

**Form 990-PF**

Department of the Treasury  
Internal Revenue Service

## Return of Private Foundation

**or Section 4947(a)(1) Trust Treated as Private Foundation**

- Do not enter social security numbers on this form as it may be made public.
- Information about Form 990-PF and its instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

2017

## Open to Public Inspection

**For calendar year 2017, or tax year beginning 01-01-2017 , and ending 12-31-2017**

Name of foundation CLEVELAND H DODGE FOUNDATION INC		A Employer identification number 13-6015087			
Number and street (or P O box number if mail is not delivered to street address) 420 LEXINGTON AVENUE		B Telephone number (see instructions) (212) 972-2800			
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10170		C If exemption application is pending, check here ► <input type="checkbox"/>			
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here ► <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation ► <input type="checkbox"/>			
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ► <input type="checkbox"/>			
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ► \$ 56,195,135	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) <i>(Part I, column (d) must be on cash basis)</i>	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► <input type="checkbox"/>			
<b>Part I Analysis of Revenue and Expenses</b> <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>					
1 Contributions, gifts, grants, etc., received (attach schedule)					
2 Check ► <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		723,059	723,059		
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10					
b Gross sales price for all assets on line 6a					
7 Capital gain net income (from Part IV, line 2)			3,574,259		
8 Net short-term capital gain				135,573	
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 <b>Total.</b> Add lines 1 through 11		723,059	4,297,318	135,573	
<b>Operating and Administrative Expenses</b>					
13 Compensation of officers, directors, trustees, etc		263,930			263,930
14 Other employee salaries and wages					
15 Pension plans, employee benefits		1,216,921			1,216,921
16a Legal fees (attach schedule)					
b Accounting fees (attach schedule)		17,740	17,740		
c Other professional fees (attach schedule)		346,309	346,309		
17 Interest		3,716			3,716
18 Taxes (attach schedule) (see instructions)		71,499	9,014		
19 Depreciation (attach schedule) and depletion		4,628		4,628	
20 Occupancy		127,324			127,324
21 Travel, conferences, and meetings		118,863			118,863
22 Printing and publications					
23 Other expenses (attach schedule)		27,487			27,487
<b>24 Total operating and administrative expenses.</b>					
Add lines 13 through 23		2,198,417	373,063	4,628	1,758,241
25 Contributions, gifts, grants paid		1,800,132			1,800,132
<b>26 Total expenses and disbursements.</b> Add lines 24 and 25		3,998,549	373,063	4,628	3,558,373
<b>27 Subtract line 26 from line 12</b>					
<b>a Excess of revenue over expenses and disbursements</b>		-3,275,490			
<b>b Net investment income</b> (if negative, enter -0-)			3,924,255		
<b>c Adjusted net income</b> (if negative, enter -0-)				130,945	

	<b>Part II Balance Sheets</b>	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
	1 Cash—non-interest-bearing		155,939	305,921	305,921
	2 Savings and temporary cash investments				
	3 Accounts receivable ►				
	Less allowance for doubtful accounts ►				
	4 Pledges receivable ►				
	Less allowance for doubtful accounts ►				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7 Other notes and loans receivable (attach schedule) ►				
	Less allowance for doubtful accounts ►				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10a Investments—U S and state government obligations (attach schedule)		1,222,561	926,103	926,103
	b Investments—corporate stock (attach schedule)		42,191,273	44,213,151	44,213,151
	c Investments—corporate bonds (attach schedule)		2,409,421	2,244,655	2,244,655
	11 Investments—land, buildings, and equipment basis ►				
	Less accumulated depreciation (attach schedule) ►				
	12 Investments—mortgage loans				
	13 Investments—other (attach schedule)		2,449,050	7,869,344	7,869,344
	14 Land, buildings, and equipment basis ►	244,430			
	Less accumulated depreciation (attach schedule) ►	210,471			
	15 Other assets (describe ►		38,587	33,959	33,959
	16 <b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)		569,851	602,002	602,002
			49,036,682	56,195,135	56,195,135
	17 Accounts payable and accrued expenses		17,508	34,422	
	18 Grants payable				
	19 Deferred revenue				
	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable (attach schedule)				
	22 Other liabilities (describe ►			1,152,131	
	23 <b>Total liabilities</b> (add lines 17 through 22)		17,508	1,186,553	
	<b>Foundations that follow SFAS 117, check here ►</b> <input checked="" type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>				
	24 Unrestricted		48,482,174	54,471,582	
	25 Temporarily restricted				
	26 Permanently restricted		537,000	537,000	
	<b>Foundations that do not follow SFAS 117, check here ►</b> <input type="checkbox"/> <b>and complete lines 27 through 31.</b>				
	27 Capital stock, trust principal, or current funds				
	28 Paid-in or capital surplus, or land, bldg , and equipment fund				
	29 Retained earnings, accumulated income, endowment, or other funds				
	30 <b>Total net assets or fund balances</b> (see instructions)		49,019,174	55,008,582	
	31 <b>Total liabilities and net assets/fund balances</b> (see instructions)		49,036,682	56,195,135	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	49,019,174
2	Enter amount from Part I, line 27a	2	-3,275,490
3	Other increases not included in line 2 (itemize) ►	3	9,674,898
4	Add lines 1, 2, and 3	4	55,418,582
5	Decreases not included in line 2 (itemize) ►	5	410,000
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	55,008,582

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Additional Data Table					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a See Additional Data Table					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
a See Additional Data Table					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)		If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	3,574,259	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3		135,573	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

 Yes  No**1 Enter the appropriate amount in each column for each year, see instructions before making any entries**

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	2,051,471	47,303,186	0 043369
2015	3,545,098	47,968,302	0 073905
2014	1,753,530	47,879,888	0 036624
2013	1,756,482	43,281,332	0 040583
2012	1,840,374	37,310,047	0 049327
<b>2 Total</b> of line 1, column (d)			<b>2</b> 0 243808
<b>3 Average</b> distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			<b>3</b> 0 048762
<b>4 Enter</b> the net value of noncharitable-use assets for 2017 from Part X, line 5			<b>4</b> 52,605,019
<b>5 Multiply</b> line 4 by line 3			<b>5</b> 2,565,126
<b>6 Enter</b> 1% of net investment income (1% of Part I, line 27b)			<b>6</b> 39,243
<b>7 Add</b> lines 5 and 6			<b>7</b> 2,604,369
<b>8 Enter</b> qualifying distributions from Part XIII, line 4			<b>8</b> 4,033,373

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)	1	39,243
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2.	3	39,243
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3 If zero or less, enter -0- . . . . .	5	39,243
6	Credits/Payments		
a	2017 estimated tax payments and 2016 overpayment credited to 2017	6a	39,243
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments Add lines 6a through 6d. . . . .	7	39,243
8	Enter any <b>penalty</b> for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	913
9	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . .	9	913
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . .	10	
11	Enter the amount of line 10 to be <b>Credited to 2018 estimated tax</b> ► 0	11	Refunded ►

**Part VII-A Statements Regarding Activities**

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b	Yes	No
	<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>			
c	Did the foundation file <b>Form 1120-POL</b> for this year?	1c	Yes	No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ► \$ _____ (2) On foundation managers ► \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	Yes	No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	Yes	No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	Yes	No
b	If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?	4b	Yes	No
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	Yes	No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	No
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes	No
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ► _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	8b	Yes	No
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the taxable year beginning in 2017 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	Yes	No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	Yes	No

