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990-PF

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2017

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

- ▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

For calendar year 2017 or tax year beginning , 2017, and ending , 20

Name of foundation THE ALCON FOUNDATION INC.		A Employer identification number 20-0166600
Number and street (or P O box number if mail is not delivered to street address) ONE HEALTH PLAZA, BLDG 200		B Telephone number (see instructions) (862) 778-1644
City or town, state or province, country, and ZIP or foreign postal code EAST HANOVER, NJ 07936		C If exemption application is pending, check here. <input type="checkbox"/> 6
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change	<input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change	D 1 Foreign organizations, check here. <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation 04 <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 30,000.		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule) B	3,639,181.			
2	Check <input type="checkbox"/> if the foundation is not required to attach Sch. B.				
3	Interest on savings and temporary cash investments.				
4	Dividends and interest from securities				
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10				
b	Gross sales price for all assets on line 6a				
7	Capital gain net income (from Part IV, line 2)		0.		
8	Net short-term capital gain.				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)				
12	Total. Add lines 1 through 11.	3,639,181.	0.		
13	Compensation of officers, directors, trustees, etc.	0.			
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule)				
c	Other professional fees (attach schedule) [1]	15,473.			15,473.
17	Interest				
18	Taxes (attach schedule) (see instructions).				
19	Depreciation (attach schedule) and depletion.				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule) ATCH 2	2,308.			2,308.
24	Total operating and administrative expenses. Add lines 13 through 23.	17,781.			17,781.
25	Contributions, gifts, grants paid	3,621,400.			3,621,400.
26	Total expenses and disbursements Add lines 24 and 25	3,639,181.			3,639,181.
27	Subtract line 26 from line 12				
a	Excess of revenue over expenses and disbursements	0.			
b	Net investment income (if negative, enter -0-)		0.		
c	Adjusted net income (if negative, enter -0-)				

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable.			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use.			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U S and state government obligations (attach schedule).			
	b	Investments - corporate stock (attach schedule)			
	c	Investments - corporate bonds (attach schedule)			
	Liabilities	11	Investments - land, buildings, and equipment basis ▶		
		Less accumulated depreciation ▶ (attach schedule)			
12		Investments - mortgage loans			
13		Investments - other (attach schedule)			
14		Land, buildings, and equipment basis ▶			
		Less accumulated depreciation ▶ (attach schedule)			
15		Other assets (describe ▶ ATCH 3)	0.	0.	30,000.
16		Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	0.	1X0.	30,000.
17		Accounts payable and accrued expenses			
18		Grants payable.			
Net Assets or Fund Balances	19	Deferred revenue.			
	20	Loans from officers, directors, trustees, and other disqualified persons.			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)	0.	0.	
		Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26, and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds				
28	Paid-in or capital surplus, or land, bldg, and equipment fund.				
29	Retained earnings, accumulated income, endowment, or other funds				
30	Total net assets or fund balances (see instructions)	0.	0.		
31	Total liabilities and net assets/fund balances (see instructions)	0.	0.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return).	1	0.
2	Enter amount from Part I, line 27a.	2	0.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	0.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	0.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 a					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)	<div style="display: flex; align-items: center;"> <div style="font-size: 3em; margin-right: 10px;">{</div> <div> If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 </div> </div>		2		
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	<div style="display: flex; align-items: center;"> <div style="font-size: 3em; margin-right: 10px;">}</div> <div> If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in Part I, line 8 </div> </div>		3		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e) Do not complete this part

