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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2017

Open to Public Inspection

For calendar year 2017, or tax year beginning 01-01-2017

, and ending 12-31-2017

Name of foundation The H Scott Caven III Memorial Foundation Inc % Foundation Source		A Employer identification number 20-2450503	
Number and street (or P O box number if mail is not delivered to street address) Foundation Source 501 Silverside Rd		Room/suite	B Telephone number (see instructions) (800) 839-1754
City or town, state or province, country, and ZIP or foreign postal code Wilmington, DE 198091377		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <div><input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 1,711,021	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

Part I	Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	25,000			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	825	825		
	4 Dividends and interest from securities	16,949	16,949		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	31,699			
	b Gross sales price for all assets on line 6a 38,486				
	7 Capital gain net income (from Part IV, line 2)		31,699		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances _____				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)	1,673				
12 Total. Add lines 1 through 11	76,146	49,473			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)	1,516	1,516		
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	200			
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	11,569	543		11,025
	24 Total operating and administrative expenses. Add lines 13 through 23	13,285	2,059		11,025
25 Contributions, gifts, grants paid	58,000			58,000	
26 Total expenses and disbursements. Add lines 24 and 25	71,285	2,059		69,025	
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	4,861			
	b Net investment income (if negative, enter -0-)		47,414		
	c Adjusted net income(if negative, enter -0-)				

For Paperwork Reduction Act Notice, see instructions.

Cat No 11289X

Form 990-PF (2017)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	139,346	120,368	120,368
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	897,034	926,812	1,156,317
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	407,392	401,453	434,336
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,443,772	1,448,633	1,711,021	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	1,443,772	1,448,633	
	30	Total net assets or fund balances (see instructions)	1,443,772	1,448,633	
31	Total liabilities and net assets/fund balances (see instructions) .	1,443,772	1,448,633		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,443,772
2	Enter amount from Part I, line 27a	2	4,861
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	1,448,633
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	1,448,633

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a Publicly-traded Securities			
b Passthrough K1 Capital Gain/(Loss)			
c Sec 751 Gain on Sale of Ptshp Reclass			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 38,486		5,248	33,238
b			6
c			-1,545
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			33,238
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	2	31,699
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	64,414	1,359,836	0 047369
2015	67,763	1,379,159	0 049134
2014	66,815	1,366,556	0 048893
2013	66,613	1,342,316	0 049625
2012	63,929	1,330,604	0 048045

2 Total of line 1, column (d)	2	0 243066
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 048613
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	4	1,616,838
5 Multiply line 4 by line 3	5	78,599
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	474
7 Add lines 5 and 6	7	79,073
8 Enter qualifying distributions from Part XII, line 4	8	69,025

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	948
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	948
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	948
6	Credits/Payments		
a	2017 estimated tax payments and 2016 overpayment credited to 2017	6a	690
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	948
8	Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ▶	10	
11	Enter the amount of line 10 to be Credited to 2018 estimated tax ▶ Refunded ▶	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	Yes
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	Yes
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ DE _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the taxable year beginning in 2017 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ►	13	Yes	
14	The books are in care of ► Foundation Source Telephone no ► (800) 839-1754			

Located at ► 501 Silverside Road Suite 123 Wilmington DE ZIP+4 ► 198091377

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here	<input type="checkbox"/>		
	and enter the amount of tax-exempt interest received or accrued during the year	15		
16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country ►	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/>	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017? <input type="checkbox"/>	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). <input type="checkbox"/>	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b	
	Organizations relying on a current notice regarding disaster assistance check here.	<input type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input type="checkbox"/> No		
	<i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	No
	<i>If "Yes" to 6b, file Form 8870</i>			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	Contributions to employee benefit plans and deferred compensation (d)	Expense account, (e) other allowances

Total number of other employees paid over \$50,000.

3

Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation

Total number of others receiving over \$50,000 for professional services.

