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EXTENSION ATTACHED

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EXTENDED TO NOVEMBER 15, 2018

## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Form 990-PF

Department of the Treasury  
Internal Revenue ServiceDo not enter social security numbers on this form as it may be made public.  
Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0052

2017

Open to Public Inspection

For calendar year 2017 or tax year beginning

, and ending

Name of foundation <b>THE HAVEN FOUNDATION</b>		A Employer identification number <b>20-4356938</b>
Number and street (or P O box number if mail is not delivered to street address) <b>C/O MP LLP, 685 THIRD AVE.</b>	Room/suite <b>5TH FL</b>	B Telephone number <b>212-503-8822</b>
City or town, state or province, country, and ZIP or foreign postal code <b>NEW YORK, NY 10017</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) <b>\$ 11,980,600.</b>	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received	16,582.		N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments	54,824.	54,824.		STATEMENT 1
4 Dividends and interest from securities	245,175.	245,175.		STATEMENT 2
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	270,239.			
b Gross sales price for all assets on line 6a	3,710,332.			
7 Capital gain net income (from Part IV, line 2)		270,239.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss)				
11 Other income	20,339.	20,339.		STATEMENT 3
12 Total. Add lines 1 through 11	607,159.	590,577.		
13 Compensation of officers, directors, trustees, etc	0.	0.		0.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees STMT 4	4,944.	1,285.		3,659.
b Accounting fees				
c Other professional fees STMT 5	66,220.	66,220.		0.
17 Interest	17,299.	17,299.		0.
18 Taxes STMT 6	2,424.	2,424.		0.
19 Depreciation and depletion				
20 Occupancy				
21 Travel, conferences, and meetings	2,459.	0.		2,459.
22 Printing and publications				
23 Other expenses STMT 7	15,367.	11,785.		3,582.
24 Total operating and administrative expenses Add lines 13 through 23	108,713.	99,013.		9,700.
25 Contributions, gifts, grants paid	769,552.			651,206.
26 Total expenses and disbursements. Add lines 24 and 25	878,265.	99,013.		660,906.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	<271,106.>			
b Net investment income (if negative, enter -0-)		491,564.		
c Adjusted net income (if negative, enter -0-)			N/A	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	661,408.	523,694.	523,694.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	1,583.	1,426.	1,426.
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 8	10,779,662.	10,764,773.	11,455,480.
	c Investments - corporate bonds			
Liabilities	11 Investments - land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	11,442,653.	11,289,893.	11,980,600.
	17 Accounts payable and accrued expenses			
	18 Grants payable	72,164.	188,510.	
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	72,164.	188,510.	
	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
	24 Unrestricted and complete lines 24 through 26, and lines 30 and 31.			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	11,370,489.	11,101,383.	
	30 Total net assets or fund balances	11,370,489.	11,101,383.	
	31 Total liabilities and net assets/fund balances	11,442,653.	11,289,893.	

## Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	11,370,489.
2 Enter amount from Part I, line 27a	2	<271,106.>
3 Other increases not included in line 2 (itemize) ▶ RETURNED GRANT	3	2,000.
4 Add lines 1, 2, and 3	4	11,101,383.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	11,101,383.

## THE HAVEN FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	7 BOEING CO/THE	P	07/31/17	12/29/17
b	14 KIMBERLY-CLARK CORP	P	06/23/17	12/29/17
c	34 CDW CORP/DE	P	06/08/17	12/29/17
d	18 WAL-MART STORES INC	P	06/08/17	12/29/17
e	23 MEDTRONIC PLC	P	05/24/17	12/29/17
f	1293.682 AB INTERNATIONAL SMALL CAP PORTFOLIO - A	P	05/23/17	12/29/17
g	1705.79 AB INTERNATIONAL STRATEGIC EQUITIES PORTF	P	05/23/17	12/29/17
h	41 MARATHON PETROLEUM CORP	P	05/08/17	12/29/17
i	12 MCDONALD'S CORP	P	04/24/17	12/29/17
j	11 PROCTER & GAMBLE CO/THE	P	03/17/17	12/29/17
k	34 PROCTER & GAMBLE CO/THE	P	03/16/17	12/29/17
l	9 GOLDMAN SACHS GROUP INC	P	02/23/17	12/29/17
m	39 JPMORGAN CHASE & CO	P	02/15/17	12/29/17
n	32 CBS CORP-CLASS B NON VOTING	P	02/06/17	12/29/17
o	15 FNF GROUP	P	06/27/17	12/18/17

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,069.		1,711.	358.
b 1,690.		1,855.	<165.>
c 2,373.		2,124.	249.
d 1,784.		1,418.	366.
e 1,864.		1,943.	<79.>
f 16,585.		15,110.	1,475.
g 21,800.		20,214.	1,586.
h 2,718.		2,097.	621.
i 2,067.		1,613.	454.
j 1,013.		1,009.	4.
k 3,132.		3,106.	26.
l 2,298.		2,256.	42.
m 4,193.		3,524.	669.
n 1,886.		2,069.	<183.>
o 594.		481.	113.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			358.
b			<165.>
c			249.
d			366.
e			<79.>
f			1,475.
g			1,586.
h			621.
i			454.
j			4.
k			26.
l			42.
m			669.
n			<183.>
o			113.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }		2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	}		3	

THE HAVEN FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	39 FNF GROUP	P	06/26/17	12/18/17
b	79 FNF GROUP	P	06/22/17	12/18/17
c	1 FNF GROUP	P	06/22/17	12/18/17
d	14 MCDONALD'S CORP	P	04/24/17	12/12/17
e	1 MCDONALD'S CORP	P	04/21/17	12/12/17
f	25 MCDONALD'S CORP	P	04/21/17	12/11/17
g	21 CVS HEALTH CORP	P	05/25/17	12/08/17
h	13 CVS HEALTH CORP	P	02/06/17	12/08/17
i	17 CVS HEALTH CORP	P	02/06/17	12/07/17
j	8 GOLDMAN SACHS GROUP INC	P	02/23/17	10/30/17
k	24 JPMORGAN CHASE & CO	P	02/15/17	10/30/17
l	16 BLACK KNIGHT INC	P	09/26/17	10/03/17
m	1 BLACK KNIGHT INC	P	09/26/17	10/03/17
n	16 BLACK KNIGHT INC	P	07/28/17	10/03/17
o	17 BLACK KNIGHT INC	P	07/18/17	10/03/17

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,544.		1,262.	282.
b 3,127.		2,520.	607.
c 40.		32.	8.
d 2,413.		1,881.	532.
e 172.		134.	38.
f 4,328.		3,339.	989.
g 1,529.		1,600.	<71.>
h 946.		994.	<48.>
i 1,200.		1,300.	<100.>
j 1,928.		2,006.	<78.>
k 2,433.		2,169.	264.
l 670.		684.	<14.>
m 42.		66.	<24.>
n 670.		698.	<28.>
o 711.		685.	26.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			282.
b			607.
c			8.
d			532.
e			38.
f			989.
g			<71.>
h			<48.>
i			<100.>
j			<78.>
k			264.
l			<14.>
m			<24.>
n			<28.>
o			26.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

THE HAVEN FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	13 BLACK KNIGHT INC	P	06/27/17	10/03/17
b	12 BLACK KNIGHT INC	P	06/26/17	10/03/17
c	24 BLACK KNIGHT INC	P	06/22/17	10/03/17
d	60 CONAGRA BRANDS INC	P	06/05/17	10/02/17
e	26 CONAGRA BRANDS INC	P	06/02/17	10/02/17
f	33 CONAGRA BRANDS INC	P	06/02/17	09/29/17
g	51 CONAGRA BRANDS INC	P	04/10/17	09/29/17
h	58 CONAGRA BRANDS INC	P	03/01/17	09/29/17
i	64 CONAGRA BRANDS INC	P	03/01/17	09/28/17
j	48 CONAGRA BRANDS INC	P	02/28/17	09/28/17
k	13 CONAGRA BRANDS INC	P	02/06/17	09/28/17
l	61 CONAGRA BRANDS INC	P	02/06/17	09/27/17
m	36 PROCTER & GAMBLE CO/THE	P	03/16/17	09/22/17
n	17 EDWARDS LIFESCIENCES	P	12/16/16	09/22/17
o	17 EDWARDS LIFESCIENCES	P	11/08/16	09/22/17

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	544.	544.	0.
b	502.	486.	16.
c	1,004.	983.	21.
d	2,005.	2,377.	<372.>
e	869.	1,027.	<158.>
f	1,122.	1,304.	<182.>
g	1,735.	2,067.	<332.>
h	1,973.	2,400.	<427.>
i	2,178.	2,648.	<470.>
j	1,634.	1,980.	<346.>
k	442.	510.	<68.>
l	2,018.	2,394.	<376.>
m	3,337.	3,289.	48.
n	1,866.	1,555.	311.
o	1,866.	1,559.	307.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			0.
b			16.
c			21.
d			<372.>
e			<158.>
f			<182.>
g			<332.>
h			<427.>
i			<470.>
j			<346.>
k			<68.>
l			<376.>
m			48.
n			311.
o			307.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }		2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	}		3	

## THE HAVEN FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	893.475 AB INTERNATIONAL SMALL CAP PORTFOLIO - AD	P	05/23/17	09/14/17
b	1019.716 AB INTERNATIONAL STRATEGIC EQUITIES PORT	P	05/23/17	09/14/17
c	42 OMNICOM GROUP	P	06/06/17	08/29/17
d	17 OMNICOM GROUP	P	04/10/17	08/29/17
e	3 OMNICOM GROUP	P	04/10/17	08/25/17
f	52 OMNICOM GROUP	P	04/06/17	08/25/17
g	17 INTL BUSINESS MACHINES CORP	P	12/12/16	07/28/17
h	27 INTL BUSINESS MACHINES CORP	P	11/01/16	07/28/17
i	116 GENERAL ELECTRIC CO	P	02/27/17	07/26/17
j	80 GENERAL ELECTRIC CO	P	02/17/17	07/26/17
k	30 INTL BUSINESS MACHINES CORP	P	11/01/16	07/26/17
l	6 EDWARDS LIFESCIENCES	P	11/08/16	07/13/17
m	19 EDWARDS LIFESCIENCES	P	10/31/16	07/13/17
n	59 TEXAS INSTRUMENTS INC	P	07/21/16	07/13/17
o	152 HEWLETT PACKARD ENTERPRIS	P	06/08/17	07/11/17

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 11,365.		10,436.	929.
b 12,930.		12,084.	846.
c 3,058.		3,489.	<431.>
d 1,238.		1,453.	<215.>
e 220.		256.	<36.>
f 3,821.		4,447.	<626.>
g 2,457.		2,818.	<361.>
h 3,902.		4,124.	<222.>
i 2,971.		3,476.	<505.>
j 2,049.		2,423.	<374.>
k 4,342.		4,582.	<240.>
l 702.		550.	152.
m 2,223.		1,786.	437.
n 4,753.		3,835.	918.
o 2,532.		2,591.	<59.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			929.
b			846.
c			<431.>
d			<215.>
e			<36.>
f			<626.>
g			<361.>
h			<222.>
i			<505.>
j			<374.>
k			<240.>
l			152.
m			437.
n			918.
o			<59.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

THE HAVEN FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	3 GENERAL ELECTRIC CO	P	02/17/17	07/06/17
b	131 GENERAL ELECTRIC CO	P	12/20/16	07/06/17
c	75 KROGER CO	P	05/24/17	06/27/17
d	96 KROGER CO	P	10/13/16	06/27/17
e	28 DR PEPPER SNAPPLE GROUP INC	P	02/28/17	06/23/17
f	30 DR PEPPER SNAPPLE GROUP INC	P	01/27/17	06/23/17
g	62 KROGER CO	P	10/13/16	06/22/17
h	44 KROGER CO	P	08/19/16	06/22/17
i	45 NIELSEN HOLDINGS PLC	P	05/25/17	06/15/17
j	53 NIELSEN HOLDINGS PLC	P	12/09/16	06/15/17
k	31 NIELSEN HOLDINGS PLC	P	10/27/16	06/15/17
l	53 NIELSEN HOLDINGS PLC	P	10/20/16	06/15/17
m	40 NIELSEN HOLDINGS PLC	P	10/17/16	06/15/17
n	26 NIELSEN HOLDINGS PLC	P	10/14/16	06/15/17
o	44 EDWARDS LIFESCIENCES	P	10/31/16	06/14/17

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 79.		91.	<12.>
b 3,457.		4,223.	<766.>
c 1,713.		2,176.	<463.>
d 2,192.		2,958.	<766.>
e 2,598.		2,628.	<30.>
f 2,783.		2,700.	83.
g 1,387.		1,910.	<523.>
h 985.		1,430.	<445.>
i 1,726.		1,717.	9.
j 2,033.		2,263.	<230.>
k 1,189.		1,419.	<230.>
l 2,033.		2,856.	<823.>
m 1,534.		2,141.	<607.>
n 997.		1,392.	<395.>
o 5,073.		4,136.	937.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			<12.>
b			<766.>
c			<463.>
d			<766.>
e			<30.>
f			83.
g			<523.>
h			<445.>
i			9.
j			<230.>
k			<230.>
l			<823.>
m			<607.>
n			<395.>
o			937.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	

THE HAVEN FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	1 GENERAL ELECTRIC CO	P	12/20/16	06/09/17
b	168 GENERAL ELECTRIC CO	P	12/20/16	06/09/17
c	36 UNITED TECHNOLOGIES CORP	P	08/22/16	06/06/17
d	37 EXXON MOBIL CORP	P	11/07/16	06/02/17
e	26 EXXON MOBIL CORP	P	11/02/16	06/02/17
f	34 EXXON MOBIL CORP	P	06/17/16	06/02/17
g	31 VERIZON COMMUNICATIONS INC	P	06/10/16	05/25/17
h	17 ALEXION PHARMACEUTICALS INC	P	10/17/16	05/23/17
i	34 ALEXION PHARMACEUTICALS INC	P	07/19/16	05/23/17
j	45 TEXAS INSTRUMENTS INC	P	07/21/16	04/21/17
k	13 ALEXION PHARMACEUTICALS INC	P	07/19/16	04/17/17
l	57 CISCO SYSTEMS INC	P	09/21/16	03/17/17
m	43 HALLIBURTON CO	P	12/21/16	03/16/17
n	63 HALLIBURTON CO	P	12/08/16	03/16/17
o	51 HELMERICH & PAYNE	P	05/31/16	02/27/17

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	28.	32.	<4.>
b	4,643.	5,416.	<773.>
c	4,324.	3,911.	413.
d	2,953.	3,155.	<202.>
e	2,075.	2,168.	<93.>
f	2,713.	3,084.	<371.>
g	1,402.	1,631.	<229.>
h	1,787.	2,022.	<235.>
i	3,574.	4,218.	<644.>
j	3,589.	2,925.	664.
k	1,547.	1,613.	<66.>
l	1,952.	1,777.	175.
m	2,197.	2,334.	<137.>
n	3,219.	3,408.	<189.>
o	3,505.	3,103.	402.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			<4.>
b			<773.>
c			413.
d			<202.>
e			<93.>
f			<371.>
g			<229.>
h			<235.>
i			<644.>
j			664.
k			<66.>
l			175.
m			<137.>
n			<189.>
o			402.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	



## THE HAVEN FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	11 HELMERICH & PAYNE	P	05/02/16	02/27/17
b	45 PALO ALTO NETWORKS INC	P	06/06/16	02/24/17
c	18 HELMERICH & PAYNE	P	05/02/16	02/24/17
d	24 HELMERICH & PAYNE	P	04/18/16	02/24/17
e	64 ONEMAIN HOLDINGS INC	P	03/21/16	02/23/17
f	66 ONEMAIN HOLDINGS INC	P	02/23/16	02/23/17
g	44 DEVON ENERGY CORPORATION	P	10/12/16	02/16/17
h	18 DEVON ENERGY CORPORATION	P	10/12/16	02/16/17
i	52 HESS CORP	P	03/14/16	02/15/17
j	29 T-MOBILE US INC	P	09/15/16	02/14/17
k	75 T-MOBILE US INC	P	09/14/16	02/14/17
l	99 ONEMAIN HOLDINGS INC	P	02/23/16	02/14/17
m	4 BIOVERATIV INC	P	01/24/17	02/03/17
n	56 SYNCHRONY FINANCIAL	P	02/05/16	02/02/17
o	84 CONDUENT INC	P	02/19/16	01/26/17

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 756.		695.	61.
b 6,747.		6,300.	447.
c 1,229.		1,137.	92.
d 1,639.		1,432.	207.
e 1,791.		1,781.	10.
f 1,847.		1,571.	276.
g 1,961.		1,960.	1.
h 802.		800.	2.
i 2,669.		2,597.	72.
j 1,778.		1,358.	420.
k 4,598.		3,445.	1,153.
l 2,623.		2,357.	266.
m 177.		196.	<19.>
n 1,983.		1,458.	525.
o 1,260.		1,355.	<95.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			61.
b			447.
c			92.
d			207.
e			10.
f			276.
g			1.
h			2.
i			72.
j			420.
k			1,153.
l			266.
m			<19.>
n			525.
o			<95.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

