

EXTENDED TO NOVEMBER 15, 2018

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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OMB No 1545-0052

2017

Open to Public Inspection

Form 990-PF

Department of the Treasury
Internal Revenue Service

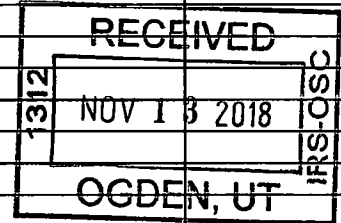
For calendar year 2017 or tax year beginning

, and ending

Name of foundation RITA ALLEN FOUNDATION, INC.		A Employer identification number 13-6116429
Number and street (or P O box number if mail is not delivered to street address) 92 NASSAU STREET, 3RD FLOOR	Room/suite	B Telephone number (609) 683-8010
City or town, state or province, country, and ZIP or foreign postal code PRINCETON, NJ 08542		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1 Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 173,588,932.	J Accounting method: ☐ Cash ☐ Accrual ☒ Other (specify) MODIFIED CASH (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		0.		N/A	
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		15,515.	15,515.		STATEMENT 1
4 Dividends and interest from securities		1,848,472.	2,303,798.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		6,917,590.			
b Gross sales price for all assets on line 6a 64,229,866.					
7 Capital gain net income (from Part IV, line 2)			7,447,626.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		300,527.	273,342.		STATEMENT 3
12 Total Add lines 1 through 11		9,082,104.	10,040,281.		
13 Compensation of officers, directors, trustees, etc		605,869.	0.		605,869.
14 Other employee salaries and wages		498,896.	0.		498,896.
15 Pension plans, employee benefits		278,642.	0.		278,642.
16a Legal fees STMT 4		8,336.	0.		8,336.
b Accounting fees STMT 5		67,100.	0.		67,100.
c Other professional fees STMT 6		1,131,675.	1,057,215.		60,181.
17 Interest		50,728.	84,340.		0.
18 Taxes STMT 7		24,400.	28,666.		0.
19 Depreciation and depletion					
20 Occupancy		112,619.	0.		112,619.
21 Travel, conferences, and meetings		58,916.	0.		58,916.
22 Printing and publications					
23 Other expenses STMT 8		312,683.	134,738.		312,683.
24 Total operating and administrative expenses Add lines 13 through 23		3,149,864.	1,304,959.		2,003,242.
25 Contributions, gifts, grants paid		5,536,321.			5,536,321.
26 Total expenses and disbursements Add lines 24 and 25		8,686,185.	1,304,959.		7,539,563.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		395,919.			
b Net investment income (if negative, enter -0-)			8,735,322.		
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only			
		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1 Cash - non-interest-bearing				
	2 Savings and temporary cash investments	6,670,822.	9,463,568.	9,463,568.	
	3 Accounts receivable ▶				
	Less: allowance for doubtful accounts ▶				
	4 Pledges receivable ▶				
	Less: allowance for doubtful accounts ▶				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons				
	7 Other notes and loans receivable ▶				
	Less: allowance for doubtful accounts ▶				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10a Investments - U.S. and state government obligations				
	b Investments - corporate stock	STMT 9	81,764,173.	87,330,758.	87,330,758.
	c Investments - corporate bonds				
	11 Investments - land, buildings, and equipment basis ▶				
Less: accumulated depreciation ▶					
12 Investments - mortgage loans					
13 Investments - other	STMT 10	65,765,994.	76,788,553.	76,788,553.	
14 Land, buildings, and equipment basis ▶					
Less: accumulated depreciation ▶					
15 Other assets (describe ▶ <u>SECURITY DEPOSIT</u>)		6,053.	6,053.	6,053.	
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item i)		154,207,042.	173,588,932.	173,588,932.	
Liabilities	17 Accounts payable and accrued expenses				
	18 Grants payable				
	19 Deferred revenue				
	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable				
	22 Other liabilities (describe ▶ _____)				
23 Total liabilities (add lines 17 through 22)		0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26, and lines 30 and 31				
	24 Unrestricted		150,541,898.	169,905,356.	
	25 Temporarily restricted		85,522.	103,954.	
	26 Permanently restricted		3,579,622.	3,579,622.	
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.				
	27 Capital stock, trust principal, or current funds				
	28 Paid-in or capital surplus, or land, bldg, and equipment fund				
	29 Retained earnings, accumulated income, endowment, or other funds				
30 Total net assets or fund balances		154,207,042.	173,588,932.		
31 Total liabilities and net assets/fund balances		154,207,042.	173,588,932.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1 154,207,042.
2 Enter amount from Part I, line 27a	2 395,919.
3 Other increases not included in line 2 (itemize) ▶ <u>UNREALIZED GAIN ON INVESTMENTS</u>	3 18,985,971.
4 Add lines 1, 2, and 3	4 173,588,932.
5 Decreases not included in line 2 (itemize) ▶ _____	5 0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6 173,588,932.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE ATTACHMENT B		P		
b SALE OF PUBLICLY TRADED SECURITIES		P		
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			3,106,648.
b 36,728,086.		32,387,108.	4,340,978.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			3,106,648.
b			4,340,978.
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	7,447,626.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	{ }		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2016	8,052,857.	148,013,959.	.054406
2015	7,870,335.	161,887,375.	.048616
2014	7,737,150.	167,005,174.	.046329
2013	7,177,028.	155,553,514.	.046139
2012	7,079,635.	143,958,992.	.049178

2 Total of line 1, column (d)	2	.244668
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	.048934
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	4	161,379,250.
5 Multiply line 4 by line 3	5	7,896,932.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	87,353.
7 Add lines 5 and 6	7	7,984,285.
8 Enter qualifying distributions from Part XII, line 4	8	7,539,563.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	174,706.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		2	0.
3 Add lines 1 and 2		3	174,706.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		4	0.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		5	174,706.
6 Credits/Payments.			
a 2017 estimated tax payments and 2016 overpayment credited to 2017	6a	166,401.	
b Exempt foreign organizations - tax withheld at source	6b	0.	
c Tax paid with application for extension of time to file (Form 8868)	6c	90,000.	
d Backup withholding erroneously withheld	6d	0.	
7 Total credits and payments. Add lines 6a through 6d	7	256,401.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	0.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	81,695.	
11 Enter the amount of line 10 to be: Credited to 2018 estimated tax ☒ 81,695. Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. ☒ NY, NJ		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the tax year beginning in 2017? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	X	
Website address ► WWW.RITAALLEN.ORG		
14 The books are in care of ► THE FOUNDATION Telephone no. ► (609) 683-8010		
Located at ► 92 NASSAU STREET, 3RD FLOOR, PRINCETON, NJ ZIP+4 ► 08542		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year		
► 15 N/A		
16 At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	Yes	No
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ► UNITED KINGDOM	X	

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☒ Yes ☐ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions		
Organizations relying on a current notice regarding disaster assistance, check here	► ☐	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?		
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017?	☐ Yes ☒ No	
If "Yes," list the years		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?		