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	1,089,751
b	Average of monthly cash balances.	1b	117,374
c	Fair market value of all other assets (see instructions).	1c	434,335
d	Total (add lines 1a, b, and c).	1d	1,641,460
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	1,641,460
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	24,622
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	1,616,838
6	Minimum investment return. Enter 5% of line 5.	6	80,842

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	80,842
2a	Tax on investment income for 2017 from Part VI, line 5.	2a	948
b	Income tax for 2017 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	948
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	79,894
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	79,894
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	79,894

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	69,025
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	69,025
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	69,025

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				79,894
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only.			66,927	
b Total for prior years 2015, 2014, 2013				
3 Excess distributions carryover, if any, to 2017				
a From 2012.				
b From 2013.				
c From 2014.				
d From 2015.				
e From 2016.				
f Total of lines 3a through e.				
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ \$ <u>69,025</u>				
a Applied to 2016, but not more than line 2a			66,927	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2017 distributable amount.				2,098
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018				77,796
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions).				
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2013.				
b Excess from 2014.				
c Excess from 2015.				
d Excess from 2016.				
e Excess from 2017.	0			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2017	(b) 2016	(c) 2015	(d) 2014	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
See Additional Data Table

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			▶ 3a	58,000
b <i>Approved for future payment</i>				
Total			▶ 3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.	***** 2018-11-12 Date	***** Title	May the IRS discuss this return with the preparer shown below (see instr)? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
	Signature of officer or trustee			

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed <input type="checkbox"/>	PTIN
	Jeffrey D Haskell				P01345770
	Firm's name ▶ Foundation Source				
	Firm's address ▶ One Hollow Ln Ste 212 Lake Success, NY 11042				Phone no (800) 839-1754

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
Preston Owen Broadfoot	VP 1 0	0	0	0
Foundation Source 501 Silverside Rd Wilmington, DE 198091377				
Jennie Vivien Caven	VP 1 0	0	0	0
Foundation Source 501 Silverside Rd Wilmington, DE 198091377				
Vivien Caven	Dir, VP 1 0	0	0	0
Foundation Source 501 Silverside Rd Wilmington, DE 198091377				
H Scott Caven Jr	Pres, Dir, Sec 1 0	0	0	0
Foundation Source 501 Silverside Rd Wilmington, DE 198091377				
Lindsey C Majors	VP 1 0	0	0	0
Foundation Source 501 Silverside Rd Wilmington, DE 198091377				

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).

H Scott Caven Jr
Jennie Vivien Caven
Lindsey C Majors
Preston Owen Broadfoot
Vivien Caven

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CRISTO REY JESUIT HIGH SCHOOL OF HOUSTON INC 6700 MOUNT CARMEL ST HOUSTON, TX 77087	N/A	PC	General & Unrestricted	2,500
GLENWOOD CEMETERY HISTORIC PRESERVATION FOUNDATION 2525 WASHINGTON AVE HOUSTON, TX 77007	N/A	PC	General & Unrestricted	2,500
GULF COAST REGIONAL BLOOD CENTER 1400 LA CONCHA LN HOUSTON, TX 77054	N/A	PC	General & Unrestricted	2,500
Total ▶ 3a				58,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
HELPING HAND HOME FOR CHILDREN 3804 AVE B AUSTIN, TX 78751	N/A	PC	General & Unrestricted	2,500
MEMORIAL HERMANN FOUNDATION 929 GESSNER DR STE 2650 HOUSTON, TX 77024	N/A	SO I	Children's Hospital	2,500
NEW HOPE HOUSING INC 1117 TEXAS ST HOUSTON, TX 77002	N/A	PC	General & Unrestricted	10,000
Total ▶ 3a				58,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ST JOHNS SCHOOL2401 CLAREMONT LN HOUSTON, TX 77019	N/A	PC	Care and maintenance of Scotty Caven Field	5,000
TEACH FOR AMERICA HOUSTON 4669 SW FREEWAY STE 600 HOUSTON, TX 77027	N/A	PC	General & Unrestricted	5,000
THE UNIVERSITY OF TEXAS AT AUSTIN PO BOX 7458 AUSTIN, TX 78713	N/A	GOV	Recreational Sports for the benefit of the Men's Club Lacrosse Team	10,500
Total 3a				58,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE UNIVERSITY OF TEXAS AT AUSTIN PO BOX 7458 AUSTIN, TX 78713	N/A	GOV	Texas Women's Club Lacrosse Recreational Sports	5,000
WILDLIFE CENTER OF TEXAS INC 7007 KATY RD HOUSTON, TX 77024	N/A	PC	Annual Operating Budget Fund	10,000
Total <div> <div></div> <div>3a</div> </div>				58,000

