Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2017

Open to Public Inspection

For calendar year 2017, or tax year beginning 01-01-2017 , and ending 12-31-2017

Name of foundation
Louis Weinberg Foundation Inc

A Employer identification number
14-6030952

Number and street (or P O box number if mail is not delivered to street address)
22 First Street PO Box 208

Room/suite

B Telephone number (see instructions)
(518) 266-1026

City or town, state or province, country, and ZIP or foreign postal code
Troy, NY 12181

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)*▶$ 1,310,122

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	26,055	26,055	26,055	
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	95,679			
	b	Gross sales price for all assets on line 6a 1,241,335				
	7	Capital gain net income (from Part IV, line 2)		95,679		
	8	Net short-term capital gain			25,879	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	121,734	121,734	51,934	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	2,940			2,940
	c	Other professional fees (attach schedule)	9,657	9,657	9,657	
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	250			
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	1,022			1,022
	24	**Total operating and administrative expenses.** Add lines 13 through 23	13,869	9,657	9,657	3,962
	25	Contributions, gifts, grants paid	61,000			61,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	74,869	9,657	9,657	64,962
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	46,865			
	b	**Net investment income** (if negative, enter -0-)		112,077		
	c	**Adjusted net income**(if negative, enter -0-)			42,277	

Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	16,928	73,597	73,597
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	1,143,129	803,036	910,041
	c Investments—corporate bonds (attach schedule)		330,289	326,484
	11 Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)			
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	1,160,057	1,206,922	1,310,122
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities**(add lines 17 through 22)		0	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	1,160,057	1,206,922	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 **Total net assets or fund balances** (see instructions)	1,160,057	1,206,922	
	31 **Total liabilities and net assets/fund balances** (see instructions)	1,160,057	1,206,922	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,160,057
2	Enter amount from Part I, line 27a	2	46,865
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	1,206,922
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,206,922

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) — If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7	2	95,679
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	25,879

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	64,599	1,193,433	0 05413
2015	69,521	1,309,849	0 05308
2014	70,610	1,353,536	0 05217
2013	66,995	1,300,026	0 05153
2012	66,516	1,224,036	0 05434

2	**Total** of line 1, column (d)	2	0 265248
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 053050
4	Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	4	1,263,912
5	Multiply line 4 by line 3	5	67,051
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,121
7	Add lines 5 and 6	7	68,172
8	Enter qualifying distributions from Part XII, line 4	8	64,962

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	2,242
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	
3	Add lines 1 and 2			3	2,242
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	2,242
6	Credits/Payments				
a	2017 estimated tax payments and 2016 overpayment credited to 2017	6a	629		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments. Add lines 6a through 6d			7	629
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	1,613
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	
11	Enter the amount of line 10 to be: **Credited to 2018 estimated tax** ▶	**Refunded** ▶		11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ ________ **(2)** On foundation managers. ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		No
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the taxable year beginning in 2017 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶MICHAEL E GINSBERG Telephone no ▶(518) 266-1026

Located at ▶22 FIRST STREET TROY NY ZIP+4 ▶12180

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. Yes No

1a During the year did the foundation (either directly or indirectly)

(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☑ No

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☑ No

(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No

			Yes	No
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		No
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?.	1c		No

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))

a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017?. ☐ Yes ☑ No
If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____

			Yes	No
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions).	2b		No

c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here
▶ 20____ , 20____ , 20____ , 20____

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☑ No

			Yes	No
b	If "Yes," did it have excess business holdings in 2017 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period?*(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017).*	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ **Yes** ☑ **No**			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? . . . . ☐ **Yes** ☑ **No**			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ **Yes** ☑ **No**			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions). . . . . ☐ **Yes** ☑ **No**			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?. . . . . ☐ **Yes** ☑ **No**			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		**No**
	Organizations relying on a current notice regarding disaster assistance check here. . . . . ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?. . . . . ☐ **Yes** ☑ **No** *If "Yes," attach the statement required by Regulations section 53 4945–5(d)*			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?. ☐ **Yes** ☑ **No**			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . *If "Yes" to 6b, file Form 8870*	**6b**		**No**
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ **Yes** ☑ **No**			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		**No**

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over $50,000. ▶				

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a	Average monthly fair market value of securities.		1a	1,221,023
b	Average of monthly cash balances.		1b	62,136
c	Fair market value of all other assets (see instructions).		1c	0
d	**Total** (add lines 1a, b, and c).		1d	1,283,159
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e 0		
2	Acquisition indebtedness applicable to line 1 assets.		2	
3	Subtract line 2 from line 1d.		3	1,283,159
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).		4	19,247
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	1,263,912
6	**Minimum investment return.** Enter 5% of line 5.		6	63,196

