

Form **990-PF****Return of Private Foundation**

OMB No 1545-0052

2017

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation
 ▶ Do not enter social security numbers on this form as it may be made public.
 ▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

For calendar year 2017 or tax year beginning , 2017, and ending , 20

Name of foundation UW EDWARD & ELLEN ROCHE REL FDN		A Employer identification number 13-5622067
Number and street (or P O box number if mail is not delivered to street address)	Room/suite	B Telephone number (see instructions) 888-866-3275
P O BOX 1802		
City or town, state or province, country, and ZIP or foreign postal code PROVIDENCE, RI 02901-1802		C If exemption application is pending, check here <input type="checkbox"/> 3
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1 Foreign organizations, check here . . . <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input type="checkbox"/> Section 501(c)(3) exempt private foundation <input checked="" type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation EO		E If private foundation status was terminated under section 507(b)(1)(A), check here . . . <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 6,128,277.		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here . . . <input type="checkbox"/>
J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B.				
	3 Interest on savings and temporary cash investments.				
	4 Dividends and interest from securities	129,584.	128,475.		STMT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	480,857.			
	b Gross sales price for all assets on line 6a 3,945,048.				
	7 Capital gain net income (from Part IV, line 2) .		480,857.	05112018	05142018
	8 Net short-term capital gain.				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)		717.		STMT 2
	12 Total. Add lines 1 through 11	610,441.	610,049.		
	13 Compensation of officers, directors, trustees, etc . .	63,477.	38,086.		25,391.
	14 Other employee salaries and wages		NONE	NONE	
	15 Pension plans, employee benefits		NONE	NONE	
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule) STMT 3 .	1,250.	750.	NONE	500.
	c Other professional fees (attach schedule) STMT 4 .	14,274.			14,274.
	17 Interest				
	18 Taxes (attach schedule) (see instructions) STMT 5 .	25,846.	4,810.		
	19 Depreciation (attach schedule) and depletion .				
	20 Occupancy				
	21 Travel, conferences, and meetings		NONE	NONE	
	22 Printing and publications	4.	NONE	NONE	4.
	23 Other expenses (attach schedule) STMT 6 .	2,688.	3,467.		250.
	24 Total operating and administrative expenses. Add lines 13 through 23.	107,539.	47,113.	NONE	40,419.
	25 Contributions, gifts, grants paid	216,000.			216,000.
	26 Total expenses and disbursements Add lines 24 and 25	323,539.	47,113.	NONE	256,419.
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements . .	286,902.			
	b Net investment income (if negative, enter -0-)		562,936.		
	c Adjusted net income (if negative, enter -0-).				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	254,097.	167,322.	167,322.
	3	Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U S and state government obligations (attach schedule)	198,977.		
	b	Investments - corporate stock (attach schedule)	4,596,742.	5,240,981.	5,789,175.
	c	Investments - corporate bonds (attach schedule)	150,352.	50,015.	49,975.
	11	Investments - land, buildings, and equipment basis Less: accumulated depreciation (attach schedule) ▶			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule)	130,794.	136,163.	121,805.
	14	Land, buildings, and equipment basis Less: accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)				
16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	5,330,962.	5,594,481.	6,128,277.	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
23	Total liabilities (add lines 17 through 22)		NONE		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26, and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	5,330,962.	5,594,481.	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	5,330,962.	5,594,481.	
	31	Total liabilities and net assets/fund balances (see instructions)	5,330,962.	5,594,481.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,330,962.
2	Enter amount from Part I, line 27a	2	286,902.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 14	3	4,589.
4	Add lines 1, 2, and 3	4	5,622,453.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 15	5	27,972.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	5,594,481.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a PUBLICLY TRADED SECURITIES					
b OTHER GAINS AND LOSSES					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))		
a 2,954,210.		2,493,538.	460,672.		
b 990,838.		970,653.	20,185.		
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a			460,672.		
b			20,185.		
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	480,857.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8			3		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2016	252,067.	5,309,734.	0.047473
2015	263,514.	5,476,811.	0.048114
2014	269,942.	5,625,004.	0.047990
2013	247,622.	5,230,070.	0.047346
2012	235,731.	4,798,247.	0.049129
2 Total of line 1, column (d)			2 0.240052
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years			3 0.048010
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5			4 5,851,738.
5 Multiply line 4 by line 3.			5 280,942.
6 Enter 1% of net investment income (1% of Part I, line 27b).			6 5,629.
7 Add lines 5 and 6.			7 286,571.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 256,419.



