



EXTENDED TO NOVEMBER 15, 2018

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No. 1545-0052

2017

Open to Public Inspection

Form 990-PF

Department of the Treasury
Internal Revenue ServiceDo not enter social security numbers on this form as it may be made public.
Go to www.irs.gov/Form990PF for instructions and the latest information.

For calendar year 2017 or tax year beginning

, and ending

Name of foundation
LEGACY FOUNDATION OF TOMPKINS COUNTY

Number and street (or P.O. box number if mail is not delivered to street address) Room/suite
P.O. BOX 97

City or town, state or province, country, and ZIP or foreign postal code
ITHACA, NY 14851

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation **04**
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) **\$ 3,395,957.**

J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) _____

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

A Employer identification number
15-6018481

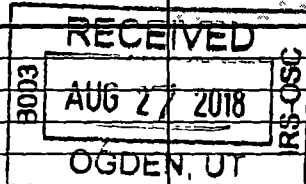
B Telephone number
(607) 273-3210

C If exemption application is pending, check here ☐

D 1. Foreign organizations, check here ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received	77,390.		N/A	
2	Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	75,164.	74,086.		STATEMENT 1
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	135,023.			
b	Gross sales price for all assets on line 6a	743,461.			
7	Capital gain net income (from Part IV, line 2)		135,023.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss)				
11	Other income	57.	0.		STATEMENT 2
12	Total. Add lines 1 through 11	287,634.	209,109.		
13	Compensation of officers, directors, trustees, etc.	0.	0.		0.
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees				
b	Accounting fees STMT 3	4,150.	4,150.		0.
c	Other professional fees STMT 4	30,728.	30,728.		0.
17	Interest				
18	Taxes STMT 5	1,837.	275.		0.
19	Depreciation and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses STMT 6	3,850.	3,850.		0.
24	Total operating and administrative expenses. Add lines 13 through 23	40,565.	39,003.		0.
25	Contributions, gifts, grants paid	254,157.			254,157.
26	Total expenses and disbursements. Add lines 24 and 25	294,722.	39,003.		254,157.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	<7,088.>			
b	Net investment income (if negative, enter -0-)		170,106.		
c	Adjusted net income (if negative, enter -0-)			N/A	



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SCANNED 02/02/2018

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	140,716.	134,849.	134,849.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations STMT 8	281,929.	281,962.	281,962.
	b Investments - corporate stock STMT 9	2,176,433.	2,333,794.	2,333,794.
	c Investments - corporate bonds STMT 10	619,300.	645,352.	645,352.
	11 Investments - land, buildings, and equipment basis ▶			
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	3,218,378.	3,395,957.	3,395,957.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26, and lines 30 and 31.			
	24 Unrestricted	3,218,378.	3,395,957.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances	3,218,378.	3,395,957.	
31 Total liabilities and net assets/fund balances	3,218,378.	3,395,957.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,218,378.
2 Enter amount from Part I, line 27a	2	<7,088.>
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 7	3	184,667.
4 Add lines 1, 2, and 3	4	3,395,957.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,395,957.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED SECURITIES-SHORT TERM	P	VARIOUS	VARIOUS
b PUBLICLY TRADED SECURITIES- LONG TERM	P	VARIOUS	VARIOUS
c CAPITAL GAINS DIVIDENDS			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a 121,434.		118,930.	2,504.
b 621,512.		489,508.	132,004.
c 515.			515.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(j) FMV as of 12/31/69	(i) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			2,504.
b			132,004.
c			515.
d			
e			

2 Capital gain net income or (net capital loss)	<div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div>	2	135,023.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2016	250,470.	3,058,380.	.081896
2015	196,754.	2,847,341.	.069101
2014	183,399.	2,780,321.	.065963
2013	163,169.	2,341,881.	.069674
2012	156,407.	2,215,765.	.070588

2 Total of line 1, column (d)	2	.357222
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	.071444
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	4	3,260,426.
5 Multiply line 4 by line 3	5	232,938.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,701.
7 Add lines 5 and 6	7	234,639.
8 Enter qualifying distributions from Part XII, line 4	8	254,157.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1.
Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)

b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b

c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b).