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

N/A

Organizations relying on a current notice regarding disaster assistance, check here

☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

☐ Yes ☒ No

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		605,869.	109,758.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JONATHAN KARTT - 92 NASSAU STREET, 3RD FLOOR, PRINCETON, NJ 08542	PROGRAM & EVALUATION	174,496.	36,700.	0.
RUTH STEVENS - 92 NASSAU STREET, 3RD FLOOR, PRINCETON, NJ 08542	ADMIN	140,258.	30,323.	0.
STEPHANIE ZIMMERMAN - 92 NASSAU STREET, 3RD FLOOR, PRINCETON, NJ	EXECUTIVE AND ADMIN SUPPORT	65,977.	25,162.	0.
MOLLY SHARLACH - 92 NASSAU STREET, 3RD FLOOR, PRINCETON, NJ 08542	COMM AND DIGITAL ENGAGEMENT	69,760.	10,516.	0.

Total number of other employees paid over \$50,000

0

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes.		
a	Average monthly fair market value of securities	1a	156,926,999.
b	Average of monthly cash balances	1b	6,903,750.
c	Fair market value of all other assets	1c	6,053.
d	Total (add lines 1a, b, and c)	1d	163,836,802.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	163,836,802.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	2,457,552.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	161,379,250.
6	Minimum investment return. Enter 5% of line 5	6	8,068,963.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	8,068,963.
2a	Tax on investment income for 2017 from Part VI, line 5	2a	174,706.
b	Income tax for 2017. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	174,706.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	7,894,257.
4	Recoveries of amounts treated as qualifying distributions	4	116,728.
5	Add lines 3 and 4	5	8,010,985.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	8,010,985.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	7,539,563.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	7,539,563.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	7,539,563.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				8,010,985.
2 Undistributed income, if any, as of the end of 2017:				
a Enter amount for 2016 only			6,950,357.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2017:				
a From 2012				
b From 2013				
c From 2014				
d From 2015				
e From 2016				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2017 from Part XII, line 4: ▶ \$ 7,539,563.				
a Applied to 2016, but not more than line 2a			6,950,357.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2017 distributable amount				589,206.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below.				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2016. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2017. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2018				7,421,779.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2012 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2013				
b Excess from 2014				
c Excess from 2015				
d Excess from 2016				
e Excess from 2017				

Part XV Supplementary Information *(continued)***3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
AMERICAN ACADEMY OF ARTS AND SCIENCES 136 IRVING STREET CAMBRIDGE, MA 02138	N/A	PC	PUBLIC FACE OF SCIENCE (PYMT 2 OF 3)	75,000.
AMERICAN ASSOC FOR ADVANCEMENT OF SCIENCE 1200 NEW YORK AVENUE, NW WASHINGTON, DC 20011	N/A	PC	EVIDENT SOURCES PROGRAM (PYMT 1 OF 2)	75,000.
AMERICAN ASSOC FOR ADVANCEMENT OF SCIENCE 1200 NEW YORK AVENUE, NW WASHINGTON, DC 20011	N/A	PC	CENTER FOR SCIENTIFIC EVIDENCE IN PUBLIC ISSUES PROGRAM (PYMT 1 OF 3)	100,000.
AMERICAN PAIN SOCIETY LOCK BOX 3781 OAK BROOK, IL 60522	N/A	PC	AWARD IN PAIN PROGRAM	10,000.
AMERICAN PAIN SOCIETY LOCK BOX 3781 OAK BROOK, IL 60522	N/A	PC	GRANT SUPPORT FOR SCIENTIFIC SUMMIT	10,000.
Total			SEE CONTINUATION SHEET(S) ▶ 3a	5,536,321.
b Approved for future payment				
AMERICAN ACADEMY ARTS & SCIENCE 136 IRVING STREET CAMBRIDGE, MA 02138	N/A	PC	PUBLIC FACE OF SCIENCE	75,000.
AMERICAN ACADEMY ARTS & SCIENCE 136 IRVING STREET CAMBRIDGE, MA 02138	N/A	PC	PUBLIC FACE OF SCIENCE	25,000.
AMERICAN ASSOCIATION ADVANCEMENT OF SCIENCE 1200 NEW YORK AVENUE, NW WASHINGTON, DC 20011	N/A	PC	EVIDENT SOURCES PROGRAM	75,000.
Total			SEE CONTINUATION SHEET(S) ▶ 3b	2,753,033.

