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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2017

Open to Public Inspection

For calendar year 2017, or tax year beginning 01-01-2017 , and ending 12-31-2017

Name of foundation
Jim Neuberger and Helen Stambler Neuberger Foundation
% Foundation Source

A Employer identification number
13-6066102

Number and street (or P O box number if mail is not delivered to street address)
55 walls drive 3rd fl

Room/suite

B Telephone number (see instructions)
(800) 839-1754

City or town, state or province, country, and ZIP or foreign postal code
fairfield, CT 06824

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)*▶$ 5,261,189

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	0			
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	1,840	1,840		
	4	Dividends and interest from securities	72,220	72,220		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	342,808			
	b	Gross sales price for all assets on line 6a 1,887,230				
	7	Capital gain net income (from Part IV, line 2)		342,808		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	1,020	1,020		
	12	**Total.** Add lines 1 through 11	417,888	417,888		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)	6,037	0	0	6,037
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)	38,482	38,482		
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	7,235			
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	24,878	25		24,853
	24	**Total operating and administrative expenses.** Add lines 13 through 23	76,632	38,507	0	30,890
	25	Contributions, gifts, grants paid	282,336			282,336
	26	**Total expenses and disbursements.** Add lines 24 and 25	358,968	38,507	0	313,226
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	58,920			
	b	**Net investment income** (if negative, enter -0-)		379,381		
	c	**Adjusted net income**(if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	200,261	123,869	123,869
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶	1,258		
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	77,555	173,770	171,164
	b	Investments—corporate stock (attach schedule)	2,525,325	2,435,945	3,660,903
	c	Investments—corporate bonds (attach schedule)	1,207,734	1,337,469	1,305,253
	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	4,012,133	4,071,053	5,261,189
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities**(add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	4,012,133	4,071,053	
	30	**Total net assets or fund balances** (see instructions)	4,012,133	4,071,053	
	31	**Total liabilities and net assets/fund balances** (see instructions)	4,012,133	4,071,053	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,012,133
2	Enter amount from Part I, line 27a	2	58,920
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	4,071,053
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	4,071,053

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	Publicly-traded Securities			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,887,230		1,544,422	342,808
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				342,808
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	342,808
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	219,669	4,600,114	0 047753
2015	268,064	4,792,362	0 055936
2014	266,183	4,945,584	0 053822
2013	332,123	4,721,497	0 070343
2012	4,863,471	4,484,547	1 084495

2	**Total** of line 1, column (d)	2	1 312349
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 26247
4	Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	4	4,990,646
5	Multiply line 4 by line 3	5	1,309,895
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	3,794
7	Add lines 5 and 6	7	1,313,689
8	Enter qualifying distributions from Part XII, line 4	8	313,226

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ (attach copy of letter if necessary–see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b		1	7,588
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3	Add lines 1 and 2		3	7,588
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	7,588
6	Credits/Payments			
a	2017 estimated tax payments and 2016 overpayment credited to 2017	6a 6,200		
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d		7	7,588
8	Enter any **penalty** for underpayment of estimated tax Check here ☑ if Form 2220 is attached		8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	
11	Enter the amount of line 10 to be Credited to 2018 estimated tax ▶	Refunded ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the taxable year beginning in 2017 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶

14 The books are in care of ▶Foundation Source Telephone no ▶(800) 839-1754

Located at ▶501 Silverside Road Suite 123 Wilmington DE ZIP+4 ▶198091377

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☑ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?		1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? If "Yes," list the years ▶ 20___, 20___, 20___, 20___	☐ Yes ☑ No			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)		2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20___, 20___, 20___, 20___				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2017 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017)*		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?		4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ **Yes** ☑ **No**			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ **Yes** ☑ **No**			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ **Yes** ☑ **No**			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions) ☐ **Yes** ☑ **No**			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ **Yes** ☑ **No**			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ **Yes** ☐ **No**			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ **Yes** ☑ **No**			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		**No**
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ **Yes** ☑ **No**			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Jim Kaufman 55 walls drive 3rd fl fairfield, CT 06824	Dir 1 0	0	0	0
Jim Neuberger 55 walls drive 3rd fl fairfield, CT 06824	Dir, Pres 1 0	0	0	0
Helen Stambler Neuberger 55 walls drive 3rd fl fairfield, CT 06824	Dir, Sec, VP 1 0	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances

