Form **990-PF** 

## Return of Private Foundation or Section 4947(a)(1) Trust Treated as Private Foundation

Department of the Treasury Internal Revenue Service Do not enter social security numbers on this form as it may be made public.

Go to www.irs.gov/Form990PF for instructions and the latest information

OMB No 1545-0052

Open to Public Inspection

÷	01 0	alendar year 2017 or tax year beginning	, 2017	, and ending	•	
]	Hari	riet & M. Fred Cartoun			Employer identification nu 13-6161735	umber
]	PO I	idation, Inc. Box 378		Ī	Telephone number (see ins 203-270-0806	structions)
]	Newt	town, CT 06470		j	If exemption application is	s nending check here
G	G Ch	neck all that apply Initial return Final return	Initial return of a form	mor public chards	1 Foreign organizations, che	
_		Address change	Name change		2 Foreign organizations mee	tion the 85% test check
H	1 Ch	eck type of organization. Section 501	(c)(3) exempt private i	foundation @[/	here and attach computate	on ► [_]
ī	Fair	Section 4947(a)(1) nonexempt charitable to rmarket value of all assets at end of year J According to the section of the sectio	ounting method X C		If private foundation status under section 507(b)(1)(A)	s was terminated ), check here
	(tro	om Part II, column (c), line 16)	Other (specify)	- II.	If the foundation is in a 60	ت -month termination
Ī	Part	. 034,474.	column (d) must be or		under section 507(b)(1)(B	), check here
; '-		Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
•		1 Contributions, gifts, grants, etc., received (attach schedule) 2 Check ► X if the foundation is not required to attach Sch. B				
		3 Interest on savings and temporary cash investments 4 Dividends and interest from securities				
		4 Dividends and interest from securities 5 a Gross rents	28,164.	28,164	. 28,164.	
		b Net rental income or (loss)				
	Ŗ	6 a Net gain or (loss) from sale of assets not on line 10 b Gross sales price for all	920.			
	R E V E N	assets on line 6aZI, bbU.				
		7 Capital gain net income (from Part IV, line 2) 8 Net short-term capital gain		920	•	
	U E	9 Income modifications		<del></del>		
	_	10 a Gross sales less returns and allowances	-		+	
		b Less Cost of goods sold	<del></del>			
		C Gross profit or (loss) (attach schedule).			<u> </u>	
		11 Other income (attach schedule)				
		12 Total. Add lines 1 through 11	20 004	20 004	00.151	
_		13 Compensation of officers, directors, trustees, etc.	29,084. 0.	29,084	28,164.	
		14 Other employee salaries and wages 15 Pension plans, employee benefits				
		16 a Legal fees (attach schedule)				
	Ď M	<b>b</b> Accounting fees (attach sch) See St 1	4,000.	500	. 500.	2.500
_	I N	c Other professional fees (attach sch).	1,000.	300	. 500.	3,500.
O P F		17 Interest 18 Taxes (attach schedule)(see instrs) See Stm 2				
RAT	T R A T	18 Taxes (attach schedule)(see instrs) SEE STM 2  19 Depreciation (attach schedule) and depletion	572.			572.
∦'n	ĺ	20 Occupancy				
G		21 Travel, conferences, and meetings		·	<del>                                     </del>	
J A N D	X	22 Printing and publications. 23 Other expenses (attach schedule)				
	EXPERSES	See Statement 3	255.			255
- 1	S E	24 Total operating and administrative		<del></del>		255.
Ē	S	expenses Add lines 13 through 23 25 Contributions, gifts, grants paid Part XV	4,827.	500	500.	4,327.
Š		26 Total expenses and disbursements.	31,750.			31,750.
₽—		Add lines 24 and 25  27 Subtract line 26 from line 12	36,577.	500	500.	36,077.
		a Excess of revenue over expenses and disbursements	7 400			
i	ļ	b Net investment income (if negative, enter -0 )		28,584		
	Ì	C Adjusted net income (if negative, enter -0-)		20,384	27 664	

Page 2

Part	$\overline{\Pi}$	Balance Sheets column should be for end-of-year amounts only	Beginning of year	End 0	<del></del> _
		(See instructions )	(a) Book Value	(b) Book Value	(c) Fair Market Value
		Cash - non-interest-bearing	<u> </u>		
		Savings and temporary cash investments	49,615.	32,426.	32,426.
	3	Accounts receivable			
	_	Less. allowance for doubtful accounts	ļ		<u> </u>
	4	Pledges receivable			
	_	Less allowance for doubtful accounts			<del></del>
	5	Grants receivable			<del></del>
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions).			
	7	Other notes and loans receivable (attach sch) .			
ŝ		Less: allowance for doubtful accounts			
A S E T	8	Inventories for sale or use	<u> </u>	<del></del>	<del></del>
Ţ	9	Prepaid expenses and deferred charges			
S		a Investments — U.S. and state government obligations (attach schedule)		· <del></del>	
		b Investments — corporate stock (attach schedule) Statement 4	263,090.	260,931.	365,265.
		c Investments — corporate bonds (attach schedule) Statement 5	236,890.	217,239.	220,745.
İ	11	Investments – land, buildings, and equipment basis			
1		Less accumulated depreciation (attach schedule)			
		Investments - mortgage loans	44,117.	43,058.	41,838.
1		Investments — other (attach schedule) Statement 6	133,775.	164,198.	194,000.
	14	Land, buildings, and equipment basis ►			
		Less accumulated depreciation (attach schedule)			
	15	Other assets (describe See Statement 7 )  Total assets (to be completed by all filers —	80.	108.	
	10	see the instructions Also, see page 1, item I)	727,567.	717,960.	854,274.
Ļ	17	Accounts payable and accrued expenses			
Å	18	Grants payable			
В	19	Deferred revenue			
L	20	Loans from officers, directors, trustees, & other disqualified persons			
l T	21	Mortgages and other notes payable (attach schedule)			
į	22	Other liabilities (describe			
E S	23	Total liabilities (add lines 17 through 22)	0.	0.	}
		Foundations that follow SFAS 117, check here and complete lines 24 through 26, and lines 30 and 31.			
ΝF	24	Unrestricted			
E U	25	Temporarily restricted			
מ	26	Permanently restricted			
A B S A L A		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.			
E L	27	Capital stock, trust principal, or current funds	727,567.	717,960.	
SN	28	Pard-in or capital surplus, or land, bldg, and equipment fund			
o E	29	Retained earnings, accumulated income, endowment, or other funds			]
ŘŠ	30	Total net assets or fund balances (see instructions)	727,567.	717,960.	]
	31	Total liabilities and net assets/fund balances (see instructions)	727,567.	717,960.	
Parl	111	Analysis of Changes in Net Assets or Fund Balance	es		
1	Tota end-	Il net assets or fund balances at beginning of year — Part II, coli of-year figure reported on prior year's return)	umn (a), line 30 (must aç	gree with	727,567.
2	Ente	er amount from Part I, line 27a		2	-7,493.
3	Other	increases not included in line 2 (Itemize)		3	
4		lines 1, 2, and 3.	<del></del>	4	720,074.
5		eases not included in line 2 (itemize)  See Statement		5	2,114.
6	Tota	Il net assets or fund balances at end of year (line 4 minus line 5	) – Part II, column (b), li	ne 30   <b>6</b>	717,960.

