

For calendar year 2017, or tax year beginning 01-01-2017, and ending 12-31-2017

Name of foundation HAGEDORN FUND XXXXX5004		A Employer identification number 13-6048718	
Number and street (or P O box number if mail is not delivered to street address) 10 S DEARBORN IL1-0111		Room/suite	B Telephone number (see instructions) (800) 496-2583
City or town, state or province, country, and ZIP or foreign postal code CHICAGO, IL 60603		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 59,425,617	J Accounting method ☐ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ☒ If the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities . . .	2,144,785	2,144,484		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	1,070,478			
	b Gross sales price for all assets on line 6a 5,159,550				
	7 Capital gain net income (from Part IV, line 2) . . .		1,070,478		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	 33,770			
	12 Total. Add lines 1 through 11	3,249,033	3,214,962		
	13 Compensation of officers, directors, trustees, etc	486,379	291,827		194,552
	14 Other employee salaries and wages		0	0	0
	15 Pension plans, employee benefits		0	0	
	16a Legal fees (attach schedule)	 20,736	0	0	20,736
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)	 2,883	2,883		0
	17 Interest	14	14		0
	18 Taxes (attach schedule) (see instructions) . . .	 94,218	4,218		0
	19 Depreciation (attach schedule) and depletion . . .	0	0		
	20 Occupancy				
	21 Travel, conferences, and meetings		0	0	
	22 Printing and publications		0	0	
	23 Other expenses (attach schedule)	 1,500			1,500
	24 Total operating and administrative expenses. Add lines 13 through 23	605,730	298,942	0	216,788
	25 Contributions, gifts, grants paid	3,087,000			3,087,000
	26 Total expenses and disbursements. Add lines 24 and 25	3,692,730	298,942	0	3,303,788
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-443,697			
	b Net investment income (if negative, enter -0-)		2,916,020		
c Adjusted net income(if negative, enter -0-) . . .				0	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	898,001	147,134	147,134
	2 Savings and temporary cash investments	115,111	73,325	73,325
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____		0	0
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____ 0			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	6,926,799	7,282,759	10,297,492
	c Investments—corporate bonds (attach schedule)	4,627,691	4,769,494	4,790,062
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	1,720,043	1,567,121	1,575,959
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)	1,323,747	1,323,747	42,541,645	
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	15,611,392	15,163,580	59,425,617	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	15,611,392	15,163,580	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds				
30 Total net assets or fund balances (see instructions)	15,611,392	15,163,580		
31 Total liabilities and net assets/fund balances (see instructions) .	15,611,392	15,163,580		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	15,611,392
2 Enter amount from Part I, line 27a	2	-443,697
3 Other increases not included in line 2 (itemize) ▶ _____	3	0
4 Add lines 1, 2, and 3	4	15,167,695
5 Decreases not included in line 2 (itemize) ▶ _____	5	4,115
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	15,163,580

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	1,070,478
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	3,047,145	60,488,909	0 050375
2015	2,522,623	57,207,972	0 044096
2014	2,406,102	52,963,314	0 04543
2013	2,398,400	51,752,350	0 046344
2012	2,324,561	50,485,259	0 046044
2 Total of line 1, column (d)			2 0 232289
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0 046458
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5			4 58,392,436
5 Multiply line 4 by line 3			5 2,712,796
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 29,160
7 Add lines 5 and 6			7 2,741,956
8 Enter qualifying distributions from Part XII, line 4			8 3,303,788

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	29,160
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	29,160
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	29,160
6	Credits/Payments		
a	2017 estimated tax payments and 2016 overpayment credited to 2017	6a	61,404
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	61,404
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	0
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	32,244
11	Enter the amount of line 10 to be Credited to 2018 estimated tax ☐ 23,000 Refunded ☐	11	9,244

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the taxable year beginning in 2017 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions).	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶	13	Yes	
14	The books are in care of ▶ <u>JP MORGAN CHASE BANK NA</u> Telephone no ▶ <u>(800) 496-2583</u>			

Located at ▶ 10 S DEARBORN ST MC IL 1-0111 CHICAGO IL ZIP+4 ▶ 60603

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country ▶	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐ Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017? ☐	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). ☐	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017). ☐	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).	☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b	
	Organizations relying on a current notice regarding disaster assistance check here.	☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No		
	<i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <i>If "Yes" to 6b, file Form 8870</i>		6b	No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
JP MORGAN CHASE BANK NA 10 S DEARBORN ST MC IL1-0111 CHICAGO, IL 60603	TRUSTEE 10	370,228		
JOHN J KINDRED III BOX 282 HAINES FALLS, NY 124360282	TRUSTEE 5	0		
MALCOLM E MARTIN 74 SOUTH HIGHLAND AVE NYACK NY 109 NEW YORK, NY 10112	TRUSTEE 5	58,076		
H HEDLEY STOTHERS JR 3 STONELEIGH PLAZA BRONXVILLE NY 1 BRONXVILLE, NY 10708	TRUSTEE 5	58,075		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	Contributions to employee benefit plans and deferred compensation (d)	Expense account, (e) other allowances
NONE				

Total number of other employees paid over \$50,000. 0

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services. 0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	

Total. Add lines 1 through 3

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	57,469,839
b	Average of monthly cash balances.	1b	1,811,822
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	59,281,661
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	59,281,661
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	889,225
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	58,392,436
6	Minimum investment return. Enter 5% of line 5.	6	2,919,622

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	2,919,622
2a	Tax on investment income for 2017 from Part VI, line 5.	2a	29,160
b	Income tax for 2017 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	29,160
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	2,890,462
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	2,890,462
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	2,890,462

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	3,303,788
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	3,303,788
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	29,160
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	3,274,628

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				2,890,462
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only.			529,954	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2017				
a From 2012.	0			
b From 2013.	0			
c From 2014.	0			
d From 2015.	0			
e From 2016.	0			
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ \$ 3,303,788				
a Applied to 2016, but not more than line 2a			529,954	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2017 distributable amount.				2,773,834
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018				116,628
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2013.	0			
b Excess from 2014.	0			
c Excess from 2015.	0			
d Excess from 2016.	0			
e Excess from 2017.	0			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling. ▶					
b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)					
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
	(a) 2017	(b) 2016	(c) 2015	(d) 2014	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:	
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2)) NONE	
b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest NONE	
◀ ▶	
2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:	
Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d	
a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed SANDY KNOWLES 270 PARK AVE NEW YORK, NY 10017 (212) 464-2588	
b The form in which applications should be submitted and information and materials they should include NONE	
c Any submission deadlines NONE	
d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors NONE	

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	3,087,000
b <i>Approved for future payment</i>				
Total			3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2017)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.		
	***** Signature of officer or trustee	2018-05-09 Date	***** Title

May the IRS discuss this return with the preparer shown below
 (see instr.)? ☒ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
	KELLY NEUGEBAUER		2018-05-09		P01285591
	Firm's name ▶ DELOITTE TAX LLP				Firm's EIN ▶ 86-1065772
	Firm's address ▶ 127 PUBLIC SQUARE CLEVELAND, OH 44114				Phone no. (312) 486-9652

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
56 ALEXION PHARMACEUTICALS INC			2017-01-03
23 ALEXION PHARMACEUTICALS INC		2016-04-06	2017-01-03
151 CARNIVAL CORPORATION			2017-01-03
39 CARNIVAL CORPORATION		2015-08-06	2017-01-03
2 KIMBERLY CLARK CORP		2016-01-12	2017-01-03
2 KIMBERLY CLARK CORP		2016-01-12	2017-01-03
2 KIMBERLY CLARK CORP		2016-01-12	2017-01-03
10 KIMBERLY CLARK CORP		2016-01-12	2017-01-04
10 KIMBERLY CLARK CORP		2016-01-12	2017-01-04
7 KIMBERLY CLARK CORP		2016-01-12	2017-01-04

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
6,855		10,672	-3,817
2,815		3,585	-770
7,858		7,872	-14
2,032		2,033	-1
229		252	-23
228		252	-24
229		252	-23
1,153		1,260	-107
1,151		1,260	-109
805		882	-77

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-3,817
			-770
			-14
			-1
			-23
			-24
			-23
			-107
			-109
			-77

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
5 KIMBERLY CLARK CORP		2016-01-12	2017-01-05
6 KIMBERLY CLARK CORP		2016-01-12	2017-01-05
1 KIMBERLY CLARK CORP		2016-01-12	2017-01-05
3 KIMBERLY CLARK CORP		2016-01-12	2017-01-05
2 KIMBERLY CLARK CORP		2016-01-12	2017-01-06
6 KIMBERLY CLARK CORP		2016-01-12	2017-01-06
3 KIMBERLY CLARK CORP		2016-01-12	2017-01-06
1 KIMBERLY CLARK CORP		2016-01-12	2017-01-06
5 KIMBERLY CLARK CORP		2016-01-12	2017-01-09
3 KIMBERLY CLARK CORP		2016-01-12	2017-01-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
578		630	-52
696		756	-60
115		126	-11
346		378	-32
232		252	-20
699		756	-57
348		378	-30
116		126	-10
574		630	-56
345		378	-33

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-52
			-60
			-11
			-32
			-20
			-57
			-30
			-10
			-56
			-33