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6.		1	63,196
2a	Tax on investment income for 2017 from Part VI, line 5.	2a 2,242		
b	Income tax for 2017 (This does not include the tax from Part VI).	2b		
c	Add lines 2a and 2b.		2c	2,242
3	Distributable amount before adjustments Subtract line 2c from line 1.		3	60,954
4	Recoveries of amounts treated as qualifying distributions.		4	
5	Add lines 3 and 4.		5	60,954
6	Deduction from distributable amount (see instructions).		6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.		7	60,954

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	64,962
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	64,962
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	64,962

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				60,954
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only.				
b Total for prior years 20___, 20___, 20___				
3 Excess distributions carryover, if any, to 2017				
a From 2012. 6,398				
b From 2013. 3,850				
c From 2014. 4,989				
d From 2015. 6,385				
e From 2016. 5,257				
f **Total** of lines 3a through e.	26,879			
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ $ 64,962				
a Applied to 2016, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2017 distributable amount.				60,954
e Remaining amount distributed out of corpus	4,008			
5 Excess distributions carryover applied to 2017 *(If an amount appears in column (d), the same amount must be shown in column (a))*				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	30,887			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount —see instructions				
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions)	6,398			
9 **Excess distributions carryover to 2018.** Subtract lines 7 and 8 from line 6a	24,489			
10 Analysis of line 9				
a Excess from 2013. 3,850				
b Excess from 2014. 4,989				
c Excess from 2015. 6,385				
d Excess from 2016. 5,257				
e Excess from 2017. 4,008				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2017	**(b)** 2016	**(c)** 2015	**(d)** 2014	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				61,000
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income (See instructions)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	26,055	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	95,679	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				121,734	

13 **Total.** Add line 12, columns (b), (d), and (e) 13 121,734

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee	Date	Title
	2018-05-09	

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
Nicholas J MarcheseCPA				P01223782

Firm's name ▶ Marchese & Espey CPAS PC — Firm's EIN ▶14-1756892

Firm's address ▶ 2 Executive Park Drive
Clifton Park, NY 12065 — Phone no (518) 280-6750

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1838 235 AB INCM FD ADV	P	2017-08-15	2017-10-06
378 ACS ACTIVIDADES DE C F SPONSORED	P	2016-12-16	2017-02-15
0 5587 ACS ACTIVIDADES DE C F SPONSORED	P	2016-12-16	2017-02-23
0 1041 ACS ACTIVIDADES DE C F SPONSORED	P	2016-12-16	2017-08-03
5 ACS ACTIVIDADES DE C F SPONSORED	P	2016-12-16	2017-10-06
74 ADECCO GROUP	P	2016-07-07	2017-02-15
14 AEGON NV	P	2016-04-06	2017-02-15
60 AERCAP HOLDINGS NV	P	2016-03-03	2017-02-15
180 AIA GROUP LTD	P	2016-09-21	2017-02-15
135 AIR LIQUIDE	P	2016-09-21	2017-02-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
14,968		15,057	-89
2,387		2,328	59
3		3	
1		1	
37		30	7
2,637		1,792	845
79		74	5
2,839		2,291	548
4,442		4,725	-283
3,021		2,970	51

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-89
			59
			7
			845
			5
			548
			-283
			51

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
171 AIRBUS GROUP	P	2016-10-17	2017-02-15
70 AIRBUS GROUP	P	2016-11-02	2017-02-15
79 ALFA LAVAL AB	P	2016-09-21	2017-02-15
160 ALLIANZ SE	P	2016-09-21	2017-02-15
36 ALLIANZ SE	P	2016-11-16	2017-02-15
409 AMBEV SA	P	2016-09-01	2017-02-15
50 ASPEN PHARMACARE	P	2016-10-12	2017-02-15
163 ASTELLAS PHARMA INC	P	2016-08-01	2017-02-15
9 ASTELLAS PHARMA INC	P	2016-12-27	2017-02-15
72 ATLAS COPCO AB	P	2016-09-21	2017-02-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,995		2,474	521
1,227		1,021	206
1,457		1,241	216
2,689		2,403	286
605		590	15
2,390		2,466	-76
1,190		1,090	100
2,196		2,793	-597
121		124	-3
2,380		2,119	261