**Part VII-A Statements Regarding Activities (continued)**

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions). 11 No

12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions). 12 No

13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <http://www.chdodgefoundation.org/> 13 Yes

14 The books are in care of ► CLEVELAND H DODGE FOUNDATION INC Telephone no ► (212) 972-2800

Located at ► 420 LEXINGTON AVENUE SUITE 2331 NEW YORK NYZIP+4 ► 10170

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here and enter the amount of tax-exempt interest received or accrued during the year. ► 15 □

16 At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? 16 Yes No

See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes", enter the name of the foreign country ►

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required****File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

1a During the year did the foundation (either directly or indirectly)

(1) Engage in the sale or exchange, or leasing of property with a disqualified person?  Yes  No

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?  Yes  No

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  Yes  No

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  Yes  No

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?  Yes  No

(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).  Yes  No

b If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here. ►

c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017? 1c No

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))

a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? If "Yes," list the years ► 20\_\_\_\_, 20\_\_\_\_, 20\_\_\_\_, 20\_\_\_\_  Yes  No

b Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions) 2b

c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ► 20\_\_\_\_, 20\_\_\_\_, 20\_\_\_\_, 20\_\_\_\_

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?  Yes  No

b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017). 3b

4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a No

b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017? 4b No

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)**

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions). . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? . . . . . Organizations relying on a current notice regarding disaster assistance check here. . . . . ►	<input type="checkbox"/>		5b
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . . <i>If "Yes," attach the statement required by Regulations section 53 4945-5(d)</i>	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . . <i>If "Yes" to 6b, file Form 8870</i>	<input type="checkbox"/>		6b      No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? . . . . .	<input type="checkbox"/>		7b

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, (e) other allowances
PHYLLIS CRISCUOLI 53-108 63RD ST MASPETH, NY 11378	EXEC DIRECTOR 40 00	226,430	1,175,331	0
EMILY MOMMSEN 1 HAWKWOOD LANE GREENWICH, CT 06830	EXEC DIRECTOR 40 00	37,500	0	0

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, (e) other allowances
NONE				

Total number of other employees paid over \$50,000. . . . . ► 0

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
BECK MACK & OLIVER 330 MADISON AVE NEW YORK, NY 10017	INVESTMENT SERVICE	150,854
GILLESPIE ROBINSON & COMPANY 80 FIELDPORT ROAD GREENWICH, CT 06836	INVESTMENT SERVICE	141,830

Total number of others receiving over \$50,000 for professional services. . . . . ► 2

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

Expenses

1 N/A		0
2		0
3		0
4		0

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions	
3	
<b>Total. Add lines 1 through 3</b>	►

**Part X Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations, see instructions )

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities. . . . .	1a	50,708,968
b	Average of monthly cash balances. . . . .	1b	2,094,944
c	Fair market value of all other assets (see instructions). . . . .	1c	602,199
d	<b>Total</b> (add lines 1a, b, and c). . . . .	1d	53,406,111
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	1e	
2	Acquisition indebtedness applicable to line 1 assets. . . . .	2	
3	Subtract line 2 from line 1d. . . . .	3	53,406,111
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	4	801,092
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4	5	52,605,019
6	<b>Minimum investment return.</b> Enter 5% of line 5. . . . .	6	2,630,251

**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign**Part XI** organizations check here ►  and do not complete this part )

1	Minimum investment return from Part X, line 6. . . . .	1	2,630,251
2a	Tax on investment income for 2017 from Part VI, line 5. . . . .	2a	39,243
b	Income tax for 2017 (This does not include the tax from Part VI). . . . .	2b	
c	Add lines 2a and 2b. . . . .	2c	39,243
3	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	3	2,591,008
4	Recoveries of amounts treated as qualifying distributions. . . . .	4	
5	Add lines 3 and 4. . . . .	5	2,591,008
6	Deduction from distributable amount (see instructions). . . . .	6	
7	<b>Distributable amount</b> as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	7	2,591,008

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	1a	3,558,373
b	Program-related investments—total from Part IX-B. . . . .	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required). . . . .	3a	
b	Cash distribution test (attach the required schedule). . . . .	3b	475,000
4	<b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	4,033,373
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	5	39,243
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .	6	3,994,130
<b>Note:</b> The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

**Part XIII Undistributed Income (see instructions)**

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
<b>1</b> Distributable amount for 2017 from Part XI, line 7				2,591,008
<b>2</b> Undistributed income, if any, as of the end of 2017			267,613	
<b>a</b> Enter amount for 2016 only. . . . .				
<b>b</b> Total for prior years 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2017				
<b>a</b> From 2012. . . . .				
<b>b</b> From 2013. . . . .				
<b>c</b> From 2014. . . . .				
<b>d</b> From 2015. . . . .	956,294			
<b>e</b> From 2016. . . . .				
<b>f</b> <b>Total</b> of lines 3a through e. . . . .	956,294			
<b>4</b> Qualifying distributions for 2017 from Part XII, line 4 ► \$ 4,033,373				
<b>a</b> Applied to 2016, but not more than line 2a				
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .				
<b>d</b> Applied to 2017 distributable amount. . . . .				2,591,008
<b>e</b> Remaining amount distributed out of corpus	1,442,365			
<b>5</b> Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a) )				
<b>6</b> <b>Enter the net total of each column as indicated below:</b>				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	2,398,659			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b. . . . .				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .				
<b>e</b> Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .			267,613	
<b>f</b> Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018 . . . . .				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . .				
<b>8</b> Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions) . . . . .				
<b>9</b> <b>Excess distributions carryover to 2018.</b> Subtract lines 7 and 8 from line 6a . . . . .	2,398,659			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2013. . . . .				
<b>b</b> Excess from 2014. . . . .				
<b>c</b> Excess from 2015. . . . .	956,294			
<b>d</b> Excess from 2016. . . . .				
<b>e</b> Excess from 2017. . . . .	1,442,365			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a	If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling. ►			
b	Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)			
2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.			
b	85% of line 2a.			
c	Qualifying distributions from Part XII, line 4 for each year listed.			
d	Amounts included in line 2c not used directly for active conduct of exempt activities.			
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.			
3	Complete 3a, b, or c for the alternative test relied upon			
a	"Assets" alternative test—enter			
(1)	Value of all assets.			
(2)	Value of assets qualifying under section 4942(j)(3)(B)(i).			
b	"Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.			
c	"Support" alternative test—enter			
(1)	Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).			
(2)	Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).			
(3)	Largest amount of support from an exempt organization			
(4)	Gross investment income			

**Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)****1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))  
NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest  
NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ►  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

EMILY MOMMSEN  
420 LEXINGTON AVENUE SUITE 2331  
NEW YORK, NY 10170  
(212) 972-2800

b The form in which applications should be submitted and information and materials they should include  
LETTER - DESCRIPTION OF PROJECT AND BUDGET

c Any submission deadlines

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

PRIMARY NYC AREA-NO MEDICAL RESEARCH AND HEALTH CARE EXEMPT PUBLIC CHURCHES UNDER IRS SECTION 509 (A)