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	5,256,244.	29,550.	177.876277
2015	5,874,309.	29,550.	198.792183
2014	4,411,762.	29,550.	149.298206
2013	5,128,872.	29,550.	173.565888
2012	6,398,990.	29,550.	216.547885
2 Total of line 1, column (d)			916.080439
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years			183.216088
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5			29,550.
5 Multiply line 4 by line 3.			5,414,035.
6 Enter 1% of net investment income (1% of Part I, line 27b).			
7 Add lines 5 and 6.			5,414,035.
8 Enter qualifying distributions from Part XII, line 4.			3,639,181.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)	1	0.
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	2	
3	Add lines 1 and 2	3	0.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	0.
6	Credits/Payments		
a	2017 estimated tax payments and 2016 overpayment credited to 2017 6a		
b	Exempt foreign organizations - tax withheld at source 6b		
c	Tax paid with application for extension of time to file (Form 8868) 6c		
d	Backup withholding erroneously withheld 6d		
7	Total credits and payments. Add lines 6a through 6d	7	0.
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be Credited to 2018 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ 0. (2) On foundation managers <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by <i>General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions <input type="checkbox"/> TX,		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the tax year beginning in 2017? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	X	
14 The books are in care of ▶ MELISSA THOMPSON Telephone no ▶ 817-551-4818 Located at ▶ 6201 SOUTH FREEWAY FORT WORTH, TX ZIP+4 ▶ 76134-2099		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A		
16 At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶ N/A		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b	X
Organizations relying on a current notice regarding disaster assistance, check here ▶ <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b	X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

	Yes	No
5a During the year, did the foundation pay or incur any amount to		
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.		
Organizations relying on a current notice regarding disaster assistance, check here	<input type="checkbox"/>	
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
If "Yes," attach the statement required by Regulations section 53.4945-5(d)		
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		
If "Yes" to 6b, file Form 8870		
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation. See instructions.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 4		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. 0.

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		0.

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	
b	Average of monthly cash balances	1b	
c	Fair market value of all other assets (see instructions)	1c	30,000.
d	Total (add lines 1a, b, and c)	1d	30,000.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	30,000.
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	450.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	29,550.
6	Minimum investment return. Enter 5% of line 5	6	1,478.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	1,478.
2a	Tax on investment income for 2017 from Part VI, line 5	2a	
2b	Income tax for 2017 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,478.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	1,478.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	1,478.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	3,639,181.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	3,639,181.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	3,639,181.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				1,478.
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only.				
b Total for prior years 20 <u>15</u> , 20 <u>14</u> , 20 <u>13</u>				
3 Excess distributions carryover, if any, to 2017				
a From 2012	6,397,512.			
b From 2013	5,128,872.			
c From 2014	4,411,762.			
d From 2015	5,872,831.			
e From 2016	5,254,766.			
f Total of lines 3a through e	27,065,743.			
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ \$ <u>3,639,181.</u>				
a Applied to 2016, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2017 distributable amount.				1,478.
e Remaining amount distributed out of corpus.	3,637,703.			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	30,703,446.			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018.				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions)	6,397,512.			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	24,305,934.			
10 Analysis of line 9				
a Excess from 2013	5,128,872.			
b Excess from 2014	4,411,762.			
c Excess from 2015	5,872,831.			
d Excess from 2016	5,254,766.			
e Excess from 2017	3,637,703.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section	4942(j)(3) or	4942(j)(5)
---	---------------	------------

		Tax year	Prior 3 years			(e) Total
		(a) 2017	(b) 2016	(c) 2015	(d) 2014	
2 a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test - enter					
(1)	Value of all assets.					
(2)	Value of assets qualifying under section 4942(j)(3)(B)(i).					
b	"Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c	"Support" alternative test - enter					
(1)	Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
(2)	Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3)	Largest amount of support from an exempt organization.					
(4)	Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

ATCH 5

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed

ATCH 6

b The form in which applications should be submitted and information and materials they should include

ATCH 7

c Any submission deadlines

THERE ARE NO SUBMISSION DEADLINES.

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

ATCH 8

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
ATCH 9				
Total			3a	3,621,400.
b Approved for future payment				
ATCH 10				
Total			3b	2,480,150.

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

JSA
7E1492 1 000

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

OMB No 1545-0047

2017▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

Name of the organization

Employer identification number

THE ALCON FOUNDATION INC.