	he kind(s) of property sold (for examplouse, or common stock, 200 shares		(b) How ac P — Purch D — Dona	hase	(C) Date acquired (mo , day, yr )	(d) Date sold (mo, day, yr)
1a 913.77 GNMA		<del></del>	P		2/24/12	Various
b 20000 VERIZON COMM	INC		P		4/02/08	4/24/17
С						
d						
e						
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other ba plus expense of sa			(h) Gain or ((e) plus (f) m	
a 995.			1,060.			-65
b 20,665.		1	9,680.			985
С						
d		<del></del>				
e						
Complete only for assets show	ing gain in column (h) and owned b	by the foundation on 12/31	/69		(I) Gains (Col.	
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col (j), if any			ain minus col (k), b an -0-) <mark>or L</mark> osses (fr	
a						-65
b						985
С						
d						
е		<del> </del>				
2 Capital gain net income or (nei	t capital loss) If gain, also	enter in Part I, line 7 ter -0- in Part I, line 7	-	2		920
3 Net short-term capital gain or (	(loss) as defined in sections 1222(5)	_				520
If gain, also enter in Part I, line in Part I, line	e 8, column (c) See instructions If	(loss), enter -0-	- :	3		(
Part V Qualification Under	Section 4940(e) for Reduce	d Tax on Net Investn	ent Inc	ome	<del>'</del>	
For optional use by domestic private for	oundations subject to the section 4940	(a) tax on net investment in	come )		N/A	
f section 4940(d)(2) applies, leave the Vas the foundation liable for the sec f 'Yes,' the foundation doesn't qualif	stion 4942 tax on the distributable a		oase perio	d?	Yes	No
1 Enter the appropriate amount in e	each column for each year, see the ins	structions before making any	entries			<del></del>
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use as			(d) Distribution (col (b) divided	
2016						
2015	<del></del>					
2014						
2013				<del> </del>	<del></del>	
2012					<del></del>	
2 Total of line 1, column (d)				2	<del></del>	
Average distribution ratio for the sumber of years the foundation	5-year base period – divide the total c n has been in existence if less than	on line 2 by 5 0, or by the 5 years		3	\ 	
number of years the foundation	5-year base period – divide the total on has been in existence if less than stable-use assets for 2017 from Par	5 years		3		
number of years the foundation	n has been in existence if less than	5 years				
number of years the foundation  4 Enter the net value of nonchar	n ĥas been in existence if less than itable-use assets for 2017 from Par	5 years		4		
number of years the foundation  4 Enter the net value of nonchar  5 Multiply line 4 by line 3	n ĥas been in existence if less than itable-use assets for 2017 from Par	5 years		5		
number of years the foundation  4 Enter the net value of nonchar  5 Multiply line 4 by line 3  6 Enter 1% of net investment inc	n has been in existence if less than itable-use assets for 2017 from Par come (1% of Part I, line 27b)	5 years		5		

Par	t VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instru	ctions	)		- ·	
	Exempt operating foundations described in section 4940(d)(2), check here					
	Data of ruling or determination letter. (attach copy of letter if necessary – see instructions)					
b	Domestic foundations that meet the section 4940(e) requirements in Part V,	_		5	72.	
	check here ► and enter 1% of Part I, line 27b					
С	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)				]	
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)				0.	
3	Add lines 1 and 2			5	72.	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)			<u>_</u>	0.	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			5	72.	
6	Credits/Payments					
а	2017 estimated tax pmts and 2016 overpayment credited to 2017					
b	Exempt foreign organizations — tax withheld at source				- [	
С	Tax paid with application for extension of time to file (Form 8868)  6c				ļ	
d	Backup withholding erroneously withheld 6d				[	
7	Total credits and payments Add lines 6a through 6d 7			6	80.	
8	Enter any penalty for underpayment of estimated tax. Check here. If Form 2220 is attached.					
9	Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed				0.	
10	Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid			1	08.	
11	Enter the amount of line 10 to be Credited to 2018 estimated tax				<u>0.</u>	
Par	t VII-A   Statements Regarding Activities			<del></del>		
1 a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		1 a	Yes	No X	
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition		1 b		<u>X</u>	
	If the answer is 'Yes' to <b>1a</b> or <b>1b</b> , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities					
	Did the foundation file Form 1120-POL for this year?		1 c		X	
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year  (1) On the foundation   (2) On foundation   (3)		1	Ì		
е	(1) On the foundation	0.				
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	-	2		X	
_	If 'Yes,' attach a detailed description of the activities		-			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes	-	3			
<b>4</b> a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?		4 a		X	
b	If 'Yes,' has it filed a tax return on Form 990-T for this year?		4 b	N	/A	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?		5		Х	
	If 'Yes,' attach the statement required by General Instruction T	ĺ		1		
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either  • By language in the governing instrument, or					
	<ul> <li>By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?</li> </ul>	}-	6	X		
7	Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, col (c), and Part XV	t	7	X		
	Enter the states to which the foundation reports or with which it is registered. See instructions					ĺ
	NY	_				
b	If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? If 'No,' attach explanation	}_	8 b	X		  -
9	Is the foundation claiming status as a private operating foundation within the meaning of section $4942(j)(3)$ or $4942(j)(3)$ or calendar year 2017 or the tax year beginning in 2017? See the instructions for Part XIV If 'Yes,' complete Part XIV.	()(5) XIV	9		X	1
10	Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses		10		Х	
BAA		For	m <b>990</b>	PF (2		