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			521
			206
			216
			286
			15
			-76
			100
			-597
			-3
			261

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
165 AXA SA	P	2016-10-12	2017-02-15
27 BAIDU INC	P	2016-09-21	2017-02-15
34 BANCO BILBAO ARGEN	P	2016-09-21	2017-02-15
104 BANCO BILBAO ARGEN	P	2016-09-21	2017-02-15
208 BANCO BILBAO ARGEN	P	2016-10-24	2017-02-15
18 BANCO BILBAO ARGEN	P	2016-11-16	2017-02-15
108 BANCO BILBAO ARGEN	P	2016-11-16	2017-02-15
37 BAYER AG	P	2016-09-21	2017-02-15
11 BAYER AG	P	2016-12-13	2017-02-15
76 BAYERISCHE MOTR	P	2016-09-21	2017-02-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,021		3,785	236
4,959		5,204	-245
232		204	28
708		625	83
1,416		1,444	-28
123		116	7
735		698	37
4,155		3,793	362
1,235		1,123	112
2,308		2,108	200

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			236
			-245
			28
			83
			-28
			7
			37
			362
			112
			200

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
38 BBA AVIATION PLC	P	2016-09-21	2017-02-15
79 BHP BILLITON	P	2016-09-13	2017-02-15
625 909 BLACKROCK LOW DURATION BOND FUND	P	2016-04-06	2017-02-15
37 BUNGE LIMITED	P	2016-09-21	2017-02-15
28 C K HUTCHISON HOLDING	P	2016-03-15	2017-02-15
122 C K HUTCHISON HOLDING	P	2016-03-16	2017-02-15
45 C K HUTCHISON HOLDING	P	2016-07-07	2017-02-15
54 C S L LIMITED	P	2016-09-21	2017-02-15
41 CANADIAN NATL RAILWY	P	2016-09-21	2017-02-15
437 CARREFOUR SA	P	2016-11-04	2017-02-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
734		619	115
2,803		2,060	743
6,021		6,101	-80
2,773		2,208	565
336		356	-20
1,463		1,558	-95
540		482	58
2,445		2,112	333
2,931		2,609	322
2,134		2,319	-185

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			115
			743
			-80
			565
			-20
			-95
			58
			333
			322
			-185

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
18 CHECK PT SOFTWARE	P	2016-09-21	2017-02-15
8 CHECK PT SOFTWARE	P	2016-10-12	2017-02-15
19 CHINA MOBILE LTD	P	2016-09-29	2017-02-15
60 DASSAULT SYSTEMS SA	P	2016-09-21	2017-02-15
15 DBS GROUP HOLDINGS	P	2016-05-31	2017-02-15
55 DBS GROUP HOLDINGS	P	2016-05-31	2017-02-15
32 DBS GROUP HOLDINGS	P	2016-09-21	2017-02-15
18 DNB ASA	P	2016-10-18	2017-02-15
25 E ON SE AG	P	2016-04-06	2017-02-15
47 E ON SE AG	P	2016-10-10	2017-02-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,818		1,359	459
808		614	194
1,073		1,177	-104
4,866		5,250	-384
775		682	93
2,841		2,500	341
1,653		1,439	214
3,098		2,519	579
190		233	-43
357		341	16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			459
			194
			-104
			-384
			93
			341
			214
			579
			-43
			16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
4326 907 EATON VANCE INCOME FUND OF BOSTON	P	2016-04-06	2017-02-15
93 ENGIE	P	2016-08-12	2017-02-15
70 ENGIE	P	2016-08-15	2017-02-15
29 ENGIE	P	2016-12-06	2017-02-15
252 FANUC CORPORATION	P	2016-09-21	2017-02-15
52 FRESENIUS MED CARE	P	2016-09-21	2017-02-15
133 FUCHS PETROLUB AG	P	2016-09-21	2017-02-15
68 GRIFOLS SA	P	2016-09-21	2017-02-15
40 GRUPO TELEVISA SA	P	2016-09-21	2017-02-15
7 HSBC HLDGS PLC	P	2016-03-17	2017-02-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
25,010		24,391	619
1,108		1,548	-440
834		1,170	-336
346		371	-25
4,958		4,306	652
2,117		2,293	-176
1,527		1,490	37
1,188		1,100	88
923		980	-57
309		228	81

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			619
			-440
			-336
			-25
			652
			-176
			37
			88
			-57
			81

