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Form **990-PF** C&E 981

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0052
2017
Open to Public Inspection

For calendar year 2017 or tax year beginning , 2017, and ending , 20

Name of foundation: J D BERGMAN CHARITABLE FOUNDATION 23-58411		A Employer identification number: 20-3749879
Number and street (or P O box number if mail is not delivered to street address): 108 E OGDEN AVENUE	Room/suite	B Telephone number (see instructions): (630) 654-2282
City or town, state or province, country, and ZIP or foreign postal code: HINSDALE, IL 60521-3874		C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 2,748,404

J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ [X] if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments	18,121	18,121		
	4	Dividends and interest from securities	104,645	104,645		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	118,051			
	b	Gross sales price for all assets on line 6a 1,335,537				
	7	Capital gain net income (from Part IV, line 2)		118,051		
	8	Net short-term capital gain			5,792	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	240,817	240,817	5,792	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule) STM109	1,975			1,975
	17	Interest				
	18	Taxes (attach schedule) (see instructions) STM110	26			26
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule) STM103	19,194			19,194
	24	**Total operating and administrative expenses.** Add lines 13 through 23	21,195	0		21,195
	25	Contributions, gifts, grants paid	213,900			213,900
	26	**Total expenses and disbursements.** Add lines 24 and 25	235,095	0		235,095
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	5,722			
	b	**Net investment income** (if negative, enter -0-)		240,817		
	c	**Adjusted net income** (if negative, enter -0-)			5,792	

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Part II Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets				
1	Cash - non-interest-bearing	142,400	222,545	222,545
2	Savings and temporary cash investments			
3	Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments - U S and state government obligations (attach schedule)			
b	Investments - corporate stock (attach schedule) STM137	2,465,182	2,390,759	2,525,859
c	Investments - corporate bonds (attach schedule)			
11	Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
12	Investments - mortgage loans			
13	Investments - other (attach schedule)			
14	Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	2,607,582	2,613,304	2,748,404
Liabilities				
17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances				
	Foundations that follow SFAS 117, check here ▶ [X] and complete lines 24 through 26, and lines 30 and 31.			
24	Unrestricted	2,607,582	2,613,304	
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [ ] and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds			
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	**Total net assets or fund balances** (see instructions)	2,607,582	2,613,304	
31	**Total liabilities and net assets/fund balances** (see instructions)	2,607,582	2,613,304	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,607,582
2	Enter amount from Part I, line 27a	2	5,722
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	2,613,304
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,613,304

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See STM134			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a	1,335,537		1,217,486	118,051
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				118,051
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 }	2	118,051
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in Part I, line 8	3	5,792

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	230,490	2,611,550	0.088258
2015	221,484	2,806,267	0.078925
2014	153,248	1,983,551	0.077259
2013	98,789	1,901,403	0.051956
2012	25,066	684,316	0.036629

2	**Total** of line 1, column (d)	2	0.333027
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	0.066605
4	Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	4	2,703,446
5	Multiply line 4 by line 3	5	180,063
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	2,408
7	Add lines 5 and 6	7	182,471
8	Enter qualifying distributions from Part XII, line 4	8	235,095

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

3

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ______ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b	1	2,408
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations, enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	2	0
3	Add lines 1 and 2	3	2,408
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	2,408
6	Credits/Payments		
a	2017 estimated tax payments and 2016 overpayment credited to 2017 — 6a		
b	Exempt foreign organizations - tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	2,408
10	**Overpayment.** *If line 7 is more than the total of lines 5 and 8, enter the* ***amount overpaid*** ▶	10	
11	Enter the amount of line 10 to be **Credited to 2018 estimated tax** ▶ ______ **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition	1b		X
	If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ______ **(2)** On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by *General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • *By language in the governing instrument, or* • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered See instructions ▶ IL			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G*? If "No," attach explanation	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the tax year beginning in 2017? See instructions for Part XIV)? If "Yes," complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

2

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
	Website address ▶ N/A			

14 The books are in care of ▶ WILLIAM A PARRILLO Telephone no ▶ 847-384-9515
Located at ▶ 16 N CLIFTON AVE, PARK RIDGE, IL ZIP+4 ▶ 60068