11 At any time during the year, did the foundation, directly or indirectly, given a controlled indity within the meaning of section \$12(0).31 / 17 (s.; attach statement). See instructions.  12 Did the foundation make a distribution to a divery advand fixed over which the foundation or a disqualified person had advisively mynietige? If Yes, it stach statement. See instructions.  13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?  14 The books are in care of * Me1 is sa Capiez 10.  15 Section 497(2)(1) nonexempt charactel trusts fitting form 990-PF in lieu of Form 1041 — check here and enter the amount of tax-exempt interest received or accrued during the year * 15 N/A*  16 At any time during calendary ear 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?  16 At any time during calendary ear 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?  16 At any time during calendary ear 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?  17 See the instructions for executions and faling requirements for FinCEN Form 114. If Yes, enter the name of the foreign country.  18 The TVII-B Statements Regarding Activities for Whilch Form 4720 May Be Required  19 Fite Form 4720 if any tiem is checked in the Yes' column, unless an exception applies.  1 a During the year, did the foundation (pather during the preson?  1 Capies and the sale or exchange, or lessing of property with a disqualified person?  2 Yes Six No.  2 Borrow money from, find money to, or otherwise extend credit to (or accept throm) a disqualified person?  3 Fite Form 4720 if any tiem is checked in the Yes' column, unless an exception applies.  1 Distribution of the secondary of the preson of the pr	Par	t VII-A	Statements Regarding Activities (continued)					
advisory privileges? If Yes, attach statement See instructions  12	11	At any tu within th	me during the year, did the foundation, directly or indirectly, own a controlled entity e meaning of section 512(b)(13)? If 'Yes,' attach schedule See instructions			11	Yes	
13 bit the foundation comply with the public inspection requirements for its annual returns and exemption application?   13   X	12	Did the fo	undation make a distribution to a donor advised fund over which the foundation or a disqualified persi privileges? If 'Yes,' attach statement See instructions	on had		12		Х
14 The books are in care of * Mellssa Capezio Telephone no * 203-270-0806   06470   06	13	Did the f	oundation comply with the public inspection requirements for its annual returns and exemption	n applica	tion?	13	X	
15 Section 4947(a)(1) oncexempt charitable trusts filing form 990-PF in lieu of form 1041 – check here and enter the amount of lax-exempt interest received or accrued during the year  16 At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?  See the instructions for exceptions and filing requirements for finCEN Form 114. If "Yes," enter the name of the foreign country?  See the instructions for exceptions and filing requirements for finCEN Form 114. If "Yes," enter the name of the foreign country?  File Form 4720 flary item is checked in the "Yes" column, unless an exception applies.  1 a During the year, did the foundation (either directly or indirectly)  (1) Engage in the sale or exchange, or leasing of property with a disqualified person?  (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?  (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  (4) Pay compensation to, or pay or reimbruse the expenses of, a disqualified person?  (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?  (6) Agree to pay money or property to a government official? (Exception, Check, No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if termination within 90 days)  bit any answer is, Yes' to 1a(1)—(6), did any of the acts fail to qualify under the exceptions described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?  2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private longering foundation of distribute income (section 4942) (does not apply for years the foundation was a private longering foundation of the year's understing d	14		**/ ** <u> </u>	no ►	203-2	70-0	 806_	
and enter the amount of tax-exempt interest received or accrued during the year  16 At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bark, secrities, or other financial account in a foreign country?  See the instructions for exceptions and filling requirements for FinCEN Form 114, it "Yes," senter the name of the foreign country?  Part VII-B   Statements Regarding Activities for Which Form 4720 May Be Required  Fille Form 4720 if any item is checked in the "Yes' column, unless an exception applies.  1 a During the year, did the foundation (either directly or indirectly)  (1) Engage in the sale or exchange, or leasing of property with a disqualified person?  (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?  (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?  (6) Agree to pay money or property to a government official? (Exception, Check 'No' if the foundation agreed to make a graft to or to emitty the official for a period differ termination or of povernment service, it terminating within 90 days;  (6) Agree to pay money or property to a government official? (Exception, Check 'No' if the foundation agreed to make a graft to or to emitty the official for a period differ termination or official to a period dissister assistence? See instructions  Organizations relying on a current notice regarding dissister assistance? See instructions  Organizations relying on a current notice regarding dissister assistance? See instructions  Organizations relying on a current problem graphing dissister assistance. See instructions  Organizations relying to a current notice regarding dissister assistance. See instructions  Organizations r	15			064	70	 N_/Ā	 	·ГΤ·
See the instructions for exceptions and filling requirements for FinCEN Form 114. If Yes, enter the name of the foreign country.    See the instructions for exceptions and filling requirements for FinCEN Form 114. If Yes, enter the name of the foreign country.    Part VII-B   Statements Regarding Activities for Which Form 4720 May Be Required   File Form 4720 if any item is checked in the Yes' column, unless an exception applies.   1a During the year, did the foundation (either directly or indivectly)   (1) Engage in the sale or exchange, or leasing of property with a disqualified person?   Yes   X No     1a During the year, did the foundation (either directly or indivectly)   (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?   Yes   X No     (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?   Yes   X No     (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?   Yes   X No     (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)   Yes   X No     (6) Agres to say maney or property to a government official? (Seception, Check No: if the foundation agreed in mela a grant to or employ the official for a period after termination of government service, if terminating within 96 days)   Yes   X No     bit any answer is 'Yes' to 1a(1)—(6), did any of the acts fail to qualify under the exceptions described in Regulations section 39 491 (0)—3 or in a current notice regarding disaster assistance. See instructions of government service, if terminating within 96 days)   Yes   X No     Different foundation of the properties				. •	15			
enter the name of the foreign country Part VI-B   Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.  1 a During the year, did the foundation (either directly or indirectly)  (1) Engage in the sale or exchange, or leasing of property with a disqualified person?	16	At any tin bank, se	ne during calendar year 2017, did the foundation have an interest in or a signature or other authority of curities, or other financial account in a foreign country?	over a		16	Yes	
File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.  1 a During the year, did the foundation (either directly or indirectly)  (1) Engage in the sale or exchange, or leasing of property with a disqualified person?  (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?  (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?  (6) Agree to pay money or property to a government official? (Exception, Check: 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)  b) If any answer is 'Yes' to 1a(1)- (6), citif any of the acts fail to qualify under the exceptions described in Regulations section 549(-(6), citif any of the acts fail or qualify under the exceptions described in Regulations section 549(-(6), citif any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?  2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(0)(3) or 4942(0)(3) or 4942(0)(3)  a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax years or section 4942(0) and 4942(0) or 4942(0)(2) (relating to incorrect valuation of asset's) to the years undistributed income? ((fraphying section 4942(a)(2)) (relating to incorrect valuation of asset's) to the year's undistributed income? ((fraphying section 4942(a)(2)) to all years fisted, answer No' and attach statement — see instructions?  1) I' Yes, i'd if they excess business holdings in 2017 as a result of (1								
1 a During the year, did the foundation (either directly or indirectly)  (1) Engage in the sale or exchange, or leasing of property with a disqualified person?  (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?  (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  (4) Pey compensation to, or pay or reimburse the expenses of, a disqualified person?  (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?  (6) Agree to pay money or properly to a government official? (Exception, Check, 'No', if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).  b) If any answer is 'Yes' to 1a(1)—(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance? See instructions organizations relying on a current notice regarding disaster assistance?  c) Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?  1 Taxes on failure to distribute income (section 4942(d)(3) or 4942(d)(5)) for years the foundation was a private operating foundation defined in section 4942(d)(3) or 4942(d)(5)) for years the foundation was a private operating foundation defined in section 4942(d)(3) or 4942(d)(5))  a) At the end of tax year 2017, did the foundation have any undistributed income? (lines 6d and 6e, Part XIII) for tax year(5) beginning before 2017?  b) Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) to all years listed, answer 'No' and attach statement — see instructions of the section 4942	Par	t VII-B	Statements Regarding Activities for Which Form 4720 May Be Required					
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<del></del>	t	jeopardizi	e its charitable purpose that had not been removed from jeopardy before the first day of			4 -		
	BAA		ear beginning in 2017		Fo		0-PF	