**Part XV Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b> SEE ATTACHED SEE ATTACHED SEE ATTACHED, NY 10001		PUBLIC	SEE ATTACHED	1,800,132
<b>Total . . . . .</b>	► 3a			1,800,132
<b>b Approved for future payment</b>				
<b>Total . . . . .</b>	► 3b			

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
<b>1 Program service revenue</b>					
a					
b					
c					
d					
e					
f					
<b>g Fees and contracts from government agencies</b>					
<b>2 Membership dues and assessments.</b>					
<b>3 Interest on savings and temporary cash investments</b>					
<b>4 Dividends and interest from securities.</b>				14	723,059
<b>5 Net rental income or (loss) from real estate</b>					
a Debt-financed property.					
b Not debt-financed property.					
<b>6 Net rental income or (loss) from personal property</b>					
<b>7 Other investment income.</b>					
<b>8 Gain or (loss) from sales of assets other than inventory</b>					
<b>9 Net income or (loss) from special events</b>					
<b>10 Gross profit or (loss) from sales of inventory</b>					
<b>11 Other revenue a</b>					
b					
c					
d					
e					
<b>12 Subtotal Add columns (b), (d), and (e).</b>				723,059	
<b>13 Total. Add line 12, columns (b), (d), and (e).</b>				13	723,059
(See worksheet in line 13 instructions to verify calculations.)					

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**



**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
11748 12 DOUBLELINE FDS TR TTL RTN BD I	P	2016-02-03	2017-01-24
10000 BANK OF AMER CORP	P	2016-08-24	2017-01-27
20000 BANK AMER CORP	P	2016-05-25	2017-02-14
20000 UNITED STATES TREAS NTS	P	2017-01-27	2017-04-30
10000 UNITED STATES TREAS NTS	P	2017-04-26	2017-07-25
10000 UNITED STATES TREAS NTS	P	2017-04-26	2017-09-07
20000 U S TREAS NTS FRN TNOTE 201	P	2017-05-30	2017-09-15
20000 UNITED STATES TREAS NTS	P	2017-04-25	2017-06-27
10000 BANK AMER CORP	P	2016-05-19	2017-06-29
15000 CITIGROUP INC	P	2016-04-13	2017-06-01

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	Depreciation allowed (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
125,000	0	128,172	-3,172
10,438	0	10,513	-75
21,200	0	20,075	1,125
20,000	0	20,000	0
10,006	0	10,012	-6
10,002	0	10,012	-10
20,021	0	20,045	-24
20,002	0	20,004	-2
11,213	0	10,550	663
15,919	0	14,625	1,294

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
0	0	0	-3,172
0	0	0	-75
0	0	0	1,125
0	0	0	0
0	0	0	-6
0	0	0	-10
0	0	0	-24
0	0	0	-2
0	0	0	663
0	0	0	1,294

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
15000 CITIGROUP INC	P	2016-04-18	2017-04-19
60 UNILEVER N V NY SHS NEW	P	2016-04-04	2017-01-03
50 UNILEVER N V NY SHS NEW	P	2016-04-04	2017-02-17
110 UNILEVER N V NY SHS NEW	P	2016-03-24	2017-06-26
300000 ANHEUSER BUSCH INBEV	P	2016-09-30	2017-04-07
100000 ANHEUSER BUSCH INBEV	P	2016-09-30	2017-05-19
4000 ARMSTRONG WORLD INDS INC NEWCOM	P	2017-02-22	2017-11-29
1000 ARMSTRONG WORLD INDS INC NEWCOM	P	2017-02-22	2017-12-06
4000 BLACKBERRY LTD	P	2017-07-13	2017-11-29
12 CENTURYEL INC PAR 1	P	2016-11-23	2017-11-06

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	Depreciation allowed (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
16,313	0	15,000	1,313
2,429	0	2,696	-267
2,343	0	2,224	119
6,168	0	4,861	1,307
299,850	0	300,687	-837
99,968	0	100,229	-261
236,889	0	164,403	72,486
58,059	0	41,101	16,958
42,524	0	39,620	2,904
2	0	3	-1

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
0	0	0	1,313
0	0	0	-267
0	0	0	119
0	0	0	1,307
0	0	0	-837
0	0	0	-261
0	0	0	72,486
0	0	0	16,958
0	0	0	2,904
0	0	0	-1

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
11000 CHICAGO BRIDGE & I NLGO 01	P	2017-05-19	2017-11-29
100 CREDIT ACCEP CORP MICH	P	2016-11-23	2017-09-29
5200 LEVEL 3 COMMUNICATIONS INC	P	2016-11-23	2017-11-01
1500 MATADOR RES CO	P	2017-03-30	2017-11-29
1000 MATADOR RES CO	P	2017-03-30	2017-12-06
3000000 U S TREAS BILLS	P	2017-12-27	2017-12-29
4000 LIBERTY GLOBAL INC	P	2017-07-13	2017-11-29
1000 LIBERTY GLOBAL INC	P	2017-07-13	2017-12-06
1400 ABBOTT LABS	P	2013-01-31	2017-07-13
1000 ABBOTT LABS	P	2013-01-31	2017-09-29

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	Depreciation allowed (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
178,471	0	218,023	-39,552
27,897	0	19,923	7,974
294,395	0	294,395	0
41,416	0	35,309	6,107
27,159	0	23,539	3,620
2,990,645	0	2,990,865	-220
128,403	0	130,573	-2,170
31,403	0	32,643	-1,240
67,040	0	47,178	19,862
53,336	0	33,699	19,637

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
0	0	0	-39,552
0	0	0	7,974
0	0	0	0
0	0	0	6,107
0	0	0	3,620
0	0	0	-220
0	0	0	-2,170
0	0	0	-1,240
0	0	0	19,862
0	0	0	19,637

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
400 ABBOTT LABS	P	2013-01-31	2017-10-30
2000 ABBOTT LABS	P	2013-01-31	2017-11-29
20 ALPHABET INC	P	2012-12-03	2017-12-06
50 ALPHABET INC	P	2012-12-03	2017-07-13
200 ALPHABET INC	P	2012-12-03	2017-11-29
1000 AMERICAN EXPRESS CO	P	2015-04-21	2017-10-02
700 AMERICAN EXPRESS CO	P	2015-04-21	2017-11-29
600 ANHEUSER BUSCH INBEV SA/NV	P	2011-02-18	2017-11-29
1000 APOLLO GLOBAL MGMT LLC COM NPV CL'A'	P	2015-01-27	2017-11-29
1500 BAXTER INTL INC	P	2002-11-25	2017-07-13

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	Depreciation allowed (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
21,756	0	13,480	8,276
110,897	0	35,357	75,540
20,447	0	6,981	13,466
48,597	0	17,556	31,041
207,055	0	68,053	139,002
90,504	0	77,752	12,752
67,450	0	54,427	13,023
69,508	0	32,874	36,634
30,891	0	25,096	5,795
91,450	0	21,723	69,727

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
0	0	0	8,276
0	0	0	75,540
0	0	0	13,466
0	0	0	31,041
0	0	0	139,002
0	0	0	12,752
0	0	0	13,023
0	0	0	36,634
0	0	0	5,795
0	0	0	69,727