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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 . . . Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		1	11,259.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		2	NONE
3 Add lines 1 and 2		3	11,259.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		4	NONE
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	11,259.
6 Credits/Payments			
a 2017 estimated tax payments and 2016 overpayment credited to 2017	6a	16,533.	
b Exempt foreign organizations - tax withheld at source	6b	NONE	
c Tax paid with application for extension of time to file (Form 8868)	6c	NONE	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	16,533.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	5,274.	
11 Enter the amount of line 10 to be: Credited to 2018 estimated tax <input type="checkbox"/> 5,274. Refunded <input type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by <i>General Instruction T</i> .		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions <input type="checkbox"/> <u>NY</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the tax year beginning in 2017? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A **Statements Regarding Activities** *(continued)*

		Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions	11	X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12	X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X
14	The books are in care of ► <u>US TRUST FIDUCIARY TAX SERVICES</u> Telephone no ► <u>(888) 866-3275</u> Located at ► <u>P.O. BOX 1802, PROVIDENCE, RI</u> ZIP+4 ► <u>02901-1802</u>		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year	15	X
16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	16	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

<p>1a During the year, did the foundation (either directly or indirectly):</p> <p>(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions <input type="checkbox"/> 1b <input type="checkbox"/> <input checked="" type="checkbox"/></p> <p>c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017? <input type="checkbox"/> 1c <input type="checkbox"/> <input checked="" type="checkbox"/></p>				
<p>2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):</p> <p>a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>If "Yes," list the years <input type="checkbox"/> 2b <input type="checkbox"/> <input type="checkbox"/></p> <p>b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) <input type="checkbox"/> 2b <input type="checkbox"/> <input type="checkbox"/></p> <p>c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <input type="checkbox"/> 2b <input type="checkbox"/> <input type="checkbox"/></p>				
<p>3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017). <input type="checkbox"/> 3b <input type="checkbox"/> <input type="checkbox"/></p>				
<p>4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? <input type="checkbox"/> 4a <input type="checkbox"/> <input checked="" type="checkbox"/></p> <p>b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017? <input type="checkbox"/> 4b <input type="checkbox"/> <input checked="" type="checkbox"/></p>				

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

	Yes	No
5a During the year, did the foundation pay or incur any amount to:		
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions		
Organizations relying on a current notice regarding disaster assistance, check here		<input type="checkbox"/>
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
If "Yes," attach the statement required by Regulations section 53.4945-5(d)		
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		
If "Yes" to 6b, file Form 8870.		
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation. See instructions.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BANK OF AMERICA, N.A. 114 W 47TH STREET, NEW YORK, NY 10036	TRUSTEE 1	63,477.	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over \$50,000 ▶ **NONE**Form **990-PF** (2017)

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

Part IX-A Summary of Direct Charitable Activities

Expenses

Part IX-B Summary of Program-Related Investments (see instructions)