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041-check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year, did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		
	Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ______, ______, ______, ______			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2017 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year, did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? See instructions ☐ Yes ☒ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance? See instructions	5b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945-5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		X
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No			
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JAY D BERGMAN 108 E OGDEN AVENUE, IL 60521	CEO 1.00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over $50,000 ▶				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NOT APPLICABLE	0
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	0
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	2,626,723
b	Average of monthly cash balances	1b	117,892
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	2,744,615
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	2,744,615
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	41,169
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	2,703,446
6	**Minimum investment return.** Enter 5% of line 5	6	135,172

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ► ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	135,172
2a	Tax on investment income for 2017 from Part VI, line 5	2a	2,408		
b	Income tax for 2017 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	2,408
3	Distributable amount before adjustments Subtract line 2c from line 1			3	132,764
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	132,764
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	132,764

Part XII ***Qualifying Distributions*** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	235,095
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	235,095
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b See instructions	5	2,408
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	232,687

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1	Distributable amount for 2017 from Part XI, line 7				132,764
2	Undistributed income, if any, as of the end of 2017				
a	Enter amount for 2016 only				
b	Total for prior years ______, ______, ______				
3	Excess distributions carryover, if any, to 2017				
a	From 2012				
b	From 2013				
c	From 2014 54,387				
d	From 2015 82,146				
e	From 2016 100,858				
f	**Total** of lines 3a through e	237,391			
4	Qualifying distributions for 2017 from Part XII, line 4 ▶ $ 235,095				
a	Applied to 2016, but not more than line 2a				
b	Applied to undistributed income of prior years (Election required - see instructions)				
c	Treated as distributions out of corpus (Election required - see instructions)				
d	Applied to 2017 distributable amount				132,764
e	Remaining amount distributed out of corpus	102,331			
5	Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6	**Enter the net total of each column as indicated below:**				
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5	339,722			
b	Prior years' undistributed income Subtract line 4b from line 2b				
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b Taxable amount - see instructions				
e	Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount - see instructions				
f	Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018				0
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8	Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions)				
9	**Excess distributions carryover to 2018.** Subtract lines 7 and 8 from line 6a	339,722			
10	Analysis of line 9				
a	Excess from 2013				
b	Excess from 2014 54,387				
c	Excess from 2015 82,146				
d	Excess from 2016 100,858				
e	Excess from 2017 102,331				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2017	(b) 2016	(c) 2015	(d) 2014	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

JAY D BERGMAN

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NOT APPLICABLE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc , to individuals or organizations under other conditions, complete items 2a, b, c, and d See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
UNIVERSITY OF ST FRANCIS JOLIET, IL			GENERAL	8,200
ROSARY HIGH SCHOOL AURORA, IL			GENERAL	3,000
CENTER FOR DISABILITY SERVICES JOLIET, IL			GENERAL	1,000
CASA OF WILL COUNTY JOLIET, IL			GENERAL	2,500
JOLIET AREA COMM HOSPICE JOLIET, IL			GENERAL	2,500
BIG BROTHERS BIG SISTERS OF WILL CO JOLIET, IL			GENERAL	1,000
WILL COUNTY CHILDREN ADVOCACY CTR JOLIET, IL			GENERAL	1,000
CHICAGO ZOOLOGICAL SOCIETY BROOKFIELD, IL			GENERAL	35,200
Total ▶ 3a				
b *Approved for future payment*				
Total ▶ 3b				

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
WILL COUNTY HUMANE SOCIETY JOLIET, IL			GENERAL	500
WEISBECKER SCHOLARSHIP FUND-ISU NORMAL, IL			GENERAL	3,000
AMERICAN RED CROSS CHICAGO, IL			GENERAL	15,000
COMMUNITY FNDTN OF WILL COUNTY JOLIET, IL			GENERAL	11,000
UNITED WAY OF WILL COUNTY JOLIET, IL			GENERAL	5,500
LEWIS UNIVERSITY ROMEOVILLE, IL			GENERAL	6,000
CHILDERGUILD NEW LENOX, IL			GENERAL	5,000
GUARDIAN ANGEL COMMITTEE JOLIET, IL			GENERAL	250
Total . . . . ▶ 3a				
b *Approved for future payment*				
Total . . . . ▶ 3b				