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 KIMBERLY CLARK CORP		2016-01-12	2017-01-09
4 KIMBERLY CLARK CORP		2016-01-12	2017-01-09
1 KIMBERLY CLARK CORP		2016-01-12	2017-01-11
1 KIMBERLY CLARK CORP		2016-01-12	2017-01-11
1 KIMBERLY CLARK CORP		2016-01-12	2017-01-11
4 KIMBERLY CLARK CORP		2016-01-12	2017-01-12
130 AETNA INC NEW			2017-01-23
4 AETNA INC NEW		2015-01-15	2017-01-24
115 AETNA INC NEW			2017-01-30
32 BLACKROCK INC			2017-01-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
115		126	-11
460		504	-44
114		126	-12
114		126	-12
114		126	-12
458		504	-46
15,474		14,555	919
469		363	106
13,383		10,338	3,045
11,965		11,103	862

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-11
			-44
			-12
			-12
			-12
			-46
			919
			106
			3,045
			862

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
56 CARNIVAL CORPORATION		2015-08-06	2017-01-30
11 CARNIVAL CORPORATION			2017-01-30
7 CARNIVAL CORPORATION			2017-01-30
74 LAM RESEARCH CORPORATION COMMON			2017-01-30
183 TIME WARNER INC			2017-01-30
107 CARNIVAL CORPORATION			2017-01-31
7 CARNIVAL CORPORATION		2015-12-15	2017-02-01
6 CARNIVAL CORPORATION		2015-12-15	2017-02-01
5 CARNIVAL CORPORATION		2015-12-15	2017-02-01
90 LAM RESEARCH CORPORATION COMMON			2017-02-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,115		2,919	196
613		573	40
391		364	27
8,560		3,094	5,466
17,643		2,893	14,750
5,898		5,562	336
386		364	22
333		312	21
276		260	16
10,550		3,728	6,822

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			196
			40
			27
			5,466
			14,750
			336
			22
			21
			16
			6,822

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
42 LENNOX INTL INC			2017-02-10
88 SCHLUMBERGER LTD		2016-07-07	2017-02-10
8 SCHLUMBERGER LTD		2012-11-05	2017-02-10
40 SCHLUMBERGER LTD		2016-07-07	2017-02-10
3 SCHLUMBERGER LTD		2012-11-05	2017-02-10
30 LENNOX INTL INC			2017-02-13
27 SCHLUMBERGER LTD			2017-02-13
20 SCHLUMBERGER LTD		2012-11-05	2017-02-13
21 SCHLUMBERGER LTD			2017-02-13
35 LENNOX INTL INC			2017-02-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
6,867		5,201	1,666
7,237		6,829	408
656		560	96
3,282		3,104	178
246		210	36
4,848		3,685	1,163
2,208		1,890	318
1,634		1,400	234
1,719		1,469	250
5,600		4,251	1,349

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			1,666
			408
			96
			178
			36
			1,163
			318
			234
			250
			1,349

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
4 SCHLUMBERGER LTD		2012-11-05	2017-02-14
13 SCHLUMBERGER LTD		2012-11-05	2017-02-14
21 SCHLUMBERGER LTD		2012-11-05	2017-02-14
31 LENNOX INTL INC			2017-02-15
5 LENNOX INTL INC		2015-08-11	2017-02-16
50 PPG INDS INC		2016-03-30	2017-02-22
77 PPG INDS INC			2017-02-22
25 PPG INDS INC		2016-01-21	2017-02-22
10 BIOVERATIV INC		2016-07-26	2017-02-23
29 BIOVERATIV INC		2010-06-17	2017-02-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
327		280	47
1,061		909	152
1,719		1,469	250
4,923		3,750	1,173
806		604	202
5,146		5,588	-442
7,924		7,102	822
2,576		2,305	271
502		448	54
1,454		220	1,234

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			47
			152
			250
			1,173
			202
			-442
			822
			271
			54
			1,234

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
146 167 ATLAS GLOBAL INVESTMENTS, LTD CLASS D - NEW ISSUES INELIGIBLE		2009-07-31	2017-02-23
133 FACEBOOK INC-A			2017-02-23
40 PPG INDS INC			2017-02-23
MERCK & CO INC			2017-02-28
5000 COSTCO WHOLESALE CORP SR NOTES 5 1/2% MAR 15 2017		2012-11-29	2017-03-15
8000 HALLIBURTON COMPANY		2012-06-14	2017-03-15
76 HOME DEPOT INC		2012-03-20	2017-03-17
27 HOME DEPOT INC		2012-03-20	2017-03-17
19 YUM BRANDS INC		2016-04-08	2017-03-17
51 YUM BRANDS INC		2016-03-16	2017-03-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
733,974		500,000	233,974
17,949		10,086	7,863
4,109		3,687	422
27			27
5,000		5,924	-924
8,802		9,951	-1,149
11,287		3,756	7,531
4,003		1,334	2,669
1,213		1,093	120
3,263		2,794	469

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			233,974
			7,863
			422
			27
			-924
			-1,149
			7,531
			2,669
			120
			469

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
58 YUM BRANDS INC		2016-04-08	2017-03-17
114 YUM BRANDS INC			2017-03-20
20000 MORGAN STANLEY 4 3/4% MAR 22 2017		2012-09-24	2017-03-22
BAXTER INTL INC			2017-03-30
60 PHILIP MORRIS INTERNATIONAL		2010-05-05	2017-04-03
20 3M CO		2010-05-05	2017-04-03
4000 WYETH		2013-11-21	2017-04-03
18753 201 BLACKROCK HIGH YIELD PT-BLAC		2011-10-20	2017-04-10
41853 564 JPMORGAN CORE BOND FUND			2017-04-11
1 BROADCOM LTD		2013-04-25	2017-04-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
3,711		3,335	376
7,293		6,239	1,054
20,000		21,480	-1,480
29			29
6,751		2,947	3,804
3,804		1,741	2,063
4,000		4,561	-561
145,525		136,711	8,814
485,083		459,673	25,410
211		42	169

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			376
			1,054
			-1,480
			29
			3,804
			2,063
			-561
			8,814
			25,410
			169

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 BROADCOM LTD		2013-04-25	2017-04-12
4 BROADCOM LTD		2013-04-25	2017-04-12
2 BROADCOM LTD		2013-04-25	2017-04-12
5 BROADCOM LTD		2013-04-25	2017-04-12
1 BROADCOM LTD		2013-04-25	2017-04-12
6 BROADCOM LTD		2013-04-25	2017-04-12
15 BROADCOM LTD		2013-04-25	2017-04-12
5 BROADCOM LTD		2013-04-25	2017-04-12
1 BROADCOM LTD		2013-04-25	2017-04-12
6 BROADCOM LTD		2013-04-25	2017-04-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
211		42	169
836		168	668
418		84	334
1,046		210	836
209		42	167
1,284		252	1,032
3,145		629	2,516
1,053		210	843
210		42	168
1,271		252	1,019

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			169
			668
			334
			836
			167
			1,032
			2,516
			843
			168
			1,019

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
5 BROADCOM LTD		2013-04-25	2017-04-13
8 BROADCOM LTD		2013-04-25	2017-04-13
2 BROADCOM LTD		2013-04-25	2017-04-13
11 BROADCOM LTD		2013-04-25	2017-04-13
5 BROADCOM LTD		2013-04-25	2017-04-13
4 BROADCOM LTD		2013-04-25	2017-04-13
9 COSTCO WHSL CORP NEW		2015-08-14	2017-04-20
84 MARSH & MCLENNAN COS INC			2017-04-20
84 MARSH & MCLENNAN COS INC			2017-04-20
23 COSTCO WHSL CORP NEW		2015-08-14	2017-04-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,057		210	847
1,696		336	1,360
425		84	341
2,348		462	1,886
1,063		210	853
854		168	686
1,534		1,314	220
6,160		3,714	2,446
6,161		3,690	2,471
3,931		3,359	572

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			847
			1,360
			341
			1,886
			853
			686
			220
			2,446
			2,471
			572

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
4 COSTCO WHSL CORP NEW		2015-08-14	2017-04-21
12 MARSH & MCLENNAN COS INC			2017-04-21
74 MARSH & MCLENNAN COS INC			2017-04-21
1 COSTCO WHSL CORP NEW		2015-08-14	2017-04-24
2 COSTCO WHSL CORP NEW		2015-08-14	2017-04-24
4 COSTCO WHSL CORP NEW			2017-04-24
309 PROCTER & GAMBLE CO		1992-11-24	2017-04-24
4590 5 YORK CREDIT OPPORTUNITIES UNIT TRUST CLASS B - NEW ISSUES INELI		2008-07-31	2017-04-27
5 DISCOVER FINL SVCS		2015-08-14	2017-05-02
15 DISCOVER FINL SVCS		2015-08-14	2017-05-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
684		584	100
863		521	342
5,365		3,181	2,184
172		146	26
343		292	51
687		515	172
27,653		4,213	23,440
925,869		500,000	425,869
309		279	30
928		838	90

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			100
			342
			2,184
			26
			51
			172
			23,440
			425,869
			30
			90

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
280 ETON PARK OVERSEAS FUND, LTD CLASS E - NEW ISSUES INELIGIBLE		2015-12-31	2017-05-02
2 DISCOVER FINL SVCS		2015-08-14	2017-05-03
2 DISCOVER FINL SVCS		2015-08-14	2017-05-03
14 DISCOVER FINL SVCS		2015-08-14	2017-05-03
5 DISCOVER FINL SVCS		2015-08-14	2017-05-03
10 DISCOVER FINL SVCS		2015-08-14	2017-05-03
107 COSTCO WHSL CORP NEW			2017-05-04
9 DISCOVER FINL SVCS		2015-08-14	2017-05-04
3 DISCOVER FINL SVCS		2015-08-14	2017-05-04
37 ILLUMINA INC			2017-05-04

