

C&E
372
Form 990Department of the Treasury
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

OMB No 1545-0047

2017

Open to Public
Inspection

Do not enter social security numbers on this form as it may be made public.

Go to www.irs.gov/Form990 for instructions and the latest information.

A For the 2017 calendar year, or tax year beginning

and ending

B Check if applicable

C Name of organization

D Employer identification number

- ☐ Address change
☐ Name change
☐ Initial return
☐ Final return/terminated
☐ Amended return
☐ Application pending

RIGHTS AND RESOURCES INSTITUTE, INC.

20-3690821

Doing business as RIGHTS AND RESOURCES GROUP

Number and street (or P.O. box if mail is not delivered to street address)

Room/suite

2715 M STREET NW

300

E Telephone number

202-470-3900

City or town, state or province, country, and ZIP or foreign postal code

WASHINGTON, DC 20007

G Gross receipts \$ 15,341,008.

H(a) Is this a group return

for subordinates? ☐ Yes ☒ NoH(b) Are all subordinates included? ☐ Yes ☐ No

If "No," attach a list. (see Instructions)

H(c) Group exemption number

I Tax-exempt status: ☒ 501(c)(3) ☐ 501(c)() (insert no.) ☐ 4947(a)(1) or ☐ 527

J Website: WWW.RIGHTSANDRESOURCES.ORG

K Form of organization: ☒ Corporation ☐ Trust ☐ Association ☐ Other

L Year of formation: 2005 M State of legal domicile: DC

Part I Summary

Activities & Governance	1	Briefly describe the organization's mission or most significant activities: RRI'S MISSION IS TO SUPPORT LOCAL COMMUNITIES' AND INDIGENOUS PEOPLES' STRUGGLES AGAINST POVERTY		
	2	Check this box <input type="checkbox"/> If the organization discontinued its operations or disposed of more than 25% of its net assets.		
	3	Number of voting members of the governing body (Part VI, line 1a)	3	10
	4	Number of independent voting members of the governing body (Part VI, line 1b)	4	9
	5	Total number of individuals employed in calendar year 2017 (Part V, line 2a)	5	44
	6	Total number of volunteers (estimate if necessary)	6	0
	7a	Total unrelated business revenue from Part VIII, column (C), line 12	7a	0.
7b	Net unrelated business taxable income from Form 990-T, line 34	7b	0.	
Revenue	8	Contributions and grants (Part VIII, line 1h)	Prior Year 12,176,973.	Current Year 15,338,113.
	9	Program service revenue (Part VIII, line 2g)	0.	0.
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	-9,037.	1,386.
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	2,268.	1,509.
	12	Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	12,170,204.	15,341,008.
	Expenses	13	Grants and similar amounts paid (Part IX, column (A), lines 1-3)	4,586,571.
14		Benefits paid to or for members (Part IX, column (A), line 4)	0.	0.
15		Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	3,939,981.	3,489,193.
16a		Professional fundraising fees (Part IX, column (A), line 11)	0.	0.
16b		Total fundraising expenses (Part IX, column (D), line 25) 83,411.		
17		Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	4,657,258.	5,105,324.
18		Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	13,183,810.	12,732,552.
19	Revenue less expenses. Subtract line 18 from line 12	-1,013,606.	2,608,456.	
Net Assets or Fund Balances	20	Total assets (Part X, line 16)	Beginning of Current Year 4,774,128.	End of Year 11,099,669.
	21	Total liabilities (Part X, line 26)	2,871,342.	6,588,427.
	22	Net assets or fund balances. Subtract line 21 from line 20	1,902,786.	4,511,242.

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign here	Signature of officer	Date	11/11/18
	ALAN LANDIS, CHIEF OPERATING OFFICER Type or print name and title		
Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date
	Firm's name	Firm's EIN	Phone no.
	Firm's address		

May the IRS discuss this return with the preparer shown above? (see instructions)

☐ Yes ☐ No

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Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III

☒ X

1 Briefly describe the organization's mission
RRI'S MISSION IS TO SUPPORT LOCAL COMMUNITIES' AND INDIGENOUS PEOPLES' STRUGGLES AGAINST POVERTY AND MARGINALIZATION BY PROMOTING GREATER GLOBAL COMMITMENT AND ACTION TOWARDS POLICY, MARKET, AND LEGAL REFORMS THAT SECURE THEIR RIGHTS TO OWN, CONTROL AND BENEFIT FROM NATURAL

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☐ Yes ☒ No
 If "Yes," describe these new services on Schedule O

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No
 If "Yes," describe these changes on Schedule O

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code) (Expenses \$ **3,355,793.** including grants of \$ **1,830,111.**) (Revenue \$)
REGIONAL PROGRAMS:

THE DRAFT INDIGENOUS PEOPLES BILL HAS BEEN FINALIZED AND SUBMITTED TO THE LEGISLATIVE BODY OF THE HOUSE OF REPRESENTATIVES. AMAN IS SUPPORTING THE PROCESS AND WILL BE LOBBYING THE PRESIDENT AND PRESIDENTIAL STAFF OFFICE TO ESTABLISH WHO IS RESPONSIBLE FOR REPRESENTING THE PRESIDENT'S OFFICE TO SPEAK TO PARLIAMENT. AT THE LOCAL LEVEL, RRI-SUPPORTED EFFORTS LED TO THE LEBONG PARLIAMENT APPROVING THE LOCAL REGULATION ON THE RECOGNITION AND PROTECTION OF REJANG INDIGENOUS PEOPLES IN LEBONG DISTRICT. ALL POLITICAL FACTIONS SUPPORTED THIS REGULATION SETTING-UP THE PROCESS FOR RECOGNITION OF DIFFERENT ADAT GROUPS BY THE REGENT, MEANING THAT 11 ADAT COMMUNITIES

4b (Code) (Expenses \$ **3,994,590.** including grants of \$ **1,987,461.**) (Revenue \$)
ILFTF

PERU, CAMEROON, INDONESIA, LIBERIA, MALI, AND PANAMA:
THE TENURE FACILITY SUPPORTED EFFORTS TO ACHIEVE IMPLEMENTATION OF COUNTRY SPECIFIC LAWS AND POLICIES THROUGH PILOT PROJECTS IN PERU, PANAMA, INDONESIA, MALI, CAMEROON, AND LIBERIA. ALL PILOTS WERE COMPLETED IN 2017, AND PRODUCED SIGNIFICANT OUTPUTS IN EACH OF THESE SIX COUNTRIES. IN INDONESIA, AN "ENGENDERED MAPPING" TOOL WAS APPLIED IN OVER 30 COMMUNITIES ACROSS INDONESIA. TRAININGS INCLUDED 50 CAPACITY BUILDING EVENTS FOR COMMUNITIES AND INDIGENOUS LEADERS IN SIX COUNTRIES, INCLUDING NEW UNIVERSITY COURSES ON INDIGENOUS PEOPLES' RIGHTS IN TWO COUNTRIES. IN CAMEROON, FOUR TRAININGS WERE HELD TO SHARE

4c (Code) (Expenses \$ **2,042,886.** including grants of \$ **195,383.**) (Revenue \$)
STRATEGIC ANALYSIS AND GLOBAL ENGAGEMENT:

CAMEROON, KENYA:
THE INTERLAKEN GROUP PILOTED PATHBREAKING COUNTRY-LEVEL ENGAGEMENT IN KENYA AND CAMEROON, WHERE IT CONVENED GLOBAL INTERLAKEN GROUP PARTICIPANTS, LOCAL AND REGIONAL COMPANIES AND INVESTORS, KEY MEMBERS OF CIVIL SOCIETY, AND GOVERNMENT. THE GROUP DEMONSTRATED THAT DEMAND FOR PRE-COMPETITIVE CONVENING AND SOLUTIONS FOR LAND TENURE PROBLEMS EXTENDS TO UPSTREAM PRODUCERS OF COMMODITIES AND RECIPIENTS OF INTERNATIONAL FINANCE. THIS DEMAND BY LOCAL PRIVATE SECTOR IS ITSELF DEMONSTRATIVE OF THE TRANSITION UNDERWAY AMONG COMPANIES AND INVESTORS TO ADDRESS LAND

4d Other program services (Describe in Schedule O)
 (Expenses \$ **1,939,402.** including grants of \$ **125,080.**) (Revenue \$)

4e Total program service expenses **11,332,671:**

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Part IV Checklist of Required Schedules

	Yes	No
1. Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A	X	
2. Is the organization required to complete Schedule B, Schedule of Contributors?	X	
3. Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I		X
4. Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II		X
5. Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III		X
6. Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I		X
7. Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II		X
8. Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III		X
9. Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV		X
10. Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V		X
11. If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable		
a. Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI	X	
b. Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII		X
c. Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII		X
d. Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX		X
e. Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X	X	
f. Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X	X	
12a. Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI and XII	X	
b. Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional		X
13. Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E		X
14a. Did the organization maintain an office, employees, or agents outside of the United States?	X	
b. Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV	X	
15. Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If "Yes," complete Schedule F, Parts II and IV	X	
16. Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV		X
17. Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I		X
18. Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II		X
19. Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III		X

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Part IV Checklist of Required Schedules (continued)

	Yes	No
20a Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H		X
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?		
21 Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II	X	
22 Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III		X
23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete Schedule J	X	
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a		X
b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?		
c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?		
d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?		
25a Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I		X
b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I		X
26 Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? If "Yes," complete Schedule L, Part II		X
27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? If "Yes," complete Schedule L, Part III		X
28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions).		
a A current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV	X	
b A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV		X
c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? If "Yes," complete Schedule L, Part IV	X	
29 Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M		X
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M		X
31 Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I		X
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II		X
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I		X
34 Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1		X
35a Did the organization have a controlled entity within the meaning of section 512(b)(13)?		X
b If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2		
36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2		X
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI		X
38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19?	X	

Note. All Form 990 filers are required to complete Schedule O

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Part V Statements Regarding Other IRS Filings and Tax ComplianceCheck if Schedule O contains a response or note to any line in this Part V ☐

		Yes	No
1a Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable.	1a 32		
b Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable.	1b 0		
c Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	1c		
2a Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return.	2a 44		
b If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions).	2b	X	
3a Did the organization have unrelated business gross income of \$1,000 or more during the year?	3a		X
b If "Yes," has it filed a Form 990-T for this year? If "No," to line 3b, provide an explanation in Schedule O.	3b		
4a At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a		X
b If "Yes," enter the name of the foreign country. <u>See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR)</u>			
5a Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a		X
b Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b		X
c If "Yes," to line 5a or 5b, did the organization file Form 8886-T?	5c		
6a Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?	6a		X
b If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	6b		
7 Organizations that may receive deductible contributions under section 170(c).			
a Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	7a		X
b If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b		
c Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	7c		X
d If "Yes," indicate the number of Forms 8282 filed during the year.	7d		
e Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e		X
f Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f		X
g If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	7g		
h If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	7h		
8 Sponsoring organizations maintaining donor advised funds. Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year?	8		
9 Sponsoring organizations maintaining donor advised funds.			
a Did the sponsoring organization make any taxable distributions under section 4966?	9a		
b Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?	9b		
10 Section 501(c)(7) organizations. Enter:			
a Initiation fees and capital contributions included on Part VIII, line 12.	10a		
b Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities.	10b		
11 Section 501(c)(12) organizations. Enter:			
a Gross income from members or shareholders.	11a		
b Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)	11b		
12a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a		
b If "Yes," enter the amount of tax-exempt interest received or accrued during the year.	12b		
13 Section 501(c)(29) qualified nonprofit health insurance issuers.			
a Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O.	13a		
b Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans.	13b		
c Enter the amount of reserves on hand.	13c		
14a Did the organization receive any payments for indoor tanning services during the tax year?	14a		X
b If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O.	14b		

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Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI

☒**Section A. Governing Body and Management**

	Yes	No
1a Enter the number of voting members of the governing body at the end of the tax year If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.	10	
b Enter the number of voting members included in line 1a, above, who are independent	9	
2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?		X
3 Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person?		X
4 Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?		X
5 Did the organization become aware during the year of a significant diversion of the organization's assets?		X
6 Did the organization have members or stockholders?		X
7a Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?		X
b Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?		X
8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:		
a The governing body?	X	
b Each committee with authority to act on behalf of the governing body?	X	
9 Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O		X

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

	Yes	No
10a Did the organization have local chapters, branches, or affiliates?		X
b If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?		
11a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	X	
b Describe in Schedule O the process, if any, used by the organization to review this Form 990		
12a Did the organization have a written conflict of interest policy? If "No," go to line 13	X	
b Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	X	
c Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	X	
13 Did the organization have a written whistleblower policy?	X	
14 Did the organization have a written document retention and destruction policy?	X	
15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
a The organization's CEO, Executive Director, or top management official	X	
b Other officers or key employees of the organization	X	
If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions)		
16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?		X
b If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?		

