

For calendar year 2017, or tax year beginning 01-01-2017, and ending 12-31-2017

Name of foundation Thomas Rutherford Foundation c/o Dyson Capital Advisors		A Employer identification number 20-2057953	
Number and street (or P.O. box number if mail is not delivered to street address) 201 N Union Street No 300		Room/suite	B Telephone number (see instructions) (703) 518-6136
City or town, state or province, country, and ZIP or foreign postal code Alexandria, VA 22314		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 19,288,452		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> If the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	3,457	3,457		
	4 Dividends and interest from securities	310,169	310,169		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	751,204			
	b Gross sales price for all assets on line 6a				
		4,158,839			
	7 Capital gain net income (from Part IV, line 2)		751,204		
	8 Net short-term capital gain				
	9 Income modifications				
Operating and Administrative Expenses	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	636,160	636,160		
	12 Total. Add lines 1 through 11	1,700,990	1,700,990		
	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	14,000	0		14,000
	c Other professional fees (attach schedule)	247,722	214,958		32,764
	17 Interest	3,233	3,233		0
	18 Taxes (attach schedule) (see instructions)	8,259	5,630		2,629
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	2,271	0		2,271
	24 Total operating and administrative expenses. Add lines 13 through 23	275,485	223,821		51,664
	25 Contributions, gifts, grants paid	913,858			913,858
	26 Total expenses and disbursements. Add lines 24 and 25	1,189,343	223,821		965,522
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	511,647			
	b Net investment income (if negative, enter -0-)		1,477,169		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	269,957	149,804	149,804
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	6,347,576	7,793,635	9,992,739
	c	Investments—corporate bonds (attach schedule)	2,930,469	1,952,935	1,951,244
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	8,184,655	8,347,998	7,194,665
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	17,732,657	18,244,372	19,288,452	
Liabilities	17	Accounts payable and accrued expenses		3,045	
	18	Grants payable.			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons	37,575	34,598	
	21	Mortgages and other notes payable (attach schedule).			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	37,575	37,643	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted	17,695,082	18,206,729	
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	17,695,082	18,206,729	
	31	Total liabilities and net assets/fund balances (see instructions) .	17,732,657	18,244,372	

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	17,695,082
2	Enter amount from Part I, line 27a	2	511,647
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	18,206,729
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	18,206,729

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	751,204
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	932,615	18,533,927	0 050319
2015	1,008,615	19,675,467	0 051263
2014	988,544	20,064,230	0 049269
2013	932,775	19,416,965	0 048039
2012	944,950	19,015,306	0 049694
2 Total of line 1, column (d)			2 0 248584
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0 049717
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5			4 19,290,346
5 Multiply line 4 by line 3			5 959,058
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 14,772
7 Add lines 5 and 6			7 973,830
8 Enter qualifying distributions from Part XII, line 4			8 965,522

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	29,543
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	29,543
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	29,543
6	Credits/Payments		
a	2017 estimated tax payments and 2016 overpayment credited to 2017	6a	5,480
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	0
7	Total credits and payments. Add lines 6a through 6d.	7	5,480
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	12
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9	24,075
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ▶	10	
11	Enter the amount of line 10 to be Credited to 2018 estimated tax ▶ Refunded ▶	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ VA _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the taxable year beginning in 2017 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	Yes	
14	The books are in care of Thomas D Rutherford Jr Telephone no (703) 518-6136			

Located at **4333 N Ocean Boulevard Unit BS2 Gulf Stream FL** ZIP+4 **33483**


15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year 15			
16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country ▶	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> 1b			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017? <input type="checkbox"/> 1c			No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions) <input type="checkbox"/> 2b			
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No 3b			
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a			No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017? 4b			No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here. 			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?			
	<i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?			
	b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	6b	No
	<i>If "Yes" to 6b, file Form 8870</i>			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?			
	b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	7b	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
Thomas D Rutherford 4333 N Ocean Boulevard Unit BS2 Gulf Stream, FL 33483	Trustee 2 00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
NONE				

Total number of other employees paid over \$50,000. **0**

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services. **0**

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 Made contributions to charitable organizations listed in part XV	913,858
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	19,395,709
b	Average of monthly cash balances.	1b	188,399
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	19,584,108
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	19,584,108
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	293,762
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	19,290,346
6	Minimum investment return. Enter 5% of line 5.	6	964,517