Amount

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	5,639,517.
b	Average of monthly cash balances	1b	301,334.
c	Fair market value of all other assets (see instructions).	1c	NONE
d	Total (add lines 1a, b, and c)	1d	5,940,851.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	5,940,851.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	89,113.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	5,851,738.
6	Minimum investment return. Enter 5% of line 5	6	292,587.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	292,587.
2a	Tax on investment income for 2017 from Part VI, line 5 2a		11,259.
b	Income tax for 2017. (This does not include the tax from Part VI.) 2b		
c	Add lines 2a and 2b	2c	11,259.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	281,328.
4	Recoveries of amounts treated as qualifying distributions	4	NONE
5	Add lines 3 and 4	5	281,328.
6	Deduction from distributable amount (see instructions).	6	NONE
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	281,328.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	256,419.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	256,419.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	N/A
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	256,419.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				281,328.
2 Undistributed income, if any, as of the end of 2017:				
a Enter amount for 2016 only.			215,329.	
b Total for prior years 20____, 20____, 20____		NONE		
3 Excess distributions carryover, if any, to 2017:				
a From 2012	NONE			
b From 2013	NONE			
c From 2014	NONE			
d From 2015	NONE			
e From 2016	NONE			
f Total of lines 3a through e	NONE			
4 Qualifying distributions for 2017 from Part XII, line 4: ► \$ 256,419.				
a Applied to 2016, but not more than line 2a . . .			215,329.	
b Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c Treated as distributions out of corpus (Election required - see instructions)	NONE			
d Applied to 2017 distributable amount.				41,090.
e Remaining amount distributed out of corpus. . .	NONE			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a).)	NONE			NONE
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	NONE			
b Prior years' undistributed income Subtract line 4b from line 2b.		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b. Taxable amount - see instructions		NONE		
e Undistributed income for 2016. Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2017. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2018.				240,238.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	NONE			
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions) . . .	NONE			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	NONE			
10 Analysis of line 9:				
a Excess from 2013 . . .	NONE			
b Excess from 2014 . . .	NONE			
c Excess from 2015 . . .	NONE			
d Excess from 2016 . . .	NONE			
e Excess from 2017 . . .	NONE			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.

b 85% of line 2a

C Qualifying distributions from Part XII, line 4 for each year listed .

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test - enter

(1) Value of all assets. . . .

(2) Value of assets qualifying under section 4942(j)(3)(B)(i).

b "Endowment" alternative test-
enter 2/3 of minimum invest-
ment return shown in Part X,
line 6 for each year listed . . .

C "Support" alternative test - enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).

(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization. . . .

(4) Gross investment income .

Tax year

Prior 3 years

(c) 2015

(d) 2014

(e) Total

Part XV **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

SEE STATEMENT 19

b The form in which applications should be submitted and information and materials they should include:

SEE ATTACHED STATEMENT FOR LINE 2

c Any submission deadlines:

SEE ATTACHED STATEMENT FOR LINE 2

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE ATTACHED STATEMENT FOR LINE 2

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE STATEMENT 24				216,000.
Total			3a	216,000.
b Approved for future payment				
Total			3b	



Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | | |
|---|--------------|-----|----|
| 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | Yes | No |
| a Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| (1) Cash | 1a(1) | | X |
| (2) Other assets | 1a(2) | | X |
| b Other transactions: | | | |
| (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| (4) Reimbursement arrangements | 1b(4) | | X |
| (5) Loans or loan guarantees | 1b(5) | | X |
| (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |
| d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

04/26/2018
Date

MANAGING DIR
Title

May the IRS discuss this return with the preparer shown below? See instructions ☐ Yes ☐ No

BANK OF AMERICA, N.A.

**Paid
Preparer
Use Only**

Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
Firm's name ▶			Firm's EIN ▶	
Firm's address ▶			Phone no	

Form **990-PF** (2017)

UW EDWARD & ELLEN ROCHE REL FDN

13-5622067

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
USGI REPORTED AS NONQUALIFIED DIVIDENDS	2,800.	2,800.
FOREIGN DIVIDENDS	31,072.	31,072.
NONDIVIDEND DISTRIBUTIONS	1,234.	
DOMESTIC DIVIDENDS	51,067.	51,067.
OTHER INTEREST	6,203.	6,203.
FOREIGN INTEREST	766.	766.
U.S. GOVERNMENT INTEREST(FEDERAL TAXABLE	1,921.	1,921.
NON-TAXABLE FOREIGN INCOME	-125.	
US GOVERNMENT INTEREST REPORTED AS QUALI	557.	557.
NONQUALIFIED FOREIGN DIVIDENDS	9,035.	9,035.
NONQUALIFIED DOMESTIC DIVIDENDS	25,054.	25,054.
	-----	-----
TOTAL	129,584.	128,475.
	=====	=====