2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)

3 Add lines 1 and 2

4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)

5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-

6 Credits/Payments:

a 2017 estimated tax payments and 2016 overpayment credited to 2017

b Exempt foreign organizations - tax withheld at source

c Tax paid with application for extension of time to file (Form 8868)

d Backup withholding erroneously withheld

7 Total credits and payments. Add lines 6a through 6d

8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached

9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed

10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid

11 Enter the amount of line 10 to be: Credited to 2018 estimated tax

2,009. Refunded

Part VII-A Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.

c Did the foundation file Form 1120-POL for this year?

d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:

(1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.

e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.

2 Has the foundation engaged in any activities that have not previously been reported to the IRS?

If "Yes," attach a detailed description of the activities.

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes

4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?

b If "Yes," has it filed a tax return on Form 990-T for this year?

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?

If "Yes," attach the statement required by General Instruction T.

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:

• By language in the governing instrument, or

• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV

8a Enter the states to which the foundation reports or with which it is registered. See instructions.

NY

b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the tax year beginning in 2017? See the instructions for Part XIV. If "Yes," complete Part XIV

10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

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Part VII-A Statements Regarding Activities (continued)

- 11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions
- 12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions
- 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?

	Yes	No
11		X
12		X
13	X	

Website address **WWW.TCLEGACY.ORG**

- 14 The books are in care of **JANET HEWITT** Telephone no. **607-274-2004**
 Located at **C/O TOMPKINS TRUST COMPANY, PO BOX 460, ITHACA, N** ZIP+4 **14851**

- 15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here
 and enter the amount of tax-exempt interest received or accrued during the year

15 N/A

- 16 At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?

	Yes	No
16		X

See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

- 1a During the year, did the foundation (either directly or indirectly):

- (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No
- (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No
- (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No
- (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No
- (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No
- (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No

- b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions
 Organizations relying on a current notice regarding disaster assistance, check here

N/A

1b

- c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?

	Yes	No
1b		
1c		X

- 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):

- a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? ☐ Yes ☒ No

If "Yes," list the years

- b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)

N/A

2b

- c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.

- 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?

☐ Yes ☒ No

- b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017.)

N/A

3b

- 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?

- b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?

4a		X
4b		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

N/A

5b

Organizations relying on a current notice regarding disaster assistance, check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

Total number of others receiving over \$50,000 for professional services

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Part IX-B Summary of Program-Related Investments

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	3,246,170.
b	Average of monthly cash balances	1b	63,907.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	3,310,077.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	3,310,077.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	49,651.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,260,426.
6	Minimum investment return. Enter 5% of line 5	6	163,021.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	163,021.
2a	Tax on investment income for 2017 from Part VI, line 5	2a	1,701.
b	Income tax for 2017. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,701.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	161,320.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	161,320.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	161,320.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	254,157.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	254,157.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	1,701.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	252,456.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				161,320.
2 Undistributed income, if any, as of the end of 2017			0.	
a Enter amount for 2016 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2017:				
a From 2012	43,638.			
b From 2013	49,463.			
c From 2014	46,813.			
d From 2015	55,740.			
e From 2016	99,673.			
f Total of lines 3a through e	295,327.			
4 Qualifying distributions for 2017 from Part XII, line 4: ► \$	254,157.			
a Applied to 2016, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2017 distributable amount				161,320.
e Remaining amount distributed out of corpus	92,837.			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	388,164.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2016. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2017. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2018				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2012 not applied on line 5 or line 7	43,638.			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	344,526.			
10 Analysis of line 9:				
a Excess from 2013	49,463.			
b Excess from 2014	46,813.			
c Excess from 2015	55,740.			
d Excess from 2016	99,673.			
e Excess from 2017	92,837.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling

- b**
- Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(1)(3) or ☐ 4942(1)(5)

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII,
line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities.