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	964,517
2a	Tax on investment income for 2017 from Part VI, line 5.	2a	29,543
b	Income tax for 2017 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	29,543
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	934,974
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	934,974
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	934,974

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	965,522
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	965,522
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	965,522

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				934,974
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only.			895,128	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2017				
a From 2012.				
b From 2013.				
c From 2014.				
d From 2015.				
e From 2016.				
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ \$ 965,522				
a Applied to 2016, but not more than line 2a			895,128	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2017 distributable amount.				70,394
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018				864,580
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2013.				
b Excess from 2014.				
c Excess from 2015.				
d Excess from 2016.				
e Excess from 2017.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2017	(b) 2016	(c) 2015	(d) 2014	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> See Additional Data Table				
Total			▶ 3a	913,858
b <i>Approved for future payment</i>				
Total			▶ 3b	0

Enter gross amounts unless otherwise indicated

Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
Enter gross amounts unless otherwise indicated				
1 Program service revenue				
a _____				
b _____				
c _____				
d _____				
e _____				
f _____				
g Fees and contracts from government agencies				
2 Membership dues and assessments.				
3 Interest on savings and temporary cash investments				
		14	3,457	
4 Dividends and interest from securities.				
		14	310,169	
5 Net rental income or (loss) from real estate				
a Debt-financed property.				
b Not debt-financed property.				
6 Net rental income or (loss) from personal property				
7 Other investment income.				
8 Gain or (loss) from sales of assets other than inventory				
		14	751,204	
9 Net income or (loss) from special events				
10 Gross profit or (loss) from sales of inventory				
11 Other revenue a _____				
b _____				
c _____				
d _____				
e _____				
12 Subtotal. Add columns (b), (d), and (e).				
	0		1,700,990	0
13 Total. Add line 12, columns (b), (d), and (e).				
		13		1,700,990

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes[illegible]

Part XVII

		Yes	No
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1a(1)	No
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1a(2)	No
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1b(1)	No
--------------	-----------

1b(2)		No
--------------	--	-----------

1b(3)		No
--------------	--	-----------

1b(4)		No
--------------	--	-----------

1b(5)		No
--------------	--	-----------

1b(6)		No
--------------	--	-----------

1c		No
-----------	--	-----------

value
ue

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations

described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

<p>Sign Here</p> <p>*****</p> <hr/> <p>Signature of officer or trustee</p>	<p>2018-11-14</p> <hr/> <p>Date</p>	<p>*****</p> <hr/> <p>Title</p>
---	-------------------------------------	---------------------------------

May the IRS discuss this return with the preparer shown below

(see instr)? ☒ **Yes** ☐ **No**

May the IRS discuss this return with the preparer shown below

(see instr)? ☒ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name Stephen G Travis CPA	Preparer's Signature	Date 2018-11-14	Check if self-employed <input type="checkbox"/>	PTIN P00158766
	Firm's name ▶ Kositzka Wicks and Company				Firm's EIN ▶ 54-1342298
	Firm's address ▶ 5270 Shawnee Road Suite 250 Alexandria, VA 22312				Phone no (703) 642-2700

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
Astrazeneca PLC	P	2014-05-20	2017-03-22
Home Depot Inc	P	2016-08-03	2017-08-15
Time Warner Inc	P	2014-08-07	2017-01-17
Time Warner Inc	P	2014-08-08	2017-01-17
Time Warner Inc	P	2014-08-08	2017-03-13
Time Warner Inc	P	2014-08-08	2017-07-06
Topbuild Corporation	P	2015-07-01	2017-04-24
Wal-mart Stores	P	2015-08-17	2017-02-21
iShares Core S&P Midcap ETF	P	2017-01-05	2017-05-26
iShares JP Morgan EM Bond Fund	P	2016-07-13	2017-06-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
82,124		96,217	-14,093
102,027		93,602	8,425
62,271		48,459	13,812
472		365	107
33,008		24,472	8,536
34,107		24,472	9,635
82,401		46,265	36,136
91,792		92,833	-1,041
102,002		99,240	2,762
101,955		103,761	-1,806

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-14,093
			8,425
			13,812
			107
			8,536
			9,635
			36,136
			-1,041
			2,762
			-1,806