## THE HAVEN FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	12 DEVON ENERGY CORPORATION	P	11/29/16	12/29/17
b	32 DOWDUPONT INC	P	10/19/16	12/29/17
c	45 DEVON ENERGY CORPORATION	P	10/12/16	12/29/17
d	77 CISCO SYSTEMS INC	P	09/21/16	12/29/17
e	22 EATON CORP PLC	P	09/15/16	12/29/17
f	8 CIGNA CORP	P	08/16/16	12/29/17
g	41 PROGRESSIVE CORP	P	07/21/16	12/29/17
h	25 TEXAS INSTRUMENTS INC	P	07/21/16	12/29/17
i	9 ADOBE SYSTEMS INC	P	07/19/16	12/29/17
j	94.673 AB SMALL CAP CORE PORTFOLIO ADV CL	P	05/23/16	12/29/17
k	23 HONEYWELL INTERNATIONAL INC	P	04/29/16	12/29/17
l	413.313 AB SMALL CAP CORE PORTFOLIO ADV CL	P	04/28/16	12/29/17
m	66.698 AB SMALL CAP CORE PORTFOLIO ADV CL	P	03/21/16	12/29/17
n	23 TYSON FOODS INC-CL A	P	03/10/16	12/29/17
o	25 INTEL CORP	P	02/24/16	12/29/17

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 498.		505.	<7.>
b 2,288.		1,729.	559.
c 1,868.		1,999.	<131.>
d 2,962.		2,400.	562.
e 1,745.		1,356.	389.
f 1,634.		1,056.	578.
g 2,314.		1,342.	972.
h 2,621.		1,625.	996.
i 1,581.		881.	700.
j 1,122.		893.	229.
k 3,542.		2,615.	927.
l 4,898.		4,005.	893.
m 790.		631.	159.
n 1,871.		1,526.	345.
o 1,160.		719.	441.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<7.>
b			559.
c			<131.>
d			562.
e			389.
f			578.
g			972.
h			996.
i			700.
j			229.
k			927.
l			893.
m			159.
n			345.
o			441.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c)

If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	42 INTEL CORP	P	02/18/16	12/29/17
b	58 SYNCHRONY FINANCIAL	P	02/05/16	12/29/17
c	53 AMERICAN INTERNATIONAL GROUP	P	02/04/16	12/29/17
d	21 XILINX INC	P	02/02/16	12/29/17
e	17 XILINX INC	P	01/20/16	12/29/17
f	27 EOG RESOURCES INC	P	10/09/15	12/29/17
g	15 UNITEDHEALTH GROUP INC	P	08/26/15	12/29/17
h	9 L3 TECHNOLOGIES INC	P	08/25/15	12/29/17
i	39 ALTRIA GROUP INC	P	08/24/15	12/29/17
j	21 ALTRIA GROUP INC	P	08/17/15	12/29/17
k	30 ORACLE CORP	P	08/17/15	12/29/17
l	41 MAGNA INTERNATIONAL INC	P	08/06/15	12/29/17
m	13 COSTCO WHOLESALE CORP	P	07/14/15	12/29/17
n	18 NIKE INC -CL B	P	07/14/15	12/29/17
o	35 EDISON INTERNATIONAL	P	06/26/15	12/29/17

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,948.		1,244.	704.
b	2,248.		1,510.	738.
c	3,164.		2,879.	285.
d	1,424.		1,022.	402.
e	1,153.		727.	426.
f	2,924.		2,371.	553.
g	3,325.		1,677.	1,648.
h	1,787.		960.	827.
i	2,798.		2,055.	743.
j	1,506.		1,169.	337.
k	1,426.		1,189.	237.
l	2,328.		2,253.	75.
m	2,427.		1,870.	557.
n	1,134.		1,011.	123.
o	2,217.		1,963.	254.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			704.
b			738.
c			285.
d			402.
e			426.
f			553.
g			1,648.
h			827.
i			743.
j			337.
k			237.
l			75.
m			557.
n			123.
o			254.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	

## THE HAVEN FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	11 NORTHROP GRUMMAN CORP	P	06/02/15	12/29/17
b	24 PEPSICO INC	P	05/11/15	12/29/17
c	30 MICROSOFT CORP	P	03/06/15	12/29/17
d	33 MICROSOFT CORP	P	02/24/15	12/29/17
e	37 ALLSTATE CORP	P	02/20/15	12/29/17
f	11 FACEBOOK INC-A	P	01/30/15	12/29/17
g	19 FACEBOOK INC-A	P	01/15/15	12/29/17
h	3 MCKESSON CORP	P	01/07/15	12/29/17
i	48 DELTA AIR LINES INC	P	12/24/14	12/29/17
j	108.338 AB DISCOVERY VALUE FUND- ADV	P	12/23/14	12/29/17
k	12 MCKESSON CORP	P	12/03/14	12/29/17
l	32 ORACLE CORP	P	11/24/14	12/29/17
m	5 COSTCO WHOLESALE CORP	P	11/14/14	12/29/17
n	8 WALT DISNEY CO/THE	P	11/13/14	12/29/17
o	82.531 AB DISCOVERY VALUE FUND- ADV	P	10/21/14	12/29/17

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	3,387.		1,757.	1,630.
b	2,883.		2,322.	561.
c	2,578.		1,287.	1,291.
d	2,836.		1,457.	1,379.
e	3,884.		2,633.	1,251.
f	1,949.		848.	1,101.
g	3,366.		1,433.	1,933.
h	471.		639.	<168.>
i	2,695.		2,307.	388.
j	2,524.		2,197.	327.
k	1,882.		2,537.	<655.>
l	1,521.		1,326.	195.
m	933.		692.	241.
n	862.		724.	138.
o	1,923.		1,800.	123.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,630.
b			561.
c			1,291.
d			1,379.
e			1,251.
f			1,101.
g			1,933.
h			<168.>
i			388.
j			327.
k			<655.>
l			195.
m			241.
n			138.
o			123.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c)

If (loss), enter "-0-" in Part I, line 8

3

## THE HAVEN FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	1 DELTA AIR LINES INC	P	10/20/14	12/29/17
b	22 AMERICAN ELECTRIC POWER	P	10/17/14	12/29/17
c	16 WALT DISNEY CO/THE	P	10/17/14	12/29/17
d	6 SCHLUMBERGER LTD	P	10/15/14	12/29/17
e	22 AMERICAN ELECTRIC POWER	P	10/14/14	12/29/17
f	49 EBAY INC	P	09/29/14	12/29/17
g	28 NIKE INC -CL B	P	09/08/14	12/29/17
h	12 FISERV INC	P	09/05/14	12/29/17
i	51 WELLS FARGO & COMPANY	P	06/20/14	12/29/17
j	15 AETNA INC	P	06/12/14	12/29/17
k	1 ALPHABET INC-CL C	P	06/09/14	12/29/17
l	25 SCHLUMBERGER LTD	P	05/02/14	12/29/17
m	32 US BANCORP	P	02/28/14	12/29/17
n	719.344 AB DISCOVERY GRWTH FUND- ADV	P	01/27/14	12/29/17
o	190.032 AB DISCOVERY VALUE FUND- ADV	P	01/27/14	12/29/17

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 56.		36.	20.
b 1,618.		1,195.	423.
c 1,724.		1,341.	383.
d 406.		521.	<115.>
e 1,618.		1,212.	406.
f 1,852.		1,088.	764.
g 1,764.		1,146.	618.
h 1,578.		786.	792.
i 3,112.		2,682.	430.
j 2,717.		1,212.	1,505.
k 1,046.		559.	487.
l 1,690.		2,526.	<836.>
m 1,720.		1,322.	398.
n 8,330.		6,899.	1,431.
o 4,428.		3,966.	462.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			20.
b			423.
c			383.
d			<115.>
e			406.
f			764.
g			618.
h			792.
i			430.
j			1,505.
k			487.
l			<836.>
m			398.
n			1,431.
o			462.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

## THE HAVEN FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	13582.738 AB GLOBAL BOND FUND- ADV	P	01/27/14	12/29/17
b	2985.479 AB LIMITED DURATION HIGH INCOME PORT- AD	P	01/27/14	12/29/17
c	2803.39 ALLIANCEBERNSTEIN ALL MARKET R CLASS 1	P	01/27/14	12/29/17
d	5 APPLE INC	P	01/27/14	12/29/17
e	29 JOHNSON & JOHNSON	P	01/27/14	12/29/17
f	37 MERCK & CO. INC.	P	01/27/14	12/29/17
g	26 HOME DEPOT INC	P	12/12/13	12/29/17
h	9 SCHLUMBERGER LTD	P	11/04/13	12/29/17
i	18 VISA INC - CLASS A SHARES	P	08/21/13	12/29/17
j	23 VISA INC - CLASS A SHARES	P	08/01/13	12/29/17
k	31 GILEAD SCIENCES INC	P	06/18/13	12/29/17
l	5 BANK OF AMERICA CORP	P	02/14/13	12/29/17
m	146 BANK OF AMERICA CORP	P	01/29/13	12/29/17
n	39 PFIZER INC	P	11/01/12	12/29/17
o	4 ALPHABET INC-CL C	P	10/23/12	12/29/17

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 114,095.		112,873.	1,222.
b 30,840.		31,647.	<807.>
c 24,810.		29,380.	<4,570.>
d 850.		393.	457.
e 4,070.		2,618.	1,452.
f 2,087.		1,970.	117.
g 4,951.		2,048.	2,903.
h 609.		841.	<232.>
i 2,061.		784.	1,277.
j 2,634.		1,012.	1,622.
k 2,228.		1,591.	637.
l 148.		61.	87.
m 4,324.		1,680.	2,644.
n 1,416.		956.	460.
o 4,185.		1,364.	2,821.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,222.
b			<807.>
c			<4,570.>
d			457.
e			1,452.
f			117.
g			2,903.
h			<232.>
i			1,277.
j			1,622.
k			637.
l			87.
m			2,644.
n			460.
o			2,821.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c).  
If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr.)
1a	83 HP INC	P	10/23/12	12/29/17
b	30 APPLE INC	P	10/18/12	12/29/17
c	1219.902 BERNSTEIN INTERNATIONAL PORTFOLIO	P	10/18/12	12/29/17
d	71 COMCAST CORP-CLASS A	P	10/18/12	12/29/17
e	11 PFIZER INC	P	10/18/12	12/29/17
f	338.045 BERNSTEIN EMERGING MARKETS PORTFOLIO	P	12/14/10	12/29/17
g	8563.636 BERNSTEIN INTERMEDIATE DURATION PORTFOLIO	P	12/14/10	12/29/17
h	6 INTUITIVE SURGICAL INC	P	11/01/16	12/12/17
i	6 INTUITIVE SURGICAL INC	P	04/23/14	12/12/17
j	19 ADOBE SYSTEMS INC	P	07/19/16	12/11/17
k	4 NORTHROP GRUMMAN CORP	P	06/02/15	12/11/17
l	6 NORTHROP GRUMMAN CORP	P	06/01/15	12/11/17
m	35 STARBUCKS CORP	P	10/20/16	12/08/17
n	3 STARBUCKS CORP	P	10/20/16	12/07/17
o	32 CVS HEALTH CORP	P	07/14/15	12/07/17

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,752.	544.	1,208.
b	5,099.	2,725.	2,374.
c	22,495.	16,688.	5,807.
d	2,852.	1,324.	1,528.
e	400.	286.	114.
f	11,000.	11,196.	<196.>
g	113,040.	116,465.	<3,425.>
h	2,220.	1,336.	884.
i	2,220.	756.	1,464.
j	3,305.	1,859.	1,446.
k	1,223.	639.	584.
l	1,835.	959.	876.
m	2,050.	1,870.	180.
n	177.	160.	17.
o	2,259.	3,503.	<1,244.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,208.
b			2,374.
c			5,807.
d			1,528.
e			114.
f			<196.>
g			<3,425.>
h			884.
i			1,464.
j			1,446.
k			584.
l			876.
m			180.
n			17.
o			<1,244.>

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8		3	

THE HAVEN FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	2 CVS HEALTH CORP	P	03/20/15	12/07/17
b	41 STARBUCKS CORP	P	10/03/14	12/07/17
c	24 HONEYWELL INTERNATIONAL INC	P	04/29/16	12/06/17
d	34 CVS HEALTH CORP	P	03/20/15	12/06/17
e	3 MICROSOFT CORP	P	02/24/15	12/06/17
f	25 MICROSOFT CORP	P	10/27/14	12/06/17
g	47 STARBUCKS CORP	P	10/03/14	12/06/17
h	25 CAPITAL ONE FINANCIAL CORP	P	07/14/15	11/20/17
i	25 CAPITAL ONE FINANCIAL CORP	P	10/01/14	11/20/17
j	49 EBAY INC	P	09/29/14	11/20/17
k	5 CAPITAL ONE FINANCIAL CORP	P	05/27/14	11/20/17
l	57 EBAY INC	P	05/22/14	11/20/17
m	28 EATON CORP PLC	P	09/15/16	10/30/17
n	10 CIGNA CORP	P	08/16/16	10/30/17
o	265.226 AB SMALL CAP CORE PORTFOLIO ADV CL	P	03/21/16	10/30/17

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 141.		207.	<66.>
b 2,420.		1,557.	863.
c 3,682.		2,729.	953.
d 2,416.		3,523.	<1,107.>
e 248.		132.	116.
f 2,070.		1,147.	923.
g 2,791.		1,785.	1,006.
h 2,207.		2,226.	<19.>
i 2,207.		2,036.	171.
j 1,748.		1,088.	660.
k 441.		388.	53.
l 2,034.		1,238.	796.
m 2,226.		1,725.	501.
n 1,981.		1,320.	661.
o 3,273.		2,509.	764.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			<66.>
b			863.
c			953.
d			<1,107.>
e			116.
f			923.
g			1,006.
h			<19.>
i			171.
j			660.
k			53.
l			796.
m			501.
n			661.
o			764.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	}	3	



THE HAVEN FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	158.599 AB SMALL CAP CORE PORTFOLIO ADV CL	P	03/07/16	10/30/17
b	25 PEPSICO INC	P	05/11/15	10/30/17
c	13 FACEBOOK INC-A	P	01/15/15	10/30/17
d	22 MICROSOFT CORP	P	10/27/14	10/30/17
e	13 FISERV INC	P	09/05/14	10/30/17
f	12 AETNA INC	P	06/12/14	10/30/17
g	43 US BANCORP	P	02/28/14	10/30/17
h	361.545 AB DISCOVERY GRWTH FUND- ADV	P	01/27/14	10/30/17
i	188.263 AB DISCOVERY VALUE FUND- ADV	P	01/27/14	10/30/17
j	5 PEPSICO INC	P	01/27/14	10/30/17
k	1 PRICELINE GROUP INC/THE	P	04/01/13	10/30/17
l	69 BANK OF AMERICA CORP	P	01/29/13	10/30/17
m	2 ALPHABET INC-CL C	P	10/23/12	10/30/17
n	78 HP INC	P	10/23/12	10/30/17
o	16 APPLE INC	P	10/18/12	10/30/17

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,957.		1,504.	453.
b 2,743.		2,419.	324.
c 2,332.		981.	1,351.
d 1,839.		1,009.	830.
e 1,686.		852.	834.
f 2,055.		970.	1,085.
g 2,335.		1,776.	559.
h 4,165.		3,467.	698.
i 4,475.		3,929.	546.
j 549.		412.	137.
k 1,871.		695.	1,176.
l 1,903.		794.	1,109.
m 2,030.		682.	1,348.
n 1,669.		511.	1,158.
o 2,664.		1,454.	1,210.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			453.
b			324.
c			1,351.
d			830.
e			834.
f			1,085.
g			559.
h			698.
i			546.
j			137.
k			1,176.
l			1,109.
m			1,348.
n			1,158.
o			1,210.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	

## THE HAVEN FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	51 PFIZER INC	P	10/18/12	10/30/17
b	111.273 BERNSTEIN INTERMEDIATE DURATION PORTFOLIO	P	12/14/10	10/26/17
c	17 EOG RESOURCES INC	P	10/09/15	10/20/17
d	19 EOG RESOURCES INC	P	10/07/15	10/20/17
e	2 CVS HEALTH CORP	P	03/20/15	10/20/17
f	12 STARBUCKS CORP	P	10/03/14	10/20/17
g	25 STARBUCKS CORP	P	09/29/14	10/20/17
h	42 NIKE INC -CL B	P	09/08/14	10/20/17
i	27 CVS HEALTH CORP	P	01/21/14	10/20/17
j	59 NISOURCE INC	P	06/28/16	09/25/17
k	1 NISOURCE INC	P	06/27/16	09/25/17
l	84 NISOURCE INC	P	06/27/16	09/25/17
m	6 L3 TECHNOLOGIES INC	P	08/25/15	09/25/17
n	14 L3 TECHNOLOGIES INC	P	07/14/15	09/25/17
o	5 L3 TECHNOLOGIES INC	P	02/09/15	09/25/17

