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Form **990-PF**

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2017

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

- Do not enter social security numbers on this form as it may be made public
- Go to www.irs.gov/Form990PF for instructions and the latest information.

For calendar year 2017 or tax year beginning

, and ending

Name of foundation THE DUBOSE & DOROTHY HEYWARD MEM FD#		A Employer identification number 13-6840999	
Number and street (or P O box number if mail is not delivered to street address) BNY Mellon, N A - P O Box 185		B Telephone number (see instructions) (516) 294-2505	
City or town, state or province, country, and ZIP or foreign postal code Pittsburgh PA 15230-0185		C If exemption application is pending, check here ☐	
Foreign country name PA		Foreign province/state/country 15230-0185	
Foreign postal code 15230-0185		D 1 Foreign organizations, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		2 Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 15,953,667		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B Interest on savings and temporary cash investments				
	3 Dividends and interest from securities	205,095	202,079		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	352,898			
	b Gross sales price for all assets on line 6a	2,070,501			
	7 Capital gain net income (from Part IV, line 2)		352,898		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
Operating and Administrative Expenses	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	589,168	589,168		
	12 Total. Add lines 1 through 11	1,147,161	1,144,145	0	
	13 Compensation of officers, directors, trustees, etc	161,249	72,562		88,687
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)	324,854	292,369		32,485
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	16,908	3,218		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	750			750
	24 Total operating and administrative expenses. Add lines 13 through 23	503,761	368,149	0	121,922
	25 Contributions, gifts, grants paid	420,000			420,000
	26 Total expenses and disbursements. Add lines 24 and 25	923,761	368,149	0	541,922
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	223,400			
	b Net investment income (if negative, enter -0-)		775,996		
c Adjusted net income (if negative, enter -0-)				0	

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing				
	2	Savings and temporary cash investments		457,289	485,904	485,904
	3	Accounts receivable ▶				
		Less allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶				
		Less allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments—U S and state government obligations (attach schedule)				
	b	Investments—corporate stock (attach schedule)				
	c	Investments—corporate bonds (attach schedule)				
	11	Investments—land, buildings, and equipment basis ▶				
	Less accumulated depreciation (attach schedule) ▶					
12	Investments—mortgage loans					
13	Investments—other (attach schedule)		7,622,528	7,814,684	11,867,763	
14	Land, buildings, and equipment basis ▶					
	Less accumulated depreciation (attach schedule) ▶					
15	Other assets (describe ▶ <u>PORGY AND BESS FOREIGN CC</u>)		3,600,000	3,600,000	3,600,000	
16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)		11,679,817	11,900,588	15,953,667	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)		0	0	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ ☐				
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
		Foundations that do not follow SFAS 117, check here ▶ ☒				
	27	Capital stock, trust principal, or current funds		11,679,817	11,900,588	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
	30	Total net assets or fund balances (see instructions)		11,679,817	11,900,588	
31	Total liabilities and net assets/fund balances (see instructions)		11,679,817	11,900,588		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	11,679,817
2	Enter amount from Part I, line 27a	2	223,400
3	Other increases not included in line 2 (itemize) ▶ <u>PURCHASE OF ACCRUED INTEREST CARRYOVER</u>	3	572
4	Add lines 1, 2, and 3	4	11,903,789
5	Decreases not included in line 2 (itemize) ▶ <u>See Attached Statement</u>	5	3,201
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	11,900,588

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Part IV Capital Gains and Losses for Tax on Investment Income

a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Attached Statement				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	352,898
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8 }			3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2016	647,753	13,474,618	0.048072
2015	698,947	14,277,350	0.048955
2014	507,218	13,875,644	0.036555
2013	534,194	12,530,611	0.042631
2012	719,762	11,397,365	0.063152
2 Total of line 1, column (d)			2 0.239365
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years			3 0.047873
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5			4 13,718,355
5 Multiply line 4 by line 3			5 656,739
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 7,760
7 Add lines 5 and 6			7 664,499
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions			8 541,922

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b			
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		0	
3	Add lines 1 and 2		15,520	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)			
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		15,520	
6	Credits/Payments			
a	2017 estimated tax payments and 2016 overpayment credited to 2017	6a	19,939	
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d		19,939	
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached			
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		0	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		4,419	
11	Enter the amount of line 10 to be Credited to 2018 estimated tax ☐ Refunded ☐		4,419	0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by <i>General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions ☐ NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the tax year beginning in 2017? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	13	X
14 The books are in care of ▶ BNY MELLON, N A Telephone no ▶ (516) 294-2505 Located at ▶ P O BOX 185, PITTSBURGH, PA ZIP+4 ▶ 15230-0185		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A		
16 At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶	16	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a** During the year, did the foundation pay or incur any amount to
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No
- b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions ☐ Yes ☒ No
- Organizations relying on a current notice regarding disaster assistance, check here ☐
- c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No
- If "Yes," attach the statement required by Regulations section 53.4945–5(d) N/A
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
- If "Yes" to 6b, file Form 8870
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No
- b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

5b	N/A		
6b		X	
7b	N/A		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BNY Mellon, N A P O Box 185 Pittsburgh, PA 15230-0185	CO-TRUSTEE SEE ATTACHED	116,970	NONE	NONE
BNY Mellon, N A P O Box 185 Pittsburgh, PA 15230-0185	FEE REIMBURSEMENT	-41,116	N/A	N/A
ALBERT J. CARDINALI, ESQ. 80 TURNBERRY DRIVE MONROE TOWNSHIP, NJ 08	CO-TRUSTEE 5-10	85,395	NONE	NONE

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

☐ NONE

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
MICHAEL SUKIN, ESQ. - SUKIN LAW GROUP 145 WEST 45TH ST 8TH FLOOR, NEW YORK, NY 10036	LEGAL FEES	254,679
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3	NONE

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	13,468,241
b	Average of monthly cash balances	1b	459,023
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	13,927,264
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	13,927,264
4	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see instructions)	4	208,909
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	13,718,355
6	Minimum investment return. Enter 5% of line 5	6	685,918

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	685,918
2a	Tax on investment income for 2017 from Part VI, line 5	2a	15,520
b	Income tax for 2017 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	15,520
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	670,398
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	670,398
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	670,398

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	541,922
b	Program-related investments—total from Part IX-B	1b	NONE
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	541,922
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	541,922

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				670,398
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only			0	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2017				
a From 2012 169,245				
b From 2013				
c From 2014				
d From 2015 2,058				
e From 2016 8,886				
f Total of lines 3a through c	180,189			
4 Qualifying distributions for 2017 from Part XII, line 4 \$ 541,922				
a Applied to 2016, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2017 distributable amount				541,922
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))	128,476			128,476
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	51,713			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions)	40,769			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	10,944			
10 Analysis of line 9				
a Excess from 2013				
b Excess from 2014				
c Excess from 2015 2,058				
d Excess from 2016 8,886				
e Excess from 2017				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling

- b** Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

- 2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2017	(b) 2016	(c) 2015	(d) 2014	
				0
b 85% of line 2a				0
c Qualifying distributions from Part XII, line 4 for each year listed				0
d Amounts included in line 2c not used directly for active conduct of exempt activities				0
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				0
3 Complete 3a, b, or c for the alternative test relied upon				
a "Assets" alternative test—enter				
(1) Value of all assets				0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				0
c "Support" alternative test—enter				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				0
(3) Largest amount of support from an exempt organization				0
(4) Gross investment income				0

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

- a** The name, address, and telephone number or email address of the person to whom applications should be addressed

NOT APPLICABLE

- b** The form in which applications should be submitted and information and materials they should include

NOT APPLICABLE

- c** Any submission deadlines

NOT APPLICABLE

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NOT APPLICABLE

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year See Attached Statement				
Total			▶ 3a	420,000
b Approved for future payment NONE				
Total			▶ 3b	NONE

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | |
|---|--|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of | |
| | (1) Cash | 1a |
| | (2) Other assets | 1a |
| b | Other transactions | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b |
| | (3) Rental of facilities, equipment, or other assets | 1b |
| | (4) Reimbursement arrangements | 1b |
| | (5) Loans or loan guarantees | 1b |
| | (6) Performance of services or membership or fundraising solicitations | 1b |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | |

	Yes	No
1a(1)		X
1a(2)		X
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.


Signature of officer or trustee

10/19/2018
Date

VICE PRESIDENT
Title

May the IRS discuss this return with the preparer shown below?
See instructions ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

Shawn P. Hanlon

Preparer's signature

10/2/0 CPA

Date

10/19/2018

Check ☒ if self-employed

PTIN

P00965923

Firm's name ▶ PRICEWATERHOUSECOOPERS LLP

Firm's EIN ▶ 13-4008324

Firm's address ► 600 GRANT STREET, PITTSBURGH, PA 15219

Phone no	(412) 355-6000
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THE DUBOSE & DOROTHY HEYWARD MEM FD#