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
Janus High-Yield FD-I	P	2017-06-01	2017-06-30
Janus High-Yield FD-I	P	2017-06-01	2017-11-17
BBH Core Select FD-N	P	2012-06-26	2017-06-01
Doubleline TOTL RET BND-I	P	2012-02-07	2017-04-07
JPM MTGE Backed SEC FD - CL I	P		2017-04-07
JP Morgan Core Bond-SEL Class - I	P	2016-03-04	2017-04-10
JP Morgan Core Bond-SEL Class - I	P	2016-03-04	2017-06-01
iShares Core S&P Midcap ETF	P	2011-02-14	2017-05-26
iShares Core S&P Midcap ETF	P		2017-06-01
iShares Core S&P Midcap ETF	P		2017-06-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
50,647		51,004	-357
93,803		95,024	-1,221
261,231		185,000	76,231
260,849		272,851	-12,002
413,795		424,301	-10,506
115,770		117,174	-1,404
92,348		92,665	-317
194,356		109,612	84,744
48,872		26,990	21,882
301,551		162,788	138,763

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-357
			-1,221
			76,231
			-12,002
			-10,506
			-1,404
			-317
			84,744
			21,882
			138,763

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
JPM Mid Cap EQ FD - CL I	P		2017-05-26
JPM STRAT Inc OPP FD - CL I	P	2010-10-29	2017-06-01
American Beacon GLG TR-UTL	P	2017-02-08	2017-03-17
Federated INST HI YLD BND-R6	P		2017-03-17
Harbor Convertible SEC-INST	P		2017-02-02
Harbor Convertible SEC-INST	P		2017-02-03
Harbor Convertible SEC-INST	P	2016-08-19	2017-02-14
Harbor Convertible SEC-INST	P	2016-08-19	2017-03-17
JPM Unconstrained Debt FD - CL I	P	2016-06-27	2017-05-01
JPM Unconstrained Debt FD - CL I	P	2016-06-27	2017-05-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
195,198		128,500	66,698
136,215		137,730	-1,515
15,012		14,984	28
19,174		18,560	614
5,174		5,144	30
6,091		6,016	75
4,222		4,140	82
886		868	18
1,013		1,007	6
30,821		30,583	238

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			66,698
			-1,515
			28
			614
			30
			75
			82
			18
			6
			238

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
Metropolitan West FDS	P	2016-06-07	2017-03-17
Muzinich Low Durat-SUPR INST	P	2016-11-04	2017-03-17
Nuveen INVT TR V	P		2017-03-17
ST Dividend Growth FD-I	P	2016-06-13	2017-03-17
ST Dividend Growth FD-I	P	2016-06-13	2017-05-17
SIT Dividend Growth FD-I	P	2016-06-13	2017-05-18
VOYA Floating Rate-W	P	2016-10-25	2017-03-17
Blackrock High Yield PT-BLAC	P		2017-03-17
Blackrock High Yield PT-BLAC	P	2013-06-27	2017-12-07
BMO Pyrford INTL Stock-I	P		2017-03-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
15,944		16,520	-576
14,461		14,490	-29
11,367		11,296	71
3,306		3,117	189
2,127		2,035	92
2,135		2,034	101
17,027		16,989	38
23,850		24,790	-940
15,665		15,966	-301
5,278		5,464	-186

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-576
			-29
			71
			189
			92
			101
			38
			-940
			-301
			-186

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
BMO Pyrford INTL Stock-I	P	2015-07-10	2017-04-25
BMO Pyrford INTL Stock-I	P	2015-07-10	2017-04-26
BMO Pyrford INTL Stock-I	P	2015-07-10	2017-04-27
Cohen & Steers PR SEC&INC-I	P		2017-03-17
Credit Suisse FL RT HI IN-I	P		2017-03-17
Credit Suisse FL RT HI IN-I	P	2015-08-14	2017-12-07
Doubleline TOTL RET BND-I	P		2017-03-17
Harbor Convertible SEC-INST	P	2013-06-17	2017-03-17
Harbor Convertible SEC-INST	P	2013-06-17	2017-03-29
John Hancock L/C Equity-I	P	2015-07-14	2017-03-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
2,043		2,038	5
3,236		3,226	10
6,719		6,708	11
29,272		28,559	713
27,630		27,460	170
3,110		3,082	28
62,731		66,520	-3,789
12,845		12,441	404
1,268		1,225	43
15,837		14,252	1,585

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			5
			10
			11
			713
			170
			28
			-3,789
			404
			43
			1,585