Form 990-PF (2017) Harriet & M. Fred Part VII-B   Statements Regarding Activiti		4720 May Ro Bog	13-61(	61735	P	age 6
5a During the year, did the foundation pay or incur a		14720 may be hed	uneu (commueu)	<del></del>	V1	Al-
(1). Carry on propaganda, or otherwise attempt	•	n (section 4945(e))?	Yes X	No	Yes	No
(2) Influence the outcome of any specific pub on, directly or indirectly, any voter registra	lic election (see section	` ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' '		No		
(3) Provide a grant to an individual for travel,		purposes?	_	No		
(4) Provide a grant to an organization other than in section 4945(d)(4)(A)? See instructions	a charitable, etc., organi	zation described	Yes X	No		
(5) Provide for any purpose other than religio educational purposes, or for the preventio			☐ Yes X	No		
b If any answer is 'Yes' to 5a(1)—(5), did any of described in Regulations section 53 4945 or in a case instructions			otions	5b	N	/A
Organizations relying on a current notice rega			•		1	
c If the answer is 'Yes' to question 5a(4), does tax because it maintained expenditure respon	the foundation claim ex sibility for the grant?	emption from the	N/A Yes	No		}
If 'Yes,' attach the statement required by Regi	ulations section 53 4945	5–5(d).		·		
6 a Did the foundation, during the year, receive are on a personal benefit contract?		•	Yes	No		
<b>b</b> Did the foundation, during the year, pay prem If 'Yes' to 6b, file Form 8870	iums, directly or indirec	tly, on a personal bene	fit contract?	6 b	<del>  </del>	<u>X</u>
<b>7a</b> At any time during the tax year, was the found	dation a party to a proh	ibited tax shelter transa	ection? Yes X	No		
<b>b</b> If 'Yes,' did the foundation receive any proceed				V/A 7b		
Part VIII Information About Officers, D and Contractors	irectors, Trustees,	Foundation Manag	gers, Highly Paid E	mployee	s,	
1 List all officers, directors, trustees, and found	dation managers and th	neir compensation. See	instructions.			
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expension		
Alan R. Cartoun	Secretary	0.	0.			0.
15 West 53rd, Apt 16H	0					
New York, NY 10019 Andrew Cartoun	President	0.	0.	}		0.
9 Newfield Avenue	0		· ·			٥.
Newtown, CT 06470		 	<u></u>	<u> </u>		
		l I				
			<u></u>	<del> </del>		
2 Compensation of five highest-paid employees (of	المرابعة الم		> 16			
2 Compensation of five highest-paid employees (or		on line 1 – see instructio	(d)Contributions to	<del>'</del>		
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	employee benefit plans and deferred compensation	(e) Expen other	se acc allowar	ount, ices
None						
				<u> </u>		
	1	ł		}		
	<u> </u>	<b> </b>	<u> </u>	<u> </u>		
				ļ		
			<b>,</b>			
Total number of other employees and over \$50.000		L				
Total number of other employees paid over \$50,000	J TEE 403001 0			Form 99	n DE /2	0