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2017 Depreciation Schedule

Name: The H Scott Caven III Memorial Foundation
Inc

EIN: 20-2450503

TY 2017 Investments Corporate Stock Schedule

Name: The H Scott Caven III Memorial Foundation
Inc

EIN: 20-2450503

Name of Stock	End of Year Book Value	End of Year Fair Market Value
EXXON MOBIL CORP	30,115	31,700
EOG RESOURCES INC	103,795	107,910
KINDER MORGAN INC	20,391	18,395
WALT DISNEY HOLDINGS CO	43,569	132,882
HAMLIN HIGH DIVIDEND EQUITY FD	55,419	67,331
MATTHEWS ASIA PACIFIC EQUITY I	96,030	146,363
DELAWARE US GROWTH	200,184	218,993
AT MID CAP EQUITY FUND INSTITU	112,549	133,410
RIDGEWORTH FDS SEIX FLOATING R	85,760	83,645
ATLANTIC TRUST DISC EQTY	179,000	215,688

TY 2017 Investments - Other Schedule

Name: The H Scott Caven III Memorial Foundation
Inc

EIN: 20-2450503

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
AUSTIN - ORIGINAL MANUSCRIPT L		29,999	30,001
AUSTIN - AUTOGRAPH DOCUMENT SI		19,999	20,000
WISLIZENUS - MEMOIR OF A TOUR		75	100
ILLUSTRATED LONDON NEWS - 3 EN		100	100
BURNET - SIGNED LTR - VELASCO		2,000	2,500
BRAMAN - BRAMAN'S INFORMATION		750	800
YOAKUM - HISTORY OF TEXAS (ORI		1,500	1,750
YOAKUM - HISTORY OF TEXAS (RE-		750	950
WOOTEN - A COMPLETE TEXAS HIST		75	75
FISKE - A VISIT TO TEXAS (2D E		7,500	8,000
WALLACE - DOCUMENTS OF TEXAS H		45	
WEEMS - DREAM OF EMPIRE - 1971		27	25
WHARTON - REMEBER GOLIAD - CA.		400	400
WHEELER - TO WEAR A CITY'S CRO		27	
WEBB - THE HANDBOOK OF TEXAS,			
WEBB - -VOLS. I, II, III - 198		60	25
WINKLER - MANUSCRIPT LTRS AND		275	275
WOOTEN - A COMPREHENSIVE HISTO		1,750	1,750
BARKER - MEXICO AND TEXAS 1821		250	300
BAKER - TEXAS SCRAP-BOOK - UND		90	
AUSTIN - TEXIAN LOAN - 1836		3,000	4,000
AUSTIN - CERTIFICATE OF ADMISS		29,999	30,000
TEXAS - DECLARATION OF INDEPEN		633	650
SMITHWICK - THE EVOLUTION OF A		500	550
ROEMER - TEXAS, GERMAN IMMIGRA		55	
TEXAS - CARTAS DE TEJAS Y VENI		1,500	1,500
REPUBLIC OF TEXAS - CURRENCY -		4,450	6,000
GOVERNMENT OF TEXAS - CURRENCY		8,500	9,000
TEXAS - LAWS OF THE REPUBLIC O		2,000	2,500
TEXAS - LAWS OF THE REPUBLIC -		400	500

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
TEXAS - ENLISTMENT DOC- JAMES		950	1,000
TEXAS - CONSTITUTION - PRES.PO		550	600
TEXAS - YELLOW ROSE OF TEXAS S		30	
TRAVIS - AUTOGRAPH DOCUMENT SI		19,999	22,000
TRAVIS/BUMET - AUTOGRAPH DOCUM		24,999	27,000
THSA - NEW HANDBOOK OF TEXAS -		659	650
TYLER - MADISONIAN-EXTRA. PRES		500	600
US CONGRESS - H. R. 46 + STEPH		2,500	2,750
FISKE - A VISIT TO TEXAS - 183		850	850
SMITH - REMINISCENCES OF THE T		1,500	1,200
CHAMBERLAIN - MY CONFESSION -		156	150
CHABOT - CORPUS CHRISTI AND LI		131	
CASTANEDA - MEXICAN SIDE OF TH		45	50
CASTANEDA - MEXICAN SIDE OF TH		375	400
BURNET - SIGNED ITR - VELASCO		1,500	1,600
BARKER - THE FINANCES OF THE T		25	
BERLANDIER - JOURNEY TO MEXICO		90	
BINKLEY - THE EXPANSIONIST MOV		113	
BRACHT - TEXAS IN 1848 - 1931		135	
BRADFORD - MAP & DESCRIPTION O		1,500	1,500
BRENNER - THE WIND THAT SWEPT		45	25
BRIGHAM - MANUSCRIPT RECEIPT,		600	650
BROWN - LIFE & TIMES OF HENRY		100	125
BROWN - THE ENCYCLOPEDIA OF TH		810	800
GRAY - FROM VIRGINIA TO TEXAS,		50	
LINN - REMINISCENCES OF FIFTY		600	600
EBERSTADT - CATALOGUE 162, TEX		100	125
SMITHWICK - THE EVOLUTION OF A		60	
DUVAL - EARLY TIMES IN TEXAS -		22	
DUVAL - EARLY TIMES IN TEXAS -		400	500