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,789.		1,326.	463.
b	1,464.		1,513.	<49.>
c	1,636.		1,493.	143.
d	1,829.		1,589.	240.
e	150.		207.	<57.>
f	659.		456.	203.
g	1,373.		938.	435.
h	2,221.		1,719.	502.
i	2,023.		1,845.	178.
j	1,517.		1,507.	10.
k	26.		25.	1.
l	2,160.		2,133.	27.
m	1,132.		640.	492.
n	2,642.		1,703.	939.
o	944.		641.	303.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			463.
b			<49.>
c			143.
d			240.
e			<57.>
f			203.
g			435.
h			502.
i			178.
j			10.
k			1.
l			27.
m			492.
n			939.
o			303.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	

THE HAVEN FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	95 CISCO SYSTEMS INC	P	09/21/16	09/22/17
b	11 COSTCO WHOLESALE CORP	P	11/14/14	09/22/17
c	3 AMERICAN ELECTRIC POWER	P	10/14/14	09/22/17
d	72 STARBUCKS CORP	P	09/29/14	09/22/17
e	10 NIKE INC -CL B	P	09/08/14	09/22/17
f	51 AMERICAN ELECTRIC POWER	P	05/02/14	09/22/17
g	4 INTUITIVE SURGICAL INC	P	04/23/14	09/22/17
h	37 CVS HEALTH CORP	P	01/21/14	09/22/17
i	57 NIKE INC -CL B	P	12/03/13	09/22/17
j	4 COSTCO WHOLESALE CORP	P	10/25/13	09/22/17
k	187.393 AB SMALL CAP CORE PORTFOLIO ADV CL	P	03/07/16	09/14/17
l	12 UNITEDHEALTH GROUP INC	P	08/26/15	09/14/17
m	24 EDISON INTERNATIONAL	P	06/26/15	09/14/17
n	5 ORACLE CORP	P	11/24/14	09/14/17
o	33 MICROSOFT CORP	P	10/27/14	09/14/17

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,122.		2,961.	161.
b 1,773.		1,521.	252.
c 212.		165.	47.
d 3,959.		2,700.	1,259.
e 530.		409.	121.
f 3,610.		2,699.	911.
g 4,105.		1,512.	2,593.
h 2,922.		2,528.	394.
i 3,022.		2,251.	771.
j 645.		464.	181.
k 2,185.		1,776.	409.
l 2,377.		1,341.	1,036.
m 1,944.		1,346.	598.
n 262.		207.	55.
o 2,474.		1,514.	960.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col. (k), but not less than "-0-")
a			161.
b			252.
c			47.
d			1,259.
e			121.
f			911.
g			2,593.
h			394.
i			771.
j			181.
k			409.
l			1,036.
m			598.
n			55.
o			960.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	

THE HAVEN FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	12 FACEBOOK INC-A	P	10/15/14	09/14/17
b	29 ORACLE CORP	P	06/27/14	09/14/17
c	46 ORACLE CORP	P	06/27/14	09/14/17
d	36 WELLS FARGO & COMPANY	P	06/20/14	09/14/17
e	20 CAPITAL ONE FINANCIAL CORP	P	05/27/14	09/14/17
f	207.573 AB DISCOVERY GRWTH FUND- ADV	P	01/27/14	09/14/17
g	115.009 AB DISCOVERY VALUE FUND- ADV	P	01/27/14	09/14/17
h	479.118 ALLIANCEBERNSTEIN ALL MARKET R CLASS 1	P	01/27/14	09/14/17
i	18 WELLS FARGO & COMPANY	P	01/27/14	09/14/17
j	3 CVS HEALTH CORP	P	01/21/14	09/14/17
k	38 CVS HEALTH CORP	P	01/21/14	09/14/17
l	10 CVS HEALTH CORP	P	01/15/14	09/14/17
m	11 HOME DEPOT INC	P	12/12/13	09/14/17
n	18 VISA INC - CLASS A SHARES	P	08/01/13	09/14/17
o	30 GILEAD SCIENCES INC	P	06/18/13	09/14/17

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,053.		868.	1,185.
b 1,531.		1,177.	354.
c 2,414.		1,868.	546.
d 1,842.		1,893.	<51.>
e 1,589.		1,554.	35.
f 2,275.		1,991.	284.
g 2,590.		2,400.	190.
h 4,130.		5,021.	<891.>
i 921.		821.	100.
j 248.		205.	43.
k 3,136.		2,596.	540.
l 827.		684.	143.
m 1,756.		867.	889.
n 1,913.		792.	1,121.
o 2,467.		1,540.	927.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			1,185.
b			354.
c			546.
d			<51.>
e			35.
f			284.
g			190.
h			<891.>
i			100.
j			43.
k			540.
l			143.
m			889.
n			1,121.
o			927.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

THE HAVEN FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	8 CAPITAL ONE FINANCIAL CORP	P	03/26/13	09/14/17
b	22 CAPITAL ONE FINANCIAL CORP	P	03/26/13	09/14/17
c	85 BANK OF AMERICA CORP	P	01/29/13	09/14/17
d	15 BANK OF AMERICA CORP	P	12/12/12	09/14/17
e	2 ALPHABET INC-CL C	P	10/23/12	09/14/17
f	21 APPLE INC	P	10/18/12	09/14/17
g	16 APPLE INC	P	10/18/12	09/14/17
h	519.477 BERNSTEIN INTERNATIONAL PORTFOLIO	P	10/18/12	09/14/17
i	49 COMCAST CORP-CLASS A	P	10/18/12	09/14/17
j	6 COMCAST CORP-CLASS A	P	04/18/11	09/14/17
k	377.4 BERNSTEIN EMERGING MARKETS PORTFOLIO	P	12/14/10	09/14/17
l	13 FACEBOOK INC-A	P	10/15/14	08/25/17
m	62 STARBUCKS CORP	P	09/29/14	08/25/17
n	11 FACEBOOK INC-A	P	04/30/14	08/25/17
o	2 INTUITIVE SURGICAL INC	P	04/23/14	08/25/17

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 630.		441.	189.
b 1,747.		1,212.	535.
c 2,064.		978.	1,086.
d 364.		159.	205.
e 1,854.		682.	1,172.
f 3,339.		1,908.	1,431.
g 2,540.		1,454.	1,086.
h 9,335.		7,106.	2,229.
i 1,854.		914.	940.
j 227.		72.	155.
k 11,990.		12,500.	<510.>
l 2,166.		941.	1,225.
m 3,368.		2,325.	1,043.
n 1,833.		643.	1,190.
o 1,960.		756.	1,204.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			189.
b			535.
c			1,086.
d			205.
e			1,172.
f			1,431.
g			1,086.
h			2,229.
i			940.
j			155.
k			<510.>
l			1,225.
m			1,043.
n			1,190.
o			1,204.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

THE HAVEN FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	1 HOME DEPOT INC	P	12/12/13	08/25/17
b	16 HOME DEPOT INC	P	12/04/13	08/25/17
c	52 PFIZER INC	P	10/18/12	08/11/17
d	1 ROSS STORES INC	P	10/06/15	08/03/17
e	53 ROSS STORES INC	P	10/06/15	08/03/17
f	9 WALT DISNEY CO/THE	P	10/17/14	08/03/17
g	22 WALT DISNEY CO/THE	P	02/28/14	08/03/17
h	31 EDISON INTERNATIONAL	P	06/26/15	07/28/17
i	22 ALLSTATE CORP	P	02/20/15	07/28/17
j	21 L3 TECHNOLOGIES INC	P	02/09/15	07/28/17
k	46 COMCAST CORP-CLASS A	P	04/06/11	07/27/17
l	1 L3 TECHNOLOGIES INC	P	02/09/15	07/26/17
m	13 L3 TECHNOLOGIES INC	P	12/03/14	07/26/17
n	52 DELTA AIR LINES INC	P	10/20/14	07/26/17
o	133 BANK OF AMERICA CORP	P	12/12/12	07/26/17

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 149.		79.	70.
b 2,390.		1,253.	1,137.
c 1,731.		1,352.	379.
d 55.		48.	7.
e 2,926.		2,557.	369.
f 977.		754.	223.
g 2,387.		1,785.	602.
h 2,428.		1,739.	689.
i 1,987.		1,566.	421.
j 3,687.		2,690.	997.
k 1,793.		571.	1,222.
l 176.		128.	48.
m 2,285.		1,601.	684.
n 2,652.		1,846.	806.
o 3,251.		1,412.	1,839.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col. (h) gain over col (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			70.
b			1,137.
c			379.
d			7.
e			369.
f			223.
g			602.
h			689.
i			421.
j			997.
k			1,222.
l			48.
m			684.
n			806.
o			1,839.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

## THE HAVEN FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	114.192 BERNSTEIN INTERMEDIATE DURATION PORTFOLIO	P	12/14/10	07/26/17
b	18 VISA INC - CLASS A SHARES	P	08/01/13	07/17/17
c	55 US BANCORP	P	02/28/14	07/13/17
d	80 HEWLETT PACKARD ENTERPRIS	P	07/14/15	07/11/17
e	5 HEWLETT PACKARD ENTERPRIS	P	07/14/15	07/07/17
f	38 HEWLETT PACKARD ENTERPRIS	P	01/13/15	07/07/17
g	125 HEWLETT PACKARD ENTERPRIS	P	08/26/13	07/07/17
h	134 HEWLETT PACKARD ENTERPRIS	P	10/23/12	07/07/17
i	23 DR PEPPER SNAPPLE GROUP INC	P	07/14/15	06/23/17
j	41 DR PEPPER SNAPPLE GROUP INC	P	10/06/14	06/23/17
k	15 KROGER CO	P	09/04/15	06/22/17
l	82 WELLS FARGO & COMPANY	P	01/27/14	06/22/17
m	56 WELLS FARGO & COMPANY	P	10/18/12	06/22/17
n	55 KROGER CO	P	09/04/15	06/21/17
o	86 KROGER CO	P	09/11/13	06/21/17

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,512.		1,553.	<41.>
b 1,740.		792.	948.
c 2,859.		2,272.	587.
d 1,333.		1,002.	331.
e 83.		63.	20.
f 629.		617.	12.
g 2,069.		1,150.	919.
h 2,218.		791.	1,427.
i 2,134.		1,807.	327.
j 3,804.		2,648.	1,156.
k 336.		510.	<174.>
l 4,299.		3,739.	560.
m 2,936.		1,935.	1,001.
n 1,226.		1,871.	<645.>
o 1,917.		1,614.	303.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<41.>
b			948.
c			587.
d			331.
e			20.
f			12.
g			919.
h			1,427.
i			327.
j			1,156.
k			<174.>
l			560.
m			1,001.
n			<645.>
o			303.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c).  
If (loss), enter "-0-" in Part I, line 8

3

## THE HAVEN FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 14 KROGER CO		P	09/11/13	06/19/17
b 150 KROGER CO		P	12/12/12	06/19/17
c 8 KROGER CO		P	10/18/12	06/19/17
d 6 SCHLUMBERGER LTD		P	11/04/13	06/08/17
e 42 SCHLUMBERGER LTD		P	07/22/13	06/08/17
f 14 UNITED TECHNOLOGIES CORP		P	07/14/15	06/05/17
g 18 UNITED TECHNOLOGIES CORP		P	03/31/15	06/05/17
h 7 UNITED TECHNOLOGIES CORP		P	03/25/15	06/05/17
i 18 EXXON MOBIL CORP		P	05/16/16	06/02/17
j 16 EXXON MOBIL CORP		P	05/12/16	06/02/17
k 60 EXXON MOBIL CORP		P	05/11/16	06/02/17
l 40 SEALED AIR CORP		P	07/16/15	06/02/17
m 29 SEALED AIR CORP		P	07/14/15	06/02/17
n 113 SEALED AIR CORP		P	06/29/15	06/02/17
o 58 EXXON MOBIL CORP		P	05/11/16	06/01/17

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 316.		263.	53.
b 3,387.		1,995.	1,392.
c 181.		100.	81.
d 410.		561.	<151.>
e 2,872.		3,529.	<657.>
f 1,699.		1,561.	138.
g 2,184.		2,119.	65.
h 849.		830.	19.
i 1,437.		1,609.	<172.>
j 1,277.		1,431.	<154.>
k 4,788.		5,345.	<557.>
l 1,795.		2,121.	<326.>
m 1,302.		1,544.	<242.>
n 5,071.		5,869.	<798.>
o 4,677.		5,167.	<490.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			53.
b			1,392.
c			81.
d			<151.>
e			<657.>
f			138.
g			65.
h			19.
i			<172.>
j			<154.>
k			<557.>
l			<326.>
m			<242.>
n			<798.>
o			<490.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c)

If (loss), enter "-0-" in Part I, line 8

3



## THE HAVEN FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 69 VERIZON COMMUNICATIONS INC		P	03/07/16	05/25/17
b 87 VERIZON COMMUNICATIONS INC		P	01/27/16	05/25/17
c 42 EXXON MOBIL CORP		P	05/11/16	05/24/17
d 39 VERIZON COMMUNICATIONS INC		P	01/27/16	05/24/17
e 143 VERIZON COMMUNICATIONS INC		P	12/21/15	05/24/17
f 30 DOLLAR GENERAL CORP		P	07/14/15	05/24/17
g 31 DOLLAR GENERAL CORP		P	03/20/15	05/24/17
h 50 DOLLAR GENERAL CORP		P	03/20/15	05/23/17
i 15271.056 AB GLOBAL BOND FUND- ADV		P	01/27/14	05/23/17
j 1 PRICELINE GROUP INC/THE		P	04/01/13	05/23/17
k 5514.603 BERNSTEIN INTERNATIONAL PORTFOLIO		P	10/18/12	05/23/17
l 947.406 BERNSTEIN INTERNATIONAL PORTFOLIO		P	02/22/12	05/23/17
m 873.016 BERNSTEIN INTERNATIONAL PORTFOLIO		P	01/13/12	05/23/17
n 881.783 BERNSTEIN INTERNATIONAL PORTFOLIO		P	05/24/11	05/23/17
o 7978.376 BERNSTEIN INTERMEDIATE DURATION PORTFOLI		P	12/14/10	05/23/17

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,121.		3,602.	<481.>
b 3,935.		4,241.	<306.>
c 3,455.		3,742.	<287.>
d 1,759.		1,901.	<142.>
e 6,449.		6,522.	<73.>
f 2,102.		2,398.	<296.>
g 2,172.		2,352.	<180.>
h 3,547.		3,794.	<247.>
i 128,735.		126,902.	1,833.
j 1,822.		695.	1,127.
k 93,583.		75,440.	18,143.
l 16,077.		13,150.	2,927.
m 14,815.		11,000.	3,815.
n 14,964.		13,650.	1,314.
o 105,155.		108,506.	<3,351.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<481.>
b			<306.>
c			<287.>
d			<142.>
e			<73.>
f			<296.>
g			<180.>
h			<247.>
i			1,833.
j			1,127.
k			18,143.
l			2,927.
m			3,815.
n			1,314.
o			<3,351.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

## THE HAVEN FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	11256.969 BERNSTEIN INTERNATIONAL PORTFOLIO	P	12/14/10	05/23/17
b	12 UNITEDHEALTH GROUP INC	P	08/26/15	05/08/17
c	34 DISCOVER FINANCIAL SERVICES	P	07/14/15	05/08/17
d	45 DISCOVER FINANCIAL SERVICES	P	05/06/15	05/08/17
e	18 JOHNSON & JOHNSON	P	01/27/14	05/08/17
f	16 COSTCO WHOLESALE CORP	P	10/25/13	05/08/17
g	1 COSTCO WHOLESALE CORP	P	08/21/13	05/08/17
h	1 PRICELINE GROUP INC/THE	P	04/01/13	05/08/17
i	78 DISCOVER FINANCIAL SERVICES	P	05/06/15	05/05/17
j	34 US BANCORP	P	02/28/14	05/05/17
k	49.274 BERNSTEIN INTERMEDIATE DURATION PORTFOLIO	P	12/14/10	04/26/17
l	8 DXC TECHNOLOGY CO	P	07/14/15	04/21/17
m	29 UNITED TECHNOLOGIES CORP	P	03/25/15	04/21/17
n	3 DXC TECHNOLOGY CO	P	01/13/15	04/21/17
o	18 JOHNSON & JOHNSON	P	01/27/14	04/21/17

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 191,031.		174,596.	16,435.
b 2,089.		1,341.	748.
c 2,050.		1,985.	65.
d 2,713.		2,637.	76.
e 2,219.		1,625.	594.
f 2,754.		1,855.	899.
g 172.		112.	60.
h 1,905.		695.	1,210.
i 4,715.		4,571.	144.
j 1,758.		1,404.	354.
k 648.		670.	<22.>
l 606.		356.	250.
m 3,336.		3,439.	<103.>
n 227.		207.	20.
o 2,192.		1,625.	567.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col (k), but not less than "-0-")
a			16,435.
b			748.
c			65.
d			76.
e			594.
f			899.
g			60.
h			1,210.
i			144.
j			354.
k			<22.>
l			250.
m			<103.>
n			20.
o			567.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c)  
If (loss), enter "-0-" in Part I, line 8