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 HSBC HLDGS PLC	P	2016-04-06	2017-02-15
3 HSBC HLDGS PLC	P	2016-11-11	2017-02-15
21 HSBC HLDGS PLC	P	2016-11-11	2017-02-15
37 HSBC HLDGS PLC	P	2016-12-13	2017-02-15
172 ICICI BANK LTD	P	2016-12-14	2017-02-15
118 INTESA SANPAOLO SPA	P	2016-03-08	2017-02-15
2 INTESA SANPAOLO SPA	P	2016-04-06	2017-02-15
102 INTESA SANPAOLO SPA	P	2016-06-01	2017-02-15
55 INTESA SANPAOLO SPA	P	2016-07-06	2017-02-15
1461 ISHARES RUSSELL 1000 GROWTH	P	2016-09-21	2017-02-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
44		29	15
132		117	15
927		820	107
1,633		1,557	76
1,432		1,332	100
1,647		1,953	-306
28		30	-2
1,424		1,562	-138
768		586	182
169,581		153,447	16,134

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			15
			15
			107
			76
			100
			-306
			-2
			-138
			182
			16,134

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
190 ITAU UNIBANCO HOLDING	P	2016-09-21	2017-02-15
41 J G C CORPORATION	P	2016-09-21	2017-02-15
8 JULIUS BAER GRP LTD	P	2016-10-10	2017-02-15
112 KOMATSU LTD	P	2016-12-20	2017-02-15
3 KONINKLIJKE PHILIPS	P	2016-06-15	2017-02-15
24 KONINKLIJKE PHILIPS	P	2016-08-15	2017-02-15
17 KUBOTA CORP	P	2016-09-21	2017-02-15
90 L OREAL	P	2016-09-21	2017-02-15
41 L V M H MOET	P	2016-09-21	2017-02-15
102 LINDE AKTIENGESELLSC	P	2016-09-21	2017-02-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,580		1,882	698
1,455		1,443	12
77		66	11
2,794		2,555	239
88		75	13
703		680	23
1,354		1,273	81
3,308		3,342	-34
1,638		1,377	261
1,655		1,644	11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			698
			12
			11
			239
			13
			23
			81
			-34
			261
			11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
276 MAZDA MOTORS CORP	P	2016-04-19	2017-02-15
47 MAZDA MOTORS CORP	P	2016-04-20	2017-02-15
30 MITSUBISHI ESTATE	P	2016-09-21	2017-02-15
52 MONOTARO CO LTD	P	2016-09-21	2017-02-15
4 MURATA MFG CO LTD	P	2016-04-22	2017-02-15
65 MURATA MFG CO LTD	P	2016-04-25	2017-02-15
182 NASPERS LTD	P	2016-09-21	2017-02-15
62 NESTLE SA	P	2016-09-21	2017-02-15
153 ORANGE SA	P	2016-04-14	2017-02-15
222 PANASONIC CORP	P	2016-12-06	2017-02-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,922		2,106	-184
327		368	-41
601		575	26
1,478		1,362	116
142		135	7
2,315		2,255	60
2,994		3,080	-86
4,505		4,921	-416
2,363		2,611	-248
2,478		2,424	54

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-184
			-41
			26
			116
			7
			60
			-86
			-416
			-248
			54

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
53 PARKTWENTYFOUR CO LT	P	2016-09-21	2017-02-15
197 514 PIMCO SHORT TERM CL P	P	2016-04-06	2017-02-15
3 ROCHE HLDG AG	P	2016-04-06	2017-02-15
13 ROCHE HLDG AG	P	2016-09-21	2017-02-15
122 ROCHE HLDG AG	P	2016-09-21	2017-02-15
9 ROCHE HLDG AG	P	2016-12-16	2017-02-15
74 ROYAL DUTCH SHELL	P	2016-09-21	2017-02-15
127 ROYAL KPN NV	P	2016-12-06	2017-02-15
47 SAP SPONSORED	P	2016-09-21	2017-02-15
38 SASOL LIMITED	P	2016-09-21	2017-02-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,428		1,641	-213
1,938		1,936	2
91		93	-2
392		407	-15
3,682		3,821	-139
272		256	16
4,211		3,734	477
354		362	-8
4,338		4,212	126
1,102		1,014	88

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-213
			2
			-2
			-15
			-139
			16
			477
			-8
			126
			88