20-0166600

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒
- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000, or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization **THE ALCON FOUNDATION INC.**Employer identification number
20-0166600**Part I** **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ALCON LABORATORIES, INC. 6201 SOUTH FREEWAY FORT WORTH, TX 76134	\$ 3,639,181.	Person <input checked="checked" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization THE ALCON FOUNDATION INC.

Employer identification number
20-0166600**Part II** Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization THE ALCON FOUNDATION INC.

Employer identification number

20-0166600

Part III **Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor.** Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions.) ► \$ _____

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

ATTACHMENT 1FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>CHARITABLE PURPOSES</u>
CONSULTING & PROFESSIONAL FEES	15,473.	15,473.
TOTALS	<u>15,473.</u>	<u>15,473.</u>

ATTACHMENT 2FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	CHARITABLE PURPOSES
BANK FEES	2,308.	2,308.
TOTALS	2,308.	2,308.

FORM 990PF, PART II - OTHER ASSETSATTACHMENT 3

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
OTHER (ARTWORK)	0.	30,000.
TOTALS	<u>0.</u>	<u>30,000.</u>

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 4

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
MELISSA THOMPSON 6201 SOUTH FREEWAY FORT WORTH, TX 76134-2099	PRESIDENT, DIRECTOR 1.00	0.	0.	0.
MIKE BALL 6201 SOUTH FREEWAY FORT WORTH, TX 76134-2099	CHAIRMAN 1.00	0.	0.	0.
ROYCE BEDWARD 6201 SOUTH FREEWAY FORT WORTH, TX 76134-2099	VP, TREASURER, DIRECTOR 1.00	0.	0.	0.
KIM ADLER 6201 SOUTH FREEWAY FORT WORTH, TX 76134-2099	DIRECTOR (BEGAN 5/2017) 1.00	0.	0.	0.
SERGIO DUPLAN 6201 SOUTH FREEWAY FORT WORTH, TX 76134-2099	DIRECTOR 1.00	0.	0.	0.
RICK WEISBARTH 6201 SOUTH FREEWAY FORT WORTH, TX 76134-2099	DIRECTOR 1.00	0.	0.	0.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 4 (CONT'D)

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS		EXPENSE ACCT AND OTHER ALLOWANCES
			TO EMPLOYEE BENEFIT PLANS		
CRAIG OSTEN ONE SOUTH RIDGEDALE AVENUE EAST HANOVER, NJ 07936	DIRECTOR 1.00	0.	0.	0.	0.
RHONDA BRYANT 6201 SOUTH FREEWAY FORT WORTH, TX 76134-2099	SECRETARY, NON-VOTING OFFICER 1.00	0.	0.	0.	0.
EDGAR ARROCHA 6201 SOUTH FREEWAY FORT WORTH, TX 76134-2099	VP, ASST TREAS (NON-VOTE) 1.00	0.	0.	0.	0.
TOM HUDNALL 6201 SOUTH FREEWAY FORT WORTH, TX 76134-2099	ASSISTANT SECRETARY (NON-VOTE) 1.00	0.	0.	0.	0.
BETTINA MAUNZ ONE SOUTH RIDGEDALE AVENUE EAST HANOVER, NJ 07936	DIRECTOR (ENDED 4/2017) 1.00	0.	0.	0.	0.
ROBERT WARNER 6201 SOUTH FREEWAY FORT WORTH, TX 76134-2099	DIRECTOR (ENDED 07/2017) 1.00	0.	0.	0.	0.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 4 (CONT'D)

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
HUNTER CHERWEK 6201 SOUTH FREEWAY FORT WORTH, TX 76134-2099	DIRECTOR (ENDED 10/2017) 1.00	0.	0.	0.
GRAND TOTALS		0.	0.	0.