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
400 BAXTER INTL INC	P	2002-11-25	2017-10-30
1400 BAXTER INTL INC	P	2002-11-25	2017-11-29
1500 BLACKSTONE GROUP L P	P	2016-03-03	2017-11-29
17000 BOULEVARD ACQUISITION	P	2015-09-23	2017-06-01
7600 CONOCOPHILLIPS	P	2007-02-14	2017-03-30
100 CREDIT ACCEP CORP MICH	P	2014-09-19	2017-11-29
100 CREDIT ACCEP CORP MICH	P	2014-09-19	2017-12-06
1000 CRIMSON WINE GROUP LTD	P	2015-06-22	2017-11-29
4000 DEVON ENERGY CORP NEW PAR 1	P	2012-12-06	2017-11-29
1500 DEVON ENERGY CORP NEW PAR 1	P	2012-12-06	2017-12-06

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) (e) plus (f) minus (g)
25,798	0	5,793	20,005
91,362	0	20,275	71,087
47,039	0	58,473	-11,434
173,568	0	169,935	3,633
375,885	0	390,201	-14,316
30,195	0	12,525	17,670
30,895	0	12,525	18,370
9,760	0	9,190	570
147,572	0	211,877	-64,305
55,229	0	79,454	-24,225

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
0	0	0	20,005
0	0	0	71,087
0	0	0	-11,434
0	0	0	3,633
0	0	0	-14,316
0	0	0	17,670
0	0	0	18,370
0	0	0	570
0	0	0	-64,305
0	0	0	-24,225

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
9500 DOVER CORP	P	2007-11-09	2017-02-22
300 FEDEX CORPORATION PAR 1	P	1999-07-13	2017-11-29
200 FEDEX CORPORATION PAR 1	P	1999-07-13	2017-12-06
6000 FLUOR CORP NEW	P	2015-01-27	2017-09-21
6000 FLUOR CORP NEW	P	2016-03-03	2017-09-29
500 GILEAD SCIENCES INC	P	2016-04-29	2017-11-29
2500 GRIFOLS S A	P	2014-11-06	2017-11-29
400 J P MORGAN CHASE & CO F/K/A CHASE MANHATTAN CORP	P	2015-04-30	2017-07-13
1200 J P MORGAN CHASE & CO F/K/A CHASE MANHATTAN CORP	P	2015-04-30	2017-11-29
600 J P MORGAN CHASE & CO F/K/A CHASE MANHATTAN CORP	P	2015-04-30	2017-12-06

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	Depreciation allowed (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
769,123	0	363,182	405,941
67,615	0	13,126	54,489
47,316	0	8,751	38,565
246,390	0	347,607	-101,217
252,112	0	238,973	13,139
36,834	0	45,525	-8,691
55,825	0	45,476	10,349
37,109	0	25,375	11,734
124,061	0	76,124	47,937
63,215	0	38,062	25,153

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
0	0	0	405,941
0	0	0	54,489
0	0	0	38,565
0	0	0	-101,217
0	0	0	13,139
0	0	0	-8,691
0	0	0	10,349
0	0	0	11,734
0	0	0	47,937
0	0	0	25,153

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
600 LABORATORY CORP	P	2003-05-02	2017-11-29
200 LABORATORY CORP	P	2003-05-02	2017-12-06
3000 LEUCADIA NATL CORP	P	1997-07-17	2017-11-29
LEVEL / CENTURY	P	2016-03-28	2017-11-01
500 LOWES COMPANIES INC	P	2010-11-12	2017-11-29
1000 LOWES COMPANIES INC	P	2010-11-12	2017-12-06
100 MARKEL CORP (HOLDING CO) F/K/A MARKET CORP	P	2012-12-03	2017-11-29
30 MARKEL CORP (HOLDING CO) F/K/A MARKET CORP	P	2012-12-03	2017-12-06
2500 MATADOR RES CO	P	2016-11-23	2017-11-29
500 MERCK & CO INC NEW	P	2009-12-16	2017-07-13

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) (e) plus (f) minus (g)
93,348	0	17,815	75,533
31,063	0	5,938	25,125
80,218	0	18,258	61,960
243,800	0	0	243,800
42,065	0	11,009	31,056
82,598	0	22,019	60,579
110,498	0	47,949	62,549
33,207	0	14,385	18,822
69,027	0	64,372	4,655
31,171	0	18,911	12,260

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
0	0	0	75,533
0	0	0	25,125
0	0	0	61,960
0	0	0	243,800
0	0	0	31,056
0	0	0	60,579
0	0	0	62,549
0	0	0	18,822
0	0	0	4,655
0	0	0	12,260

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1500 MERCK & CO INC NEW	P	2009-12-16	2017-11-29
400 MICROSOFT CORP	P	2016-03-16	2017-07-13
600 MICROSOFT CORP	P	2016-03-16	2017-09-21
1600 MICROSOFT CORP	P	2014-07-24	2017-11-29
300 MICROSOFT CORP	P	2014-07-24	2017-12-06
2000 QUALCOMM INC	P	2013-01-31	2017-11-29
200 ROPER INDS INC NEW	P	1999-01-12	2017-07-13
100 ROPER INDS INC NEW	P	1999-01-12	2017-09-29
500 ROPER INDS INC NEW	P	1999-01-12	2017-11-29
200 SCHLUMBERGER LTD	P	2015-04-21	2017-07-13

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) (e) plus (f) minus (g)
83,291	0	53,549	29,742
28,630	0	21,767	6,863
44,747	0	27,837	16,910
133,614	0	71,664	61,950
24,809	0	13,437	11,372
133,118	0	131,257	1,861
47,260	0	2,438	44,822
24,311	0	1,219	23,092
131,427	0	6,094	125,333
13,138	0	18,319	-5,181

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
0	0	0	29,742
0	0	0	6,863
0	0	0	16,910
0	0	0	61,950
0	0	0	11,372
0	0	0	1,861
0	0	0	44,822
0	0	0	23,092
0	0	0	125,333
0	0	0	-5,181

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
300 SCHLUMBERGER LTD	P	2016-03-03	2017-09-29
1400 SCHLUMBERGER LTD	P	2016-03-03	2017-11-29
200 WATERS CORP	P	2002-05-02	2017-07-13
300 WATERS CORP	P	2002-05-02	2017-11-29
500 ENSTAR GROUP LIMITED	P	2007-05-24	2017-11-29
3000 LIBERTY GLOBAL INC	P	2016-11-23	2017-12-06
175 APPLE COMPUTER INC	P	2016-10-03	2017-03-31
100 APPLE COMPUTER INC	P	2016-10-03	2017-08-03
1000 BANK OF AMERICA CORP PAR 01	P	2017-10-23	2017-12-11
1400 BANK OF AMERICA CORP PAR 01	P	2017-03-03	2017-12-14

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) (e) plus (f) minus (g)
20,901	0	22,397	-1,496
87,023	0	104,518	-17,495
36,517	0	5,432	31,085
58,577	0	8,148	50,429
112,330	0	52,775	59,555
94,208	0	97,864	-3,656
25,145	0	19,699	5,446
15,589	0	11,256	4,333
28,954	0	27,386	1,568
40,614	0	35,781	4,833

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
0	0	0	-1,496
0	0	0	-17,495
0	0	0	31,085
0	0	0	50,429
0	0	0	59,555
0	0	0	-3,656
0	0	0	5,446
0	0	0	4,333
0	0	0	1,568
0	0	0	4,833