13-6840999 Page 1 of 10

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

NEW YORK CITY CENTER

Street

131 W 55TH STREET

City

NEW YORK

State

NY

Zip Code

10019

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

15,000

Name

GLIMMERGLASS OPERA THEATER, INC

Street

18 CHESTNUT ST

City

COOPERSTOWN

State

NY

Zip Code

13326

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

30,000

Name

TEATRO GRATTACIELO

Street

2 RIVERSIDE DRIVE, 2C

City

NEW YORK

State

NY

Zip Code

10023

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

25,000

Name

MCCARTER THEATRE CENTRE

Street

91 UNIVERSITY PLACE

City

PRINCETON

State

NJ

Zip Code

08540

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

5,000

Name

SPANISH THEATRE REPERTORY CO

Street

138 E 27TH STREET

City

NEW YORK

State

NY

Zip Code

10016

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

10,000

Name

THE METROPOLITAN OPERA ASSOCIATION

Street

LINCOLD CENTER PLAZA

City

NEW YORK

State

NY

Zip Code

10023

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

10,000

THE DUBOSE & DOROTHY HEYWARD MEM FD#

13-6840999 Page 2 of 10

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

THE MACDOWELL COLONY, INC

Street

163 E 81ST ST

City

NEW YORK

State

NY

Zip Code

10028

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

70,000

Name

THEATER FOR A NEW AUDIENCE

Street

154 CHRISTOPHER ST

City

NEW YORK

State

NY

Zip Code

10014

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

10,000

Name

THE PUBLIC THEATER NEW YORK FOR SHAKESPEARE FESTIVAL

Street

425 LAFAYETTE STREET

City

NEW YORK

State

NY

Zip Code

10003

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

15,000

Name

NATIONAL DANCE INSTITUTE OF NEW MEXICO

Street

1140 ALTO STREET

City

SANTA FE

State

NM

Zip Code

87501

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

4,500

Name

DANCE THEATRE OF HARLEM

Street

466 W 152ND ST

City

NEW YORK

State

NY

Zip Code

10031

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

10,000

Name

BROOKLYN ACADEMY OF MUSIC

Street

30 LAFAYETTE AVE

City

BROOKLYN

State

NY

Zip Code

11217

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

10,000

THE DUBOSE & DOROTHY HEYWARD MEM FD#

13-6840999 Page 3 of 10

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

COLUMBIA UNIVERSITY - SCHOOL OF PERFORMING ARTS

Street

MILLER THEATRE, 2960 BROADWAY

City

NEW YORK

State

NY

Zip Code

10027

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

10,000

Name

JAZZ AT LINCOLN CENTER INC

Street

3 COLUMBUS CIR

City

NEW YORK

State

NY

Zip Code

10019

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

10,000

Name

NEW DRAMATISTS

Street

424 W 44TH ST

City

NEW YORK

State

NY

Zip Code

10036

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

5,000

Name

NEW YORK CITY BALLET

Street

20 LINCOLN CENTER PLAZA

City

NEW YORK

State

NY

Zip Code

10023

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

10,000

Name

VIVIAN BEAUMONT THEATRE INC

Street

150 W 65TH ST

City

NEW YORK

State

NY

Zip Code

10023

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

15,000

Name

JACK ARTS

Street

53 PUTNAM AVE

City

BROOKLYN

State

NY

Zip Code

11238

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

3,000

THE DUBOSE & DOROTHY HEYWARD MEM FD#

13-6840999 Page 4 of 10

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

RED BULL THEATRE INC

Street

191 7TH AVE 2S

City

NEW YORK

State

NY

Zip Code

10017

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

3,000

Name

THE ACTORS FUND OF AMERICA

Street

729 SEVENTH AVENUE 10TH FLOOR

City

NEW YORK

State

NY

Zip Code

10019

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

1,500

Name

PLAYWRIGHTS HORIZON

Street

416 WEST 42ND ST

City

NEW YORK

State

NY

Zip Code

10036

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

1,500

Name

WORKING THEATRE

Street

520 8TH AE #303

City

NEW YORK

State

NY

Zip Code

10018

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

3,000

Name

AMAS MUSICAL THEATRE

Street

257 W 52ND ST

City

NEW YORK

State

NY

Zip Code

10019

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

3,000

Name

FREE ARTS NYC

Street

1431 BROADWAY

City

NEW YORK

State

NY

Zip Code

10018

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

1,500

THE DUBOSE & DOROTHY HEYWARD MEM FD#

13-6840999 Page 5 of 10

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

LINCOLN CENTER FOR THE PERFORMING ARTS

Street

10 LINCOLN CENTER PLAZA

City

NEW YORK

State

NY

Zip Code

10023

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

15,000

Name

CHARLESTON GAILLARD CENTER

Street

95 CALHOUN ST

City

CHARLESTON

State

SC

Zip Code

29401

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

5,000

Name

URBAN STAGES

Street

555 8TH AVENUE, RM 1800

City

NEW YORK

State

NY

Zip Code

10018

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

1,500

Name

ROUNABOUT THEATRE COMPANY

Street

231 WEST 39TH STREET SUITE 1200

City

NEW YORK

State

NY

Zip Code

10018

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

3,000

Name

MANHATTAN THEATRE CLUB

Street

311 W 43RD ST #9

City

NEW YORK

State

NY

Zip Code

10036

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

1,500

Name

THIRTEEN

Street

825 8TH AVE

City

NEW YORK

State

NY

Zip Code

10019-7435

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

5,000

THE DUBOSE & DOROTHY HEYWARD MEM FD#

13-6840999 Page 6 of 10

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

THE SCHOOL OF AMERICAN BALLET

Street

70 LINCOLN CENTER PLAZA

City

NEW YORK

State

NY

Zip Code

10023

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

1,500

Name

ARTS CONNECTION

Street

520 8TH AVE #321

City

NEW YORK

State

NY

Zip Code

10018

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

3,000

Name

ARS NOVA

Street

511 W 54TH ST

City

NEW YORK

State

NY

Zip Code

10019

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

2,500

Name

NEW YORK CITY CHILDREN'S THEATER

Street

340 E 46TH ST

City

NEW YORK

State

NY

Zip Code

10017

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

3,000

Name

MASTER VOICES

Street

11TH FL, 115 E 57TH ST

City

NEW YORK

State

NY

Zip Code

10022

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

3,000

Name

GIBNEY DANCE

Street

280 BROADWAY

City

NEW YORK

State

NY

Zip Code

10007

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

1,500

THE DUBOSE & DOROTHY HEYWARD MEM FD#

13-6840999 Page 7 of 10

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

FIRE DEPARTMENT THEATRE

Street

332 BLEECKER STREET, #H45

City

NEW YORK

State

NY

Zip Code

10014

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

2,500

Name

LYRIC THEATER

Street

59 SW FLAGLER AVENUE

City

STUART

State

FL

Zip Code

34994

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

5,000

Name

APOLLO THEATER FOUNDATION

Street

253 WEST 125 STREET

City

NEW YORK

State

NY

Zip Code

10027-4408

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

1,500

Name

BALLET TECH

Street

800 BROADWAY, 8TH FLOOR

City

NEW YORK

State

NY

Zip Code

10003

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

3,000

Name

ALLIANCE OF RESIDENT THEATRES

Street

520 EIGHT AVENUE, SUITE 319

City

NEW YORK

State

NY

Zip Code

10018

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

3,750

Name

BONE MARROW FOUNDATION

Street

515 MADISON AVENUE, SUITE 1130

City

NEW YORK

State

NY

Zip Code

10022

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

7,500

THE DUBOSE & DOROTHY HEYWARD MEM FD#

13-6840999 Page 8 of 10

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

BREUKELEIN INSTITUTE

Street

109 WILLOUGHBY STREET

City

BROOKLYN

State

NY

Zip Code

11201

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

3,500

Name

CARNEGIE HALL CORPORATION

Street

881 SEVENTH AVENUE

City

NEW YORK

State

NY

Zip Code

10019

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

1,500

Name

EDUCATION THROUGH MUSIC

Street

122 EAST 42ND STREET, SUITE 1501

City

NEW YORK

State

NY

Zip Code

10168

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

5,000

Name

FRIENDS OF THE HIGHLINE

Street

601 WEST 26TH STREET

City

NEW YORK

State

NY

Zip Code

10001

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

5,000

Name

LAKE GEORGE MUSIC FESTIVAL

Street

7 STONE PINE LANE

City

QUEENSBURY

State

NY

Zip Code

12804

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

1,500

Name

LEUKEMIA & LYMPHOMA SOCIETY

Street

61 BROADWAY, SUITE 400

City

NEW YORK

State

NY

Zip Code

10006

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

9,750

THE DUBOSE & DOROTHY HEYWARD MEM FD#

13-6840999 Page 9 of 10

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

MAGIS THEATRE

Street

123 RUSSELL STREET

City

NEW YORK

State

NY

Zip Code

11222

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

1,500

Name

MARTINA ARROYO FOUNDATION

Street

57 WEST 57TH STREET, 4TH FLOOR

City

NEW YORK

State

NY

Zip Code

10009

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

3,800

Name

NATIONAL ALLIANCE FOR MUSICAL THEATRE

Street

520 8TH AVENUE, SUITE 301

City

NEW YORK

State

NY

Zip Code

10018

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

1,500

Name

NYU SKIRBALL CENTER

Street

60 WASHINGTON SQ S, SUITE 505

City

NEW YORK

State

NY

Zip Code

10012

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

3,700

Name

ROSIES THEATER

Street

445 W 45TH STREET

City

NEW YORK

State

NY

Zip Code

10036

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

3,000

Name

THALIA SPANISH THEATRE

Street

41-17 GREENPOINT AVENUE

City

SUNNYSIDE

State

NY

Zip Code

11104

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

1,500

THE DUBOSE & DOROTHY HEYWARD MEM FD#

13-6840999 Page 10 of 10

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

VH1 SAVE THE MUSIC FOUNDATION

Street

1540 BROADWAY, 29TH FLOOR

City

NEW YORK

State

NY

Zip Code

10036

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

5,000

Name

WATERWELL PRODUCTIONS

Street

330 W 42ND STREET, SUITE 2301

City

NEW YORK

State

NY

Zip Code

10036

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

7,500

Name

UNIVERSITY OF MICHIGAN

Street

3003 SOUTH STATE STREET, SUITE 9000

City

ANN ARBOR

State

MI

Zip Code

48109-1288

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

15,000

Name

FRACTURED ATLAS

Street

248 WEST 35TH STREET, 10TH FLOOR

City

NEW YORK

State

NY

Zip Code

10001

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

1,500

Name**Street****City****State****Zip Code****Foreign Country****Relationship****Foundation Status****Purpose of grant/contribution****Amount****Name****Street****City****State****Zip Code****Foreign Country****Relationship****Foundation Status****Purpose of grant/contribution****Amount**