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
123		112	11
122		112	10
858		782	76
306		279	27
610		559	51
19,522		12,959	6,563
547		503	44
182		168	14
6,969		7,310	-341

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			11
			10
			76
			27
			51
			6,563
			44
			14
			-341

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
3 DISCOVER FINL SVCS		2015-08-14	2017-05-05
3 DISCOVER FINL SVCS		2015-08-14	2017-05-05
25 DISCOVER FINL SVCS		2015-08-14	2017-05-05
1 DISCOVER FINL SVCS		2015-08-14	2017-05-05
1 DISCOVER FINL SVCS		2015-08-14	2017-05-05
26 ILLUMINA INC			2017-05-05
2 DISCOVER FINL SVCS		2015-08-14	2017-05-08
1 DISCOVER FINL SVCS		2015-08-14	2017-05-08
7 DISCOVER FINL SVCS		2015-08-14	2017-05-08
5 DISCOVER FINL SVCS		2015-08-14	2017-05-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
182		168	14
181		168	13
1,509		1,397	112
61		56	5
60		56	4
4,875		4,247	628
121		112	9
60		56	4
422		391	31
302		279	23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			14
			13
			112
			5
			4
			628
			9
			4
			31
			23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 DISCOVER FINL SVCS		2015-08-14	2017-05-08
5 ILLUMINA INC		2016-03-22	2017-05-08
2 DISCOVER FINL SVCS		2015-08-14	2017-05-09
8 DISCOVER FINL SVCS		2015-08-14	2017-05-09
4 DISCOVER FINL SVCS		2015-08-14	2017-05-09
8 DISCOVER FINL SVCS		2015-08-14	2017-05-09
6 DISCOVER FINL SVCS		2015-08-14	2017-05-09
19 ILLUMINA INC		2016-03-22	2017-05-09
1 ILLUMINA INC		2016-03-22	2017-05-09
2 DISCOVER FINL SVCS		2015-08-14	2017-05-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
60		56	4
922		781	141
121		112	9
485		447	38
243		224	19
486		447	39
365		335	30
3,480		2,967	513
184		156	28
121		112	9

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			4
			141
			9
			38
			19
			39
			30
			513
			28
			9

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
5 DISCOVER FINL SVCS		2015-08-14	2017-05-10
3759 441 JPMORGAN MID CAP VALUE FD I		2004-09-29	2017-05-11
163 DISCOVER FINL SVCS		2015-08-14	2017-05-15
139 DISCOVER FINL SVCS			2017-05-15
7 TRANSCANADA CORP		2016-07-07	2017-05-15
224 TRANSCANADA CORP			2017-05-15
280 JOHNSON CONTROLS INTERNATIONAL PLC			2017-05-15
128 JOHNSON CONTROLS INTERNATIONAL PLC		2016-10-03	2017-05-15
3 JOHNSON CONTROLS INTERNATIONAL PLC		2016-10-03	2017-05-15
20042 97 OCH-ZIFF OZOFII PRIVATE INVESTORS OFFSHORE LTD - 3% HOLDBACK		2016-05-16	2017-05-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
302		279	23
143,160		77,472	65,688
9,881		9,109	772
8,430		7,182	1,248
327		323	4
10,466		10,102	364
11,899		13,438	-1,539
5,439		5,935	-496
127		139	-12
20,043		20,043	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			23
			65,688
			772
			1,248
			4
			364
			-1,539
			-496
			-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
106 TRANSCANADA CORP		2016-06-30	2017-05-16
4 TRANSCANADA CORP		2016-06-30	2017-05-16
3 TRANSCANADA CORP		2016-06-30	2017-05-16
22 TRANSCANADA CORP			2017-05-16
45 JOHNSON CONTROLS INTERNATIONAL PLC		2016-10-03	2017-05-16
12 TRANSCANADA CORP		2016-11-16	2017-05-17
214 TRANSCANADA CORP			2017-05-17
9 GENERAL MOTORS CO		2015-09-14	2017-05-18
205 GENERAL MOTORS CO			2017-05-18
87 GENERAL MOTORS CO			2017-05-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
4,928		4,750	178
186		179	7
141		134	7
1,019		976	43
1,904		2,086	-182
552		532	20
9,756		9,459	297
291		275	16
6,644		6,229	415
2,828		2,426	402

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			178
			7
			7
			43
			-182
			20
			297
			16
			415
			402

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
10 GENERAL MOTORS CO		2012-12-21	2017-05-18
33 GENERAL MOTORS CO			2017-05-18
4 TRANSCANADA CORP		2016-11-15	2017-05-18
2 TRANSCANADA CORP		2016-11-15	2017-05-18
79 VERTEX PHARMACEUTICALS INC			2017-05-18
13 VERTEX PHARMACEUTICALS INC		2015-09-29	2017-05-18
27 GENERAL MOTORS CO		2012-12-04	2017-05-19
306 GENERAL MOTORS CO			2017-05-19
15 GENERAL MOTORS CO		2012-09-19	2017-05-19
24 GENERAL MOTORS CO		2012-09-19	2017-05-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
325		272	53
1,067		890	177
182		176	6
91		88	3
9,267		10,074	-807
1,521		1,300	221
886		687	199
10,021		7,724	2,297
493		375	118
785		601	184

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			53
			177
			6
			3
			-807
			221
			199
			2,297
			118
			184

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
58 GENERAL MOTORS CO		2012-09-19	2017-05-19
24 GENERAL MOTORS CO		2012-09-19	2017-05-19
42 GENERAL MOTORS CO		2012-09-19	2017-05-19
6 TRANSCANADA CORP		2016-11-15	2017-05-19
3 TRANSCANADA CORP		2016-11-15	2017-05-19
6000 BP CAPITAL MARKETS PLC 2 750% 05/10/2023 DTD 05/10/2013			2017-05-22
16 GENERAL MOTORS CO		2012-09-19	2017-05-22
18 GENERAL MOTORS CO		2012-09-19	2017-05-22
4 GENERAL MOTORS CO		2012-09-19	2017-05-22
6 GENERAL MOTORS CO		2012-09-19	2017-05-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,898		1,452	446
785		601	184
1,373		1,051	322
278		264	14
138		132	6
6,008		5,974	34
526		400	126
594		450	144
131		100	31
199		150	49

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			446
			184
			322
			14
			6
			34
			126
			144
			31
			49

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
25 GENERAL MOTORS CO		2012-09-19	2017-05-22
1 GENERAL MOTORS CO		2012-09-19	2017-05-22
5000 TOTAL CAPITAL CANADA LTD 2 750% 07/15/2023 DTD 01/17/2013		2015-02-03	2017-05-22
8 TRANSCANADA CORP		2016-11-15	2017-05-22
55 GENERAL MOTORS CO		2012-09-19	2017-05-23
4000 BP CAPITAL MARKETS PLC 2 750% 05/10/2023 DTD 05/10/2013		2016-04-22	2017-05-24
5000 BP CAPITAL MARKETS PLC 2 750% 05/10/2023 DTD 05/10/2013		2016-11-18	2017-05-24
12 GENERAL MOTORS CO		2012-09-19	2017-05-24
15432 317 BROWN ADV JAPAN ALPHA OPP-IS			2017-05-25
14000 AT&T INC 1 7% JUN 01 2017		2012-07-10	2017-06-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
821		626	195
33		25	8
5,058		5,063	-5
373		352	21
1,827		1,376	451
3,997		3,977	20
4,996		4,915	81
399		300	99
163,583		181,975	-18,392
14,000		14,246	-246

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			195
			8
			-5
			21
			451
			20
			81
			99
			-18,392
			-246

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
12000 CONOCOPHILLIPS		2009-06-17	2017-06-22
2000 RIO TINTO FIN USA LTD 9% MAY 01 2019		2012-08-22	2017-06-22
65 UNILEVER N V NEW YORK SHS NEW		2010-05-05	2017-06-28
15000 BB&T CORPORATION SUB NOTES 4 9% JUN 30 2017		2015-09-30	2017-06-30
21208 226 BLACKROCK HIGH YIELD PT-BLAC		2011-10-20	2017-07-05
2020 ISHARES TR S & P MIDCAP 400 INDEX FD		2013-03-12	2017-07-05
8578 431 JPM GLBL BD OPP FD -SEL FUND 3294		2015-04-21	2017-07-05
WASTE CONNECTIONS INC			2017-07-07
132 METLIFE INC			2017-07-12
129 METLIFE INC			2017-07-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
12,757		12,515	242
2,261		2,745	-484
3,658		1,911	1,747
15,000		15,846	-846
165,000		154,608	10,392
352,223		228,199	124,024
87,500		90,304	-2,804
32			32
7,334		3,884	3,450
7,161		3,709	3,452

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			242
			-484
			1,747
			-846
			10,392
			124,024
			-2,804
			32
			3,450
			3,452