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
DRESEL - GUSTAV DRESEL'S HOUST		50	
DOBIE - THE LONGHOMS - UNDATED		1,200	1,200
DOBIE - GUIDE TO LIFE AND LITE		20	
DIXON - HEROES OF SAN JACINTO		500	500
GOUGE - THE FISCAL HISTORY OF		750	700
LECLERC - TEXAS AND ITS REVOLU		250	350
DIENST - THE NAVY OF THE REPUB		818	800
EDWARD - HISTORY OF TEXAS - 18		6,000	7,500
DAHMER - CADDO WAS...HISTORY O		9	
DAVIE - DIARY OF WM BARRET TRA		75	
DE CORDOVA - LECTURE ON TEXAS		375	400
DE LA PENA - WITH SANTA ANNA I		50	50
DESHIELDS - THEY SAT IN HIGH P		108	
DEWEES - LETTERS FROM AN EARLY		500	500
DEWEES - LETTERS FROM AN EARLY		350	350
GAMBRELL - MIRABEAU BONAPARTE		83	
GAMBRELL - ANSON JONES: LAST P		45	
GAILLARDET - SKETCHES OF EARLY		50	
FRANTZ - LURE OF THE LAND - 19		275	
EHRENBERG - WITH MILAM AND FAN		125	150
ERATH - MEMOIRS OF GEORGE B. E		75	
FALCONER - LETTERS AND NOTES -		150	150
FEHRENBACH - LONE STAR - 1968		150	225
FILISOLA - REPRESENTATION DIRI		3,500	3,750
FOOTE - TEXAS AND THE TEXANS (1,000	1,000
FRANTZ - GAIL BORDEN - 1951		77	
JENKINS - RECOLLECTIONS OF EAR		75	
MEXICO DECREE OF CONGRESO GENE		750	900
MARSHALL - HIST, OF THE WEST.		270	
MOODY/COLE - \$125 NOTE TO GABR			

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
SOLMS-BRAUNF. - TEXAS, 1844-18		128	
HOUSTON - TEXAS INDEPENDENCE -		110	
SMITH - THE ANNEXATION OF TEXA		275	
LAMAR - REPUBLIC OF TEXAS \$500		600	875
HOUSTON - LIFE OF GENERAL HOUS		300	300
HOLMAN - BUCKSKIN AND HOMESPUN		300	325
HOLLEY - TEXAS (MAP MOUNTED SE		15,000	16,000
HOLLEY - TEXAS - 1833		15,000	15,000
HENSON - SAMUEL MAY WILLIAMS -		23	
GRAY - FROM VIRGINIA TO TEXAS,		59	
HARDIN - TEXIAN ILIAD - MILITA		25	
GREEN - JOURNAL OF THE TEXIAN		60	
HELM - SCRAPS OF EARLY TEXAS H		1,200	1,200
JENKINS - THE PAPERS OF THE TE		625	300
PARKER - TRIP TO THE WEST AND		10,000	10,000
HALEY - EARL VANDALE ON THE TR		178	
HORGAN - GREAT RIVER, THE RIO		125	
HILL - THE TEXAS NAVY - 1937		250	
HOGAN - THE TEXAS REPUBLIC: A		50	
JAMES - THE RAVEN - 1929		150	150
ROBINSON - JUDGE ROBERT MCALPI		90	
TEXAS - BIOGRAPHICAL DIRECTORY		40	25
SONNICHSEN - I'LL DIE BEFORE I		30	25
KENDRICK - OATH OF OFFICE OF M		200	250
LATHAM - TRAVELS IN THE REPUBL		36	
LANE - HISTORY OF THE UNIVERSI		113	
SOWELL - TEXAS INDIAN FIGHTERS		1,750	1,750
SPELL - PIONEER PRINTER: SAMUE		45	
STAPP - THE PRISONERS OF PEROT		900	1,000
STAPP - THE PRISONERS OF PEROT		1,500	1,600