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2 SCHLUMBERGER LTD	P	2016-05-17	2017-02-15
6 SCHLUMBERGER LTD	P	2016-05-17	2017-02-15
26 SCHLUMBERGER LTD	P	2016-05-17	2017-02-15
1 SCHLUMBERGER LTD	P	2016-08-22	2017-02-15
17 SCHLUMBERGER LTD	P	2016-08-22	2017-02-15
27 SCHLUMBERGER LTD	P	2016-09-21	2017-02-15
130 SCHWAB US BROAD MARKET	P	2017-02-16	2017-11-20
2 SECOM CO LTD	P	2016-04-06	2017-02-15
4 SHIRE PLC	P	2016-11-25	2017-02-15
3 SHIRE PLC	P	2016-11-28	2017-02-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
163		150	13
490		449	41
2,124		1,944	180
82		82	
1,389		1,392	-3
2,205		2,052	153
8,132		7,385	747
37		35	2
697		700	-3
522		526	-4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			13
			41
			180
			-3
			153
			747
			2
			-3
			-4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
52 SONOVA HOLDING AG	P	2016-09-21	2017-02-15
96 SYMRISE AG	P	2016-09-21	2017-02-15
79 SYSMEX CORP	P	2016-09-21	2017-02-15
150 TAIWAN SEMICONDUCTR	P	2016-09-21	2017-02-15
43 TENARIS S A	P	2016-09-21	2017-02-15
23 TOTAL S A	P	2016-10-10	2017-02-15
164 63 TOUCHSTONE SMALL CAP GWTH FD	P	2016-04-06	2017-02-15
489 TURKIYE GARANTI BK	P	2016-09-21	2017-02-15
45 UNILEVER PLC	P	2016-09-21	2017-02-15
90 VANGUARD GLBAL EX US	P	2017-02-16	2017-10-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,303		1,476	-173
1,443		1,737	-294
2,259		2,940	-681
4,759		4,529	230
1,473		1,110	363
1,171		1,125	46
986		885	101
1,171		1,379	-208
1,896		2,074	-178
5,361		4,728	633

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-173
			-294
			-681
			230
			363
			46
			101
			-208
			-178
			633

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1765 VANGUARD TOTAL INTERNATLBND	P	2017-02-16	2017-08-15
10 VIVENSI SA	P	2016-04-27	2017-02-15
33 W P P PLC	P	2016-09-21	2017-02-15
556 AEGON NV	P	2016-01-22	2017-02-15
11 BANCO BILBAO ARGEN	P	2016-01-22	2017-02-15
38 BANCO BILBAO ARGEN	P	2016-01-22	2017-02-15
131 BANCO BILBAO ARGEN	P	2016-01-22	2017-02-15
214 BANCO BILBAO ARGEN	P	2016-01-22	2017-02-15
11532 865 BLACKROCK LOW DURATION BOND FUND	P	2016-01-22	2017-02-15
134 CARLSBERG AS	P	2016-01-22	2017-02-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
96,455		95,073	1,382
180		197	-17
3,882		3,879	3
3,153		3,206	-53
75		72	3
259		248	11
892		853	39
1,457		1,394	63
110,946		112,417	-1,471
2,340		2,285	55

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,382
			-17
			3
			-53
			3
			11
			39
			63
			-1,471
			55

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
7567 98 CAUSEWAY EMRG MKTS	P	2016-01-22	2017-02-15
14 CHINA MOBILE LTD	P	2016-01-22	2017-02-15
5 CHINA MOBILE LTD	P	2015-04-17	2017-02-15
6 CHINA MOBILE LTD	P	2015-08-14	2017-02-15
5 CHINA MOBILE LTD	P	2015-11-19	2017-02-15
11 CHINA MOBILE LTD	P	2016-01-22	2017-02-15
100 DEUTSCH X TRKS MSCI	P	2015-08-14	2017-02-15
623 DEUTSCH X TRKS MSCI	P	2015-08-14	2017-02-15
12 DEUTSCH X TRKS MSCI	P	2016-01-22	2017-02-15
100 DEUTSCH X TRKS MSCI	P	2016-01-22	2017-02-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
86,275		65,009	21,266
790		818	-28
282		338	-56
339		383	-44
282		294	-12
621		589	32
2,897		2,906	-9
18,051		18,107	-56
348		305	43
2,897		2,541	356

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			21,266
			-28
			-56
			-44
			-12
			32
			-9
			-56
			43
			356