ATTACHMENT 5FORM 990PF, PART XV - INFORMATION REGARDING FOUNDATION MANAGERS

ALCON LABORATORIES, INC., CONTRIBUTES MORE THAN 2% OF THE TOTAL CONTRIBUTIONS OF THE ALCON FOUNDATION, INC. INDICATED BELOW ARE OFFICERS OR DIRECTORS OF THE ALCON FOUNDATION, INC. WHO ARE OFFICERS OF ALCON LABORATORIES, INC.:

- MICHAEL BALL
- BETTINA MAUNZ

ATTACHMENT 6FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS ✓

MELISSA THOMPSON, PRESIDENT, DIRECTOR 6201
SOUTH FREEWAY
FORTH WORTH, TX 76134-2099
817-551-4818

ATTACHMENT 7990PF, PART XV - FORM AND CONTENTS OF SUBMITTED APPLICATIONS ✓

- GRANT REQUESTS SHOULD BE SUBMITTED IN WRITING AND INCLUDE CURRENT IRS DOCUMENTATION CERTIFYING THAT THE APPLICANT IS A TAX-EXEMPT ORGANIZATION UNDER SECTION 501(C)(3) OF THE INTERNAL REVENUE CODE.
- INFORMATION ABOUT THE APPLICANT AND A BRIEF DESCRIPTION OF THE SPECIFIC PROGRAM FOR WHICH FUNDS ARE BEING REQUESTED.
- NAMES AND AFFILIATIONS OF THE OFFICERS, TRUSTEES AND/OR BOARD OF DIRECTORS OF THE APPLICANT.
- LIST OF THE APPLICANT'S CURRENT CORPORATE AND FOUNDATION SUPPORTERS.
- MOST RECENT AUDITED FINANCIAL STATEMENTS OF THE APPLICANT.

ATTACHMENT 8990PF, PART XV - RESTRICTIONS OR LIMITATIONS ON AWARDS ✓

THE ALCON FOUNDATION, INC. SUPPORTS ORGANIZATIONS IN THE FIELDS OF HEALTH CARE, LEADERSHIP PROGRAMS, RESEARCH, EDUCATION AND COMMUNITY RESPONSIBILITY. PROGRAMS THAT ADVANCE THE EDUCATION AND SKILL LEVELS OF EYE CARE PROFESSIONALS ARE GIVEN SPECIAL CONSIDERATION. ALCON BELIEVES THESE TYPES OF PROGRAMS BENEFIT THE EYE CARE PROFESSIONAL AND IMPROVE PATIENT CARE. IN ADDITION, THE FOUNDATION SUPPORTS THE PHILANTHROPIC INTEREST OF ALCON. ALCON IS COMMITTED TO MAKING A DIFFERENCE IN THE COMMUNITIES WHERE WE HAVE A LARGE EMPLOYEE PRESENCE AND BELIEVE IT IS OUR SOCIAL RESPONSIBILITY TO SUPPORT EDUCATIONAL PROGRAMS, GOOD WORKS AND CHARITIES. THE ALCON FOUNDATION, INC. SUPPORTS CIVIC AND COMMUNITY, HEALTH AND HUMAN SERVICES, EDUCATION, ARTS AND CULTURAL PROGRAMS.