3

## THE HAVEN FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	10 DXC TECHNOLOGY CO	P	08/26/13	04/21/17
b	11 DXC TECHNOLOGY CO	P	10/23/12	04/21/17
c	60 WELLS FARGO & COMPANY	P	10/18/12	04/21/17
d	20 EOG RESOURCES INC	P	10/07/15	04/07/17
e	96 WELLS FARGO & COMPANY	P	10/18/12	04/07/17
f	36 NIKE INC -CL B	P	12/03/13	04/06/17
g	2 ALPHABET INC-CL C	P	10/23/12	04/06/17
h	5 ALPHABET INC-CL C	P	03/30/11	04/06/17
i	1 ALPHABET INC-CL C	P	12/14/10	04/06/17
j	2 INTUITIVE SURGICAL INC	P	04/23/14	03/28/17
k	19 INTEL CORP	P	02/18/16	03/17/17
l	73 INTEL CORP	P	02/17/16	03/17/17
m	23 AETNA INC	P	06/12/14	03/17/17
n	62 NIKE INC -CL B	P	12/03/13	03/16/17
o	21 VISA INC - CLASS A SHARES	P	08/01/13	03/16/17

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 758.		385.	373.
b 834.		265.	569.
c 3,205.		2,073.	1,132.
d 1,951.		1,673.	278.
e 5,276.		3,317.	1,959.
f 1,995.		1,422.	573.
g 1,659.		682.	977.
h 4,147.		1,459.	2,688.
i 829.		298.	531.
j 1,531.		756.	775.
k 668.		563.	105.
l 2,567.		2,128.	439.
m 3,047.		1,858.	1,189.
n 3,555.		2,448.	1,107.
o 1,886.		924.	962.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col (h) gain over col. (k), but not less than "-0-")
a			373.
b			569.
c			1,132.
d			278.
e			1,959.
f			573.
g			977.
h			2,688.
i			531.
j			775.
k			105.
l			439.
m			1,189.
n			1,107.
o			962.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	

THE HAVEN FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 6 ALPHABET INC-CL C		P	12/14/10	03/16/17
b 1 BANK OF AMERICA CORP		P	12/12/12	03/15/17
c 133 BANK OF AMERICA CORP		P	12/12/12	03/15/17
d 13 WELLS FARGO & COMPANY		P	10/18/12	03/15/17
e 33 WELLS FARGO & COMPANY		P	06/25/12	03/15/17
f 43 NIKE INC -CL B		P	12/03/13	02/28/17
g 161 PFIZER INC		P	10/18/12	02/28/17
h 38 PFIZER INC		P	07/29/11	02/28/17
i 12 UNITED TECHNOLOGIES CORP		P	03/25/15	02/27/17
j 19 UNITED TECHNOLOGIES CORP		P	03/23/15	02/27/17
k 33 APPLE INC		P	10/18/12	02/27/17
l 72 SYNCHRONY FINANCIAL		P	02/05/16	02/21/17
m 71 SYNCHRONY FINANCIAL		P	02/05/16	02/17/17
n 6 HESS CORP		P	07/14/15	02/15/17
o 131 HEWLETT PACKARD ENTERPRIS		P	10/23/12	02/14/17

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 5,093.		1,788.	3,305.
b 25.		11.	14.
c 3,371.		1,412.	1,959.
d 765.		449.	316.
e 1,942.		1,061.	881.
f 2,457.		1,698.	759.
g 5,493.		4,186.	1,307.
h 1,297.		733.	564.
i 1,351.		1,423.	<72.>
j 2,139.		2,264.	<125.>
k 4,513.		2,998.	1,515.
l 2,666.		1,874.	792.
m 2,588.		1,848.	740.
n 308.		386.	<78.>
o 3,152.		1,032.	2,120.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			3,305.
b			14.
c			1,959.
d			316.
e			881.
f			759.
g			1,307.
h			564.
i			<72.>
j			<125.>
k			1,515.
l			792.
m			740.
n			<78.>
o			2,120.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  
If gain, also enter in Part I, line 8, column (c).  
If (loss), enter "-0-" in Part I, line 8

THE HAVEN FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	1 HEWLETT PACKARD ENTERPRIS	P	10/23/12	02/14/17
b	3 BIOVERATIV INC	P	07/14/15	02/03/17
c	3 BIOVERATIV INC	P	04/29/14	02/03/17
d	2 BIOVERATIV INC	P	08/26/13	02/03/17
e	43 CAPITAL ONE FINANCIAL CORP	P	03/26/13	02/03/17
f	3 BIOVERATIV INC	P	03/14/13	02/03/17
g	7 BIOVERATIV INC	P	01/17/13	02/03/17
h	57 DISCOVER FINANCIAL SERVICES	P	05/06/15	02/02/17
i	126 DELTA AIR LINES INC	P	10/20/14	02/02/17
j	35 CONDUENT INC	P	11/17/15	01/26/17
k	19 L3 TECHNOLOGIES INC	P	12/03/14	01/26/17
l	40 CONDUENT INC	P	03/03/14	01/26/17
m	87 CONDUENT INC	P	02/03/14	01/26/17
n	21 EOG RESOURCES INC	P	10/07/15	01/24/17
o	17 APPLE INC	P	10/18/12	01/24/17

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 24.		8.	16.
b 133.		190.	<57.>
c 133.		113.	20.
d 88.		84.	4.
e 3,751.		2,368.	1,383.
f 133.		84.	49.
g 310.		159.	151.
h 3,886.		3,340.	546.
i 5,956.		4,474.	1,482.
j 525.		609.	<84.>
k 2,960.		2,340.	620.
l 600.		743.	<143.>
m 1,305.		1,588.	<283.>
n 2,176.		1,756.	420.
o 2,037.		1,544.	493.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			16.
b			<57.>
c			20.
d			4.
e			1,383.
f			49.
g			151.
h			546.
i			1,482.
j			<84.>
k			620.
l			<143.>
m			<283.>
n			420.
o			493.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

## THE HAVEN FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	47.068 BERNSTEIN INTERMEDIATE DURATION PORTFOLIO	P	12/14/10	01/24/17
b	34 HESS CORP	P	07/14/15	01/20/17
c	23 HESS CORP	P	03/11/15	01/20/17
d	199.161 AB DISCOVERY GRWTH FUND- ADV	P	01/27/14	01/17/17
e	BLACK KNIGHT INC	P	VARIOUS	10/18/17
f	DXC TECHNOLOGY CO	P	VARIOUS	04/21/17
g	BIOVERATIV INC	P	VARIOUS	02/17/17
h	CONDUENT INC	P	VARIOUS	01/13/17
i	AB DISCOVERY VALUE FUND	P	VARIOUS	12/19/17
j	AB DISCOVERY GRWTH FUND	P	VARIOUS	12/18/17
k	AB INTERNATIONAL SMALL CAP PORTFOLIO	P	VARIOUS	12/15/17
l	AB INTERNATIONAL STRATEGIC EQUITIES PORTFOLIO	P	VARIOUS	12/15/17
m	AB SMALL CAP PORTFOLIO ADV CL	P	VARIOUS	12/15/17
n	278 SPDR GOLD TR GOLD SHS	P	06/29/15	01/19/17
o	40000 KINDER MORGAN ENERGY PARTNERS L P SR NT 6.	P	02/04/16	02/02/17

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 614.		640.	<26.>
b 1,976.		2,189.	<213.>
c 1,337.		1,606.	<269.>
d 1,900.		1,910.	<10.>
e 41.			41.
f 61.			61.
g 22.			22.
h 6.			6.
i 4,013.			4,013.
j 4,236.			4,236.
k 2,090.			2,090.
l 3,436.			3,436.
m 739.			739.
n 31,717.		31,353.	364.
o 40,000.		40,000.	0.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<26.>
b			<213.>
c			<269.>
d			<10.>
e			41.
f			61.
g			22.
h			6.
i			4,013.
j			4,236.
k			2,090.
l			3,436.
m			739.
n			364.
o			0.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	390 ISHARES INC EDGE MSCI MINIMUM VOLATILITY ETF	P	05/18/16	03/01/17
b	1163 ISHARES INC EDGE MSCI MINIMUM VOLATILITY ETF	P	05/02/16	03/01/17
c	4 ISHARES INC MSCI WORLD ETF	P	05/18/16	03/01/17
d	4 ISHARES INC MSCI WORLD ETF	P	05/18/16	03/01/17
e	4 ISHARES INC MSCI WORLD ETF	P	05/18/16	03/01/17
f	4 ISHARES INC MSCI WORLD ETF	P	05/18/16	03/01/17
g	4 ISHARES INC MSCI WORLD ETF	P	05/18/16	03/01/17
h	4 ISHARES INC MSCI WORLD ETF	P	05/18/16	03/01/17
i	4 ISHARES INC MSCI WORLD ETF	P	05/18/16	03/01/17
j	4 ISHARES INC MSCI WORLD ETF	P	05/18/16	03/01/17
k	4 ISHARES INC MSCI WORLD ETF	P	05/18/16	03/01/17
l	100 ISHARES INC MSCI WORLD ETF	P	05/18/16	03/01/17
m	700 ISHARES INC MSCI WORLD ETF	P	05/18/16	03/01/17
n	1711 ISHARES INC MSCI WORLD ETF	P	05/18/16	03/01/17
o	100 ISHARES INC MSCI WORLD ETF	P	05/02/16	03/01/17

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 29,922.		28,567.	1,355.
b 89,229.		86,049.	3,180.
c 311.		279.	32.
d 311.		279.	32.
e 311.		279.	32.
f 311.		279.	32.
g 311.		279.	32.
h 311.		279.	32.
i 311.		279.	32.
j 311.		279.	32.
k 311.		279.	32.
l 7,780.		6,966.	814.
m 54,463.		48,765.	5,698.
n 133,124.		119,195.	13,929.
o 7,780.		7,086.	694.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			1,355.
b			3,180.
c			32.
d			32.
e			32.
f			32.
g			32.
h			32.
i			32.
j			32.
k			32.
l			814.
m			5,698.
n			13,929.
o			694.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	216 ISHARES INC MSCI WORLD ETF	P	05/02/16	03/01/17
b	400 ISHARES INC MSCI WORLD ETF	P	05/02/16	03/01/17
c	84 ISHARES INC MSCI WORLD ETF	P	05/02/16	03/01/17
d	13990 UNITED MEXICAN STATES ISIN#MX0MGO0000F3 7.	P	12/06/16	04/18/17
e	2000 UNITED MEXICAN STATES ISIN#MX0MGO0000F3 7.7	P	12/12/16	04/18/17
f	1654205.48 MEXICAN PESO CURRENCY	P	04/18/17	04/20/17
g	62649.72 MEXICAN PESO CURRENCY	P	12/14/16	04/20/17
h	300 DIREXION SHS ETF TR DAILY SM CAP BEAR 3X SHS	P	04/20/17	05/03/17
i	400 DIREXION SHS ETF TR DAILY SM CAP BEAR 3X SHS	P	04/20/17	05/03/17
j	100 DIREXION SHS ETF TR DAILY SM CAP BEAR 3X SHS	P	04/20/17	05/03/17
k	100 DIREXION SHS ETF TR DAILY SM CAP BEAR 3X SHS	P	04/20/17	05/03/17
l	298 DIREXION SHS ETF TR DAILY SM CAP BEAR 3X SHS	P	04/20/17	05/03/17
m	202 DIREXION SHS ETF TR DAILY SM CAP BEAR 3X SHS	P	04/20/17	05/03/17
n	1700 DIREXION SHS ETF TR DAILY SM CAP BEAR 3X SHS	P	04/20/17	05/03/17
o	302 DIREXION SHS ETF TR DAILY SM CAP BEAR 3X SHS	P	04/20/17	05/03/17

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 16,806.		15,306.	1,500.
b 31,122.		28,345.	2,777.
c 6,536.		5,952.	584.
d 76,283.		69,784.	6,499.
e 10,905.		10,011.	894.
f 87,683.		89,536.	<1,853.>
g 3,321.		3,035.	286.
h 5,258.		5,767.	<509.>
i 7,010.		7,689.	<679.>
j 1,753.		1,922.	<169.>
k 1,753.		1,921.	<168.>
l 5,223.		5,728.	<505.>
m 3,540.		3,883.	<343.>
n 29,793.		31,236.	<936.>
o 5,293.		5,549.	0.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,500.
b			2,777.
c			584.
d			6,499.
e			894.
f			<1,853.>
g			286.
h			<509.>
i			<679.>
j			<169.>
k			<168.>
l			<505.>
m			<343.>
n			<936.>
o			0.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3



THE HAVEN FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	500 DIREXION SHS ETF TR DAILY SM CAP BEAR 3X SHS	P	04/20/17	05/03/17
b	53000 GENERAL MTRS FINL CO INC GTD FXD RT SR 4.7	P	04/18/16	08/16/17
c	70000 JPMORGAN CHASE & CO FLOATING RT NTS 2.317%	P	08/26/15	11/20/17
d	570 ISHARES TR 1-3 YR CR BD ETF	P	08/05/15	11/20/17
e	50000 AMERICAN HONDA FIN CORP SR UNSECD MTN DTD 1	P	09/07/17	12/11/17
f	ARBITRAGE FUND CL I	P		12/15/17
g	50000 BERKSHIRE HATHAWAY INC DEL SR UNSECD NT DTD	P	12/19/12	01/31/17
h	50000 CITIGROUP INC GLOBAL SR UNSCD NT DTD 11/21/	P	11/29/12	11/21/17
i	25000 CONOCOPHILLIPS SR UNSECD NT CALL 09/15/17 @	P	07/28/14	12/15/17
j	50000 GENERAL ELEC CAP CORP SR UNSECD MTN DTD 01/	P	07/03/13	01/09/17
k	50000 INTERNATIONAL BUSINESS MACHS CORP SR NT DTD	P	10/29/15	09/14/17
l	50000 KELLOGG CO UNSECD SR NT DTD 05/17/12 1.750%	P	12/19/12	05/17/17
m	LEGG MASON BW ABSOLUTE RETURN OPPORTUNITIES FUND	P		12/21/17
n	PRUDENTIAL GLOBAL REAL ESTATE FUND CL Z	P		12/19/17
o	30000 STATOIL ASA GO GTD NT NORWAY DTD 08/17/10 3	P	02/15/13	08/17/17

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 8,763.		9,187.	0.
b 53,000.		53,000.	0.
c 70,825.		70,228.	597.
d 59,728.		59,848.	<120.>
e 50,000.		50,026.	<26.>
f 133.			133.
g 50,000.		51,644.	<1,644.>
h 50,000.		59,523.	<9,523.>
i 25,000.		25,000.	0.
j 50,000.		51,839.	<1,839.>
k 50,000.		54,258.	<4,258.>
l 50,000.		50,834.	<834.>
m 177.			177.
n 504.			504.
o 30,000.		32,477.	<2,477.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			0.
b			0.
c			597.
d			<120.>
e			<26.>
f			133.
g			<1,644.>
h			<9,523.>
i			0.
j			<1,839.>
k			<4,258.>
l			<834.>
m			177.
n			504.
o			<2,477.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

THE HAVEN FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	33000 TELEFONICA EMISIONES S A U CO GTY SPAIN DTD	P	05/06/15	07/03/17
b	8000 TELEFONICA EMISIONES S A U CO GTY SPAIN DTD	P	05/06/15	07/03/17
c	9000 TELEFONICA EMISIONES S A U CO GTY SPAIN DTD	P	04/28/15	07/03/17
d	50000 UNITEDHEALTH GROUP INC SR UNSECD NT DTD 10/	P	02/15/13	10/15/17
e	50000 VODAFONE GROUP PLC NEW NT UNITED KINGDOM DT	P	12/19/12	02/27/17
f	15 ABBVIE INC COM	P	05/05/16	08/08/17
g	38 ALTRIA GROUP INC COM	P	04/24/13	08/08/17
h	13 APPLE INC COM	P	02/06/14	05/30/17
i	9 COMCAST CORP NEW CL A COM	P	05/05/14	01/10/17
j	1 GENUINE PARTS CO COM	P	11/20/15	11/16/17
k	6 GENUINE PARTS CO COM	P	11/23/15	11/15/17
l	17 GENUINE PARTS CO COM	P	11/20/15	11/15/17
m	5 GENUINE PARTS CO COM	P	11/23/15	11/14/17
n	7 HONEYWELL INTL INC COM	P	04/24/13	12/13/17
o	3 HONEYWELL INTL INC COM	P	02/12/16	08/22/17

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 33,000.		36,282.	<3,282.>
b 8,000.		8,795.	<795.>
c 9,000.		9,928.	<928.>
d 50,000.		50,034.	<34.>
e 50,000.		58,849.	<8,849.>
f 1,073.		923.	150.
g 2,487.		1,356.	1,131.
h 2,001.		952.	1,049.
i 642.		469.	173.
j 85.		90.	<5.>
k 511.		544.	<33.>
l 1,448.		1,531.	<83.>
m 430.		453.	<23.>
n 1,093.		522.	571.
o 410.		309.	101.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<3,282.>
b			<795.>
c			<928.>
d			<34.>
e			<8,849.>
f			150.
g			1,131.
h			1,049.
i			173.
j			<5.>
k			<33.>
l			<83.>
m			<23.>
n			571.
o			101.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	