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed **NONE**

18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.
☒ Own website ☐ Another's website ☐ Upon request ☐ Other (explain in Schedule O)

19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year

20 State the name, address, and telephone number of the person who possesses the organization's books and records **THE ORGANIZATION - 202-470-3982**
2715 M STREET NW, WASHINGTON, DC 20007

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent ContractorsCheck if Schedule O contains a response or note to any line in this Part VII ☐**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees****1a** Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(1) DON G ROBERTS TREASURER	5.00	X		X				0.	0.	0.
(2) JEAN ADEN SECRETARY	5.00	X		X				0.	0.	0.
(3) SAMUEL NGUIFFO DIRECTOR	1.00	X						0.	0.	0.
(4) UJJWAL PRADHAN CHAIR	5.00	X						0.	0.	0.
(5) JOHN HUDSON DIRECTOR	1.00	X						0.	0.	0.
(6) SASKIA OZINGA DIRECTOR	1.00	X						0.	0.	0.
(7) ARUN AGRAWAL DIRECTOR	1.00	X						0.	0.	0.
(8) NIGISTY GHEZAE DIRECTOR	1.00	X						0.	0.	0.
(9) ANTOINETTE ROYO CHAIR	5.00	X		X				0.	0.	0.
(10) MICHAEL JENKINS DIRECTOR	1.00	X						0.	0.	0.
(11) THOMAS A WHITE PRESIDENT	40.00			X				192,390.	0.	48,850.
(12) MATTHEW ZIMMERMANN CHIEF OPERATING OFFICER	40.00			X				156,266.	0.	36,069.
(13) JANIS ALCORN SENIOR DIRECTOR OF PROGRAM	40.00				X			158,066.	0.	26,633.
(14) JAMES-CHRISTOPHER MILLER DIRECTOR OF FINANCE & ADMI	40.00					X		129,091.	0.	18,966.
(15) CAROLE CARLSON DIRECTOR, FINANCE & ADMI	40.00					X		126,203.	0.	43,379.
(16) ALAIN FRECHETTE DIRECTOR, STRATEGIC ANALYSIS & GLOBA	40.00					X		120,599.	0.	9,059.
(17) KUNDAN KUMAR DIRECTOR, ASIA PROGRAM	40.00					X		111,645.	0.	33,193.

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(18) SOLANGE BANDIAKY DIRECTOR, AFRICA PROGRAM	40.00							113,464.	0.	31,589.
(19) TAPANI OKSANEN CHAIR	5.00							0.	0.	0.
(20) CHITRA SUBRAMANIAM TREASURER	5.00							0.	0.	0.
1b Sub-total								1,107,724.	0.	247,738.
c Total from continuation sheets to Part VII, Section A								0.	0.	0.
d Total (add lines 1b and 1c)								1,107,724.	0.	247,738.

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **10**

	Yes	No
3 Did the organization list any former officer, director, or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual		X
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual	X	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
THE MUNDEN PROJECT, COMMUNICATIONS HOUSE/26 YORK STREET, LONDON, UNITED	CONSULTANCY ON LAND GOVERNANCE	581,909.
BURNES COMMUNICATIONS INC, 7910 WOODMONT AVE, STE 700, BETHESDA, MD 20814-7034	MEDIATION CONSULTANCY	194,216.
ARVIND KHARE, 5301 MACOMB STREET NW, WASHINGTON, ONTARIO, CANADA 20016	PROFESSIONAL CONSULTANCY	156,803.
SERENIC SOFTWARE INC PO BOX 841389, DALLAS, TX 75284	SOFTWARE TRAINING AND IMPLEMENTATION	156,539.
BMDODO STRATEGIC DESIGN, 348 BAGOT STREET STE 103, KINGSTON, ONTARIO, CANADA	LOGO AND BRAND DEVELOPMENT	120,358.

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization **5**

Part VIII Statement of RevenueCheck if Schedule O contains a response or note to any line in this Part VIII ☐

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514
Contributions, Gifts, Grants and Other Similar Amounts	1 a Federated campaigns	1a					
	b Membership dues	1b					
	c Fundraising events	1c					
	d Related organizations	1d					
	e Government grants (contributions)	1e	5,613,511.				
	f All other contributions, gifts, grants, and similar amounts not included above	1f	9,724,602.				
	g Noncash contributions included in lines 1a-1f \$						
	h Total. Add lines 1a-1f			15,338,113.			
Program Service Revenue	2 a _____		Business Code				
	b _____						
	c _____						
	d _____						
	e _____						
	f All other program service revenue		900099				
	g Total. Add lines 2a-2f						
Other Revenue	3 Investment income (including dividends, interest, and other similar amounts)			1,386.			1,386.
	4 Income from investment of tax-exempt bond proceeds						
	5 Royalties						
			(i) Real	(ii) Personal			
	6 a Gross rents						
	b Less. rental expenses						
	c Rental income or (loss)						
	d Net rental income or (loss)						
	7 a Gross amount from sales of assets other than inventory		(i) Securities	(ii) Other			
	b Less. cost or other basis and sales expenses						
	c Gain or (loss)						
	d Net gain or (loss)						
	8 a Gross income from fundraising events (not including \$ _____ of contributions reported on line 1c) See Part IV, line 18						
	b Less. direct expenses						
	c Net income or (loss) from fundraising events						
	9 a Gross income from gaming activities See Part IV, line 19						
	b Less. direct expenses						
	c Net income or (loss) from gaming activities						
	10 a Gross sales of inventory, less returns and allowances						
	b Less. cost of goods sold						
c Net income or (loss) from sales of inventory							
Miscellaneous Revenue		Business Code					
11 a OTHER REVENUE		900099	1,509.			1,509.	
b _____							
c _____							
d All other revenue							
e Total. Add lines 11a-11d			1,509.				
12 Total revenue. See instructions.			15,341,008.	0.	0.	2,895.	

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A)

Check if Schedule O contains a response or note to any line in this Part IX

☒ X

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21	52,606.	52,606.		
2 Grants and other assistance to domestic individuals. See Part IV, line 22				
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16	4,085,429.	4,085,429.		
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	1,481,423.	909,505.	531,172.	40,746.
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	1,503,828.	1,416,011.	72,424.	15,393.
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	93,783.	71,689.	20,259.	1,835.
9 Other employee benefits	194,696.	148,828.	42,058.	3,810.
10 Payroll taxes	215,463.	121,148.	87,812.	6,503.
11 Fees for services (non-employees)				
a Management	22,852.		22,852.	
b Legal	6,493.		6,493.	
c Accounting	64,394.		64,394.	
d Lobbying				
e Professional fundraising services. See Part IV, line 17				
f Investment management fees				
g Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Sch O.)	2,716,476.	2,716,476.		
12 Advertising and promotion				
13 Office expenses	272,245.	57,080.	215,165.	
14 Information technology				
15 Royalties				
16 Occupancy	279,978.	250,881.	27,250.	1,847.
17 Travel	617,017.	576,530.	27,799.	12,688.
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	400,971.	396,449.	4,522.	
20 Interest				
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	89,297.	80,017.	8,691.	589.
23 Insurance				
24 Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a PUBLICATIONS AND OTHER	425,868.	423,926.	1,942.	
b MISCELLANEOUS	124,542.	26,096.	98,446.	
c LOSS ON FOREIGN EXCHANGE	85,191.		85,191.	
d				
e All other expenses				
25 Total functional expenses. Add lines 1 through 24e	12,732,552.	11,332,671.	1,316,470.	83,411.
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation.				

Check here ☐ if following SOP 98-2 (ASC 958-720)

Part X Balance SheetCheck if Schedule O contains a response or note to any line in this Part X ☐

		(A) Beginning of year		(B) End of year
Assets	1 Cash - non-interest-bearing		1	
	2 Savings and temporary cash investments	985,811.	2	5,417,729.
	3 Pledges and grants receivable, net	1,000,000.	3	4,797,264.
	4 Accounts receivable, net	1,679,942.	4	
	5 Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L		5	
	6 Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(3) voluntary employees' beneficiary organizations (see instr). Complete Part II of Sch L		6	
	7 Notes and loans receivable, net		7	
	8 Inventories for sale or use		8	
	9 Prepaid expenses and deferred charges	95,334.	9	98,400.
	10a Land, buildings, and equipment, cost or other basis. Complete Part VI of Schedule D	10a 857,626.		
	b Less accumulated depreciation	10b 258,683.	10c	598,943.
	11 Investments - publicly traded securities		11	
	12 Investments - other securities. See Part IV, line 11		12	
	13 Investments - program-related. See Part IV, line 11		13	
	14 Intangible assets		14	
	15 Other assets. See Part IV, line 11	477,162.	15	187,333.
16 Total assets. Add lines 1 through 15 (must equal line 34)	4,774,128.	16	11,099,669.	
Liabilities	17 Accounts payable and accrued expenses	1,228,897.	17	1,329,245.
	18 Grants payable	5,000.	18	1,490,943.
	19 Deferred revenue	1,163,391.	19	3,359,077.
	20 Tax-exempt bond liabilities		20	
	21 Escrow or custodial account liability. Complete Part IV of Schedule D		21	
	22 Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L		22	
	23 Secured mortgages and notes payable to unrelated third parties		23	
	24 Unsecured notes and loans payable to unrelated third parties	94,583.	24	123.
	25 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D	379,471.	25	409,039.
	26 Total liabilities. Add lines 17 through 25	2,871,342.	26	6,588,427.
Net Assets or Fund Balances	Organizations that follow SFAS 117 (ASC 950), check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.			
	27 Unrestricted net assets	1,622,979.	27	1,151,733.
	28 Temporarily restricted net assets	279,807.	28	3,359,509.
	29 Permanently restricted net assets		29	
	Organizations that do not follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 30 through 34.			
	30 Capital stock or trust principal, or current funds		30	
	31 Paid-in or capital surplus, or land, building, or equipment fund		31	
	32 Retained earnings, endowment, accumulated income, or other funds		32	
	33 Total net assets or fund balances	1,902,786.	33	4,511,242.
34 Total liabilities and net assets/fund balances	4,774,128.	34	11,099,669.	

Form 990 (2017)

Part XI Reconciliation of Net AssetsCheck if Schedule O contains a response or note to any line in this Part XI ☐

1	Total revenue (must equal Part VIII, column (A), line 12)	1	15,341,008.
2	Total expenses (must equal Part IX, column (A), line 25)	2	12,732,552.
3	Revenue less expenses Subtract line 2 from line 1	3	2,608,456.
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	1,902,786.
5	Net unrealized gains (losses) on investments	5	
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	0.
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	4,511,242.

Part XII Financial Statements and ReportingCheck if Schedule O contains a response or note to any line in this Part XII ☐

- 1 Accounting method used to prepare the Form 990. ☐ Cash ☒ Accrual ☐ Other _____
If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O
- 2a Were the organization's financial statements compiled or reviewed by an independent accountant?
If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both
☐ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis
- b Were the organization's financial statements audited by an independent accountant?
If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both
☒ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis
- c If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?
If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.
- 3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?
- b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits

	Yes	No
2a		X
2b	X	
2c	X	
3a		X
3b		

Form 990 (2017)

Department of the Treasury
Internal Revenue Service

▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2017

Open to Public Inspection

Name of the organization

RIGHTS AND RESOURCES INSTITUTE, INC.

Employer identification number

20-3690821

Part I	Reason for Public Charity Status (All organizations must complete this part) See instructions
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The organization is not a private foundation because it is (For lines 1 through 12, check only one box.)

- 1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**
- 2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E (Form 990 or 990-EZ).)
- 3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**
- 4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state _____
- 5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II.)
- 6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**
- 7 ☒ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II)
- 8 ☐ A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 9 ☐ An agricultural research organization described in **section 170(b)(1)(A)(ix)** operated in conjunction with a land-grant college or university or a non-land-grant college of agriculture (see instructions) Enter the name, city, and state of the college or university. _____
- 10 ☐ An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2).** (Complete Part III)
- 11 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).**
- 12 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2)** See **section 509(a)(3).** Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g.
- a ☐ **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization **You must complete Part IV, Sections A and B.**
- b ☐ **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s) **You must complete Part IV, Sections A and C.**
- c ☐ **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions) **You must complete Part IV, Sections A, D, and E.**
- d ☐ **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions) **You must complete Part IV, Sections A and D, and Part V.**
- e ☐ Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization
- f Enter the number of supported organizations _____
- g Provide the following information about the supported organization(s)

g Provide the following information about the supported organization(s)						
(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1 10 above (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
			Yes	No		
Total						

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2013	(b) 2014	(c) 2015	(d) 2016	(e) 2017	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	10,554,212.	11,070,560.	16,500,618.	12,176,973.	15,338,113.	65,640,476.
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3	10,554,212.	11,070,560.	16,500,618.	12,176,973.	15,338,113.	65,640,476.
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 Public support. Subtract line 5 from line 4						65,640,476.

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2013	(b) 2014	(c) 2015	(d) 2016	(e) 2017	(f) Total
7 Amounts from line 4	10,554,212.	11,070,560.	16,500,618.	12,176,973.	15,338,113.	65,640,476.
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources	5,158.	6,847.	3,633.	1,437.	1,386.	18,461.
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)	9,345.	4,576.	9,962.	2,268.	1,509.	27,660.
11 Total support. Add lines 7 through 10						65,686,597.

12 Gross receipts from related activities, etc. (see instructions) 12**13 First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ▶ ☐**Section C. Computation of Public Support Percentage**

14 Public support percentage for 2017 (line 6, column (f) divided by line 11, column (f))	14	99.93 %
15 Public support percentage from 2016 Schedule A, Part II, line 14	15	99.91 %

16a 33 1/3% support test - 2017. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☒**b 33 1/3% support test - 2016.** If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐**17a 10% -facts-and-circumstances test - 2017.** If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ▶ ☐**b 10% -facts-and-circumstances test - 2016.** If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ▶ ☐**18 Private foundation.** If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ▶ ☐

Schedule A (Form 990 or 990-EZ) 2017

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2013	(b) 2014	(c) 2015	(d) 2016	(e) 2017	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public support. (Subtract line 7c from line 6.)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2013	(b) 2014	(c) 2015	(d) 2016	(e) 2017	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)						
13 Total support. (Add lines 9, 10c, 11, and 12.)						