Part XV. Line 3a - Grants and Contributions Paid During the Year

ATTACHMENT 9

Recipient Name	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation Status of recipient	Purpose of Grant or Contribution	Amount
ORBIS INTERNATIONAL	N/A	PC	GOODWILL	\$ 400,000
United Way of Tarrant County	N/A	PC	GOODWILL	\$ 350,000
Mercy Ships	N/A	PC	GOODWILL	\$ 250,000
VisionSpring, Inc	N/A	PC	GOODWILL	\$ 200,000
EYElliance	N/A	PC	GOODWILL	\$ 150,000
Surgical Eye Expeditions International, Inc	N/A	PC	GOODWILL	\$ 120,000
Helen Keller International	N/A	PC	GOODWILL	\$ 115,000
Essilor Vision Foundation	N/A	PC	GOODWILL	\$ 100,000
International Council of Ophthalmology Foundation	N/A	PC	GOODWILL	\$ 100,000
Foundation of the American Academy of Ophthalmology	N/A	PC	GOODWILL	\$ 100,000
International Eye Foundation	N/A	PC	GOODWILL	\$ 85,000
American Glaucoma Society Foundation	N/A	PC	GOODWILL	\$ 80,000
Glaucoma Research Foundation	N/A	PC	GOODWILL	\$ 80,000
Emory University	N/A	PC	GOODWILL	\$ 75,000
Massachusetts Eye and Ear Infirmary	N/A	PC	GOODWILL	\$ 73,700
SightLife	N/A	PC	GOODWILL	\$ 60,000
Fort Worth Area Habitat for Humanity, Inc	N/A	PC	GOODWILL	\$ 50,000
Optometry Giving Sight	N/A	PC	GOODWILL	\$ 50,000
West Virginia University Foundation, Inc	N/A	PC	GOODWILL	\$ 50,000
Bascom Palmer Eye Institute Ophthalmology Research Foundation	N/A	PC	GOODWILL	\$ 50,000
ASCRS Foundation	N/A	PC	GOODWILL	\$ 50,000
Foundation of the American Academy of Ophthalmology	N/A	PC	GOODWILL	\$ 50,000
American Red Cross	N/A	PC	GOODWILL	\$ 50,000
United Way of Berks County	N/A	PC	GOODWILL	\$ 45,000
Fort Worth Chamber Development Foundation	N/A	PC	GOODWILL	\$ 37,500
Orange County United Way	N/A	PC	GOODWILL	\$ 35,000
Illinois College of Optometry	N/A	PC	GOODWILL	\$ 30,000
American Optometric Foundation	N/A	PC	GOODWILL	\$ 25,000
Himalayan Cataract Project	N/A	PC	GOODWILL	\$ 25,000
OneSight	N/A	PC	GOODWILL	\$ 25,000
COMMUNITY FOUNDATION OF NORTH TEXAS	N/A	PC	GOODWILL	\$ 25,000
Eye Care for Kids California Chapter, Inc	N/A	PC	GOODWILL	\$ 25,000
Optometry Giving Sight	N/A	PC	GOODWILL	\$ 25,000
Vision for the Poor	N/A	PC	GOODWILL	\$ 25,000
American Academy of Optometry Foundation	N/A	PC	GOODWILL	\$ 25,000
Children's Hospital Medical Center	N/A	PC	GOODWILL	\$ 25,000
ARVO Foundation	N/A	PC	GOODWILL	\$ 21,000
Georgia Society to Prevent Blindness, Inc	N/A	PC	GOODWILL	\$ 20,000
Foundation Fighting Blindness, Inc	N/A	PC	GOODWILL	\$ 15,000
ARVO Foundation	N/A	PC	GOODWILL	\$ 15,000
ARVO Foundation	N/A	PC	GOODWILL	\$ 13,500
Illumination Foundation	N/A	PC	GOODWILL	\$ 10,000
AccessOC, Southern California ("AccessOC")	N/A	PC	GOODWILL	\$ 10,000
Presbyterian Night Shelter	N/A	PC	GOODWILL	\$ 10,000
Fort Worth Symphony Orchestra	N/A	PC	GOODWILL	\$ 10,000
Huntington City Mission	N/A	PC	GOODWILL	\$ 10,000
Cabell Huntington Hospital Foundation	N/A	PC	GOODWILL	\$ 10,000
Boys & Girls Club of Greater Fort Worth, Inc	N/A	PC	GOODWILL	\$ 10,000
What About Remembering Me?	N/A	PC	GOODWILL	\$ 10,000
ORBIS INTERNATIONAL	N/A	PC	GOODWILL	\$ 10,000
Catholic Charities Diocese of Fort Worth, Inc	N/A	PC	GOODWILL	\$ 10,000
BIG BROTHERS BIG SISTERS LONE STAR	N/A	PC	GOODWILL	\$ 10,000
Special Olympics, Inc	N/A	PC	GOODWILL	\$ 10,000
Van Cliburn Foundation, Inc	N/A	PC	GOODWILL	\$ 10,000
Tarrant Literacy Coalition	N/A	PC	GOODWILL	\$ 10,000
Amon Carter Museum of Western Art	N/A	PC	GOODWILL	\$ 10,000
Tarrant County Association for the Blind	N/A	PC	GOODWILL	\$ 10,000
National Society to Prevent Blindness (dba Prevent Blindness)	N/A	PC	GOODWILL	\$ 10,000
SafeHaven of Tarrant County	N/A	PC	GOODWILL	\$ 10,000
Arlington Life Shelter	N/A	PC	GOODWILL	\$ 10,000
Fort Worth Museum of Science and History	N/A	PC	GOODWILL	\$ 10,000
Habitat for Humanity- North Central Georgia	N/A	PC	GOODWILL	\$ 10,000
Texas Ballet Theater, Inc	N/A	PC	GOODWILL	\$ 10,000