3 Five highest-paid independent contractors for professional services. See i		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
one		
		,
otal number of others receiving over \$50,000 for professional services		
Part IX-A Summary of Direct Charitable Activities		
ist the foundation's four largest direct charitable activities during the tax year. Include relevant statistic ganizations and other beneficiaries served, conferences convened, research papers produced, etc.	cal information such as the number of	Expenses
1 N/A		
2		
3		
<b>"</b>		
4		
Part IX-B   Summary of Program-Related Investments (see Instru		
Describe the two largest program-related investments made by the foundation during the	ne tax year on lines 1 and 2	Amount
1 <u>N/A</u>		
2		
All other program-related investments. See instructions		
3		
otal. Add lines 1 through 3		-

Form	990-PF (2017) Harriet & M. Fred Cartoun	13-6161735	Page 8
Par	Minimum Investment Return (All domestic foundations must complete this part. see, instructions.)	Foreign found	ations,
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		010 501
	Average monthly fair market value of securities	1 a	818,586.
	Average of monthly cash balances Fair market value of all other assets (see instructions)	1 b	
		1 c	010 506
	Total (add lines 1a, b, and c) Reduction claimed for blockage or other factors reported on lines 1a and	1 d	818,586.
·		0.	
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	0. 818,586.
_			818,386.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions).	. 4	12,279.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	806,307.
6	Minimum investment return. Enter 5% of line 5	6	40,315.
Par		1 7 1	
	and certain foreign organizations, check here and do not complete this part	.)	10113
1	Minimum investment return from Part X, line 6	,   1	40,315.
2 a	Tax on investment income for 2017 from Part VI, line 5	<b> </b>	10/313.
b	Income tax for 2017 (This does not include the tax from Part VI)	<del></del> -	
С	Add lines 2a and 2b	2 c	572.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	39,743.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	39,743.
6	Deduction from distributable amount (see instructions)	6	
_ 7	Distributable amount as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	39,743.
Par	t XII Qualifying Distributions (see instructions)		
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
	Expenses, contributions, gifts, etc total from Part I, column (d), line 26	1 a	36,077.
	Program-related investments – total from Part IX-B	1 b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
	Suitability test (prior IRS approval required)	3 a	
_	Cash distribution test (attach the required schedule)	3 b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	36,077.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b See instructions	5	
6	Adjusted qualifying distributions, Subtract line 5 from line 4	6	36,077.
	Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the qualifies for the section 4940(e) reduction of tax in those years	foundation	
BAA	<del></del>	Form	990-PF (2017)

### Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	<b>(c)</b> 2016	<b>(d)</b> 2017
Distributable amount for 2017 from Part XI, line 7				20.742
2 Undistributed income, if any, as of the end of 2017				39,743.
a Enter amount for 2016 only			31,236.	1
<b>b</b> Total for prior years 20 , 20 , 20		0.	31,230.	
3 Excess distributions carryover, if any, to 2017.				
a From 2012				
<b>b</b> From 2013 .				
c From 2014				I
d From 2015 .				1
e From 2016 .				;
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2017 from Part XII, line 4 ► \$ 36.077	i			
XII, line 4 \$ 36,077.  a Applied to 2016, but not more than line 2a				
· · ·			31,236.	
<ul> <li>Applied to undistributed income of prior years (Election required — see instructions)</li> </ul>		0.		
c Treated as distributions out of corpus				
(Election required – see instructions)	0.			
d Applied to 2017 distributable amount				4,841.
e Remaining amount distributed out of corpus  5 Excess distributions carryover applied to 2017	0.			
(If an amount appears in column (d), the	0.			0.
same amount must be shown in column (a) )				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
<b>b</b> Prior years' undistributed income Subtract				
line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has				,
been issued, or on which the section 4942(a)				į
tax has been previously assessed	<del></del> -	0.		
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
		0.		i
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount — see instructions			0.	
f Undistributed income for 2017 Subtract lines				
4d and 5 from line 1. This amount must be distributed in 2018				24 002
7 Amounts treated as distributions out of				34,902.
corpus to satisfy requirements imposed by				
section 170(b)(1)(F) or 4942(g)(3) (Election may be required — see instructions)	0.			
8 Excess distributions carryover from 2012 not			<del></del>	
applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	•		<u>-</u>	
10 Analysis of line 9.	0.			
a Excess from 2013				
<b>b</b> Excess from 2014				
c Excess from 2015				
d Excess from 2016				
e Excess from 2017				