UW EDWARD & ELLEN ROCHE REL FDN

13-5622067

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
PARTNERSHIP INCOME		717.
	-----	-----
TOTALS	=====	717. =====

UW EDWARD & ELLEN ROCHE REL FDN

13-5622067

FORM 990PF, PART I - ACCOUNTING FEES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	ADJUSTED NET INCOME -----	CHARITABLE PURPOSES -----
TAX PREPARATION FEE - BOA	1,250.	750.		500.
TOTALS	1,250.	750.	NONE	500.
	=====	=====	=====	=====

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	CHARITABLE PURPOSES
-----	-----	-----

GRANTMAKING FEES - BOA	14,274.	14,274.
	-----	-----
TOTALS	14,274.	14,274.
	=====	=====

UW EDWARD & ELLEN ROCHE REL FDN

13-5622067

FORM 990PF, PART I - TAXES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
FOREIGN TAXES	3,482.	3,482.
EXCISE TAX - PRIOR YEAR	7,224.	
EXCISE TAX ESTIMATES	13,812.	
FOREIGN TAXES ON QUALIFIED FOR	884.	884.
FOREIGN TAXES ON NONQUALIFIED	444.	444.
	-----	-----
TOTALS	25,846.	4,810.
	=====	=====

UW EDWARD & ELLEN ROCHE REL FDN

13-5622067

FORM 990PF, PART I - OTHER EXPENSES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	CHARITABLE PURPOSES -----
OTHER ALLOCABLE EXPENSE-PRINCI	716.	716.	
OTHER ALLOCABLE EXPENSE-INCOME	716.	716.	
STATE FILING FEE	250.		
DIVIDEND INVESTMENT EXPENSE -	1,006.	1,006.	250.
PARTNERSHIP EXPENSES	1,006.	1,029.	
TOTALS	2,688.	3,467.	250.

UW EDWARD & ELLEN ROCHE REL FDN

13-5622067

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS
=====

DESCRIPTION -----	BEGINNING BOOK VALUE -----
----------------------	----------------------------------

912828RF9 UNITED STATES TREAS	
912828SY7 UNITED STATES TREAS	198,977.

TOTALS	----- 198,977. =====
--------	----------------------------

UW EDWARD & ELLEN ROCHE REL FDN

13-5622067

FORM 990PF, PART II - CORPORATE STOCK
=====

DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ---
166764100 CHEVRON CORP COM			
30231G102 EXXON MOBIL CORP COM	1,639.		
87612E106 TARGET CORP COM			
887317303 TIME WARNER INC NEW	23,286.		
713448108 PEPSICO INC COM	31,585.		
902973304 US BANCORP DEL COM N			
037833100 APPLE INC COM	56,502.		
17275R102 CISCO SYS INC COM	38,952.		
219350105 CORNING INC COM			
594918104 MICROSOFT CORP COM		58,575.	56,927.
693390841 PIMCO HIGH YIELD FD	112,061.		
1261292H7 INTERNATIONAL EQUITY	520,798.		
29099J109 EMERGING MARKETS STO	318,963.	198,586.	312,760.
260543103 DOW CHEM CO COM	19,850.		
00287Y109 ABBVIE INC COM			
4812A4351 JPMORGAN STRATEGIC I	59,250.		
693475857 PNC FINL SVCS GROUP	26,178.	26,178.	28,300.
902973817 US BANCORP DEL PRFD	27,969.		
74925K581 BOSTON PARTNERS LONG	73,200.		
73935S105 POWERSHARES DB COMMO	171,393.	71,685.	67,769.
922908553 VANGUARD REIT ETF	157,520.		
97717X701 WISDOMTREE EUROPE HE			
055622104 BP P L C SPONSORED A			
20825C104 CONOCOPHILLIPS COM	42,610.		
49456B101 KINDER MORGAN INC DE	20,304.		
460146103 INTERNATIONAL PAPER			
369604103 GENERAL ELEC CO COM	30,326.		
539830109 LOCKHEED MARTIN CORP	38,764.		
773903109 ROCKWELL AUTOMATION			