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
PRESCENCE HEALTH FOUNDATION JOLIET, IL			GENERAL	500
VISITATION AND AID SOCIETY JOLIET, IL			GENERAL	1,000
SAFE HAVEN FOUNDATION CHICAGO, IL			GENERAL	2,500
RIALTO THEATRE FOUNDATION JOLIET, IL			GENERAL	5,000
SISTERS OF ST FRANCIS JOLIET, IL			GENERAL	500
THE SEMINAR NETWORK TRUST ALEXANDRIA, VA			GENERAL	100,000
HOLLY CLUB JOLIET, IL			GENERAL	2,500
WILL GRUNDY MEDICAL CLINIC JOLIET, IL			GENERAL	250
Total ▶ 3a				
b *Approved for future payment*				
Total ▶ 3b				

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ILLINOIS FAMILY INSTITUTE MOKENA, IL				1,000
Total . . . . . . ▶ 3a				213,900
b *Approved for future payment*				
Total . . . . . . ▶ 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	(b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	(d) Amount	(e) Related or exempt function income (See instructions.)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	18,121	
4 Dividends and interest from securities			14	104,605	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				122,726	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 122,726

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions.)
04	NOT APPLICABLE

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

JAY D BERGMAN — 11/6/18 — CEO

Signature of officer or trustee — Date — Title

May the IRS discuss this return with the preparer shown below? See instructions ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
William A Parrillo	William A Parrillo	10-30-2018		P01069803

Firm's name ▶ WILLIAM A PARRILLO LTD — Firm's EIN ▶

Firm's address ▶ 16 N CLIFTON AVE, Park Ridge IL 60068 — Phone no 847-384-9515

Federal Supporting Statements

2017 PG01

Name(s) as shown on return

J D BERGMAN CHARITABLE FOUNDATION 23-58411

FEIN

20-3749879

FORM 990PF - PART II - LINE 10(B)
INVESTMENTS: CORPORATE STOCK SCHEDULE

STATEMENT #137

CATEGORY	BOY	BOOK VALUE	EOY FMV
NORTHERN TRUST INVESTMENT ACCT	2,465,182	2,390,759	2,525,859
TOTALS	**2,465,182**	**2,390,759**	**2,525,859**

Federal Supporting Statements

2017 PG01

Name(s) as shown on return: J D BERGMAN CHARITABLE FOUNDATION 23-58411

Your Social Security Number: 20-3749879

FORM 990PF - PART I - LINE 23 - OTHER EXPENSES SCHEDULE

STATEMENT #103~

DESCRIPTION	REVENUE AND EXPENSES	NET INVESTMENT	ADJUSTED NET INCOME	CHARITABLE PURPOSE
ILLINOIS ANNUAL FILING FEES	0	0	0	0
BANK FEES	0	0	0	0
SECURITIES ACCOUNT FEES	19,194	0	0	19,194
MISCELLANEOUS EXPENSE	0	0	0	0
TOTALS	19,194	0	0	19,194

PG01

FORM 990PF - PART I - LINE 16(C) - OTHER PROFESSIONAL FEES SCHEDULE

STATEMENT #109~

DESCRIPTION	REVENUE AND EXPENSES	NET INVESTMENT	ADJUSTED NET INCOME	CHARITABLE PURPOSE
MANAGEMENT/ACCOUNTING FEES	1,975	0	0	1,975
TOTALS	1,975	0	0	1,975

Federal Supporting Statements

2017 PG01

Name(s) as shown on return

J D BERGMAN CHARITABLE FOUNDATION 23-58411

Your Social Security Number

20-3749879

FORM 990PF - PART I - LINE 18 - TAXES SCHEDULE

STATEMENT #110~

DESCRIPTION	REVENUE AND EXPENSES	NET INVESTMENT	ADJUSTED NET INCOME	CHARITABLE PURPOSE
FEDERAL TAXES	26	0	0	26
TOTALS	26	0	0	26

Federal Supporting Statements

2017 PG01

Name(s) as shown on return: J D BERGMAN CHARITABLE FOUNDATION 23-58411

Your Social Security Number: 20-3749879

FORM 990-PF - PART IV - CAPITAL GAINS AND LOSSES INFORMATION (OVERFLOW)