Form 990-PF (2017) Harriet & M. Fre	d_Cartoun_			13-616173	5 Page 10
Part XIV Private Operating Founda	tions (see ınstru				N/A/
1 a If the foundation has received a ruling or det is effective for 2017, enter the date of the	ruling			<b>-</b>	
<b>b</b> Check box to indicate whether the foundate	tion is a private ope	rating foundation of		4942(j)(3) or	4942(j)(5)
2a Enter the lesser of the adjusted net income from Part I or the minimum	Tax year		Prior 3 years		(e) Total
investment return from Part X for	(a) 2017	<b>(b)</b> 2016	(c) 2015	(d) 2014/	
each year listed  b 85% of line 2a			<del> </del>	/_	
c Qualifying distributions from Part XII,	<del> </del>			_/	
line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
<ul> <li>Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.</li> </ul>					
3 Complete 3a, b, or c for the alternative test relied upon.					
a 'Assets' alternative test — enter:					
(1) Value of all assets	<b></b>		1		
(2) Value of assets qualifying under section 4942(j)(3)(B)(i) <b>b</b> 'Endowment' alternative test — enter 2/3 of					
minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test — enter					
<ol><li>Total support other than gross investment income (interest,</li></ol>					
dividends, rents, payments on securities loans (section		ĺ			
512(a)(5)), or royalties)				_	
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income	4				
Part XV Supplementary Information	(Complete this	part only if the	foundation had	\$5,000 or more	e in
assets at any time during to 1 Information Regarding Foundation Mana		structions.)			
a List any managers of the foundation who have	e contributed more th	an 2% of the total c	ontributions received b	y the foundation bef	ore the
close of any tax year (but only if they have	e contributed more	than \$5,000) (See	section 507(d)(2))		
None					
<b>b</b> List any managers of the foundation who ow	n 10% or more of the	stock of a corporation	on (or an equally large	portion of the owner	rship of
a partnership or other entity) of which the None	foundation has a 1	0% or greater inter	rest	portion or the entre	op o.
2 Information Deganding Contains the Contains	Cit I can Sahala	in etc. Draguere			
2 Information Regarding Contribution, Grant, Check here ☐ if the foundation only m requests for funds. If the foundation mak	akes contributions to	preselected charitab			
a The name, address, and telephone number of	or email address of th	e nerson to whom a	nnlications should be a	addressed	
a the name, address, and telephone number t	or email addicas of th	e person to whom a	pplications should be a	100103300	
See Statement 9					
<b>b</b> The form in which applications should be	submitted and infor	mation and materia	als they should include	de.	<u> </u>
			<b>2</b>		
See Statement for Line 2a	· · · · · · · · · · · · · · · · · · ·				
c Any submission deadlines.					
See Statement for Line 2a					
d Any restrictions or limitations on awards,	such as by geograp	hical areas, charita	able fields, kinds of i	nstitutions, or other	r factors
See Statement for Line 2a					_
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3 Grants and Contributions Paid During the Ye	ar or Approved for Fut	ure Paymen	t	
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of	Purpose of grant or contribution	Amount
Name and address (home or business)	or substantial contributor	recipient	Contribution	
a Paid during the year				
a Paid during the year		N/A	All are charitable, religious or educational	31,750.
	<u> </u>	<u>L</u>	<u> </u>	
Total			► 3a	31,750.
b Approved for future payment  Total			► 3h	

Enter gros	ss amounts unless otherwise indicated	Unrelate	ed business income	Excluded	by section 512, 513, or 514	(e)
, 1 Dro	•	(a) Business code	<b>(b)</b> Amount	(c) Exclu- sion	(d) Amount	Related or exempt function income (See instructions)
	gram service revenue			code		
а ь				++		
°		<del> </del>		+		
d		<del> </del>		+-+		
e		<del> </del>		+-+		
, —		<del> </del> -	<u> </u>	++		
g Fee	s and contracts from government agencies	<del> </del>	<del> </del>	+-+		
	nbership dues and assessments		<u> </u>	+		
	est on savings and temporary cash investments	<del></del>		+		
	dends and interest from securities			14	28,164.	
	rental income or (loss) from real estate			+	20,104.	
	it-financed property			+-+		
	debt-financed property			+ - +		
	ental income or (loss) from personal property			1	<del></del>	<del></del>
	er investment income	·		+		<del></del>
8 Gain	or (loss) from sales of assets other than inventory					920.
	income or (loss) from special events			1		
	ss profit or (loss) from sales of inventory			1		
<b>11</b> Othe	er revenue			1		
а						
b						
c						
d						
е						
12 Sub	total Add columns (b), (d), and (e)				28,164.	
13 Tota	al. Add line 12, columns (b), (d), and (e)				28,164. 13	
13 Tota (See work	al. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculati				13	920. 29,084.
13 Tota (See work Part XV	al. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculate /I-B   Relationship of Activities to the	Accompl			eses	29,084.
13 Tota (See work Part XV Line No.	Al. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculated Relationship of Activities to the Explain below how each activity for which is	e Accompl	orted in column (e) of	Part XVI-/	ses A contributed important	29,084.
13 Tota (See work Part XV Line No.	al. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculate /I-B   Relationship of Activities to the	e Accompl	orted in column (e) of	Part XVI-/	ses A contributed important	29,084.
13 Tota (See work Part XV Line No.	Al. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculated Relationship of Activities to the Explain below how each activity for which is	e Accompl	orted in column (e) of	Part XVI-/	ses A contributed important	29,084.
13 Tota (See work Part XV Line No.	Al. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculated Relationship of Activities to the Explain below how each activity for which is	e Accompl	orted in column (e) of	Part XVI-/	ses A contributed important	29,084.
13 Tota (See work Part XV Line No.	Al. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculated Relationship of Activities to the Explain below how each activity for which is	e Accompl	orted in column (e) of	Part XVI-/	ses A contributed important	29,084.
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13 Tota (See work Part XV Line No.	Al. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculated Relationship of Activities to the Explain below how each activity for which is	e Accompl	orted in column (e) of	Part XVI-/	ses A contributed important	29, 084.
13 Tota (See work Part XV Line No.	Al. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculated Relationship of Activities to the Explain below how each activity for which is	e Accompl	orted in column (e) of	Part XVI-/	ses A contributed important	29,084.
13 Tota (See work Part XV Line No.	Al. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculated Relationship of Activities to the Explain below how each activity for which is	e Accompl	orted in column (e) of	Part XVI-/	ses A contributed important	29,084.
13 Tota (See work Part XV Line No.	Al. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculated Relationship of Activities to the Explain below how each activity for which is	e Accompl	orted in column (e) of	Part XVI-/	ses A contributed important	29,084.
13 Tota (See work Part XV Line No.	Al. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculated Relationship of Activities to the Explain below how each activity for which is	e Accompl	orted in column (e) of	Part XVI-/	ses A contributed important	29,084.
13 Tota (See work Part XV Line No.	Al. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculated Relationship of Activities to the Explain below how each activity for which is	e Accompl	orted in column (e) of	Part XVI-/	ses A contributed important	29,084.
13 Tota (See work Part XV Line No.	Al. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculated Relationship of Activities to the Explain below how each activity for which is	e Accompl	orted in column (e) of	Part XVI-/	ses A contributed important	29,084.
13 Tota (See work Part XV Line No.	Al. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculated Relationship of Activities to the Explain below how each activity for which is	e Accompl	orted in column (e) of	Part XVI-/	ses A contributed important	29,084.
13 Tota (See work Part XV Line No.	Al. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculated Relationship of Activities to the Explain below how each activity for which is	e Accompl	orted in column (e) of	Part XVI-/	ses A contributed important	29,084.
13 Tota (See work Part XV Line No.	Al. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculated Relationship of Activities to the Explain below how each activity for which is	e Accompl	orted in column (e) of	Part XVI-/	ses A contributed important	29,084.
13 Tota (See work Part XV Line No.	Al. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculated Relationship of Activities to the Explain below how each activity for which is	e Accompl	orted in column (e) of	Part XVI-/	ses A contributed important	29,084.
13 Tota (See work Part XV Line No.	Al. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculated Relationship of Activities to the Explain below how each activity for which is	e Accompl	orted in column (e) of	Part XVI-/	ses A contributed important	29,084.
13 Tota (See work Part XV Line No.	Al. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculated Relationship of Activities to the Explain below how each activity for which is	e Accompl	orted in column (e) of	Part XVI-/	ses A contributed important	29,084.
13 Tota (See work Part XV Line No.	Al. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculate /I-B   Relationship of Activities to the Explain below how each activity for which is	e Accompl	orted in column (e) of	Part XVI-/	ses A contributed important	29,084.
13 Tota (See work Part XV Line No.	Al. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculate /I-B   Relationship of Activities to the Explain below how each activity for which is	e Accompl	orted in column (e) of	Part XVI-/	ses A contributed important	29,084.
13 Tota (See work Part XV Line No.	Al. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculate /I-B   Relationship of Activities to the Explain below how each activity for which is	e Accompl	orted in column (e) of	Part XVI-/	ses A contributed important	29,084.
13 Tota (See work Part XV Line No.	Al. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculate /I-B   Relationship of Activities to the Explain below how each activity for which is	e Accompl	orted in column (e) of	Part XVI-/	ses A contributed important	29,084.
13 Tota (See work Part XV Line No.	Al. Add line 12, columns (b), (d), and (e) sheet in line 13 instructions to verify calculate /I-B   Relationship of Activities to the Explain below how each activity for which is	e Accompl	orted in column (e) of	Part XVI-/	ses A contributed important	29,084.