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
100 DEUTSCH X TRKS MSCI	P	2016-01-22	2017-02-15
100 DEUTSCH X TRKS MSCI	P	2016-01-22	2017-02-15
100 DEUTSCH X TRKS MSCI	P	2016-01-22	2017-02-15
300 DEUTSCH X TRKS MSCI	P	2016-01-22	2017-02-15
300 DEUTSCH X TRKS MSCI	P	2016-01-22	2017-02-15
469 DEUTSCH X TRKS MSCI	P	2016-01-22	2017-02-15
82 DEUTSCHE POST	P	2016-01-22	2017-02-15
245 E ON SE AG	P	2016-02-04	2017-02-15
3841 867 EATON VANCE INCOME FUND OF BOSTON	P	2016-01-28	2017-02-15
12 FRESENIUS MED CARE	P	2016-01-28	2017-02-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,897		2,541	356
2,897		2,541	356
2,897		2,541	356
8,692		7,622	1,070
8,692		7,622	1,070
13,589		11,915	1,674
2,774		2,089	685
1,859		2,585	-726
22,206		21,666	540
488		523	-35

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			356
			356
			356
			1,070
			1,070
			1,674
			685
			-726
			540
			-35

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
31 FRESENIUS MED CARE	P	2016-01-28	2017-02-15
5 FRESENIUS MED CARE	P	2016-02-03	2017-02-15
21 FRESENIUS MED CARE	P	2016-02-03	2017-02-15
71 GEMAL TO NV	P	2016-01-22	2017-02-15
1409 008 HARTFORD MID CAP I	P	2016-01-22	2017-02-15
3 HSBC HLDGS PLC	P	2015-04-01	2017-02-15
3 HSBC HLDGS PLC	P	2015-04-01	2017-02-15
10 HSBC HLDGS PLC	P	2015-04-08	2017-02-15
14 HSBC HLDGS PLC	P	2015-04-17	2017-02-15
15 HSBC HLDGS PLC	P	2015-08-14	2017-02-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,262		1,350	-88
204		223	-19
855		935	-80
2,053		2,289	-236
38,973		30,632	8,341
132		129	3
132		129	3
441		440	1
618		628	-10
662		655	7

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-88
			-19
			-80
			-236
			8,341
			3
			3
			1
			-10
			7

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
13 HSBC HLDGS PLC	P	2015-09-08	2017-02-15
9 ISHARES RUSSELL 1000 GROWTH	P	2015-05-20	2017-02-15
73 ISHARES RUSSELL 1000 GROWTH	P	2015-05-20	2017-02-15
100 ISHARES RUSSELL 1000 GROWTH	P	2015-05-20	2017-02-15
100 ISHARES RUSSELL 1000 GROWTH	P	2015-05-20	2017-02-15
100 ISHARES RUSSELL 1000 GROWTH	P	2015-05-20	2017-02-15
100 ISHARES RUSSELL 1000 GROWTH	P	2015-05-20	2017-02-15
100 ISHARES RUSSELL 1000 GROWTH	P	2015-05-20	2017-02-15
100 ISHARES RUSSELL 1000 GROWTH	P	2015-05-20	2017-02-15
100 ISHARES RUSSELL 1000 GROWTH	P	2015-05-20	2017-02-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
574		508	66
1,008		915	93
8,178		7,425	753
11,203		10,171	1,032
11,203		10,171	1,032
11,203		10,171	1,032
11,203		10,171	1,032
11,203		10,171	1,032
11,203		10,171	1,032
11,203		10,171	1,032

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			66
			93
			753
			1,032
			1,032
			1,032
			1,032
			1,032
			1,032
			1,032

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
200 ISHARES RUSSELL 1000 GROWTH	P	2015-05-20	2017-02-15
200 ISHARES RUSSELL 1000 GROWTH	P	2015-05-20	2017-02-15
300 ISHARES RUSSELL 1000 GROWTH	P	2015-05-20	2017-02-15
299 JULIUS BAER GRP LTD	P	2016-01-22	2017-02-15
56 KONINKLIJKE PHILIPS	P	2016-01-22	2017-02-15
2811 621 METROPOLITAN WEST TOTAL RETURN	P	2016-01-22	2017-10-06
30 MITSUBISHI ESTATE	P	2016-01-22	2017-02-15
60 MITSUBISHI ESTATE	P	2016-01-22	2017-02-15
76 MITSUBISHI ESTATE	P	2016-01-22	2017-02-15
1722 749 NEUBERGER BERMAN	P	2016-01-22	2017-02-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
22,407		20,343	2,064
22,406		20,343	2,063
33,608		30,514	3,094
2,895		2,498	397
1,639		1,382	257
29,957		29,812	145
601		544	57
1,202		1,088	114
1,522		1,378	144
27,030		20,794	6,236