STATEMENT #134~

DESCRIPTION	P-PURCHASE D-DONATION	DATE ACQUIRED	DATE SOLD	SALES PRICE	DEPRECIATION	COST OR OTHER BASIS	GAIN OR LOSS	GAINS MINUS EXCESS OR LOSSES
CARNIVAL		10-05-2016	01-26-2017	317		272	45	45
ALTRIA GROUP		01-26-2017	03-03-2017	997		940	57	57
CARNIVAL		10-05-2016	03-03-2017	443		387	56	56
CHEVRON TEXACO		07-18-2016	03-03-2017	448		419	29	29
COCA COLA		04-05-2016	03-03-2017	808		892	(84)	(84)
MFO DFA VALUE		12-15-2016	03-03-2017	1,134		1,087	47	47
ADR DIAGEO		VARIOUS	03-03-2017	1,465		1,420	45	45
GENERAL MILLS		04-05-2016	03-03-2017	1,757		1,868	(111)	(111)
PHILIP MORRIS		02-28-2017	03-03-2017	880		876	4	4
VERIZON COMMUNICATIONS		07-18-2016	03-03-2017	617		690	(73)	(73)
WELLS FARGO		10-14-2016	03-03-2017	683		518	165	165
ALTRIA		01-26-2017	03-08-2017	485		453	32	32
CARNIVAL		10-05-2016	03-08-2017	217		188	29	29
CHEVRON TEXACO		07-18-2016	03-08-2017	200		193	7	7
COCA COLA		04-05-2016	03-08-2017	410		457	(47)	(47)
MFO DFA VALUE		12-15-2016	03-08-2017	567		550	17	17
ADR DIAGEO		VARIOUS	03-08-2017	779		765	14	14
GENERAL MILLS		04-05-2016	03-08-2017	902		966	(64)	(64)
PHILIP MORRIS		02-28-2017	03-08-2017	439		438	1	1
VERIZON COMMUNICATIONS		07-18-2016	03-08-2017	303		345	(42)	(42)
WELLS FARGO		10-14-2016	03-08-2017	282		215	67	67
MFO FRKLN INVS SECS		VARIOUS	03-13-2017	298		297	1	1
MFO DFA US VALUE		12-15-2018	05-05-2017	567		550	17	17
MFB NORTHERN FUNDS ULTRSA SHORT		VARIOUS	05-05-2017	15,687		15,693	(6)	(6)
BLACKROCK FHDS HIGH YIELD BOND		VARIOUS	06-16-2017	7,442		7,128	314	314
MFO DFA US VALUE		12-15-2016	06-16-2017	1,701		1,631	70	70
PIMCO FDS HIGH YIELD		07-11-2016	06-06-2017	37,000		35,687	1,313	1,313
MFO DFA US VALUE		12-15-2016	06-23-2017	1,134		1,094	40	40
MFO DFA US VALUE		12-15-2016	06-27-2017	1,134		1,096	38	38
MFO DFA US VALUE		12-15-2016	06-29-2014	953		919	34	34
BRITISH AMERN TOB		07-25-2017	08-09-2017	46		51	(5)	(5)
BRITISH AMERN TOB		07-25-2017	08-25-2017	4,642		5,194	(552)	(552)
ALTRIA		01-26-2017	09-22-2017	1,094		1,242	(148)	(148)
CARNIVAL		10-05-2016	09-22-2017	662		502	160	160