Total number of other employees paid over $50,000. . . . . ▶

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation

Total number of others receiving over $50,000 for professional services. . . . . ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 . . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	4,823,303
b	Average of monthly cash balances.	1b	243,343
c	Fair market value of all other assets (see instructions).	1c	0
d	**Total** (add lines 1a, b, and c).	1d	5,066,646
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). 1e		
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	5,066,646
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	76,000
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	4,990,646
6	**Minimum investment return.** Enter 5% of line 5.	6	249,532

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6.			1	249,532
2a	Tax on investment income for 2017 from Part VI, line 5.	2a	7,588		
b	Income tax for 2017 (This does not include the tax from Part VI).	2b			
c	Add lines 2a and 2b.			2c	7,588
3	Distributable amount before adjustments Subtract line 2c from line 1.			3	241,944
4	Recoveries of amounts treated as qualifying distributions.			4	
5	Add lines 3 and 4.			5	241,944
6	Deduction from distributable amount (see instructions).			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.			7	241,944

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	313,226
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	313,226
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	313,226

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7					241,944
2 Undistributed income, if any, as of the end of 2017					
a Enter amount for 2016 only.					
b Total for prior years 2015 , 2014 , 2013					
3 Excess distributions carryover, if any, to 2017					
a From 2012.	4,649,078				
b From 2013.	104,294				
c From 2014.	27,450				
d From 2015.	35,335				
e From 2016.					
f Total of lines 3a through e.		4,816,157			
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ $ 313,226					
a Applied to 2016, but not more than line 2a					
b Applied to undistributed income of prior years (Election required—see instructions).					
c Treated as distributions out of corpus (Election required—see instructions).					
d Applied to 2017 distributable amount.					241,944
e Remaining amount distributed out of corpus		71,282			
5 Excess distributions carryover applied to 2017					
(If an amount appears in column (d), the same amount must be shown in column (a))					
6 Enter the net total of each column as indicated below:					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		4,887,439			
b Prior years' undistributed income Subtract line 4b from line 2b					
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.					
d Subtract line 6c from line 6b Taxable amount —see instructions					
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions					
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)					
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions) . . .		4,649,078			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a		238,361			
10 Analysis of line 9					
a Excess from 2013. . . .	104,294				
b Excess from 2014. . . .	27,450				
c Excess from 2015. . . .	35,335				
d Excess from 2016. . . .					
e Excess from 2017. . . .	71,282				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2017	(b) 2016	(c) 2015	(d) 2014	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

Jim Neuberger

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				282,336
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments.					
3 Interest on savings and temporary cash investments			14	1,840	
4 Dividends and interest from securities.			14	72,220	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	342,808	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a CLASS ACTION LAWSUIT PROCEEDS			01	1,020	
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e).				417,888	

13 **Total.** Add line 12, columns (b), (d), and (e). . . . 13 417,888

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2018-05-29	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
Jeffrey D Haskell				P01345770
Firm's name ▶ Foundation Source				Firm's EIN ▶
Firm's address ▶ One Hollow Ln Ste 212 Lake Success, NY 11042				Phone no (800) 839-1754

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
AMERICAN CANCER SOCIETY 132 W 32ND ST NEW YORK, NY 10001	N/A	PC	DANCE AGAINST CANCER Fund	1,000
AMERICAN CIVIL LIBERTIES UNION FOUNDATION INC 125 BROAD ST 18TH FL NEW YORK, NY 10004	N/A	PC	General & Unrestricted	1,000
AMNESTY INTERNATIONAL OF THE USA INC 5 PENN PLZ 16TH FL NEW YORK, NY 10001	N/A	PC	General & Unrestricted	1,000
BANK STREET COLLEGE OF EDUCATION 610 W 112TH ST NEW YORK, NY 10025	N/A	PC	General & Unrestricted	1,000
BARNES FOUNDATION 2025 BENJAMIN FRANKLIN PKWY PHILADELPHIA, PA 19130	N/A	PC	General & Unrestricted	2,500
Total . . . . ▶ **3a**				282,336