14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ☐**Section C. Computation of Public Support Percentage**

15 Public support percentage for 2017 (line 8, column (f) divided by line 13, column (f))	15	%
16 Public support percentage from 2016 Schedule A, Part III, line 15	16	%

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2017 (line 10c, column (f) divided by line 13, column (f))	17	%
18 Investment income percentage from 2016 Schedule A, Part III, line 17	18	%

19a 33 1/3% support tests - 2017. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ☐**b 33 1/3% support tests - 2016.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ☐**20 Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ☐

Part IV Supporting Organizations

(Complete only if you checked a box in line 12 on Part I. If you checked 12a of Part I, complete Sections A and B. If you checked 12b of Part I, complete Sections A and C. If you checked 12c of Part I, complete Sections A, D, and E. If you checked 12d of Part I, complete Sections A and D, and complete Part V.)

Section A. All Supporting Organizations

	Yes	No
1 Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.		
2 Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).		
3a Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes," answer (b) and (c) below.		
b Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If "Yes," describe in Part VI when and how the organization made the determination.		
c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If "Yes," explain in Part VI what controls the organization put in place to ensure such use.		
4a Was any supported organization not organized in the United States ("foreign supported organization")? If "Yes," and if you checked 12a or 12b in Part I, answer (b) and (c) below.		
b Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.		
c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.		
5a Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in Part VI , including (i) the names and EIN numbers of the supported organizations added, substituted, or removed, (ii) the reasons for each such action, (iii) the authority under the organization's organizing document authorizing such action, and (iv) how the action was accomplished (such as by amendment to the organizing document).		
b Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document?		
c Substitutions only. Was the substitution the result of an event beyond the organization's control?		
6 Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? If "Yes," provide detail in Part VI .		
7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).		
8 Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).		
9a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If "Yes," provide detail in Part VI .		
b Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? If "Yes," provide detail in Part VI .		
c Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If "Yes," provide detail in Part VI .		
10a Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If "Yes," answer 10b below.		
b Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)		

Part IV Supporting Organizations (continued)

	Yes	No
11 Has the organization accepted a gift or contribution from any of the following persons?		
a A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?		
b A family member of a person described in (a) above?		
c A 35% controlled entity of a person described in (a) or (b) above? If "Yes" to a, b, or c, provide detail in Part VI .		

Section B. Type I Supporting Organizations

	Yes	No
1 Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.		
2 Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised, or controlled the supporting organization.		

Section C. Type II Supporting Organizations

	Yes	No
1 Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).		

Section D. All Type III Supporting Organizations

	Yes	No
1 Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?		
2 Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).		
3 By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.		

Section E. Type III Functionally Integrated Supporting Organizations

	Yes	No
1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions).		
a <input type="checkbox"/> The organization satisfied the Activities Test. Complete line 2 below.		
b <input type="checkbox"/> The organization is the parent of each of its supported organizations. Complete line 3 below.		
c <input type="checkbox"/> The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions).		
2 Activities Test. Answer (a) and (b) below.		
a Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.		
b Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.		
3 Parent of Supported Organizations. Answer (a) and (b) below.		
a Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? Provide details in Part VI .		
b Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each of its supported organizations? If "Yes," describe in Part VI the role played by the organization in this regard.		

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations

- 1 ☐ Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 (explain in Part VI.) See instructions. All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

Section A - Adjusted Net Income		(A) Prior Year	(B) Current Year (optional)
1	Net short-term capital gain	1	
2	Recoveries of prior-year distributions	2	
3	Other gross income (see instructions)	3	
4	Add lines 1 through 3	4	
5	Depreciation and depletion	5	
6	Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6	
7	Other expenses (see instructions)	7	
8	Adjusted Net Income (subtract lines 5, 6, and 7 from line 4)	8	

Section B - Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)
1	Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year)		
a	Average monthly value of securities	1a	
b	Average monthly cash balances	1b	
c	Fair market value of other non-exempt-use assets	1c	
d	Total (add lines 1a, 1b, and 1c)	1d	
e	Discount claimed for blockage or other factors (explain in detail in Part VI)		
2	Acquisition indebtedness applicable to non-exempt-use assets	2	
3	Subtract line 2 from line 1d	3	
4	Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	
5	Net value of non-exempt-use assets (subtract line 4 from line 3)	5	
6	Multiply line 5 by .035	6	
7	Recoveries of prior-year distributions	7	
8	Minimum Asset Amount (add line 7 to line 6)	8	

Section C - Distributable Amount			Current Year
1	Adjusted net income for prior year (from Section A, line 8, Column A)	1	
2	Enter 85% of line 1	2	
3	Minimum asset amount for prior year (from Section B, line 8, Column A)	3	
4	Enter greater of line 2 or line 3	4	
5	Income tax imposed in prior year	5	
6	Distributable Amount. Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)	6	
7	<input type="checkbox"/> Check here if the current year is the organization's first as a non-functionally integrated Type III supporting organization (see instructions)		

Schedule A (Form 990 or 990-EZ) 2017

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)

Section D - Distributions			Current Year
1	Amounts paid to supported organizations to accomplish exempt purposes		
2	Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity		
3	Administrative expenses paid to accomplish exempt purposes of supported organizations		
4	Amounts paid to acquire exempt-use assets		
5	Qualified set-aside amounts (prior IRS approval required)		
6	Other distributions (describe in Part VI) See instructions.		
7	Total annual distributions. Add lines 1 through 6		
8	Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI) See instructions		
9	Distributable amount for 2017 from Section C, line 6		
10	Line 8 amount divided by line 9 amount		

Section E - Distribution Allocations (see instructions)		(i) Excess Distributions	(ii) Underdistributions Pre-2017	(iii) Distributable Amount for 2017
1	Distributable amount for 2017 from Section C, line 6			
2	Underdistributions, if any, for years prior to 2017 (reasonable cause required- explain in Part VI). See instructions			
3	Excess distributions carryover, if any, to 2017			
a				
b	From 2013			
c	From 2014			
d	From 2015			
e	From 2016			
f	Total of lines 3a through e			
g	Applied to underdistributions of prior years			
h	Applied to 2017 distributable amount			
i	Carryover from 2012 not applied (see instructions)			
j	Remainder Subtract lines 3g, 3h, and 3i from 3f.			
4	Distributions for 2017 from Section D, line 7 \$			
a	Applied to underdistributions of prior years			
b	Applied to 2017 distributable amount			
c	Remainder Subtract lines 4a and 4b from 4			
5	Remaining underdistributions for years prior to 2017, if any Subtract lines 3g and 4a from line 2 For result greater than zero, explain in Part VI. See instructions			
6	Remaining underdistributions for 2017. Subtract lines 3h and 4b from line 1 For result greater than zero, explain in Part VI See instructions.			
7	Excess distributions carryover to 2018. Add lines 3j and 4c.			
8	Breakdown of line 7			
a	Excess from 2013			
b	Excess from 2014			
c	Excess from 2015			
d	Excess from 2016			
e	Excess from 2017			

Schedule A (Form 990 or 990-EZ) 2017

Part VI

Supplemental Information. Provide the explanations required by Part II, line 10, Part II, line 17a or 17b, Part III, line 12, Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c, Part IV, Section B, lines 1 and 2, Part IV, Section C, line 1, Part IV, Section D, lines 2 and 3, Part IV, Section E, lines 1c, 2a, 2b, 3a, and 3b, Part V, line 1, Part V, Section B, line 1e, Part V, Section D, lines 5, 6, and 8, and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions.)

SCHEDULE D
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ **Complete if the organization answered "Yes" on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.**
▶ **Attach to Form 990.**

▶ **Go to www.irs.gov/Form990 for instructions and the latest information.**

OMB No 1545-0047

2017

Open to Public Inspection

Name of the organization

RIGHTS AND RESOURCES INSTITUTE, INC.

Employer identification number

20-3690821

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year		
2 Aggregate value of contributions to (during year)		
3 Aggregate value of grants from (during year)		
4 Aggregate value at end of year		
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?		<input type="checkbox"/> Yes <input type="checkbox"/> No
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?		<input type="checkbox"/> Yes <input type="checkbox"/> No

Part II Conservation Easements. Complete if the organization answered "Yes" on Form 990, Part IV, line 7

1 Purpose(s) of conservation easements held by the organization (check all that apply)

<input type="checkbox"/> Preservation of land for public use (e.g., recreation or education)	<input type="checkbox"/> Preservation of a historically important land area
<input type="checkbox"/> Protection of natural habitat	<input type="checkbox"/> Preservation of a certified historic structure
<input type="checkbox"/> Preservation of open space	

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

	Held at the End of the Tax Year
a Total number of conservation easements	2a
b Total acreage restricted by conservation easements	2b
c Number of conservation easements on a certified historic structure included in (a)	2c
d Number of conservation easements included in (c) acquired after 7/25/06, and not on a historic structure listed in the National Register	2d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ _____

4 Number of states where property subject to conservation easement is located ▶ _____

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ _____

7 Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ \$ _____

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" on Form 990, Part IV, line 8

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenue included on Form 990, Part VIII, line 1	▶ \$ _____
(ii) Assets included in Form 990, Part X	▶ \$ _____

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items

a Revenue included on Form 990, Part VIII, line 1	▶ \$ _____
b Assets included in Form 990, Part X	▶ \$ _____

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule D (Form 990) 2017

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply)

a ☐ Public exhibition

d ☐ Loan or exchange programs

b ☐ Scholarly research

e ☐ Other _____

c ☐ Preservation for future generations

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets

to be sold to raise funds rather than to be maintained as part of the organization's collection?

☐ Yes

☐ No

Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?

☐ Yes

☐ No

b If "Yes," explain the arrangement in Part XIII and complete the following table.

	Amount
1c	
1d	
1e	
1f	

c Beginning balance

d Additions during the year

e Distributions during the year

f Ending balance

2a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability?

☐ Yes

☐ No

b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided on Part XIII ☐

Part V Endowment Funds. Complete if the organization answered "Yes" on Form 990, Part IV, line 10

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance					
b Contributions					
c Net investment earnings, gains, and losses					
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance					

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as

a Board designated or quasi-endowment ▶ _____ %

b Permanent endowment ▶ _____ %

c Temporarily restricted endowment ▶ _____ %

The percentages on lines 2a, 2b, and 2c should equal 100%

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by.

(i) unrelated organizations

(ii) related organizations

	Yes	No
3a(i)		
3a(ii)		
3b		

b If "Yes" on line 3a(i), are the related organizations listed as required on Schedule R?

4 Describe in Part XIII the intended uses of the organization's endowment funds

Part VI Land, Buildings, and Equipment.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements		384,381.	54,825.	329,556.
d Equipment		298,248.	130,729.	167,519.
e Other		174,997.	73,129.	101,868.
Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10c)				598,943.

Schedule D (Form 990) 2017

Part VII Investments - Other Securities.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
Total. (Col. (b) must equal Form 990, Part X, col. (B) line 12.) ▶		

Part VIII Investments - Program Related.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
Total. (Col. (b) must equal Form 990, Part X, col. (B) line 13.) ▶		

Part IX Other Assets.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 15.) ▶	

Part X Other Liabilities.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
(2) DEFERRED RENT	409,039.
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.) ▶	

2. Liability for uncertain tax positions In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII ☒

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a

1	Total revenue, gains, and other support per audited financial statements		1	15,255,817.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12			
a	Net unrealized gains (losses) on investments	2a		
b	Donated services and use of facilities	2b		
c	Recoveries of prior year grants	2c		
d	Other (Describe in Part XIII)	2d	-85,191.	
e	Add lines 2a through 2d	2e		-85,191.
3	Subtract line 2e from line 1	3		15,341,008.
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1.			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a		
b	Other (Describe in Part XIII)	4b		
c	Add lines 4a and 4b	4c		0.
5	Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.)	5		15,341,008.

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a

1	Total expenses and losses per audited financial statements		1	12,647,361.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25.			
a	Donated services and use of facilities	2a		
b	Prior year adjustments	2b		
c	Other losses	2c		
d	Other (Describe in Part XIII)	2d	-85,191.	
e	Add lines 2a through 2d	2e		-85,191.
3	Subtract line 2e from line 1	3		12,732,552.
4	Amounts included on Form 990, Part IX, line 25, but not on line 1			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a		
b	Other (Describe in Part XIII.)	4b		
c	Add lines 4a and 4b	4c		0.
5	Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.)	5		12,732,552.

Part XIII Supplemental Information.

Provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2, Part XI, lines 2d and 4b, and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

PART X, LINE 2:

RRG EVALUATES UNCERTAINTY IN INCOME TAX POSITIONS TAKEN OR EXPECTED TO BE

TAKEN ON A TAX RETURN BASED ON THE MORE-LIKELY-THAN-NOT RECOGNITION

STANDARD. IF THAT THRESHOLD IS MET, THE TAX POSITION IS THEN MEASURED AT

THE LARGEST AMOUNT THAT IS GREATER THAN 50% LIKELY OF BEING REALIZED UPON

ULTIMATE SETTLEMENT. AS OF DECERER 31, 2017 THERE ARE NO ACCRUALS FOR

UNCERTAIN TAX POSITIONS. IF APPLICABLE, RRG RECORDS INTEREST AND PENALTIES

AS A COMPONENT OF INCOME TAX EXPENSE. TAX YEARS FROM DECEMBER 31, 2013

THROUGH THE CURRENT YEAR REMAIN OPEN FOR EXAMINATION BY FEDERAL AND STATE

AUTHORITIES.