Federal Supporting Statements

2017 PG02

Name(s) as shown on return: J D BERGMAN CHARITABLE FOUNDATION 23-58411

Your Social Security Number: 20-3749879

FORM 990-PF - PART IV - CAPITAL GAINS AND LOSSES INFORMATION (OVERFLOW) STATEMENT #134~

DESCRIPTION	P-PURCHASE D-DONATION	DATE ACQUIRED	DATE SOLD	SALES PRICE	DEPRECIATION	COST OR OTHER BASIS	GAIN OR LOSS	GAINS MINUS EXCESS OR LOSSES
CROWN CASTLE		VARIOUS	09-22-2017	1,909		2,025	(116)	(116)
MFC FLEXSHARES MORNINGSTAR GLOBAL		VARIOUS	09-22-2017	9,992		9,428	564	564
PHILIP MORRIS		02-28-2017	09-22-2017	1,128		1,096	32	32
TARGET		VARIOUS	09-22-2017	2,112		2,000	112	112
WELLS FARGO		10-14-2016	09-22-2017	700		577	123	123
ALTRIA		01-26-2017	12-06-2017	6,087		6,024	63	63
CROWN CASTLE		VARIOUS	12-06-2017	10,369		10,124	245	245
PHILIP MORRIS		02-28-2017	12-06-2017	5,501		5,587	(86)	(86)
TAERGET		VARIOUS	12-08-2017	10,779		9,832	947	947
MFC SPDR S AND P		12-06-2017	12-11-2017	40,187		39,820	367	367
MFC SPDR S AND P		12-06-2017	12-12-2017	165,599		163,499	2,100	2,100
BLACKROCK FDS HIGH YILED BD		12-22-2016	12-18-2017	112		110	2	2
MFO DFA US VALUE		VARIOUS	12-18-2017	1,820		1,863	(43)	(43)
MFO DFA US SMALL CAP		VARIOUS	12-18-2017	945		932	13	13
MFO FRANKLIN FLTG		VARIOUS	12-18-2017	59		60	(1)	(1)
CARNIVAL		VARIOUS	01-26-2017	1,159		870	289	289
INTEL		VARIOUS	01-26-2017	2,357		1,823	534	534
KINDER MORGAN		VARIOUS	01-28-2017	1,868		2,556	(688)	(688)
ELI LILLY		VARIOUS	01-26-2017	1,650		1,475	175	175
PAYCHEX		VARIOUS	01-26-2017	613		429	184	184
H AND R BLOCK		VARIOUS	02-28-2017	5,496		9,894	(4,398)	(4,398)
H AND R BLOCK		VARIOUS	02-28-2017	1,314		2,363	(1,049)	(1,049)
ALTRIA		VARIOUS	03-03-2017	3,221		2,142	1,079	1,079
BERKSHIRE HATHAWAY		VARIOUS	03-03-2017	1,233		922	311	311
BLACKROCK CL		VARIOUS	03-03-2017	1,561		1,256	305	305
CA		VARIOUS	03-03-2017	1,521		1,409	112	112
CARNIVAL		VARIOUS	03-03-2017	1,620		1,238	382	382
CATERPILLAR FINL SVCS		05-27-2014	03-03-2017	50,000		50,210	(210)	(210)
CHEVRON TEXACO		VARIOUS	03-03-2017	1,030		1,021	9	9
CINCINNATI FINANCIAL		VARIOUS	03-03-2017	1,696		1,151	545	545
CICSCO		VARIOUS	03-03-2017	1,678		1,225	453	453
COCA COLA		VARIOUS	03-03-2017	843		812	31	31
MFO DFA US VALUE		VARIOUS	03-03-2017	48,866		44,820	4,046	4,046
DOMINION RESOURCES		VARIOUS	03-03-2017	687		630	57	57

Federal Supporting Statements

Name(s) as shown on return: J D BERGMAN CHARITABLE FOUNDATION 23-58411

Your Social Security Number: 20-3749879

FORM 990-PF - PART IV - CAPITAL GAINS AND LOSSES INFORMATION (OVERFLOW)