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
STEELE - BIOGRAPHY OF PRIVATE		75	75
STIFF - THE TEXAN EMIGRANT - 1		10,000	10,000
STREETER - BIBLIOGRAPHY OF TEX		585	
MCDANIELD & TAYLOR - THE COMIN		750	750
HOUSTON - ENGRAVED PORTRAIT -		75	75
LECLERC - TEXAS AND ITS REVOLU		400	400
HUNTER - ADVENTURE OF A MIER P		90	
SCHM1TZ - THUS THEY LIVED: REP		85	85
PIKE - THE JOURNALS OF ZEBULON		130	
POOL - A HISTORICAL ATLAS OF T		25	
TEXAS TREASURY - \$25 NOTE TO G		950	1,000
CAYCE - RECEIPT FOR SALE OF LO		125	150
REPUBLIC OF TEXAS - \$500 BOND		2,500	2,500
SCHMITZ - TEXAS CULTURE: 1836-		25	
RICHARDSON - COMANCHE BARRIER		270	
RICHARDSON - TEXAS, THE LONE S		54	
RICHARDSON - THE TEXAS ALMANAC		1,750	1,750
HUGHES - DONIPHAN'S EXPEDITION		600	600
HUGHES - REPORT OF THE SECRETA		1,500	1,500
HOUSTON - REPUBLIC OF TEXAS.LA		7,500	15,000
HOUSTON - PORTRAIT - HARPER'S		100	100
HOUSTON - AUTOGRAPH LETTER TO		3,000	3,000
HOUSTON - PROCLAMATION - 1842		900	900
HOUSTOUN - TEXAS AND THE GULF		60	
HOUSTON - APPOINTMENT OF THOS.		7,000	7,500
HOUSTOUN - TEXAS AND THE GULF		3,000	1,750
HOUSTON - THE INDUSTRIAL ADVAN		35	
LAWRENCE - TEXAS IN 1840 - 184		2,500	5,000
LUBBOCK - SIX DECADES IN TEXAS		600	600
JENNETT - BIOGRAPHICAL DIR. OF		40	

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
MAP - TEXAS (NEW YORK) - 1835		1,000	1,000
OLMSTED - A JOURNEY THROUGH TE		650	700
LOCKHART - SIXTY YEARS ON THE		2,500	2,750
MEXICO DECREE OF CONGRESO GENE		900	2,200
PIERCE - TEXAS UNDER ARMS 1836		50	
MEXICO DECREE OF CONGRESO GENE		750	950
KENNEDY - TEXAS:THE RISE,PROGR		14,999	15,000
LANE - THE ADVENTURES AND RECO		150	250
LANE - THE ADVENTURES AND RECO		3,500	15,000
EVANS & JOHNSON - TEXAS CENTEN		75	75
LESTER - SAM HOUSTON AND HIS R		1,500	1,500
JONES - LAND GRANT - 1845		500	
JONES - LAND GRANT - 1846		1,000	1,000
KENDALL - NARRATIVE OF THE TEX		1,500	1,700
JONES - MEMORANDA AND OFFICIAL		375	400
KEMP - SIGNERS OF THE DECLARAT		600	800
KENNEDY - TEXAS - 1925		70	
MAILLARD - THE HISTORY OF THE		7,500	8,500
KENDALL - NARRATIVE OF THE SAN		4,500	4,500
KENDALL - NARRATIVE OF THE SAN		600	600
LUNDY - THE LIFE TRAVELS AND O		1,000	1,250
LEA - THE KING RANCH - 1957		650	650
LEA - PORTFOLIO OF SIX PAINTIN		375	375
LOCKHART - SIXTY YEARS ON THE		50	
LORING - TERRESTRIAL GLOBE - 1		6,000	6,000
LUNDY - THE WAR IN TEXAS (WRAP		1,200	1,200
LUNDY - THE WAR IN TEXAS - 183		750	900
MITCHELL - MAP OF THE STATE OF		450	450
MEXICO DECREE OF CONGRESO GENE		2,500	3,000
MAP - CARTE DU MEXIQUE OU NOUV		200	