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
BROADWAY MALL ASSOCIATION INC 2095 BROADWAY STE 403 NEW YORK, NY 10023	N/A	PC	General & Unrestricted	1,000
BROWN UNIVERSITY Annual Fund BOX 1976 PROVIDENCE, RI 02912	N/A	PC	General & Unrestricted	500
CHAMBER MUSIC CONFERENCE 70 RIDGE HILL RD SUDBURY, MA 01776	N/A	PC	General & Unrestricted	1,000
CYSTIC FIBROSIS FOUNDATION 4929 WILSHIRE BLVD STE 760 LOS ANGELES, CA 90010	N/A	PC	Nancy Seid's Team Crossroads Program	1,000
DOCTORS WITHOUT BORDERS USA INC 40 RECTOR ST 16TH FL NEW YORK, NY 10006	N/A	PC	General & Unrestricted	1,000
Total . . . 3a ▶				282,336

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ENVIRONMENTAL DEFENSE FUND INCORPORATED 1875 CONNECTICUT AVE NW STE 600 WASHINGTON, DC 20009	N/A	PC	General & Unrestricted	1,000
ETHICAL CULTURE FIELDSTON SCHOOL 33 CENTRAL PARK W NEW YORK, NY 10023	N/A	PC	General & Unrestricted	1,000
FORTUNE SOCIETY INC 29-76 NORTHERN BLVD LONG ISLAND CITY, NY 11101	N/A	PC	General & Unrestricted	1,000
FRIENDS OF THE NEUBERGER MUSEUM INC 735 ANDERSON HILL RD PURCHASE, NY 10577	N/A	PC	General & Unrestricted	10,000
FRIENDS OF THE NEUBERGER MUSEUM INC 735 ANDERSON HILL RD PURCHASE, NY 10577	N/A	PC	Neuberger Museum of Art Acquisitions Fund	7,600
Total . . . 3a ▶				282,336

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
FRIENDS OF THE NEUBERGER MUSEUM INC 735 ANDERSON HILL RD PURCHASE, NY 10577	N/A	PC	HELEN STAMBLER NEUBERGER ACQUISITIONS FUND	100
FRIENDS OF THE NEUBERGER MUSEUM INC 735 ANDERSON HILL RD PURCHASE, NY 10577	N/A	PC	The Neuberger Museum of Art Acquisition Fund	100
FRIENDS OF THE NEUBERGER MUSEUM INC 735 ANDERSON HILL RD PURCHASE, NY 10577	N/A	PC	Roy R Neuberger Exhibition Prize 2017 fund	50,000
FRIENDS OF THE NEUBERGER MUSEUM INC 735 ANDERSON HILL RD PURCHASE, NY 10577	N/A	PC	FRED WILSON EXHIBITION Initiative	5,000
FRIENDS OF THE NEUBERGER MUSEUM INC 735 ANDERSON HILL RD PURCHASE, NY 10577	N/A	PC	ROY R NEUBERGER EXHIBITION PRIZE PATRON EVENT Initiative	10,566
Total . . . . ▶ **3a**				282,336

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
FRIENDS OF THE NEUBERGER MUSEUM INC 735 ANDERSON HILL RD PURCHASE, NY 10577	N/A	PC	ROY R NEUNERGER EXHIBITION PRIZE 2017 fund	680
HOPE & HEROES CHILDRENS CANCER FUND 161 FORT WASHINGTON AVE NEW YORK, NY 10032	N/A	PC	General & Unrestricted	1,000
ICAHN SCHOOL OF MEDICINE AT MOUNT SINAI ONE GUSTAVE L LEVY PL BOX 1049 NEW YORK, NY 10029	N/A	PC	Recanati Miller Transplantation Institute	250
INNOCENCE PROJECT INC 40 WORTH ST RM 701 NEW YORK, NY 10013	N/A	PC	General & Unrestricted	1,000
JEWISH WOMENS FOUNDATION OF NEW YORK INC 130 E 59TH ST NEW YORK, NY 10022	N/A	PC	General & Unrestricted	500
Total . . . . ▶ **3a**				282,336