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
700 BANK OF AMERICA CORP PAR 01	P	2017-03-03	2017-12-21
500 BANK OF AMERICA CORP PAR 01	P	2017-03-03	2017-12-28
300 CHEVRONTEXACO CORP F/L/A CHEVRON CORP	P	2016-06-08	2017-01-13
275 DOLLAR GENERAL CP COM USDO 875	P	2016-12-06	2017-12-04
200 DOLLAR GENERAL CP COM USDO 875	P	2017-06-07	2017-12-11
400 GRAINGER W W INC	P	2017-01-13	2017-05-02
500 LOWES COMPANIES INC	P	2016-11-18	2017-01-27
250 LOWES COMPANIES INC	P	2016-11-18	2017-03-31
200 NIKE INC CL B	P	2017-10-26	2017-12-14
200 NIKE INC CL B	P	2017-10-26	2017-12-21

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	Depreciation allowed (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
20,853	0	17,891	2,962
14,830	0	12,779	2,051
34,862	0	31,162	3,700
25,036	0	20,847	4,189
18,516	0	14,816	3,700
75,697	0	94,540	-18,843
36,542	0	34,370	2,172
20,552	0	17,185	3,367
12,909	0	11,423	1,486
12,938	0	11,423	1,515

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
0	0	0	2,962
0	0	0	2,051
0	0	0	3,700
0	0	0	4,189
0	0	0	3,700
0	0	0	-18,843
0	0	0	2,172
0	0	0	3,367
0	0	0	1,486
0	0	0	1,515

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
400 ORACLE CORP F/K/A ORACLE SYS CORP	P	2017-01-13	2017-12-04
150 ORACLE CORP F/K/A ORACLE SYS CORP	P	2017-01-13	2017-12-11
200 PPG INDUSTRIES INC (PA)	P	2017-10-26	2017-12-04
350 PPG INDUSTRIES INC (PA)	P	2017-10-26	2017-12-11
300 PPG INDUSTRIES INC (PA)	P	2017-10-23	2017-12-14
100 PPG INDUSTRIES INC (PA)	P	2017-10-23	2017-12-21
100 PPG INDUSTRIES INC (PA)	P	2017-10-23	2017-12-28
225 PEPSICO INC PAR 017	P	2017-10-26	2017-12-04
275 PEPSICO INC PAR 017	P	2017-10-26	2017-12-11
750 SCHWAB CHARLES CORP NEW	P	2016-04-22	2017-01-19

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) (e) plus (f) minus (g)
19,398	0	15,680	3,718
7,541	0	5,880	1,661
23,581	0	23,782	-201
40,492	0	41,554	-1,062
34,430	0	35,285	-855
11,648	0	11,762	-114
11,677	0	11,762	-85
26,413	0	25,000	1,413
32,199	0	30,555	1,644
31,034	0	22,411	8,623

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
0	0	0	3,718
0	0	0	1,661
0	0	0	-201
0	0	0	-1,062
0	0	0	-855
0	0	0	-114
0	0	0	-85
0	0	0	1,413
0	0	0	1,644
0	0	0	8,623

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1500 SCHWAB CHARLES CORP NEW	P	2016-04-22	2017-01-27
750 SUNTRUST BKS INC	P	2017-01-10	2017-03-03
500 SUNTRUST BKS INC	P	2017-10-23	2017-12-04
2200 WELLS FARGO & COMPANY (NEW) F/K/A NORWEST CORP	P	2017-01-13	2017-01-27
47 ALLERGAN PLC SHS	P	2017-09-14	2017-12-28
50 ALPHABET INC	P	2009-03-04	2017-01-19
75 ALPHABET INC	P	2009-03-04	2017-01-27
50 ALPHABET INC	P	2009-03-04	2017-06-07
50 ALPHABET INC	P	2009-03-04	2017-07-11
40 ALPHABET INC	P	2008-10-10	2017-12-04

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus (f) minus (g)	(h) (e) plus (f) minus (g)
63,410	0	44,822	18,588
44,874	0	41,911	2,963
32,134	0	29,985	2,149
124,387	0	110,289	14,098
7,732	0	10,614	-2,882
41,231	0	9,296	31,935
63,595	0	11,910	51,685
50,053	0	7,940	42,113
47,590	0	7,940	39,650
40,565	0	6,281	34,284

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
0	0	0	18,588
0	0	0	2,963
0	0	0	2,149
0	0	0	14,098
0	0	0	-2,882
0	0	0	31,935
0	0	0	51,685
0	0	0	42,113
0	0	0	39,650
0	0	0	34,284

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
40 ALPHABET INC	P	2008-10-10	2017-12-11
50 ALPHABET INC	P	2008-10-10	2017-12-14
30 ALPHABET INC	P	2008-10-10	2017-12-21
15 ALPHABET INC	P	2008-10-10	2017-12-28
500 AMPHENOL CORP CL A (NEW)	P	2010-03-05	2017-01-13
1000 AMPHENOL CORP CL A (NEW)	P	2010-03-05	2017-01-19
500 AMPHENOL CORP CL A (NEW)	P	2010-03-05	2017-01-27
500 AMPHENOL CORP CL A (NEW)	P	2010-03-05	2017-04-05
450 AMPHENOL CORP CL A (NEW)	P	2011-11-29	2017-12-04
450 AMPHENOL CORP CL A (NEW)	P	2011-11-29	2017-12-11

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) (e) plus (f) minus (g)
42,121	0	6,281	35,840
53,225	0	7,852	45,373
32,180	0	4,711	27,469
15,907	0	2,356	13,551
34,074	0	10,974	23,100
67,990	0	21,949	46,041
33,556	0	10,974	22,582
35,012	0	10,974	24,038
39,935	0	9,726	30,209
40,148	0	9,707	30,441

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
0	0	0	35,840
0	0	0	45,373
0	0	0	27,469
0	0	0	13,551
0	0	0	23,100
0	0	0	46,041
0	0	0	22,582
0	0	0	24,038
0	0	0	30,209
0	0	0	30,441

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
500 AMPHENOL CORP CL A (NEW)	P	2011-11-29	2017-12-14
150 AMPHENOL CORP CL A (NEW)	P	2011-11-29	2017-12-28
200 APPLE COMPUTER INC	P	2016-10-03	2017-12-04
75 APPLE COMPUTER INC	P	2016-10-03	2017-12-28
125 BECTON DICKINSON & CO	P	2008-05-20	2017-12-04
225 CVS CORPORATION (DEL) F/K/A MELVILLE CORP	P	2016-09-20	2017-12-04
100 DOLLAR GENERAL CP COM USDO 875	P	2016-12-06	2017-12-11
125 DOLLAR GENERAL CP COM USDO 875	P	2012-12-13	2017-12-28
1000 DUNKIN BRANDS GROUP INC	P	2015-09-29	2017-03-31
300 DUNKIN BRANDS GROUP INC	P	2015-09-29	2017-12-04

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) (e) plus (f) minus (g)
44,854	0	10,786	34,068
13,210	0	3,236	9,974
34,151	0	22,513	11,638
12,848	0	8,442	4,406
27,479	0	10,551	16,928
16,105	0	20,259	-4,154
9,258	0	5,274	3,984
11,551	0	5,631	5,920
54,463	0	49,367	5,096
18,209	0	14,693	3,516