Subtract line 2d from line 2c

- 3** Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test - enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(i)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV. **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number or email address of the person to whom applications should be addressed:

SEE STATEMENT 12

- b The form in which applications should be submitted and information and materials they should include:

- c Any submission deadlines:

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information *(continued)***3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
ALTERNATIVES IMPACT	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	5,000.
BOYCE THOMPSON INSTITUTE FOR PLANT RESEARCH	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	5,829.
CANCER RESOURCE CENTER OF THE FINGER LAKES	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	2,500.
CATHOLIC CHARITIES	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	6,000.
CAYUGA MEDICAL CENTER FOUNDATION	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	10,000.
Total	SEE CONTINUATION SHEET(S)			254,157.
b Approved for future payment				
NONE				
Total				0.

Form 990-PF (2017)

Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a Transfers from the reporting foundation to a noncharitable exempt organization of:

- (1) Cash
- (2) Other assets

b Other transactions:

- (1) Sales of assets to a noncharitable exempt organization
- (2) Purchases of assets from a noncharitable exempt organization
- (3) Rental of facilities, equipment, or other assets
- (4) Reimbursement arrangements
- (5) Loans or loan guarantees
- (6) Performance of services or membership or fundraising solicitations

c Sharing of facilities, equipment, mailing lists, other assets, or paid employees

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

	Yes	No
1a(1)		X
1a(2)		X
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

Title

May the IRS discuss this return with the preparer shown below? See instr

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name _____

Prepared by: Signature

Date _____

Check ☐ self-employed

PTIN

PATRICK JORDAN

parent signature

Date 8/13/18

P00854521

Firm's name ▶ **INSERO & CO. CPAS, LLP**

Firm's EIN ► 47-5324570

Firm's address ► 401 E. STATE STREET
ITHACA, NY 14850

Phone no. (607) 272-4444

Form **990-PF** (2017)

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CHILD DEVELOPMENT COUNCIL	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	5,500.
COMMUNITY SCHOOL OF MUSIC & ARTS	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	10,500.
DRYDEN YOUTH OPPORTUNITY FUND	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	1,500.
FINGER LAKES LAND TRUST	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	5,000.
FINGER LAKES REUSE, INC.	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	10,000.
FOOD BANK OF THE SOUTHERN TIER	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	4,000.
FOODNET MEALS ON WHEELS	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	6,000.
FRANZISKA RACKER CENTER	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	1,300.
FRIENDS OF STEWART PARK	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	15,000.
FRIENDS OF THE ITHACA YOUTH BUREAU	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	10,000.
Total from continuation sheets				224,828.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ITHACA CHILDREN'S GARDEN	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	3,656.
ITHACA DOWNTOWN BUSINESS IMPROVEMENT DISTRICT	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	5,000.
ITHACA HEALTH ALLIANCE	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	4,712.
LOVE LIVING AT HOME, INC.	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	3,000.
MUSICIANS FOR WORLD HARMONY	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	2,600.
OPPORTUNITIES ALTERNATIVES & RESOURCES OF TOMPKINS COUNTY	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	9,000.
PALEONTOLOGICAL RESEARCH INSTITUTE	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	17,500.
RELAY FOR LIFE OF TOMPKINS COUNTY	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	1,500.
RUNNING TO PLACES THEATRE COMPANY	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	2,000.
SCIENCENTER OF ITHACA	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	11,700.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SPECIAL OLYMPICS, AREA 17	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	1,500.
STATE THEATRE OF ITHACA, INC.	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	4,500.
THE HISTORY CENTER IN TOMPKINS COUNTY, INC.	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	15,989.
THE LEARNING WEB	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	2,000.
THE MENTAL HEALTH ASSOC. IN TOMPKINS COUNTY	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	5,000.
THE MULTICULTURAL RESOURCE CENTER	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	3,500.
TOMPKINS COMMUNITY ACTION	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	4,895.
TOMPKINS CORTLAND COMMUNITY COLLEGE FOUNDATION	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	25,000.
TOMPKINS COUNTY CHAMBER OF COMMERCE FOUNDATION	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	10,000.
TOMPKINS LEARNING PARTNERS, INC.	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	2,505.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
UNITED WAY OF TOMPKINS COUNTY	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	800.
UNITY HOUSE OF CAYUGA COUNTY, INC.	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	1,671.
VARNA COMMUNITY ASSOCIATION	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	1,300.
WHARTON STUDIO MUSEUM	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	10,000.
ITHACA ROTARY CLUB CHARITABLE TRUST	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	5,000.
LORI YARBROUGH	NONE	N/A	AWARDS, CONTRIBUTIONS & OPERATIONS	340.
AMANDA BIRCH	NONE	N/A	AWARDS, CONTRIBUTIONS & OPERATIONS	340.
JESSICA STRATTON	NONE	N/A	AWARDS, CONTRIBUTIONS & OPERATIONS	340.
ELLEN PENNOCK	NONE	N/A	AWARDS, CONTRIBUTIONS & OPERATIONS	340.
JILL GREEN	NONE	N/A	AWARDS, CONTRIBUTIONS & OPERATIONS	340.
Total from continuation sheets				