Form 990-PF (2017)

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ASU FOUNDATION 300 E UNIVERSITY DR., 6TH FLOOR TEMPE, AZ 85281	N/A	PC	INNOVATIONS IN SCIENCE NEWS LITERACY PROGRAM (PYMT 1 OF 2)	75,000.
BAY AREA VIDEO COALITION 2727 MARIPOSA STREET, 2ND FLOOR SAN FRANCISCO, CA 94110	N/A	PC	MEDIASHIFT'S PLATFORMS + PUBLISHERS ROUNDTABLE SERIES PROGRAM	10,000.
BOSTON CHILDREN'S HOSPITAL PO BOX 414413 BOSTON, MA 02241-4413	N/A	PC	AN, DINGDING 2017 SCHOLAR	100,000.
BRANDEIS UNIVERSITY 415 SOUTH STREET WALTHAM, MA 02453	N/A	PC	GRANT-GENERAL OPERATING	150,000.
BRANTWOOD CAMP 50 CONGRESS STREET BOSTON, MA 02109	N/A	PC	GENERAL OPERATING PURPOSES	30,000.
BUCKNELL UNIVERSITY 301 MARKET STREET SUITE #2 LEWISBURG, PA 17847	N/A	PC	GENERAL OPERATING PURPOSES	5,000.
CENTRAL MICHIGAN UNIVERSITY 524 E. BELLOWS STREET MOUNT PLEASANT, MI 48859	N/A	PC	EMPLOYEE MATCHING GIFT	1,000.
COLD SPRING HARBOR LABORATORY ONE BUNGTON ROAD COLD SPRING HARBOR, NY 11724	N/A	PC	SUPPORT OF TRAVEL FOR POST-DOCTORAL FELLOWS	6,000.
COLD SPRING HARBOR LABORATORY ONE BUNGTON ROAD COLD SPRING HARBOR, NY 11724	N/A	PC	HAMMELL, MOLLY 2014 SCHOLAR	110,000.
COLD SPRING HARBOR LABORATORY ONE BUNGTON ROAD COLD SPRING HARBOR, NY 11724	N/A	PC	DOS SANTOS, CAMILA 2016 SCHOLAR	100,000.
Total from continuation sheets				5,266,321.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
COLEYTOWN ELEMENTARY SCHOOL PTA 65 EASTON ROAD WESTPORT, CT 06880	N/A	PC	GENERAL OPERATING PURPOSES	5,000.
COLUMBIA UNIVERSITY PO BOX 29789 NEW YORK, NY 10087-9789	N/A	PC	DING, LEI 2014 SCHOLAR	100,000.
COLUMBIA UNIVERSITY PO BOX 29789 NEW YORK, NY 10087-9789	N/A	PC	KOHWI, MINOREE 2015 SCHOLAR	100,000.
COMMITTEE TO PROTECT JOURNALISTS 330 7TH AVENUE, 11TH FLOOR NEW YORK, NY 10001-5010	N/A	PC	GENERAL OPERATING PURPOSES	5,000.
COMMUNITY FOUNDATION OF GREATER NEW HAVEN 70 AUDUBON STREET, 5TH FLR NEW HAVEN, CT 06510	N/A	PC	JOAN A. STEITZ FUND	6,000.
COMMUNITY PARTNERS INTERNATIONAL 2560 NINTH STREET, SUITE 315B BERKELEY, CA 94710	N/A	PC	GENERAL OPERATING PURPOSES	10,000.
COUNCIL ADVANCEMENT OF SCIENCE WRITING PO BOX 910 HEDGESVILLE, WV 25427	N/A	PC	WORLD CONFERENCE OF SCIENCE JOURNALISTS PROGRAM	25,000.
COUNCIL OF NEW JERSEY GRANTMAKERS 111 WEST STATE STREET TRENTON, NJ 08608	N/A	PC	LEADERSHIP GRANT	5,000.
COUNCIL OF NEW JERSEY GRANTMAKERS 111 WEST STATE STREET TRENTON, NJ 08608	N/A	PC	GENERAL OPERATING PURPOSES	8,290.
COUNCIL ON FOUNDATIONS PO BOX 75661 BALTIMORE, MD 21275-5661	N/A	PC	GENERAL OPERATING PURPOSES	19,600.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CUNY GRADUATE SCHOOL OF JOURNALISM FNDN 219 W. 40TH ST. NEW YORK, NY 10018	N/A	PC	GENERAL OPERATING PURPOSES	10,000.
DOCTORS WITHOUT BORDERS 333 7TH AVENUE, 2ND FLOOR NEW YORK, NY 10001	N/A	PC	GENERAL OPERATING PURPOSES	10,000.
DRUMTHWACKET FOUNDATION 354 STOCKTON STREET PRINCETON, NJ 08540	N/A	PC	EUREKA! INVENTION AND INNOVATION IN NEW JERSEY EDUCATION PROGRAMS	10,000.
DUKE UNIVERSITY DUKE UNIVERSITY ACCTS REC. CHARLOTTE, NC 28262	N/A	PC	BOYCE, MICHAEL 2013 SCHOLAR	100,000.
DUKE UNIVERSITY DUKE UNIVERSITY ACCTS REC. CHARLOTTE, NC 28262	N/A	PC	MEYER, KATE 2017 SCHOLAR	100,000.
EDUCATED CANINES ASSISTING DISABILITIES PO BOX 831 TORRINGTON, CT 06790	N/A	PC	GENERAL OPERATING PURPOSES	5,000.
EXPONENT PHILANTHROPY 1720 N STREET, NW WASHINGTON, DC 20036	N/A	PC	SUPPORT OF DIVERSITY, EQUITY & INCLUSION IN PHILANTHROPY INITIATIVE	5,000.
FAST FORWARD 1014 TORNEY AVENUE SAN FRANCISCO, CA 94129	N/A	PC	NONPROFIT TECH ACCELERATOR	50,000.
GALLATIN VALLEY LAND TRUST PO BOX 7021 BOZEMAN, MT 59771	N/A	PC	GENERAL OPERATING PURPOSES	5,000.
GIVEWELL 182 HOWARDS STREET, #208 SAN FRANCISCO, CA 94105	N/A	PC	EMPLOYEE MATCHING GIFT	330.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
GRANTMAKERS FOR EFFECTIVE ORGANIZATIONS 1725 DESALES STREET, NW, SUITE 404 WASHINGTON, DC 20036	N/A	PC	GENERAL OPERATING PURPOSES	2,550.
HACKS/HACKERS 135 DORE STREET, UNIT A SAN FRANCISCO, CA 94103	N/A	PC	TECHNICAL SCHEMA FOR CREDIBILITY PROJECT	50,000.
HEALTHRIGHT INTERNATIONAL 14 EAST 4TH STREET, 3RDFLOOR NEW YORK, NY 10003	N/A	PC	GENERAL OPERATING PURPOSES	10,000.
HER JUSTICE 100 BROADWAY, 10TH FLOOR NEW YORK, NY 10005	N/A	PC	EMPLOYEE MATCHING GIFT	150.
HOBE SOUND COMMUNITY CHEST PO BOX 511 HOBE SOUND, FL 33475-0511	N/A	PC	GENERAL OPERATING PURPOSES	5,000.
HOMEFRONT 1880 PRINCETON AVENUE LAWRENCEVILLE, NJ 08648	N/A	PC	EMPLOYEE MATCHING GIFT	365.
HOMEFRONT 1880 PRINCETON AVENUE LAWRENCEVILLE, NJ 08648	N/A	PC	GENERAL OPERATING PURPOSES	5,000.
HORIZONS NATIONAL 120 POST ROAD WEST, SUITE 202 WESTPORT, CT 06880	N/A	PC	GENERAL OPERATING PURPOSES	10,000.
HOUSING INITIATIVES PRINCETON CHARITABLE 33 MERCER STREET PRINCETON, NJ 08540	N/A	PC	GENERAL OPERATING PURPOSES	10,000.
INSTITUTE FOR ADVANCED STUDY 1 EINSTEIN DRIVE PRINCETON, NJ 08540	N/A	PC	GENERAL OPERATING PURPOSES	10,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
INSTITUTE FOR ADVANCED STUDY 1 EINSTEIN DRIVE PRINCETON, NJ 08540	N/A	PC	GENERAL OPERATING PURPOSES	5,000.
INSTITUTE FOR NONPROFIT NEWS PO BOX 8606 CALABASAS, CA 91372-8606	N/A	PC	NEWS REVENUE HUB (PYMT 1 OF 2)	75,000.
INTERNATIONAL ASSOC FOR THE STUDY OF PAIN 1510 H STREET NW, SUITE 600 WASHINGTON, DC 2005-1020	N/A	PC	RELIEF: PAIN RESEARCH NEWS, INSIGHTS AND IDEAS PROGRAM	50,000.
INTERNET ARCHIVE 300 FUNSTON AVENUE SAN FRANCISCO, CA 94118	N/A	PC	TALKING POINTS AND SOUNDBITE TRACKER PROGRAM (PYMT 1 OF 2)	50,000.