UW EDWARD & ELLEN ROCHE REL FDN

13-5622067

FORM 990PF, PART II - CORPORATE STOCK
=====

DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ---
911312106 UNITED PARCEL SVC IN	51,058.		
254687106 DISNEY WALT CO COM D	28,323.		
345370860 FORD MTR CO DEL COM			
437076102 HOME DEPOT INC COM	50,104.		
501797104 L BRANDS INC COM			
580135101 MCDONALDS CORP COM			
918204108 V F CORP COM	45,556.		
02209S103 ALTRIA GROUP INC COM			
191216100 COCA COLA CO COM	18,688.		
494368103 KIMBERLY CLARK CORP			
500754106 KRAFT HEINZ CORP COM	27,094.		
718172109 PHILIP MORRIS INTL I	62,840.		
742718109 PROCTER & GAMBLE CO			
G5960L103 MEDTRONIC PLC COM	46,193.		
031162100 AMGEN INC COM	27,824.		
478160104 JOHNSON & JOHNSON CO			
532457108 LILLY ELI & CO COM	54,166.		
58933Y105 MERCK & CO INC NEW C			
717081103 PFIZER INC COM	48,623.		
054937107 BB&T CORP COM			
09247X101 BLACKROCK INC CL A			
14040H105 CAPITAL ONE FINL COR	63,141.		
46625H100 J P MORGAN CHASE & C	35,744.		
59156R108 METLIFE INC COM			
693475105 PNC FINL SVCS GROUP	44,300.		
744320102 PRUDENTIAL FINL INC			
949746101 WELLS FARGO & CO NEW	55,223.		
G1151C101 ACCENTURE PLC CL A C			
02079K107 ALPHABET INC CL C CO	27,447.		

UW EDWARD & ELLEN ROCHE REL FDN

13-5622067

FORM 990PF, PART II - CORPORATE STOCK
 =====

DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ---
02079K305 ALPHABET INC CL A CO			
458140100 INTEL CORP COM	16,128.		
882508104 TEXAS INSTRS INC COM	23,543.		
92826C839 VISA INC CL A COM	55,954.		
983919101 XILINX INC COM			
00206R102 AT&T INC COM			
92343V104 VERIZON COMMUNICATIO			
25746U109 DOMINION RES INC VA			
592905509 METRO WEST T/R BD CL			
207543877 SMALL CAP GROWTH LEA	280,294.	87,758.	93,790.
302993993 MID CAP VALUE CTF	107,254.	122,722.	122,875.
303995997 SMALL CAP VALUE CTF	179,574.	93,316.	94,838.
323991307 MID CAP GROWTH CTF	103,216.	116,054.	126,123.
99Z466197 INTERNATIONAL FOCUSE	144,056.	406,185.	470,905.
464287655 ISHARES RUSSELL 2000	112,381.	502,047.	560,138.
921943858 VANGUARD FTSE DEVELO	107,535.	533,258.	585,872.
922042858 VANGUARD FTSE EMERGI	97,389.	154,502.	194,521.
922908363 VANGUARD S&P 500 ETF	214,961.	485,641.	565,639.
09256H286 BLACKROCK STRATEGIC	399,983.	234,551.	236,966.
38145C646 GOLDMAN SACHS STRATE	162,000.		
464287226 ISHARES CORE US AGGR	135,000.		
464287507 ISHARES CORE S&P MID		92,269.	91,728.
00203H446 AQR LONG-SHORT EQUIT		393,446.	434,786.
00203H859 AQR MANAGED FUTURES		47,355.	47,768.
62827P816 CATALYST/MILLBURN HE		50,000.	51,107.
74253Q747 PRINCIPAL MIDCAP BLE		64,193.	64,518.
94987W737 WELLS FARGO ABSOLUTE		172,085.	185,699.
589509108 THE MERGER FD		109,516.	112,681.
202671913 AGGREGATE BOND CTF		47,695.	47,576.
		436,724.	437,041.