STATEMENT #134~

DESCRIPTION	P-PURCHASE D-DONATION	DATE ACQUIRED	DATE SOLD	SALES PRICE	DEPRECIATION	COST OR OTHER BASIS	GAIN OR LOSS	GAINS MINUS EXCESS OR LOSSES
DUKE ENEERGY		VARIOUS	03-03-2017	897		796	101	101
GENERAL DYNAMICS		VARIOUS	03-03-2017	2,843		1,895	948	948
GENERAL ELECTRIC		VARIOUS	03-03-2017	2,376		2,128	248	248
HASBRO		VARIOUS	03-03-2017	1,166		698	468	468
INTEL		VARIOUS	03-03-2017	1,397		1,128	269	269
KINDER MORGAN		VARIOUS	03-03-2017	1,066		1,509	(443)	(443)
ELI LILLY		VARIOUS	03-03-2017	1,506		1,207	299	299
LOWES		VARIOUS	03-03-2017	1,135		756	379	379
MERCK		01-13-2016	03-03-2017	1,859		1,430	429	429
MICROSOFT		VARIOUS	03-03-2017	1,474		968	506	506
MOSAIC		VARIOUS	03-03-2017	1,172		1,740	(568)	(568)
NEWMARKET		VARIOUS	03-03-2017	1,306		1,173	133	133
NIORFOLK SOUTHERN		VARIOUS	03-03-2017	2,433		1,611	822	822
PAYCHEX		VARIOUS	03-03-2017	1,304		901	403	403
PFIZER		VARIOUS	03-03-2017	1,758		1,544	214	214
REYNOLDS AMERICAN		VARIOUS	03-03-2017	1,165		666	499	499
VERIZON COMMUNICATIONS		VARIOUS	03-03-2017	782		754	28	28
WELLS FARGO		VARIOUS	03-03-2017	2,434		2,121	313	313
ALTRIA		VARIOUS	03-08-2017	1,567		1,033	534	534
BERKSHIRE HATHAWAY		VARIOUS	03-08-2017	526		395	131	131
BLACKROCK CL		VARIOUS	03-08-2017	1,159		942	217	217
CA COM		VARIOUS	03-08-2017	771		719	52	52
CARNIVAL		VARIOUS	03-08-2017	788		602	186	186
CHEVRON TEXACO		VARIOUS	03-08-2017	460		471	(11)	(11)
CINCINNATI FINANCIAL		VARIOUS	03-08-2017	804		551	253	253
CISCO		VARIOUS	03-08-2017	818		600	218	218
COCA COLA		VARIOUS	03-08-2017	427		416	11	11
MFO DFA US VALUE		VARIOUS	03-08-2017	24,433		22,680	1,753	1,753
DOMINION RESOURCES		VARIOUS	03-08-2017	376		350	26	26
DUKE ENERGY		VARIOUS	03-08-2017	483		434	49	49
GENERAL DYNAMICS		VARIOUS	03-08-2017	1,337		885	452	452
GENERAL ELECTRIC		VARIOUS	03-08-2017	1,076		970	106	106
HASBRO		VARIOUS	03-08-2017	584		349	235	235
INTEL		VARIOUS	03-08-2017	676		550	126	126