# Form 990-PF (2017) Harriet & M. Fred Cartoun 13-6161735 Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

	•					I Y	'es I No
1 Di	d the organization direct	ly or indirectly er	ngage in any of the following wi ther than section 501(c)(3) orga	th any other organizat	ion 1 527.		
re	lating to political organiz	ations?	,	·	. 02.,		
		ig foundation to	a noncharitable exempt organiz	ation of			
(1) Cash						1 a (1)	<u>X</u> _
(2) Other assets							X
	her transactions					1 b (1)	
(1) Sales of assets to a noncharitable exempt organization (2) Purchases of assets from a noncharitable exempt organization							<u>X</u>
	1 b (2)	X					
• •	Rental of facilities, equ	•	r assets			1 b (3)	X
• •	Reimbursement arrang	•		• • • • • • • • • • • • • • • • • • • •		1 b (4)	X
	) Loans or loan guarante					1 b (5)	X
•			p or fundraising solicitations			1 b (6)	X
c Sr	naring of facilities, equipi	ment, mailing lis	ts, other assets, or paid employ	/ees		1 c	X
d If	the answer to any of the	above is 'Yes'	complete the following schedule	e Column (h) should a	always show the fair r	narket value	of
the	goods, other assets, or s	ervices given by t	complete the following schedule the reporting foundation. If the fou	ndation received less th	an fair market value in		O1
	·		now in column (d) the value of t				<del></del>
(a) Line	no (b) Amount involved	(c) Name o	f noncharitable exempt organization	(d) Description of tr	ansfers, transactions, and	snaring arrange	ments
N/A		<del></del>		<del> </del>			
				ļ	<del> </del>		
	<del></del>	<del></del>		<del> </del>			
		<del></del>	<del></del>	<del> </del>			
	<del></del>	<del></del>		<del> </del>			
		<del></del>		<del> </del>	<del></del>		
		<del></del>		<del> </del>	<del></del>		
		<del> </del>		<del> </del>			
		+		<del> </del>			
		<del></del>		<del> </del>			
		<del></del>		<del> </del>			<del></del>
		<del> </del>		<del> </del>			
	<del></del>		<del></del>	<del> </del>			<del></del>
	<del></del>	<del></del>		<del> </del>			
				<del> </del>			
	<del></del>			<u> </u>			
2 als	the foundation directly or i	ndirectly affiliated	l with, or related to, one or more t ther than section 501(c)(3)) or it	ax-exempt organizations	3	Yes	XNo
	'Yes,' complete the follow	•	ther than section 501(c)(3)) or in	i section 527		∐ , es	VIVO
	(a) Name of organiza	·	(b) Type of organization	<del></del>	(c) Description of rela	ationshin	
N/A	(a) Name of organiza	11011	(b) Type of organization	<del>'</del>	(c) Description of rea	200131110	
N/A			<del></del>				<del></del>
		<del>-</del>					
<del></del>	<del></del>			+			
	<del></del>						
	Under penalties of parjury, I dec	lare that I have exami	ined this return, including accompanying s	chedules and statements, and	d to the best of my knowledg	e and belief, it is	s true,
cian	correct, and complete Declaration	on of preparer (other t	than taxpayer) is based on all information	of which preparer has any kn	owledge		
Sign   Here			5/9/18	<b>L</b>		May the IRS this return w	with the
nere	x \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \		Kalalia (	President	<u>.                                    </u>	preparer sho See instruct	tions,
	Signature of officer or trustee		Date	Title		X Ye	es No
	Print/Type preparer's r		Preparer's signature	Date	Check X if	PTIN	
Paid	Frank Magni	fico, CPA	7W.11-	CPA 5-8-18	self-employed	P004483	97
Preparer Firm's name Politi & Magnifico, LLC Firm's EIN 06153						31908	
Use O	nly Firm's address	5 Edgewood	l Ave, 3rd Fl				
	<u> </u>	Greenwich,	CT 06830-5211		Phone no 203~	869-4560	)
BAA						Form 990-	PF (2017)