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
0	0	0	34,068
0	0	0	9,974
0	0	0	11,638
0	0	0	4,406
0	0	0	16,928
0	0	0	-4,154
0	0	0	3,984
0	0	0	5,920
0	0	0	5,096
0	0	0	3,516

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
500 DUNKIN BRANDS GROUP INC	P	2015-09-29	2017-12-11
650 DUNKIN BRANDS GROUP INC	P	2014-04-23	2017-12-14
300 DUNKIN BRANDS GROUP INC	P	2014-04-23	2017-12-28
200 ECOLAB INC	P	2015-03-25	2017-03-31
700 ECOLAB INC	P	2015-03-25	2017-04-05
150 ECOLAB INC	P	2015-03-25	2017-12-11
100 ECOLAB INC	P	2015-03-25	2017-12-14
2000 EXXON MOBIL CORP F/K/A EXXON CORP	P	2009-12-16	2017-10-23
700 EXXON MOBIL CORP F/K/A EXXON CORP	P	2009-04-15	2017-12-04
900 EXXON MOBIL CORP F/K/A EXXON CORP	P	2009-03-20	2017-12-11

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) (e) plus (f) minus (g)
30,027	0	24,472	5,555
39,584	0	31,619	7,965
19,526	0	14,594	4,932
25,125	0	22,924	2,201
87,722	0	80,235	7,487
20,202	0	17,193	3,009
13,496	0	11,462	2,034
166,576	0	137,878	28,698
58,519	0	47,563	10,956
74,663	0	61,076	13,587

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
0	0	0	5,555
0	0	0	7,965
0	0	0	4,932
0	0	0	2,201
0	0	0	7,487
0	0	0	3,009
0	0	0	2,034
0	0	0	28,698
0	0	0	10,956
0	0	0	13,587

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1000 EXXON MOBIL CORP F/K/A EXXON CORP	P	2009-03-20	2017-12-14
175 EXXON MOBIL CORP F/K/A EXXON CORP	P	2009-03-17	2017-12-28
175 FACEBOOK INC CL A	P	2015-06-23	2017-03-31
200 FACEBOOK INC CL A	P	2015-06-23	2017-08-03
230 FACEBOOK INC CL A	P	2015-06-23	2017-12-04
200 FACEBOOK INC CL A	P	2015-06-08	2017-12-11
250 FACEBOOK INC CL A	P	2015-06-08	2017-12-14
200 FACEBOOK INC CL A	P	2015-06-08	2017-12-21
100 FACEBOOK INC CL A	P	2015-06-08	2017-12-28
100000 GENERAL ELEC CO	P	2013-06-24	2017-12-06

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	Depreciation allowed (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
82,999	0	67,844	15,155
14,675	0	11,815	2,860
24,877	0	15,098	9,779
33,766	0	17,255	16,511
39,738	0	19,818	19,920
35,959	0	16,252	19,707
45,039	0	20,315	24,724
35,489	0	16,252	19,237
17,851	0	8,126	9,725
100,000	0	112,991	-12,991

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
0	0	0	15,155
0	0	0	2,860
0	0	0	9,779
0	0	0	16,511
0	0	0	19,920
0	0	0	19,707
0	0	0	24,724
0	0	0	19,237
0	0	0	9,725
0	0	0	-12,991

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
100000 GOLDMAN SACHS GROUP	P	2015-05-19	2017-09-01
2800 GRAINGER W W INC	P	2016-04-28	2017-05-02
200 JOHNSON & JOHNSON	P	1997-02-07	2017-03-31
200 JOHNSON & JOHNSON	P	1997-02-07	2017-12-04
50 LOWES COMPANIES INC	P	2014-01-29	2017-03-31
200 LOWES COMPANIES INC	P	2014-01-29	2017-12-04
350 LOWES COMPANIES INC	P	2013-06-07	2017-12-11
250 LOWES COMPANIES INC	P	2013-06-07	2017-12-14
125 LOWES COMPANIES INC	P	2013-06-07	2017-12-28
100 METTLER-TOLEDO INTL INC PAR 01	P	2012-06-06	2017-01-13

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) (e) plus (f) minus (g)
100,000	0	110,283	-10,283
529,879	0	706,561	-176,682
24,918	0	5,965	18,953
27,897	0	5,965	21,932
4,110	0	2,309	1,801
17,658	0	9,236	8,422
29,917	0	14,401	15,516
21,414	0	10,286	11,128
11,537	0	5,143	6,394
42,202	0	15,882	26,320

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
0	0	0	-10,283
0	0	0	-176,682
0	0	0	18,953
0	0	0	21,932
0	0	0	1,801
0	0	0	8,422
0	0	0	15,516
0	0	0	11,128
0	0	0	6,394
0	0	0	26,320

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
75 METTLER-TOLEDO INTL INC PAR 01	P	2012-06-06	2017-01-19
50 METTLER-TOLEDO INTL INC PAR 01	P	2012-06-06	2017-03-03
100 METTLER-TOLEDO INTL INC PAR 01	P	2012-06-06	2017-03-08
50 METTLER-TOLEDO INTL INC PAR 01	P	2012-06-06	2017-03-31
50 METTLER	P	2012-06-06	2017-04-05
75 METTLER	P	2012-06-06	2017-10-19
75 METTLER	P	2012-06-06	2017-12-04
50 METTLER	P	2012-06-06	2017-12-11
40 METTLER	P	2012-06-06	2017-12-14
25 METTLER	P	2012-06-06	2017-12-28

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (g) plus expense of sale	(h) (e) plus (f) minus (g)
31,133	0	11,912	19,221
23,979	0	7,941	16,038
48,325	0	15,882	32,443
23,983	0	7,941	16,042
23,975	0	7,941	16,034
49,167	0	11,912	37,255
45,822	0	11,912	33,910
31,171	0	7,941	23,230
25,130	0	6,353	18,777
15,451	0	3,971	11,480

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
0	0	0	19,221
0	0	0	16,038
0	0	0	32,443
0	0	0	16,042
0	0	0	16,034
0	0	0	37,255
0	0	0	33,910
0	0	0	23,230
0	0	0	18,777
0	0	0	11,480

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1300 OLD DOMINION	P	2015-06-08	2017-10-09
300 OLD DOMINION	P	2015-06-08	2017-12-04
300 OLD DOMINION	P	2015-06-08	2017-12-11
325 OLD DOMINION	P	2015-06-08	2017-12-14
200 OLD DOMINION	P	2015-06-08	2017-12-21
125 OLD DOMINION	P	2015-06-08	2017-12-28
450 ORACLE CORP	P	2007-11-02	2017-12-11
300 ORACLE CORP	P	2007-11-02	2017-12-21
75 PEPSICO INC	P	1996-11-07	2017-12-11
250 PEPSICO INC	P	1996-11-07	2017-12-21

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) (e) plus (f) minus (g)
141,147	0	90,159	50,988
38,636	0	20,806	17,830
38,490	0	20,806	17,684
42,095	0	22,540	19,555
25,815	0	13,871	11,944
16,479	0	8,669	7,810
22,622	0	9,857	12,765
14,175	0	6,571	7,604
8,782	0	2,101	6,681
29,644	0	7,002	22,642