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
John Hancock LRF CP Core - R6	P	2015-07-14	2017-08-09
JPM Unconstrained Debt FD - CL I	P	2014-03-17	2017-02-08
Lord Abbett INVT TR	P		2017-03-17
Metropolitan West FDS	P	2013-10-24	2017-03-17
Nuveen INVT TR V	P	2016-01-19	2017-03-17
Pimco Unconstrained Bond - INS	P	2015-08-07	2017-03-17
SIT Dividend Growth FD-I	P		2017-03-17
SIT Dividend Growth FD-I	P	2016-01-15	2017-05-18
SIT Dividend Growth FD-I	P	2016-01-15	2017-08-09
Blackrock High Yield PT-BLAC	P	2011-08-05	2017-12-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
3,056		2,589	467
15,111		15,872	-761
40,208		42,270	-2,062
21,865		22,282	-417
6,652		6,486	166
13,897		14,009	-112
31,523		32,522	-999
24		20	4
11,603		9,623	1,980
5,291		5,162	129

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			467
			-761
			-2,062
			-417
			166
			-112
			-999
			4
			1,980
			129

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
Doubleline TOTL RET BND-I	P	2011-10-18	2017-03-17
Doubleline TOTL RET BND-I	P	2011-10-18	2017-05-17
Doubleline TOTL RET BND-I	P	2011-10-18	2017-05-18
Doubleline TOTL RET BND-I	P	2011-10-18	2017-08-21
Harbor Convertible SEC-INST	P	2011-07-20	2017-03-19
Harbor Convertible SEC-INST	P	2011-07-20	2017-05-18
Harbor Convertible SEC-INST	P	2011-07-20	2017-07-18
Harbor Convertible SEC-INST	P	2011-07-20	2017-09-27
Harbor Convertible SEC-INST	P	2011-07-20	2017-10-03
Harbor Convertible SEC-INST	P	2011-07-20	2017-10-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,290		6,634	-344
2,676		2,788	-112
2,756		2,874	-118
36,694		38,160	-1,466
4,252		3,872	380
4,362		3,943	419
5,713		5,077	636
3,621		3,206	415
3,022		2,666	356
3,068		2,700	368

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-344
			-112
			-118
			-1,466
			380
			419
			636
			415
			356
			368

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
Harbor Convertible SEC-INST	P	2011-07-20	2017-10-10
Harbor Convertible SEC-INST	P	2011-07-20	2017-10-12
JPM Unconstrained Debt FD - CL I	P	2011-02-22	2017-02-08
JPM Unconstrained Debt FD - CL I	P		2017-03-17
JPM Unconstrained Debt FD - CL I	P	2011-09-22	2017-05-01
JPM Unconstrained Debt FD - CL I	P	2011-10-14	2017-05-02
Matthews Asia Dividend-INST	P	2010-09-15	2017-03-17
Ridgeworth Seix Floating-IS	P	2010-09-15	2017-03-17
T Rowe PR INST Float RT	P	2011-04-12	2017-03-17
T Rowe PR INST Float RT	P	2011-04-12	2017-12-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,069		2,703	366
2,265		2,000	265
8,058		8,219	-161
28,176		28,307	-131
19,579		19,545	34
10,323		10,150	173
15,732		12,458	3,274
10,256		10,221	35
21,502		22,206	-704
3,471		3,606	-135

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			366
			265
			-161
			-131
			34
			173
			3,274
			35
			-704
			-135

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
Virtus Seix FLT Rt HI INC-R6	P	2010-09-15	2017-10-24
Virtus Seix FLT Rt HI INC-R6	P	2010-09-15	2017-10-27
Virtus Seix FLT Rt HI INC-R6	P	2010-09-15	2017-10-31
Virtus Seix FLT Rt HI INC-R6	P	2010-09-15	2017-11-03
Doubleline Leveraged Fund LTD Class A	P		2017-07-10
Doubleline Leveraged Fund LTD Class A	P		2017-08-09
Doubleline Leveraged Fund LTD Class A	P		2017-10-03
Capital Gains Dividends	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,087		5,093	-6
5,132		5,138	-6
6,239		6,246	-7
6,142		6,149	-7
347,024		225,000	122,024
34,699		22,249	12,450
8,235		2,751	5,484
187,128			187,128

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-6
			-6
			-7
			-7
			122,024
			12,450
			5,484
			187,128