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

- ▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

2017

Name of the organization

Employer identification number

LEGACY FOUNDATION OF TOMPKINS COUNTY

15-6018481

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

- ☐ 501(c)() (enter number) organization
- ☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- ☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
- ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
- ☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000, or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2017)

Name of organization

Employer identification number

LEGACY FOUNDATION OF TOMPKINS COUNTY

15-6018481

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	GEORGE V. & JEAN A. SMITH CHARITABLE TRUST	\$ 49,800.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
2	JEWELL ESTATE	\$ 11,429.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
3	ESTATE OF DORIS S. STRONG	\$ 14,161.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization

Employer identification number

LEGACY FOUNDATION OF TOMPKINS COUNTY

15-6018481

Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization

Employer identification number

LEGACY FOUNDATION OF TOMPKINS COUNTY

15-6018481

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

FORM 990-PF		DIVIDENDS AND INTEREST FROM SECURITIES			STATEMENT 1
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
DIVIDENDS	75,679.	515.	75,164.	74,086.	
TO PART I, LINE 4	75,679.	515.	75,164.	74,086.	

FORM 990-PF		OTHER INCOME		STATEMENT 2
DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	
REFUND OF PRIOR YEAR GRANTS	57.	0.		
TOTAL TO FORM 990-PF, PART I, LINE 11	57.	0.		

FORM 990-PF		ACCOUNTING FEES			STATEMENT 3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ACCOUNTING FEES (AUDIT & TAX)	4,150.	4,150.		0.	
TO FORM 990-PF, PG 1, LN 16B	4,150.	4,150.		0.	

FORM 990-PF		OTHER PROFESSIONAL FEES			STATEMENT 4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
CUSTODIAL FEES	18,753.	18,753.		0.	
SECRETARIAL FEES	3,500.	3,500.		0.	
DIRECTOR OF DEVELOPMENT SERVICES	8,475.	8,475.		0.	
TO FORM 990-PF, PG 1, LN 16C	30,728.	30,728.		0.	

FORM 990-PF

TAXES

STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
NYS FILING FEES	275.	275.		0.
EXCISE TAX	1,562.	0.		0.
TO FORM 990-PF, PG 1, LN 18	1,837.	275.		0.

FORM 990-PF

OTHER EXPENSES

STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OFFICE EXPENSE	1,032.	1,032.		0.
INSURANCE	872.	872.		0.
PROFESSIONAL MEMBERSHIPS	1,946.	1,946.		0.
TO FORM 990-PF, PG 1, LN 23	3,850.	3,850.		0.

FORM 990-PF

OTHER INCREASES IN NET ASSETS OR FUND BALANCES

STATEMENT 7

DESCRIPTION

AMOUNT

UNREALIZED APPRECIATION OF INVESTMENTS

184,667.