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,064
			2,063
			3,094
			397
			257
			145
			57
			114
			144
			6,236

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
113 OTSUKA HLDGS CO LTD	P	2016-01-22	2017-02-15
2231 002 PIMCO FOREIGN BD US	P	2016-01-22	2017-02-15
3401 996 PIMCO SHORT TERM CL P	P	2015-08-14	2017-02-15
24 ROCHE HLDG AG	P	2013-11-08	2017-02-15
26 ROCHE HLDG AG	P	2013-11-08	2017-02-15
3 ROCHE HLDG AG	P	2014-04-11	2017-02-15
12 ROCHE HLDG AG	P	2015-04-17	2017-02-15
14 ROCHE HLDG AG	P	2015-08-14	2017-02-15
31 ROCHE HLDG AG	P	2015-10-16	2017-02-15
35 ROCHE HLDG AG	P	2016-01-22	2017-02-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,586		1,836	750
23,180		23,949	-769
33,374		33,346	28
724		818	-94
785		886	-101
91		106	-15
362		427	-65
423		497	-74
936		1,053	-117
1,056		1,148	-92

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			750
			-769
			28
			-94
			-101
			-15
			-65
			-74
			-117
			-92

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
151 ROYAL DSM N V	P	2016-01-22	2017-02-15
71 ROYAL DUTCH SHELL	P	2016-01-22	2017-02-15
731 ROYAL KPN NV	P	2016-01-22	2017-02-15
132 SECOM CO LTD	P	2016-01-22	2017-02-15
241 SEIKO EPSON CORP	P	2016-01-22	2017-02-15
76 SMITH & NEPHEW	P	2016-01-22	2017-02-15
277 SOCIETE GENERALE	P	2016-01-22	2017-02-15
109 SUNTORY BEV & FOOD	P	2016-01-22	2017-02-15
5 TOTAL S A	P	2014-10-30	2017-02-15
16 TOTAL S A	P	2015-01-06	2017-02-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,397		1,824	573
3,783		2,852	931
2,035		2,703	-668
2,430		2,130	300
2,681		1,611	1,070
2,326		2,486	-160
2,544		2,172	372
2,166		2,318	-152
255		296	-41
814		761	53

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			573
			931
			-668
			300
			1,070
			-160
			372
			-152
			-41
			53

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
7 TOTAL S A	P	2015-04-17	2017-02-15
3 TOTAL S A	P	2015-04-24	2017-02-15
16 TOTAL S A	P	2015-08-04	2017-02-15
4 TOTAL S A	P	2015-08-26	2017-02-15
3 TOTAL S A	P	2016-01-11	2017-02-15
4051 799 TOUCHSTONE SMALL CAP GWTH FD	P	2016-01-22	2017-02-15
109 VIVENSI SA	P	2016-01-22	2017-02-15
1048 343 WELLS FARGO SPECIAL MID CAP VALUE	P	2016-01-22	2017-02-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
356		369	-13
153		156	-3
814		785	29
204		174	30
153		125	28
24,270		21,790	2,480
1,963		2,314	-351
38,883		29,126	9,757

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-13
			-3
			29
			30
			28
			2,480
			-351
			9,757

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Michael E Ginsberg 22 First Street TROY, NY 121810208	President 1 00	0		
Edward Marinstein 22 First Street TROY, NY 121810208	Secretary 1 00	0		
Laura Cohen 131 Onderdonk Avenue ALBANY, NY 12208	Vice President 1 00	0		
Richard Dubin 35 Mosall Drive Slingerlands, NY 12159	Director 0 00	0		
John J Quinlan III 69 State Street 9th Floor ALBANY, NY 12207	Director 0 00	0		
Sidney D Richter 4A Gifford Parkway Hudson, NY 12534	Director 0 00	0		
Joel Slutsky 5 Newport Drive CLIFTON PARK, NY 12065	Director 0 00	0		