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Alleghany Co Rescue Squad 61 Trojan Ave Sparta, NC 28675		PC	To fund charitable activities	500
Alleghany Memorial Hospital 233 Doctors St Sparta, NC 28675		PC	To fund charitable activities	2,500
American Friends of the Louvre 305 East 47th Street New York, NY 10017		PC	To fund charitable activities	9,520
Total ▶ 3a				913,858


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Aspen Art Museum637 E Hyman Ave Aspen, CO 81611		PC	To fund charitable activities	1,000
Aspen Institute Society 2300 North Street NW 700 Washington, DC 20037		PC	To fund charitable activities	10,000
Aspen Music Festival 225 Music School Road Aspen, CO 81611		PC	To fund charitable activities	20,000
Total ▶ 3a				913,858

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Bishop John T Walker School 3640 Martin Luther King Jr Ave SE Washington, DC 20032		PC	To fund charitable activities	103,200
Boys & Girls Club of Palm Beach County 800 Northpoint Pkwy 204 West Palm Beach, FL 33407		PC	To fund charitable activities	19,500
Buffalo Bill Historical Center 720 Sheridan Avenue Cody, WY 82414		PC	To fund charitable activities	2,000
Total ▶ 3a				913,858

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Cherry Lane Fire Dept8878 US-21 Glade Valley, NC 28627		PC	To fund charitable activities	500
Christ Church Georgetown 3116 O Street NW Washington, DC 20007		PC	To fund charitable activities	25,000
Citizen's Association of Georgetown 1365 Wisconsin Avenue NW Suite 200 Washington, DC 20007		PC	To fund charitable activities	500
Total ▶ 3a				913,858

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
French Heritage Society 14 East 60th Street Suite 605 New York, NY 10022		PC	To fund charitable activities	2,000
Garden Club of America 14 East 60th Street New York, NY 10022		PC	To fund charitable activities	2,359
Go Bo Fund for Georgetown Hospital 3800 Reservoir Road NW Washington, DC 20007		PC	To fund charitable activities	3,500
Total ▶ 3a				913,858

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Hollins House1010 W Baltimore Street Baltimore, MD 21233		PC	To fund charitable activities	865
Hollins UniversityPO Box 9707 Roanoke, VA 240201707		PC	To fund charitable activities	15,000
Jamestown Yorktown Foundation 2110 Jamestown Rd Williamsburg, VA 23185		PC	To fund charitable activities	2,500
Total ▶ 3a				913,858

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Light of Healing Hope Foundation 2801 New Mexico Ave NW Apt 1224 Washington, DC 20007		PC	To fund charitable activities	5,000
Massachusetts General Hospital 55 Fruit St Boston, MA 02114		PC	To fund charitable activities	10,000
Mountain Rescue Aspen37925 CO-82 Aspen, CO 81611		PC	To fund charitable activities	1,000
Total 				913,858
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
National Geographic Society 1145 17th Street NW Washington, DC 200364688		PC	To fund charitable activities	100,000
National Museum of Women in the Arts 1250 New York Avenue NW Washington, DC 200053970		PC	To fund charitable activities	2,500
One East 60th Street Historical Foundation 1 E 60th St New York, NY 10022		PC	To fund charitable activities	1,000
Total ▶ 3a				913,858

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Phillips Collection1600 21st Street NW Washington, DC 20009		PC	To fund charitable activities	153,223
Roaring Gap Church 45 Rhododendron Road Roaring Gap, NC 28668		PC	To fund charitable activities	10,000
Robert E Lee Memorial Association (RELMA) 483 Great House Road Stratford, VA 22558		PC	To fund charitable activities	15
Total ▶ 3a				913,858

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Salisbury School251 Canaan Road Salisbury, CT 06068		PC	To fund charitable activities	35,000
Salvation ArmyPO Box 629 Alexandria, VA 22313		PC	To fund charitable activities	2,000
Share Our Strength 1703 M Street NW Suite 700 Washington, DC 20036		PC	To fund charitable activities	2,000
Total ▶ 3a				913,858

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
St Albans SchoolMount St Albans Washington, DC 20016		PC	To fund charitable activities	2,500
St John's Episcopal Church 3240 O Street NW Washington, DC 20007		PC	To fund charitable activities	1,000
Stratford Hall483 Great House Road Stratford, VA 22558		PC	To fund charitable activities	41,232
Total ▶ 3a				913,858