TOTAL TO FORM 990-PF, PART III, LINE 3

184,667.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS		STATEMENT 8	
DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
FHLMC 1.5% 8/30/19	X		29,738.	29,738.
FHLMC 2% DUE 2/21/2020	X		15,005.	15,005.
FHLMC 2% DUE 11/23/20	X		14,898.	14,898.
FHLMC 1.25% DUE 6/30/21	X		29,615.	29,615.
FHLMC 1.25% DUE 2/26/21	X		24,724.	24,724.
FHLMC 1.05% DUE 11/28/18	X		14,889.	14,889.
FHLMC 1% DUE 2/24/25	X		39,320.	39,320.
FFCB 1.7% 12/27/19	X		24,775.	24,775.
FFCB 1.73% DUE 10/12/22	X		29,141.	29,141.
FEDERAL NATIONAL MORTGAGE ASSN 1.25% DUE 5/25/23	X		29,962.	29,962.
FEDERAL NATIONAL MORTGAGE ASSN 1.5% DUE 2/24/26	X		29,895.	29,895.
TOTAL U.S. GOVERNMENT OBLIGATIONS			281,962.	281,962.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			281,962.	281,962.

FORM 990-PF	CORPORATE STOCK		STATEMENT 9
DESCRIPTION	BOOK VALUE		FAIR MARKET VALUE
POWERSHARES SENIOR LOAN ETF	88,704.		88,704.
PRICELINE GRP INC	17,377.		17,377.
AMERICAN TOWER REIT	12,127.		12,127.
ALLERGAN PLC	17,176.		17,176.
STARBUCKS CORP	17,516.		17,516.
SPDR SERIES TRUST	58,464.		58,464.
SALESFORCE.COM	23,002.		23,002.
RAYTHEON CO CLASS B	27,238.		27,238.
PNC FINL SVCS GROUP	28,858.		28,858.
PEPSICO	27,582.		27,582.
PENTAIR PLC	22,598.		22,598.

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NORTHROP GRUMMAN CORP COM	27,622.	27,622.
MICROSOFT CORP	37,210.	37,210.
MERCK & CO INC.	27,010.	27,010.
MEDTRONIC PLC SHS	23,498.	23,498.
ISHARES INC CORE MSCI EMKT	131,155.	131,155.
ALTRIA GROUP INC	13,568.	13,568.
HALLIBURTON CO	21,747.	21,747.
DIGITAL RLTY	14,807.	14,807.
VISA INC	25,084.	25,084.
VERIZON COMMUNICATIONS	33,081.	33,081.
VANGUARD TAX MANAGED INTL FD	228,113.	228,113.
VANGUARD SMALL CAP VAL	66,390.	66,390.
VANGUARD MID CAP ETF	64,234.	64,234.
VANGAURD INDEX REIT-ETF	87,544.	87,544.
UNITED HEALTH GROUP	33,069.	33,069.
UNION PAC CORP	38,889.	38,889.
TOMPKINS FINANCIAL CORP	184,990.	184,990.
TJX	24,085.	24,085.
THERMO FISHER SCIENTIFIC INC	21,836.	21,836.
AMAZON COM INC	40,931.	40,931.
KRAFT HEINZ CO	21,773.	21,773.
TE CONNECTIVITY LTD	30,888.	30,888.
CONOCOPHILLIPS	24,152.	24,152.
CATERPILLAR INC DEL	40,183.	40,183.
DOMINION ENERGY INC	15,401.	15,401.
KEYCORP NEW	22,792.	22,792.
AFFILIATED MANAGERS GR	22,578.	22,578.
J.P. MORGAN CHASE & CO	11,229.	11,229.
EXXON MOBIL CORP	30,110.	30,110.
EATON CORP PLC	12,642.	12,642.
DANAHER CORP	27,846.	27,846.
COSTCO WHOLESALE CORP	30,710.	30,710.
CHUBB CORP	9,645.	9,645.
CELGENE CORP	15,654.	15,654.
BROADCOM LTD	23,121.	23,121.
BERKSHIRE HATHAWAY	27,751.	27,751.
APPLE INC	60,923.	60,923.
AMERIPRISE FINL INC COM	22,878.	22,878.
ALPHABET INC CAP STK CL C	31,392.	31,392.
ALPHABET INC CAP STK CL A	31,602.	31,602.
FACEBOOK	31,763.	31,763.
ECOLAB INC	18,114.	18,114.
FORTIVE CORP	10,853.	10,853.
HESS CORP COM	14,004.	14,004.
HOME DEPOT INC	29,377.	29,377.
ISHARES MSCI EAFE ETF	148,354.	148,354.
ISHARES CORE S&P MCP ETF	82,554.	82,554.
TOTAL TO FORM 990-PF, PART II, LINE 10B	2,333,794.	2,333,794.