KENT PLACE SCHOOL 42 NORWOOD AVENUE SUMMIT, NJ 07901	N/A	PC	GENERAL OPERATING PURPOSES	2,500.
MCCARTER THEATER 91 UNIVERSITY PLACE PRINCETON, NJ 08540	N/A	PC	GENERAL OPERATING PURPOSES	5,000.
MCGILL UNIVERSITY 3465 DUROCHER STREET, 3RD FLOOR MONTREAL, QUEBEC, CANADA	N/A	PC	KHOUTORSKY, ARKADY 2017 PAIN SCHOLAR	50,000.
MEDIA IMPACT FUNDERS 200 W. WASHINGTON SQUARE, SUITE 220 PHILADELPHIA, PA 19106	N/A	PC	BUILDING KNOWLEDGE AND CAPACITY OF FUNDERS (PYMT 1 OF 2)	50,000.
MEMORIAL SLOAN KETTERING CANCER CENTER 1275 YORK AVENUE, BOX 701 NEW YORK, NY 10065	N/A	PC	KENTSI, ALEX 2016 SCHOLAR	100,000.
MERCER COUNTY COMM. COLLEGE FOUNDATION 1200 OLD TRENTON ROAD WEST WINDSOR, NJ 08550	N/A	PC	GENERAL OPERATING PURPOSES	20,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MIAMI FOUNDATION 40 NW 3RD STREET, SUITE 305 MIAMI, FL 33128	N/A	PC	NEWS MATCH 2017	50,000.
MONTCLAIR STATE UNIVERSITY FOUNDATION 1 NORMAL AVENUE MONTCLAIR, NJ 07043	N/A	PC	COLLABORATIVE JOURNALISM SUMMIT PROGRAM	25,000.
MOZILLA FOUNDATION 331 EAST EVELYN AVENUE MOUNTAIN VIEW, CA 94041	N/A	PC	CORAL PROJECT-GRANT (PYMT 1 OF 2)	40,000.
MPN RESEARCH FOUNDATION 180 NORTH MICHIGAN AVE., SUITE 1870 CHICAGO, IL 60601	N/A	PC	GENERAL OPERATING PURPOSES	5,000.
NATIONAL ACADEMY OF SCIENCES 500 5TH ST NW WASHINGTON, DC 20001	N/A	PC	SACKLER COLLOQUIUM ON THE SCIENCE OF SCIENCE COMMUNICATION III	100,000.
NATIONAL ACADEMY OF SCIENCES 500 5TH ST NW WASHINGTON, DC 20001	N/A	PC	SACKLER COLLOQUIUM ON SSCIII EARLY CAREER RESEARCHER AWARDS	25,000.
NATIONAL ACADEMY OF SCIENCES 500 5TH ST NW WASHINGTON, DC 20001	N/A	PC	EVIDENCE-BASED SCIENCE COMMUNICATIONS: PLANNING PROCESS	50,000.
NATIONAL PUBLIC RADIO, INC. 1111 NORTH CAPITOL ST NE WASHINGTON, DC 20002	N/A	PC	INNOVATIVE SCIENCE PROGRAMMING (PYMT 2 OF 2)	75,000.
NEW CANAAN COUNTRY SCHOOL 635 FROGTOWN ROAD NEW CANAAN, CT 06840	N/A	PC	GENERAL OPERATING PURPOSES	20,000.
NEW VENTURE FUND 1201 CONNECTICUT AVE., NW SUITE 300 WASHINGTON, DC 20036	N/A	PC	SCIENCE PHILANTHROPY ALLIANCE	50,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NEW YORK PUBLIC RADIO 160 VARICK STREET NEW YORK, NY 10013	N/A	PC	DOCUMENTING HATE PROJECT (PYMT 1 OF 2)	75,000.
NEW YORK UNIVERSITY 25 W. 4TH STREET, 4TH FLOOR NEW YORK, NY 10012-1119	N/A	PC	YE, YI 2015 PAIN SCHOLAR	50,000.
NORTHERN ILLINOIS UNIVERSITY 203 LOWDEN HALL DEKALB, IL 60115-2828	N/A	PC	SCIENCE COMMUNICATIONS AMONG SCIENTIFIC SOCIETIES	12,345.
NORTHWESTERN UNIVERSITY 633 CLARK STREET, RM 6547 EVANSTON, IL 60208	N/A	PC	KOZOROVITSKIY, YEVGENIA 2015 SCHOLAR	100,000.
ONLINE NEWS ASSOCIATION 1111 NORTH CAPITOL STREET NE, SIXTH FLOOR WASHINGTON, DC 20002	N/A	PC	CHALLENGE FUND FOR INNOVATION IN JOURNALISM EDUCATION (PYMT 2 OF 2) YRS 3/4	75,000.
PEOPLE & STORIES 295 EGGERTS CROSSING ROAD LAWRENCEVILLE, NJ 08648	N/A	PC	GENERAL OPERATING PURPOSES	5,000.
PHILANTHROPY NEW YORK 1500 BROADWAY, 7TH FLOOR NEW YORK, NY 10036	N/A	PC	GENERAL OPERATING PURPOSES	450.
PHYSICIANS FOR HUMAN RIGHTS 256 WEST 38TH STREET, 9TH FLOOR NEW YORK, NY 10018	N/A	PC	GENERAL OPERATING PURPOSES	13,000.
PINGRY SCHOOL 131 MARTINSVILLE ROAD BASKING RIDGE, NJ 07920	N/A	PC	GENERAL OPERATING PURPOSES	2,500.
PRESBYTERIAN COLLEGE 503 SOUTH BROAD STREET CLINTON, SC 29325	N/A	PC	HANLON, KATHERINE 2016 SCHOLAR	16,698.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PRESBYTERIAN COLLEGE 503 SOUTH BROAD STREET CLINTON, SC 29325	N/A	PC	HANLON, KATHERINE 2016 SCHOLAR	50,000.
PRINCETON PUBLIC LIBRARY FOUNDATION 65 WITHERSPOON STREET PRINCETON, NJ 08542	N/A	PC	GENERAL OPERATING PURPOSES	3,000.
PRINCETON SYMPHONY ORCHESTRA PO BOX 250 PRINCETON, NJ 08542	N/A	PC	GENERAL OPERATING PURPOSES	4,000.
PRINCETON UNIV ART MUSEUM 701 CARNEGIE CENTER, SUITE 443 PRINCETON, NJ 08540	N/A	PC	GENERAL OPERATING PURPOSES	4,000.
PRINCETON UNIVERSITY 330 ALEXANDER STREET PRINCETON, NJ 08544	N/A	PC	RESCUING BIOMEDICAL RESEARCH	10,000.
PRINCETON UNIVERSITY 330 ALEXANDER STREET PRINCETON, NJ 08544	N/A	PC	GENERAL OPERATING PURPOSES	4,000.
PUBLIC LAB PO BOX 426113 CAMBRIDGE, MA 02142	N/A	PC	COMMUNITY ENGAGEMENT EVALUATION (PYMT 3 OF 3)	50,000.
PUBLIC RADIO INTERNATIONAL 401 2ND AVENUE NORTH, SUITE 500 MINNEAPOLIS, MN 55401	N/A	PC	PRI'S THE BRIDGE PROGRAM	50,000.
RESEARCH TRIANGLE INSTITUTE PO BOX 900002 RALEIGH, NC 27675-9000	N/A	PC	A SOLUTIONS FORUM TO ADDRESS MISINFORMATION SHARING	38,990.
RIDGEFIELD ACADEMY 11 BURR ROAD WESTPORT, CT 06880	N/A	PC	GENERAL OPERATING PURPOSES	10,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ROCKEFELLER PHILANTHROPY ADVISORS 6 WEST 48TH STREET, 10TH FLOOR NEW YORK, NY 10036	N/A	PC	FUND FOR SHARED INSIGHT-LISTEN FOR GOOD PROGRAM	30,000.
ROCKEFELLER PHILANTHROPY ADVISORS 6 WEST 48TH STREET, 10TH FLOOR NEW YORK, NY 10036	N/A	PC	FUND FOR SHARED INSIGHT (PYMT 2 OF 3)	250,000.
RUTGERS UNIVERSITY FOUNDATION 120 ALBANY STREET, SUITE 201 NEW BRUNSWICK, NJ 08901	N/A	PC	GENERAL OPERATING PURPOSES	5,000.
SALK INSTITUTE FOR BIOLOGICAL STUDIES 10010 NORTH TORREY PINES ROAD LA JOLLA, CA 92037-1002	N/A	PC	LAW, JULIE 2015 SCHOLAR	100,000.
SCIENCECOUNTS, INC. 529 14TH STREET NW, SUITE 1050 WASHINGTON, DC 20045	N/A	PC	RURAL MISSISSIPPI EXTENSION-RAISING VOICES FOR SCIENCE: TEST CAMPAIGN PROGRAM	50,000.
SCIENCECOUNTS, INC. 529 14TH STREET NW, SUITE 1050 WASHINGTON, DC 20045	N/A	PC	RAISING VOICES FOR SCIENCE: A TEST CAMPAIGN PROGRAM	125,000.
SOURLAND CONSERVANCY 83 PRINCETON AVENUE, SUITE 1A HOPEWELL, NJ 08525	N/A	PC	EMPLOYEE MATCHING GIFT	365.