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
JOYCE THEATER FOUNDATION INC 175 8TH AVE NEW YORK, NY 10011	N/A	PC	General & Unrestricted	250
LUPUS RESEARCH ALLIANCE INC 275 MADISON AVE 10TH FL NEW YORK, NY 10016	N/A	PC	General & Unrestricted	1,000
NATIONAL DANCE INSTITUTE INC 217 W 147TH ST NEW YORK, NY 10039	N/A	PC	General & Unrestricted	57,890
NATIONAL DANCE INSTITUTE INC 217 W 147TH ST NEW YORK, NY 10039	N/A	PC	Jacques' Art Nest program	1,500
NATIONAL DANCE INSTITUTE INC 217 W 147TH ST NEW YORK, NY 10039	N/A	PC	Art Nest Program	200
Total . . . . ▶ **3a**				282,336

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
NATIONAL DANCE INSTITUTE INC 217 W 147TH ST NEW YORK, NY 10039	N/A	PC	GALA 2017 JOURNAL	2,500
NATIONAL DANCE INSTITUTE INC 217 W 147TH ST NEW YORK, NY 10039	N/A	PC	NDI GALA JOURNAL 2017	100
NATIONAL DANCE INSTITUTE INC 217 W 147TH ST NEW YORK, NY 10039	N/A	PC	NEW CHOREOGRAPHY Project	3,500
NATIONAL DANCE INSTITUTE INC 217 W 147TH ST NEW YORK, NY 10039	N/A	PC	Charitable Event	1,000
NATIONAL DANCE INSTITUTE INC 217 W 147TH ST NEW YORK, NY 10039	N/A	PC	ADOPT A SCHOOL Initiative	25,000
Total . . . . ▶ **3a**				282,336

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
NATIONAL DANCE INSTITUTE INC 217 W 147TH ST NEW YORK, NY 10039	N/A	PC	NDI JACQUES' ART NEST Initiative	300
NATIONAL DANCE INSTITUTE INC 217 W 147TH ST NEW YORK, NY 10039	N/A	PC	NDI CAPITAL Campaign	20,000
NATIONAL DANCE INSTITUTE INC 217 W 147TH ST NEW YORK, NY 10039	N/A	PC	Photography for NDI holiday party	500
NATIONAL SCHOOL CLIMATE CENTER 341 W 38TH ST FL 9 NEW YORK, NY 10018	N/A	PC	General & Unrestricted	1,000
NEW YORK CITY BALLET INC 20 LINCOLN CENTER PLZ NEW YORK, NY 10023	N/A	PC	DANCER WELLNESS PROGRAM	250
Total . . . . ▶ **3a**				282,336

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
NEW YORK CITY BALLET INC 20 LINCOLN CENTER PLZ NEW YORK, NY 10023	N/A	PC	Charitable Event	1,000
NEW YORK PUBLIC RADIO 160 VARICK ST NEW YORK, NY 10013	N/A	PC	General & Unrestricted	1,000
OREGON COLLEGE OF ART AND CRAFT 8245 SW BARNES RD PORTLAND, OR 97225	N/A	PC	General & Unrestricted	2,000
PLANNED PARENTHOOD FEDERATION OF AMERICA INC 123 WILLIAMS ST 10TH FL NEW YORK, NY 10038	N/A	PC	General & Unrestricted	1,000
PUBLIC CITIZEN FOUNDATION INC 1600 20TH ST NW WASHINGTON, DC 20009	N/A	PC	General & Unrestricted	1,000
Total . . . . ▶ **3a**				282,336

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
RUTGERS UNIVERSITY FOUNDATION 335 GEORGE ST NEW BRUNSWICK, NJ 08901	N/A	PC	Graduate School of Education Robert B Davis Institute of learning	50,000
RX ART INC208 FORSYTH ST NEW YORK, NY 10002	N/A	PC	General & Unrestricted	1,000
SAFE WATER NETWORK 122 E 42ND ST FL 21 NEW YORK, NY 10168	N/A	PC	General & Unrestricted	1,000
SMITHSONIAN INSTITUTION PO BOX 37012 WASHINGTON, DC 20013	N/A	PC	Hirshhorn Museum and Sculpture Garden	1,000
SUNY NEW PALTZ FOUNDATION INC 1 HAWK DR NEW PALTZ, NY 12561	N/A	PC	General & Unrestricted	500
Total . . . . ▶ **3a**				282,336