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
172 METLIFE INC			2017-07-12
124 METLIFE INC		2009-01-21	2017-07-12
23 METLIFE INC		2009-01-21	2017-07-12
21 METLIFE INC		2009-01-21	2017-07-13
4000 CONOCOPHILLIPS		2009-06-17	2017-08-01
120 T-MOBILE US INC			2017-08-01
154 T-MOBILE US INC			2017-08-01
350 ETON PARK OVERSEAS FUND, LTD CLASS E - NEW ISSUES INELIGIBLE		2015-12-31	2017-08-01
45 BOEING CO			2017-08-09
34 HUMANA INC		2017-01-23	2017-08-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
9,555		4,783	4,772
6,881		3,111	3,770
1,278		577	701
1,169		527	642
4,233		4,172	61
7,561		4,837	2,724
9,715		6,191	3,524
593,405		664,024	-70,619
10,651		6,387	4,264
8,512		6,907	1,605

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			4,772
			3,770
			701
			642
			61
			2,724
			3,524
			-70,619
			4,264
			1,605

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
12 HUMANA INC		2011-04-08	2017-08-14
1 HUMANA INC		2011-04-08	2017-08-21
68 HUMANA INC			2017-08-21
19 LINCOLN NATL CORP IND		2017-07-13	2017-08-21
29 LINCOLN NATL CORP IND			2017-08-21
5 LINCOLN NATL CORP IND		2017-07-12	2017-08-21
7 LINCOLN NATL CORP IND		2017-07-12	2017-08-22
38 LINCOLN NATL CORP IND		2017-07-12	2017-08-22
12 LINCOLN NATL CORP IND		2017-07-12	2017-08-22
BRIGHTHOUSE FINL INC COM			2017-08-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
3,004		837	2,167
248		70	178
16,922		4,739	12,183
1,275		1,351	-76
1,946		2,060	-114
336		353	-17
474		494	-20
2,573		2,683	-110
813		847	-34
21			21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			2,167
			178
			12,183
			-76
			-114
			-17
			-20
			-110
			-34
			21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
6 LINCOLN NATL CORP IND		2017-07-12	2017-08-23
13 LINCOLN NATL CORP IND		2017-07-12	2017-08-23
4 LINCOLN NATL CORP IND			2017-08-23
6 LINCOLN NATL CORP IND		2017-07-12	2017-08-24
14 LINCOLN NATL CORP IND		2017-07-12	2017-08-24
1 LINCOLN NATL CORP IND		2017-07-12	2017-08-24
13 LINCOLN NATL CORP IND		2017-07-12	2017-08-24
10000 NOVARTIS SECS INVEST LTD		2009-07-07	2017-08-24
21 LINCOLN NATL CORP IND		2017-07-12	2017-08-25
4 LINCOLN NATL CORP IND		2017-07-12	2017-08-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
408		424	-16
885		918	-33
272		282	-10
409		423	-14
952		988	-36
68		71	-3
886		918	-32
10,504		10,208	296
1,435		1,482	-47
274		282	-8

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-16
			-33
			-10
			-14
			-36
			-3
			-32
			296
			-47
			-8

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2 LINCOLN NATL CORP IND		2017-07-12	2017-08-25
JOHNSON & JOHNSON			2017-08-28
31 LINCOLN NATL CORP IND		2017-07-12	2017-08-28
16 LINCOLN NATL CORP IND		2017-07-12	2017-08-28
2 LINCOLN NATL CORP IND		2017-07-12	2017-08-29
11 LINCOLN NATL CORP IND			2017-08-29
4 LINCOLN NATL CORP IND		2017-07-12	2017-08-29
8 LINCOLN NATL CORP IND		2017-07-12	2017-08-30
5 LINCOLN NATL CORP IND		2017-07-12	2017-08-30
10000 DEUTSCHE BANK AG LONDON 6% SEP 01 2017			2017-09-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
137		141	-4
75			75
2,106		2,188	-82
1,087		1,129	-42
134		141	-7
739		775	-36
268		281	-13
541		563	-22
340		352	-12
10,000		11,534	-1,534

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-4
			75
			-82
			-42
			-7
			-36
			-13
			-22
			-12
			-1,534

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
5000 BHP BILLITON FIN USA LTD NON-TRADEABLE ASSET		2015-12-07	2017-09-13
10000 NYSE EURONEXT 2% OCT 05 2017		2012-10-23	2017-09-13
55 ALTRIA GROUP INC		2010-05-05	2017-09-14
15000 BERKSHIRE HATHAWAY FIN 3% MAY 15 2022			2017-09-14
90 J P MORGAN CHASE & CO		2014-06-06	2017-09-14
10 KIMBERLY CLARK CORP		2010-05-05	2017-09-14
11000 EOF RESOURCES INC		2009-07-08	2017-09-15
4000 ROYAL BANK OF CANADA 1 2% SEP 19 2017		2012-09-12	2017-09-19
3000 HSBC FINANCE CORP NON-TRADEABLE ASSET		2016-10-31	2017-09-21
407 STARBUCKS CORP		2017-03-17	2017-09-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,462		4,960	502
10,004		10,188	-184
3,408		1,170	2,238
15,584		15,379	205
8,208		5,135	3,073
1,204		591	613
11,000		11,795	-795
4,000		4,000	
3,445		3,430	15
22,389		22,745	-356

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			502
			-184
			2,238
			205
			3,073
			613
			-795
			15
			-356

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
DOWDUPONT INC		1960-11-11	2017-09-29
DOWDUPONT INC			2017-09-29
20 ALEXION PHARMACEUTICALS INC			2017-10-03
55 ALEXION PHARMACEUTICALS INC		2013-05-07	2017-10-03
49 HARTFORD FINL SVCS GROUP INC		2016-12-16	2017-10-03
63 BROADCOM LTD			2017-10-03
5 BROADCOM LTD		2013-05-24	2017-10-03
15 BROADCOM LTD			2017-10-03
10 ALEXION PHARMACEUTICALS INC		2013-05-07	2017-10-04
9 HARTFORD FINL SVCS GROUP INC		2016-12-16	2017-10-04

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6			6
2,830		2,881	-51
7,782		5,316	2,466
2,716		2,334	382
15,064		2,589	12,475
1,193		191	1,002
3,584		573	3,011
1,412		967	445
501		429	72

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			6
			-51
			2,466
			382
			12,475
			1,002
			3,011
			445
			72

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
49 HARTFORD FINL SVCS GROUP INC		2016-12-16	2017-10-04
3 HARTFORD FINL SVCS GROUP INC		2016-12-16	2017-10-04
23 HARTFORD FINL SVCS GROUP INC		2016-12-16	2017-10-04
2 HARTFORD FINL SVCS GROUP INC		2016-09-09	2017-10-04
8 HARTFORD FINL SVCS GROUP INC		2016-12-16	2017-10-04
24 HARTFORD FINL SVCS GROUP INC		2016-09-09	2017-10-05
1 HARTFORD FINL SVCS GROUP INC		2016-09-09	2017-10-05
5 HARTFORD FINL SVCS GROUP INC		2016-09-09	2017-10-06
14 HARTFORD FINL SVCS GROUP INC		2016-09-09	2017-10-09
2 HARTFORD FINL SVCS GROUP INC		2016-09-09	2017-10-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,728		2,334	394
167		143	24
1,281		1,095	186
111		83	28
446		381	65
1,337		990	347
56		41	15
279		206	73
778		578	200
111		83	28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			394
			24
			186
			28
			65
			347
			15
			73
			200
			28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
646 GENERAL ELEC CO			2017-10-10
7201 429 YORK CREDIT OPPORTUNITIES UNIT TRUST CLASS B - NEW ISSUES INE			2017-10-16
11000 ANHEUSER BUSCH INBEV WOR 7 3/4% JAN 15 2019		2012-06-27	2017-10-19
4000 ANHEUSER BUSCH INBEV WOR 6 7/8% NOV 15 2019		2012-06-21	2017-10-19
11000 DIAGEO CAPITAL PLC		2009-06-17	2017-10-23
87 AT&T INC		2015-06-01	2017-10-27
285 AT&T INC			2017-10-27
264 AT&T INC			2017-10-30
226 AT&T INC		2015-06-02	2017-10-30
35 ETON PARK OVERSEAS FUND, LTD CLASS E - NEW ISSUES INELIGIBLE		2015-12-31	2017-11-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
15,297		19,512	-4,215
11,774		14,563	-2,789
4,400		5,191	-791
11,000		11,451	-451
2,954		3,005	-51
9,657		9,839	-182
8,931		9,099	-168
7,584		7,781	-197

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-4,215
			-2,789
			-791
			-451
			-51
			-182
			-168
			-197

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
800 159 YORK CREDIT OPPORTUNITIES UNIT TRUST CLASS B - NEW ISSUES INEL		2008-07-31	2017-11-07
87 ANALOG DEVICES INC			2017-11-10
39 BIOGEN IDEC INC			2017-11-10
72 ANALOG DEVICES INC			2017-11-13
11000 PORT AUTH OF NY & NJ NY SER 179 5 000% 1			2017-11-15
181 TJX COS INC NEW			2017-11-17
6 TJX COS INC NEW		2016-12-05	2017-11-17
25 TJX COS INC NEW		2016-12-05	2017-11-17
120 PNC FINL SVCS GROUP INC			2017-11-20
22108 43 BLACKROCK HIGH YIELD PT-BLAC		2011-10-20	2017-11-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h			
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7,795		5,588	2,207
12,106		6,177	5,929
6,451		4,612	1,839
11,000		12,740	-1,740
12,798		14,086	-1,288
425		464	-39
1,778		1,934	-156
15,995		15,297	698
172,667		161,170	11,497