PART XI, LINE 2D - OTHER ADJUSTMENTS:

Part XIII Supplemental Information (continued)

LOSS ON FOREIGN EXCHANGE

PART XII, LINE 2D - OTHER ADJUSTMENTS:

LOSS ON FOREIGN EXCHANGE

PART X, LINE 2

RRG EVALUATES UNCERTAINTY IN INCOME TAX POSITIONS TAKEN OR EXPECTED TO BE TAKEN ON A TAX RETURN BASED ON THE MORE-LIKELY-THAN-NOT RECOGNITION STANDARD. IF THAT THRESHOLD IS MET, THE TAX POSITION IS THEN MEASURED AT THE LARGEST AMOUNT THAT IS GREATER THAN 50% LIKELY OF BEING REALIZED UPON ULTIMATE SETTLEMENT. AS OF DECEMBER 31, 2017, THERE ARE NO ACCRUALS FOR UNCERTAIN TAX POSITIONS. IF APPLICABLE, RRG RECORDS INTEREST AND PENALTIES AS A COMPONENT OF INCOME TAX EXPENSE. TAX YEARS FROM DECEMBER 31, 2014 THROUGH THE CURRENT YEAR REMAIN OPEN FOR EXAMINATION BY FEDERAL AND STATE AUTHORITIES.

SCHEDULE F
(Form 990)Department of the Treasury
Internal Revenue Service**Statement of Activities Outside the United States**

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 14b, 15, or 16.

▶ Attach to Form 990.

▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No 1545-0047

2017Open to Public
Inspection

Name of the organization

Employer identification number

RIGHTS AND RESOURCES INSTITUTE, INC.

20-3690821

Part I General Information on Activities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 14b

1 For grantmakers. Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ☐ Yes ☒ No

2 For grantmakers. Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States

3 Activities per Region (The following Part I, line 3 table can be duplicated if additional space is needed)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in the region	(d) Activities conducted in the region (by type) (such as, fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in the region	(f) Total expenditures for and investments in the region
CENTRAL AMERICA AND CARIBBEAN	0	0	GRANTS TO RECIPIENTS		16,665.
SOUTH AMERICA	0	1	GRANTS TO RECIPIENTS		2,452,926.
EAST ASIA AND THE PACIFIC	0	1	GRANTS TO RECIPIENTS		286,155.
EUROPE	0	2	GRANTS TO RECIPIENTS		50,000.
SOUTH ASIA	0	0	GRANTS TO RECIPIENTS		696,532.
SUB-SAHARAN AFRICA - ANGOLA, BENIN, BOTSWANA, BURKINA FASO,	0	0	GRANTS TO RECIPIENTS		568,381.
NORTH AMERICA - CANADA AND MEXICO, BUT NOT THE UNITED STATES	0	2	GRANTS TO RECIPIENTS		14,770.
3 a Sub-total	0	6			4,085,429.
b Total from continuation sheets to Part I	0	0			0.
c Totals (add lines 3a and 3b)	0	6			4,085,429.

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule F (Form 990) 2017

Part II Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of noncash assistance	(h) Description of noncash assistance	(i) Method of valuation (book, FMV, appraisal, other)
			SOUTH AMERICA	MONITORING AND ASSESSMENT OF CLIMATE CHANGE FUNDING OPPORTUNITIES FOR GUIDELINES PROPOSAL FOR PARTICIPATORY COMMUNITY FOREST MONITORING	29,843.EFT		0.		
			SOUTH AMERICA	SUPPORTING COLLECTIVE MOVEMENTS OF KUI COMMUNITIES TO CLAIM THEIR LAND RIGHTS	9,294.EFT		0.		
			EAST ASIA AND THE PACIFIC	STRENGTHENING AND PROMOTING INDIGENOUS PEOPLES SELF-GOVERNANCE IN SUPPORT FOR THE IMPLEMENTATION OF BUSINESS AND HUMAN RIGHTS PRINCIPLES IN	9,999.EFT		0.		
			EAST ASIA AND THE PACIFIC	ENCOURAGING RESPECT FOR HUMAN RIGHTS BY ENGAGING THE PRIVATE SECTOR IN COMMUNITY SECURING CUSTOMARY FOREST RIGHTS THROUGH SUPPORT TO THE PILOT PHASE OF LOCAL IMPACTS OF LARGE-SCALE LAND ACQUISITIONS ON LOCAL WOMEN'S LAND AND	32,640.EFT		0.		
			EAST ASIA AND THE PACIFIC		5,764.EFT		0.		
			EAST ASIA AND THE PACIFIC		26,275.EFT		0.		
			SUB-SAHARAN AFRICA		8,673.EFT		0.		
			GLOBAL		47,000.EFT		0.		

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter

3 Enter total number of other organizations or entities

Part II Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States. (Schedule F (Form 990), Part II, line 1)								
1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		SUB-SAHARAN AFRICA	COORDINATION FOR THE AFRICA REGIONAL STRATEGIC REVIEW AND UPDATE MEETING	22,270.	EFT	0.		
		SOUTH AMERICA	ADVOCACY STRATEGY TO EFFECTIVELY COORDINATE PROJECTS WITH LAND TITLING	39,300.	EFT	0.		
		SOUTH AMERICA	INDIGENOUS ORGANIZATIONS' UNIFIED PROPOSAL TO INCREASE THE NUMBER	35,000.	EFT	0.		
		SOUTH AMERICA	INDIGENOUS ECONOMY PLAN AS PUBLIC POLICY	50,068.	EFT	0.		
		SOUTH AMERICA	HOLDING THE GOVERNMENT ACCOUNTABLE FOR FULFILLING ITS NDC	50,000.	EFT	0.		
		SOUTH AMERICA	SAFEGUARDING INDIGENOUS PEOPLES RIGHTS IN THE PROPOSED NATIONAL	30,000.	EFT	0.		
		SOUTH ASIA	RRI INDIA PLANNING MEETING	86,064.	EFT	0.		
		SOUTH ASIA	STUDY ON THE CUSTOMARY LAND TENURE SYSTEMS IN THE 5TH AND 6TH SCHEDULED	13,855.	EFT	0.		
		SOUTH ASIA	ENABLING FOREST RIGHTS CLAIMS IN HIMACHAL PRADESH	3,720.	EFT	0.		

20-3690821

RIGHTS AND RESOURCES INSTITUTE, INC.

Schedule F (Form 990)

Part II Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States. (Schedule F (Form 990), Part II, line 1)									
1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
			SUB-SAHARAN AFRICA	CONVENING TO FINALIZE THE GENERAL COMMENT TO THE MAPUTO PROTOCOL ON WOMENS	48,000.EFT		0.		
			SUB-SAHARAN AFRICA	LIBERIA COALITION COORDINATION MEETINGS 2016	20,278.EFT		0.		
			SUB-SAHARAN AFRICA	ENHANCING COORDINATION AND COMMUNICATION AMONGST RRI COLLABORATORS	4,372.EFT		0.		
			SUB-SAHARAN AFRICA	LEADERSHIP AND PARTICIPATION OF WOMEN AND YOUTH IN THE REDD+ PROCESS IN	31,615.EFT		0.		
			EAST ASIA AND THE PACIFIC	NEPAL COUNTRY PLANNING MEETING FOR 2018 ACTIVITIES	42,761.EFT		0.		
			EAST ASIA AND THE PACIFIC	LEGAL RECOGNITION OF THE FOREST RIGHTS FOR LOCAL COMMUNITIES AND INDIGENOUS PEOPLES IN	22,913.EFT		0.		
			EAST ASIA AND THE PACIFIC	STRATEGIC ANALYSIS AND ADVOCACY FOR CONTINUED SUPPORT FROM GOVERNMENT AND	50,461.EFT		0.		
			SUB-SAHARAN AFRICA	PRODUCTION AND SUBMISSION OF REPORT UPDATING JURISPRUDENCE AND	8,040.EFT		0.		
			SUB-SAHARAN AFRICA	PROJECT 1: LEGAL AUDIT OF KENYAS LAND LAWS AND POLICIES; PROJECT 2: LEGAL	5,292.EFT		0.		

Part II Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States. (Schedule F (Form 990), Part II, line 1)									
1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
			SUB-SAHARAN AFRICA	SECURING CUSTOMARY LAND RIGHTS OF KENYA'S FOREST-DWELLING	403,157.EFT		0.		
			SUB-SAHARAN AFRICA	DOCUMENTATION OF COMMUNITY BASED FOREST ENTERPRISES	15,262.EFT		0.		
			SUB-SAHARAN AFRICA	FOREST RIGHTS COORDINATION.GROUP (FRCG) MEETINGS	38,213.EFT		0.		
			SUB-SAHARAN AFRICA	AWARENESS-RAISING AMONG LAWMAKERS ON PRO-COMMUNITY ASPECTS OF THE LAND RIGHTS	30,000.EFT		0.		
			SUB-SAHARAN AFRICA	SECURING COLLECTIVE LAND AND RESOURCE RIGHTS IN WOLOGIZI MOUNTAIN RANGE	72,669.EFT		0.		
			SUB-SAHARAN AFRICA	TENURE SECURITY INDEX RESEARCH BEING UNDERTAKEN AND NORTHEASTERN	111,000.EFT		0.		
			EAST ASIA AND THE PACIFIC	MINIMISING VIOLENCE AND CASUALTIES OF THE TENURE RIGHTS CONFLICTS	2,898.EFT		0.		
			SUB-SAHARAN AFRICA	ADVOCACY STRATEGY TO MONITOR THE EFFECTIVE IMPLEMENTATION OF THE PEACE AGREEMENT LAWS	29,838.EFT		0.		
			SUB-SAHARAN AFRICA	GENERATION OF KEY INFORMATION ON LAND TITLING INITIATIVES FOR INTERSECTIONAL	6,000.EFT		0.		

Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States. (Schedule F (Form 990), Part II, line 1)									
1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
			SUB-SAHARAN AFRICA	ADVOCACY WITH THE COUNCIL OF MINISTERS TO INCLUDE COMMUNITY RECOMMENDATIONS IN	2,222.EFT		0.		
			SUB-SAHARAN AFRICA	SUPPORT FOR THE SOCIALIZATION OF MALI'S NATIONAL LAND POLICY	5,000.EFT		0.		
			EAST ASIA AND THE PACIFIC	THE RECOGNITION OF ANCESTRAL DOMAINS IN THE CUSTOMARY FOREST SCHEME	22,591.EFT		0.		
			EAST ASIA AND THE PACIFIC	URGENT ACTION OF EFFECTIVE RECOGNITION OF ADAT FORESTS IN INDONESIA	37,000.EFT		0.		
			SUB-SAHARAN AFRICA	SEIZING OPPORTUNITIES FOR ADVANCING THE RIGHTS OF GUINEAN COMMUNITIES AFFECTED	35,000.CHECK		0.		
			SUB-SAHARAN AFRICA	SEIZING POLITICAL OPPORTUNITIES	51,792.CHECK		0.		
			SUB-SAHARAN AFRICA	SUPPORT FOR A PROVINCIAL LEGAL FRAMEWORK TO RECOGNIZE AND SECURE	400.EFT		0.		
			SUB-SAHARAN AFRICA	COMMUNICATIONS AND LOGISTICAL SUPPORT FOR THE RRI ANNUAL REVIEW LAUNCH	75,070.EFT		0.		
			SOUTH AMERICA	BRAZILIAN INDIGENOUS PEOPLES MOBILIZATION IN DEFENSE OF THEIR CONSTITUTIONAL RIGHTS	16,393.EFT		0.		

Part II Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States. (Schedule F (Form 990), Part II, line 1)									
1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
			SOUTH ASIA	STUDY AND DATA ANALYSIS FOR FOREST PLANTATIONS UNDER CAMPA	19,915.EFT		0.		
			GLOBAL	DEVELOPMENT OF AN AGRARIAN CONFLICT, NATURAL RESOURCE MANAGEMENT AND	2,433.EFT		0.		
			GLOBAL	ENCOURAGING THE ACCELERATION OF THE RECOGNITION OF COMMUNITY MANAGEMENT	30,000.EFT		0.		
				SUPPORT TO JVAM FOR ENHANCING FOREST ENTITLEMENTS IN JHARKHAND THROUGH	7,605.EFT		0.		
				ANTI-CRIMINALIZATION CAMPAIGN AND SUPPORT FUND FOR VICTIMS OF CRIMINALIZATION	50,000.EFT		0.		
			GLOBAL	ENSURING EQUITABLE AGRARIAN REFORM PROCESSES BY COLLECTIVE ACTION	35,000.EFT		0.		
			EAST ASIA AND THE PACIFIC	STRATEGIC SUPPORT FOR KENDENG COMMUNITIES	75,930.EFT		0.		
			#N/A	ASSESSMENT OF STAETS OF IMPLEMENTATION OF FRA	29,869.EFT		0.		
			SOUTH ASIA	TRACKING AND ANALYZING LAND CONFLICTS IN INDIA	3,843.EFT		0.		

Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States. (Schedule F (Form 990), Part II, line 1)								
1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
			INDIGENOUS WOMEN'S ACCESS TO LAND AND TERRITORIAL GOVERNANCE UNDER LAND	19,581.EFT		0.		
		SOUTH AMERICA	ADVOCACY STRATEGY FOR THE INCLUSION OF INDIGENOUS, AFRO DESCENDANT, AND	6,866.EFT		0.		
		SOUTH AMERICA	THE GLOBAL CALL TO ACTION ON INDIGENOUS AND COMMUNITY LAND RIGHTS COORDINATION	30,000.EFT		0.		
		GLOBAL	LEGAL MONITORING OF FOREST POLICY-RELATED CASES IN 2017	26,594.EFT		0.		
		SOUTH ASIA	TERRITORIAL RIGHTS AND COLLECTIVE LAND TITLING OPPORTUNITIES FOR AFRO-COLOMBIAN	16,665.EFT		0.		
			ANALYSIS ON THE VULNERABILITY OF THE TERRITORIAL RIGHTS OF AFRO DESCENDANT	5,664.EFT		0.		
		SOUTH AMERICA	ASIA REGIONAL STRATEGY ON GENDER AND TENURE	28,391.EFT		0.		
		SOUTH ASIA	ADVOCACY FOR COMMUNITY TENURE RIGHTS IN TFA2020, RSPO, AND OIL PALM	20,000.EFT		0.		
		SUB-SAHARAN AFRICA						
		EAST ASIA AND THE PACIFIC	COMMUNITY BASED FOREST ENTERPRISE	38,473.EFT		0.		

Part II Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States. (Schedule F (Form 990), Part II, line 1)									
1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
			SOUTH ASIA	ENABLING THE PASTORAL COMMUNITIES OF BANNA TO SECURE LAND RIGHTS AND CONSERVE AND INDIGENOUS KNOWLEDGE-BASED SUSTAINABLE PLANNING AND MANAGEMENT OF	37,697.EFT		0.		
			GLOBAL		3,280.EFT		0.		
			SOUTH AMERICA	COMMUNITY TO COMMUNITY EXCHANGE INDONESIA-GUATEMALA	2,206.EFT		0.		
			SOUTH ASIA	RRI FACILITATION IN SOUTHEAST ASIA	20,144.EFT		0.		
			EAST ASIA AND THE PACIFIC	FOREST AND LAND TENURE, AND GOVERNANCE FOR EQUITABLE	20,020.EFT		0.		
			SUB-SAHARAN AFRICA	CIVIL SOCIETY ADVOCACY ON REMAINING CRITICAL AREAS OF CONCERN TO SECURE	1,942,731.EFT		0.		
			SUB-SAHARAN AFRICA	REALIZING RIGHTS IN LIBERIA'S PALM OIL CONCESSIONS THROUGH LEGAL AND TECHNICAL	228,882.EFT		0.		
			GLOBAL	3RD SCALING-UP STRATEGIES CONFERENCE IN STOCKHOLM, SWEDEN.	102,320.EFT		0.		
			SOUTH AMERICA	PREPARE A SHORT CASE STUDY ON PROTECTED AREAS AND INDIGENOUS RIGHTS IN PERUS MANU	20,000.EFT		0.		

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RIGHTS AND RESOURCES INSTITUTE, INC.

Schedule F (Form 990)

Part II Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States. (Schedule F (Form 990), Part II, line 1)								
1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		SOUTH AMERICA	PROPOSALS TO IMPROVE THE PROCEDURES MANUAL TO TITLE NATIVE AND PEASANT COMMUNITIES	9,720.EFT		0.		
		SOUTH AMERICA	THE OBJECTIVE OF THIS GRANT IS TO CONTRIBUTE TO THE LEGAL SECURITY OF 5	5,404.EFT		0.		
		GLOBAL	FACILITATION FOR COLOMBIA AND REGIONAL SUPPORT	50,891.EFT		0.		
		SOUTH ASIA	TRAINING AND ORIENTATION PROGRAM FOR STUDENTS AND SCHOLARS ON COMMUNITY	30,000.EFT		0.		
		#N/A	SCALING UP INVESTOR AND CORPORATE ACTION TO SECURE COMMUNITY LAND RIGHTS AND	50,000.EFT		0.		
		NORTH AMERICA	COMMUNITY FOREST ENTERPRISES STUDY	14,770.EFT		0.		
		SOUTH ASIA	SCALING UP PARTICIPATORY COMMUNITY MAPPING AND FRA IMPLEMENTATION IN	9,577.EFT		0.		
		SOUTH ASIA	CAMPAIGN TO SAFEGUARDS, TRIBAL AND FOREST DWELLER RIGHTS IN THE CAMPA	12,202.EFT		0.		
		SOUTH ASIA	SCALING UP COMMUNITIES FOREST RIGHTS IN INDIA	16,460.EFT		0.		

Part IV Foreign Forms

- 1 Was the organization a U S transferor of property to a foreign corporation during the tax year? If "Yes," the organization may be required to file Form 926, Return by a U S Transferor of Property to a Foreign Corporation (see Instructions for Form 926) ☐ Yes ☒ No
- 2 Did the organization have an interest in a foreign trust during the tax year? If "Yes," the organization may be required to separately file Form 3520, Annual Return To Report Transactions With Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U S Owner (see Instructions for Forms 3520 and 3520-A, don't file with Form 990) ☐ Yes ☒ No
- 3 Did the organization have an ownership interest in a foreign corporation during the tax year? If "Yes," the organization may be required to file Form 5471, Information Return of U S Persons With Respect To Certain Foreign Corporations (see Instructions for Form 5471) ☐ Yes ☒ No
- 4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund (see Instructions for Form 8621) ☐ Yes ☒ No
- 5 Did the organization have an ownership interest in a foreign partnership during the tax year? If "Yes," the organization may be required to file Form 8865, Return of U S Persons With Respect to Certain Foreign Partnerships (see Instructions for Form 8865) ☐ Yes ☒ No
- 6 Did the organization have any operations in or related to any boycotting countries during the tax year? If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see Instructions for Form 5713, don't file with Form 990) ☐ Yes ☒ No

Schedule F (Form 990) 2017

Part V Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds), Part I, line 3, column (f) (accounting method, amounts of investments vs expenditures per region), Part II, line 1 (accounting method), Part III (accounting method), and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions.

PART II, COLUMN (D):

REGION: SOUTH AMERICA

(D) PURPOSE OF GRANT: MONITORING AND ASSESSMENT OF CLIMATE CHANGE

FUNDING OPPORTUNITIES FOR ETHNIC COMMUNITIES

REGION: EAST ASIA AND THE PACIFIC

(D) PURPOSE OF GRANT: SUPPORTING COLLECTIVE MOVEMENTS OF KUI COMMUNITIES

TO CLAIM THEIR LAND RIGHTS AGAINST SUGARCANE CONCESSIONS

REGION: EAST ASIA AND THE PACIFIC

(D) PURPOSE OF GRANT: STRENGTHENING AND PROMOTING INDIGENOUS PEOPLES

SELF-GOVERNANCE IN ODISHA AND CHHATTISGARH

REGION: EAST ASIA AND THE PACIFIC

(D) PURPOSE OF GRANT: SUPPORT FOR THE IMPLEMENTATION OF BUSINESS AND

HUMAN RIGHTS PRINCIPLES IN LIGHT OF TENURIAL ISSUES IN INDONESIA

REGION: EAST ASIA AND THE PACIFIC

(D) PURPOSE OF GRANT: ENCOURAGING RESPECT FOR HUMAN RIGHTS BY ENGAGING

THE PRIVATE SECTOR IN COMMUNITY TENURE RECOGNITION

REGION: SUB-SAHARAN AFRICA

(D) PURPOSE OF GRANT: SECURING CUSTOMARY FOREST RIGHTS THROUGH SUPPORT

TO THE PILOT PHASE OF LOCAL COMMUNITY FORESTRY CONCESSIONS IN DRC

REGION: GLOBAL

(D) PURPOSE OF GRANT: IMPACTS OF LARGE-SCALE LAND ACQUISITIONS ON LOCAL

Part V Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds), Part I, line 3, column (f) (accounting method, amounts of investments vs. expenditures per region), Part II, line 1 (accounting method), Part III (accounting method), and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions.

WOMEN'S LAND AND FOREST TENURE RIGHTS: CASE STUDIES FORM INDONESIA**REGION: SOUTH AMERICA**

(D) PURPOSE OF GRANT: ADVOCACY STRATEGY TO EFFECTIVELY COORDINATE
PROJECTS WITH LAND TITLING COMPONENTS WITH THE PARTICIPATION OF
INDIGENOUS ORGANIZATIONS

REGION: SOUTH AMERICA

(D) PURPOSE OF GRANT: INDIGENOUS ORGANIZATIONS' UNIFIED PROPOSAL TO
INCREASE THE NUMBER OF COMMUNITIES TO BE TITLED UNDER THE CURRENT LAND
TITLING PROJECTS IN PERU

REGION: SOUTH AMERICA

(D) PURPOSE OF GRANT: HOLDING THE GOVERNMENT ACCOUNTABLE FOR FULFILLING
ITS NDC COMMITMENTS AND INCLUDING INDIGENOUS PROPOSALS

REGION: SOUTH AMERICA

(D) PURPOSE OF GRANT: SAFEGUARDING INDIGENOUS PEOPLES RIGHTS IN THE
PROPOSED NATIONAL PROTECTED AREA (NPA) YAGUAS, PERU

REGION: SOUTH ASIA

(D) PURPOSE OF GRANT: STUDY ON THE CUSTOMARY LAND TENURE SYSTEMS IN THE
5TH AND 6TH SCHEDULED AREAS OF INDIA

REGION: SUB-SAHARAN AFRICA

(D) PURPOSE OF GRANT: CONVENING TO FINALIZE THE GENERAL COMMENT TO THE
MAPUTO PROTOCOL ON WOMENS LAND AND PROPERTY RIGHTS

Part V Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds), Part I, line 3, column (f) (accounting method, amounts of investments vs. expenditures per region), Part II, line 1 (accounting method), Part III (accounting method), and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions.

REGION: SUB-SAHARAN AFRICA

(D) PURPOSE OF GRANT: ENHANCING COORDINATION AND COMMUNICATION AMONGST
RRI COLLABORATORS WORKING ON TENURE RIGHTS AND FOREST GOVERNANCE IN
LIBERIA

REGION: SUB-SAHARAN AFRICA

(D) PURPOSE OF GRANT: LEADERSHIP AND PARTICIPATION OF WOMEN AND YOUTH IN
THE REDD+ PROCESS IN LIBERIA

REGION: EAST ASIA AND THE PACIFIC

(D) PURPOSE OF GRANT: LEGAL RECOGNITION OF THE FOREST RIGHTS FOR LOCAL
COMMUNITIES AND INDIGENOUS PEOPLES IN NEPAL

REGION: EAST ASIA AND THE PACIFIC

(D) PURPOSE OF GRANT: STRATEGIC ANALYSIS AND ADVOCACY FOR CONTINUED
SUPPORT FROM GOVERNMENT AND DONORS FOR COMMUNITY FORESTRY DEVELOPMENT IN
NEPAL

REGION: SUB-SAHARAN AFRICA

(D) PURPOSE OF GRANT: PRODUCTION AND SUBMISSION OF REPORT UPDATING
JURISPRUDENCE AND LEGAL ADVANCES RELEVANT TO INDIGENOUS PEOPLES/HUMAN
RIGHTS AND CONSERVATION

REGION: SUB-SAHARAN AFRICA

(D) PURPOSE OF GRANT: PROJECT 1: LEGAL AUDIT OF KENYAS LAND LAWS AND
POLICIES; PROJECT 2: LEGAL PROCEEDINGS TO ALIGN THE FOREST CONSERVATION

Part V Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds), Part I, line 3, column (f) (accounting method, amounts of investments vs. expenditures per region), Part II, line 1 (accounting method), Part III (accounting method), and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions.

AND MANAGEMENT ACT WITH THE 2010 KENYA CONSTITUTION

REGION: SUB-SAHARAN AFRICA

(D) PURPOSE OF GRANT: SECURING CUSTOMARY LAND RIGHTS OF KENYA'S
FOREST-DWELLING COMMUNITIES THROUGH THE LEGAL FRAMEWORK OF THE COMMUNITY
LAND ACT

REGION: SUB-SAHARAN AFRICA

(D) PURPOSE OF GRANT: AWARENESS-RAISING AMONG LAWMAKERS ON PRO-COMMUNITY
ASPECTS OF THE LAND RIGHTS ACT (LRA)

REGION: SUB-SAHARAN AFRICA

(D) PURPOSE OF GRANT: SECURING COLLECTIVE LAND AND RESOURCE RIGHTS IN
WOLOGIZI MOUNTAIN RANGE THROUGH COMMUNITY-BASED INSTITUTIONS

REGION: SUB-SAHARAN AFRICA

(D) PURPOSE OF GRANT: TENURE SECURITY INDEX RESEARCH BEING UNDERTAKEN
AND NORTHEASTERN UNIVERSITY

REGION: SUB-SAHARAN AFRICA

(D) PURPOSE OF GRANT: ADVOCACY STRATEGY TO MONITOR THE EFFECTIVE
IMPLEMENTATION OF THE PEACE AGREEMENT LAWS TO ENSURE RESPECT FOR
TERRITORIAL AND ETHNIC RIGHTS OF AFRO DESCENDANT COMMUNITIES

REGION: SUB-SAHARAN AFRICA

(D) PURPOSE OF GRANT: GENERATION OF KEY INFORMATION ON LAND TITLING
INITIATIVES FOR INTERSECTIONAL COORDINATION

Part V. Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds), Part I, line 3, column (f) (accounting method, amounts of investments vs. expenditures per region), Part II, line 1 (accounting method), Part III (accounting method), and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions.