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Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Long Term CG Distributions		Amount	Short Term CG Distributions		Totals		Gross Sales		Cost or Other Basis		Expenses		Net Gain or Loss	
		130,328			Capital Gains/Losses		2,070,501		1,717,603				352,898	
		0			Other sales		0		0				0	
Description	CUSIP #	Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
1 AT&T INC	00206R102	X				9/29/2015	6/8/2017	1,160	959					201
2 AT&T INC	00206R102	X				8/1/2015	6/8/2017	3,091	2,691					399
3 AT&T INC	00206R102	X				8/29/2015	6/8/2017	13,054	10,856					2,228
4 ABBOTT LABORATORIES	002624100	X				1/31/2017	8/15/2017	6,844	5,815					1,029
5 ABBVIE INC	00267YAN9	X				5/8/2015	4/10/2017	5,006	5,011					-5
6 ABBVIE INC	00267YAN9	X				5/5/2015	4/10/2017	20,023	19,880					143
7 ACTAVIS FUNDING SCS	00507UAR2	X				3/3/2015	11/7/2017	15,378	14,979					399
8 ACTAVIS FUNDING SCS	00507UAR2	X				3/16/2015	11/7/2017	30,756	30,735					21
9 ADOBE SYSTEMS INC	00724FAB7	X				2/2/2015	9/21/2017	47,975	50,274					-2,299
10 AGILENT TECHNOLOGIES INC	00846U101	X				8/7/2017	12/8/2017	8,711	9,049					-338
11 ALEXION PHARMACEUTICAL	015351109	X				11/4/2016	8/11/2017	6,606	6,830					-224
12 ALEXION PHARMACEUTICAL	015351109	X				11/4/2016	10/24/2017	7,296	7,239					57
13 ALPHABET INC-CL A	02079K305	X				7/27/2015	1/3/2017	4,852	3,890					862
14 ALPHABET INC-CL A	02079K305	X				7/27/2015	12/21/2017	19,314	11,671					7,643
15 AMERICAN TOWER CORP	03027X100	X				6/26/2015	3/9/2017	6,759	5,667					1,092
16 AMERICAN TOWER CORP	03027X100	X				7/8/2015	3/6/2017	1,031	855					176
17 AMERICAN TOWER CORP	03027X100	X				7/1/2015	3/6/2017	687	563					124
18 AMERICAN TOWER CORP	03027X100	X				6/29/2015	6/21/2017	2,219	1,593					626
19 AMERICAN TOWER CORP	03027X100	X				7/1/2015	6/21/2017	1,044	751					293
20 AMERICAN TOWER CORP	03027X100	X				8/17/2015	6/21/2017	5,482	3,834					1,648
21 ANDEAVOR	03349M105	X				1/30/2017	9/27/2017	7,776	6,306					1,470
22 APPLE INC	037833100	X				3/13/2017	6/8/2017	6,825	6,825					0
23 APPLE INC	037833100	X				3/13/2017	6/16/2017	3,282	3,201					81
24 APPLE INC	037833100	X				3/13/2017	6/21/2017	3,502	3,340					162
25 BIOMARIN PHARMACEUTICA	09061G101	X				2/19/2015	10/18/2017	4,465	5,212					-747
26 BIOMARIN PHARMACEUTICA	09061G101	X				2/20/2015	10/18/2017	1,965	2,313					-348
27 BIOMARIN PHARMACEUTICA	09061G101	X				3/24/2015	10/18/2017	5,356	6,408					-1,052
28 BIOMARIN PHARMACEUTICA	09061G101	X				12/19/2016	10/18/2017	4,466	4,266					199
29 BOSTON PROPERTIES LP 4	10112RAS3	X				3/18/2015	11/6/2017	36,826	37,654					-828
30 BRITISH TELECOMMUNICATIONS	11102AA9	X				8/18/2015	9/6/2017	40,611	43,900					-3,289
31 CABOT OIL & GAS CORP CL	127097103	X				12/6/2016	3/6/2017	7,146	7,313					-167
32 CABOT OIL & GAS CORP CL	127097103	X				1/7/2017	3/6/2017	2,759	2,850					-91
33 CABOT OIL & GAS CORP CL	127097103	X				12/6/2016	3/6/2017	5,994	6,287					-293
34 CABOT OIL & GAS CORP CL	127097103	X				12/8/2016	3/6/2017	6,456	6,889					-433
35 CALIFORNIA ST EART	13017H4E8	X				3/19/2015	7/1/2017	7,200	7,315					-115
36 CITIZENS FINANCIAL GROUP	174610105	X				1/20/2016	1/24/2017	15,843	9,492					6,351
37 CITIZENS FINANCIAL GROUP	174610105	X				1/20/2016	11/20/2017	10,319	5,825					4,494
38 CITIZENS FINANCIAL GROUP	174610105	X				1/20/2016	11/21/2017	14,403	8,198					6,205
39 CITIZENS FINANCIAL GROUP	174610105	X				7/20/2016	11/21/2017	12,687	7,222					5,465
40 COMCAST CORP CL A	20030N101	X				5/11/2017	8/11/2017	7,919	8,255					-336
41 COMCAST CORP CL A	20030N101	X				5/4/2017	8/11/2017	2,640	2,697					-57
42 COMCAST CORP CL A	20030N101	X				5/4/2017	10/12/2017	9,384	9,979					-595
43 COMCAST CORP CL A	20030N101	X				4/20/2017	10/12/2017	1,083	1,140					-57
44 RABOBANK NEDERLAND 3	21685VDF1	X				9/21/2016	11/28/2017	52,495	52,473					22
45 COSTCO WHSL CORP NEW	22160K105	X				1/6/2017	12/19/2017	9,048	7,806					1,242
46 COSTCO WHSL CORP NEW	22160K105	X				6/28/2017	12/19/2017	377	318					59
47 DOMINION ENERGY INC	25746U109	X				1/7/2016	9/27/2017	25,486	25,351					135
48 DR PEPPER SNAPPLE GR 2	26138E9N9	X				7/15/2015	1/12/2017	15,225	15,203					22
49 EBAY INC 2.5% 7/15/22	278642AE3	X				10/9/2015	9/23/2017	44,938	42,046				723	2,892
50 ELECTRONIC ARTS INC	285512109	X				2/10/2017	10/26/2017	3,929	2,929					1,000
51 ELECTRONIC ARTS INC	285512109	X				2/10/2017	11/20/2017	6,022	4,824					1,198
52 ELECTRONIC ARTS INC	285512109	X				1/25/2017	11/20/2017	4,947	3,749					1,198
53 EMPIRE STATE REALTY TRU	292104106	X				1/18/2017	10/31/2017	1,601	1,627					-26
54 EMPIRE STATE REALTY TRU	292104106	X				1/18/2017	10/31/2017	4,003	4,444					-441
55 EMPIRE STATE REALTY TRU	292104106	X				1/20/2017	10/31/2017	600	606					-6
56 EMPIRE STATE REALTY TRU	292104106	X				1/23/2017	10/31/2017	3,202	3,272					-70
57 EMPIRE STATE REALTY TRU	292104106	X				1/20/2017	10/31/2017	400	404					-4
58 EMPIRE STATE REALTY TRU	292104106	X				1/20/2017	11/1/2017	4,981	5,051					-70
59 FACEBOOK INC-A	30303M102	X				8/15/2013	1/3/2017	5,127	3,601					1,526
60 GENERAL ELECTRIC CO	36960A103	X				1/22/2016	8/16/2017	29,766	28,998					768
61 GLAXOSMITHKLINE CAP 56	377372A09	X				5/7/2015	1/12/2017	31,631	33,713					-2,082
62 GRUPO TELEVISAO SAB 50	40049JAX5	X				3/9/2015	12/26/2017	45,742	50,488					-4,746
63 HSBC FINANCE CORP 867	40429CQ08	X				2/6/2015	9/16/2017	36,749	38,591					-1,842
64 HALLIBURTON CO	406218101	X				7/2/2010	1/6/2017	9,056	4,051					5,005
65 HARTFORD FINL SVCS GROU	416515104	X				12/13/2016	11/20/2017	8,943	7,756					1,187
66 HARTFORD FINL SVCS GROU	416515104	X				1/14/2016	11/20/2017	559	436					123
67 INCYTE CORPORATION	45337C102	X				12/19/2016	8/11/2017	5,713	4,735					978
68 INCYTE CORPORATION	45337C102	X				10/6/2016	8/11/2017	994	754					240
69 INTEL CORP	458140100	X				6/23/2016	1/25/2017	9,805	8,575					1,230
70 INTEL CORP	458140100	X				6/23/2016	2/10/2017	8,450	7,918					532
71 INTEL CORP	458140100	X				6/23/2016	3/13/2017	12,268	11,544					724
72 INTEL CORP	458140100	X				6/30/2016	3/13/2017	3,505	3,244					261