SOUTH KENT SCHOOL 40 BULLS BRIDGE ROAD SOUTH KENT, CT 06785	N/A	PC	GENERAL OPERATING PURPOSES	15,000.
STANFORD UNIVERSITY PO BOX 44253 SAN FRANCISCO, CA 94144-4253	N/A	PC	GREENLEAF, WILLIAM 2013 SCHOLAR	100,000.
STUDENT PRESS LAW CENTER 1608 RHODE ISLAND AVE., NW SUITE 211 WASHINGTON, DC 20036	N/A	PC	GENERAL OPERATING PURPOSES	5,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THE CONVERSATION US, INC. 89 SOUTH STREET, SUITE 202 BOSTON, MA 02111	N/A	PC	UNLOCKING HIGH QUALITY SCIENCE FOR THE WIDER COMMUNITY PROGRAM (PYMT 2 OF 2)	100,000.
THE MAGNUM FOUNDATION 59 E 4TH STREET 7W NEW YORK, NY 10003	N/A	PC	EMPLOYEE MATCHING GIFT	250.
THE NATURE CONSERVANCY 2101 NORTH FRONT STREET, BLDG 1, SUITE 200 HARRISBURG, PA 17110	N/A	PC	GENERAL OPERATING PURPOSES	5,000.
THE ROCKEFELLER UNIVERSITY 1230 YORK AVENUE, BOX 164 NEW YORK, NY 10065	N/A	PC	KLINGE, SEBASTIAN 2014 SCHOLAR	100,000.
THE UNIVERSITY OF CALIFORNIA 1855 FOLSOM STREET, MCB 425 BOX 0897 SAN FRANCISCO, CA 94143-0897	N/A	PC	DUMONT, SOPHIE 2013 SCHOLAR	110,000.
THE UNIVERSITY OF CALIFORNIA 1855 FOLSOM STREET, MCB 425 BOX 0897 SAN FRANCISCO, CA 94143-0897	N/A	PC	KNIGHT, ZACHARY 2014 SCHOLAR	100,000.
UJA FEDERATION 130 EAST 59TH STREET NEW YORK, NY 10022	N/A	PC	GRANT-HOMES FOR AGED	75,000.
UNION OF CONCERNED SCIENTISTS 2 BRATTLE SQUARE, 6TH FLOOR CAMBRIDGE, MA 02138	N/A	PC	GENERAL OPERATING PURPOSES	5,000.
UNIV. OF TEXAS MD ANDERSON CANCER CENTER PO BOX 4390 HOUSTON, TX 77210-4390	N/A	PC	SCHLACHER, KATHARINA 2016 SCHOLAR	100,000.
UNIVERSITY OF ALABAMA AT BIRMINGHAM 1720 2ND AVENUE SOUTH, AB 990 BIRMINGHAM, AL 35924-0109	N/A	PC	SORGE, ROBERT 2015 PAIN SCHOLAR	50,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
UNIVERSITY OF CALIFORNIA, BERKELEY 2195 HEARST AVENUE, ROOM 130 MC 1103 BERKLEY, CA 94720-1103	N/A	PC	LAMMEL, STEPHAN 2017 SCHOLAR	100,000.
UNIVERSITY OF CALIFORNIA, DAVIS PO BOX 989062 WEST SACRAMENTO, CA 95798-9062	N/A	PC	TIAN, LIN 2014 SCHOLAR	100,000.
UNIVERSITY OF CALIFORNIA, SAN DIEGO 9500 GILMAN DRIVE, MAIL CODE: 0009 LA JOLLA, CA 92093-0009	N/A	PC	DANEMAN, RICHARD 2017 SCHOLAR	110,000.
UNIVERSITY OF CINCINNATI 51 GOODMAN DRIVE, SUITE 530 CINCINNATI, OH 45221-0222	N/A	PC	DAVIDSON, STEVE 2016 SCHOLAR	50,000.
UNIVERSITY OF CONNECTICUT 438 WHITNEY ROAD EXT., U-1133 STORRS, CT 06269-1133	N/A	PC	BAUMBAUER, KYLE 2017 SCHOLAR	50,000.
UNIVERSITY OF MICHIGAN BOX 223131 PITTSBURGH, PA 15251-2131	N/A	PC	DUS, MONICA 2016 SCHOLAR	110,000.
UNIVERSITY OF NORTH CAROLINA PO BOX 402420 ATLANTA, GA 30384-2420	N/A	PC	LI, BO 2016 SCHOLAR	100,000.
UNIVERSITY OF PENNSYLVANIA 2929 WALNUT STREET PHILADELPHIA, PA 19104-5099	N/A	PC	GENERAL OPERATING PURPOSES	5,000.
UNIVERSITY OF PENNSYLVANIA 3451 WALNUT STREET PHILADELPHIA, PA 19104-6205	N/A	PC	WILUSZ, JEREMY 2015 SCHOLAR	100,000.
UNIVERSITY OF TEXAS AT AUSTIN PO BOX 7159 AUSTIN, TX 78713	N/A	PC	ANNETTE STRAUSS INSTITUTE FOR CIVIC LIFE, ENGAGING NEWS PROJECT (PYMT 2 OF 3)	75,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
UNIVERSITY OF TEXAS AT AUSTIN PO BOX 7159 AUSTIN, TX 78713	N/A	PC	LANDSCAPING OVERVIEW OF NORTH AMERICAN	14,618.
URBAN JUSTICE CENTER 40 RECTOR STREET, 9TH FLOOR NEW YORK, NY 10006	N/A	PC	INTERNATIONAL REFUGEE ASSISTANCE PROJECT-J KARTT MATCHING GIFT	50.
UT SOUTHWESTERN MEDICAL CENTER PO BOX 841753 DALLAS, TX 75284-1753	N/A	PC	SCHOGGINS, JOHN 2015 SCHOLAR	110,000.
VANDERBILT UNIVERSITY 230 APPLETON PLACE #552 NASHVILLE, TN 37203	N/A	PC	USING COGNITIVE PSYCHOLOGY TO IMPROVE CROSS CHECK	50,000.
WEILL MEDICAL COLLEGE OF CORNELL UNIV 575 LEXINGTON AVE., FLOOR 9 NEW YORK, NY 10022	N/A	PC	LISTON, CONOR 2013 SCHOLAR	100,000.
WGBH EDUCATIONAL FOUNDATION ONE GUEST STREET BOSTON, MA 02135	N/A	PC	SCIENCE COMMUNICATION FELLOW PROGRAM (PYMT 2 OF 2)	75,000.
WHYY 150 N. 6TH STREET PHILADELPHIA, PA 19106	N/A	PC	EMPLOYEE MATCHING GIFT	120.
WILLIAMS COLLEGE 75 PARK STREET WILLIAMSTOWN, MA 01267	N/A	PC	EMPLOYEE MATCHING GIFT	150.
YALE UNIVERSITY PO BOX 1873 NEW HAVEN, CT 06508-1873	N/A	PC	GRACHEVA, ELANA 2013 SCHOLAR	100,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Approved for Future Payment (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
AMERICAN ASSOCIATION ADVANCEMENT OF SCIENCE 1200 NEW YORK AVENUE, NW WASHINGTON, DC 20011	N/A	PC	CENTER FOR SCIENTIFIC EVIDENCE IN PUBLIC ISSUES	200,000.
ANNENBERG PUBLIC POLICY CENTER 202 S 36TH STREET PHILADELPHIA, PA 19082-3806	N/A	PC	SCIENCE MEDIA MONITOR PROGRAM	200,000.
ARIZONA STATE UNIVERSITY FOUNDATION PO BOX 2260 TEMPE, AZ 85280-2260	N/A	PC	INNOVATIONS IN SCIENCE NEWS LITERACY PROGRAM	75,000.
CAL POLY SAN LUIS OBISPO 1 GRAND AVENUE SAN LUIS OBISPO, CA 93407	N/A	PC	DIGITAL DEMOCRACY	100,000.
CENTER FOR RESEARCH IN LIFELONG STEM LEARNING A312 KERR ADMINISTRATION BUILDING CORVALLIS, OR 97331	N/A	PC	LANDSCAPE REPORT TO INFORM 2018 WORKSHOP	13,033.
IBIOLOGY 600 16TH STREET SAN FRANCISCO, CA 94143	N/A	PC	GENE EDITING DOCUMENTARY OUTREACH & ENGAGEMENT	140,000.
INSTITUTE FOR NONPROFIT NEWS PO BOX 8606 CALABASAS, CA 91372-8606	N/A	PC	NEWS REVENUE HUB	75,000.
INTERNATIONAL ASSOCIATION FOR THE STUDY OF PAIN 1510 H STREET NW, SUITE 600 WASHINGTON, DC 2005-1020	N/A	PC	RELIEF: PAIN RESEARCH, NEWS, INSIGHTS & IDEAS PROGRAM	50,000.
INTERNET ARCHIVE 300 FUNSTON AVENUE SAN FRANCISCO, CA 94118	N/A	PC	TALKING POINTS AND SOUNDBITE TRACKER PROGRAM	50,000.
MASSACHUSETTES INSTITUTE OF TECHNOLOGY 600 MEMORIAL DRIVE W98-308 CAMBRIDGE, MA 02139-4822	N/A	PC	KNIGHT SCIENCE JOURNALISM	50,000.
Total from continuation sheets				2,578,033.