REGION: SUB-SAHARAN AFRICA

(D) PURPOSE OF GRANT: ADVOCACY WITH THE COUNCIL OF MINISTERS TO INCLUDE
COMMUNITY RECOMMENDATIONS IN THE NATIONAL LAND POLICY

REGION: SUB-SAHARAN AFRICA

(D) PURPOSE OF GRANT: SEIZING OPPORTUNITIES FOR ADVANCING THE RIGHTS OF
GUINEAN COMMUNITIES AFFECTED BY ANGLOGOLD ASHANTI

REGION: SUB-SAHARAN AFRICA

(D) PURPOSE OF GRANT: SUPPORT FOR A PROVINCIAL LEGAL FRAMEWORK TO
RECOGNIZE AND SECURE LOCAL COMMUNITIES' AND INDIGENOUS PEOPLES' LAND
RIGHTS IN SOUTH

REGION: GLOBAL

(D) PURPOSE OF GRANT: DEVELOPMENT OF AN AGRARIAN CONFLICT, NATURAL
RESOURCE MANAGEMENT AND COMMUNITY MAPPING GEOPORTAL

REGION: GLOBAL

(D) PURPOSE OF GRANT: ENCOURAGING THE ACCELERATION OF THE RECOGNITION OF
COMMUNITY MANAGEMENT AREA THROUGH IDENTIFICATION OF COMMUNITY MANAGEMENT
SPACE PRIORITY AREAS AND ISSUE UPDATING AND TENURIAL CHALLENGES POST
LOMBIK CONFERENCE

REGION: EAST ASIA AND THE PACIFIC

(D) PURPOSE OF GRANT: SUPPORT TO JVAM FOR ENHANCING FOREST ENTITLEMENTS
IN JHARKHAND THROUGH PROPER IMPLEMENTATION OF FRA 2006

Part V Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds), Part I, line 3, column (f) (accounting method, amounts of investments vs. expenditures per region), Part II, line 1 (accounting method), Part III (accounting method), and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions.

REGION: SOUTH AMERICA

(D) PURPOSE OF GRANT: INDIGENOUS WOMEN'S ACCESS TO LAND AND TERRITORIAL GOVERNANCE UNDER LAND TITLING PROJECTS IN PERU

REGION: SOUTH AMERICA

(D) PURPOSE OF GRANT: ADVOCACY STRATEGY FOR THE INCLUSION OF INDIGENOUS, AFRO DESCENDANT, AND PEASANT WOMENS COLLECTIVE RIGHTS PERSPECTIVE IN THE NATIONAL PUBLIC POLICY OF RURAL WOMEN

REGION: CENTRAL AMERICA AND THE CARIBBEAN

(D) PURPOSE OF GRANT: TERRITORIAL RIGHTS AND COLLECTIVE LAND TITLING OPPORTUNITIES FOR AFRO-COLOMBIAN COMMUNITIES IN THE CARIBBEAN REGION

REGION: SOUTH AMERICA

(D) PURPOSE OF GRANT: ANALYSIS ON THE VULNERABILITY OF THE TERRITORIAL RIGHTS OF AFRO DESCENDANT COMMUNITIES LACKING LAND RECOGNITION IN THE CONTEXT OF THE IMPLEMENTATION OF THE PEACE ACCORDS IN COLOMBIA

REGION: SUB-SAHARAN AFRICA

(D) PURPOSE OF GRANT: ADVOCACY FOR COMMUNITY TENURE RIGHTS IN TFA2020, RSPO, AND OIL PALM WORKING GROUP

REGION: SOUTH ASIA

(D) PURPOSE OF GRANT: ENABLING THE PASTORAL COMMUNITIES OF BANNI TO SECURE LAND RIGHTS AND CONSERVE AND MANAGE THEIR GRAZING LANDS

Part V Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds), Part I, line 3, column (f) (accounting method, amounts of investments vs expenditures per region), Part II, line 1 (accounting method), Part III (accounting method), and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions.

REGION: GLOBAL

(D) PURPOSE OF GRANT: INDIGENOUS KNOWLEDGE-BASED SUSTAINABLE PLANNING
AND MANAGEMENT OF NATURAL RESOURCES FOR LOCAL SOCIAL AND ECONOMIC
DEVELOPMENT

REGION: EAST ASIA AND THE PACIFIC

(D) PURPOSE OF GRANT: FOREST AND LAND TENURE, AND GOVERNANCE FOR
EQUITABLE DEVELOPMENT: CLOSING THE GAP BETWEEN COMMITMENT AND REALIZATION

REGION: SUB-SAHARAN AFRICA

(D) PURPOSE OF GRANT: CIVIL SOCIETY ADVOCACY ON REMAINING CRITICAL AREAS
OF CONCERN TO SECURE CUSTOMARY LAND RIGHTS THROUGH THE PASSAGE OF THE
LAND RIGHTS ACT IN LIBERIA

REGION: SUB-SAHARAN AFRICA

(D) PURPOSE OF GRANT: REALIZING RIGHTS IN LIBERIA'S PALM OIL CONCESSIONS
THROUGH LEGAL AND TECHNICAL SUPPORT TO COMMUNITIES IN GRAND KRU COUNTY

REGION: SOUTH AMERICA

(D) PURPOSE OF GRANT: PREPARE A SHORT CASE STUDY ON PROTECTED AREAS AND
INDIGENOUS RIGHTS IN PERUS MANU NATIONAL PARK, AUTHORED BY SILVANA
BALDOVINO

REGION: SOUTH AMERICA

(D) PURPOSE OF GRANT: PROPOSALS TO IMPROVE THE PROCEDURES MANUAL TO
TITLE NATIVE AND PEASANT COMMUNITIES IN PERU

Part V Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds), Part I, line 3, column (f) (accounting method, amounts of investments vs expenditures per region), Part II, line 1 (accounting method), Part III (accounting method), and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions.

REGION: SOUTH AMERICA

(D) PURPOSE OF GRANT: THE OBJECTIVE OF THIS GRANT IS TO CONTRIBUTE TO THE LEGAL SECURITY OF 5 MILLION HECTARES OF COMMUNITY LANDS NATIONWIDE AND 200, 000 HECTARES IN THE TERRITORIES OF THE NATIVE COMMUNITIES OF LORETO AND MADRE DE DIOS, PERU

REGION: SOUTH ASIA

(D) PURPOSE OF GRANT: TRAINING AND ORIENTATION PROGRAM FOR STUDENTS AND SCHOLARS ON COMMUNITY FOREST RESOURCE RIGHTS UNDER THE FRA

REGION: #N/A

(D) PURPOSE OF GRANT: SCALING UP INVESTOR AND CORPORATE ACTION TO SECURE COMMUNITY LAND RIGHTS AND PROMOTE RESPONSIBLE INVESTMENT

REGION: SOUTH ASIA

(D) PURPOSE OF GRANT: SCALING UP PARTICIPATORY COMMUNITY MAPPING AND FRA IMPLEMENTATION IN ODISHA

REGION: SOUTH ASIA

(D) PURPOSE OF GRANT: CAMPAIGN TO SAFEGUARDS, TRIBAL AND FOREST DWELLER RIGHTS IN THE CAMPA RULES

REGION: EAST ASIA AND THE PACIFIC

(D) PURPOSE OF GRANT: SAFEGUARDING PROTECTED FORESTS THROUGH MAPPING AND NTFP HARVESTING AND COMMUNITY FOREST ENTERPRISES

**Grants and Other Assistance to Organizations,
Governments, and Individuals in the United States**
Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.

► **Attach to Form 990.**

► Go to www.irs.gov/Form990 for the latest information.

2017

Open to Public Inspection

Name of the organization

RIGHTS AND RESOURCES INSTITUTE, INC.

Employer identification number
20-3690821

Part I	General Information on Grants and Assistance
---------------	---

- 1** Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?
- 2** Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

☒ Yes ☐ No

Part II	Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any

recipient that received more than \$5,000 Part II can be duplicated if additional space is needed

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
WORLD RESOURCES INSTITUTE 10 G STREET NE WASHINGTON, DC 20002	52-1257057	501 (C) (3)	50,000.	0.			LANDMARK PLATFORM OF INDIGENOUS PEOPLES' AND COMMUNITY LAND.
INCLUSIVE DEVELOPMENT INTERNATIONAL - 9 SW PACK SQUARE, SUITE 302 - ASHEVILLE, NC 28001	95-4116679	501 (C) (3)	2,606.	0.			SEIZING POLITICAL OPPORTUNITIES FOR ADVANCING TENURE RIGHTS.

- 2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table
- 3 Enter total number of other organizations listed in the line 1 table

2.

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2017)

Part III

Grants and Other Assistance to Domestic Individuals. Complete if the organization answered "Yes" on Form 990, Part IV, line 22.
Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of noncash assistance

Part IV

Supplemental Information. Provide the information required in Part I, line 2, Part III, column (b), and any other additional information

PART I, LINE 2:

GRANTEES ARE SELECTED FOR A PARTICULAR PROJECT FOLLOWING OUR PROCUREMENT PROCESS OF SEEKING THREE BIDS OR BY DOCUMENTING THE REASON FOR A SOLE-SOURCE SELECTION. AN AGREEMENT IS DRAWN UP TO MEMORIALIZE THE TERMS OF THE GRANT AND SIGNED BY BOTH PARTIES. THE AGREEMENT SPECIFIES THE DELIVERABLES. RRG STAFF REVIEW THE SUBMITTED DELIVERABLES AND FINANCIAL REPORT AND APPROVE OR RETURN FOR CLARIFICATION. ONCE APPROVED, A FINAL DISBURSEMENT OF FUNDS IS MADE.

**SCHEDULE J
(Form 990)**

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest
Compensated Employees

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 23.

▶ Attach to Form 990.

▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No 1545-0047

2017

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Inspection

Name of the organization

RIGHTS AND RESOURCES INSTITUTE, INC.

Employer identification number

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Part I Questions Regarding Compensation

1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.

- | | |
|--|---|
| <input type="checkbox"/> First-class or charter travel | <input type="checkbox"/> Housing allowance or residence for personal use |
| <input type="checkbox"/> Travel for companions | <input type="checkbox"/> Payments for business use of personal residence |
| <input type="checkbox"/> Tax indemnification and gross-up payments | <input type="checkbox"/> Health or social club dues or initiation fees |
| <input type="checkbox"/> Discretionary spending account | <input type="checkbox"/> Personal services (such as, maid, chauffeur, chef) |

1b If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain

2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, and officers, including the CEO/Executive Director, regarding the items checked on line 1a?

3 Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III

- | | |
|---|---|
| <input type="checkbox"/> Compensation committee | <input type="checkbox"/> Written employment contract |
| <input type="checkbox"/> Independent compensation consultant | <input type="checkbox"/> Compensation survey or study |
| <input checked="" type="checkbox"/> Form 990 of other organizations | <input checked="" type="checkbox"/> Approval by the board or compensation committee |

4 During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization.

- a** Receive a severance payment or change-of-control payment?
- b** Participate in, or receive payment from, a supplemental nonqualified retirement plan?
- c** Participate in, or receive payment from, an equity-based compensation arrangement?

If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.

Only section 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.

5 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of

- a** The organization?
- b** Any related organization?

If "Yes" on line 5a or 5b, describe in Part III

6 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of.

- a** The organization?
- b** Any related organization?

If "Yes" on line 6a or 6b, describe in Part III

7 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments not described on lines 5 and 6? If "Yes," describe in Part III

8 Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III

9 If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?

	Yes	No
1b		
2		
4a		X
4b		X
4c		X
5a		X
5b		X
6a		X
6b		X
7		X
8		X
9		

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2017

Part III	Supplemental Information
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Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

[illegible]

SCHEDULE L
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Transactions With Interested Persons

▶ **Complete if the organization answered "Yes" on Form 990, Part IV, line 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V, line 38a or 40b.**

▶ **Attach to Form 990 or Form 990-EZ.**

▶ **Go to www.irs.gov/Form990 for instructions and the latest information.**

OMB No 1545-0047

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Part I Excess Benefit Transactions (section 501(c)(3), section 501(c)(4), and 501(c)(29) organizations only).

Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b

1	(a) Name of disqualified person	(b) Relationship between disqualified person and organization	(c) Description of transaction	(d) Corrected?	
				Yes	No

2 Enter the amount of tax incurred by the organization managers or disqualified persons during the year under section 4958

▶ \$

3 Enter the amount of tax, if any, on line 2, above, reimbursed by the organization

▶ \$

Part II Loans to and/or From Interested Persons.