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
0	0	0	50,988
0	0	0	17,830
0	0	0	17,684
0	0	0	19,555
0	0	0	11,944
0	0	0	7,810
0	0	0	12,765
0	0	0	7,604
0	0	0	6,681
0	0	0	22,642

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
100 PEPSICO INC	P	1996-11-07	2017-12-28
1000 SCHWAB CHARLES CORP	P	2016-04-22	2017-12-04
700 SCHWAB CHARLES CORP	P	2016-04-22	2017-12-14
500 SCHWAB CHARLES CORP	P	2016-04-22	2017-12-21
250 SCHWAB CHARLES CORP	P	2016-02-29	2017-12-28
200 STATE ST CORP	P	2016-04-22	2017-08-03
425 STATE ST CORP	P	2016-04-22	2017-12-04
400 STATE ST CORP	P	2011-02-07	2017-12-11
300 STATE ST CORP	P	2011-02-07	2017-12-14
150 STATE ST CORP	P	2011-02-07	2017-12-28

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (g) plus expense of sale	(h) (e) plus (f) minus (g)
11,930	0	2,801	9,129
51,584	0	29,881	21,703
35,440	0	20,917	14,523
26,174	0	12,941	13,233
12,945	0	6,359	6,586
18,836	0	12,385	6,451
41,364	0	25,949	15,415
39,023	0	18,874	20,149
29,543	0	14,155	15,388
14,696	0	7,078	7,618

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
0	0	0	9,129
0	0	0	21,703
0	0	0	14,523
0	0	0	13,233
0	0	0	6,586
0	0	0	6,451
0	0	0	15,415
0	0	0	20,149
0	0	0	15,388
0	0	0	7,618

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
250 SUNTRUST BKS INC	P	2013-01-23	2017-03-03
150 SUNTRUST BKS INC	P	2013-01-23	2017-12-04
450 SUNTRUST BKS INC	P	2013-01-23	2017-12-11
550 SUNTRUST BKS INC	P	2013-01-23	2017-12-14
350 SUNTRUST BKS INC	P	2013-01-23	2017-12-21
200 SUNTRUST BKS INC	P	2013-01-23	2017-12-28
250000 U S TREAS NTS	P	2007-04-17	2017-02-15
250000 U S TREAS NTS	P	2007-06-13	2017-05-15
200000 U S TREAS NTS	P	2013-11-19	2017-07-31
200000 U S TREAS NTS	P	2013-11-14	2017-11-30

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	Depreciation allowed (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
14,958	0	7,291	7,667
9,640	0	4,374	5,266
29,042	0	13,123	15,919
35,755	0	16,040	19,715
23,034	0	10,207	12,827
13,012	0	5,833	7,179
250,000	0	248,828	1,172
250,000	0	240,461	9,539
200,000	0	210,969	-10,969
200,000	0	209,875	-9,875

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
0	0	0	7,667
0	0	0	5,266
0	0	0	15,919
0	0	0	19,715
0	0	0	12,827
0	0	0	7,179
0	0	0	1,172
0	0	0	9,539
0	0	0	-10,969
0	0	0	-9,875

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
350 VISA INC	P	2011-08-09	2017-12-04
275 VISA INC	P	2011-08-09	2017-12-11
275 VISA INC	P	2011-08-09	2017-12-14
150 VISA INC	P	2011-08-09	2017-12-21
100 VISA INC	P	2011-08-09	2017-12-28
500 VMWARE INC	P	2014-07-23	2017-01-13
250 VMWARE INC	P	2014-07-23	2017-12-11
300 VMWARE INC	P	2014-07-23	2017-12-14
250 VMWARE INC	P	2014-07-23	2017-12-21
125 VMWARE INC	P	2014-07-23	2017-12-28

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	Depreciation allowed (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
37,796	0	7,163	30,633
31,003	0	5,628	25,375
31,347	0	5,628	25,719
16,861	0	3,070	13,791
11,417	0	2,047	9,370
41,067	0	48,979	-7,912
30,274	0	24,489	5,785
37,044	0	29,387	7,657
31,907	0	24,489	7,418
15,780	0	12,245	3,535

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
0	0	0	30,633
0	0	0	25,375
0	0	0	25,719
0	0	0	13,791
0	0	0	9,370
0	0	0	-7,912
0	0	0	5,785
0	0	0	7,657
0	0	0	7,418
0	0	0	3,535

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
800 WELLS FARGO	P	2010-04-01	2017-01-27
1200 WELLS FARGO	P	2010-04-01	2017-03-03
1600 WELLS FARGO	P	2010-03-17	2017-03-22
500 WELLS FARGO	P	2010-03-17	2017-03-31
1900 WELLS FARGO	P	2010-03-17	2017-04-19
450 WELLS FARGO	P	2010-03-17	2017-12-04
300 WELLS FARGO	P	2010-03-17	2017-12-11
100000 WELLS FARGO	P	2013-08-06	2017-05-08
975 ALLERGAN	P	2015-03-25	2017-10-26
300 ALLERGAN	P	2015-03-18	2017-12-04

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (g) plus expense of sale	(h) (e) plus (f) minus (g)
45,231	0	24,976	20,255
70,605	0	37,030	33,575
88,282	0	48,924	39,358
27,890	0	15,289	12,601
100,023	0	58,098	41,925
25,919	0	13,760	12,159
17,661	0	9,173	8,488
100,000	0	102,220	-2,220
170,916	0	297,620	-126,704
50,236	0	91,500	-41,264

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
0	0	0	20,255
0	0	0	33,575
0	0	0	39,358
0	0	0	12,601
0	0	0	41,925
0	0	0	12,159
0	0	0	8,488
0	0	0	-2,220
0	0	0	-126,704
0	0	0	-41,264

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
600 ALLERGAN	P	2015-03-18	2017-12-11
600 ALLERGAN	P	2015-05-15	2017-12-14
500 ALLERGAN	P	2016-04-06	2017-12-21
28 ALLERGAN	P	2016-04-06	2017-12-28
275 ACCENTURE	P	2013-10-18	2017-12-04
200 ACCENTURE	P	2013-10-18	2017-12-11
200 ACCENTURE	P	2013-10-18	2017-12-14
200 ACCENTURE	P	2013-10-18	2017-12-21
75 ACCENTURE	P	2010-07-29	2017-12-28
350 EATON CORP	P	2015-03-25	2017-03-31

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) (e) plus (f) minus (g)
103,585	0	183,000	-79,415
104,299	0	181,792	-77,493
84,078	0	130,941	-46,863
4,606	0	6,913	-2,307
40,584	0	20,062	20,522
30,115	0	14,591	15,524
30,357	0	14,591	15,766
30,977	0	8,773	22,204
11,482	0	2,978	8,504
25,990	0	22,186	3,804

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
0	0	0	-79,415
0	0	0	-77,493
0	0	0	-46,863
0	0	0	-2,307
0	0	0	20,522
0	0	0	15,524
0	0	0	15,766
0	0	0	22,204
0	0	0	8,504
0	0	0	3,804

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1000 EATON CORP	P	2015-03-25	2017-09-14
500 EATON CORP	P	2013-06-07	2017-12-04
300 EATON CORP	P	2014-10-02	2017-12-11
400 EATON CORP	P	2014-10-02	2017-12-14
200 EATON CORP	P	2013-04-29	2017-12-21
150 EATON CORP	P	2013-04-29	2017-12-28
CAPITAL GAIN DISTRIBUTIONS	P	2016-01-01	2017-12-31
NET SHORT TERM CAPITAL GAINS PER K-1S	P	2017-01-02	2017-12-31
NET LONG TERM CAPITAL GAINS PER K-1S	P	2016-01-01	2017-12-31