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Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Long Term CG Distributions		Amount	Short Term CG Distributions		Totals		Gross Sales		Cost or Other Basis		Expenses		Net Gain or Loss	
		130,328					2,070,501		1,717,603				352,898	
		0					0		0				0	
Description	CUSIP #	Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
73 INTERCONTINENTAL EXCHA	45866F104	X				1/17/2013	7/11/2017	17,878	7,077					10,801
74 INTERCONTINENTAL EXCHA	45866F104	X				1/17/2013	8/30/2017	8,472	3,407					5,065
75 JPMORGAN CHASE & CO. 3.S	46825JL40	X				2/2/2015	5/2/2017	51,014	50,591					423
76 JOHNSON & JOHNSON	478160104	X				7/9/2015	1/31/2017	11,851	10,397					1,454
77 JOHNSON & JOHNSON	478160104	X				8/24/2015	1/31/2017	21,445	17,527					3,918
78 KROGER CO/THE	295% 501044C22	X				2/23/2015	10/11/2017	35,436	35,540					-104
79 VILLY ELI & CO.	532457108	X				1/20/2016	6/7/2017	7,931	8,179					-248
80 VILLY ELI & CO.	532457108	X				1/20/2016	10/30/2017	7,498	7,359					139
81 MARATHON PETROLEUM CO	56585A102	X				5/3/2016	1/30/2017	15,969	12,553					3,416
82 MARATHON PETROLEUM CO	56585A102	X				2/11/2016	1/30/2017	2,802	1,809					993
83 MARATHON PETROLEUM CO	56585A102	X				2/11/2016	2/1/2017	18,035	11,459					6,576
84 MERCK & CO INC	58933Y105	X				6/26/2017	10/25/2017	4,994	5,286					-292
85 MERCK & CO INC	58933Y105	X				8/11/2016	10/25/2017	4,369	4,458					-89
86 MICRON TECHNOLOGY INC	595112103	X				1/3/2017	6/13/2017	2,240	2,240					0
87 MICRON TECHNOLOGY INC	595112103	X				1/3/2017	7/25/2017	10,159	7,614					2,545
88 MICRON TECHNOLOGY INC	595112103	X				1/27/2016	7/25/2017	9,561	8,432					1,129
89 MICRON TECHNOLOGY INC	595112103	X				1/27/2016	7/27/2017	3,927	2,613					1,314
90 MICRON TECHNOLOGY INC	595112103	X				11/14/2016	7/27/2017	13,897	8,142					5,755
91 MICRON TECHNOLOGY INC	595112103	X				11/14/2016	8/2/2017	13,862	8,850					5,012
92 MICRON TECHNOLOGY INC	595112103	X				11/11/2016	8/3/2017	3,327	2,111					1,216
93 MICRON TECHNOLOGY INC	595112103	X				11/11/2016	8/7/2017	10,443	6,509					3,934
94 NIKE INC CLASS B	654106103	X				7/19/2016	9/14/2017	6,404	9,916					-3,512
95 NIKE INC CLASS B	654106103	X				4/21/2015	9/14/2017	10,673	10,017					656
96 NVIDIA CORP	67066G104	X				11/11/2016	6/13/2017	5,382	3,127					2,255
97 NVIDIA CORP	67066G104	X				11/11/2016	8/15/2017	3,502	1,898					1,604
98 PALO ALTO NETWORKS INC	697435105	X				3/25/2015	3/1/2017	4,535	5,416					-881
99 PALO ALTO NETWORKS INC	697435105	X				9/8/2016	3/1/2017	13,139	15,134					-1,995
100 PANERA BREAD CO CL A	69840W108	X				4/15/2016	4/5/2017	1,822	1,301					521
101 PANERA BREAD CO CL A	69840W108	X				5/9/2016	4/5/2017	6,592	4,547					2,045
102 PANERA BREAD CO CL A	69840W108	X				5/9/2016	5/25/2017	18,830	11,693					7,137
103 PANERA BREAD CO CL A	69840W108	X				5/9/2016	6/5/2017	5,024	3,464					1,560
104 PANERA BREAD CO CL A	69840W108	X				4/18/2016	6/5/2017	5,338	3,583					1,755
105 PANERA BREAD CO CL A	69840W108	X				4/18/2016	7/19/2017	9,765	6,534					3,231
106 PEPSICO INC	713448103	X				11/29/2004	1/6/2017	15,903	7,607					8,296
107 PFIZER INC	717081103	X				10/15/2009	5/24/2017	29,794	14,100					15,694
108 PIONEER NATURAL RESOUR	723787107	X				11/3/2016	3/6/2017	7,746	6,885					861
109 PIONEER NATURAL RESOUR	723787107	X				10/7/2015	3/6/2017	387	270					117
110 PROCTER & GAMBLE CO.	742718108	X				11/29/2004	5/12/2017	16,382	8,386					7,996
111 QUALCOMM INC	747525103	X				10/27/2016	3/17/2017	7,530	9,084					-1,554
112 QUALCOMM INC	747525103	X				10/14/2016	3/17/2017	5,213	5,857					-644
113 QUALCOMM INC	747525103	X				10/14/2016	4/20/2017	524	551					-27
114 QUALCOMM INC	747525103	X				9/22/2016	4/20/2017	8,914	10,684					-1,770
115 QUALCOMM INC	747525103	X				9/22/2016	5/11/2017	8,182	9,427					-1,245
116 QUALCOMM INC	747525103	X				9/2/2016	5/30/2017	8,030	8,719					-689
117 QUALCOMM INC	747525103	X				8/8/2016	5/30/2017	6,309	6,783					-474
118 SCHLUMBERGER LTD	806857108	X				1/18/2017	5/19/2017	6,493	7,851					-1,358
119 SCHLUMBERGER LTD	806857108	X				1/6/2017	5/18/2017	2,184	2,599					-415
120 SCHLUMBERGER LTD	806857108	X				1/6/2017	9/1/2017	4,529	9,984					-5,455
121 SCHLUMBERGER LTD	806857108	X				5/24/2004	8/21/2017	3,925	1,748					2,177
122 SYNCHRONY FINANCIAL	871658103	X				10/5/2016	11/20/2017	10,843	8,956					1,887
123 TAIWAN SEMICONDUCTOR N	874039100	X				11/18/2016	6/9/2017	5,145	4,178					967
124 TAIWAN SEMICONDUCTOR N	874039100	X				11/18/2016	6/16/2017	3,215	2,680					535
125 TAIWAN SEMICONDUCTOR N	874039100	X				11/18/2016	7/5/2017	9,387	8,058					1,329
126 TAIWAN SEMICONDUCTOR N	874039100	X				8/5/2016	7/5/2017	1,045	788					255
127 TELEFONICA EMISIONES S.A	87930VW45	X				2/4/2015	3/1/2017	48,264	50,818					-2,554
128 TESARO INC	881569107	X				1/31/2017	10/18/2017	9,338	13,183					-3,845
129 TEVA PHARMA FIN IV LL 2.25	88168H409	X				3/16/2015	4/10/2017	18,839	20,096					-1,257
130 TEVA PHARMACEUTICALS 3	88167AAE1	X				9/12/2016	10/18/2017	22,548	24,848					-2,300
131 TEVA PHARMACEUTICALS 3	88167AAE1	X				4/10/2017	10/18/2017	18,039	18,592					-553
132 TIME WARNER INC	887317303	X				8/1/2014	3/15/2017	21,653	16,314					5,339
133 TIME WARNER INC	887317303	X				5/5/2016	3/15/2017	1,806	1,482					324
134 TIME WARNER INC	887317303	X				5/9/2016	3/17/2017	17,709	13,335					4,374
135 TIME WARNER INC	887317303	X				1/6/2016	6/29/2017	8,987	6,516					2,471
136 TIME WARNER INC	887317303	X				1/6/2016	11/8/2017	10,985	8,691					2,294
137 TIME WARNER INC	887317303	X				3/26/2015	5/9/2017	47,112	48,066					-954
138 TWENTY FIRST CENTURY FC	90130A101	X				3/17/2017	12/15/2017	9,530	8,368					1,162
139 UNITED TECHNOLOGIES CO	912017109	X				3/15/2004	11/3/2017	36,788	14,702					22,086
140 UNIVERSAL HEALTH SERVIC	913903100	X				9/21/2015	8/15/2017	7,263	8,236					-973
141 UNIVERSAL HEALTH SERVIC	913903100	X				9/21/2015	8/15/2017	15,226	19,304					-4,078
142 VERIZON COMMUNICATIONS	92343V104	X				7/7/2010	5/4/2017	2,295	1,308					987
143 VERIZON COMMUNICATIONS	92343V104	X				8/6/2010	5/4/2017	10,556	6,793					3,763
144 VOYA FINANCIAL INC	925089100	X				10/18/2015	11/13/2017	9,045	8,796					249

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Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Amount		Totals		Gross		Cost or Other Basis Expenses		Net Gain					
Long Term CG Distributions		Capital Gains/Losses		Sales		Depreciation and Adjustments		or Loss					
Short Term CG Distributions		Other sales		2,070,501		1,717,603		352,898					
0		0		0		0		0					
Description	CUSIP #	Check "X" if to include in Part IV	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
145 WELLS FARGO & COMPANY	949746101	X			12/24/2017	5/22/2017	7,392	7,701				0	-309
146 WELLS FARGO & COMPANY	949746101	X			7/2/2010	5/22/2017	528	254				0	274
147 WELLS FARGO & COMPANY	949746101	X			7/2/2010	7/11/2017	34,678	15,889				0	18,689
148 WELLS FARGO & COMPANY	949746101	X			10/17/2016	3/9/2017	40,185	40,788				0	-603
149 WILLIAMS COS INC	969457100	X			8/27/2016	1/18/2017	22,535	24,405				0	-1,870
150 ZIMMER BIOMET HOLDINGS	88956P102	X			5/26/2016	8/10/2017	25,720	28,545				0	-2,825
151 ACCENTURE PLC-CL A	G1151C101	X			3/17/2017	4/5/2017	3,671	3,874				0	-203
152 ACCENTURE PLC-CL A	G1151C101	X			3/17/2017	6/8/2017	5,038	4,999				0	39
153 ACCENTURE PLC-CL A	G1151C101	X			3/17/2017	6/21/2017	8,722	6,623				0	99
154 ACCENTURE PLC-CL A	G1151C101	X			3/17/2017	6/26/2017	6,500	6,623				0	-123
155 ACCENTURE PLC-CL A	G1151C101	X			11/28/2016	6/26/2017	7,236	7,145				0	91
156 ACCENTURE PLC-CL A	G1151C101	X			11/8/2014	6/29/2017	16,218	10,981				0	5,237
157 ACCENTURE PLC-CL A	G1151C101	X			11/28/2016	6/29/2017	2,949	2,906				0	43
158 EATON CORP PLC	G29183103	X			11/30/2012	8/8/2017	12,463	7,325				0	5,138
159 NABORS INDUSTRIES LTD	G6359F103	X			8/23/2016	10/25/2017	124	211				0	-87
160 NABORS INDUSTRIES LTD	G6359F103	X			8/23/2016	10/25/2017	4,716	8,172				0	-3,456
161 NABORS INDUSTRIES LTD	G6359F103	X			8/24/2016	10/25/2017	2,210	3,800				0	-1,590
162 NABORS INDUSTRIES LTD	G6359F103	X			8/25/2016	10/25/2017	1,481	2,510				0	-1,029
163 NABORS INDUSTRIES LTD	G6359F103	X			8/26/2016	10/25/2017	2,903	4,833				0	-2,030
164 NABORS INDUSTRIES LTD	G6359F103	X			3/1/2017	10/25/2017	59	146				0	-87
165 NABORS INDUSTRIES LTD	G6359F103	X			5/19/2017	10/25/2017	5,156	9,093				0	-3,938
166 NABORS INDUSTRIES LTD	G6359F103	X			3/6/2017	10/25/2017	808	2,057				0	-1,249
167 NABORS INDUSTRIES LTD	G6359F103	X			3/7/2017	10/25/2017	4,818	11,718				0	-7,098
168 BROADCOM LTD	Y09827109	X			12/2/2018	3/17/2017	10,201	7,548				0	2,653
169 BROADCOM LTD	Y09827109	X			8/20/2013	3/17/2017	11,310	2,145				0	9,165
170 BROADCOM LTD	Y09827109	X			9/20/2013	4/5/2017	4,842	825				0	3,917
171 BROADCOM LTD	Y09827109	X			7/25/2017	10/17/2017	10,212	10,677				0	-465
172 BROADCOM LTD	Y09827109	X			8/20/2013	10/17/2017	7,781	1,346				0	6,435
173 BROADCOM LTD	Y09827109	X			8/20/2013	10/29/2017	7,336	1,262				0	6,074
174 BROADCOM LTD	Y09827109	X			8/20/2013	11/7/2017	10,505	1,641				0	8,864
175 BROADCOM LTD	Y09827109	X			8/20/2013	12/14/2017	11,114	1,809				0	9,305