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l			
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			2,207
			5,929
			1,839
			-1,740
			-1,288
			-39
			-156
			698
			11,497

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
14 BLACKROCK INC			2017-11-21
13000 CATERPILLAR FINL MTN 7 150% 2/15/19		2009-06-16	2017-11-27
5000 PORT AUTH OF NY & NJ NY SER 179 5 000% 1			2017-11-27
32 ADOBE SYS INC		2012-11-05	2017-12-04
FEDERAL NATL MTG ASSN			2017-12-04
4 ADOBE SYS INC		2012-11-05	2017-12-05
6 ADOBE SYS INC		2012-11-05	2017-12-05
37 ADOBE SYS INC		2012-11-05	2017-12-05
19 ADOBE SYS INC			2017-12-05
211 GENERAL ELEC CO			2017-12-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
6,738		4,656	2,082
13,800		13,663	137
5,019		5,005	14
5,405		1,093	4,312
192			192
676		137	539
1,005		205	800
6,287		1,263	5,024
3,198		646	2,552
3,743		5,901	-2,158

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			2,082
			137
			14
			4,312
			192
			539
			800
			5,024
			2,552
			-2,158

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
133 GENERAL ELEC CO		2015-09-08	2017-12-05
308 GENERAL ELEC CO		2015-09-08	2017-12-05
30 GENERAL ELEC CO			2017-12-06
384 GENERAL ELEC CO			2017-12-06
247 GENERAL ELEC CO			2017-12-06
5000 WELLS FARGO COMPANY 5 5/8% DEC 11 2017		2013-10-08	2017-12-11
BANK OF AMERICA CORPORATION			2017-12-12
40000 BANK OF AMERICA CORP			2017-12-12
61 STADA ARZNEIMITTEL AG			2017-12-13
187 STADA ARZNEIMITTEL AG		2013-11-26	2017-12-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
2,370		3,317	-947
5,480		7,682	-2,202
534		748	-214
6,811		9,498	-2,687
4,358		6,043	-1,685
5,000		5,755	-755
259			259
43,247		47,356	-4,109
3,836		2,743	1,093
11,755		7,309	4,446

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-947
			-2,202
			-214
			-2,687
			-1,685
			-755
			259
			-4,109
			1,093
			4,446

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
153 STADA ARZNEIMITTEL AG			2017-12-13
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
9,615		5,785	3,830
			59,666

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			3,830

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
UNITED NEGRO COLLEGE FUND INC120 WALL ST 900 NEW YORK, NY 10005	NONE	PC	GENERAL	50,000
WILDLIFE CONSERVATION SOCIETY 2300 SOUTHERN BOULEVARD BRONX, NY 10460	NONE	PC	GENERAL	35,000
TURNAROUND FOR CHILDREN 25 W 45TH ST NEW YORK, NY 10036	NONE	PC	GENERAL	50,000
NEW SETTLEMENT APARTMENTS 1512 TOWNSEND AVE BRONX, NY 10452	NONE	PC	GENERAL	40,000
VENTURE HOUSE INC 15010 HILLSIDE AVENUE JAMAICA, NY 11432	NONE	PC	GENERAL	50,000
Total ▶ 3a				3,087,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
YOUNG WOMENS LEADERSHIP NETWORK322 8TH AVE NEW YORK, NY 10001	NONE	PC	GENERAL	50,000
FOUNTAIN HOUSE564 WALTON AVE BRONX, NY 10451	NONE	PC	GENERAL	40,000
YMCA OF GREATER NEW YORK 100 HESTER ST NEW YORK, NY 10002	NONE	PC	GENERAL	30,000
STUDENT SPONSOR PARTNERSHIP INC424 MADISON AVE 1002 NEW YORK, NY 10017	NONE	PC	GENERAL	40,000
UNITED NEIGHBORHOOD HOUSES OF NEW YORK70 W 36TH ST 503 NEW YORK, NY 10018	NONE	PC	GENERAL	50,000
Total ▶ 3a				3,087,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
COMMUNITY ACCESS INC 2 WASHINGTON ST NEW YORK, NY 10004	NONE	PC	GENERAL	25,000
WOMENS HOUSING AND ECONOMIC DEVELOPMENT1309 LOUIS NINE BLVD BRONX, NY 10459	NONE	PC	GENERAL	50,000
JEWISH HOME120 W 106TH ST NEW YORK, NY 10025	NONE	PC	GENERAL	30,000
NEIGHBORHOOD COALITION FOR SHELTER INC50 BROADWAY 1301 NEW YORK, NY 10004	NONE	PC	GENERAL	50,000
MEALS ON WHEELS PROGRAMS AND SERVICES OF ROCKLAND INC 121 WEST NYACK ROAD NANUET, NY 10954	NONE	PC	GENERAL	50,000
Total ► 3a				3,087,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
PARTNERSHIP FOR THE HOMELESS INC 305 7TH AVE 1300 NEW YORK, NY 10001	NONE	PC	GENERAL	40,000
PER SCHOLAS804 E 138TH ST 2 BRONX, NY 10454	NONE	PC	GENERAL	50,000
FOUNDATION FIGHTING BLINDNESS INC 11435 CRONHILL DR OWINGS MILLS, MD 21117	NONE	PC	GENERAL	50,000
COMMUNITY SERVICE SOCIETY OF NEW YORK10TH FLOOR 633 3RD AVE NEW YORK, NY 10017	NONE	PC	GENERAL	50,000
THE SALVATION ARMY OF GREATER NEW YORK120 W 14TH STREET NEW YORK, NY 10011	NONE	PC	GENERAL	35,000
Total ▶ 3a				3,087,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ADVOCATES FOR CHILDREN OF NEW YORK 151 WEST 30TH STREET 5TH FLOOR NEW YORK, NY 10001	NONE	PC	GENERAL	50,000
INNER-CITY SCHOLARSHIP FUND INC 1011 1ST AVENUE 1400 NEW YORK, NY 10022	NONE	PC	GENERAL	50,000
KINGSBRIDGE HEIGHTS COMMUNITY CENTER 3101 KINGSBRIDGE TERRACE BRONX, NY 10463	NONE	PC	GENERAL	50,000
FEDERATION OF PROTESTANT WELFARE AGENCIES 40 BROAD ST NEW YORK, NY 10004	NONE	PC	GENERAL	50,000
HEALTHCARE CHAPLAINCY INC 65 BROADWAY 12TH FLOOR NEW YORK, NY 10006	NONE	PC	GENERAL	60,000
Total ▶ 3a				3,087,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ABRAHAM HOUSE INC340 WILLIS AVE BRONX, NY 10454	NONE	PC	GENERAL	50,000
DONORSCHOOSEORG134 W 37TH ST NEW YORK, NY 10018	NONE	PC	GENERAL	50,000
UNION THEOLOGICAL SEMINARY 3041 BROADWAY NEW YORK, NY 10027	NONE	PC	GENERAL	30,000
NEW YORK UNIVERSITY SCHOOL OF MEDICINE550 1ST AVENUE NEW YORK, NY 10016	NONE	PC	GENERAL	80,000
GODDARD RIVERSIDE COMMUNITY CENTER 593 COLUMBUS AVE NEW YORK, NY 10024	NONE	PC	GENERAL	50,000
Total ► 3a				3,087,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
HOLY APOSTLES SOUP KITCHEN 296 9TH AVE NEW YORK, NY 10001	NONE	PC	GENERAL	50,000
CALVARY HOSPITAL FUND INC 1740 EASTCHESTER RD BRONX, NY 10461	NONE	PC	GENERAL	50,000
LUPUS FOUNDATION OF AMERICA INC600 THIRD AVENUESUITE 204 NEW YORK, NY 10016	NONE	PC	GENERAL	50,000
TRUST FOR PUBLIC LAND 666 BROADWAY NEW YORK, NY 10012	NONE	PC	GENERAL	30,000
LENOX HILL NEIGHBORHOOD HOUSE INC 331 E 70TH ST NEW YORK, NY 10021	NONE	PC	GENERAL	50,000
Total 				3,087,000
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BROOKLYN BUREAU OF COMMUNITY SERVICE 285 SCHERMERHORN ST BROOKLYN, NY 11217	NONE	PC	GENERAL	25,000
NEW YORK PUBLIC LIBRARY 476 5TH AVE NEW YORK, NY 10018	NONE	PC	GENERAL	50,000
WELLS COLLEGE 170 MAIN ST AURORA, NY 13026	NONE	PC	GENERAL	150,000
NEIGHBORHOOD HOUSING SER NEW YORK CITY INC 307 W 36TH ST 12 NEW YORK, NY 10018	NONE	PC	GENERAL	30,000
ROCKEFELLER UNIVERSITY 1230 YORK AVE NEW YORK, NY 10065	NONE	PC	GENERAL	50,000
Total ▶ 3a				3,087,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
GAY MEN'S HEALTH CRISIS 446 WEST 33RD STREET NEW YORK, NY 10001	NONE	PC	GENERAL	30,000
BRONXWORKS INC60 E TREMONT AVE BRONX, NY 10453	NONE	PC	GENERAL	25,000
URBAN DOVE8 E 40TH ST NEW YORK, NY 10016	NONE	PC	GENERAL	30,000
FOOD BANK FOR NEW YORK CITY 39 BROADWAY NEW YORK, NY 10038	NONE	PC	GENERAL	80,000
CATHEDRAL CHURCH OF ST JOHN THE DIVINCE1047 AMSTERDAM AVE NEW YORK, NY 10025	NONE	PC	GENERAL	30,000
Total 3a				3,087,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
GOOD SHEPHERD SERVICES 305 7TH AVE NEW YORK, NY 10001	NONE	PC	GENERAL	50,000
BAILEY HOUSE INC1751 PARK AVE NEW YORK, NY 10035	NONE	PC	GENERAL	30,000
RIVERDALE PRESBYTERIAN CHURCH 4765 HENRY HUDSON PKWY W BRONX, NY 10471	NONE	PC	GENERAL	30,000
RIVERDALE NEIGHBORHOOD HOUSE 5521 MOSHOLU AVE BRONX, NY 10471	NONE	PC	GENERAL	30,000
BIG BROTHERS AND BIG SISTERS OF NEW YORK CITY INC40 RECTOR ST NEW YORK, NY 10006	NONE	PC	GENERAL	50,000
Total ► 3a				3,087,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CANCER RESEARCH INSTITUTE INC 55 BROADWAY NEW YORK, NY 10006	NONE	PC	GENERAL	50,000
CRESTON AVENUE BAPTIST CHURCH 114 E 188TH ST BRONX, NY 10468	NONE	PC	GENERAL	12,000
BRONX RIVER ALLIANCE 1 BRONX RIVER PKWY BRONX, NY 10462	NONE	PC	GENERAL	30,000
ROCKING THE BOAT 812 EDGEWATER RD BRONX, NY 10474	NONE	PC	GENERAL	50,000
EAST SIDE HOUSE INC 337 ALEXANDER AVE BRONX, NY 10454	NONE	PC	GENERAL	50,000
Total ▶ 3a				3,087,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
THE CHILDREN'S VILLAGE INC 1 ECHO HL DOBBS FERRY, NY 10522	NONE	PC	GENERAL	100,000
AMERICAN FOUNDATION FOR AIDS RESEARCH 120 WALL ST 13 NEW YORK, NY 10005	NONE	PC	GENERAL	50,000
CANCER CARE INC 275 7TH AVE NEW YORK, NY 10001	NONE	PC	GENERAL	50,000
CHILDREN'S AID SOCIETY 711 3RD AVE NEW YORK, NY 10017	NONE	PC	GENERAL	50,000
BREAKTHROUGH NEW YORK 123 WILLIAM STREET 4TH FLOOR New York, NY 10038	NONE	PC	GENERAL	30,000
Total ▶ 3a				3,087,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CARDINAL MCCLOSKEY COMMUNITY 115 E STEVENS AVE LL5 Valhalla, NY 10595	NONE	PC	GENERAL	50,000
DAY ONE WELFARE AGENCIES 307 7TH AVE 1201 New York, NY 10001	NONE	PC	GENERAL	20,000
MADISON SQUARE BOYS AND GIRLS 733 3RD AVE New York, NY 10017	NONE	PC	GENERAL	50,000
SCAN NEW YORK VOLUNTEER PARENT 1377 JEROME AVE Bronx, NY 10452	NONE	PC	GENERAL	30,000
THE HOPE PROGRAM INC ONE SMITH STREET 4TH FLOOR Brooklyn, NY 112015111	NONE	PC	GENERAL	50,000
Total ► 3a				3,087,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
WOODLAWN CONSERVANCY INC 3800 JEROME AVE Bronx, NY 10467	NONE	PC	GENERAL	30,000
CITYMEALS-ON-WHEELS 355 LEXINGTON AVE NEW YORK, NY 10017	NONE	PC	GENERAL	80,000
Total ▶ 3a				3,087,000