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Sulgrave Club Endowment Fund 1801 Massachusetts Avenue NW Washington, DC 20036		PC	To fund charitable activities	2,000
The Kellogg Collection 5215 Wisconsin Ave NW Washington, DC 20015		PC	To fund charitable activities	829
The Metropolitan Club Preservation Foundation 1700 H ST NW Washington, DC 200064601		PC	To fund charitable activities	2,000
Total ► 3a				913,858

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
The National Gallery of Art 6th Constitution Ave NW Washington, DC 20565		PC	To fund chartiable activities	5,000
The Preservation Foundation of Palm Beach 311 Peruvian Ave Palm Beach, FL 33480		PC	To fund charitable activities	22,000
The Prince of Wales Foundation 888 17th Street NW Suite 201 Washington, DC 20006		PC	To fund charitable activities	230,881
Total ► 3a				913,858

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
The Society of the Four Arts24 Arts Plaza Palm Beach, FL 33480		PC	To fund charitable activities	30,034
Trees for Georgetown 1365 Wisconsin Avenue NW Suite 200 Washington, DC 20007		PC	To fund charitable activities	5,000
UsAgainstAlzheimer's 2 Wisconsin Circle Suite 700 Chevy Chase, MD 20815		PC	To fund charitable activities	10,000
Total ▶ 3a				913,858

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
US Naval Academy Foundation 274 Wood Road Annapolis, MD 21402		PC	To fund charitable activities	1,000
Virginia Athletics Foundation PO Box 400833 Charlottesville, VA 22904		PC	To fund charitable activities	7,700
Virginia Foundation for Independent Colleges 8010 Ridge Road Suite B Richmond, VA 22329		PC	To fund charitable activities	5,000
Total ▶ 3a				913,858

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Virginia Historical Society 428 N Boulevard Richmond, VA 23220		PC	To fund charitable activities	500
Youth Orchestra of the Americas 16th Floor 1001 19th St N Arlington, VA 22209		PC	To fund charitable activities	5,000
Total ▶ 3a				913,858

TY 2017 Accounting Fees Schedule

Name: Thomas Rutherford Foundation
c/o Dyson Capital Advisors

EIN: 20-2057953

Accounting Fees Schedule

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Accounting	14,000	0		14,000

TY 2017 Investments Corporate Bonds Schedule

Name: Thomas Rutherford Foundation
c/o Dyson Capital Advisors

EIN: 20-2057953

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
Corporate Bonds	1,952,935	1,951,244

TY 2017 Investments Corporate Stock Schedule

Name: Thomas Rutherford Foundation
c/o Dyson Capital Advisors

EIN: 20-2057953

Name of Stock	End of Year Book Value	End of Year Fair Market Value
Corporate Stock	7,793,635	9,992,739

TY 2017 Investments - Other Schedule

Name: Thomas Rutherford Foundation
c/o Dyson Capital Advisors

EIN: 20-2057953

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
Cash and Sweep Accounts	AT COST	719,119	719,119
Private equities	AT COST	5,335,870	4,229,162
Hedge funds	AT COST	2,293,009	2,246,384

TY 2017 Other Expenses Schedule

Name: Thomas Rutherford Foundation
c/o Dyson Capital Advisors

EIN: 20-2057953

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Gifts	1,030	0		1,030
Phone/Internet	861	0		861
Miscellaneous Expenses	380	0		380

TY 2017 Other Income Schedule

Name: Thomas Rutherford Foundation
c/o Dyson Capital Advisors

EIN: 20-2057953

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Alternative Asset Distributions	641,047	641,047	641,047
Harvest MLP Income Fund LLP	-27,179	-27,179	-27,179
Charles Schwab	33	33	33
Thyra Ancormax Income Fund	18,399	18,399	18,399
JP Morgan	1,430	1,430	1,430
Foreign exchange gain	2,430	2,430	2,430

TY 2017 Other Professional Fees Schedule

Name: Thomas Rutherford Foundation
c/o Dyson Capital Advisors

EIN: 20-2057953

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Investment management	214,958	214,958		0
Asset management	32,764	0		32,764

TY 2017 Taxes Schedule

Name: Thomas Rutherford Foundation
c/o Dyson Capital Advisors

EIN: 20-2057953

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Foreign Taxes paid on investments	5,630	5,630		0
Federal income tax	2,629	0		2,629