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
VANDERHEYDEN HALL INCPO Box 219 Wynantskill, NY 12198	NONE	-	GENERAL CHARITABLE PURPOSES	1,500
CONGREGATION BETH ISRAEL 2195 Eastern Parkway Schenectady, NY 12309	NONE	-	GENERAL CHARITABLE PURPOSES	2,000
DAUGHTERS OF SARAH JEWISH FOUNDATIO 180 Washington Avenue Extension Albany, NY 12203	NONE	-	GENERAL CHARITABLE PURPOSES	5,000
HADASSAH MEDICAL RELIEF ASSN INC 50 West 58th Stree New York, NY 10019	NONE	-	GENERAL CHARITABLE PURPOSES	8,000
TEMPLE BETH EL TROY NY 411 Hoosick Street TROY, NY 12180	NONE	-	GENERAL CHARITABLE PURPOSES	13,000
Total . . . 3a ▶				61,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
JEWISH FED OF NE NY 184 Washington Ave Ext ALBANY, NY 12203	NONE	-	GENERAL CHARITABLE PURPOSES	19,000
UNITY HOUSE33 Second Street TROY, NY 12180	NONE	-	GENERAL CHARITABLE PURPOSES	4,000
RONALD MCDONALD HOUSE CHARITIES INC 26345 NETWORK PLACE CHICAGO, IL 60673	NONE	-	GENERAL CHARITABLE PURPOSES	4,000
JCC SENIOR MEALS PRGRM DESIGNATED 340 Whitehall Road Albany, NY 12208	NONE	-	GENERAL CHARITABLE PURPOSES	4,500
Total . . . . ▶ 3a				61,000

TY 2017 Accounting Fees Schedule

Name: Louis Weinberg Foundation Inc

EIN: 14-6030952

Software ID: 17005038

Software Version: 2017v2.2

Accounting Fees Schedule

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MARCHESE & ESPEY CPA'S, PC	2,940	0	0	2,940

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TY 2017 Other Expenses Schedule

Name: Louis Weinberg Foundation Inc

EIN: 14-6030952

Software ID: 17005038

Software Version: 2017v2.2

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INSURANCE	1,004			1,004
PUBLISHING	18			18

TY 2017 Other Professional Fees Schedule

Name: Louis Weinberg Foundation Inc

EIN: 14-6030952

Software ID: 17005038

Software Version: 2017v2.2

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
CHARLES SCHWAB - INVESTMENT FEES	9,657	9,657	9,657	0

TY 2017 Taxes Schedule

Name: Louis Weinberg Foundation Inc

EIN: 14-6030952

Software ID: 17005038

Software Version: 2017v2.2

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
2016 FORM CHAR500 NYS FILING FEE	250			

LOUIS WEINGBERG FOUDATION, INC.
ID# 14-6030952
FORM 990-PF, PART II, LINE 10b
INVESTMENTS - CORPORATE STOCK

SECURITY DESCRIPTION	QUANTITY	BOOK VALUE	FAIR MARKET VALUE
GUGGENHEIM S&P 500 WE TECH ETF	270.000	31,634.56	38,599.20
JPMORGAN DVRSFD RTRN INTRNL EQT ETF	1,165.000	59,747.84	69,958.25
POWERSHARES HIGH YIELD DIVIDE ETF IV	2,640.000	45,056.35	47,018.40
POWERSHARES KBW REGIONAL BANK ETR IV	545.000	31,019.22	30,520.00
POWERSHARES QQQ TRUST	860.000	110,996.59	133,953.60
PROSHARES RUSSELL 2000 DVD GRWRS ETF	855.000	45,296.62	47,187.45
SCHWAB EMERGING MARKETS EQUITY ETF	1,675.000	39,740.55	46,799.50
SCHWAB US BROAD MARKET ETF	2,480.000	140,880.87	160,009.60
SPDR DOUBLINE TTL RTRN TTCL ETF	1,075.000	52,458.93	52,288.00
SPDR S7P HOMEBUILDERS ETF	895.000	31,664.21	39,612.70
VANGUARD FINANCIALS ETF	505.000	31,363.03	35,370.20
VANGUARD GLBAL EX US REAL ESTATE ETF	815.000	42,813.17	49,307.50
VANGUARD HIGH DIVIDEND YIELD ETF	1,400.000	108,620.12	119,882.00
VANGUARD INFORMATION TECHNOLOGY ETF	240.000	31,744.15	39,535.20
TOTALS		803,036.21	910,041.60

LOUIS WEINGBERG FOUDATION, INC.
ID# 14-6030952
FORM 990-PF, PART II, LINE 10c
INVESTMENTS - CORPORATE BONDS

SECURITY DESCRIPTION	QUANTITY	BOOK VALUE	FAIR MARKET VALUE
AB INCM FD ADV	9,932.217	81,357.51	79,060.45
METROPOLITAN WEST TOTAL RETURN BOND I	11,078.195	119,716.44	118,093.56
ZEO STRATEGIC INCM FD I	12,971.888	129,215.00	129,329.72
TOTALS		330,288.95	326,483.73