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	Depreciation allowed (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
74,814	0	90,772	-15,958
38,465	0	27,921	10,544
23,270	0	16,447	6,823
31,027	0	21,345	9,682
15,653	0	10,321	5,332
11,676	0	7,741	3,935
62,259	0	0	62,259
0	0	20	-20
48,161	0	0	48,161

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
0	0	0	-15,958
0	0	0	10,544
0	0	0	6,823
0	0	0	9,682
0	0	0	5,332
0	0	0	3,935
0	0	0	62,259
0	0	0	-20
0	0	0	48,161

## TY 2017 Accounting Fees Schedule

**Name:** CLEVELAND H DODGE FOUNDATION INC  
**EIN:** 13-6015087

## Accounting Fees Schedule

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Ritch, Greenberg & Hassan, P C AUDIT	17,740	17,740		

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

## TY 2017 Depreciation Schedule

**Name:** CLEVELAND H DODGE FOUNDATION INC

**EIN:** 13-6015087

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
GRAYBAR FITUP 2008	2008-01-01	22,880	22,880	SL	5 00				
GRAYBAR IMPR 2008	2008-04-01	7,809	7,809	SL	5 00				
COLLECTION-1986	1987-01-01	106,345	106,345	SL	25 00				
COLLECTION-2000	2000-01-01	14,600	9,636	SL	25 00	584		584	
COLLECTION-2000	2000-01-01	1,500	990	SL	25 00	60		60	
RESTORATION 2001	2001-07-01	12,697	7,619	SL	25 00	508		508	
ANTIQUES & ART	2001-01-01	7,550	4,681	SL	25 00	302		302	
ART APPRAISAL ADJ	2001-01-01	43,893	26,338	SL	25 00	1,756		1,756	
COPIER	2012-02-28	6,677	5,187	200DB	7 00	596		596	
COMPUTER	2013-01-13	1,027	899	200DB	5 00	114		114	
GHD PORTRAIT	2013-12-12	5,363	671	SL	25 00	214		214	
REFRIDGERATOR	2014-04-10	529	298	200DB	7 00	66		66	
COMPUTERS	2015-08-17	2,230	1,160	200DB	5 00	428		428	
FURNITURE 2008	2008-04-30	11,331	11,331	200DB	7 00				

## TY 2017 Investments Corporate Bonds Schedule

**Name:** CLEVELAND H DODGE FOUNDATION INC

**EIN:** 13-6015087

### Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
CORPORATE BONDS	2,244,655	2,244,655

**TY 2017 Investments Corporate Stock Schedule****Name:** CLEVELAND H DODGE FOUNDATION INC**EIN:** 13-6015087

<b>Name of Stock</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
CORPORATE STOCK	44,213,151	44,213,151

## TY 2017 Investments Government Obligations Schedule

**Name:** CLEVELAND H DODGE FOUNDATION INC

**EIN:** 13-6015087

**US Government Securities - End  
of Year Book Value:** 926,103

**US Government Securities - End  
of Year Fair Market Value:** 926,103

**State & Local Government  
Securities - End of Year Book  
Value:**

**State & Local Government  
Securities - End of Year Fair  
Market Value:**

**TY 2017 Investments - Other Schedule****Name:** CLEVELAND H DODGE FOUNDATION INC**EIN:** 13-6015087**Investments Other Schedule 2**

<b>Category/ Item</b>	<b>Listed at Cost or FMV</b>	<b>Book Value</b>	<b>End of Year Fair Market Value</b>
MUTUAL FUND		291,668	291,668
MONEY MARKET FUND AND CASH		6,467,611	6,467,611
OTHER INVESTMENTS		1,110,065	1,110,065

**TY 2017 Land, Etc.  
Schedule**

**Name:** CLEVELAND H DODGE FOUNDATION INC  
**EIN:** 13-6015087

<b>Category / Item</b>	<b>Cost / Other Basis</b>	<b>Accumulated Depreciation</b>	<b>Book Value</b>	<b>End of Year Fair Market Value</b>
FURNITURE & EQUIPMENT	21,794	20,079	1,715	
OFFICE ARTWORK	191,948	159,704	32,244	
LEXINGTON AVENUE IMPROVEMENTS	30,688	30,688		

## TY 2017 Other Assets Schedule

**Name:** CLEVELAND H DODGE FOUNDATION INC

**EIN:** 13-6015087

### Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
ARTWORK AND SCULPTURE	537,000	537,000	537,000
SECURITY DEPOSIT	28,926	28,926	28,926
PREPAID TAXES	3,925		
DUE FROM PHYLIS		31,651	31,651
DUE FROM BALDWIN		4,425	4,425

## TY 2017 Other Assets Schedule

**Name:** CLEVELAND H DODGE FOUNDATION INC

**EIN:** 13-6015087

### Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
ARTWORK AND SCULPTURE	537,000	537,000	537,000
SECURITY DEPOSIT	28,926	28,926	28,926
PREPAID TAXES	3,925		
DUE FROM PHYLIS		31,651	31,651
DUE FROM BALDWIN		4,425	4,425

## TY 2017 Other Assets Schedule

**Name:** CLEVELAND H DODGE FOUNDATION INC

**EIN:** 13-6015087

### Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
ARTWORK AND SCULPTURE	537,000	537,000	537,000
SECURITY DEPOSIT	28,926	28,926	28,926
PREPAID TAXES	3,925		
DUE FROM PHYLIS		31,651	31,651
DUE FROM BALDWIN		4,425	4,425

## TY 2017 Other Decreases Schedule

**Name:** CLEVELAND H DODGE FOUNDATION INC

**EIN:** 13-6015087

Description	Amount
GRANTS PAYABLE	410,000

## TY 2017 Other Expenses Schedule

**Name:** CLEVELAND H DODGE FOUNDATION INC  
**EIN:** 13-6015087

## Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INSURANCE	15,597			15,597
OFFICE AND STORAGE	11,890			11,890

## TY 2017 Other Increases Schedule

**Name:** CLEVELAND H DODGE FOUNDATION INC

**EIN:** 13-6015087

Description	Amount
REALIZED GAIN ON INVESTMENTS	3,574,259
NON TAXABLE DIVIDENDS	39,957
UNREALIZED GAIN ON INVESTMENTS	6,060,682

## TY 2017 Other Professional Fees Schedule

**Name:** CLEVELAND H DODGE FOUNDATION INC**EIN:** 13-6015087

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
BECK, MACK & OLIVER INVESTMENT SERVICES	164,421	164,421		
GILLESPIE ROBINSON & COMPANY INVESTMENT SERVICES	157,738	157,738		
OTHER INVESTMENT SERVICES	179	179		
OFFIT CAPITAL INVESTMENT SERVICES	23,971	23,971		

## TY 2017 Taxes Schedule

**Name:** CLEVELAND H DODGE FOUNDATION INC**EIN:** 13-6015087

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
Federal Excise Tax	61,735			
FOREIGN TAX	9,014	9,014		
STATE TAX	750			