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
THE ACTORS FUND OF AMERICA 729 7TH AVE 10TH FL NEW YORK, NY 10019	N/A	PC	General & Unrestricted	2,500
THE ACTORS FUND OF AMERICA 729 7TH AVE 10TH FL NEW YORK, NY 10019	N/A	PC	Charitable Event	550
THE ASSOCIATION FOR FRONTOTEMPORAL DEGENERATION 290 KING OF PRUSSIA RD BLDG 2 RADNOR, PA 19087	N/A	PC	General & Unrestricted	1,000
THE DOOR - A CENTER OF ALTERNATIVES INC 121 AVE OF THE AMERICAS STE 506 NEW YORK, NY 10013	N/A	PC	General & Unrestricted	1,000
VERA INSTITUTE OF JUSTICE INC 233 BROADWAY NEW YORK, NY 10279	N/A	PC	General & Unrestricted	1,000
Total . . . . ▶ **3a**				282,336

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
WNET825 8TH AVE NEW YORK, NY 10019	N/A	PC	General & Unrestricted	1,000
Total . . . . ▶ 3a				282,336

TY 2017 Compensation Explanation

Name: Jim Neuberger and Helen Stambler Neuberger Foundation

EIN: 13-6066102

Person Name	Explanation
Jim Kaufman	* Jim Kaufman, Director of the Jim Neuberger and Helen Stambler Neuberger Foundation (the "Foundation"), is a disqualified person with respect to the foundation During the taxable year ending December 31, 2017, the foundation paid $6,037 to Jim Kaufman for legal services as reported in form 990-PF, Part 1, line 16a

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TY 2017 Depreciation Schedule

Name: Jim Neuberger and Helen Stambler Neuberger Foundation

EIN: 13-6066102

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TY 2017 Investments Corporate Bonds Schedule

Name: Jım Neuberger and Helen Stambler Neuberger Foundatıon

EIN: 13-6066102

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
AMERICAN EXPRESS - 2.125% - 07	40,600	40,047
ANHEUSER BUSCH COS INC - 5.000	43,296	41,254
ASTRAZENECA PLC NOTE - 1.750%	40,521	39,900
BARCLAYS BK BOND - 1.900% - 04	50,905	50,117
BERKSHIRE HATHAWAY INC - 2.100	41,093	40,019
BP CAP MKTS PLC NOTE - 0.000%	25,000	25,031
CANADIAN IMPERIAL BANK NOTE -	25,000	24,959
CATERPILLAR FINL - 5.450% - 04	42,816	40,414
CHARLES SCHWAB CORP - 2.200% -	40,731	40,051
COMCAST CORP NOTES - 5.150% -	43,645	42,468
COMMONWEALTH BK AUSTRALIA MTN	40,937	40,136
COMMONWEALTH EDISON CO - 2.150	40,302	40,008
CONS EDISON CO OF NY NOTES - 5	42,797	40,374
DU PONT E I DE NEMOURS - 6.000	43,707	40,848
DUKE ENERGY CAROLINAS LLC - 5.	42,645	40,372
GE CORP - 5.625% - 05/01/2018	42,116	40,477
GOLDMAN SACHS BK BOND - 1.900%	50,356	50,104
GOLDMAN SACHS BK BOND - 2.500%	51,388	50,365
HONEYWELL INTL - 0.000% - 10/3	40,000	40,124
JOHNSON & JOHNSON BOND - 1.950	40,015	39,811