Complete if the organization answered "Yes" on Form 990-EZ, Part V, line 38a or Form 990, Part IV, line 26, or if the organization reported an amount on Form 990, Part X, line 5, 6, or 22

(a) Name of interested person	(b) Relationship with organization	(c) Purpose of loan	(d) Loan to or from the organization?		(e) Original principal amount	(f) Balance due	(g) In default?		(h) Approved by board or committee?		(i) Written agreement?	
			To	From			Yes	No	Yes	No	Yes	No

Total ▶ \$

Part III Grants or Assistance Benefiting Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 27

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of assistance	(d) Type of assistance	(e) Purpose of assistance

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule L (Form 990 or 990-EZ) 2017

Part IV Business Transactions Involving Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
ARVIND KHARE	RRG EXECUTIVE DIREC	156,802.	CONSULTANT		X
ANTOINETTE ROYO	ANTOINETTE ROYO SAM	167,780.	COLLABORATI		X

Part V Supplemental Information

Provide additional information for responses to questions on Schedule L (see instructions)

SCH L, PART IV, BUSINESS TRANSACTIONS INVOLVING INTERESTED PERSONS:

(A) NAME OF PERSON: ARVIND KHARE

(B) RELATIONSHIP BETWEEN INTERESTED PERSON AND ORGANIZATION:

RRG EXECUTIVE DIRECTOR, RETIRED OCT 4, 2015

(C) AMOUNT OF TRANSACTION \$ 156,802.

(D) DESCRIPTION OF TRANSACTION: CONSULTANT FEES

(E) SHARING OF ORGANIZATION REVENUES? = NO

(A) NAME OF PERSON: ANTOINETTE ROYO

(B) RELATIONSHIP BETWEEN INTERESTED PERSON AND ORGANIZATION:

ANTOINETTE ROYO SAMDHANA EXECUTIVE DIRECTOR AND RRG BOARD MEMBER

(C) AMOUNT OF TRANSACTION \$ 167,780.

(D) DESCRIPTION OF TRANSACTION: COLLABORATIVE AGREEMENT TO RRI PARTNER ORGANIZATION

(E) SHARING OF ORGANIZATION REVENUES? = NO

SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on
Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

▶ Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

2017

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Inspection

Name of the organization

RIGHTS AND RESOURCES INSTITUTE, INC.

Employer identification number
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FORM 990, PART I, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

AND MARGINALIZATION BY PROMOTING GREATER GLOBAL COMMITMENT AND ACTION
TOWARDS POLICY, MARKET, AND LEGAL REFORMS THAT SECURE THEIR RIGHTS TO
OWN, CONTROL AND BENEFIT FROM NATURAL RESOURCES, ESPECIALLY LAND AND
FORESTS.

FORM 990, PART III, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

RESOURCES, ESPECIALLY LAND AND FORESTS.

FORM 990, PART III, LINE 4A, PROGRAM SERVICE ACCOMPLISHMENTS:

CAN NOW BE GIVEN LEGAL STATUS THROUGH REGENT DECREES, OPENING THE WAY
FOR TENURE RIGHTS RECOGNITION OVER 8,000 HA OF ADAT TERRITORY (PART OF
IT IS INCLUDED IN A NATIONAL PARK).

THE COMMUNITY FORESTRY FEDERATION (FECOFUN) AND THE INDIGENOUS PEOPLE'S
FEDERATION (NEFIN), ALONG WITH DALIT ORGANIZATIONS, WOMEN'S
ORGANIZATIONS, AND CSOS CAME TOGETHER TO PUSH FOR A FOREST RIGHTS LAW
AS A FOLLOW UP TO THE ADOPTION OF THE NEW CONSTITUTION. A NUMBER OF
CRUCIAL STEPS, INCLUDING WIDESPREAD CONSULTATION AMONGST VARIOUS
STAKEHOLDERS, NEGOTIATIONS BETWEEN THE KEY STAKEHOLDERS (COMMUNITY
FORESTRY GROUPS, INDIGENOUS PEOPLES' FEDERATIONS, DALIT ORGANIZATIONS,
MADHESI ORGANIZATIONS, AND WOMEN'S ORGANIZATIONS) HAVE TAKEN PLACE, AND
BASED ON A CONSENSUS BETWEEN THESE STAKEHOLDERS, A FOREST RIGHTS LAW
WAS DRAFTED IN EARLY 2017. PARLIAMENTARIANS FROM ALL THREE MAIN
POLITICAL PARTIES HAVE BEEN A PART OF THIS DISCUSSION. MOBILIZATION TO
PASS THE LAW HAS BEEN POSTPONED REPEATEDLY DUE TO THE HIGHLY

CONTROVERSIAL LOCAL ELECTIONS ORGANIZED FOR THE FIRST TIME UNDER THE

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule O (Form 990 or 990-EZ) (2017)

732211 09-07-17

Name of the organization

RIGHTS AND RESOURCES INSTITUTE, INC.

Employer identification number

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NEW CONSTITUTIONAL PROVISIONS. A PLANNED VISIT OF MEMBER OF PARLIAMENT TO MEXICO TO EXPOSE THEM TO THE POTENTIAL OF COMMUNITY FORESTRY FOR DEVELOPMENT HAS BEEN POSTPONED FOR THE SAME REASON. RRI PARTNERS AND COLLABORATORS WILL START WITH THE MOBILIZATION FOR ENACTING THE FOREST RIGHTS LAW IN THE COMING MONTHS. EFFORTS FROM ALL THREE ACTORS IN NEPAL WHO HAVE WORKED COLLABORATIVELY TOGETHER THROUGHOUT THE YEAR AND ARE IMPORTANT ALLIES HAVE ALREADY RESULTED IN A TOTAL OF 753 FECOFUN COMMUNITY MEMBERS BEING ELECTED REPRESENTATIVES IN VARIOUS LOCAL GOVERNMENTAL POSITIONS.

ALTHOUGH THE LOWER HOUSE PASSED A DRAFT OF THE LAND RIGHTS ACT (LRA) IN MID-AUGUST, THIS DRAFT WAS NOT ALIGNED WITH THE LETTER AND SPIRIT OF THE LAND RIGHTS POLICY AND DID NOT INCLUDE KEY PROTECTIONS FOR COMMUNITY RIGHTS. THE CSO WORKING GROUP MOBILIZED TO PREVENT THE UPPER HOUSE FROM PASSING THIS DENATURED VERSION OF THE LRA, AND ISSUED A PUBLIC STATEMENT TO THAT EFFECT IN MID-SEPTEMBER. THE UPPER HOUSE DID NOT APPROVE THE DRAFT LRA, AND IT REMAINS IN COMMITTEE. PROGRESS THEN STALLED BECAUSE LAWMAKERS WERE CAMPAIGNING IN THE ELECTIONS. HOWEVER, COLLABORATORS HAVE SUCCESSFULLY RALLIED THE PUBLIC TO PARTICIPATE IN ADVOCACY AROUND A PRO-COMMUNITY LAND REFORM PROCESS, ESPECIALLY BY ADVOCATING FOR THE PASSAGE OF A PRO-COMMUNITY LRA. THE WORKING GROUP PRODUCED SEVERAL MEMOS, POSITION STATEMENTS, PRESS STATEMENTS, AND PRESS CONFERENCES TARGETING VARIOUS STAKEHOLDERS, ESPECIALLY LAWMAKERS, INTERNATIONAL PARTNERS, POLICYMAKERS, AND THE LIBERIAN PEOPLE. CSOS SHARED THEIR PRO-COMMUNITY POSITION WITH LAWMAKERS, RELIGIOUS LEADERS, STUDENTS, TRADITIONAL CHIEFS, AND OVER 75 CSOS. THIS HAS MADE THE LRA A NATIONAL LEGISLATIVE ISSUE. THE WORKING GROUP ALSO DISTRIBUTED OVER 400 PRO-COMMUNITY LRA T-SHIRTS, PRODUCED AND DISTRIBUTED 25,000 FLYERS, CONDUCTED EDUCATIONAL FORUMS/WORKSHOPS WITH CSOS AND YOUTH GROUPS,

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INSPIRED NEWSPAPER STORIES ON THE LRA, HELD RADIO PROGRAMS, AND COMMISSIONED A PRO-COMMUNITY LRA SONG. THE WORKING GROUP ALSO HELD AWARENESS WORKSHOPS/FORUMS WITH TRADITIONAL CHIEFS LEADING TO A PRO-COMMUNITY POSITION STATEMENT. THE WORKING GROUP SET UP A FACEBOOK PAGE PUSHING FOR THE PASSAGE OF THE LRA. COLLECTIVELY, THESE OUTPUTS MADE THE LRA A CONSTANT NATIONAL ISSUE.

THE APPROACH TO THE DEVELOPMENT OF NEW REGULATORY FRAMEWORKS GOVERNING COMMUNITY LANDS AND FORESTS IS MULTI-PRONGED. FIRST, THE KATIBA INSTITUTE AND THE FOREST PEOPLES PROGRAM (FPP) ARE SUPPORTING COMMUNITIES TO CONDUCT MAPPING OF THEIR CUSTOMARY LANDS, REGISTER THEIR LAND CLAIMS, AND APPLY FOR COMMUNITY LAND TITLES. TO DATE, THEY HAVE TRAINED NUMEROUS COMMUNITIES INCLUDING THE ELGON OGIEK AND THE SENGWER COMMUNITIES IN COMMUNITY MAPPING. SECOND, EACH COMMUNITY IS IN THE PROCESS OF CREATING A COMMUNITY ASSEMBLY AND A COMMUNITY LAND ASSOCIATION AND BOUNDARY COMMITTEE WHICH WILL ALLOW THEM TO PROCEED TO THE THIRD STEP, TO APPLY FOR COMMUNITY LAND TITLES. ALL ACTIVITIES ARE STALLED OR DELAYED DUE TO UNCERTAINTY IN KENYAN ELECTION OUTCOMES. RRI'S COLLABORATORS, UNIVERSIDAD JAVERIANA (PUJ), NATIONAL AFRO COLOMBIAN COUNCIL OF PEACE (CONPA), PROCESS OF BLACK COMMUNITIES (PCN), AND THE CARIBBEAN COMMUNITY COUNCILS HAVE MADE SIGNIFICANT PROGRESS IN PRODUCING NEW DATA WITH GEOGRAPHICAL REFERENCE AND THE ANALYSIS OF THE VULNERABILITY OF AFRO-DESCENDANT TERRITORIES LACKING LEGAL RECOGNITION AND TITLING. RRI COLLABORATOR PUJ'S RESEARCH TEAM HAS CREATED FOUR DATABASES ANALYZING THE LEGAL SITUATION OF THE CURRENT LAND TITLING REQUESTS OF AFRO-DESCENDANT COMMUNITY COUNCILS. THE COALITION CONSOLIDATED INFORMATION ON THE CURRENT STATUS OF THE REQUESTS OF 271 COMMUNITY COUNCILS THAT HAVE BEEN AWAITING RESOLUTION OF THEIR LAND

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CLAIMS FOR APPROXIMATELY 5-10 YEARS. BY COLLECTING THIS DATA AND ANALYSIS, RRI IS CONTRIBUTING TO THE ADVOCACY EFFORTS OF THE AFRO-DESCENDANT ORGANIZATIONS CURRENTLY MONITORING THE IMPLEMENTATION OF THE AGRARIAN REFORM, CHAPTER 1 OF THE PEACE AGREEMENT. COLLECTING THIS DATA AND ANALYSIS WILL HELP THE COALITION IN COLOMBIA ENSURE THAT AFRO-COMMUNITY CUSTOMARY LANDS ARE EXCLUDED FROM THE INVENTORY OF THE 10 MILLION HECTARES OF "AVAILABLE RURAL STATE LANDS" TO BE CONSIDERED FOR DISTRIBUTION AMONG RURAL POPULATIONS. RRI'S SUPPORT TO PRODUCE THE TECHNICAL, LEGAL, AND POLITICAL TOOLS NEEDED TO MONITOR IMPLEMENTATION OF THE PEACE AGREEMENT HAS STRENGTHENED AND POSITIONED THE ROLE OF CONPA IN THE INTER-ETHNIC COMMISSION FOR PEACE. THIS SUPPORT ENABLED CONPA TO: I) CONSOLIDATE A PROPOSAL OF AFRO- DESCENDANT COMMUNITIES ON THE GUIDELINES TO DEFINE THE PLANS FOR IMPLEMENTATION OF THE TERRITORIAL DEVELOPMENT PROGRAMS (PDETS); II) ESTABLISH A ROADMAP TO EXERCISE FREE AND INFORMED PRIOR CONSULTATION RIGHTS IN THE IMPLEMENTATION OF THE ETHNIC CHAPTER; AND III) CONSOLIDATE A PROPOSAL OF INDICATORS TO GUARANTEE COMMUNITIES TERRITORIAL RIGHTS AND LEGAL SECURITY OVER THEIR COLLECTIVE TERRITORIES AND RESOURCES IN THE IMPLEMENTATION OF THE PEACE ACCORD.

FORM 990, PART III, LINE 4B, PROGRAM SERVICE ACCOMPLISHMENTS:
NEW MAPPING METHODOLOGY. IN LIBERIA, 35 TRAININGS WERE HELD TO SHARE THE SELF-IDENTIFICATION PROCESS FOR COMMUNITY TERRITORIES. IN INDONESIA, 13 TRAININGS WERE CONDUCTED ON MAPPING PROCEDURES AND REGULATION DEVELOPMENT IN 10 SUB-PROVINCES. TWO INTERNATIONAL PILOT LEADERS LEARNING EXCHANGES WERE HELD, IN DAKAR AND STOCKHOLM, AND LESSONS APPLIED TO REFINING PROCEDURES AND DESIGN OF THE TENURE FACILITY. IN ADDITION, TWO REGIONAL LEARNING EXCHANGE MEETINGS WERE

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HELD BETWEEN MALI AND BURKINA FASO.