THE HAVEN FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 8 HONEYWELL INTL INC COM		P	04/24/13	08/22/17
b 12 INTERPUBLIC GROUP COS INC COM		P	08/19/16	11/15/17
c 71 INTERPUBLIC GROUP COS INC COM		P	08/18/16	11/15/17
d 19 INTERPUBLIC GROUP COS INC COM		P	08/19/16	11/14/17
e 30 J P MORGAN CHASE & CO COM		P	04/24/13	05/16/17
f 7 J P MORGAN CHASE & CO COM		P	02/12/16	01/10/17
g 2 J P MORGAN CHASE & CO COM		P	04/24/13	01/10/17
h 18 LAM RESEARCH CORP COM		P	05/05/16	10/10/17
i 5 LAM RESEARCH CORP COM		P	06/13/16	10/09/17
j 19 LAM RESEARCH CORP COM		P	06/10/16	10/09/17
k 11 MICROSOFT CORP COM		P	06/29/15	11/14/17
l 11 MICROSOFT CORP COM		P	04/24/13	11/14/17
m 13 MICROSOFT CORP COM		P	06/29/15	03/21/17
n 14 MICROSOFT CORP COM		P	06/29/15	01/10/17
o 5 NORTHERN TR CORP COM		P	11/13/15	05/31/17

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,094.		596.	498.
b 220.		278.	<58.>
c 1,304.		1,637.	<333.>
d 354.		440.	<86.>
e 2,633.		1,466.	1,167.
f 607.		399.	208.
g 174.		98.	76.
h 3,304.		1,353.	1,951.
i 922.		412.	510.
j 3,504.		1,563.	1,941.
k 923.		494.	429.
l 923.		350.	573.
m 842.		583.	259.
n 879.		628.	251.
o 433.		367.	66.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			498.
b			<58.>
c			<333.>
d			<86.>
e			1,167.
f			208.
g			76.
h			1,951.
i			510.
j			1,941.
k			429.
l			573.
m			259.
n			251.
o			66.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

## THE HAVEN FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	2 NORTHERN TR CORP COM	P	11/12/15	05/31/17
b	7 NORTHERN TR CORP COM	P	11/12/15	05/30/17
c	25 OCCIDENTAL PETE CORP DEL COM	P	03/18/16	05/02/17
d	40 OCCIDENTAL PETE CORP DEL COM	P	01/07/13	05/02/17
e	55 PG&E CORP COM	P	01/15/15	10/17/17
f	7 PUBLIC STORAGE INC COM (REIT)	P	04/24/13	12/13/17
g	11 QUALCOMM INC COM	P	09/27/16	01/24/17
h	63 QUALCOMM INC COM	P	09/26/16	01/24/17
i	17 SCHLUMBERGER LTD COM CURACAO	P	08/18/16	05/16/17
j	33 SCHLUMBERGER LTD COM CURACAO	P	11/12/15	05/16/17
k	33 SCHLUMBERGER LTD COM CURACAO	P	09/28/15	05/16/17
l	12 SCHLUMBERGER LTD COM CURACAO	P	09/06/13	05/16/17
m	13 SCHLUMBERGER LTD COM CURACAO	P	09/06/13	05/16/17
n	7 SEMPRA ENERGY COM	P	01/07/13	09/06/17
o	17 SEMPRA ENERGY COM	P	01/07/13	09/05/17

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 173.		148.	25.
b 618.		520.	98.
c 1,494.		1,777.	<283.>
d 2,390.		3,069.	<679.>
e 3,022.		3,156.	<134.>
f 1,483.		1,115.	368.
g 607.		690.	<83.>
h 3,475.		3,931.	<456.>
i 1,211.		1,423.	<212.>
j 2,351.		2,547.	<196.>
k 2,351.		2,329.	22.
l 855.		855.	0.
m 926.		1,110.	<184.>
n 821.		504.	317.
o 2,000.		1,224.	776.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			25.
b			98.
c			<283.>
d			<679.>
e			<134.>
f			368.
g			<83.>
h			<456.>
i			<212.>
j			<196.>
k			22.
l			0.
m			<184.>
n			317.
o			776.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c).  
If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	4 SIMON PPTY GROUP INC NEW COM	P	05/29/13	03/21/17
b	6 SIMON PPTY GROUP INC NEW COM	P	03/15/13	03/21/17
c	10 SIMON PPTY GROUP INC NEW COM	P	01/07/13	03/21/17
d	15 TIME WARNER INC NEW COM	P	03/26/15	08/22/17
e	29 UNITED PARCEL SVC INC CL B	P	04/24/13	07/11/17
f	1 UNITED PARCEL SVC INC CL B	P	04/24/13	05/16/17
g	9 UNITED PARCEL SVC INC CL B	P	03/18/13	05/16/17
h	13 UNITED PARCEL SVC INC CL B	P	03/15/13	05/16/17
i	8 UNITED PARCEL SVC INC CL B	P	02/12/16	02/22/17
j	14 UNITED PARCEL SVC INC CL B	P	06/25/13	02/22/17
k	6 UNITED PARCEL SVC INC CL B	P	03/15/13	02/22/17
l	129 VERIZON COMMUNICATIONS INC COM	P	01/07/13	07/11/17
m	77 VERIZON COMMUNICATIONS INC COM	P	01/07/13	02/07/17
n	2 IHS MARKIT LTD COM BERMUDA	P	07/12/16	08/04/17
o	10 MEDTRONIC PLC COM IRELAND	P	01/26/15	12/13/17

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 673.		644.	29.
b 1,009.		899.	110.
c 1,682.		1,500.	182.
d 1,527.		1,273.	254.
e 3,192.		2,429.	763.
f 104.		84.	20.
g 939.		765.	174.
h 1,356.		1,111.	245.
i 845.		774.	71.
j 1,478.		1,203.	275.
k 633.		513.	120.
l 5,541.		5,754.	<213.>
m 3,690.		3,435.	255.
n 93.		66.	27.
o 818.		757.	61.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	(l) Losses (from col (h)) Gains (excess of col. (h) gain over col (k), but not less than "-0-")
a			29.
b			110.
c			182.
d			254.
e			763.
f			20.
g			174.
h			245.
i			71.
j			275.
k			120.
l			<213.>
m			255.
n			27.
o			61.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

THE HAVEN FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	20 MEDTRONIC PLC COM IRELAND	P	01/26/15	12/07/17
b	1 MEDTRONIC PLC COM IRELAND	P	01/26/15	08/04/17
c	7 AFFILIATED MANAGERS GROUP COM	P	11/03/17	12/08/17
d	3 AFFILIATED MANAGERS GROUP COM	P	11/06/17	12/07/17
e	1 AFFILIATED MANAGERS GROUP COM	P	11/03/17	12/07/17
f	6 ALPHABET INC CL C COM	P	01/21/14	10/04/17
g	1 ALPHABET INC CL C COM	P	01/21/14	08/25/17
h	1 ALPHABET INC CL C COM	P	01/21/14	08/04/17
i	3 ALPHABET INC CL A COM	P	01/21/14	08/25/17
j	1 ALPHABET INC CL A COM	P	01/21/14	08/04/17
k	2 AMAZON COM INC COM	P	12/30/16	05/22/17
l	3 AMAZON COM INC COM	P	12/22/16	05/22/17
m	1 AMERICAN EXPRESS CO COM	P	01/07/13	08/04/17
n	53 AMERICAN INTL GROUP INC NEW COM	P	01/21/14	05/12/17
o	1 AMERICAN TOWER CORP COM	P	06/05/15	08/04/17

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,608.		1,513.	95.
b 83.		76.	7.
c 1,396.		1,295.	101.
d 594.		559.	35.
e 198.		185.	13.
f 5,710.		3,465.	2,245.
g 918.		577.	341.
h 926.		577.	349.
i 2,797.		1,743.	1,054.
j 944.		581.	363.
k 1,936.		1,500.	436.
l 2,904.		2,297.	607.
m 86.		60.	26.
n 3,233.		2,682.	551.
o 138.		93.	45.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col. (k), but not less than "-0-")
a			95.
b			7.
c			101.
d			35.
e			13.
f			2,245.
g			341.
h			349.
i			1,054.
j			363.
k			436.
l			607.
m			26.
n			551.
o			45.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

THE HAVEN FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	12 AMGEN INC COM	P	05/10/16	12/07/17
b	36 AMGEN INC COM	P	01/07/13	12/07/17
c	1 AMGEN INC COM	P	05/10/16	08/04/17
d	1 APPLE INC COM	P	01/11/16	08/04/17
e	10 APPLE INC COM	P	01/11/16	05/22/17
f	9 BLACKROCK INC CL A	P	09/16/15	11/03/17
g	1 BLACKROCK INC CL A	P	09/16/15	08/04/17
h	2 CVS HEALTH CORP COM	P	06/26/14	05/15/17
i	31 CVS HEALTH CORP COM	P	10/05/16	05/12/17
j	10 CVS HEALTH CORP COM	P	06/26/14	05/12/17
k	8 CVS HEALTH CORP COM	P	10/13/16	01/13/17
l	13 CVS HEALTH CORP COM	P	10/05/16	01/13/17
m	13 CVS HEALTH CORP COM	P	01/16/16	01/13/17
n	1 CARMAX INC COM	P	04/15/14	08/04/17
o	25 CARMAX INC COM	P	04/10/17	06/15/17

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,089.		1,870.	219.
b 6,267.		3,182.	3,085.
c 173.		156.	17.
d 156.		98.	58.
e 1,539.		979.	560.
f 4,313.		2,823.	1,490.
g 428.		314.	114.
h 161.		153.	8.
i 2,488.		2,669.	<181.>
j 803.		766.	37.
k 656.		703.	<47.>
l 1,066.		1,119.	<53.>
m 1,066.		1,275.	<209.>
n 66.		43.	23.
o 1,527.		1,435.	92.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			219.
b			3,085.
c			17.
d			58.
e			560.
f			1,490.
g			114.
h			8.
i			<181.>
j			37.
k			<47.>
l			<53.>
m			<209.>
n			23.
o			92.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

## THE HAVEN FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	18 CARMAX INC COM	P	04/15/14	06/15/17
b	7 CARMAX INC COM	P	04/10/17	06/05/17
c	15 CARMAX INC COM	P	04/06/17	06/05/17
d	38 CARMAX INC COM	P	12/21/15	01/18/17
e	1 CARMAX INC COM	P	04/15/14	01/18/17
f	10 CATERPILLAR INC COM	P	01/28/14	10/05/17
g	4 CATERPILLAR INC COM	P	01/21/14	10/05/17
h	13 CATERPILLAR INC COM	P	03/20/17	06/05/17
i	14 CATERPILLAR INC COM	P	01/21/14	06/05/17
j	1 CHEVRON CORP COM	P	01/07/13	08/04/17
k	31 CISCO SYS INC COM	P	03/30/15	09/11/17
l	92 CISCO SYS INC COM	P	03/30/15	08/23/17
m	36 CISCO SYS INC COM	P	03/30/15	08/22/17
n	1 CISCO SYS INC COM	P	03/30/15	08/04/17
o	3 CITIGROUP INC NEW COM	P	06/30/17	08/04/17

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,100.	771.	329.
b	438.	402.	36.
c	939.	866.	73.
d	2,496.	1,991.	505.
e	66.	43.	23.
f	1,263.	902.	361.
g	505.	362.	143.
h	1,370.	1,238.	132.
i	1,476.	1,266.	210.
j	110.	110.	0.
k	993.	858.	135.
l	2,857.	2,545.	312.
m	1,126.	996.	130.
n	32.	28.	4.
o	207.	202.	5.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			329.
b			36.
c			73.
d			505.
e			23.
f			361.
g			143.
h			132.
i			210.
j			0.
k			135.
l			312.
m			130.
n			4.
o			5.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3



## THE HAVEN FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	63 CITIGROUP INC NEW COM	P	03/28/14	03/22/17
b	37 CITIGROUP INC NEW COM	P	03/28/14	01/31/17
c	37 CITIGROUP INC NEW COM	P	03/28/14	01/31/17
d	148 DANONE SPONSORED ADR FRANCE	P	09/22/15	12/08/17
e	6 DANONE SPONSORED ADR FRANCE	P	09/22/15	08/04/17
f	90 DANONE SPONSORED ADR FRANCE	P	09/22/15	01/17/17
g	1 DIAGEO PLC SPONSORED ADR NEW UNITED KINGDOM	P	01/21/14	10/23/17
h	10 DIAGEO PLC SPONSORED ADR NEW UNITED KINGDOM	P	01/21/14	10/20/17
i	1 DIAGEO PLC SPONSORED ADR NEW UNITED KINGDOM	P	01/21/14	08/04/17
j	37 EXPRESS SCRIPTS HLDG CO COM	P	08/29/16	05/12/17
k	20 EXPRESS SCRIPTS HLDG CO COM	P	08/29/16	01/13/17
l	20 EXXON MOBIL CORP COM	P	01/20/15	12/06/17
m	31 EXXON MOBIL CORP COM	P	01/07/13	12/06/17
n	1 EXXON MOBIL CORP COM	P	01/20/15	08/04/17
o	11 FACEBOOK INC CL A COM	P	04/21/14	11/17/17

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,636.		2,967.	669.
b 2,062.		1,743.	319.
c 2,062.		1,743.	319.
d 2,448.		1,810.	638.
e 95.		73.	22.
f 1,147.		1,101.	46.
g 136.		132.	4.
h 1,352.		1,316.	36.
i 132.		132.	0.
j 2,206.		2,722.	<516.>
k 1,436.		1,471.	<35.>
l 1,647.		1,809.	<162.>
m 2,552.		2,717.	<165.>
n 80.		90.	<10.>
o 1,970.		658.	1,312.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			669.
b			319.
c			319.
d			638.
e			22.
f			46.
g			4.
h			36.
i			0.
j			<516.>
k			<35.>
l			<162.>
m			<165.>
n			<10.>
o			1,312.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c)  
If (loss), enter "-0-" in Part I, line 8 }

3

## THE HAVEN FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr)	(d) Date sold (mo., day, yr)
1a	6 FACEBOOK INC CL A COM	P	04/21/14	10/10/17
b	16 FACEBOOK INC CL A COM	P	04/21/14	10/03/17
c	4 FACEBOOK INC CL A COM	P	04/21/14	09/18/17
d	8 FACEBOOK INC CL A COM	P	03/28/14	09/18/17
e	18 FACEBOOK INC CL A COM	P	03/28/14	08/25/17
f	1 FACEBOOK INC CL A COM	P	03/28/14	08/04/17
g	22 FACEBOOK INC CL A COM	P	03/28/14	05/11/17
h	3 GENERAL ELEC CO COM	P	01/21/14	08/04/17
i	1 GOLDMAN SACHS GROUP INC COM	P	04/10/17	08/04/17
j	4 GOLDMAN SACHS GROUP INC COM	P	01/21/14	03/22/17
k	6 GROUP 1 AUTOMOTIVE INC COM	P	10/24/16	01/18/17
l	2 J P MORGAN CHASE & CO COM	P	06/29/17	08/04/17
m	22 J P MORGAN CHASE & CO COM	P	04/24/13	03/22/17
n	92 KINDER MORGAN INC DEL COM	P	01/13/17	12/07/17
o	163 KINDER MORGAN INC DEL COM	P	01/13/17	11/15/17

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,031.	359.	672.
b	2,722.	957.	1,765.
c	685.	239.	446.
d	1,370.	488.	882.
e	2,999.	1,098.	1,901.
f	169.	61.	108.
g	3,299.	1,342.	1,957.
h	77.	79.	<2.>
i	228.	229.	<1.>
j	919.	691.	228.
k	486.	361.	125.
l	188.	182.	6.
m	1,914.	1,075.	839.
n	1,597.	2,064.	<467.>
o	2,788.	3,658.	<870.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col. (k), but not less than "-0-")
a			672.
b			1,765.
c			446.
d			882.
e			1,901.
f			108.
g			1,957.
h			<2.>
i			<1.>
j			228.
k			125.
l			6.
m			839.
n			<467.>
o			<870.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c).  
If (loss), enter "-0-" in Part I, line 8

3

## THE HAVEN FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	1 KINDER MORGAN INC DEL COM	P	01/13/17	08/04/17
b	38 LENNAR CORP COM	P	06/29/17	10/06/17
c	24 LENNAR CORP COM	P	06/30/17	10/05/17
d	50 LENNAR CORP COM	P	06/29/17	10/05/17
e	0.28 LENNAR CORP CL B COM	P	01/21/14	12/26/17
f	0.64 LENNAR CORP CL B COM	P	06/29/17	11/29/17
g	0.92 LENNAR CORP CL B COM	P	06/29/17	11/29/17
h	3.08 LENNAR CORP CL B COM	P	01/21/14	11/29/17
i	3.36 LENNAR CORP CL B COM	P	01/21/14	11/29/17
j	39 LOUISIANA PAC CORP COM	P	11/11/16	08/22/17
k	15 LOUISIANA PAC CORP COM	P	11/14/16	07/03/17
l	37 LOUISIANA PAC CORP COM	P	11/11/16	07/03/17
m	50 LOUISIANA PAC CORP COM	P	11/14/16	06/30/17
n	44 LOUISIANA PAC CORP COM	P	11/14/16	04/13/17
o	10 LOWES COS INC COM	P	01/21/14	12/05/17