Part I, Line 11 (990-PF) - Other Income

		589,168	589,168	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income
1	ROYALTY INCOME	589,168	589,168	

Part I, Line 16a (990-PF) - Legal Fees

		324,854	292,369	0	32,485
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	SETTLEMENT OF LITIGATION FEE	67,500	60,750		6,750
2	SUKIN LAW GROUP - LEGAL FEES	254,679	229,211		25,468
3	MISC OTHER LEGAL FEES	2,675	2,408		267

Part I, Line 18 (990-PF) - Taxes

		16,908	3,218	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	FOREIGN TAX WITHHELD	3,218	3,218		
2	ESTIMATED EXCISE PAYMENTS	13,690			

Part I, Line 23 (990-PF) - Other Expenses

		750	0	0	750
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	NY STATE FILING FEE	750	0		750

Part II, Line 13 (990-PF) - Investments - Other

			0	7,814,684	11,867,763
Asset Description		Basis of Valuation	Book Value Beg. of Year	Book Value End of Year	FMV End of Year
1				0	
2	INTELLECTUAL PROPERTY INTERESTS		0	1	1,500,000
3	P & B COMPOSITIONS		0	0	0
4	ALLERGAN PLC		0	25,840	27,154
5	EATON CORP PLC		0	20,648	36,345
6	INGERSOLL-RAND PLC		0	22,366	62,433
7	INVESCO LTD		0	28,443	55,175
8	BROADCOM LTD		0	7,109	43,416
9	A B B FIN USA INC 2 875% 5/08/22		0	46,434	45,753
10	AT&T INC 3 0% 6/30/22		0	49,389	50,089
11	AT&T INC		0	31,257	40,824
12	ABBOTT LABORATORIES		0	34,649	50,222
13	ABBVIE INC 1 8% 5/14/18		0	24,934	24,988
14	ABBVIE INC 2 3% 5/14/21		0	24,792	24,817
15	ABBVIE INC		0	27,772	28,046
16	ACTAVIS FUNDING SCS 3 85% 6/15/24		0	46,517	46,113
17	ACTIVISION BLIZZARD IN 2 6% 6/15/22		0	45,128	44,669
18	ADOBE SYS INC COM		0	24,464	84,466
19	DREYFUS STRATEGIC VALUE F-Y		0	100,000	113,571
20	AGILENT TECHNOLOGIES INC		0	28,835	41,521
21	ALEXION PHARMACEUTICALS INC		0	22,327	21,407
22	ALIGN TECHNOLOGY INC		0	29,960	43,105
23	ALLY FINANCIAL INC		0	43,916	62,694
24	ALPHABET INC/CA		0	41,675	106,393
25	AMAZON COM INC		0	21,361	127,472
26	AMERICAN TOWER CORP-CL A		0	32,632	41,945
27	AMGEN INC 2 2% 5/22/19		0	45,088	45,009
28	ANDEAVOR		0	30,549	42,763
29	ANHEUSER-BUSCH INBEV 2 65% 2/01/21		0	44,859	45,226
30	APPLE COMPUTER INC COM		0	33,290	78,353
31	ARROW ELECTRONICS INC 3 5% 4/01/22		0	49,937	50,670
32	BNY MELLON INCOME STK FD CL M		0	110,476	177,065
33	BNY MELLON MID CAP STK FD CL M		0	785,000	1,162,530
34	BNY MELLON INTL APPR FD CL M		0	394,108	571,810
35	BNY MELLON SMALL CAP STK FD CL M S		0	529,676	820,402
36	BNY MELLON EMERGING MKTS FD CL M		0	259,595	346,705
37	BANK OF AMERICA CORP		0	50,068	46,589
38	BANK AMER CORP		0	45,999	82,361
39	BARCLAYS PLC 2 75% 11/08/19		0	46,264	45,097
40	BOSTON PROPERTIES LP		0	10,758	10,452
41	BRANDYWINE OPER PARTN 3 95% 11/15/		0	34,738	34,741
42	BRISTOL MYERS SQUIBB CO COM		0	20,735	26,963
43	CSX CORP COM		0	37,130	52,810
44	CALIFORNIA ST EARTHQ 2 805% 7/01/19		0	38,405	37,831
45	CELANESE CORP DEL		0	11,564	50,328
46	CELGENE CORP		0	25,538	24,420

Part II, Line 13 (990-PF) - Investments - Other

			0	7,814,684	11,867,763
	Asset Description	Basis of Valuation	Book Value Beg of Year	Book Value End of Year	FMV End of Year
47	CHEVRONTXACO CORP		0	19,029	35,178
48	CISCO SYSTEMS 2 125% 3/01/19		0	46,213	45,050
49	CITIGROUP INC 4 05% 7/30/22		0	53,152	52,040
50	COMCAST CORP NEW CL A		0	50,148	67,684
51	CONSTELLATION BRANDS INC		0	33,373	57,828
52	COOPERATIEVE CENTRALE RAIFFEIS		0	54,033	53,598
53	CORNING INC COM		0	50,662	52,144
54	COSTCO WHSL CORP NEW		0	24,700	47,274
55	CREDIT SUISSE GROUP FUNDING GU		0	45,163	46,419
56	DEERE & COMPANY		0	42,197	51,179
57	JOHN DEERE 2 15% 9/08/22		0	39,919	39,148
58	DEUTSCHE BANK AG		0	49,472	50,461
59	DISNEY (WALT) COMPANY HOLDING CO		0	29,179	46,552
60	DOW CHEMICAL CO/THE		0	27,544	26,109
61	DOWDUPONT INC		0	28,841	42,732
62	DR PEPPER SNAPPLE GR 2 6% 1/15/19		0	25,339	25,079
63	DREYFUS ALTERN DIVERS STR-Y		0	650,000	653,352
64	DREYFUS GLOBAL REAL ES SEC-Y		0	173,214	153,402
65	DREYFUS INTL SMALL CAP-Y		0	100,000	122,118
66	DREYFUS DIVERSIFIED EM MK-Y		0	100,000	135,050
67	DREYFUS RESEARCH GROWTH FD-Y		0	100,000	111,372
68	EMC CORP 1 875% 6/01/18		0	35,268	34,834
69	EBAY INC 3 45% 8/01/24		0	45,863	45,734
70	ELECTRONIC ARTS		0	35,595	46,647
71	ENTERPRISE PRODUCTS 2 55% 10/15/19		0	35,020	35,110
72	EQUINIX INC		0	32,407	33,538
73	ESSEX PORTFOLIO LP		0	46,728	46,637
74	EXELON CORP		0	26,438	34,681
75	EXXON MOBIL CORP		0	27,316	51,857
76	FACEBOOK INC		0	19,833	96,171
77	FLORIDA ST HURRICANE 2 995% 7/01/20		0	40,976	40,524
78	FORD MOTOR CREDIT CO 3 2% 1/15/21		0	45,924	45,630
79	GENERAL ELEC CAP CORP		0	46,340	43,192
80	GENERAL MOTORS FINL 3 45% 1/14/22		0	44,941	45,608
81	GOLDMAN SACHS GROUP 4 25% 10/21/2		0	50,312	52,256
82	HSBC FINANCE CORP		0	15,677	14,454
83	HALLIBURTON COMPANY COM		0	27,812	40,073
84	HARTFORD FINL SVCS GROUP INC COM		0	30,979	46,150
85	HILL ROM HLDGS		0	17,068	18,544
86	HOME DEPOT INC USD 0 05		0	19,247	75,433
87	HONEYWELL INTL INC		0	16,323	65,945
88	ILLINOIS ST		0	44,405	41,246
89	ILLINOIS TOOL WORKS INC COM		0	38,710	74,415
90	INCYTE GENOMICS INC		0	13,387	13,449
91	INTEL CORP 2 7% 12/15/22		0	45,146	45,500
92	INTERCONTINENTALEXCHA 2 75% 12/01/2		0	45,185	45,504

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Part II, Line 13 (990-PF) - Investments - Other