UW EDWARD & ELLEN ROCHE REL FDN

13-5622067

FORM 990PF, PART II - CORPORATE STOCK
=====

DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV -----
45399C107 DIVIDEND INCOME COMM		320,409.	346,266.
99Z466163 HIGH QUALITY CORE CO		89,281.	94,952.
99Z501647 STRATEGIC GROWTH COM		326,950.	357,630.
TOTALS	4,596,742. =====	5,240,981. =====	5,789,175. =====

UW EDWARD & ELLEN ROCHE REL FDN

13-5622067

FORM 990PF, PART II - CORPORATE BONDS
=====

DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ---
25243YAR0 DIAGEO CAP PLC CO GT	50,329.		
05531FAM5 BB&T CORP SR MEDIUM	49,875.	50,015.	49,975.
084670BH0 BERKSHIRE HATHAWAY I	50,148.		
	-----	-----	-----
TOTALS	150,352.	50,015.	49,975.
	=====	=====	=====

UW EDWARD & ELLEN ROCHE REL FDN

13-5622067

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION	COST/ FMV C OR F	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
99Z353023 EXCELSIOR ABSOLUTE R	C			
300862109 UST EXCELSIOR VENTUR	C	20,306.	20,306.	10,948.
8EQ029993 MAN FRM ALTERNATIVE	C	110,488.	115,857.	110,857.
TOTALS		130,794.	136,163.	121,805.



UW EDWARD & ELLEN ROCHE REL FDN

13-5622067

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

=====

DESCRIPTION

AMOUNT

INCOME ADJ

140.

PARTNERSHIP ADJ

4,443.

ROUNDING

6.

TOTAL

4,589.

=====

UW EDWARD & ELLEN ROCHE REL FDN

13-5622067

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES
=====DESCRIPTION
-----AMOUNT
-----CTF ADJ
T/C ADJ
C/V ADJ5,847.
19,215.
2,910.

TOTAL

27,972.
=====



UW EDWARD & ELLEN ROCHE REL FDN
FORM 990PF, PART XV - LINES 2a - 2d
=====

13-5622067

RECIPIENT NAME:

CHRISTINE O'DONNELL

ADDRESS:

114 W 47TH STREET

NEW YORK, NY 10036

RECIPIENT'S PHONE NUMBER: 646-855-1011

FORM, INFORMATION AND MATERIALS:

www.bankofamerica.com/grantmaking

SUBMISSION DEADLINES:

JUNE 30

RESTRICTIONS OR LIMITATIONS ON AWARDS:

NONPROFITS THAT HELP ENSURE THE WELL BEING AND SELF SUFFICIENCY OF LOW
INCOME WOMEN AND CHILDREN.



UW EDWARD & ELLEN ROCHE REL FDN

13-5622067

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

MIGRATION RESOURCE CENTER

RELATIONSHIP:

N/A

PURPOSE OF GRANT:

UNRESTRICTED GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 16,000.

RECIPIENT NAME:

ACCION EAST INC.

C/O MR. PAUL QUINTERO

ADDRESS:

80 MAIDEN LANE, SUITE 903

NEW YORK, NY 10038

RELATIONSHIP:

N/A

PURPOSE OF GRANT:

UNRESTRICTED GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 15,000.

RECIPIENT NAME:

HENRY STREET SETTLEMENT

ATTN: DAVID GARZA

ADDRESS:

265 HENRY STREET

NEW YORK, NY 10002

RELATIONSHIP:

N/A

PURPOSE OF GRANT:

UNRESTRICTED GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 20,000.