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 20.		22.	<2.>
b 2,120.		2,001.	119.
c 1,349.		1,283.	66.
d 2,810.		2,633.	177.
e 14.		8.	6.
f			0.
g 47.		39.	8.
h 158.		93.	65.
i			0.
j 935.		698.	237.
k 362.		275.	87.
l 894.		662.	232.
m 1,211.		916.	295.
n 1,117.		806.	311.
o 870.		476.	394.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<2.>
b			119.
c			66.
d			177.
e			6.
f			0.
g			8.
h			65.
i			0.
j			237.
k			87.
l			232.
m			295.
n			311.
o			394.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	26 LOWES COS INC COM	P	01/21/14	09/28/17
b	47 MERCK & CO INC NEW COM	P	01/07/13	12/06/17
c	49 MERCK & CO INC NEW COM	P	01/07/13	10/23/17
d	1 MERCK & CO INC NEW COM	P	01/07/13	08/04/17
e	3 MORGAN STANLEY COM	P	06/30/17	08/04/17
f	5 NVR INC COM	P	01/21/14	06/29/17
g	12 NOVARTIS A G SPONSORED ADR SWITZERLAND	P	12/30/16	12/11/17
h	11 NOVARTIS A G SPONSORED ADR SWITZERLAND	P	01/21/14	12/11/17
i	48 NOVARTIS A G SPONSORED ADR SWITZERLAND	P	01/21/14	10/19/17
j	3 OCCIDENTAL PETE CORP DEL COM	P	04/24/13	05/31/17
k	43 OCCIDENTAL PETE CORP DEL COM	P	01/07/13	05/31/17
l	7 OCCIDENTAL PETE CORP DEL COM	P	01/21/14	05/19/17
m	11 OCCIDENTAL PETE CORP DEL COM	P	04/24/13	05/19/17
n	72 ORACLE CORP COM	P	01/21/14	05/31/17
o	14 PEPSICO INC COM	P	01/21/14	12/07/17

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,057.		1,238.	819.
b 2,553.		1,979.	574.
c 3,122.		2,063.	1,059.
d 63.		42.	21.
e 145.		136.	9.
f 11,796.		5,089.	6,707.
g 1,007.		874.	133.
h 923.		897.	26.
i 4,128.		3,913.	215.
j 178.		244.	<66.>
k 2,544.		3,299.	<755.>
l 425.		607.	<182.>
m 667.		896.	<229.>
n 3,261.		2,739.	522.
o 1,640.		1,162.	478.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			819.
b			574.
c			1,059.
d			21.
e			9.
f			6,707.
g			133.
h			26.
i			215.
j			<66.>
k			<755.>
l			<182.>
m			<229.>
n			522.
o			478.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 17 PEPSICO INC COM		P	01/21/14	10/20/17
b 37 PROCTER & GAMBLE CO COM		P	09/30/15	09/18/17
c 19 PRUDENTIAL FINL INC COM		P	01/21/14	05/12/17
d 84 QUALCOMM INC COM		P	01/21/14	07/21/17
e 3 TEMPUR SEALY INTL INC COM		P	09/29/16	08/04/17
f 1 UNION PAC CORP COM		P	03/20/17	08/04/17
g 12 UNITED PARCEL SVC INC CL B		P	04/24/13	12/22/17
h 5 UNITED PARCEL SVC INC CL B		P	06/23/15	12/21/17
i 4 UNITED PARCEL SVC INC CL B		P	04/24/13	12/21/17
j 4 UNITED PARCEL SVC INC CL B		P	03/18/13	12/21/17
k 1 UNITED PARCEL SVC INC CL B		P	06/23/15	08/04/17
l 25 UNITED RENTALS INC COM		P	09/02/15	06/05/17
m 15 UNITED RENTALS INC COM		P	09/03/15	06/01/17
n 4 UNITED RENTALS INC COM		P	09/02/15	06/01/17
o 1 UNITED TECHNOLOGIES CORP COM		P	03/20/17	08/04/17

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,897.		1,411.	486.
b 3,448.		2,654.	794.
c 2,017.		1,715.	302.
d 4,507.		6,339.	<1,832.>
e 178.		172.	6.
f 105.		105.	0.
g 1,423.		1,005.	418.
h 592.		504.	88.
i 474.		335.	139.
j 474.		340.	134.
k 112.		100.	12.
l 2,636.		1,680.	956.
m 1,647.		1,017.	630.
n 439.		268.	171.
o 121.		113.	8.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			486.
b			794.
c			302.
d			<1,832.>
e			6.
f			0.
g			418.
h			88.
i			139.
j			134.
k			12.
l			956.
m			630.
n			171.
o			8.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c).  
If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	43 WAL-MART STORES INC COM	P	06/05/17	06/16/17
b	51 WAL-MART STORES INC COM	P	03/31/17	06/16/17
c	35 WELLS FARGO & CO NEW COM	P	04/10/17	05/31/17
d	65 WELLS FARGO & CO NEW COM	P	01/07/13	05/31/17
e	18 WELLS FARGO & CO NEW COM	P	01/07/13	03/22/17
f	13793 ALPA/KOTAK INDIA GROWTH FUND CLASS 13793	P		12/08/17
g	11235 PIMCO CAPITAL SECURITIES AND FINANCIALS FUN	P		12/14/17
h	242.04 MADISON DEBT FUND III LP	P		02/16/17
i	2591.58 DIVERSIFIED ALT PORTFOLIO	P		05/31/17
j	1105.23 MADISON DEBT III LP	P		07/07/17
k	579.12 MADISON DEBT FUND III LP	P		10/11/17
l	3067.29 MADISON DEBT FUND III LP	P		11/13/17
m	CLARITY DIVERSIFIED ALTERNATIVES	P		12/31/17
n	CLARITY DIVERSIFIED ALTERNATIVES	P		12/31/17
o	CLARITY DIVERSIFIED ALTERNATIVES	P		12/31/17

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,218.		3,446.	<228.>
b 3,816.		3,669.	147.
c 1,785.		1,910.	<125.>
d 3,314.		2,255.	1,059.
e 990.		625.	365.
f 1,568.			1,568.
g 345.			345.
h 280.		259.	21.
i 255,000.		253,975.	1,025.
j 1,330.		1,183.	147.
k 665.		620.	45.
l 3,521.		3,282.	239.
m		5,080.	<5,080.>
n 8,092.			8,092.
o		1,423.	<1,423.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<228.>
b			147.
c			<125.>
d			1,059.
e			365.
f			1,568.
g			345.
h			21.
i			1,025.
j			147.
k			45.
l			239.
m			<5,080.>
n			8,092.
o			<1,423.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

THE HAVEN FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr.)	(d) Date sold (mo, day, yr.)
1a	CLARITY DIVERSIFIED ALTERNATIVES	P		12/31/17
b	CLARITY PRIVATE INCOME PORTFOLIO	P		12/31/17
c	CLARITY PRIVATE INCOME PORTFOLIO	P		12/31/17
d	MADISON REALTY CAPITAL DEBT FUND III	P		12/31/17
e	COST ADJUSTMENT	P		12/31/17
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a		949.	<949.>
b	13.		13.
c	4.		4.
d	730.		730.
e		1,360.	<1,360.>
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			<949.>
b			13.
c			4.
d			730.
e			<1,360.>
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	270,239.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENTS			
c			
d			
e			

  

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a			
b			
c			
d			
e 3,710,332.		3,441,280.	270,239.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			270,239.

  

2 Capital gain net income or (net capital loss)	<div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div>	2	270,239.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):	<div> <div>If gain, also enter in Part I, line 8, column (c).</div> <div>If (loss), enter -0- in Part I, line 8</div> </div>	3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2016	963,658.	11,848,457.	.081332
2015	678,010.	12,483,542.	.054312
2014	603,775.	12,813,609.	.047120
2013	872,468.	12,646,032.	.068991
2012	310,999.	11,841,019.	.026265

  

2 Total of line 1, column (d)	2	.278020
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	.055604
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	4	11,800,462.
5 Multiply line 4 by line 3	5	656,153.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	4,916.
7 Add lines 5 and 6	7	661,069.
8 Enter qualifying distributions from Part XII, line 4	8	660,906.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.



**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	9,831.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		2	0.
3 Add lines 1 and 2		3	9,831.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		4	0.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		5	9,831.
6 Credits/Payments:			
a 2017 estimated tax payments and 2016 overpayment credited to 2017	6a	14,312.	
b Exempt foreign organizations - tax withheld at source	6b	0.	
c Tax paid with application for extension of time to file (Form 8868)	6c	0.	
d Backup withholding erroneously withheld	6d	0.	
7 Total credits and payments Add lines 6a through 6d	7	14,312.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	0.	
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	4,481.	
11 Enter the amount of line 10 to be: Credited to 2018 estimated tax <input type="checkbox"/> 4,481. Refunded <input type="checkbox"/>	11	0.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. <input type="checkbox"/> NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the tax year beginning in 2017? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

**Part VII-A Statements Regarding Activities** (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>WWW.THEHAVENFDN.ORG</u>	X	
14 The books are in care of ► <u>C/O MARK LEVENFUS, MARKS PANETH LLP</u> Telephone no. ► <u>212-503-8822</u> Located at ► <u>685 THIRD AVENUE, NEW YORK, NY</u> ZIP+4 ► <u>10017</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A
16 At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here	N/A	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? If "Yes," list the years ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?		X

Form 990-PF (2017)

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☒ Yes ☐ No

Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

5b

X

Organizations relying on a current notice regarding disaster assistance, check here

☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

☐ Yes ☒ No

7b

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

N/A

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 9		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0



**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	11,634,054.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	346,110.
<b>c</b>	Fair market value of all other assets	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	11,980,164.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	0.
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0.
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	11,980,164.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	179,702.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	11,800,462.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	590,023.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	590,023.
<b>2a</b>	Tax on investment income for 2017 from Part VI, line 5	<b>2a</b>	9,831.
<b>b</b>	Income tax for 2017 (This does not include the tax from Part VI)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	9,831.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	580,192.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	2,000.
<b>5</b>	Add lines 3 and 4	<b>5</b>	582,192.
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	0.
<b>7</b>	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	582,192.

**Part XII** Qualifying Distributions (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	660,906.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	0.
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	660,906.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	<b>5</b>	0.
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	660,906.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				582,192.
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2017:				
a From 2012				
b From 2013	28,506.			
c From 2014				
d From 2015	63,589.			
e From 2016	375,565.			
f Total of lines 3a through e	467,660.			
4 Qualifying distributions for 2017 from Part XII, line 4: ▶ \$	660,906.			
a Applied to 2016, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2017 distributable amount				582,192.
e Remaining amount distributed out of corpus	78,714.			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	546,374.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2016. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2017. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2018				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2012 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	546,374.			
10 Analysis of line 9:				
a Excess from 2013	28,506.			
b Excess from 2014				
c Excess from 2015	63,589.			
d Excess from 2016	375,565.			
e Excess from 2017	78,714.			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling

- b** Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(1)(3) or ☐ 4942(1)(5)

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

**b 85% of line 2a**

**c** Qualifying distributions from Part XII,  
line 4 for each year listed

**d** Amounts included in line 2c not used directly for active conduct of exempt activities

**e** Qualifying distributions made directly for active conduct of exempt activities.

- 3** Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test - enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(i)(3)(B)(i)

**b** "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

**(4) Gross investment income**

**Part XV** **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**

- 1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

STEPHEN KING

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number or email address of the person to whom applications should be addressed:

SEE STATEMENT 10

**b The form in which applications should be submitted and information and materials they should include:**

**c Any submission deadlines:**

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
JIM GERARD 312 WEST 15TH ST., APT 17 NEW YORK, NY 10011	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	1,750.
JOHN HUG PO BOX 58034 SHERMAN OAKS, CA 91413	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	3,075.
JOSEPHINE MCKEE 310 BEVERLY ROAD, 5P BROOKLYN, NY 11218	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
LISA RENTZ 2002 PARK AVENUE BEAUFORT, SC 29902	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	2,000.
MUSICARES 11 WEST 42ND STREET, 27TH FL NEW YORK, NY 10036	NONE	PC	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
PAUL DEJONG 68 CHERRY ST. NORTH ADAMS, MA 02147	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	12,000.
ROBYN FLANS 4201 TOPANGA CNY BLVD, #202 WOODLAND HILLS, CA 91364	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	1,500.
SEKOU BUNCH 22401 PLUMMER ST. CHATWORTH, CA 91311	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
THE ACTORS FUND 729 SEVENTH AVE, 10TH FLOOR NEW YORK, NY 10019	NONE	PC	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
THE ARTISTS' FEL 20 JAY STREET, 7TH FLOOR BROOKLYN, NY 11201	NONE	NC	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
<b>Total from continuation sheets</b>				<b>690,677.</b>



## THE HAVEN FOUNDATION

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**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THE AUTHORS LEAG 155 WATER ST #206 BROOKLYN, NY 11301	NONE	NC	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
BILL STEIGER 1398 BIRMINGHAM ST. ST PAUL, MN 55106	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	2,500.
BRITTANY SAVAGE 2647 WOODBURY DRIVE NASHVILLE, TN 37214	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
BRYAN W GALENTINE 103 GLENHAVEN COURT LEBANON, TN 37087	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
ELVIN SUTHERLAND 16469 CR3540 ADA, OK 74820	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	6,250.
GEOFFREY MCBRIDE 321 WORKMAN ROAD SYLVA, NC 28779	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	10,000.
GILLIAN PEDERSON KRAG 21 MAPLE AVE. LANSING, NY 14882	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	4,000.
GLORIA NEWTON 4118 SCENIC VIEW DRIVE PEGRAM, TN 37143	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
JAMES BLACKMON PO BOX 128432 NASHVILLE, TN 37212	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	2.
JENNIFER CARSON CA 1301 HILLSDALE DRIVE RICHARDSON, TX 75081	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	2,500.
<b>Total from continuation sheets</b>				

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
JOEL QUINONES 99 S CLINTON AVE. #16C BAY SHORE, NY 11706	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	1,000.
JOHN HERRICK 1802 SE 6TH AVENUE CAPE CORAL, FL 33990	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	18,000.
JOHN SURACI 205 E 95TH ST. APT 26A NEW YORK, NY 10128	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	12,500.
JOSEPH SPAMPINATO 4 DRIFTWOOD CIRCLE HARWICH, MA 02645	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	24,000.
JOY NEWTON 286 W GRANBY ROAD WEST GRANBY, CT 06090	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	2,500.
JULIAN G SIMINISKI 11684 VENTURA BLVD. #902 STUDIO CITY, CA 91604	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	2,500.
KAREN PHILPOT 2828 OLD HICKORY BLVD APT 2402 NASHVILLE, TN 37221	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
KENNETH W SPRINGS 917 MAPLE ST. KINGSPORT, TN 37660	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	24,000.
KEVIN KREIS 1407 COLINA BULLHEAD CITY, AZ 86442	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	6,000.
KOFI BURBRIDGE 3431 SAN ANTONIO DRIVE SNELLVILLE, GA 30039	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	12,000.
<b>Total from continuation sheets</b>				

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
LOCAL 802 MUSICIANS' ERF 322 WEST 48TH STREET NEW YORK, NY 10036	NONE	PC	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
MAGDALEN PIERRAKOS 40 OCEAN PARKWAY BROOKLYN, NY 11218	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	4,000.
MARCOS LOPEZ IGLESIAS 37445 PIPPIN PLACE PALMDALE, CA 93551	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	24,000.
MARISOL SANTANA 10 HILLIARD AVE. #5 EDGEWATER, NJ 07020	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	3,000.
MARK TELESKA 4963 BISMARCK PALM DRIVE BOYNTON BEACH, FL 33436	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	7,000.
MARY ELLEN CARROLL 465 WEST 23RD ST. APT 21 NEW YORK, NY 10011	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
MATTHEW LOVELL 3114 KNOBDALE ROAD NASHVILLE, TN 37214	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
MCKENNA HYDRICK 10107 CHAMPIONS CIRCLE FRANKLIN, TN 37064	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	18,900.
MELANIE NEILSON 6042 PUTNAM AVE.#1 RIDGEWOOD, NY 11385	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	2,500.
MUSIC HEALTH ALLIANCE 2021 RICHARD JONES ROAD, SUITE 160 NASHVILLE, TN 37215	NONE	NC	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
<b>Total from continuation sheets</b>				

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PEN AMERICAN CENTE 588 BROADWAY, SUITE 303 NEW YORK, NY 10012	NONE	PC	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
PENNY FRAMSTAD 9909 TOPANGA CANYON BLVD. BOX 152 CHATWORTH, CA 91311	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	11,400.
RAYMOND JACKSON, JR 307 WILLIAM STREET, #2C EAST ORGANGE, NJ 07017	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	1,800.
RICHARD SARTORE 24 ACORN AVE. CLIFTON PARK, NY 12065	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
ROGERIO BOCCATO 36 BRANDT TER YONKERS, NY 10710	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	2,000.
RORY HOFFMAN 4487 POST PLACE, UNIT 179 NASHVILLE, TN 37205	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
SAG FOUNDATION 247 W 54TH STREET & 8TH AVE NEW YORK, NY 10019	NONE	PC	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
SHELLY WADE 525 9TH AVE. 3B NY, NY 10018	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
SHIRLEY FERDINAND 614 WILD COTTON RD. ROSENBERG, TX 77471	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	6,000.
TERINA GILLETTE 1001S CHILHOWEE DRIVE KNOXVILLE, TN 37914	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	12,000.
<b>Total from continuation sheets</b>				

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**3 Grants and Contributions Paid During the Year (Continuation)**

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04-01-17

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
BENJAMIN SCHULTZ 2593 DUPLEX ROAD, APT C1 SPRING HILL, TN 37174	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	1,875.
CERF 535 STONE CUTTERS WAY, SUITE 202 MONTPELIER, VT 05601	NONE	NC	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
DAVID JONES 602 N 6TH ST., APT B NEWARK, NJ 07197	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	2,000.
GLENN BRANCA 237 W 14TH ST. #D1 NEW YORK, NY 10011	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
JAZZ FOUNDATION 322 WEST 48TH STREET, 6TH FL. NEW YORK, NY 10036	NONE	NC	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
Total SEE CONTINUATION SHEET(S) ▶ 3a				769,552.
b Approved for future payment				
NONE				
Total ▶ 3b				0.



## Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

- |          |  | Yes   | No |
|----------|--|-------|----|
| <b>1</b> | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c)(3) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?   |       |    |
| <b>a</b> | Transfers from the reporting foundation to a noncharitable exempt organization of:   |       |    |
|          | (1) Cash   | 1a(1) | X  |
|          | (2) Other assets   | 1a(2) | X  |
| <b>b</b> | Other transactions:  |       |    |
|          | (1) Sales of assets to a noncharitable exempt organization   | 1b(1) | X  |
|          | (2) Purchases of assets from a noncharitable exempt organization   | 1b(2) | X  |
|          | (3) Rental of facilities, equipment, or other assets   | 1b(3) | X  |
|          | (4) Reimbursement arrangements   | 1b(4) | X  |
|          | (5) Loans or loan guarantees   | 1b(5) | X  |
|          | (6) Performance of services or membership or fundraising solicitations   | 1b(6) | X  |
| <b>c</b> | Sharing of facilities, equipment, mailing lists, other assets, or paid employees   | 1c    | X  |
| <b>d</b> | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. |       |    |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

May the IRS discuss this return with the preparer shown below? See instr

☒ Yes    ☐ No

Signature of officer or trustee

Date \_\_\_\_\_

Title

ASST TREASURER

**Paid  
Preparer  
Use Only**

Print/Type preparer's name

~~Preparer's signature~~

Date

Check ☐ self-employed

PTIN
------

GIAMPAPA, JOSEPH

Firm's name ► **MARKS PANETH LLP**

Firm's address ► 685 THIRD AVENUE  
NEW YORK, NY 10017

P01073462

Firm's EIN ► 11-3518842

Phone no. 212 503-8800



## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
CLARITY DIVERSIFIED ALTERNATIVES PORTFOLIO LP K-1 CLARITY PRIVATE INCOME PORTFOLIO (CAYMAN) LP CLASS A K-1	6,718.	6,718.	
KCPS	2,760.	2,760.	
SANFORD BERNSTEIN	16,076.	16,076.	
US TRUST	134.	134.	
	29,136.	29,136.	
TOTAL TO PART I, LINE 3	54,824.	54,824.	

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
CLARITY DIVERSIFIED ALTERNATIVES	2,972.	0.	2,972.	2,972.	
JPMORGAN	196.	0.	196.	196.	
KCPS	41,606.	0.	41,606.	41,606.	
MADISON REALTY CAPITAL DEBT FUND III LP	11,608.	0.	11,608.	11,608.	
SANFORD BERNSTEIN	162,342.	0.	162,342.	162,342.	
US TRUST	26,451.	0.	26,451.	26,451.	
TO PART I, LINE 4	245,175.	0.	245,175.	245,175.	

FORM 990-PF	OTHER INCOME	STATEMENT	3
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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
CLARITY DIVERSIFIED ALTERNATIVES PORTFOLIO LP K-1 - ORDINARY LOSS	<342.>	<342.>	
CLARITY DIVERSIFIED ALTERNATIVES PORTFOLIO LP K-1 - RENTAL INCOME	2.	2.	
CLARITY DIVERSIFIED ALTERNATIVES PORTFOLIO LP K-1 - OTHER INCOME	20,682.	20,682.	
CLARITY PRIVATE INCOME PORTFOLIO (CAYMAN) LP CLASS A K-1	1,472.	1,472.	
CLARITY PRIVATE INCOME PORTFOLIO (CAYMAN) LP CLASS A K-1	<1,195.>	<1,195.>	
CLARITY PRIVATE INCOME PORTFOLIO (CAYMAN) LP CLASS A K-1	<354.>	<354.>	
GAIN FROM FOREIGN EXCHANGE	<7.>	<7.>	
CREDIT CARD REBATE	81.	81.	
TOTAL TO FORM 990-PF, PART I, LINE 11	20,339.	20,339.	

FORM 990-PF	LEGAL FEES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
COHEN TAUBER SPOEVACK & WAGNER	4,944.	1,285.		3,659.
TO FM 990-PF, PG 1, LN 16A	4,944.	1,285.		3,659.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENTS FEES	66,220.	66,220.		0.
TO FORM 990-PF, PG 1, LN 16C	66,220.	66,220.		0.

FORM 990-PF	TAXES		STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX WITHHELD CLARITY DIVERSIFIED ALTERNATIVES PORTFOLIO LP K-1 - FOREIGN TAX WITHHELD	2,299.	2,299.		0.
	125.	125.		0.
TO FORM 990-PF, PG 1, LN 18	2,424.	2,424.		0.

FORM 990-PF	OTHER EXPENSES		STATEMENT	7
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INSURANCE	1,994.	0.		1,994.
FILING FEE	750.	0.		750.
POSTAGE	507.	0.		507.
OFFICE EXPENSES	331.	0.		331.
CLARITY DIVERSIFIED ALTERNATIVES PORTFOLIO LP K-1 - OTHER EXPENSE	10,849.	10,849.		0.
MADISON REALTY CAPITAL DEBT FUND III LP	606.	606.		0.
CLARITY PRIVATE INCOME PORTFOLIO (CAYMAN) LP CLASS A K-1	330.	330.		0.
TO FORM 990-PF, PG 1, LN 23	15,367.	11,785.		3,582.

FORM 990-PF	CORPORATE STOCK		STATEMENT	8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
STOCKS - SCHEDULE ATTACHED	10,764,773.	11,455,480.
TOTAL TO FORM 990-PF, PART II, LINE 10B	10,764,773.	11,455,480.

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FORM 990-PF                      PART VIII - LIST OF OFFICERS, DIRECTORS                      STATEMENT                      9  
    TRUSTEES AND FOUNDATION MANAGERS

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NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
STEPHEN KING C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	DIRECTOR 1.00	0.	0.	0.
SUSAN GREENE C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	TREAS/ASST SECR/DIR 1.00	0.	0.	0.
MARK LEVENFUS C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	SECRETARY/ASST TREAS 1.00	0.	0.	0.
FREDRIC M. SANDERS C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	PRESIDENT 1.00	0.	0.	0.
ARTHUR B. GREENE C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	DIRECTOR 1.00	0.	0.	0.
DAVID RAPKIN C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	DIRECTOR 1.00	0.	0.	0.
JOHN MCELROY C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	DIRECTOR 1.00	0.	0.	0.
MARSHA DEFILIPPO C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	DIRECTOR 1.00	0.	0.	0.
CHUCK VERRILL C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	DIRECTOR 1.00	0.	0.	0.

TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII

0.	0.	0.
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FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION  
PART XV, LINES 2A THROUGH 2D

STATEMENT 10

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

MARGARET MOREHOUSE  
MARKS PANETH LLP, 685 THIRD AVE  
NEW YORK, NY 10017

TELEPHONE NUMBER

212-503-8800

FORM AND CONTENT OF APPLICATIONS

GENERAL REQUEST GIVING DETAIL AS TO STATUS OF GRANTEE AND PURPOSE OF GRANT  
OR GIFT

ANY SUBMISSION DEADLINES

APPLIC ARE ACCEP AT ANY TIME. NOTICE OF APPROVAL, REJECTION OR REQUEST FOR  
ADD'L INFO. SENT IN 2 MOS

RESTRICTIONS AND LIMITATIONS ON AWARDS

NO RESTRICTIONS AS TO GEOGRAPHICAL AREAS, CHARITABLE FIELD & EDUCATIONAL

THE HAVEN FOUNDATION  
EIN # 20-4356938  
2017 ATTACHMENT - 990 PF, pg 2, line 10b

Quantity	Security Description	Fed Tax Cost	Market Value
1194 076	SHS PRUDENTIAL GLOBAL REAL ESTATE FUND CL Z	29,983	28,944
450	SHS VANGUARD REAL ESTATE ETF	39,416	37,341
50000	SHS AMERICAN INTL GROUP INC SR UNSECD NT DTD 10/02/13 4 125% DUE 02/15/24	54,191	52,830
			779
50000	SHS APPLE INC SR UNSECD NT DTD 02/23/16 1 300% DUE 02/23/18	50,002	49,975
			231
50000	SHS BANK NEW YORK INC MELLON UNSECD MEDIUM TERM SR NT C04/15/24 @100 DTD 05/07/14 3 400% DUE 05/15/24	51,770	51,884
			217
50000	SHS BERKSHIRE HATHAWAY INC DEL SR UNSECD NT DTD 02/11/13 1 550% DUE 02/09/18	50,079	49,975
			306
50000	SHS CHEVRON CORP NEW SR UNSECD NT DTD 03/03/15 1 961% DUE 03/03/20	49,504	49,824
			321
50000	SHS CITIGROUP INC UNSECD SR NT DTD 04/27/15 1 700% DUE 04/27/18	49,601	49,951
			151
3884 573	SHS CMG ULTRA SHORT TERM BOND FUND	35,000	35,000
			44
50000	SHS COMCAST CORP NEW CO GTD NT DTD 06/18/09 5 700% DUE 07/01/19	54,047	52,632
			1,425
50000	SHS EOG RES INC SR UNSECD NT CALL 01/01/25 @100 DTD 03/17/15 3 150% DUE 04/01/25	51,433	49,814
			394
50000	SHS GENERAL ELEC CAP CORP SR UNSECD MTN DTD 01/08/13 3 100% DUE 01/09/23	51,112	50,784
			741
50000	SHS GOLDMAN SACHS GROUP INC SR UNSECD NT DTD 01/24/12 5 750% DUE 01/24/22	56,010	55,442
			1,254
50000	SHS JOHNSON & JOHNSON SR UNSECD NT CALL 01/01/23 @100 DTD 03/01/16 2 050% DUE 03/01/23	50,792	49,047
			342
50000	SHS LILLY ELI & CO SR UNSECD NT DTD 02/25/14 1 950% DUE 03/15/19	50,680	49,959
			287
50000	SHS METLIFE INC UNSECD SR NT DTD 04/10/14 3 600% DUE 04/10/24	53,177	52,309
			405
50000	SHS MICROSOFT CORP UNSECD SR NT DTD 08/08/16 1 550% DUE 08/08/21	49,784	48,684
			308
50000	SHS ORACLE CORP SR UNSECD NT CALL 04/08/24 @100 DTD 07/08/14 3 400% DUE 07/08/24	50,831	51,846
			817
50000	SHS UNITEDHEALTH GROUP INC SR UNSECD NT DTD 07/23/15 3 350% DUE 07/15/22	51,987	51,632
			772
50000	SHS WAL-MART STORES INC SR UNSECD NT DTD 10/02/13 1 950% DUE 12/15/18	50,615	50,011
			43
2199 228	SHS AQR DIVERSIFIED ARBITRAGE FUND CL I	20,959	20,057
1744 421	SHS AQR MANAGED FUTURES STRATEGY FUND CL I	17,741	16,101
1588 491	SHS ARBITRAGE FUND CL I	20,905	20,777
1709 881	SHS BLACKROCK GLOBAL LONG/SHORT EQUITY FUND INSTL CL	17,954	20,724
1796 617	SHS LEGG MASON BW ABSOLUTE RETURN OPPORTUNITIES FUND CL I	20,931	21,739
1637 166	SHS NEUBERGER BERMAN LONG SHORT FUND INSTL CL	20,939	23,984
1376 469	SHS BOSTON PARTNERS LONG/SHORT RESH FUND INSTL CL	20,964	23,414
9985 574	SHS CREDIT SUISSE COMMODITY-RETURN STRATEGY FUND CL I	48,230	50,128
			1,382
24	SHS ACCENTURE PLC CL A COM IRELAND	3,296	3,674
60	SHS COCA COLA EUROPEAN PARTNERS PLC COM UNITED KINGDOM	2,623	2,391
53	SHS INGERSOLL-RAND CO LTD COM IRELAND	4,251	4,727
43	SHS MEDTRONIC PLC COM IRELAND	3,254	3,472
			20
77	SHS CHUBB LTD COM SWITZERLAND	6,989	11,252
			55
29	SHS LYONDELLBASELL INDUSTRIES NV CL A COM NETHERLANDS	2,491	3,199
34	SHS BROADCOM LTD COM SINGAPORE	6,693	8,735
271	SHS AT&T INC COM	10,572	10,536
78	SHS ABBVIE INC COM	4,779	7,543
121	SHS ALTRIA GROUP INC COM	3,984	8,641
			80
64	SHS AMERICAN ELEC PWR INC COM	3,234	4,708
12	SHS AMERICAN TOWER CORP COM	1,731	1,712
			8
104	SHS APPLE INC COM	7,620	17,600
67	SHS AUTOMATIC DATA PROCESSING INC COM	3,487	7,852
			42
84	SHS BB&T CORP COM	3,263	4,176

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17	SHS	BLACKROCK INC CL A	3,818	8,733
21	SHS	BOEING CO COM	4,182	6,193
38	SHS	BRISTOL MYERS SQUIBB CO COM	2,531	2,329
67	SHS	CME GROUP INC COM	3,713	9,785
				235
80	SHS	CMS ENERGY CORP COM	2,288	3,784
62	SHS	CVS HEALTH CORP COM	4,703	4,495
69	SHS	CHEVRON CORP COM	7,163	8,638
287	SHS	CISCO SYS INC COM	6,053	10,992
350	SHS	COMCAST CORP NEW CL A COM	8,579	14,018
26	SHS	CROWN CASTLE INTL CORP REITS	2,228	2,886
24	SHS	CUMMINS INC COM	3,845	4,239
35	SHS	DIGITAL RLTY TR INC REITS	3,369	3,987
				33
39	SHS	DISNEY WALT CO COM DISNEY	4,033	4,193
				33
27	SHS	DOMINION ENERGY INC COM	1,421	2,189
81	SHS	DOWDUPONT INC COM	4,154	5,769
60	SHS	DUKE RLTY CORP COM NEW	1,653	1,633
18	SHS	EQUITY LIFESTYLE PPTYS INC REITS	1,598	1,602
				9
9	SHS	ESSEX PPTY TR INC COM	2,098	2,172
				16
54	SHS	EVERSOURCE ENERGY COM	2,652	3,412
196	SHS	EXXON MOBIL CORP COM	16,202	16,393
54	SHS	GENERAL DYNAMICS CORP COM	8,038	10,986
93	SHS	GENERAL MLS INC COM	4,801	5,514
93	SHS	HOME DEPOT INC COM	5,849	17,626
82	SHS	HONEYWELL INTL INC COM	5,531	12,576
200	SHS	INTEL CORP COM	5,534	9,232
25	SHS	INTERNATIONAL PAPER CO COM	1,352	1,449
202	SHS	J P MORGAN CHASE & CO COM	9,402	21,602
148	SHS	JOHNSON & JOHNSON COM	11,374	20,679
32	SHS	KLA-TENCOR CORP COM	3,332	3,362
85	SHS	KELLOGG CO COM	5,449	5,778
33	SHS	KIMBERLY CLARK CORP COM	2,676	3,982
				32
29	SHS	LILLY ELI & CO COM	2,387	2,449
36	SHS	LOCKHEED MARTIN CORP COM	7,010	11,558
111	SHS	MARSH & MCLENNAN COS INC COM	4,073	9,034
40	SHS	MCDONALDS CORP COM	3,632	6,885
201	SHS	MERCK & CO INC NEW COM	8,694	11,310
				96
312	SHS	MICROSOFT CORP COM	8,589	26,088
31	SHS	NEXTERA ENERGY INC COM	2,189	4,842
56	SHS	NORTHERN TR CORP COM	4,110	5,594
				24
65	SHS	PNC FINL SVCS GROUP INC COM	4,001	9,379
22	SHS	PARKER HANNIFIN CORP COM	1,939	4,391
86	SHS	PEPSICO INC COM	8,427	10,313
				69
303	SHS	PFIZER INC COM	7,877	10,975
115	SHS	PHILIP MORRIS INTL INC COM	9,781	12,150
				123
47	SHS	PRICE T ROWE GROUP INC COM	3,266	4,932
66	SHS	PROCTER & GAMBLE CO COM	4,572	6,064
22	SHS	PUBLIC STORAGE INC COM (REIT)	3,301	4,598
58	SHS	SONOCO PRODS CO COM	2,785	3,082
29	SHS	STANLEY BLACK & DECKER INC COM	3,562	4,921
109	SHS	SUNCOR ENERGY INC NEW COM CANADA	3,631	4,002
				22
52	SHS	TJX COS INC NEW COM	3,740	3,976
99	SHS	TEXAS INSTRS INC COM	3,524	10,340
34	SHS	3M CO COM	5,977	8,003
57	SHS	TIME WARNER INC NEW COM	4,839	5,214
103	SHS	US BANCORP DEL COM NEW	3,394	5,519
				31
80	SHS	UNION PAC CORP COM	8,814	10,728
25	SHS	UNITED TECHNOLOGIES CORP COM	3,087	3,189
27	SHS	UNITEDHEALTH GROUP INC COM	3,060	5,952
60	SHS	VALERO ENERGY CORP NEW COM	4,314	5,515

