY CHURCH 526 NORTH PARK AVENUE WINTER PARK, FL 32789	N/A	PUBLIC	DONATION TO HAITI LIVESTOCK FUND	1,050
Total ▶ 3a				74,712

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ST MARGARET MARY CHURCH 526 NORTH PARK AVENUE WINTER PARK, FL 32789	N/A	PUBLIC	DONATION TO MEDICAL HELP FORHOMELESS	5,000
SUPPORT OUR SCHOLARSP BOX 1985 WINTER PARK, FL 32790	N/A	PUBLIC	DONATION TO GENERAL FUND	250
THE CARE FOUNDATION 4609 W PONKAN RD APOPKA, FL 32712	N/A	PUBLIC	DONATION TO HURRICANE RECOVERY	852
THE PENN FUND 2929 WALNUT ST SUITE 300 PHILADELPHIA, PA 19104	N/A	PUBLIC	DONATION TO GENERAL FUND	1,000
UCF FOUNDATION 1424 RESEARCH PARKWAY SUITE 250 ORLANDO, FL 32826	N/A	PUBLIC	DONATION TO WUCF FM	250
Total ▶ 3a				74,712

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
UNITED AGAINST POVERTY 150 W MICHIGAN ST ORLANDO, FL 32806	N/A	PUBLIC	DONATION TO GENERAL FUND	1,110
UNITED ARTS OF CENTRAL FL 2450 MAITLAND CTY PKWY SUITE 201 MAITLAND, FL 32751	N/A	PUBLIC	DONATION TO ORLANDO PHILHARMONIC	250
UNITED ARTS OF CENTRAL FL 2450 MAITLAND CTY PKWY SUITE 201 MAITLAND, FL 32751	N/A	PUBLIC	DONATION TO ENZIAN	250
UNITED ARTS OF CENTRAL FL 2450 MAITLAND CTY PKWY SUITE 201 MAITLAND, FL 32751	N/A	PUBLIC	DONATION TO ORL SCIENCE CTR	250
UNITED ARTS OF CENTRAL FL 2450 MAITLAND CTY PKWY SUITE 201 MAITLAND, FL 32751	N/A	PUBLIC	DONATION TO ORL SHAKESPEARE THEATRE	250
Total 3a				74,712

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
WINTER PARK DAY NURSERY 741 S PENNSYLVANIA AVE WINTER PARK, FL 32789	N/A	PUBLIC	DONATION TO GENERAL FUND	250
WINTER PARK HISTORY MUSEUM PO BOX 51 WINTER PARK, FL 32790	N/A	PUBLIC	DONATION TO GENERAL FUND	1,000
WINTER PARK IMPROVEMENT FOUNDATION PO BOX 280 WINTER PARK, FL 32790	N/A	PUBLIC	DONATION TO SCHOLARSHIP FUND	15,000
WINTER PARK PUBLIC LIBRARY 460 E NEW ENGLAND AVE WINTER PARK, FL 32789	N/A	PUBLIC	DONATION TO GENERAL FUND	1,000
WMFE PUBLIC RADIO 11510 E COLONIAL DR ORLANDO, FL 32817	N/A	PUBLIC	DONATION TO GENERAL FUND	1,200
Total 				74,712
3a				

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2017 Amortization Schedule

Name: JAMES T AND DIANA P BARNES
FOUNDATION INC

EIN: 20-8054616

Description of Amortized Expenses	Date Acquired, Completed, or Expended	Amount Amortized	Deduction for Prior Years	Amortization Method	Current Year Amortization	Net Investment Income	Adjusted Net Income	Total Amount of Amortization
ORGANIZATION COSTS	2006-12-07	2,732	1,836	15 0000	182			2,018

TY 2017 Investments Corporate Bonds Schedule

Name: JAMES T AND DIANA P BARNES
FOUNDATION INC

EIN: 20-8054616

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
VANGUARD	882,935	880,731

TY 2017 Investments Corporate Stock Schedule

Name: JAMES T AND DIANA P BARNES
FOUNDATION INC

EIN: 20-8054616

Name of Stock	End of Year Book Value	End of Year Fair Market Value
VANGUARD	1,938,326	2,388,562
WELLS FARGO (SEACOAST STOCK)		

TY 2017 Land, Etc. Schedule

Name: JAMES T AND DIANA P BARNES
FOUNDATION INC

EIN: 20-8054616

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
ORGANIZATION COSTS	2,732	2,018	714	

TY 2017 Other Expenses Schedule

Name: JAMES T AND DIANA P BARNES
FOUNDATION INC

EIN: 20-8054616

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
INSURANCE	1,250			
EDUCATION/SEMINARS	259			
MISCELLANEOUS	1,157			
MEALS	59			

TY 2017 Other Professional Fees Schedule

Name: JAMES T AND DIANA P BARNES
FOUNDATION INC

EIN: 20-8054616

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT ADVISORY SERVICE	2,896	2,896		
OTHER PROFESSIONAL FEES	16,780			

TY 2017 Taxes Schedule

Name: JAMES T AND DIANA P BARNES
FOUNDATION INC

EIN: 20-8054616

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
WITHHOLDING TAX ON DIVIDENDS	724	724		
STATE FILING FEES	61			
FEDERAL TAXES	2,300			