2017	Federal Statements Harriet & M. Fred Cartoun	Page 1
	Foundation, Inc.	13-6161735
Statement 1 Form 990-PF, Part I, Line 16b Accounting Fees	(a) (b) Net (c)	(d)
Tax Preparation Fees	Expenses   Investment   Adjusted   Net Income   Net Income   Net Income   Total   \$ 4,000. \$ 500. \$ 500. \$ 500.	Charitable Purposes \$ 3,500. \$ 3,500.
Statement 2 Form 990-PF, Part I, Line 18 Taxes		
Excise Tax	(a) (b) Net (c) Expenses Investment Adjusted per Books Income Net Income  \$ 572. Total \$ 572. \$ 0. \$ 0.	(d) Charitable Purposes \$ 572. \$ 572.
Statement 3 Form 990-PF, Part I, Line 23 Other Expenses	(a) (b) Net (c) Expenses Investment Adjusted per Books Income Net Income	(d) Charitable Purposes
Business Registration Fee Office Expense	$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	\$ 100. 155. \$ 255.
Statement 4 Form 990-PF, Part II, Line 10b Investments - Corporate Stocks		
Corporate Stocks	Valuation Book Method Value	Fair Market Value
750 Dow Chemical 1,000 DuPont E I De Nemours 300 Helmerich & Payne Inc 1,500 Costamare Inc 600 Borgwarner Inc 1,000 Intel Corp 1,500 Costamare Inc 50 Mallinckrodt Public 200 Exxon Mobil 700 Terex CP New Del 200 Chemours Co Com 300 Mastercard Inc Cl A	Cost \$ 35,852. \$ Cost 49,680. Cost 11,920. Cost 21,823. Cost 22,081. Cost 21,990. Cost 22,596. Cost 1,767. Cost 18,263. Cost 24,572. Cost 24,572. Cost 27,725. Total \$ 260,931. \$	53,415. 91,304. 19,392. 8,655. 30,654. 46,160. 8,655. 1,128. 16,728. 33,754. 10,012. 45,408.

Harriet &	I Statements M. Fred Cartoun ndation, Inc.		Page 2
Statement 5 Form 990-PF, Part II, Line 10c Investments - Corporate Bonds			
Corporate Bonds	Valuation Method	Book Value	Fair Market Value
20,000 Verizon Comm 50,000 Wachovia Capital Tr 45,000 FPL Group Cap 75,000 JP Morgan Chase 40000 Citigroup Inc	Cost Cost Cost Cost Cost Total	\$ 0. 48,878. 49,741. 77,120. 41,500.	\$ 0. 50,375. 42,882. 84,938. 42,550.
Statement 6 Form 990-PF, Part II, Line 13 Investments - Other			
Other Committee	Valuation <u>Method</u>	Book <u>Value</u>	Fair Market Value
Other Securities  1,500 Ishares S&P 250 Ishares JP Morgan EM Bond Fd 500 Ishares Russell 2000 1,000 ETFMG Prime Cyber	Cost Cost Cost Cost	\$ 53,849. 27,836. 52,090. 30,423.	\$ 57,105. 29,025. 76,230. 31,640.
	Total	\$ 164,198.	\$ 194,000.
Statement 7 Form 990-PF, Part II, Line 15 Other Assets			
		Book Value	Fair Market Value
Prepaid Taxes	Total	\$ 108.	\$ 0.

Statement 8 Form 990-PF, Part III, Line 5 Other Decreases

Non Dividend Distributions

Total  $\frac{\$}{\$}$   $\frac{2,114}{2,114}$ .

2017

#### **Federal Statements**

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Harriet & M. Fred Cartoun Foundation, Inc.

13-6161735

Statement 9 Form 990-PF, Part XV, Line 2a-d Application Submission Information

Name of Grant Program:

Name:

Harriet & M Fred Cartoun Foundation, Inc

Care Of:

Andrew Cartoun

Street Address: City, State, Zip Code: PO Box 378 Newtown, CT 06470

Telephone:

E-Mail Address: Form and Content:

Submission Deadlines:

Restrictions on Awards:

None

## Harriet & M. Fred Cartoun Foundation, Inc. Part XV, #3 Grants Contributions

. Date	Check #	Name	Amount
03/08/2017	1375	Dana Farber	500 00
03/08/2017	1376	Monroe Mandolin Camp	1,000 00
05/05/2017	1378	American Heart Association	500 00
05/05/2017	1379	Arc of Bergen	500 00
05/17/2017	1382	Mark Twain Library	2,500 00
07/18/2017	1383	Mark Twain Library	2,500 00
09/15/2017	1384	Wy'East Zen Center	1,000 00
09/24/2017	1385	Mark Twain Library	500.00
09/27/2017	1386	New England Mountain Bike Association	250,00
11/10/2017	1387	Congregation Emanuel of Westchester	2,000 00
11/17/2017	1388	Danbury Hospital	10,000 00
12/03/2017	1389	The Fracture Service Fund, Inc	2,000 00
12/03/2017	1390	The FAITH food pantry of Newtown	2,000 00
12/03/2017	1391	The Newtown Fund	2,500 00
12/18/2017	1392	New Pond Farm	4,000 00
			31,750.00