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73	SHS	WEC ENERGY GROUP INC COM	3,585	4,849
80	SHS	WALMART INC COM	6,000	7,900
				41
70	SHS	WASTE MGMT INC DEL COM	2,714	6,041
123	SHS	WELLS FARGO & CO NEW COM	4,268	7,462
85	SHS	ALLERGAN PLC COM IRELAND	18,095	13,904
150	SHS	IHS MARKIT LTD COM BERMUDA	4,932	6,773
66	SHS	MEDTRONIC PLC COM IRELAND	4,910	5,330
				30
92	SHS	NIELSEN HLDGS PLC COM UNITED KINGDOM	3,743	3,349
60	SHS	AFFILIATED MANAGERS GROUP COM	9,248	12,315
16	SHS	ALPHABET INC CL A COM	12,847	16,854
24	SHS	AMAZON COM INC COM	9,729	28,067
49	SHS	AMERICAN EXPRESS CO COM	2,939	4,866
50	SHS	AMERICAN TOWER CORP COM	4,631	7,134
				35
77	SHS	ANHEUSER BUSCH INBEV SA/NV SPONSORED ADR BELGIUM	9,183	8,590
151	SHS	APPLE INC COM	12,265	25,554
61	SHS	CARMAX INC COM	2,614	3,912
84	SHS	CHENIERE ENERGY INC NEW COM	4,100	4,523
19	SHS	CHEVRON CORP COM	2,081	2,379
295	SHS	CITIGROUP INC NEW COM	15,950	21,951
22	SHS	DANAHER CORP DEL COM	1,886	2,042
				3
122	SHS	DANONE SPONSORED ADR FRANCE	1,492	2,049
22	SHS	DIAGEO PLC SPONSORED ADR NEW UNITED KINGDOM	2,895	3,213
163	SHS	EBAY INC COM	5,715	6,152
69	SHS	FACEBOOK INC CL A COM	8,087	12,176
11	SHS	FACTSET RESH SYS INC COM	1,867	2,120
1242	SHS	GENERAL ELEC CO COM	27,127	21,673
				149
86	SHS	GILEAD SCIENCES INC COM	5,629	6,161
78	SHS	GOLDMAN SACHS GROUP INC COM	14,104	19,871
22	SHS	GROUP 1 AUTOMOTIVE INC COM	1,308	1,561
194	SHS	J P MORGAN CHASE & CO COM	9,643	20,746
214	SHS	LENNAR CORP COM	8,517	13,533
236	SHS	LIBERTY FORMULA ONE SER C COM	9,291	8,062
316	SHS	MORGAN STANLEY COM	12,171	16,581
19	SHS	NIKE INC CL B	973	1,188
				4
0		PEPSICO INC COM	-	-
				11
119	SHS	POLARIS INDS INC COM	10,507	14,755
56	SHS	PRUDENTIAL FINL INC COM	5,761	6,439
128	SHS	SCHLUMBERGER LTD COM CURACAO	10,457	8,626
				52
46	SHS	SHIRE PLC ADR JERSEY	7,373	7,136
107	SHS	STARBUCKS CORP COM	5,820	6,145
32	SHS	SYNCHRONY FINL COM	997	1,236
172	SHS	TEMPUR SEALY INTL INC COM	8,762	10,783
134	SHS	TWENTY-FIRST CENTY FOX INC CL B COM	3,742	4,572
69	SHS	UNION PAC CORP COM	5,994	9,253
14	SHS	UNITED PARCEL SVC INC CL B	1,173	1,668
64	SHS	UNITED TECHNOLOGIES CORP COM	6,557	8,164
110	SHS	VISA INC CL A COM	9,978	12,542
85	SHS	WORKDAY INC CL A COM	8,704	8,648
1,234 00	SHS	SPDR S&P 500 ETF TR TR UNIT	295,623	329,305
1,230 00	SHS	SPDR GOLD TR GOLD SHS	144,296	152,090
1,151 00	SHS	PIMCO ETF TR 0-5 YR HIGH YIELD CORP BD INDEX EXCHANGE-TRADED FD	115,848	115,652
4,078 00	SHS	ISHARES TR CURRENCY HEDGED MSCI ACWI EX-US ETF	98,666	109,576
977 00	SHS	ISHARES INC MSCI MEXICO ETF	55,052	48,156
252,000 00	SHS	UNITED STATES TREAS NTS TRES TIPS 0 125% 04/15/21 B/E DTD 04/15/16	263,763	261,204
70,000 00	SHS	GOLDMAN SACHS GROUP INC MEDIUM TERM NTS FXD RATE FLTG RT NTS SER D 3 917% 11/29/23 B/E DTD 11/29/13	71,030	72,858
71,000 00	SHS	WELLS FARGO & CO NEW MEDIUM TERM SR NTS FLTG RT NTS SER N 3 349% 07/22/20 B/E DTD 07/22/15	71,174	72,028
70,000 00	SHS	GOLDMAN SACHS GROUP INC FLTG RT NTS 3 637% 04/23/20 B/E DTD 01/23/15 CLB	70,453	71,079
17,505 21	SHS	DOUBLELINE CORE FIXED INCOME FUND CLASS I	191,199	192,032
13,659 78	SHS	BLACKROCK FLOATING RATE INCOME PORTFOLIO INST *C/C* 09260B747 EFF 09/14/18	140,125	139,193
11,235 00	SHS	PIMCO PREFERRED AND CAPITAL SECURITIES FUND INSTL CL	115,716	118,192
12,152 42	SHS	PRINCIPAL REAL ESTATE DEBT INCOME FUND CLASS I	118,000	116,056



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10,560 75	SHS	STONE HARBOR EMERGING MARKETS DEBT FD INST'L CL	113,025	112,155
7,747 35	SHS	KOPERNIK GLOBAL ALL-CAP FUND INSTITUTIONAL CLASS	72,974	81,580
3,793 00	SHS	ALPS/KOTAK INDIA GROWTH FUND CLASS I	44,024	57,692
1,548 92	SHS	VOYA RUSSIA FUND CLASS I	41,025	46,282
859 00	SHS	CLARITY PRIVATE INCOME PORTFOLIO	86,052	85,937
93,531 00	SHS	MADISON DEBT FUND III LP	114,841	110,831
4,908 00	SHS	CLARITY DIV ALTERNATIVES PORT LP	478,837	492,864
136,193 367	SHS	BERNSTEIN INTERMEDIATE DURATION PORTFOLIO(1)	\$ 1,881,944	\$ 1,797,752
				\$ 1,245
213,673 373	SHS	AB GLOBAL BOND FUND- ADV(1)	\$ 1,778,226	\$ 1,794,856
				\$ 3,740
44,227 814	SHS	AB LIMITED DURATION HIGH INCOME PORT- ADVISOR CLASS(1)	\$ 465,584	\$ 456,873
				\$ 1,606
22,152 469	SHS	ALLIANCEBERNSTEIN ALL MARKET R CLASS 1(1)	\$ 219,981	\$ 196,049
11,673 325	SHS	BERNSTEIN INTERNATIONAL PORTFOLIO(1)	\$ 178,271	\$ 215,256
16,328 423	SHS	AB INTERNATIONAL STRATEGIC EQUITIES PORTFOLIO - ADV CL(1)	\$ 193,881	\$ 208,677
11,210 197	SHS	AB INTERNATIONAL SMALL CAP PORTFOLIO - ADV CL(1)	\$ 131,282	\$ 143,715
3,615 274	SHS	BERNSTEIN EMERGING MARKETS PORTFOLIO(1)	\$ 100,019	\$ 117,641
5,437 273	SHS	AB DISCOVERY GRWTH FUND- ADV	\$ 52,837	\$ 62,964
2,698 187	SHS	AB DISCOVERY VALUE FUND- ADV	\$ 56,486	\$ 62,868
5,295 016	SHS	AB SMALL CAP CORE PORTFOLIO ADV CL	\$ 55,583	\$ 62,746
312 000	SHS	APPLE INC	\$ 35,611	\$ 52,800
48 000	SHS	ALPHABET INC-CL C	\$ 34,755	\$ 50,227
260 000	SHS	FACEBOOK INC-A	\$ 27,152	\$ 45,880
1,321 000	SHS	BANK OF AMERICA CORP	\$ 21,462	\$ 38,996
337 000	SHS	JPMORGAN CHASE & CO	\$ 29,550	\$ 36,039
391 000	SHS	MICROSOFT CORP	\$ 18,587	\$ 33,446
217 000	SHS	JOHNSON & JOHNSON	\$ 23,394	\$ 30,319
491 000	SHS	WELLS FARGO & COMPANY	\$ 26,079	\$ 29,789
734 000	SHS	COMCAST CORP-CLASS A	\$ 21,858	\$ 29,397
153 000		HOME DEPOT INC	\$ 14,229	\$ 28,998
580 000	SHS	ORACLE CORP	\$ 24,429	\$ 27,422
237 000	SHS	VISA INC - CLASS A SHARES	\$ 14,256	\$ 27,023
353 000	SHS	ALTRIA GROUP INC	\$ 21,201	\$ 25,208
				\$ 273
125 000	SHS	AETNA INC	\$ 12,880	\$ 22,549
141 000	SHS	NORFOLK SOUTHERN CORP	\$ 16,756	\$ 20,431
168 000	SHS	PEPSICO INC	\$ 16,858	\$ 20,147
				\$ 155
217 000	SHS	PROCTER & GAMBLE CO/THE	\$ 19,435	\$ 19,938
66 000	SHS	BOEING CO/THE	\$ 15,976	\$ 19,464
185 000	SHS	TEXAS INSTRUMENTS INC	\$ 14,073	\$ 19,321
125 000	SHS	HONEYWELL INTERNATIONAL INC	\$ 14,505	\$ 19,170
403 000	SHS	INTEL CORP	\$ 12,761	\$ 18,602
73 000	SHS	GOLDMAN SACHS GROUP INC	\$ 17,028	\$ 18,597
57 000	SHS	BIOGEN INC	\$ 13,084	\$ 18,158
81 000	SHS	UNITEDHEALTH GROUP INC	\$ 9,658	\$ 17,857
310 000	SHS	PROGRESSIVE CORP	\$ 10,942	\$ 17,459
237 000	SHS	AMERICAN ELECTRIC POWER	\$ 14,527	\$ 17,436
170 000	SHS	MID-AMERICA APARTMENT COMM	\$ 17,889	\$ 17,095
55 000	SHS	NORTHROP GRUMMAN CORP	\$ 10,094	\$ 16,880
236 000	SHS	DOWDUPONT INC	\$ 13,482	\$ 16,808
219 000	SHS	TJX COMPANIES INC	\$ 16,298	\$ 16,745
164 000	SHS	WAL-MART STORES INC	\$ 13,135	\$ 16,195
				\$ 93
196 000	SHS	TYSON FOODS INC-CL A	\$ 12,937	\$ 15,890
150 000	SHS	ALLSTATE CORP	\$ 10,574	\$ 15,707
				\$ 69
144 000	SHS	EOG RESOURCES INC	\$ 10,796	\$ 15,539
185 000	SHS	EXXON MOBIL CORP	\$ 15,298	\$ 15,473
192 000	SHS	ROSS STORES INC	\$ 12,283	\$ 15,408
726 000	SHS	HP INC	\$ 8,181	\$ 15,253
				\$ 113
255 000	SHS	AMERICAN INTERNATIONAL GROUP	\$ 14,015	\$ 15,193
233 000	SHS	EDISON INTERNATIONAL	\$ 15,911	\$ 14,735
				\$ 162
218 000	SHS	XILINX INC	\$ 9,877	\$ 14,698
131 000	SHS	CROWN CASTLE INTL CORP	\$ 13,649	\$ 14,542
77 000	SHS	COSTCO WHOLESALE CORP	\$ 11,774	\$ 14,331
175 000	SHS	EATON CORP PLC	\$ 11,256	\$ 13,827
351 000	SHS	SYNCHRONY FINANCIAL	\$ 9,745	\$ 13,552

THE HAVEN FOUNDATION  
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373 000	SHS	PFIZER INC	\$	11,560	\$	13,510
137 000	SHS	QUEST DIAGNOSTICS INC	\$	14,355	\$	13,493
188 000	SHS	GILEAD SCIENCES INC	\$	16,071	\$	13,468
119 000	SHS	EDWARDS LIFESCIENCES	\$	12,440	\$	13,412
249 000	SHS	US BANCORP	\$	10,891	\$	13,341
					\$	84
101 000	SHS	FISERV INC	\$	6,902	\$	13,244
78 000	SHS	S&P GLOBAL INC	\$	11,619	\$	13,213
65 000	SHS	CIGNA CORP	\$	8,604	\$	13,201
193 000	SHS	CERNER CORP	\$	12,808	\$	13,006
505 000	SHS	NISOURCE INC	\$	12,280	\$	12,963
224 000	SHS	MAGNA INTERNATIONAL INC	\$	11,214	\$	12,694
188 000	SHS	MARATHON PETROLEUM CORP	\$	9,918	\$	12,404
322 000	SHS	CISCO SYSTEMS INC	\$	10,277	\$	12,333
169 000	SHS	ZOETIS INC	\$	10,640	\$	12,175
78 000	SHS	MCKESSON CORP	\$	14,789	\$	12,164
					\$	32
188 000	SHS	NIKE INC -CL B	\$	10,393	\$	11,759
					\$	47
161 000	SHS	CDW CORP/DE	\$	9,931	\$	11,188
262 000	SHS	DEVON ENERGY CORPORATION	\$	9,217	\$	10,847
47 000	SHS	EVEREST RE GROUP LTD	\$	10,255	\$	10,399
176 000	SHS	CBS CORP-CLASS B NON VOTING	\$	11,296	\$	10,384
					\$	37
180 000	SHS	DELTA AIR LINES INC	\$	7,486	\$	10,080
57 000	SHS	MCDONALD'S CORP	\$	8,154	\$	9,811
52 000	SHS	RAYTHEON COMPANY	\$	8,864	\$	9,768
89 000	SHS	WALT DISNEY CO/THE	\$	8,930	\$	9,568
					\$	95
170 000	SHS	MERCK & CO INC	\$	9,745	\$	9,566
					\$	99
104 000	SHS	OSHKOSH CORP	\$	5,714	\$	9,453
140 000	SHS	SCHLUMBERGER LTD	\$	10,525	\$	9,435
					\$	90
198 000	SHS	HESS CORP	\$	10,972	\$	9,399
116 000	SHS	MEDTRONIC PLC	\$	9,849	\$	9,367
					\$	64
308 000	SHS	XEROX CORP	\$	8,287	\$	8,978
					\$	77
137 000	SHS	T-MOBILE US INC	\$	7,071	\$	8,701
5 000	SHS	PRICELINE GROUP INC/THE	\$	4,678	\$	8,689
71 000	SHS	KIMBERLY-CLARK CORP	\$	9,252	\$	8,567
					\$	82
223 000	SHS	EBAY INC	\$	5,898	\$	8,416
192 000	SHS	FNF GROUP	\$	6,467	\$	7,534
38 000	SHS	L3 TECHNOLOGIES INC	\$	5,618	\$	7,518
41 000	SHS	ADOBE SYSTEMS INC	\$	4,553	\$	7,185
18 000	SHS	INTUITIVE SURGICAL INC	\$	3,973	\$	6,569
1,210 000	SHS	NOKIA CORP-SPON ADR	\$	7,762	\$	5,639
			\$	10,764,773	\$	11,455,480