Part XV Supplementary Information**3 Grants and Contributions Approved for Future Payment (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MEDIA IMPACT FUNDERS 200 W. WASHINGTON SQUARE, SUITE 220 PHILADELPHIA, PA 19106	N/A	PC	BUILDING THE KNOWLEDGE AND CAPACITY OF FUNDERS SUPPORTING THE PUBLIC ENGAGEMENT WITH EVIDENCE	75,000.
MOZILLA FOUNDATION 331 EAST EVELYN AVENUE MOUNTAIN VIEW, CA 94041	N/A	PC	CORAL PROJECT	40,000.
NATIONAL ACADEMY OF SCIENCES 500 5TH ST NW WASHINGTON, DC 20001	N/A	PC	ADVANCING SCIENCE COMMUNICATION RESEARCH & PRACTICE	200,000.
NEW YORK PUBLIC RADIO 160 VARICK STREET NEW YORK, NY 10013	N/A	PC	DOCUMENTING HATE PROJECT	75,000.
NEW YORK UNIVERSITY 25 W. 4TH STREET, 4TH FLOOR NEW YORK, NY 10012-1119	N/A	PC	SOCIAL MEDIA AND POLITICAL PARTICIPATION LAB (SMAPP)	150,000.
PARTICIPATORY BUDGETING PROJECT 540 PRESIDENT STREET, 3RD FLOOR BROOKLYN, NY 11215	N/A	PC	PARTICIPATION LAB	100,000.
ROCKEFELLER UNIVERSITY 1230 YORK AVENUE NEW YORK, NY 10065	N/A	PC	ROCKEDU SCIENCE OUTREACH	35,000.
RPA, FUND FOR SHARED INSIGHT 6 WEST 48TH STREET, 10TH FLR NEW YORK, NY 10036	N/A	PC	FUND FOR SHARED INSIGHT	250,000.
SCIENCECOUNTS 529 14TH STREET NW, SUITE 1050 WASHINGTON, DC 20045-2001	N/A	PC	RAISING VOICES FOR SCIENCE - A TEST CAMPAIGN	125,000.
SOLUTIONS JOURNALISM NETWORK 79 MADISON AVENUE, SUITE #224 NEW YORK, NY 10016	N/A	PC	INCREASING THE DIVERSITY OF NEWSROOM BASE PROGRAM	250,000.
Total from continuation sheets				

3 Grants and Contributions Approved for Future Payment (Continuation)

Total from continuation sheets

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
ENDOWMENT CASH	15,515.	15,515.	
TOTAL TO PART I, LINE 3	15,515.	15,515.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
INTEREST AND DIVIDENDS	1,848,472.	0.	1,848,472.	1,848,472.	
TO PART I, LINE 4	1,848,472.	0.	1,848,472.	1,848,472.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
CLASS ACTION SETTLEMENTS	17,155.	17,155.	
OTHER PARTNERSHIP INCOME	161,699.	251,242.	
OTHER MISCELLANEOUS INCOME	4,945.	4,945.	
GRANT REFUNDS	116,728.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	300,527.	273,342.	

FORM 990-PF LEGAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	8,336.	0.		8,336.
TO FM 990-PF, PG 1, LN 16A	8,336.	0.		8,336.

FORM 990-PF

ACCOUNTING FEES

STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	67,100.	0.		67,100.
TO FORM 990-PF, PG 1, LN 16B	67,100.	0.		67,100.

FORM 990-PF

OTHER PROFESSIONAL FEES

STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	1,001,489.	987,210.		0.
BNYM CUSTODY FEES	70,005.	70,005.		0.
OTHER PROFESSIONAL FEES	3,200.	0.		3,200.
COMMUNICATION CONSULTING EXPENSES	56,981.	0.		56,981.
TO FORM 990-PF, PG 1, LN 16C	1,131,675.	1,057,215.		60,181.

FORM 990-PF

TAXES

STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX WITHHELD	4,400.	28,666.		0.
FEDERAL EXCISE TAX EXPENSE	20,000.	0.		0.
TO FORM 990-PF, PG 1, LN 18	24,400.	28,666.		0.

FORM 990-PF

OTHER EXPENSES

STATEMENT 8

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INSURANCE	6,455.	0.		6,455.
OFFICE EXPENSES	13,108.	0.		13,108.
MEMBERSHIPS AND DUES	26,810.	0.		26,810.
ANNUAL REPORT FILING	1,528.	0.		1,528.
SCIENTIFIC ADVISORY HONORARIA	24,000.	0.		24,000.
IT SERVICE & WEB DESIGN	9,728.	0.		9,728.
PAYROLL SERVICE	3,857.	0.		3,857.
GRANT SOFTWARE & WEB SERVICES	29,989.	0.		29,989.
OFFICE FURNITURE AND EQUIPMENT	14,076.	0.		14,076.
PROGRAM/COMMUNICATIONS EXPENSE	83,252.	0.		83,252.
STRATEGIC PLANNING	22,783.	0.		22,783.
SCHOLARS WORKSHOP	77,097.	0.		77,097.
OTHER PARTNERSHIP DEDUCTIONS	0.	134,738.		0.
TO FORM 990-PF, PG 1, LN 23	312,683.	134,738.		312,683.

FORM 990-PF

CORPORATE STOCK

STATEMENT 9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK - SEE ATTACHMENT A	87,330,758.	87,330,758.
TOTAL TO FORM 990-PF, PART II, LINE 10B	87,330,758.	87,330,758.

FORM 990-PF

OTHER INVESTMENTS

STATEMENT 10

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MUTUAL FUNDS - SEE ATTACHMENT A	FMV	10,880,736.	10,880,736.
HEDGE FUNDS - SEE ATTACHMENT A	FMV	55,876,312.	55,876,312.
PRIVATE EQUITIES - SEE ATTACHMENT A	FMV	10,031,505.	10,031,505.
TOTAL TO FORM 990-PF, PART II, LINE 13		76,788,553.	76,788,553.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 11

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
WILLIAM F. GADSDEN 92 NASSAU STREET, 3RD FLOOR PRINCETON, NJ 08540	CHAIRMAN OF THE BOARD 10.00	7,000.	0.	0.
ELIZABETH G. CHRISTOPHERSON 92 NASSAU STREET, 3RD FLOOR PRINCETON, NJ 08540	PRESIDENT AND CEO 35.00	422,334.	76,183.	0.
KARI WILLIAMS TYKSINSKI 92 NASSAU STREET, 3RD FLOOR PRINCETON, NJ 08540	TREASURER/FINANCE 20.00	95,935.	23,651.	0.
SAMUEL S.-H. WANG 92 NASSAU STREET, 3RD FLOOR PRINCETON, NJ 08540	DIRECTOR 1.00	4,000.	0.	0.
ROBBERT DIJKGRAAF 92 NASSAU STREET, 3RD FLOOR PRINCETON, NJ 08540	DIRECTOR 1.00	4,000.	0.	0.
SIVAN HONG 92 NASSAU STREET, 3RD FLOOR PRINCETON, NJ 08540	DIRECTOR 1.00	0.	0.	0.
LANDON Y. JONES 92 NASSAU STREET, 3RD FLOOR PRINCETON, NJ 08540	DIRECTOR 1.00	0.	0.	0.
HONORABLE THOMAS H. KEAN 92 NASSAU STREET, 3RD FLOOR PRINCETON, NJ 08540	DIRECTOR 1.00	0.	0.	0.
GENEVA OVERHOLSER 92 NASSAU STREET, 3RD FLOOR PRINCETON, NJ 08540	DIRECTOR 1.00	4,000.	0.	0.
KATHERINE BELYI 92 NASSAU STREET, 3RD FLOOR PRINCETON, NJ 08540	SECRETARY/COMMUNICATIONS 20.00	68,600.	9,924.	0.

· RITA ALLEN FOUNDATION, INC.

13-6116429

· ANDREW GOLDEN
· 92 NASSAU STREET, 3RD FLOOR
· PRINCETON, NJ 08540

DIRECTOR
1.00

0. 0. 0.

TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII

605,869. 109,758. 0.

RITA ALLEN FOUNDATION, INC.
CAPITAL GAIN/(LOSS), NET (FORM 990-PF, PART IV, LINE 1B)
December 31, 2017
EIN #13-6116429

DESCRIPTION	GAIN/(LOSS)
SALES OF NON-PUBLIC SECURITIES	
ARCHER SELECT DISLOCATION	\$ 924,453
BIRCH GROVE CREDIT STRATEGIES FUND SERIES 0615	104,958
BIRCH GROVE CREDIT STRATEGIES FUND SERIES E	27,764
CONVEXITY CAPITAL OFFSHORE L P.	(74,987)
EDGBASTON ASIAN EQUITY TRUST	59,313
FUNDSMITH EQUITY FUND	27,696
GOTHAM HEDGED VALUE STRATEGIES	305,136
GQG PARTNERS INTERNATIONAL EQUITY FUND - GQG PARTNERS SERIES LLC	(13,800)
GS INDIA EQUITY 1 ACCUMULATION	1,152,238
JHL CAPITAL GROUP FUND LTD.	(288,368)
LANSDOWNE	3,018
LEE FUND LIMITED	28,982
MGG SF EVERGREEN UNLEVERED FUND LP	17,944
NEW GENERATION TURNAROUND FUND (DELAWARE), LP	(11,344)
NEWPORT ASIA INSTUTIONAL FUND LP	484,022
THE KILTEARN GLOBAL EQUITY FUND	230,935
WARBURG PINCUS PRIVATE EQUITY XII, L.P	14
YORK EUROPEAN DISTRESSED CREDIT FUND II LP	128,674
Total Sales of Non-Public Securities	<u>\$ 3,106,648</u>