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
JP MORGAN CHASE & CO - 2.200%	40,732	39,962
MEDTRONIC GLOBAL HLDINGS NOTE	39,970	39,860
MIDAMERICAN ENERGY HLDGS NEWSR	65,719	61,575
NATIONAL RURAL UTILS COOP - 2.	40,693	40,018
ORACLE CORP SR NT - 2.250% - 1	41,154	40,181
PACIFIC GAS &ELEC CONOTE - 3.5	41,619	40,986
PARKER HANNIFIN CORPS - 5.500%	43,111	40,529
PNC BK N A PITTSBURGH - 1.800%	40,260	39,965
RAYTHEON - 3.125% - 10/15/2020	36,328	35,804
UNITED PARCEL SERVICE INC NOTE	40,000	40,019
UNITED TECHNOLOGIES CORP NOTE	39,980	39,606
WISCONSIN PUB SVC CORP - 1.650	40,033	39,869

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TY 2017 Investments Corporate Stock Schedule

Name: Jim Neuberger and Helen Stambler Neuberger Foundation

EIN: 13-6066102

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ALPHABET INC CL C	109,896	162,191
ANALOG DEVICES INC	88,955	93,482
APPLE INC	29,404	143,507
APTIV PLC	59,057	59,381
ASML HOLDING NV NY REG SHS	54,694	99,947
ATHENE HOLDING LTD	91,207	91,785
CABOT OIL & GAS CORP	88,540	112,970
CHUBB LIMITED	74,022	91,331
CHURCH & DWIGHT	106,795	142,985
CME GROUP, INC	46,815	91,281
COMCAST CORP	67,080	96,120
CVS CAREMARK CORP	127,861	97,875
DANAHER CORP	58,659	109,064
DELPHI AUTOMOTIVE PLC	12,512	12,226
DENTSPLY SIRONA INC	102,337	136,202
DEVON ENERGY CORPORATION	69,886	101,430
EDWARDS LIFESCIENCES	64,604	78,897
EOG RESOURCES INC	32,693	107,910
EQUIFAX INC	88,727	88,440
FEDEX CORPORATION	63,915	93,578
FIDELITY NATIONAL INFORMATION	56,307	84,681
FISERV INC	11,071	81,956
INTUIT	40,437	74,946
IPG PHOTONICS CORP	16,827	42,826
JOHNSON & JOHNSON	61,820	94,311
JP MORGAN CHASE	77,454	168,430
LENNAR CORP	24,317	88,536
LENNAR CORP CL B	402	1,447
LOWES COMPANIES INC	101,214	123,146
MARRIOTT INTERNATIONAL INC. CL	42,106	81,438

Name of Stock	End of Year Book Value	End of Year Fair Market Value
MOODYS CORP	83,011	154,990
MOTOROLA SOLUTIONS INC	88,623	112,925
NIKE INC-CL B	142,002	165,757
ROPER INDUSTRIES	16,539	58,275
TEXAS INSTRUMENTS INC	53,813	67,886
TRANSUNION COM USD0.01	89,746	140,148
ZIMMER BIOMET HOLDINGS	92,597	108,603

TY 2017 Investments Government Obligations Schedule

Name:	Jim Neuberger and Helen Stambler Neuberger Foundation
EIN:	13-6066102
US Government Securities - End of Year Book Value:	173,770
US Government Securities - End of Year Fair Market Value:	171,164
State & Local Government Securities - End of Year Book Value:	
State & Local Government Securities - End of Year Fair Market Value:	

TY 2017 Legal Fees Schedule

Name: Jım Neuberger and Helen Stambler Neuberger Foundatıon

EIN: 13-6066102

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
General Consultatıons	6,037			6,037

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TY 2017 Other Expenses Schedule

Name: Jim Neuberger and Helen Stambler Neuberger Foundation

EIN: 13-6066102

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Administrative Fees	24,603			24,603
Bank Charges	25	25		
State or Local Filing Fees	250			250

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TY 2017 Other Income Schedule

Name: Jim Neuberger and Helen Stambler Neuberger Foundation

EIN: 13-6066102

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Class Action Lawsuit Proceeds	1,020	1,020	

TY 2017 Other Professional Fees Schedule

Name: Jim Neuberger and Helen Stambler Neuberger Foundation

EIN: 13-6066102

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Investment Management Services	38,482	38,482		

TY 2017 Taxes Schedule

Name: Jim Neuberger and Helen Stambler Neuberger Foundation

EIN: 13-6066102

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
990-PF Estimated Tax for 2017	6,200			
990-PF Excise Tax for 2016	1,035			