		0	7,814,684	11,867,763	
	Asset Description	Basis of Valuation	Book Value Beg. of Year	Book Value End of Year	FMV End of Year
93	INTERCONTINENTALEXCHANGE GRO		0	19,082	37,044
94	JPMORGAN CHASE & CO		0	51,936	52,156
95	J P MORGAN CHASE & CO		0	58,476	63,095
96	JOHNSON & JOHNSON COM		0	39,319	41,078
97	KIMCO REALTY CORP 3 4% 11/01/22		0	45,327	45,891
98	KIMCO RLTY CORP		0	9,633	9,438
99	LAM RESH CORP COM		0	49,673	51,908
100	ELI LILLY & CO COM		0	19,994	21,115
101	LLOYDS BANKING GROUP 4 65% 3/24/26		0	46,876	47,516
102	MCCORMICK & CO 3 15% 8/15/24		0	35,504	35,186
103	MERCK & CO INC		0	28,251	29,823
104	MICROSOFT CORP COM		0	12,212	23,951
105	MICRON TECHNOLOGY		0	7,741	18,093
106	MONDELEZ INTERNATIONAL INC		0	49,659	50,504
107	MONSTER BEVERAGE CORP		0	17,453	48,986
108	MORGAN STANLEY 4 875% 11/01/22		0	48,857	48,457
109	NBCUNIVERSAL MEDIA LLC		0	47,299	45,457
110	PROCTER & GAMBLE CO COM		0	15,890	33,077
111	ROYAL BANK OF CANADA 4 65% 1/27/26		0	44,928	48,493
112	NIKE INC CL B		0	17,530	21,892
113	NUCOR CORP		0	21,785	31,790
114	NVIDIA CORP		0	35,124	100,814
115	ORACLE CORP 2 5% 5/15/22		0	44,800	45,071
116	PEPSICO INC		0	18,158	43,171
117	PETROLEOS MEXICANOS		0	52,194	52,112
118	PFIZER INC COM		0	23,413	23,543
119	PHILIP MORRIS INTERNATIONAL		0	22,462	47,226
120	PIONEER NAT RES CO		0	29,788	36,471
121	SANTANDER HOLDINGS US 2 65% 4/17/2		0	39,686	39,979
122	SCHLUMBERGER LIMITED COM		0	8,708	20,150
123	SCHWAB CHARLES CORP NEW		0	42,401	68,322
124	SCRIPPS NETWORKS INTERACTIVE I		0	49,732	49,993
125	SHIRE ACQ INV IRELAND 3 2% 9/23/26		0	44,308	43,999
126	SPLUNK INC		0	40,806	54,674
127	STARBUCKS CORP COM		0	54,574	52,261
128	SYNCHRONY FINANCIAL		0	31,578	44,015
129	T-MOBILE US INC		0	44,878	43,822
130	TAIWAN SEMICONDUCTOR ADR		0	31,117	42,029
131	TARGA RESOURCES INVESTMENTS		0	24,744	20,336
132	TELEFONICA EMISIONES 4 103% 3/08/27		0	44,930	46,525
133	3M CO		0	26,654	44,014
134	TIME WARNER INC 3 6% 7/15/25		0	44,461	45,101
135	TWENTY FIRST CENTURY FOX INC		0	32,943	36,947
136	UNITED HEALTH GROUP INC		0	55,118	86,200
137	VALERO ENERGY CORP NEW		0	7,716	45,036
138	VANTIV INC		0	34,552	40,452

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Part II, Line 13 (990-PF) - Investments - Other

			0	7,814,684	11,867,763
	Asset Description	Basis of Valuation	Book Value Beg of Year	Book Value End of Year	FMV End of Year
139	SVB FINL GROUP		0	27,092	35,533
140	SALESFORCE COM INC		0	24,427	53,160
141	VERIZON COMMUNICATION 5 15% 9/15/2		0	51,246	50,069
142	VISA INC		0	46,716	68,412
143	VOYA FINANCIAL INC		0	25,427	42,544
144	WAL-MART STORES INC 4 25% 4/15/21		0	33,872	31,872
145	WELLS FARGO & COMPANY 2 5% 3/04/2		0	39,696	39,982
146	YUM BRANDS INC		0	20,634	47,334

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Part II, Line 15 (990-PF) - Other Assets

		0	3,600,000	3,600,000
Asset Description		Book Value Beg of Year	Book Value End of Year	FMV End of Year
1	PORGY AND BESS FOREIGN COPY RIGHTS		3,600,000	3,600,000

Part III (990-PF) - Changes in Net Assets or Fund Balances

Line 3 - Other increases not included in Part III, Line 2

1	PURCHASE OF ACCRUED INTEREST CARRYOVER FROM PRIOR YEAR	1	572
2	Total	2	572

Line 5 - Decreases not included in Part III, Line 2

1	PURCHASE OF ACCRUED INTEREST CARRYOVER TO NEXT YEAR	1	535
2	PRIOR YEAR RETURN OF CAPITAL ADJUSTMENT	2	1,793
3	CURRENT YEAR RETURN OF CAPITAL BASIS ADJUSTMENT ON SALES	3	873
4	Total	4	3,201

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Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions		Amount	Short Term CG Distributions		1,940,173	0	-723	1,716,880	222,570	0	0	0	222,570
		130,328			0								
Description of Property Sold	CUSIP #	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adjusted Basis	Gain Minus Excess FMV Over Adj Basis or Losses
1 AT&T INC	00206R102		9/29/2015	6/8/2017	1,160		0	958	201	0	0	0	201
2 AT&T INC	00206R102		8/12/2015	6/8/2017	3,081		0	2,691	390	0	0	0	390
3 AT&T INC	00206R102		9/29/2015	6/8/2017	13,094		0	10,866	2,228	0	0	0	2,228
4 ABBOTT LABORATORIES	002824100		1/31/2017	8/15/2017	6,844		0	5,815	1,029	0	0	0	1,029
5 ABBVIE INC 1 8% 5/14	00287YAN9		5/8/2015	4/10/2017	5,006		0	5,011	5	0	0	0	5
6 ABBVIE INC 1 8% 5/14	00287YAN9		5/5/2015	4/10/2017	20,023		0	19,980	43	0	0	0	43
7 ACTAVIS FUNDING SCS 3 41	00507UAR2		3/3/2015	11/7/2017	15,378		0	14,979	399	0	0	0	399
8 ACTAVIS FUNDING SCS 3 41	00507UAR2		3/16/2015	11/7/2017	30,756		0	30,235	521	0	0	0	521
9 ADOBE SYSTEMS INC 4 75	00724FAB7		2/20/2015	9/21/2017	47,875		0	50,274	-2,399	0	0	0	-2,399
10 AGILENT TECHNOLOGIES INC	00848U101		6/7/2017	12/8/2017	6,711		0	6,048	663	0	0	0	663
11 ALEXION PHARMACEUTICAL	015351109		11/4/2016	8/11/2017	6,606		0	6,830	224	0	0	0	-224
12 ALEXION PHARMACEUTICAL	015351109		11/4/2016	10/24/2017	7,296		0	7,239	57	0	0	0	57
13 ALPHABET INC/CL A	02079K305		7/27/2015	1/3/2017	4,852		0	3,990	862	0	0	0	862
14 ALPHABET INC/CL A	02079K305		7/27/2015	12/21/2017	19,314		0	11,871	7,343	0	0	0	7,343
15 AMERICAN TOWER CORP	03027X100		6/26/2015	3/6/2017	6,759		0	5,567	1,192	0	0	0	1,192
16 AMERICAN TOWER CORP	03027X100		7/6/2015	3/6/2017	1,031		0	855	176	0	0	0	176
17 AMERICAN TOWER CORP	03027X100		7/1/2015	3/6/2017	687		0	563	124	0	0	0	124
18 AMERICAN TOWER CORP	03027X100		6/29/2015	6/21/2017	2,219		0	1,593	626	0	0	0	626
19 AMERICAN TOWER CORP	03027X100		7/1/2015	9/21/2017	1,044		0	751	293	0	0	0	293
20 AMERICAN TOWER CORP	03027X100		6/17/2015	6/21/2017	5,482		0	3,934	1,548	0	0	0	1,548
21 ANDEAVOR	03349M105		1/30/2017	9/27/2017	7,776		0	6,308	1,468	0	0	0	1,468
22 APPLE INC	037833100		3/13/2017	6/8/2017	6,825		0	6,123	702	0	0	0	702
23 APPLE INC	037833100		3/13/2017	6/19/2017	3,282		0	3,201	81	0	0	0	81
24 APPLE INC	037833100		3/13/2017	6/21/2017	3,502		0	3,340	162	0	0	0	162
25 BIOMARIN PHARMACEUTICA	09061G101		2/19/2015	10/18/2017	4,465		0	5,212	-747	0	0	0	-747
26 BIOMARIN PHARMACEUTICA	09061G101		2/20/2015	10/18/2017	1,965		0	2,313	-348	0	0	0	-348
27 BIOMARIN PHARMACEUTICA	09061G101		2/24/2015	10/18/2017	5,358		0	6,408	-1,050	0	0	0	-1,050
28 BIOMARIN PHARMACEUTICA	09061G101		12/19/2016	10/18/2017	4,465		0	4,268	197	0	0	0	197
29 BOSTON PROPERTIES LP 4	10112RAS3		3/16/2015	11/8/2017	36,929		0	37,654	-725	0	0	0	-725
30 BRITISH TELECOMMUNICA 5	11102AA99		8/18/2015	9/8/2017	20,611		0	43,900	-3,289	0	0	0	-3,289
31 CABOT OIL & GAS CORP CL	127097103		12/6/2016	3/6/2017	7,146		0	7,313	-167	0	0	0	-167
32 CABOT OIL & GAS CORP CL	127097103		12/7/2016	3/6/2017	2,766		0	2,850	-84	0	0	0	-84
33 CABOT OIL & GAS CORP CL	127097103		12/8/2016	3/6/2017	5,994		0	6,287	-293	0	0	0	-293
34 CABOT OIL & GAS CORP CL	127097103		12/9/2016	3/6/2017	6,455		0	6,869	-414	0	0	0	-414
35 CALIFORNIA ST EART 2 805	13017HAE6		3/18/2015	7/1/2017	7,200		0	7,315	-115	0	0	0	-115
36 CITIZENS FINANCIAL GROUP	174610105		1/20/2016	1/24/2017	15,943		0	9,492	6,451	0	0	0	6,451
37 CITIZENS FINANCIAL GROUP	174610105		1/20/2016	11/20/2017	10,319		0	5,825	4,494	0	0	0	4,494
38 CITIZENS FINANCIAL GROUP	174610105		1/20/2016	11/21/2017	14,403		0	8,188	6,205	0	0	0	6,205
39 CITIZENS FINANCIAL GROUP	174610105		7/20/2016	11/21/2017	12,887		0	7,222	5,665	0	0	0	5,665
40 COMCAST CORP CL A	20030N101		5/11/2017	9/11/2017	7,919		0	8,255	-336	0	0	0	-336
41 COMCAST CORP CL A	20030N101		5/4/2017	9/11/2017	2,640		0	2,687	-47	0	0	0	-47
42 COMCAST CORP CL A	20030N101		5/4/2017	10/12/2017	9,384		0	9,979	-595	0	0	0	-595
43 COMCAST CORP CL A	20030N101		4/20/2017	10/12/2017	1,083		0	1,140	-57	0	0	0	-57
44 RABOBANK NEDERLAND 3	21685WDF1		9/21/2016	11/28/2017	52,495		0	52,473	22	0	0	0	22
45 COSTCO WHSL CORP NEW	22160K105		1/6/2017	12/19/2017	9,048		0	7,806	1,242	0	0	0	1,242
46 COSTCO WHSL CORP NEW	22160K105		5/29/2017	12/19/2017	377		0	318	59	0	0	0	59
47 DOMINION ENERGY INC	25745U109		1/7/2016	9/27/2017	28,486		0	25,351	3,135	0	0	0	3,135
48 DR PEPPER SNAPPLE GR 2	26158E AN9		7/15/2015	1/12/2017	15,225		0	15,203	22	0	0	0	22
49 EBAY INC 2 6% 7/15/22	278842AE3		10/6/2015	9/22/2017	44,838		723	42,046	2,792	0	0	0	2,792
50 ELECTRONIC ARTS INC	285512109		2/10/2017	10/26/2017	3,926		0	2,829	1,097	0	0	0	1,097
51 ELECTRONIC ARTS INC	285512109		2/10/2017	11/20/2017	6,022		0	4,824	1,198	0	0	0	1,198
52 ELECTRONIC ARTS INC	285512109		1/25/2017	11/20/2017	4,947		0	3,746	1,201	0	0	0	1,201
53 EMPIRE STATE REALTY TRU	292104106		1/18/2017	10/31/2017	1,601		0	1,627	-26	0	0	0	-26
54 EMPIRE STATE REALTY TRU	292104106		1/19/2017	10/31/2017	4,003		0	4,044	-41	0	0	0	-41
55 EMPIRE STATE REALTY TRU	292104106		1/20/2017	10/31/2017	600		0	606	-6	0	0	0	-6
56 EMPIRE STATE REALTY TRU	292104106		1/23/2017	10/31/2017	3,202		0	3,272	-70	0	0	0	-70
57 EMPIRE STATE REALTY TRU	292104106		1/20/2017	10/31/2017	400		0	404	-4	0	0	0	-4
58 EMPIRE STATE REALTY TRU	292104106		1/20/2017	11/1/2017	4,591		0	5,051	-460	0	0	0	-460
59 FACEBOOK INC-A	30303M102		8/15/2013	1/3/2017	5,127		0	1,601	3,526	0	0	0	3,526
60 GENERAL ELECTRIC CO	369604103		1/22/2016	6/15/2017	28,766		0	28,998	-232	0	0	0	-232
61 GLAXOSMITHKLINE CAP 5 6	377372AD9		5/7/2015	1/12/2017	31,631		0	33,713	-2,082	0	0	0	-2,082
62 GRUPO TELEVISAO SAB 6 0	40048JAX5		3/6/2015	12/26/2017	45,742		0	50,489	-4,747	0	0	0	-4,747
63 HSBC FINANCE CORP 6 674	40429CGD8		2/6/2015	9/18/2017	36,749		0	38,591	-1,842	0	0	0	-1,842
64 HALLIBURTON CO	406216101		7/2/2010	1/6/2017	9,056		0	4,051	5,005	0	0	0	5,005
65 HARTFORD FINL SVCS GROU	416515104		12/12/2016	11/20/2017	8,943		0	7,756	1,187	0	0	0	1,187
66 HARTFORD FINL SVCS GROU	416515104		10/4/2016	11/20/2017	559		0	436	123	0	0	0	123
67 INCYTE CORPORATION	45337C102		12/19/2016	8/11/2017	5,713		0	4,735	978	0	0	0	978
68 INCYTE CORPORATION	45337C102		10/6/2016	8/11/2017	994		0	754	240	0	0	0	240
69 INTEL CORP	458140100		6/23/2016	1/25/2017	9,805		0	8,575	1,230	0	0	0	1,230