TY 2017 Investments Corporate Bonds Schedule

Name: HAGEDORN FUND XXXXX5004

EIN: 13-6048718

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
91929687 BLACKROCK HIGH YIELD		
258620103 DOUBLELINE TOTL RET	843,398	794,694
00037BAB8 ABB FINANCE USA INC	10,351	10,166
00206RAJ1 AT&T INC 5 1/2% FEB	7,247	6,017
00206RBF8 AT&T INC 1.7% JUN 01		
00440EAK3 ACE INA HOLDINGS 5.8	10,701	11,084
023135AL0 AMAZON.COM INC 2.600	2,994	3,030
02364WAN5 AMERICA MOVIL SAB DE		
025816AY5 AMERICAN EXPRESS SR	17,876	15,161
02665WAH4 AMERICAN HONDA FINAN	9,982	10,014
03076CAE6 AMERIPRISE FINANCIAL	11,444	10,607
031162AZ3 AMGEN INC SR NOTES 5	11,599	11,417
03523TBE7 ANHEUSER BUSCH INBEV		
03523TBH0 ANHEUSER BUSCH INBEV		
035242AP1 ANHEUSER-BUSCH INBEV	5,045	5,159
037411AX3 APACHE CORP 3.625% 0	10,237	10,237
037833AS9 APPLE INC 3.450% 05/	5,170	5,184
05348EAU3 AVALONBAY COMMUNITIE	5,028	5,141
054937AG2 BB&T CORPORATION SUB		
055451AU2 BHP BILLITON FIN USA		

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
05565QCD8 BP CAPITAL MARKETS P		
05574LPT9 BNP PARIBAS MTN 2.70	10,181	10,044
05574LTX6 BNP PARIBAS MTN 2.40	4,988	5,014
06051GDZ9 BANK OF AMERICA CORP		
06051GEU9 BANK OF AMERICA CORP	4,964	5,114
06366RMS1 BANK OF MONTREAL MTN	9,772	9,993
06406HCR8 BANK OF NEW YORK MEL	10,160	10,028
084664BT7 BERKSHIRE HATHAWAY F		
09247XAL5 BLACKROCK INC 3.500%	5,299	5,196
097023AM7 BOEING CO 7 1/4% JUN	6,605	6,327
12189LAM3 BURLINGTN NORTH SANT	10,184	10,186
12572QAE5 CME GROUP INC 3% SEP	4,756	5,098
14912L4E8 CATERPILLAR FINACIAL	5,255	5,279
166764AH3 CHEVRON CORP 3.191%	10,262	10,275
172967JN2 CITIGROUP INC 1.700%	14,972	14,985
172967JP7 CITIGROUP INC 3.300%	9,934	10,091
20825CAR5 CONOCOPHILLIPS NOTES		
21685WBT3 RABOBANK NEDERLAND N	16,681	15,898
22160KAC9 COSTCO WHOLESALE COR		
24422ERM3 JOHN DEERE CAPITAL C	11,025	11,083

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
250847EJ5 DETROIT EDISON COMPA	4,933	5,005
25152CMN3 DEUTSCHE BANK AG LON		
25243YAM1 DIAGEO CAPITAL PLC N		
26442CAG9 DUKE ENERGY CAROLINA	11,660	10,433
26875PAA9 EOG RESOURCES INC 5		
26884ABA0 ERP OPERATING LP 3.0	8,094	8,093
278058DD1 EATON CORP NOTES 5.6	10,696	11,144
29364DAR1 ENTERGY ARKANSAS INC	6,012	6,021
30231GAT9 EXXON MOBIL CORPORAT	5,149	5,076
343412AB8 FLUOR CORP (NEW) 3 3	10,411	10,222
36962G4Y7 GENERAL ELEC CAP COR	11,277	10,608
375558AW3 GILEAD SCIENCES INC	10,432	10,460
38141EA25 GOLDMAN SACHS GROUP	11,613	11,624
38141GGS7 GOLDMAN SACHS GROUP	26,192	25,500
404280AL3 HSBC HOLDINGS PLC 4.	30,095	29,047
40429CGD8 HSBC FINANCE CORP 6.	5,645	5,559
406216AX9 HALLIBURTON COMPANY		
458140AJ9 INTEL CORP 3.3% OCT	11,815	11,406
46132FAA8 INVESCO FINANCE PLC	4,949	5,059
46637K687 JPM GLBL BD OPP FD -		

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
4812C0381 JPM CORE BD FD - SEL		
500472AF2 PHILIPS ELECTRONICS	10,168	10,411
534187BD0 LINCOLN NATIONAL COR	5,190	5,219
539473AH1 LLOYDS TSB BANK PLC	11,588	11,097
548661CV7 LOWE'S COMPANIES INC	10,100	10,446
55273E640 MFS EMERGING MKTS DE		
553794AC2 MUFG AMERICAS HLDGS	10,026	9,871
56501RAB2 MANULIFE FINANCIAL C	5,592	5,292
571748BA9 MARSH & MCLENNAN COS	5,023	5,124
585055AX4 MEDTRONIC INC 3.125%	5,291	5,110
58933YAQ8 MERCK & CO INC 2.350	4,832	4,993
59156RBQ0 METLIFE INC 3.600% 1	2,000	2,080
594918AT1 MICROSOFT CORP 2.375	2,990	2,974
61747WAL3 MORGAN STANLEY 5 1/2	22,714	21,874
61747YDT9 MORGAN STANLEY 4 3/4		
629491AB7 NYSE EURONEXT 2% OCT		
637432LR4 NATIONAL RURAL UTIL	13,822	10,683
63946BAE0 NBCUNIVERSAL MEDIA L	11,248	10,598
65334HAK8 NEXEN ENERGY ULC 6.2	5,634	5,247
665772CK3 NORTHERN STATES PWR-		