FORM 990, PART III, LINE 4C, PROGRAM SERVICE ACCOMPLISHMENTS:

TENURE PROBLEMS. COUNTRY-LEVEL ENGAGEMENT BY THE GROUP REPRESENTS A NEW ENTRY POINT TO ENGAGE UPSTREAM COMMODITY AND FINANCIAL SUPPLY CHAINS, WHICH HAVE HISTORICALLY BEEN RESISTANT TO ADVOCACY PRESSURE.

FORM 990, PART III, LINE 4D, OTHER PROGRAM SERVICES:

COALITION AND COMMUNICATIONS PROGRAMS

CONCERNING WOMEN'S RIGHTS TO COMMUNITY FORESTS IN MAY 2017, RRI CONDUCTED A NUMBER OF EVENTS AND COMMUNICATIONS EFFORTS TO ESTABLISH RECOGNITION OF WOMEN'S RIGHTS AND CONSIDERATIONS IN FOREST AND LAND TENURE ISSUES. RRI CONVENED THREE REGIONAL WORKSHOPS ON "GENDER JUSTICE, INDIGENOUS AND RURAL WOMEN'S COLLECTIVE LAND AND RESOURCE RIGHTS" IN AFRICA, ASIA, AND LATIN AMERICA. THESE WORKSHOPS AIMED TO MAP AND DEVELOP STRATEGIC ALLIANCES BETWEEN EXISTING REGIONAL INITIATIVES, EXPAND REGIONAL NETWORKS, AND IDENTIFY REGIONALLY PERTINENT THEMES THAT CAN CONTRIBUTE TO THE DEVELOPMENT OF COMPLEMENTARY REGIONAL STRATEGIES ON GENDER. THESE WORKSHOPS ALSO FACILITATED THE DEVELOPMENT OF COMPREHENSIVE REGIONAL PERSPECTIVES ON THE ISSUES FACING GENDER AND TENURE RIGHTS IN THE REGION, AND IN DOING SO, IDENTIFIED WHERE THE RRI COALITION COULD ADD VALUE MOVING FORWARD. IN AFRICA, THE RRI TECHNICAL EXPERT WORKSHOP ON GENDER JUSTICE, INDIGENOUS AND RURAL WOMEN'S COLLECTIVE LAND AND RESOURCE RIGHTS WAS HELD IN ACCRA, GHANA, AND CO-HOSTED WITH RRI PARTNER CIVIC RESPONSE. THE WORKSHOP FOCUSED ON STRATEGIC NETWORKING AND ALLIANCE BUILDING, CONNECTING

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EXISTING REGIONAL INITIATIVES, AND IMPROVING THEIR COORDINATION IN ORDER TO EFFECTIVELY IMPLEMENT EXISTING REGIONAL AND GLOBAL COMMITMENTS. FURTHER, THE MAJOR ISSUES RAISED WERE THAT SOCIOCULTURAL NORMS AND PRACTICES, CUSTOMARY INHERITANCE REGIMES, LEGAL BARRIERS, AND STATE-ENFORCEMENT VACUUMS SIGNIFICANTLY HINDER THE ADVANCEMENT OF WOMEN'S TENURE RIGHTS IN AFRICA. TO ADDRESS THESE CHALLENGES, WOMEN'S ECONOMIC EMPOWERMENT, ENGAGEMENT WITH GOVERNMENT AND THE PRIVATE SECTOR, AND THE PRODUCTION OF EVIDENCE-BASED RESEARCH WERE ALL IDENTIFIED AS CRITICAL OPPORTUNITIES FOR RRI'S ENGAGEMENT WITH GENDER IN THE REGION MOVING FORWARD.

IN ASIA, THE ASIA REGIONAL GENDER AND TENURE WORKSHOP WAS HELD IN BANGKOK, THAILAND AND CO-HOSTED BY RRI PARTNER RECOFTC. THE WORKSHOP FOCUSED ON BRAINSTORMING AND STRATEGIC MAPPING, AS WELL AS IDENTIFYING BOTH REGIONAL AND GLOBAL TRENDS RELATED TO GENDER AND FOREST TENURE. ACCORDINGLY, THE KEY THEMATIC ISSUES THAT EMERGED WERE LSLAS AND LAND-GRABBING, PRIVATE SECTOR ENGAGEMENT, ANTI-CRIMINALIZATION AND CONFLICT RESOLUTION, AND ECONOMIC EMPOWERMENT. THE DIVERSITY OF ISSUES ACROSS THE REGION REINFORCED THE NEED TO ADDRESS GAPS IN ACTION AND RESEARCH BY CREATING SPACES FOR COLLECTIVE ENGAGEMENT, AND TO CONNECT REALITIES ON THE GROUND WITH REGIONAL THEMES TO FORM A BROADER NARRATIVE ON GENDER IN ASIA.

IN LATIN AMERICA, THE WORKSHOP ON GENDER JUSTICE: A VISION FOR THE FUTURE IN LATIN AMERICA WAS HELD IN BOGOT, COLOMBIA, AND CONVENED RRI PARTNERS, COLLABORATORS, FELLOWS, AND AFFILIATED NETWORKS FROM NINE COUNTRIES ACROSS THE REGION, REPRESENTING A VARIETY OF SECTORS INCLUDING CIVIL SOCIETY, INDIGENOUS AND AFRO-DESCENDANT COMMUNITIES,

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WOMEN'S ORGANIZATIONS, ACADEMIA, THE GOVERNMENT, AND INTERNATIONAL ORGANIZATIONS. THE WORKSHOP MADE CLEAR THAT INTEGRAL RECOGNITION OF COLLECTIVE RIGHTS WITH A GENDER PERSPECTIVE, TERRITORIAL GOVERNANCE, PROTECTION AND DEFENSE OF LAND AND HUMAN RIGHTS DEFENDERS, AND WOMEN'S POLITICAL PARTICIPATION ARE THE KEY ISSUES FACING THE REGION. TO ADDRESS THESE ISSUES, STEPS MUST BE TAKEN TO COLLABORATE WITH THE GOVERNMENT, THE MEDIA, THE PRIVATE SECTOR, AND RELIGIOUS INSTITUTIONS; DEVELOP MECHANISMS FOR COMMUNICATION AND COORDINATION; AND DEFINE KEY MOMENTS FOR COLLECTIVE ACTION. THESE ARE AREAS WHERE RRI CAN ADD VALUE MOVING FORWARD.

OAT RRI'S STOCKHOLM CONFERENCE, FINDINGS FROM THE REGIONAL WORKSHOPS INFORMED A

GENDER STRATEGY SESSION ON RURAL AND INDIGENOUS WOMEN'S RIGHTS AND LEADERSHIP IN COLLECTIVE LANDS, WHICH WAS CO-ORGANIZED BY RRI PARTNERS AND AFFILIATED NETWORKS INCLUDING CADPI, CIFOR, LANDESA, REFACOF, ONAMIAP, AND FECOFUN. THE SESSION WORKED TOWARD THE ARTICULATION OF A NEW GLOBAL PARTNERSHIP ON INDIGENOUS AND RURAL WOMEN AND COMMUNITY LAND RIGHTS TO SHOWCASE AND DOCUMENT THE INCREASING ROLE AND LEADERSHIP OF WOMEN IN COMMUNITY LAND AND FOREST MANAGEMENT, DISCUSSED THE CRITICAL GAPS IN SECURING INDIGENOUS AND RURAL WOMEN'S LAND RIGHTS, AND IDENTIFIED ACTIONS TO ADVANCE THIS PARTNERSHIP. TOWARD THIS END, THE SESSION FOCUSED ON THE STOCK-TAKING OF STRATEGIES AND TOOLS BEING USED TO SECURE INDIGENOUS AND RURAL WOMEN'S RIGHTS AND PROMOTE THEIR LEADERSHIP IN COMMUNITIES, AS WELL AS ON THE DISCUSSION OF CURRENT ISSUES, CHALLENGES, COMMITMENTS, AND "GAPS" IN SECURING WOMEN'S LAND RIGHTS. ?

EXPENSES \$ 1,939,402. INCLUDING GRANTS OF \$ 125,080. REVENUE \$ 0.

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FORM 990, PART VI, SECTION B, LINE 11B:

THIS RETURN WAS SUBMITTED FOR THE REVIEW OF THE BOARD OF DIRECTORS PRIOR TO FILING. THE TREASURER OF THE BOARD REVIEWED THE RETURN IN DETAIL, REFERENCING THE ORGANIZATION'S AUDITED FINANCIAL STATEMENTS FOR THE CORRESPONDING YEAR. THE BOARD REVIEWED THE RETURN IN SUMMARY WITH INPUT FROM THE TREASURER, PRESIDENT AND CHIEF OPERATING OFFICER. QUESTIONS ABOUT SPECIFIC ITEMS OR SECTIONS WERE ANSWERED WITH SUPPLEMENTAL DETAIL PROVIDED AS APPROPRIATE.

FORM 990, PART VI, SECTION B, LINE 12C:

DIRECTORS AND OFFICERS ARE REQUIRED TO REVIEW THE CONFLICT OF INTEREST POLICY ANNUALLY AND TO SIGN ANNUALLY THEIR COMMITMENT TO ABIDE BY THE POLICY. THE POLICY REQUIRES THAT ANY KNOWN OR POTENTIAL CONFLICTS OF INTEREST BE COMMUNICATED TO THE BOARD AS SOON AS THEY ARE KNOWN, AND THE FACTS AND BOARD DECISIONS CONCERNING THE CONFLICT BE DOCUMENTED IN THE MINUTES OF THE MEETINGS OF THE BOARD. THE BOARD AND OFFICERS ASSESS AT LEAST ANNUALLY WHETHER ALL OFFICERS AND DIRECTORS ARE IN COMPLIANCE WITH THE POLICY BASED ON ALL FACTS KNOWN TO THE BOARD AND OFFICERS. AN AUDIT OF THE POLICY AND ITS IMPLEMENTATION WAS UNDERTAKEN IN LATE 2011 BY AN INDEPENDENT CONSULTANT, AND RECOMMENDATIONS FOR IMPROVEMENTS AND ENSURING RIGOROUS ADHERENCE TO THE POLICY AND COMPREHENSIVE DOCUMENTATION OF THIS ADHERENCE WERE IMPLEMENTED IN 2012.

FORM 990, PART VI, SECTION B, LINE 15:

THE PRESIDENT IS THE TOP MANAGEMENT OFFICIAL OF THE ORGANIZATION. AN ASSESSMENT OF OTHER NON-PROFIT ORGANIZATIONS IS PERIODICALLY UNDERTAKEN BASED ON COMPENSATION FIGURES REPORTED ON IRS 990 RETURNS FROM COMPARABLE ORGANIZATIONS AND OTHER SOURCES OF COMPENSATION AT THE REQUEST OF THE

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BOARD. ASSESSED ORGANIZATIONS ARE SELECTED TO MOST CLOSELY RESEMBLE RRG IN TERMS OF BUDGET, NUMBER OF EMPLOYEES, COMPLEXITY OF PROGRAMS, ROLE, EXPERTISE, AND GEOGRAPHIC LOCATION. IN A SESSION CLOSED TO THE PRESIDENT, THE BOARD REVIEWS THE ASSESSMENT, THE PERFORMANCE OF THE PRESIDENT, AND MAY CONSIDER OTHER RELEVANT FACTORS IN DETERMINING WHETHER A SALARY ADJUSTMENT IS WARRANTED, WHICH IS THEN SUBJECT TO VOTING BY THE BOARD. THIS PROCESS WAS LAST UNDERTAKEN IN JANUARY 2013.

FORM 990, PART VI, SECTION C, LINE 18:

RRG POSTS THE AUDITED FINANCIAL STATEMENTS AND FORM 990 ON ITS WEBSITE, AVAILABLE TO THE GENERAL PUBLIC. ADDITIONAL DOCUMENTS ARE ALSO POSTED TO DEMONSTRATE RRG'S COMMITMENT TO BEST PRACTICES IN TRANSPARENCY AND ACCOUNTABILITY.

FORM 990, PART VI, SECTION C, LINE 19:

RRG POSTS ITS AUDITED FINANCIAL STATEMENTS ON ITS WEBSITE, AVAILABLE TO THE GENERAL PUBLIC. ADDITIONAL DOCUMENTS ARE ALSO POSTED TO DEMONSTRATE RRG'S COMMITMENT TO TRANSPARENCY AND ACCOUNTABILITY.

FORM 990, PART IX, LINE 11G, OTHER FEES:

MEDIA CONSULTING:

PROGRAM SERVICE EXPENSES 150,367.

MANAGEMENT AND GENERAL EXPENSES 0.

FUNDRAISING EXPENSES 0.

TOTAL EXPENSES 150,367.

TRANSLATION CONSULTANTS:

PROGRAM SERVICE EXPENSES 57,983.

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MANAGEMENT AND GENERAL EXPENSES 0.

FUNDRAISING EXPENSES 0.

TOTAL EXPENSES 57,983.

PUBLICATION SERVICES:

PROGRAM SERVICE EXPENSES 153,233.

MANAGEMENT AND GENERAL EXPENSES 0.

FUNDRAISING EXPENSES 0.

TOTAL EXPENSES 153,233.

PROGRAM CONSULTANTS:

PROGRAM SERVICE EXPENSES 2,354,893.

MANAGEMENT AND GENERAL EXPENSES 0.

FUNDRAISING EXPENSES 0.

TOTAL EXPENSES 2,354,893.

TOTAL OTHER FEES ON FORM 990, PART IX, LINE 11G, COL A 2,716,476.