Federal Supporting Statements

2017 PG04

Name(s) as shown on return: J D BERGMAN CHARITABLE FOUNDATION 23-58411

Your Social Security Number: 20-3749879

FORM 990-PF - PART IV - CAPITAL GAINS AND LOSSES INFORMATION (OVERFLOW) STATEMENT #134~

DESCRIPTION	P-PURCHASE D-DONATION	DATE ACQUIRED	DATE SOLD	SALES PRICE	DEPRECIATION	COST OR OTHER BASIS	GAIN OR LOSS	GAINS MINUS EXCESS OR LOSSES
KINDER MORGAN		VARIOUS	03-08-2017	499		708	(209)	(209)
ELI LILLY		VARIOUS	03-08-2017	837		671	166	166
LOWES		VARIOUS	03-08-2017	489		324	165	165
MERCK		01-13-2016	06-08-2017	924		715	209	209
MICROSOFT		VARIOUS	03-08-2017	779		505	274	274
MOSAIC		VARIOUS	03-08-2017	434		669	(235)	(235)
NEWMARKET		VARIOUS	03-08-2017	436		391	45	45
NORFOLK SOUTHERN		VARIOUS	03-08-2017	1,213		806	407	407
PAYCHEX		VARIOUS	03-08-2017	688		472	216	216
PFIZER		VARIOUS	03-08-2017	851		757	94	94
REYNOLDS AMERICAN		VARIOUS	03-08-2017	545		315	230	230
VERIZON COMMUNICATIONS		VARIOUS	03-08-2017	383		377	6	6
WELLS FARGO		03-08-2017	10-05-2017	1,005		881	124	124
MFO DFA US VALUE		VARIOUS	05-05-2017	24,433		22,686	1,747	1,747
MFB NORTHERN FUNDS ULTRA SHORT		VARIOUS	05-05-2017	9,313		9,314	(1)	(1)
GENERAL ELECTRIC		VARIOUS	05-12-2017	1,998		1,913	85	85
MOSAIC		VARIOUS	05-12-2017	5,295		10,214	(4,919)	(4,919)
TOYOTA		05-27-2014	05-22-2017	50,000		51,056	(1,056)	(1,056)
BLACKROCK HIGH YIELD BD		VARIOUS	03-16-2017	5,558		5,496	62	62
MFO DFA US VALUE		VARIOUS	06-16-2017	73,299		67,248	6,051	6,051
MFO DFA US VALUE		VARIOUS	06-23-2017	48,866		45,101	3,765	3,765
MFO DFA US VALUE		VARIOUS	06-27-2017	48,866		45,199	3,667	3,667
MFO DFA US VALUE		VARIOUS	06-29-2017	41,047		37,874	3,173	3,173
REYNOLDS AMERICAN		VARIOUS	07-25-2017	9,484		5,047	4,437	4,437
COCA COLA		VARIOUS	08-25-2017	6,071		5,812	259	259
HASBRO		VARIOUS	08-25-2017	5,052		3,084	1,968	1,968
BERKSHIRE HATHAWAY		VARIOUS	09-22-2017	1,632		1,186	446	446
BVLACKROCK CL		VARIOUS	09-22-2017	2,603		1,884	719	719
CA		VARIOUS	09-22-2017	2,338		2,158	180	180
CARNIVAL		VARIOUS	09-22-2017	2,419		1,607	812	812
CHEVRON TEXACO		VARIOUS	09-22-2017	1,754		1,661	93	93
CINCINNATI FINANCIAL		VARIOUS	09-22-2017	2,574		1,702	872	872
CISCO		VARIOUS	09-22-2017	2,296		1,728	568	568
COCA COLA		VARIOUS	09-22-2017	1,316		1,267	49	49

Federal Supporting Statements

2017 PG05

Name(s) as shown on return: J D BERGMAN CHARITABLE FOUNDATION 23-58411

Your Social Security Number: 20-3749879

FORM 990-PF - PART IV - CAPITAL GAINS AND LOSSES INFORMATION (OVERFLOW)

STATEMENT #134~

DESCRIPTION	P-PURCHASE D-DONATION	DATE ACQUIRED	DATE SOLD	SALES PRICE	DEPRECIATION	COST OR OTHER BASIS	GAIN OR LOSS	GAINS MINUS EXCESS OR LOSSES
ADR DIAGEO		VARIOUS	09-22-2017	2,378		1,966	412	412
DOMINION RESOURCES		VARIOUS	09-22-2017	1,004		910	94	94
DUKE ENERGY		VARIOUS	09-22-2017	1,266		1,087	179	179
GENERAL DYNAMICS		VARIOUS	09-22-2017	3,900		2,401	1,499	1,499
GENERAL ELECTRIC		VARIOUS	09-22-2017	2,060		2,236	(176)	(176)
GENERAL MILLS		04-05-2016	09-22-2017	1,949		2,448	(499)	(499)
HASBRO		VARIOUS	09-22-2017	671		407	264	264
INTEL		VARIOUS	09-22-2017	1,923		1,505	418	418
KINDER MORGAN		VARIOUS	09-22-2017	1,227		1,971	(744)	(744)
ELI LILLY		VARIOUS	09-22-2017	2,008		1,609	399	399
LOWES		VARIOUS	09-22-2017	1,566		1,081	485	485
MERCK		01-13-2016	09-22-2017	2,540		1,992	548	548
MICROSOFT		VARIOUS	09-22-2017	2,519		1,431	1,088	1,088
NEWMARKET		VARIOUS	09-22-2017	1,707		1,563	144	144
NORFOLK SOUTHERN		VARIOUS	09-22-2017	3,914		2,417	1,497	1,497
PAYCHEX		VARIOUS	09-22-2017	1,668		1,201	467	467
PFIZER		VARIOUS	09-22-2017	2,722		2,301	421	421
VERIZON COMMUNICATIONS		VARIOUS	09-22-2017	2,044		2,114	(70)	(70)
WELLS FARGO		VARIOUS	09-22-2017	2,493		2,361	132	132
ALTRIA		VARIOUS	12-06-2017	19,659		13,729	5,930	5,930
BERKSHIRE HATHAWAY		VARIOUS	12-06-2017	8,440		5,665	2,775	2,775
BLACKROCK CL		VARIOUS	12-06-2017	15,460		9,419	6,041	6,041
CA		VARIOUS	12-06-2017	9,878		8,902	976	976
CARNIVAL		VARIOUS	12-06-2017	15,538		10,324	5,214	5,214
CHEVRON TEXACO		VARIOUS	12-06-2017	8,509		7,861	648	648
CINCINNATI FINANCIAL		VARIOUS	12-06-2017	10,687		7,157	3,530	3,530
CISCO		VARIOUS	12-06-2017	11,481		7,655	3,826	3,826
COCA COLA		VARIOUS	12-06-2017	6,636		6,249	387	387
ADR DIAGEO		VARIOUS	12-06-2017	11,809		9,066	2,743	2,743
DOMINION RESOURCES		VARIOUS	12-06-2017	5,009		4,202	807	807
DUKE ENERGY		VARIOUS	12-06-2017	6,539		5,356	1,183	1,183
GENERAL DYNAMICS		VARIOUS	12-06-2017	17,440		10,992	6,448	6,448
GENERAL ELECTRIC		VARIOUS	12-06-2017	7,087		10,830	(3,743)	(3,743)
GENERAL MILLS		04-05-2016	12-06-2017	10,684		11,984	(1,300)	(1,300)