UW EDWARD & ELLEN ROCHE REL FDN 13-5622067
FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID
=====

RECIPIENT NAME:

BOWERY RESIDENTS' COMMITTEE
ATTN: MR. MUZZY ROSENBLATT

ADDRESS:

131 WEST 25TH STREET, 12TH FLOOR
NEW YORK, NY 10001

RELATIONSHIP:

N/A

PURPOSE OF GRANT:

UNRESTRICTED GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 20,000.

RECIPIENT NAME:

SAFE HORIZON, INC.
MS. ARIEL ZWANG

ADDRESS:

2 LAFAYETTE STREET, 3RD FLOOR
NEW YORK, NY 10007

RELATIONSHIP:

N/A

PURPOSE OF GRANT:

UNRESTRICTED GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

RECIPIENT NAME:

SANCTUARY FOR FAMILIES, INC.

ADDRESS:

P.O. BOX 1406, WALL STREET STATION
NEW YORK, NY 10268

RELATIONSHIP:

N/A

PURPOSE OF GRANT:

UNRESTRICTED GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 30,000.



UW EDWARD & ELLEN ROCHE REL FDN

13-5622067

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

BRONXWORKS

ADDRESS:

60 EAST TREMONT AVENUE

BRONX, NY 10453-5842

RELATIONSHIP:

N/A

PURPOSE OF GRANT:

UNRESTRICTED GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 20,000.

RECIPIENT NAME:

ODYSSEY FOUNDATION

DR. PETER PROVET

ADDRESS:

120 WALL STREET

NEW YORK, NY 10005

RELATIONSHIP:

N/A

PURPOSE OF GRANT:

UNRESTRICTED GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

RECIPIENT NAME:

URBAN JUSTICE CENTER

MS MADELINE GARCIA BIGELOW

ADDRESS:

40 RECTOR STREET, 9TH FLOOR

NEW YORK, NY 10006

RELATIONSHIP:

N/A

PURPOSE OF GRANT:

UNRESTRICTED GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

FORM 990

UW EDWARD & ELLEN ROCHE REL FDN

13-5622067

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

LEGAL INFORMATION FOR FAMILIES TODAY

ATTN: MS. SHARON A. MYRIE

ADDRESS:

32 COURT STREET, SUITE 1208

BROOKLYN, NY 11201

RELATIONSHIP:

N/A

PURPOSE OF GRANT:

UNRESTRICTED GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 15,000.

RECIPIENT NAME:

INTERNATIONAL RESCUE COMMITTEE, INC.

ATTN: DAVID MILIBAND

ADDRESS:

263 WEST 38TH STREET, FLOOR 6

NEW YORK, NY 10018

RELATIONSHIP:

N/A

PURPOSE OF GRANT:

UNRESTRICTED GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 15,000.

RECIPIENT NAME:

SUNSET PARK HEALTH COUNCIL, INC.

LFHC - FAMILY SUPPORT

ADDRESS:

6025 6TH AVENUE

BROOKLYN, NY 11220

RELATIONSHIP:

N/A

PURPOSE OF GRANT:

UNRESTRICTED GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 15,000.

XXXXXX

UW EDWARD & ELLEN ROCHE REL FDN

13-5622067

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

THE NEW YORK OPPORTUNITY NETWORK, INC.
MS. JESSICA PLISKA

ADDRESS:

55 EXCHANGE PLACE, SUITE 503
NEW YORK, NY 10005

RELATIONSHIP:

N/A

PURPOSE OF GRANT:

UNRESTRICTED GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 15,000.

RECIPIENT NAME:

GRACE OUTREACH
ATTN: MR. ANDREW RUBINSON

ADDRESS:

378 E. 151ST STREET, 5TH FLOOR
BRONX, NY 10455

RELATIONSHIP:

N/A

PURPOSE OF GRANT:

UNRESTRICTED GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 20,000.

RECIPIENT NAME:

UPWARDLY GLOBAL

ADDRESS:

505 8TH AVENUE, SUITE 602
NEW YORK, NY 10018

RELATIONSHIP:

N/A

PURPOSE OF GRANT:

UNRESTRICTED GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 15,000.

TOTAL GRANTS PAID:

216,000.

=====