FORM 990-PF

CORPORATE BONDS

STATEMENT 10

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
MERCK & CO INC. 2.8% DUE 5/18/23	40,333.	40,333.
BERKSHIRE HATHAWAY 3.0% DUE 5/15/2022	40,922.	40,922.
APPLE INC NOTE 3.25% 2/23/26	51,028.	51,028.
AT&T INC 3.00% DUE 2/15/2022	20,041.	20,041.
BB&T CORPORATION 2.25% DUE 2/01/2019	25,043.	25,043.
BB&T CORPORATION ST MEDIUM TERM NTS 2.45% 1/15/20	25,083.	25,083.
MORGAN STANLEY 5.75% DUE 1/25/2021	27,243.	27,243.
CATERPILLAR FINCL SVCS 1.8% DUE 11/13/18	34,956.	34,956.
TARGET CORP 2.3% DUE 6/26/2019	25,120.	25,120.
WELLS FARGO & CO MTN 3.55% DUE 9/29/25	25,662.	25,662.
ORACLE CORP 2.375% DUE 1/15/2019	25,104.	25,104.
VERIZON COMMUNICATIONS INC 3.5% DUE 11/1/2021	41,190.	41,190.
ORACLE CORP 2.65% 7/15/26	14,624.	14,624.
HOME DEPOT INC. NOTE 2.25% 9/10/18	25,071.	25,071.
PEPSICO INC 3.00% DUE 8/25/2021	40,870.	40,870.
GOLDMAN SACHS GROUP 5.375% DUE 3/15/2020	15,905.	15,905.
GOLDMAN SACHS GROUP 2.375% DUE 1/22/2018	15,003.	15,003.
GENERAL ELECTRIC 3.1% DUE 1/09/2023	50,784.	50,784.
SIMON PPTY GROUP LP NOTE 2.5% DUE 9/1/20	25,090.	25,090.
JP MORGAN CHASE 3.25% DUE 9/23/2022	35,835.	35,835.
INTEL CORP 2.7% DUE 12/15/2022	40,445.	40,445.
TOTAL TO FORM 990-PF, PART II, LINE 10C	645,352.	645,352.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 11

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
LARRY BAUM PO BOX 97 ITHACA, NY 14851	DIRECTOR 1.00	0.	0.	0.
JAMES BROWN PO BOX 97 ITHACA, NY 14851	PRESIDENT 1.00	0.	0.	0.
GREGORY J. HARTZ PO BOX 97 ITHACA, NY 14851	DIRECTOR 1.00	0.	0.	0.
MARY KANE PO BOX 97 ITHACA, NY 14851	SECRETARY/TREASURER 1.00	0.	0.	0.
SEAN WHITTAKER PO BOX 97 ITHACA, NY 14851	DIRECTOR 1.00	0.	0.	0.
MARY OPPERMAN PO BOX 97 ITHACA, NY 14851	VICE PRESIDENT 1.00	0.	0.	0.
GREGORY GALVIN PO BOX 97 ITHACA, NY 14851	DIRECTOR 1.00	0.	0.	0.
PETER GROSSMAN PO BOX 97 ITHACA, NY 14851	DIRECTOR 1.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 12

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

JAMES BROWN, PRESIDENT
P.O. BOX 97
ITHACA, NY 14851

TELEPHONE NUMBER

607-255-3759

FORM AND CONTENT OF APPLICATIONS

ALL REQUESTS SHOULD BE IN WRITING. REQUESTS SHOULD INDICATE A BRIEF DESCRIPTION OF THE ORGANIZATION REQUESTING THE FUNDS AND THE PURPOSE OF THE REQUEST AND A BUDGET.

ANY SUBMISSION DEADLINES

NONE

RESTRICTIONS AND LIMITATIONS ON AWARDS

THE FOUNDATION'S POLICY IS TO MAKE THE FUNDS AVAILABLE TO VOLUNTARY AGENCIES SERVING THE TOMPKINS COUNTY AREA.