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
66989GAA8 NOVARTIS SECS INVEST	5,104	5,165
670346AM7 NUCOR CORP 4.000% 08	5,226	5,235
674599BY0 OCCIDENTAL PETROLEUM	5,175	5,236
68389XBL8 ORACLE CORP 2.400% 0	15,157	14,810
694308GT8 PACIFIC GAS & ELECTR	5,219	5,123
73755LAH0 POTASH CORP-SASKATCH	5,354	5,235
737679DG2 POTOMAC ELECTRIC POW	5,291	5,232
74251VAH5 PRINCIPAL FINANCIAL	3,994	4,021
743315AR4 PROGRESSIVE CORP 2.4	1,999	1,912
767201AH9 RIO TINTO FIN USA LT		
78011DAC8 ROYAL BANK OF CANADA		
78012KKU0 ROYAL BANK OF CANADA	4,994	5,014
797440BU7 SAN DIEGO G & E 2.50	4,984	4,816
80283LAM5 SANTANDER UK PLC 3.0	10,192	10,068
806854AH8 SCHLUMBERGER INVESTM	10,330	10,526
822582BD3 SHELL INTERNATIONAL	15,329	15,412
826418BJ3 SIERRA PACIFIC POWER	10,617	10,312
857477AL7 STATE STREET CORP 3.	3,994	4,034
857477AM5 STATE STREET CORP 3.	5,410	5,264
86562MAE0 SUMITOMO MITSUI FINL	14,793	14,699

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
87236YAA6 TD AMERITRADE HOLDIN	5,954	5,307
88165FAG7 TEVA PHARMACEUT FIN	3,739	3,588
89114QBG2 TORONTO-DOMINION BAN	2,995	2,971
89153UAF8 TOTAL CAPITAL CANADA		
89352HAK5 TRANS-CANADA PIPELIN	10,316	10,447
89417EAF6 TRAVELLERS COS INC S	11,433	11,550
91159JAA4 US BANCORP MTN 2.95%	9,918	10,134
91324PBT8 UNITEDHEALTH GROUP I	10,200	10,308
92343VBC7 VERIZON COMMUNICATIO	5,119	5,148
927804FK5 VIRGINIA ELEC & POWE	4,933	5,061
92976WBH8 WACHOVIA CORP MEDIUM	5,691	5,016
949746NX5 WELLS FARGO COMPANY		
94974BFJ4 WELLS FARGO & COMPAN	10,025	10,189
94974BFY1 WELLS FARGO & COMPAN	10,118	10,483
961214BK8 WESTPAC BANKING CORP	7,994	8,386
983024AM2 WYETH 5.45% APR 01 2		
020002AZ4 ALLSTATE CORP 3.150%	5,139	5,070
02209SAN3 ALTRIA GROUP INC 2.8	5,102	5,032
037833CU2 APPLE INC 2.850% 05/	20,343	20,085
05348EBC2 AVALONBAY COMMUNITIE	4,983	4,979

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
05531FBB8 BB&T CORPORATION MTN	14,969	14,887
05565QDL9 BP CAPITAL MARKETS P	20,249	20,488
059165EG1 BALTIMORE GAS & ELEC	4,749	4,720
06051GGQ6 BANK OF AMERICA CORP	40,023	39,916
084670BR8 BERKSHIRE HATHAWAY I	15,309	15,096
091929687 BLACKROCK HIGH YIELD	494,435	538,156
15189XAR9 CENTERPOINT ENER HOU	4,967	4,974
172967HT1 CITIGROUP INC 3.750%	5,098	5,185
256210105 DODGE & COX INCOME F	172,667	171,917
29365PAP7 ENTERGY LOUISIANA LL	5,796	5,771
36962G6F6 GENERAL ELEC CAP COR	5,205	5,078
46637K679 JPM GLBL BD OPP FD -	509,669	503,605
4812C0100 JPMORGAN CORE BOND-R	540,667	588,289
552746364 MFS EMERGING MKTS DE	347,343	349,662
59156RBB3 METLIFE INC 4.368% 0	16,364	16,193
606822AL8 MITSUBISHI UFJ FIN G	10,192	10,062
61746BDZ6 MORGAN STANLEY MTN 3	15,227	15,632
665859AP9 NORTHERN TRUST CORP	5,356	5,296
674599CG8 OCCIDENTAL PETROLEUM	5,050	5,177
68233JAZ7 ONCOR ELECTRIC DELIV	5,032	4,987

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
686330AJ0 ORIX CORP 3.700% 07/	5,059	5,033
718172CD9 PHILIP MORRIS INTL I	9,918	9,910
74340XAW1 PROLOGIS LP 4.250% 0	5,391	5,352
747525AU7 QUALCOMM INC 3.250%	4,976	4,881
828807CS4 SIMON PROPERTY GROUP	10,230	10,204
857477AT0 STATE STREET CORP 3.	5,189	5,195
882508BC7 TEXAS INSTRUMENTS IN	9,951	9,964
89152UAF9 TOTAL CAPITAL SA 4 1	5,333	5,264
89153VAL3 TOTAL CAPITAL INTL S	5,302	5,301
92203J308 VANGUARD TOTAL INTL	811,360	809,288
95000U2B8 WELLS FARGO & COMPAN	4,977	4,972
961214CV3 WESTPAC BANKING CORP	4,976	4,941

TY 2017 Investments Corporate Stock Schedule

Name: HAGEDORN FUND XXXXX5004
EIN: 13-6048718

Name of Stock	End of Year Book Value	End of Year Fair Market Value
2824100 ABBOTT LABORATORIES		
15351109 ALEXION PHARMACEUTICA		
23135106 AMAZON.COM INC		
26874784 AMERICAN INTERNATIONA		
32511107 ANADARKO PETROLEUM CO		
32654105 ANALOG DEVICES INC		
37833100 APPLE INC		
60505104 BANK OF AMERICA CORP		
64058100 BANK OF NEW YORK MELL		
101137107 BOSTON SCIENTIFIC CO	34,372	39,044
110122108 BRISTOL-MYERS SQUIBB	31,960	44,673
115233579 BROWN ADV JAPAN ALPH	149,025	178,115
125896100 CMS ENERGY CORP	17,124	23,319
143658300 CARNIVAL CORP		
151020104 CELGENE CORP	12,783	25,881
172967424 CITIGROUP INC	48,091	82,149
254687106 WALT DISNEY CO/THE	75,340	80,633
254709108 DISCOVER FINANCIAL S		
260543103 DOW CHEMICAL CO/THE		
277432100 EASTMAN CHEMICAL CO	21,134	30,386
339128100 JPM MID CAP VALUE FD		
369550108 GENERAL DYNAMICS COR	28,359	36,011
369604103 GENERAL ELECTRIC CO	9,833	10,732
375558103 GILEAD SCIENCES INC	20,452	15,474
404280406 HSBC HOLDINGS PLC-SP	11,031	13,943
413838202 OAKMARK INTERNATIONA		
416515104 HARTFORD FINANCIAL S	14,223	24,144
437076102 HOME DEPOT INC	12,669	71,074
438516106 HONEYWELL INTERNATIO	26,813	94,470
444859102 HUMANA INC		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
452327109 ILLUMINA INC		
458140100 INTEL CORP	10,044	21,003
461418444 WCM FOCUSED INTL GRO	350,000	446,796
464287465 ISHARES MSCI EAFE IN	758,120	843,158
464287507 ISHARES CORE S&P MID		
478160104 JOHNSON & JOHNSON	11,563	25,150
493267108 KEYCORP	14,660	27,028
494368103 KIMBERLY-CLARK CORP	7,679	15,686
500754106 THE KRAFT HEINZ CO	25,621	23,795
512807108 LAM RESEARCH CORP		
526107107 LENNOX INTERNATIONAL		
532457108 ELI LILLY & CO	46,309	56,419
548661107 LOWE'S COS INC	10,886	53,069
571748102 MARSH & MCLENNAN COS		
573284106 MARTIN MARIETTA MATE	20,658	27,188
574599106 MASCO CORP	10,817	36,514
594918104 MICROSOFT CORP	60,985	197,597
609207105 MONDELEZ INTERNATIONAL	30,745	45,111
617446448 MORGAN STANLEY	44,396	93,816
666807102 NORTHROP GRUMMAN COR	41,984	50,333
674599105 OCCIDENTAL PETROLEUM	67,396	69,977
693506107 PPG INDUSTRIES INC		
713448108 PEPSICO INC	40,114	49,887
717081103 PFIZER INC	84,696	92,071
718172109 PHILIP MORRIS INTERN	59,770	63,918
723787107 PIONEER NATURAL RESO	36,396	44,768
742718109 PROCTER & GAMBLE CO/		
755111507 RAYTHEON COMPANY	5,760	20,664
780259107 ROYAL DUTCH SHELL-SP	17,304	17,755
806857108 SCHLUMBERGER LTD		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
808513105 SCHWAB (CHARLES) COR	16,846	30,565
854502101 STANLEY BLACK & DECK	33,332	58,373
872540109 TJX COMPANIES INC	16,949	22,479
872590104 T-MOBILE US INC		
882508104 TEXAS INSTRUMENTS IN	28,358	55,771
887317303 TIME WARNER INC		
904784709 UNILEVER N V -NY SHA	10,583	20,275
907818108 UNION PACIFIC CORP	34,072	46,265
910047109 UNITED CONTINENTAL H		
949746101 WELLS FARGO & CO	59,537	114,848
988498101 YUM! BRANDS INC		
8.9417E+113 TRAVELERS COS INC/		
00206R102 AT&T INC	13,204	19,829
00724F101 ADOBE SYSTEMS INC	6,645	36,450
0075W0759 EDGEWOOD GROWTH FD-I	253,964	349,171
00817Y108 AETNA INC		
00846U101 AGILENT TECHNOLOGIES	30,735	40,115
02079K107 ALPHABET INC/CA-CL C	47,246	120,336
02079K305 ALPHABET INC/CA-CL A	27,350	60,044
02209S103 ALTRIA GROUP INC	6,594	22,137
04314H667 ARTISAN INTL VALUE F		
04314H675 ARTISAN INTERNATIONAL		
05534B760 BCE INC	21,448	23,285
09062X103 BIOGEN INC	1,755	12,424
09247X101 BLACKROCK INC	11,237	17,466
16119P108 CHARTER COMMUNICATIO	31,229	45,355
17275R102 CISCO SYSTEMS INC	13,106	25,661
20030N101 COMCAST CORP-CLASS A	6,785	32,120
20605P101 CONCHO RESOURCES INC	26,440	31,696
20825C104 CONOCOPHILLIPS	11,997	15,095