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Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions		Amount	Short Term CG Distributions		0	1,940,173	0	-723	1,716,880	222,570	0	0	0	222,570
Description of Property Sold		CUSIP #	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	FMV as of 12/31/09	Adjusted Basis as of 12/31/09	Excess of FMV Over Adjusted Basis	Gains Minus Excess FMV Over Adj. Basis or Losses
70	INTEL CORP	458140100		8/23/2016	2/10/2017	8,450		0	7,916	534	0	0	0	534
71	INTEL CORP	458140100		8/23/2016	3/13/2017	12,268		0	11,544	724	0	0	0	724
72	INTEL CORP	458140100		6/20/2016	3/13/2017	3,505		0	3,244	261	0	0	0	261
73	INTERCONTINENTAL EXCHA	45866F104		1/17/2013	7/11/2017	17,678		0	7,077	10,601	0	0	0	10,601
74	INTERCONTINENTAL EXCHA	45866F104		1/17/2013	8/30/2017	8,422		0	3,407	5,015	0	0	0	5,015
75	JPMORGAN CHASE & CO 3	46625HJ04		2/2/2015	6/2/2017	51,014		0	50,591	423	0	0	0	423
76	JOHNSON & JOHNSON	478160104		7/8/2015	1/31/2017	11,851		0	10,397	1,454	0	0	0	1,454
77	JOHNSON & JOHNSON	478160104		8/24/2015	1/31/2017	21,445		0	17,527	3,918	0	0	0	3,918
78	KROGER CO/THE	50104C22	2.95%	2/23/2015	10/11/2017	35,436		0	35,540	-104	0	0	0	-104
79	LILLY ELI & CO	532457108		1/20/2016	6/7/2017	7,831		0	8,176	-245	0	0	0	-245
80	LILLY ELI & CO	532457108		1/20/2016	10/30/2017	7,458		0	7,359	99	0	0	0	99
81	MARATHON PETROLEUM CO	56585A102		5/5/2016	1/30/2017	15,959		0	12,553	3,406	0	0	0	3,406
82	MARATHON PETROLEUM CO	56585A102		2/11/2016	1/30/2017	2,902		0	1,809	1,093	0	0	0	1,093
83	MARATHON PETROLEUM CO	56585A102		2/11/2016	2/1/2017	18,035		0	11,459	6,576	0	0	0	6,576
84	MERCK & CO INC	58933Y105		6/26/2017	10/25/2017	4,894		0	5,288	-292	0	0	0	-292
85	MERCK & CO INC	58933Y105		8/11/2016	10/25/2017	4,369		0	4,458	-89	0	0	0	-89
86	MICRON TECHNOLOGY INC	595112103		1/3/2017	6/13/2017	3,118		0	2,240	878	0	0	0	878
87	MICRON TECHNOLOGY INC	595112103		1/3/2017	7/25/2017	10,159		0	7,614	2,545	0	0	0	2,545
88	MICRON TECHNOLOGY INC	595112103		12/7/2016	7/25/2017	9,561		0	6,432	3,129	0	0	0	3,129
89	MICRON TECHNOLOGY INC	595112103		12/7/2016	7/27/2017	5,927		0	2,613	3,314	0	0	0	3,314
90	MICRON TECHNOLOGY INC	595112103		11/14/2016	7/27/2017	13,897		0	8,142	5,755	0	0	0	5,755
91	MICRON TECHNOLOGY INC	595112103		11/14/2016	8/3/2017	13,862		0	8,852	5,012	0	0	0	5,012
92	MICRON TECHNOLOGY INC	595112103		11/11/2016	8/3/2017	3,327		0	2,111	1,216	0	0	0	1,216
93	MICRON TECHNOLOGY INC	595112103		11/11/2016	8/7/2017	10,443		0	6,509	3,934	0	0	0	3,934
94	NIKE INC CLASS B	654106103		7/19/2016	9/14/2017	6,404		0	6,916	-512	0	0	0	-512
95	NIKE INC CLASS B	654106103		4/21/2016	9/14/2017	10,673		0	10,017	656	0	0	0	656
96	NVIDIA CORP	67066G104		11/11/2016	6/13/2017	5,382		0	3,127	2,255	0	0	0	2,255
97	NVIDIA CORP	67066G104		11/11/2016	6/16/2017	3,502		0	1,898	1,604	0	0	0	1,604
98	PALO ALTO NETWORKS INC	697435105		3/25/2015	3/1/2017	4,535		0	5,416	-881	0	0	0	-881
99	PALO ALTO NETWORKS INC	697435105		5/6/2016	3/1/2017	13,139		0	15,134	-1,995	0	0	0	-1,995
100	PANERA BREAD CO CL A	69840W108		4/19/2016	4/6/2017	1,872		0	1,301	571	0	0	0	571
101	PANERA BREAD CO CL A	69840W108		5/9/2016	4/6/2017	6,552		0	4,547	2,005	0	0	0	2,005
102	PANERA BREAD CO CL A	69840W108		5/9/2016	5/25/2017	16,830		0	11,693	5,137	0	0	0	5,137
103	PANERA BREAD CO CL A	69840W108		5/9/2016	6/5/2017	5,024		0	3,464	1,560	0	0	0	1,560
104	PANERA BREAD CO CL A	69840W108		4/18/2016	6/5/2017	5,338		0	3,583	1,755	0	0	0	1,755
105	PANERA BREAD CO CL A	69840W108		4/18/2016	7/19/2017	9,765		0	6,534	3,231	0	0	0	3,231
106	PEPSICO INC	713448108		11/29/2004	1/6/2017	15,903		0	7,667	8,236	0	0	0	8,236
107	PFIZER INC	717081103		10/15/2009	5/24/2017	25,794		0	14,100	11,694	0	0	0	11,694
108	PIONEER NATURAL RESOUR	723787107		11/30/2016	3/6/2017	7,746		0	6,885	861	0	0	0	861
109	PIONEER NATURAL RESOUR	723787107		10/7/2015	3/6/2017	367		0	270	97	0	0	0	97
110	PROCTER & GAMBLE CO	742718109		11/29/2004	5/12/2017	16,362		0	8,385	7,976	0	0	0	7,976
111	QUALCOMM INC	747525103		10/27/2016	3/17/2017	7,530		0	9,064	-1,534	0	0	0	-1,534
112	QUALCOMM INC	747525103		10/14/2016	3/17/2017	5,213		0	5,857	-644	0	0	0	-644
113	QUALCOMM INC	747525103		10/14/2016	4/20/2017	524		0	651	-127	0	0	0	-127
114	QUALCOMM INC	747525103		9/2/2016	4/20/2017	8,914		0	10,684	-1,770	0	0	0	-1,770
115	QUALCOMM INC	747525103		9/2/2016	5/11/2017	8,182		0	9,427	-1,245	0	0	0	-1,245
116	QUALCOMM INC	747525103		9/2/2016	5/30/2017	8,030		0	8,719	-689	0	0	0	-689
117	QUALCOMM INC	747525103		9/8/2016	5/30/2017	6,309		0	6,783	-474	0	0	0	-474
118	SCHLUMBERGER LTD	806857108		1/19/2017	5/19/2017	8,493		0	7,851	642	0	0	0	642
119	SCHLUMBERGER LTD	806857108		1/6/2017	5/19/2017	2,164		0	2,599	-435	0	0	0	-435
120	SCHLUMBERGER LTD	806857108		1/6/2017	6/21/2017	4,579		0	6,064	-1,485	0	0	0	-1,485
121	SCHLUMBERGER LTD	806857108		5/24/2004	6/21/2017	3,525		0	1,748	1,777	0	0	0	1,777
122	SYNCHRONY FINANCIAL	871658103		10/5/2016	11/20/2017	10,843		0	8,956	1,887	0	0	0	1,887
123	TAIWAN SEMICONDUCTOR N	874039100		11/16/2016	6/8/2017	5,145		0	4,178	967	0	0	0	967
124	TAIWAN SEMICONDUCTOR N	874039100		11/16/2016	6/19/2017	3,215		0	2,686	529	0	0	0	529
125	TAIWAN SEMICONDUCTOR N	874039100		11/16/2016	7/5/2017	9,387		0	8,058	1,329	0	0	0	1,329
126	TAIWAN SEMICONDUCTOR N	874039100		6/30/2016	7/5/2017	1,043		0	788	255	0	0	0	255
127	TELEFONICA EMISIONES S	87938WAM5		2/4/2015	3/7/2017	48,264		0	50,919	-2,655	0	0	0	-2,655
128	TESARO INC	881569107		1/31/2017	10/19/2017	9,338		0	13,183	-3,847	0	0	0	-3,847
129	TEVA PHARMA FIN IV LL 2	88167AAE1		3/16/2016	4/10/2017	19,839		0	20,096	-257	0	0	0	-257
130	TEVA PHARMACEUTICALS	88167AAE1		9/12/2016	10/18/2017	22,548		0	24,846	-2,298	0	0	0	-2,298
131	TEVA PHARMACEUTICALS	88167AAE1		4/10/2017	10/18/2017	18,039		0	18,592	-553	0	0	0	-553
132	TIME WARNER INC	887317303		8/13/2014	3/16/2017	21,653		0	16,314	5,339	0	0	0	5,339
133	TIME WARNER INC	887317303		5/9/2016	3/16/2017	1,968		0	1,482	486	0	0	0	486
134	TIME WARNER INC	887317303		5/9/2016	3/17/2017	17,709		0	13,335	4,374	0	0	0	4,374
135	TIME WARNER INC	887317303		1/8/2016	6/29/2017	8,987		0	6,518	2,469	0	0	0	2,469
136	TIME WARNER INC	887317303		1/8/2016	11/8/2017	10,985		0	8,691	2,294	0	0	0	2,294
137	TIME WARNER INC	887317303	4.0%	3/26/2015	5/9/2017	47,112		0	48,066	-954	0	0	0	-954
138	TWENTY FIRST CENTURY FI	90130A101		3/17/2017	12/15/2017	9,530		0	8,368	1,162	0	0	0	1,162