Tarrant County Samaritan House	N/A	PC	GOODWILL	\$ 10,000
Fort Worth Symphony Orchestra Association, Inc	N/A	PC	GOODWILL	\$ 10,000
Boys & Girls Club of Greater Fort Worth, Inc	N/A	PC	GOODWILL	\$ 10,000
Botanical Research Institute of Texas (BRIT)	N/A	PC	GOODWILL	\$ 10,000
Boys & Girls Clubs of Garden Grove	N/A	PC	GOODWILL	\$ 10,000
Special Olympics, Inc	N/A	PC	GOODWILL	\$ 10,000
Tarrant Area Food Bank	N/A	PC	GOODWILL	\$ 10,000
Catholic Charities Diocese of Fort Worth, Inc	N/A	PC	GOODWILL	\$ 10,000
Fort Worth Opera Association, Inc	N/A	PC	GOODWILL	\$ 10,000
Tarrant Literacy Coalition	N/A	PC	GOODWILL	\$ 10,000
Mansfield ISD Education Foundation	N/A	PC	GOODWILL	\$ 10,000
United Way of Greater Houston	N/A	PC	GOODWILL	\$ 10,000
Wright Foundation	N/A	PC	GOODWILL	\$ 9,500
United Way of the River Cities, Inc	N/A	PC	GOODWILL	\$ 8,000
Junior Achievement of the Chisholm Trail, Inc	N/A	PC	GOODWILL	\$ 7,500
UNITED WAY OF GREATER ATLANTA INC	N/A	PC	GOODWILL	\$ 6,000
Battered Women's Foundation	N/A	PC	GOODWILL	\$ 5,500
Berks County Chamber Foundation	N/A	PC	GOODWILL	\$ 5,000
Gill Children's Services, Inc	N/A	PC	GOODWILL	\$ 5,000
Berks Arts Council	N/A	PC	GOODWILL	\$ 5,000
Cancer Care Services	N/A	PC	GOODWILL	\$ 5,000
Cabell-Wayne Association of the Blind, Inc	N/A	PC	GOODWILL	\$ 5,000
Orange County Museum of Art	N/A	PC	GOODWILL	\$ 5,000
Big Brothers Big Sisters of the Tri-State, Inc	N/A	PC	GOODWILL	\$ 5,000
Lily's Place	N/A	PC	GOODWILL	\$ 5,000
Berks County Association for the Blind	N/A	PC	GOODWILL	\$ 5,000
Latin Arts Association of Fort Worth	N/A	PC	GOODWILL	\$ 5,000
Casa Mañana, Inc	N/A	PC	GOODWILL	\$ 5,000
Gill Children's Services, Inc	N/A	PC	GOODWILL	\$ 5,000
Impossible Possibilities	N/A	PC	GOODWILL	\$ 5,000
Berks Arts Council	N/A	PC	GOODWILL	\$ 5,000
Fort Worth HOPE Center	N/A	PC	GOODWILL	\$ 3,500
Remote Area Medical, Inc	N/A	PC	GOODWILL	\$ 3,500
American Heart Association	N/A	PC	GOODWILL	\$ 3,500
The Women's Center of Tarrant County, Inc	N/A	PC	GOODWILL	\$ 3,000
Alliance For Children Inc	N/A	PC	GOODWILL	\$ 3,000
Special Olympics Georgia, Inc	N/A	PC	GOODWILL	\$ 3,000
BIG BROTHERS BIG SISTERS LONE STAR	N/A	PC	GOODWILL	\$ 3,000
The Women's Center of Tarrant County, Inc	N/A	PC	GOODWILL	\$ 3,000
CENTER FOR TRANSFORMING LIVES	N/A	PC	GOODWILL	\$ 2,933
National Merit Scholarship Program	N/A	PC	GOODWILL	\$ 767
			TOTAL	\$ 3,621,400