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
MAP - MAP OF THE STATE OF TEXA		100	
NILES & PEASE - HISTORY OF SOU		1,500	1,500
MARTINEZ CARO - VERDADERA IDEA		4,000	5,000
NILES' WEEKLY REGISTER - TEXAS		850	900
MAVERICK - MEMOIRS - 1921		100	
MILLER - A FINANCIAL HISTORY O		161	
MIDDELTON - HISTORY OF THE REG		59	
MEXICO COMMUNICATION - ANNOUNC		2,500	2,500
NEWELL - HISTORY OF THE REVOLU		4,500	4,500
MUIR - TEXAS IN 1837 - 1958		100	
MOORE - MAP & DESCRIPTION OF T		30	
MORFI - A HISTORY OF TEXAS, 16		495	
NAVARRO - MANUSCRIPT RECEIPT F		1,200	1,500
BARKER - THE LIFE OF STEPHEN F		173	
BARKER - THE LIFE OF STEPHEN F		113	
BROWN - HISTORY OF TEXAS FROM		500	650
BROWN - HISTORY OF TEXAS FROM		400	500
GRAY - FROM VIRGINIA TO TEXAS,		7,500	8,000
GREEN - TEXIAN MIER EXPEDITION		1,200	1,200
GREEN - TEXIAN MIER EXPEDITION		1,000	850
MEINIG - IMPERIAL TEXAS - 1969		56	
MEINIG - IMPERIAL TEXAS - 1969		54	
RANKIN - TEXAS IN 1850 - 1850		850	1,000
RANKIN - TEXAS IN 1850 - 1850		450	500
BARDIN NEW BRITISH TERRESTRIAL		12,000	12,000
LORING'S TERRESTRIAL GLOBE		17,999	18,000

TY 2017 Other Expenses Schedule

Name: The H Scott Caven III Memorial Foundation
Inc

EIN: 20-2450503

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Administrative Fees	11,000			11,000
K-1 Exp MAGELLAN MIDSTREAM PAR	1			
Property insurance	543	543		
State or Local Filing Fees	25			25

TY 2017 Other Income Schedule

Name: The H Scott Caven III Memorial Foundation
Inc

EIN: 20-2450503

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
K-1 Inc/Loss MAGELLAN MIDSTREAM PARTNERS LP	-343		
Federal Tax Refund	471		
Sec 751 Gain on Sale MAGELLAN MIDSTREAM PARTNERS L	1,545		

TY 2017 Other Professional Fees Schedule

Name: The H Scott Caven III Memorial Foundation
Inc

EIN: 20-2450503

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Investment Management Services	1,516	1,516		

TY 2017 Taxes Schedule

Name: The H Scott Caven III Memorial Foundation
Inc

EIN: 20-2450503

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
990-PF Estimated Tax for 2017	200			

Schedule B (Form 990, 990-EZ, or 990-PF) <small>Department of the Treasury Internal Revenue Service</small>	Schedule of Contributors ▶ Attach to Form 990, 990-EZ, or 990-PF ▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at <u>www.irs.gov/form990</u>	OMB No 1545-0047 2017
	Name of the organization The H Scott Caven III Memorial Foundation Inc	Employer identification number 20-2450503

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	<input type="checkbox"/> 501(c)() (enter number) organization
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	<input type="checkbox"/> 527 political organization
Form 990-PF	<input checked="" type="checkbox"/> 501(c)(3) exempt private foundation
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation
	<input type="checkbox"/> 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. . . . ▶ \$ _____

Caution. An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization The H Scott Caven III Memorial Foundation Inc	Employer identification number 20-2450503
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Part I	Contributors (See instructions) Use duplicate copies of Part I if additional space is needed		
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Caven Jennie Vivien 2169 Troon Road Houston, TX 77019	\$ 25,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

20-2450503

Part II **Noncash Property** (See instructions) Use duplicate copies of Part II if additional space is needed

Schedule B (Form 990, 990-EZ, or 990-PF) (2017)

Name of organizationThe H Scott Caven III Memorial Foundation
Inc**Employer identification number**

20-2450503

Part III

Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of **exclusively** religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions.) ► \$ _____

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee