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Form **990-PF**

Return of Private Foundation

OMB No 1545-0052

2017

Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation
Do not enter social security numbers on this form as it may be made public.
Go to www.irs.gov/Form990PF for instructions and the latest information.

Open to Public Inspection

For calendar year **2017** or tax year beginning , **2017**, and ending , **20**

Name of foundation
THE RIDGEFIELD FOUNDATION

Number and street (or P O box number if mail is not delivered to street address) Room/suite
570 LEXINGTON AVENUE, 33RD FL

City or town, state or province, country, and ZIP or foreign postal code
NEW YORK, NY 10022

A Employer identification number
13-6093563

B Telephone number (see instructions)
(212) 750-9330

C If exemption application is pending, check here.

D 1 Foreign organizations, check here.
2 Foreign organizations meeting the 85% test, check here and attach computation.

E If private foundation status was terminated under section 507(b)(1)(A), check here.

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here.

G Check all that apply

<input type="checkbox"/> Initial return	<input type="checkbox"/> Initial return of a former public charity
<input type="checkbox"/> Final return	<input type="checkbox"/> Amended return
<input type="checkbox"/> Address change	<input type="checkbox"/> Name change

H Check type of organization Section 501(c)(3) exempt private foundation **OH**
 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 80,351,798.

J Accounting method Cash Accrual
 Other (specify) _____

(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B.				
3 Interest on savings and temporary cash investments.	20,516.	20,516.		ATCH 1
4 Dividends and interest from securities	1,578,837.	1,552,453.		ATCH 2
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	2,868,030.			
b Gross sales price for all assets on line 6a	18,933,615.			
7 Capital gain net income (from Part IV, line 2)		2,868,686.		
8 Net short-term capital gain.				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule) ATCH 3	469,775.	196,183.		
12 Total. Add lines 1 through 11	4,937,158.	4,637,838.		
13 Compensation of officers, directors, trustees, etc.	168,000.	84,000.		84,000.
14 Other employee salaries and wages	184,115.	92,057.		92,058.
15 Pension plans, employee benefits	40,667.	20,333.		20,334.
16a Legal fees (attach schedule) ATCH 4	222,106.	111,053.		111,053.
b Accounting fees (attach schedule) ATCH 5	73,164.	36,582.		36,582.
c Other professional fees (attach schedule) [6]	251,929.	251,929.		
17 Interest [7]	1,885.	1,885.		
18 Taxes (attach schedule) (see instructions) [8]	66,386.	38,561.		12,565.
19 Depreciation (attach schedule) and depletion	2,900.			
20 Occupancy [9]	158,867.	79,434.		79,433.
21 Travel, conferences, and meetings	43,005.			43,005.
22 Printing and publications				
23 Other expenses (attach schedule) ATCH 9	239,605.	95,117.		38,183.
24 Total operating and administrative expenses. Add lines 13 through 23.	1,452,629.	810,951.		517,213.
25 Contributions, gifts, grants paid	3,571,000.			3,571,000.
26 Total expenses and disbursements. Add lines 24 and 25	5,023,629.	810,951.	0.	4,088,213.
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	-86,471.			
b Net investment income (if negative, enter -0-)		3,826,887.		
c Adjusted net income (if negative, enter -0-)				

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SCANNED FEB 27 2018 Revenue Operating and Administrative Expenses

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Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	17,471,193.	7,410,298.	7,410,298.
	3 Accounts receivable ▶ 525,073.			
	Less allowance for doubtful accounts ▶	596,471.	525,073.	525,073.
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations (attach schedule)			
	b Investments - corporate stock (attach schedule) ATCH 10	7,491,162.	8,405,702.	10,082,964.
	c Investments - corporate bonds (attach schedule)			
	11 Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
12 Investments - mortgage loans				
13 Investments - other (attach schedule) ATCH 11	39,701,342.	48,835,525.	62,238,460.	
14 Land, buildings, and equipment basis ▶ 59,260. Less accumulated depreciation (attach schedule) ▶ 51,753.	10,408.	7,507.	7,507.	
15 Other assets (describe ▶ ATCH 13)	87,496.	87,496.	87,496.	
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	65,358,072.	65,271,601.	80,351,798.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26, and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	65,358,072.	65,271,601.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds				
30 Total net assets or fund balances (see instructions)	65,358,072.	65,271,601.		
31 Total liabilities and net assets/fund balances (see instructions)	65,358,072.	65,271,601.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	65,358,072.
2 Enter amount from Part I, line 27a	2	-86,471.
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	65,271,601.
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	65,271,601.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 a SEE PART IV SCHEDULE				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(l) Gains (col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	2,868,686.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in Part I, line 8		{ }	3	0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
If "Yes," the foundation doesn't qualify under section 4940(e) Do not complete this part

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	4,246,927.	72,068,375.	0.058929
2015	4,368,779.	81,964,869.	0.053301
2014	3,983,713.	80,518,991.	0.049475
2013	4,047,366.	77,981,087.	0.051902
2012	4,223,558.	76,408,048.	0.055276
2 Total of line 1, column (d)			2 0.268883
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years			3 0.053777
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5			4 78,315,199.
5 Multiply line 4 by line 3.			5 4,211,556.
6 Enter 1% of net investment income (1% of Part I, line 27b).			6 38,269.
7 Add lines 5 and 6.			7 4,249,825.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions			8 4,088,213.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

Table with 11 rows for excise tax calculations. Includes fields for exempt foundations, tax under section 511, add lines 1 and 2, subtitle A tax, tax based on investment income, credits/payments (6a-6d), total credits, penalty, tax due, overpayment, and amount credited to 2018.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Columns include question number, Yes, and No. Questions cover political activities, unrelated business income, and substantial contributors.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions 11 Yes No X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions 12 Yes No X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? 13 X
Website address N/A
14 The books are in care of MARGOT GIBIS Telephone no 212-750-9330
Located at 570 LEXINGTON AVENUE, 33RD FLOOR NEW YORK, NY ZIP+4 10022
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here 15
16 At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? 16 Yes No X
See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.
1a During the year, did the foundation (either directly or indirectly)
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes No X
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes No X
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes No X
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? X Yes No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? Yes No X
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) Yes No X
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions 1b X
Organizations relying on a current notice regarding disaster assistance, check here
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017? 1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))
a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? Yes No X
If "Yes," list the years
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) 2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Yes No X
b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017) 3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017? 4b X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions. Yes No
Organizations relying on a current notice regarding disaster assistance, check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

	Yes	No
5b		X
6b		X
7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 15		168,000.	7,983.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 16		152,000.	13,231.	0.

Total number of other employees paid over \$50,000.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ATCH 17		466,535.

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments. See instructions	
3 NONE	

Total. Add lines 1 through 3 ▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	73,026,396.
b	Average of monthly cash balances	1b	6,481,420.
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	79,507,816.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	79,507,816.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	1,192,617.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	78,315,199.
6	Minimum investment return. Enter 5% of line 5	6	3,915,760.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	3,915,760.
2a	Tax on investment income for 2017 from Part VI, line 5	2a	76,538.
b	Income tax for 2017 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	76,538.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	3,839,222.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	3,839,222.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	3,839,222.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	4,088,213.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	4,088,213.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	4,088,213.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				3,839,222.
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only.				
b Total for prior years 20 15 ,20 14 ,20 13				
3 Excess distributions carryover, if any, to 2017				
a From 2012	422,708.			
b From 2013	212,018.			
c From 2014	84,166.			
d From 2015	317,175.			
e From 2016	668,020.			
f Total of lines 3a through e	1,704,087.			
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ \$ 4,088,213.				
a Applied to 2016, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions).				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2017 distributable amount.				3,839,222.
e Remaining amount distributed out of corpus.	248,991.			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,953,078.			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018.				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions)	422,708.			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	1,530,370.			
10 Analysis of line 9				
a Excess from 2013	212,018.			
b Excess from 2014	84,166.			
c Excess from 2015	317,175.			
d Excess from 2016	668,020.			
e Excess from 2017	248,991.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling
b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

Table with 5 columns: (a) 2017, (b) 2016, (c) 2015, (d) 2014, (e) Total. Rows include: 2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed; b 85% of line 2a; c Qualifying distributions from Part XII, line 4 for each year listed; d Amounts included in line 2c not used directly for active conduct of exempt activities; e Qualifying distributions made directly for active conduct of exempt activities; 3 Complete 3a, b, or c for the alternative test relied upon; a "Assets" alternative test; b "Endowment" alternative test; c "Support" alternative test.

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
N/A
b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest
N/A
2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:
Check here [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.
a The name, address, and telephone number or email address of the person to whom applications should be addressed
b The form in which applications should be submitted and information and materials they should include
c Any submission deadlines
d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<p><i>a Paid during the year</i></p> <p>ATCH 18</p>				
Total				3a 3,571,000.
<i>b Approved for future payment</i>				
Total				3b

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Table with 5 main columns: (a) Business code, (b) Amount, (c) Exclusion code, (d) Amount, (e) Related or exempt function income. Rows include Program service revenue, Membership dues and assessments, Interest on savings and temporary cash investments, Dividends and interest from securities, Net rental income or (loss) from real estate, Net rental income or (loss) from personal property, Other investment income, Gain or (loss) from sales of assets other than inventory, Net income or (loss) from special events, Gross profit or (loss) from sales of inventory, Other revenue, Subtotal, and Total.

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Table with 2 columns: Line No. and Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions).

**FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL CAPITAL GAIN DISTRIBUTIONS					149,239.	
3,994.		FIDELITY #9879 - 53 SHS COMCAST CORP NEW PROPERTY TYPE: SECURITIES 3,205.				P	03/17/2016	02/13/2017 789.
3,693,915.		FIDELITY #9691 - VARIOUS S/T COVERED PROPERTY TYPE: SECURITIES 3,731,521.				P	VAR	VAR -37,210.
4,127,988.		FIDELITY #9691 - VARIOUS L/T COVERED PROPERTY TYPE: SECURITIES 2,945,065.				P	VAR	VAR 1,182,923.
340,357.		FIDELITY #9691 - SALE OF EII INT'L PROP PROPERTY TYPE: SECURITIES 494,346.				P	12/29/2008	06/09/2017 -153,989.
2,228,908.		FIDELITY #9693 - VARIOUS S/T COVERED PROPERTY TYPE: SECURITIES 2,211,936.				P	VAR	VAR 16,972.
219,480.		FIDELITY #9693 - 200,000 SHS BANK AMER C PROPERTY TYPE: SECURITIES 208,260.				P	09/21/2016	09/22/2017 11,220.
513,016.		FIDELITY #9879 - VARIOUS L/T COVERED PROPERTY TYPE: SECURITIES 393,230.				P	VAR	VAR 119,786.
3,256,611.		FIDELITY #9694 - VARIOUS S/T COVERED PROPERTY TYPE: SECURITIES 3,256,733.				P	VAR	VAR -122.
1,296,267.		OCA EAGLE GLOBAL MLP - K1 - L/T PROPERTY TYPE: OTHER				P	VAR	VAR 1,296,267.
		OCA R/E OPPO FD I - K1 - L/T PROPERTY TYPE: OTHER 5,088.				P	VAR	VAR -5,088.
63,084.		GSO CAP SOL OVERSEAS FEEDER FD II - K1 - PROPERTY TYPE: OTHER				P	VAR	VAR 63,084.
		OCA SA MEZZAANINE II - L/T				P	01/01/2016	08/15/2017

**FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold	
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)		
77,766.		PROPERTY TYPE: OTHER 70,578.					7,188.		
1,243,036.		SACHEM HEAD - L/T PROPERTY TYPE: OTHER 1,125,056.				P	01/01/2017	03/31/2017	
1,278.		SECTION 1231 - OCA EAGLE GLOBAL MLP - K-					1,278.		
		SECTION 1231 - GSO CAP SOL FD II AIV-2 - PROPERTY TYPE: OTHER 373.				P		-373.	
17.		SECTION 1231 - OCA R/E OPPO FD I - K-1 PROPERTY TYPE: OTHER				P		17.	
		SECTION 1256 - OCA R/E OPPO FD I - K1 106.					-106.		
1,247,876.		SACHEM HEAD PROPERTY TYPE: OTHER 1,125,056.				P	01/01/2016	07/19/2017	
68,925.		OCA SA MEZZANINE PROPERTY TYPE: OTHER 61,555.				P	01/01/2016	11/22/2017	
401,858.		EI REALTY SEC INST'L SHS PROPERTY TYPE: SECURITIES 433,217.				P	12/29/2008	06/09/2017	
TOTAL GAIN (LOSS)								<u>2,868,686.</u>	

FORM 990PF - FEDERAL FOOTNOTES

PLEASE SEE ATTACHED FORMS 926 - RETURN BY U.S. TRANSFEROR OF PROPERTY TO A FOREIGN CORPORATION FOR THE FOLLOWING CORPORATIONS1. GSO CAPITAL SOLUTIONS OVERSEAS FEEDER FUND II, OCA SA MEZZANINE II SEGREGATED PORTFOLIO.

THE RIDGEFIELD FOUNDATION 13-6093563

2017 Form 990-PF, ATTACHMENT A

PART XV - LINE 3A GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

RECIPIENTS	ADDRESS	IF RECIPIENT IS AN INDIVIDUAL, SHOW ANY RELATIONSHIP TO ANY FOUNDATION MANAGER OR SUBSTANTIAL CONTRIBUTOR	FOUNDATION STATUS OF RECIPIENT	AMOUNT	PURPOSE OF GRANT OR CONTRIBUTION
Aldrich Museum	258 Main Street, Ridgefield, CT 06877	N/A	Public	\$ 30,000	Museums and Cultural
American Folk Art Museum	2 Lincoln Square, New York, NY 10023-6214	N/A	Public	\$ 200,000	Museums and Cultural
Atlantic Theater Company	76 Ninth Avenue, Suite 537, New York, NY 10011	N/A	Public	\$ 25,000	Children's Programs
Bar-Ilan University	160 East 56th Street, 5th Floor, New York, NY 10022	N/A	Public	\$ 125,000	Universities and Schools
Being the Blessing Foundation	112 Prospect Street, 3rd Floor, Stamford, CT 06901	N/A	Public	\$ 50,000	Relief of Disadvantaged
Boys Town Jerusalem Foundation	110 Hillside Blvd Suite 14, Lakewood, NJ 08701	N/A	Public	\$ 125,000	Universities and Schools
Clark University	950 Main Street, Worcester, MA 01610-1477	N/A	Public	\$ 165,000	Universities and Schools
Danbury Police Department	375 Main Street, Danbury, CT 06810	N/A	Public	\$ 10,000	Community Service
Doctors Without Borders	333 7th Avenue, 2nd Fl, New York, NY 10001-5004	N/A	Public	\$ 50,000	Medical - Clinical
Grow NYC	P O Box 2327, New York, NY 10272	N/A	Public	\$ 25,000	Children's Programs
Fr of Ridgefield Community Program, Inc (RCCASA)	400 Main Street, Ridgefield, CT 06877	N/A	Public	\$ 25,000	Community Service
Harlem School of the Arts	645 Saint Nicholas Avenue, New York, NY 10030	N/A	Public	\$ 25,000	Children's Programs
Hebrew University, Inc	1 Battery Park Plaza, 25th Fl, New York, NY 10004-1405	N/A	Public	\$ 225,000	Universities and Schools
Israel Museum	545 Fifth Avenue, Suite 920, New York, NY 10117	N/A	Public	\$ 30,000	Museums and Cultural
Israel Museum	545 Fifth Avenue, Suite 920, New York, NY 10117	N/A	Public	\$ 80,000	Museums and Cultural
Jacob's Pillow	358 George Carter Rd, Becket, MA 01223	N/A	Public	\$ 25,000	Museums and Cultural
Jewish Museum	1109 Fifth Avenue, New York, NY 10022	N/A	Public	\$ 50,000	Museums and Cultural
Lower East Side Tenement Museum	91 Orchard Street, New York, NY 10002	N/A	Public	\$ 135,000	Museums and Cultural
* Luxfestival	B P 30, L-6401 Echternach, Luxembourg	N/A	Public	\$ 50,000	Museums and Cultural
* Musee d'Art Moderne (MUDAM)	3, Park Drai Echelen, L-1499 Luxembourg	N/A	Public	\$ 135,000	Museums and Cultural
* Musee National d'Histoire et d'Art Luxembourg	Marche-aux-Poissons, L-2345 Luxembourg	N/A	Public	\$ 100,000	Museums and Cultural
New Jersey Institute of Technology	University Heights, 310 Fenster Hall, Newark, NJ 07120-1982	N/A	Public	\$ 46,000	Universities and Schools
New Jersey Institute of Technology	University Heights, 310 Fenster Hall, Newark, NJ 07120-1983	N/A	Public	\$ 50,000	Universities and Schools
New York Pops	333 West 52nd Street, Suite 600, New York, NY 10019	N/A	Public	\$ 40,000	Museums and Cultural
92nd Street "y"	1395 Lexington Avenue, New York, NY 10128	N/A	Public	\$ 100,000	Museums and Cultural
Our Generation Speaks	415 South Street, Waltham, MA 02543	N/A	Public	\$ 500	Community Service
Philanthropy Roundtable	1730 M Street NW, Suite 601, Washington, DC 20036	N/A	Public	\$ 175,000	Medical - Clinical
Rambam Health Care Campus	521 Fifth Avenue, Suite 1731, New York, NY 10175	N/A	Public	\$ 15,000	Community Service
Richmond Community Services Foundation	272 North Bedford Road, Mt Kisco, NY 10549	N/A	Public	\$ 27,000	Community Service
Ridgefield Community Services	316 Main Street, Ridgefield, CT 06877	N/A	Public	\$ 150,000	Relief of Disadvantaged
Sanctuary for Families	P O Box 1406 - Wall Street Station, New York, NY 10268-1406	N/A	Public	\$ 70,000	Relief of Disadvantaged
Sanctuary for Families	P O Box 1406 - Wall Street Station, New York, NY 10268-1406	N/A	Public	\$ 45,000	Children's Programs
SCAN New York	345 East 102nd Street, New York, NY 10029	N/A	Public	\$ 20,000	Children's Programs
SNACK & Friends, Inc	316 East 53rd Street, New York, NY 10022	N/A	Public	\$ 40,000	Children's Programs
Studio In A School	410 West 59th Street, New York, NY 10019	N/A	Public	\$ 545,000	Universities and Schools
TUFTS University	160 Packard Avenue, Medford, MA 02155	N/A	Public	\$ 100,000	Universities and Schools
TUFTS University	160 Packard Avenue, Medford, MA 02155	N/A	Public	\$ 50,000	Universities and Schools
TUFTS University	160 Packard Avenue, Medford, MA 02155	N/A	Public	\$ 25,000	Relief of Disadvantaged
UJA-Federation of New York	40 Temple Street, Room #1061, New York, NY 10022	N/A	Public	\$ 50,000	Medical Research
Yale University/Yale Eye Center	40 Temple Street, Suite 1B, New Haven, CT 06510	N/A	Public	\$ 237,500	Medical Research
Weizmann Institute of Science	633 Third Avenue, 20th Fl, New York, NY 10017	N/A	Public	\$ 3,571,000	Medical Research
Total					

* ALL GRANTS ARE PAID TO U.S. PUBLIC CHARITIES EXCEPT FOR THOSE MARKED WITH AN "*" SEE EXPENDITURE RESPONSIBILITY STATEMENTS FOR THE GRANTS WITH AN "*"

FEDERAL FOOTNOTES

990-PF: RECONCILE PAGE 1, COL B, LINE 7 TO PAGE I, COL A, LINE 6A:

LINE 6A, COL A	2,868,030
SEC 1231 LOSS, UBTI - ON 990T	656

LINE 7, COL B	2,868,686
	=====

ATTACHMENT 1

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
FIDELITY #9693	18,196.	18,196.
FIDELITY #9694	63.	63.
FIDELITY #9879	33.	33.
FIDELITY #9691	1,659.	1,659.
BNY CHECKING INTEREST	565.	565.
TOTAL	<u>20,516.</u>	<u>20,516.</u>

ATTACHMENT 2

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
INTEREST - OCA EAGLE GLOBAL MLP - K1	1,839.	1,839.
INTEREST - OCA R/E OPPO FD I - K1	1,802.	1,802.
INTEREST - GSO CAP SOL FD II AIV-2 - K1	2,216.	2,216.
INTEREST - OCA R/E OPPO FD I - K1 (UBTI)	173.	
US TREASURY - FIDELITY #9693	6,875.	6,875.
US TREASURY - FIDELITY #9694	23,985.	23,985.
ORD DIV - FIDELITY #9693	201,608.	201,608.
ORD DIV - FIDELITY #9879	75,491.	75,491.
ORD DIV - FIDELITY #9691	1,181,829.	1,181,829.
ORD DIV - OCA EAGLE GLOBAL MLP - K1	33,010.	33,010.
ORD DIV - OCA R/E OPPO FD I - K1	57,205.	57,205.
ORD DIV - GSO CAP FD II AIV-2 - K1	26,890.	26,890.
FIDELITY-ACCRUED INTEREST PAID-CORP BDS	-33,908.	-33,908.
MUNI INTEREST -OCA EAGLE GLOBAL MLP - K1	1,849.	
FIDELITY-ACCRUED INT PD-US TREAS	-2,027.	-2,027.
ACCRUED INT PD-PAID 2016 DED 2017		-24,362.
TOTAL	<u>1,578,837.</u>	<u>1,552,453.</u>

ATTACHMENT 3

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
ORD INC - OCA EAGLE GLOBAL MLP - K1	-790.	-790.
ORD INC - OCA R/E OPPO FD I - K1	-66.	-66.
ORD INC -GSO CAP SOL FD II AIV-2 - K1	-736.	-736.
OTHER INC - OCA EAGLE GLOBAL MLP - K1	723.	723.
OTHER INC - OCA RE OPPO FD - K1	-15,726.	-15,726.
OTHER INC - GSO CAP SOL FD II AIV-2 - K1	3,514.	3,514.
OTHER INC - GSO CAP SOL OVERSEAS - K1	87,395.	87,395.
OTHER INC - OCA RE OPPO FD - K1 (UBTI)	64.	
ROYALTIES - OCA EAGLE GLOBAL MLP - K1	6.	6.
ROYALTIES - GSO CAP SOL FD II AIV-2 - K1	765.	765.
OTH INC - OCA EAG GLOB- K1 (BK, NOT TAX)	121,161.	
OTH INC -OCA RE OPPO FD-K1 (BK, NOT TAX)	-204,980.	
ORD INC - OCA EAGLE GLOB MLP - K1 (UBTI)	-135,970.	
ORD INC - OCA RE OPP FUND I - K1 (UBTI)	29,141.	
ORD INC - GSO CAP FD II AIV-2 -K1 (UBTI)	36.	36.
RENTAL INC -OCA EAGLE GLOBAL MLP - K1	-79.	-79.
RENTAL INC - OCA R/E OPPO FD I - K1		
RENTAL INC - OCA R/E OPPO FD I-K1 (UBTI)	-24,013.	
ORD RECAP - OCA EAGLE GLOBAL MLP (UBTI)	480,984.	
OTHER ORDINARY -GSO CAP FD K1 (UBTI)	6,472.	
OTHER ORD INCOME - FIDELITY 9694	-106.	-106.
OTHER ORD INCOME - STEPSTONE	116,201.	116,201.
OTHER INC-FIDELITY 9694-CLASS ACTION	5,046.	5,046.
TOTALS	469,775.	196,183.

ATTACHMENT 4

FORM 990PF, PART I - LEGAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
CADWALADER, WICKERSHAM & TAFT	222,106.	111,053.		111,053.
TOTALS	<u>222,106.</u>	<u>111,053.</u>		<u>111,053.</u>

ATTACHMENT 5

FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
MARCUM LLP	41,164.	20,582.		20,582.
UHY ADVISORS	32,000.	16,000.		16,000.
TOTALS	<u>73,164.</u>	<u>36,582.</u>		<u>36,582.</u>

ATTACHMENT 6

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
INVESTMENT MGT FEES - FIDELITY	71,582.	71,582.
OFFIT CAPITAL ADVISORS LLC	172,847.	172,847.
CMG FINANCIAL PLANNING	7,500.	7,500.
TOTALS	<u>251,929.</u>	<u>251,929.</u>

ATTACHMENT 7

FORM 990PF, PART I - INTEREST EXPENSE

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
OCA REAL ESTATE OPPO FD K-1	1,145.	1,145.
GSO CAP SOL FD II AIV-2 K-1	28.	28.
OCA EAGLE - PER K-1	712.	712.
TOTALS	<u>1,885.</u>	<u>1,885.</u>

ATTACHMENT 8

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
FEDERAL EXCISE TAX	15,000.		
PAYROLL TAXES	25,131.	12,566.	
FOREIGN TAX	25,995.	25,995.	
NYS CORP TAX (UBIT)	260.		
TOTALS	<u>66,386.</u>	<u>38,561.</u>	<u>12,565.</u>

ATTACHMENT 9

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
TELEPHONE	3,275.	1,638.	1,637.
MESSENGER SERVICE	368.	184.	184.
CAR SERVICE	154.	77.	77.
SUPPLIES	5,496.	2,748.	2,748.
COPIER MACHINE	6,591.	3,296.	3,295.
INTERNET ACCESS	2,640.	1,320.	1,320.
FILING FEES - CHAR 500	1,500.	750.	750.
BANK CHARGES	1,395.	698.	697.
INSURANCE	15,158.	7,579.	7,579.
PAYROLL FEES	1,808.	904.	904.
OFFICE EQUIPMENT	3,800.	1,900.	1,900.
POSTAGE & SHIPPING	1,460.	730.	730.
COMPUTER SOFTWARE	2,433.	1,217.	1,216.
PORT. DED - OCA EAG GLO MLP K1	38,912.	38,912.	
PORT. DED - OCA R/E OPP FD K1	6,865.	6,865.	
PORT. DED - GSO CAP FD II K1	119.	119.	
PORT. DED - GSO CAP SOL AM K1	44.	44.	
PORT. DED-OCA R/E OPP K1(UBTI)	5.		
BOND PREMIUM AMORT - FIDELITY	6,063.	6,063.	
SEC.59(E)2 GSO CAP SOL K1-UBIT	106,290.		
OTHER DED. GSO CAP SOL K1-UBIT	10.		
TEMPORARY OFFICE HELP	30,291.	15,145.	15,146.
OTHER DED. GSO CAP SOL FD K1	139.	139.	
NON DED. OCA EAGLE GLOBAL K1	403.	403.	
OTHER LOSS-NET RET OF CAP-9879	4,161.	4,161.	
MISCELLANEOUS EXPENSE	225.	225.	
TOTALS	<u>239,605.</u>	<u>95,117.</u>	<u>38,183.</u>

ATTACHMENT 10

FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
ADVISORY RESEARCH	2,963,117.	3,760,036.	3,806,606.
LYRICAL US VALUE EQUITY	4,528,045.	4,645,666.	6,276,358.
TOTALS	<u>7,491,162.</u>	<u>8,405,702.</u>	<u>10,082,964.</u>

ATTACHMENT 11

FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
EUROPEAN INVESTORS	808,548.		
STEPSTONE PE PARTNERS	1,026,048.	749,034.	999,081.
ANCHORAGE CAPITAL PARTNERS	2,731,756.	2,731,756.	4,476,161.
OCA EAGLE GLOBAL MLP LLC	3,441,555.	156,316.	80,260.
EII INTERNATIONAL PRPTY FUND	716,580.		
OCA REAL ESTATE OPP FUND I LP	-247,531.	-522,610.	484,430.
OCA SA MEZZANINE FUND II	1,901,146.	2,313,922.	2,582,474.
VANGUARD S&P 500 INDEX FUND	13,432,426.	13,723,533.	20,457,257.
EDGEWOOD GROWTH FUND INSTL	4,643,311.	4,313,978.	6,209,985.
GSO CAPITAL SOLUTIONS FUND	1,373,097.	1,309,932.	1,538,585.
SCAHEM HEAD OFFSHORE LTD	2,250,112.		
VANGUARD INSTUTIONAL INDEX	3,550,272.	7,418,338.	8,777,106.
DOUBLELINE TOTAL RETURN BOND F	4,074,022.	2,397,694.	2,332,854.
ARIEL INTERNATIONAL FUND		2,647,752.	2,600,267.
MATTHEWS ASIA		2,549,368.	2,675,597.
ANGEL OAK		2,544,320.	2,535,268.
EATON VANCE		2,860,763.	2,857,414.
OSTERWEIS STRATEGIC		2,865,772.	2,856,146.
UNITED STATES TREASURY BONDS		775,657.	775,575.
TOTALS	<u>39,701,342.</u>	<u>48,835,525.</u>	<u>62,238,460.</u>

LAND, BUILDINGS, EQUIPMENT, NOT HELD FOR INVESTMENT

ATTACHMENT 12

ACCUMULATED DEPRECIATION DETAIL

FIXED ASSET DETAIL

ASSET DESCRIPTION	METHOD/ CLASS	FIXED ASSET DETAIL			ACCUMULATED DEPRECIATION DETAIL			
		BEGINNING BALANCE	ADDITIONS	DISPOSALS	ENDING BALANCE	BEGINNING BALANCE	ADDITIONS	DISPOSALS
OFFICE EQUIPMENT	M5	4,005			4,005	4,005		4,005
FURN & FIXTURES	SL	10,767			10,767	10,767		10,767
FURN & FIXTURES	M7	2,723			2,723	2,723		2,723
FURN & FIXTURES	M7	2,271			2,271	2,271		2,271
COMPUTERS	SL	6,400			6,400	6,400		6,400
FURN & FIXTURES	SL	1,181			1,181	1,181		1,181
ELEC WATER HEATER	SL	2,800			2,800	2,800		2,800
OFFICE EQUIPMENT	SL	3,931			3,931	3,931		3,931
OFFICE EQUIPMENT	SL	88			88	88		88
COMPUTERS	SL	6,085			6,085	6,085		6,085
FURN & FIXTURES	SL	2,106			2,106	2,106		2,106
COMPUTER	SL	764			764	764		764
FURN & FIXTURES	SL	890			890	730	127	857
FURN & FIXTURES	SL	261			261	194	37	231
OFFICE EQUIPMENT	SL	300			300	300		300
FURN & FIXTURES	SL	765			765	518	109	627
FURN & FIXTURES	SL	245			245	163	35	198
FURN & FIXTURES	SL	93			93	56	13	69

LAND, BUILDINGS, EQUIPMENT NOT HELD FOR INVESTMENT

ATTACHMENT 12 (CONT'D)

ACCUMULATED DEPRECIATION DETAIL

FIXED ASSET DETAIL

ASSET DESCRIPTION	METHOD/ CLASS	FIXED ASSET DETAIL			ACCUMULATED DEPRECIATION DETAIL		
		BEGINNING BALANCE	ADDITIONS	ENDING BALANCE	BEGINNING BALANCE	ADDITIONS	ENDING BALANCE
FURN & FIXTURES	SL	103		103	66	15	81
FURN & FIXTURES	SL	1,140.		1,140.	570	163	733
FURN & FIXTURES	SL	1,174		1,174	434	168	602
COMPUTER	SL	2,461		2,461	1,394.	492	1,886.
PHONE SYSTEM - AVA	SL	8,705		8,705	1,306	1,741	3,047
TOTALS		<u>59,258</u>		<u>59,258</u>	<u>48,852</u>		<u>51,752</u>

ATTACHMENT 13

FORM 990PF, PART II - OTHER ASSETS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
SECURITY DEPOSIT	87,496.	87,496.	87,496.
TOTALS	<u>87,496.</u>	<u>87,496.</u>	<u>87,496.</u>

ATTACHMENT 14FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

GRANTEE'S NAME: MUSEE NATIONAL D'HISTOIRE ET D'ART LUXEM
 GRANTEE'S ADDRESS: MARCHE - AUX
 CITY, STATE & ZIP: POISSONS
 FOREIGN COUNTRY: LUXEMBOURG L-2345
 GRANT DATE: 04/25/2017
 GRANT AMOUNT: 100,000.
 GRANT PURPOSE: MUSEUM & CULTURAL
 AMOUNT EXPENDED: 100,000.
 ANY DIVERSION? NO
 DATES OF REPORTS: 07/06/17
 VERIFICATION DATE:

RESULTS OF VERIFICATION:

TO THE KNOWLEDGE OF THE FOUNDATION, AND BASED UPON INFORMATION PROVIDED BY THE GRANTEE, NO PART OF THE GRANT HAS BEEN USED FOR OTHER THAN ITS INTENDED PURPOSE.

GRANTEE'S NAME: MUSEE D'ART MODERNE (MUDAM)
 GRANTEE'S ADDRESS: 3 PARK DRAI
 CITY, STATE & ZIP: EECELEN
 FOREIGN COUNTRY: LUXEMBOURG L-1499
 GRANT DATE: 03/28/2017
 GRANT AMOUNT: 135,000.
 GRANT PURPOSE: MUSEUM & CULTURAL
 AMOUNT EXPENDED: 135,000.
 ANY DIVERSION? NO
 DATES OF REPORTS: 6/4/18
 VERIFICATION DATE:

RESULTS OF VERIFICATION:

TO THE KNOWLEDGE OF THE FOUNDATION, AND BASED UPON INFORMATION PROVIDED BY THE GRANTEE, NO PART OF THE GRANT HAS BEEN USED FOR OTHER THAN ITS INTENDED PURPOSE.

GRANTEE'S NAME: LUXFESTIVAL
 GRANTEE'S ADDRESS: B.P. 30
 CITY, STATE & ZIP: ECHTERNACH
 FOREIGN COUNTRY: LUXEMBOURG L-6401
 GRANT DATE: 03/20/2017
 GRANT AMOUNT: 50,000.
 GRANT PURPOSE: MUSEUMS AND CULTURAL
 AMOUNT EXPENDED: 50,000.
 ANY DIVERSION? NO
 DATES OF REPORTS: 04/03/17
 VERIFICATION DATE:

RESULTS OF VERIFICATION:

TO THE KNOWLEDGE OF THE FOUNDATION, AND BASED UPON INFORMATION PROVIDED BY THE GRANTEE, NO PART OF THE GRANT HAS BEEN USED FOR OTHER THAN ITS INTENDED PURPOSE.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 15

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
STUART SILVER 570 LEXINGTON AVE, 33RD FL NEW YORK, NY 10022	SECRETARY/DIRECTOR 1.00	0.	0.	
MARGOT GIBIS 570 LEXINGTON AVE, 33RD FL NEW YORK, NY 10022	PRESIDENT/DIRECTOR 40.00	168,000.	7,983.	
40 HOURS ALLOCATED AMONGST THIS ENTITY AND TWO OTHER AFILIATED NONPROFITS				
JEAN WAGENER 570 LEXINGTON AVE, 33RD FL NEW YORK, NY 10022	DIRECTOR 1.00	0.	0.	
LAUREL EISNER 570 LEXINGTON AVE, 33RD FL NEW YORK, NY 10022	CHAIR/DIRECTOR 1.00	0.	0.	
SUNHEE GERTZ 570 LEXINGTON AVE, 33RD FL NEW YORK, NY 10022	DIRECTOR 1.00	0.	0.	
GRAND TOTALS		<u>168,000.</u>	<u>7,983.</u>	<u>0.</u>

990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES

ATTACHMENT 16

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>
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STEVEN ROSENTHAL 570 LEXINGTON AVE, 33RD FL NEW YORK, NY 10022	ADMIN ASSISTANT 40.00	152,000.	13,231.
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TOTAL COMPENSATION		<u>152,000.</u>	<u>13,231.</u>
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990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALSATTACHMENT 17

<u>NAME AND ADDRESS</u>	<u>TYPE OF SERVICE</u>	<u>COMPENSATION</u>
OFFIT CAPITAL ADVISORS 485 LEXINGTON AVENUE NEW YORK, NY 10017	INVESTMENT ADV FEE	172,847.
CADWALADER, WICKERSHAM AND TAFT LLP 200 LIBERTY STREET. NEW YORK, NY 10281	LEGAL SERVICE	222,106.
FIDELITY INVESTMENTS PO BOX 673000 DALLAS, TX 75267	INVESTMENT ADV FEE	71,582.
	TOTAL COMPENSATION	<u>466,535.</u>

FORM 990PF, PART XV -- GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 18

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR
AND
FOUNDATION STATUS OF RECIPIENT

RECIPIENT NAME AND ADDRESS _____ PURPOSE OF GRANT OR CONTRIBUTION _____ AMOUNT _____

GRANTEES THAT ARE PUBLIC OR
PUBLIC CHARITIES EQUIVALENTS
(SEE ATTACHMENT A)
NEW YORK, NY 10022

NONE
PC

VARIOUS - SEE ATTACHMENT A 3,571,000

TOTAL CONTRIBUTIONS PAID

3,571,000

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 19

<u>DESCRIPTION</u>	<u>BUSINESS CODE</u>	<u>AMOUNT</u>	<u>EXCLUSION CODE</u>	<u>AMOUNT</u>	<u>RELATED OR EXEMPT FUNCTION INCOME</u>
OTHER INCOME - OCA EAGLE GLOBAL MLP K1-BOOK/TAX			14	121,161.	
OTHER INCOME - GSO CAP SOL K1			14		
OTHER INCOME - OCA R/E OPPO FS K1			14		
ORD INCOME - OCA EAGLE GLOBAL MLP K1	900099	-135,970.			
ORD INCOME - GSO CAP SOL K1	900099	29,141.			
ORD INC - OCA RE OPP FUND I - K1	900099	733.			
OCA REAL ESTATE OPP FD K1 - NET RENTAL LOSS	900099	-24,013.			
ORD INC - OCA EAGLE GLOBAL MLP - K1			14	-790.	
ORD INC - OCA R/E OPPO FD I - K1			14	-66.	
ORD INC -GSO CAP SOL FD II AIV-2 - K1			14	-736.	
OTHER INC - OCA EAGLE GLOBAL MLP - K1			14	723.	
OTHER INC - OCA RE OPPO FD - K1			14	-15,726.	
OTHER INC - GSO CAP SOL FD II AIV-2 - K1			14	3,514.	
OTHER INC - GSO CAP SOL OVERSEAS - K1			14	87,395.	
OTHER INC - OCA RE OPPO FD - K1	900099	64.			
ROYALTIES - OCA EAGLE GLOBAL MLP - K1			15	6.	
ROYALTIES - GSO CAP SOL FD II AIV-2 - K1			15	765.	
RENTAL INCOME - OCA EAGLE GLOBAL MLP - K1			16	36.	
RENTAL INC - OCA R/E OPPO FD I - K1			16	-79.	
ORD RECAP - OCA EAGLE GLOBAL MLP	900099	480,984.			
GUARANTEED PAYMENT -GSO CAP FD K1	900099	6,472.			
OTHER ORD INCOME - FIDELITY 9694			14	-106.	
OTHER ORD INCOME - STEPSTONE			14	116,201.	
OTHER INCOME - CLASS ACTION PROCEEDS			18	5,045.	
OTHER INCOME-OCA REAL EST OPP FUND PER K-1-BOOK/TX			14	-204,980.	

TOTALS

357,411.

112,363.