Part XV. Line 3a - Grants and Contributions Paid During the Year

ATTACHMENT 10

Recipient Name	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation Status of recipient	Purpose of Grant or Contribution	Amount
Orbis	N/A	PC	GOODWILL	\$ 400,000
Mercy Ships	N/A	PC	GOODWILL	\$ 250,000
HABITAT FOR HUMANITY	N/A	PC	GOODWILL	\$ 175,000
SIGHTLIFE	N/A	PC	GOODWILL	\$ 150,000
FAAO	N/A	PC	GOODWILL	\$ 150,000
SEE INTERNATIONAL	N/A	PC	GOODWILL	\$ 122,000
ESSILOR VISION FOUNDATION	N/A	PC	GOODWILL	\$ 110,000
EYELLIANCE	N/A	PC	GOODWILL	\$ 100,000
WEST VIRGINIA UNIVERSITY	N/A	PC	GOODWILL	\$ 100,000
ICOF	N/A	PC	GOODWILL	\$ 100,000
MASSACHUSETTS EYE AND EAR INFIRMARY	N/A	PC	GOODWILL	\$ 76,950
SERVE THE PEOPLE	N/A	PC	GOODWILL	\$ 75,000
EMORY	N/A	PC	GOODWILL	\$ 65,000
ARVO	N/A	PC	GOODWILL	\$ 50,700
OPTOMETRY GIVING SIGHT	N/A	PC	GOODWILL	\$ 50,000
PREVENT BLINDNESS	N/A	PC	GOODWILL	\$ 50,000
UNIVERSITY OF PIKEVILLE	N/A	PC	GOODWILL	\$ 50,000
ASCRS	N/A	PC	GOODWILL	\$ 50,000
BASCOM PALMER	N/A	PC	GOODWILL	\$ 50,000
IEF	N/A	PC	GOODWILL	\$ 45,000
ILLINOIS COLLEGE OF OPTOMETRY	N/A	PC	GOODWILL	\$ 35,000
HCP	N/A	PC	GOODWILL	\$ 25,000
ONESIGHT	N/A	PC	GOODWILL	\$ 25,000
OPTOMETRY GIVING SIGHT - WSD	N/A	PC	GOODWILL	\$ 25,000
AAO FOUNDATION	N/A	PC	GOODWILL	\$ 25,000
COOK CHILDREN'S HEALTH FOUNDATION	N/A	PC	GOODWILL	\$ 20,000
PREVENT BLINDNESS GEORGIA	N/A	PC	GOODWILL	\$ 20,000
PREVENT BLINDNESS TEXAS	N/A	PC	GOODWILL	\$ 20,000
FOUNDATION FIGHTING BLINDNESS	N/A	PC	GOODWILL	\$ 15,000
ACCESSOC	N/A	PC	GOODWILL	\$ 10,000
AMERICAN RED CROSS	N/A	PC	GOODWILL	\$ 10,000
ILLUMINATION FOUNDATION	N/A	PC	GOODWILL	\$ 10,000
BOTANICAL RESEARCH INSTITUTE OF TEXAS	N/A	PC	GOODWILL	\$ 10,000
BATTERED WOMEN'S FOUNDATION	N/A	PC	GOODWILL	\$ 5,500
CASA MANANA	N/A	PC	GOODWILL	\$ 5,000
TOTAL				\$ 2,480,150