Federal Supporting Statements

2017 PG06

Name(s) as shown on return: J D BERGMAN CHARITABLE FOUNDATION 23-58411

Your Social Security Number: 20-3749879

FORM 990-PF - PART IV - CAPITAL GAINS AND LOSSES INFORMATION (OVERFLOW)

STATEMENT #134~

DESCRIPTION	P-PURCHASE D-DONATION	DATE ACQUIRED	DATE SOLD	SALES PRICE	DEPRECIATION	COST OR OTHER BASIS	GAIN OR LOSS	GAINS MINUS EXCESS OR LOSSES
HASBRO		VARIOUS	12-06-2017	2,924		1,862	1,062	1,062
INTEL		VARIOUS	12-06-2017	10,870		7,234	3,636	3,636
KINDER MORGAN		VARIOUS	12-06-2017	5,282		9,486	(4,204)	(4,204)
ELI LILLY		VARIOUS	12-06-2017	10,128		7,981	2,147	2,147
LOWES		VARIOUS	12-06-2017	7,046		4,595	2,451	2,451
MERCK		01-13-2016	12-06-2017	9,624		9,041	583	583
MICROSOFT		VARIOUS	12-06-2017	11,926		6,062	5,864	5,864
NEWMARKET		VARIOUS	12-06-2017	7,005		7,036	(31)	(31)
NORFOLK SOUTHERN		VARIOUS	12-06-2017	17,237		9,990	7,247	7,247
PAYCHEX		VARIOUS	12-06-2017	9,379		5,876	3,503	3,503
PFIZER		VARIOUS	12-06-2017	11,178		9,506	1,672	1,672
VERIZON COMMUNICATIONS		VARIOUS	12-06-2017	9,064		9,231	(167)	(167)
KINDER MORGAN		VARIOUS	12-12-2017	18		31	(13)	(13)
BLACKROCK HIGH YIELD BD		VARIOUS	12-18-2017	29,888		29,023	865	865
MFO DFA US VALUE		VARIOUS	12-18-2017	13,180		11,480	1,700	1,700
MFO DFA US SMALL CAP		VARIOUS	12-18-2017	24,055		20,244	3,811	3,811
MFO FRANKLIN FLTRG RATE		12-08-2016	12-18-2017	9,941		10,020	(79)	(79)
PIMCO FUNDS HIG YIELD FD		07-11-2016	12-18-2017	30,000		29,129	871	871
ALTRIA		VARIOUS	09-22-2017	3,533		2,830	703	703
WELLS FARGO		VARIOUS	12-06-2017	16,984		14,391	2,593	2,593
TOTAL				1,335,537		1,217,486	118,051	118,051