Name of Stock	End of Year Book Value	End of Year Fair Market Value
22160K105 COSTCO WHOLESALE COR		
25243Q205 DIAGEO PLC-SPONSORED	7,531	16,793
25470M109 DISH NETWORK CORP-A	19,474	18,766
26875P101 EOG RESOURCES INC	54,704	71,652
26884L109 EQT CORP	22,270	17,702
30231G102 EXXON MOBIL CORP	15,378	17,146
30303M102 FACEBOOK INC-A	36,744	78,701
31620M106 FIDELITY NATIONAL IN	25,924	38,577
37045V100 GENERAL MOTORS CO		
38141G104 GOLDMAN SACHS GROUP	20,575	34,902
40414L109 HCP INC	11,415	12,127
46625H100 JPMORGAN CHASE & CO	11,815	26,735
46637K513 JPM GLBL RES ENH IND		
57636Q104 MASTERCARD INC-CLASS	14,139	83,097
58933Y105 MERCK & CO. INC.	50,350	53,794
59156R108 METLIFE INC	33,362	39,588
60871R209 MOLSON COORS BREWING	25,654	27,411
65339F101 NEXTERA ENERGY INC	25,099	47,482
65473P105 NISOURCE INC	13,635	25,105
66987V109 NOVARTIS AG-SPONSORE	15,906	18,051
67103H107 O'REILLY AUTOMOTIVE	37,249	34,638
74160Q301 PRIMECAP ODYSSEY STO	250,853	323,815
78486Q101 SVB FINANCIAL GROUP	16,042	29,923
88579Y101 3M CO	8,021	22,360
89353D107 TRANSCANADA CORP		
90130A101 TWENTY-FIRST CENTURY	32,147	35,635
91324P102 UNITEDHEALTH GROUP I	29,153	119,930
92532F100 VERTEX PHARMACEUTICA	6,450	24,127
92826C839 VISA INC-CLASS A SHA	24,336	36,144
94106B101 WASTE CONNECTIONS IN	20,363	28,589

Name of Stock	End of Year Book Value	End of Year Fair Market Value
95040Q104 WELLTOWER INC	11,812	19,450
96208T104 WEX INC	15,939	24,292
98138H101 WORKDAY INC-CLASS A	11,387	14,040
G0084W101 ADIENT LTD ISIN IE00	559	944
G0176J109 ALLEGION PLC	21,258	25,857
G0177J108 ALLERGAN PLC	74,170	48,420
G1151C101 ACCENTURE PLC-CL A	25,415	46,846
G51502105 JOHNSON CONTROLS INT	15,460	13,377
H1467J104 CHUBB LTD	40,935	84,463
Y09827109 BROADCOM LTD	11,607	80,667
46353108 ASTRAZENECA PLC-SPONS		
97023105 BOEING CO/THE		
166764100 CHEVRON CORP	15,061	22,534
219350105 CORNING INC	13,016	20,314
263534109 DU PONT (E.I.) DE NE		
372460105 GENUINE PARTS CO	7,784	17,577
002824100 ABBOTT LABORATORIES	15,932	21,230
023135106 AMAZON.COM INC	39,113	116,947
026874784 AMERICAN INTERNATION	31,084	32,709
032511107 ANADARKO PETROLEUM C	23,207	17,755
032654105 ANALOG DEVICES INC	14,384	20,121
037833100 APPLE INC	19,687	181,922
04314H402 ARTISAN INTERNATIONALA	560,000	633,815
04314H857 ARTISAN INTL VALUE F	625,000	922,397
046353108 ASTRAZENECA PLC-SPON	7,582	12,145
060505104 BANK OF AMERICA CORP	24,573	76,309
064058100 BANK OF NEW YORK MEL	16,122	19,820
084670702 BERKSHIRE HATHAWAY I	36,726	43,212
097023105 BOEING CO/THE	6,259	20,644
10922N103 BRIGHTHOUSE FINL INC	1,150	1,525

Name of Stock	End of Year Book Value	End of Year Fair Market Value
125509109 CIGNA CORP	17,057	19,497
247361702 DELTA AIR LINES INC	25,097	26,264
25278X109 DIAMONDBACK ENERGY I	19,112	23,230
256746108 DOLLAR TREE INC	26,150	30,476
26078J100 DOWDUPONT INC	72,005	99,352
413838723 OAKMARK INTERNATIONALA	661,693	739,979
45866F104 INTERCONTINENTAL EXC	14,316	17,358
459200101 INTL BUSINESS MACHIN	41,213	41,117
48129C207 JPMORGAN GL RES ENH	660,000	900,182
595017104 MICROCHIP TECHNOLOGY	18,417	22,234
654106103 NIKE INC -CL B	25,312	28,773
655844108 NORFOLK SOUTHERN COR	19,493	22,894
67066G104 NVIDIA CORP	19,884	21,479
826197501 SIEMENS AG-SPONS ADR	11,245	11,083
867914103 SUNTRUST BANKS INC	11,543	11,303
89417E109 TRAVELERS COS INC/TH	7,508	20,346
913017109 UNITED TECHNOLOGIES	25,519	26,662
92210H105 VANTIV INC - CL A	15,707	16,034
931427108 WALGREENS BOOTS ALLI	14,208	12,709
98956P102 ZIMMER HOLDINGS INC	32,015	34,753
G2709G107 DELPHI TECHNOLOGIES	3,027	3,778
G47791101 INGERSOLL-RAND PLC	34,525	36,033
G6095L109 APTIV PLC	15,378	18,323

TY 2017 Investments - Other Schedule**Name:** HAGEDORN FUND XXXXX5004**EIN:** 13-6048718**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
149913923 ATLAS GLOBAL INVESTM			
604025940 OCH-ZIFF OZOFII PRIV			
984593947 YORK CREDIT OPPORTUN			
HFEPMAHH8 ETON PARK OVERSEAS F	AT COST	35,976	33,000
00191K609 AQR MANAGED FUTURES	AT COST	340,961	354,782
00771X435 CHILTON STRATEGIC EU	AT COST	167,141	167,606
12628J881 CRM LONG/SHORT OPPOR	AT COST	167,141	167,141
277923728 EATON VANCE GLOBAL M	AT COST	172,304	171,550
38145C646 GOLDMAN SACHS STRAT	AT COST	258,456	256,295
41015K714 JOHN HAN II-ABS RET	AT COST	167,141	169,062
72201U638 PIMCO MTGE OPPORTUNI	AT COST	258,001	256,523

TY 2017 Legal Fees Schedule**Name:** HAGEDORN FUND XXXXX5004**EIN:** 13-6048718

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES - PRINCIPAL (ALLOCA	20,736			20,736

TY 2017 Other Assets Schedule**Name:** HAGEDORN FUND XXXXX5004**EIN:** 13-6048718**Other Assets Schedule**

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
CLOSELY HELD - SEE ATTACHED		0	0
258992007 DORNHAGE REALTY CO I		0	3,791,645
405990912 HAGEDORN FORDHAM ROA		0	38,750,000

TY 2017 Other Decreases Schedule

Name: HAGEDORN FUND XXXXX5004

EIN: 13-6048718

Description	Amount
COST BASIS ADJUSTMENT	4,115

TY 2017 Other Expenses Schedule**Name:** HAGEDORN FUND XXXXX5004**EIN:** 13-6048718**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ATTORNEY GENERAL FEE	1,500	0		1,500

TY 2017 Other Income Schedule

Name: HAGEDORN FUND XXXXX5004

EIN: 13-6048718

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
TAX REFUND	22,072	0	
DEFERRED INCOME	11,698	0	

TY 2017 Other Professional Fees Schedule**Name:** HAGEDORN FUND XXXXX5004**EIN:** 13-6048718

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MGMT FEES-SUBJECT T	2,883	2,883		

TY 2017 Taxes Schedule**Name:** HAGEDORN FUND XXXXX5004**EIN:** 13-6048718

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	1,101	1,101		0
FEDERAL TAX PAYMENT - PRIOR YE	47,000	0		0
FEDERAL ESTIMATES - INCOME	43,000	0		0
FOREIGN TAXES ON QUALIFIED FOR	2,817	2,817		0
FOREIGN TAXES ON NONQUALIFIED	300	300		0