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Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions		Amount																			
Short Term CG Distributions		130,328	0	1,940,173		0	-723	1,716,880	222,570	0	0	0	0	0	0	0	0	0	0	222,570	
Description of Property Sold	CUSIP #	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	FMV as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adjusted Basis	Gain Minus Excess FMV Over Adj. Basis or Losses								
139	UNITED TECHNOLOGIES CO	913017109	3/15/2004	1/13/2017	36,788		0	14,732	22,086	0	0	0	2,166								
140	UNIVERSAL HEALTH SERVIC	913903100	8/2/2015	6/18/2017	7,263		0	9,736	1,473	0	0	0	-1,473								
141	UNIVERSAL HEALTH SERVIC	913903100	8/2/2015	6/19/2017	15,226		0	18,304	-3,078	0	0	0	-3,078								
142	VERIZON COMMUNICATIONS	92343V104	7/7/2010	5/4/2017	2,295		0	1,308	987	0	0	0	987								
143	VERIZON COMMUNICATIONS	92343V104	8/6/2010	5/4/2017	10,556		0	6,763	3,793	0	0	0	3,793								
144	VOYA FINANCIAL INC	926089100	10/19/2015	11/13/2017	6,046		0	8,796	2,500	0	0	0	2,500								
145	WELLS FARGO & COMPANY	949748101	1/24/2017	5/22/2017	7,392		0	7,701	-309	0	0	0	-309								
146	WELLS FARGO & COMPANY	949748101	7/2/2010	5/22/2017	528		0	254	274	0	0	0	274								
147	WELLS FARGO & COMPANY	949748101	7/2/2010	7/1/2017	34,678		0	15,680	18,998	0	0	0	18,998								
148	WELLS FARGO & COMPANY	949748G66	10/17/2016	3/8/2017	40,195		0	40,788	-591	0	0	0	-591								
149	WILLIAMS COS INC	969457100	9/27/2016	1/18/2017	22,535		0	24,405	-1,870	0	0	0	-1,870								
150	ZIMMER BIOMET HOLDINGS	98956P102	5/28/2016	8/10/2017	25,720		0	28,545	-2,825	0	0	0	-2,825								
151	ACCENTURE PLC CL A	G1151C101	3/17/2017	4/5/2017	3,671		0	3,874	-203	0	0	0	-203								
152	ACCENTURE PLC CL A	G1151C101	3/17/2017	6/8/2017	5,038		0	4,969	39	0	0	0	39								
153	ACCENTURE PLC CL A	G1151C101	3/17/2017	6/21/2017	6,722		0	6,623	99	0	0	0	99								
154	ACCENTURE PLC CL A	G1151C101	3/17/2017	6/26/2017	6,500		0	6,623	-123	0	0	0	-123								
155	ACCENTURE PLC CL A	G1151C101	1/128/2016	6/24/2017	7,236		0	7,145	91	0	0	0	91								
156	ACCENTURE PLC CL A	G1151C101	1/18/2016	6/23/2017	16,218		0	10,881	5,237	0	0	0	5,237								
157	ACCENTURE PLC CL A	G1151C101	1/128/2016	6/28/2017	2,849		0	2,806	43	0	0	0	43								
158	EATON CORP PLC	G29183103	11/30/2012	8/8/2017	12,483		0	7,325	5,158	0	0	0	5,158								
159	NABORS INDUSTRIES LTD	G6359F103	8/23/2016	10/25/2017	124		0	211	87	0	0	0	87								
160	NABORS INDUSTRIES LTD	G6359F103	8/23/2016	10/25/2017	4,716		0	8,172	-3,456	0	0	0	-3,456								
161	NABORS INDUSTRIES LTD	G6359F103	8/24/2016	10/25/2017	2,210		0	3,800	-1,590	0	0	0	-1,590								
162	NABORS INDUSTRIES LTD	G6359F103	8/25/2016	10/25/2017	1,481		0	2,510	-1,029	0	0	0	-1,029								
163	NABORS INDUSTRIES LTD	G6359F103	8/26/2016	10/25/2017	2,993		0	4,833	-2,030	0	0	0	-2,030								
164	NABORS INDUSTRIES LTD	G6359F103	3/7/2017	10/25/2017	98		0	146	-47	0	0	0	-47								
165	NABORS INDUSTRIES LTD	G6359F103	5/19/2017	10/25/2017	5,155		0	9,093	-3,938	0	0	0	-3,938								
166	NABORS INDUSTRIES LTD	G6359F103	3/6/2017	10/25/2017	808		0	2,057	-1,249	0	0	0	-1,249								
167	NABORS INDUSTRIES LTD	G6359F103	3/7/2017	10/25/2017	4,618		0	11,716	-7,098	0	0	0	-7,098								
168	BROADCOM LTD	Y09827109	12/2/2016	3/17/2017	10,201		0	7,548	2,653	0	0	0	2,653								
169	BROADCOM LTD	Y09827109	9/20/2013	3/17/2017	11,310		0	2,145	9,165	0	0	0	9,165								
170	BROADCOM LTD	Y09827109	9/20/2013	4/5/2017	4,842		0	925	3,917	0	0	0	3,917								
171	BROADCOM LTD	Y09827109	7/25/2017	10/17/2017	10,212		0	10,677	-465	0	0	0	-465								
172	BROADCOM LTD	Y09827109	9/20/2013	10/17/2017	7,761		0	1,340	6,435	0	0	0	6,435								
173	BROADCOM LTD	Y09827109	9/20/2013	10/26/2017	7,336		0	1,262	6,074	0	0	0	6,074								
174	BROADCOM LTD	Y09827109	9/20/2013	11/7/2017	10,505		0	1,641	8,864	0	0	0	8,864								
175	BROADCOM LTD	Y09827109	9/20/2013	12/14/2017	11,114		0	1,809	9,305	0	0	0	9,305								

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Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

											161,248	0	0
	Name	Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Avg Hrs Per Week	Compensation	Benefits	Expense Account	
1	BNY Mellon N A	X	P O Box 185	Pittsburgh	PA	15230-0185		CO-TRUSTEE	SEE ATTAC	116 970	NONE	NONE	
2	BNY Mellon N A	X	P O Box 185	Pittsburgh	PA	15230-0185		FEE	REIMBURS	-41,116	N/A	N/A	
3	ALBERT J CARDINALI ESQ	X	80 TURNBERRY DRIVE	MONROE TOWNSHIP	NJ	08831		CO-TRUSTEE	5-10	85 395	NONE	NONE	

Part VI, Line 6a (990-PF) - Estimated Tax Payments

	Date		Amount
1 Credit from prior year return		1	6,249
2 First quarter estimated tax payment		2	
3 Second quarter estimated tax payment	6/8/2017	3	7,800
4 Third quarter estimated tax payment	9/11/2017	4	4,460
5 Fourth quarter estimated tax payment	12/8/2017	5	1,430
6 Other payments		6	
7 Total		7	19,939