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EXTENDED TO NOVEMBER 15, 2018  
Return of Private Foundation

OMB No 1545-0052

2017

Open to Public Inspection

Form 990-PF

Department of the Treasury  
Internal Revenue ServiceDo not enter social security numbers on this form as it may be made public.  
Go to www.irs.gov/Form990PF for instructions and the latest information.

For calendar year 2017 or tax year beginning , and ending

Name of foundation

THE JAMES MARSTON FITCH CHARITABLE  
FOUNDATION, INC.-C/O NEIGHBORHD PRES CTR

A Employer identification number

13-3993856

Number and street (or P O box number if mail is not delivered to street address)

232 EAST 11TH STREET

Room/suite

B Telephone number

(212) 252-6809

City or town, state or province, country, and ZIP or foreign postal code

NEW YORK, NY 10003

C If exemption application is pending, check here ☐

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeD 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test,  
check here and attach computation ☐

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationE If private foundation status was terminated  
under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year

J Accounting method:

☒ Cash☐ AccrualF If the foundation is in a 60-month termination  
under section 507(b)(1)(B), check here ☐

(from Part II, col. (c), line 16)

☐ Other (specify) \_\_\_\_\_

\$ 681,208. (Part I, column (d) must be on cash basis.)

## Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not  
necessarily equal the amounts in column (a))(a) Revenue and  
expenses per books(b) Net investment  
income(c) Adjusted net  
income(d) Disbursements  
for charitable purposes  
(cash basis only)

Revenue	1	Contributions, gifts, grants, etc., received	37,300.		N/A	
	2	Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments	458.	458.		STATEMENT 1
	4	Dividends and interest from securities	20,218.	20,218.		STATEMENT 2
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	5,184.			
	b	Gross sales price for all assets on line 6a	39,177.			
	7	Capital gain net income (from Part IV, line 2)		5,184.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
Operating and Administrative Expenses	b	Less Cost of goods sold				
	c	Gross profit or (loss)				
	11	Other income				
	12	Total. Add lines 1 through 11	63,160.	25,860.		
	13	Compensation of officers, directors, trustees, etc	23,324.	0.		23,324.
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees				
	b	Accounting fees	STMT 3 7,775.	3,888.		3,887.
	c	Other professional fees	STMT 4 375.	0.		375.
	17	Interest				
	18	Taxes	STMT 5 273.	273.		0.
	19	Depreciation and depletion				
	20	Occupancy	125.	0.		125.
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses	STMT 6 22,393.	9,440.		12,953.
	24	Total operating and administrative expenses. Add lines 13 through 23	54,265.	13,601.		40,664.
	25	Contributions, gifts, grants paid	37,824.			37,824.
	26	Total expenses and disbursements. Add lines 24 and 25	92,089.	13,601.		78,488.
	27	Subtract line 26 from line 12:				
	a	Excess of revenue over expenses and disbursements	<28,929.>			
	b	Net investment income (if negative, enter -0-)		12,259.		
	c	Adjusted net income (if negative, enter -0-)			N/A	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	5,987.	1,544.	1,544.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use	29,628.	29,628.	29,628.
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
	Liabilities	11 Investments - land, buildings, and equipment basis ▶		
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other		464,940.	440,110.	649,692.
14 Land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶ <b>STATEMENT 8</b> )		0.	344.	344.
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)		500,555.	471,626.	681,208.
17 Accounts payable and accrued expenses				
18 Grants payable				
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ )			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	500,555.	471,626.	
	30 Total net assets or fund balances	500,555.	471,626.	
31 Total liabilities and net assets/fund balances	500,555.	471,626.		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	500,555.
2 Enter amount from Part I, line 27a	2	<28,929.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	471,626.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	471,626.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a UBS - PER SCHEDULE ATTACHED	P		
b UBS - PER SCHEDULE ATTACHED	P		
c			
d			
e			

  

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a 38,595.		33,409.	5,186.
b 582.		584.	<2.>
c			
d			
e			

  

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			5,186.
b			<2.>
c			
d			
e			

  

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	5,184.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2016	42,877.	594,337.	.072143
2015	61,440.	586,673.	.104726
2014	119,815.	616,169.	.194452
2013	49,844.	561,456.	.088776
2012	54,258.	473,914.	.114489

  

2 Total of line 1, column (d)	2	.574586
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	.114917
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	4	617,227.
5 Multiply line 4 by line 3	5	70,930.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	123.
7 Add lines 5 and 6	7	71,053.
8 Enter qualifying distributions from Part XII, line 4	8	73,488.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**THE JAMES MARSTON FITCH CHARITABLE  
FOUNDATION, INC.-C/O NEIGHBORHD PRES CTR**

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**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	123.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		2	0.
3 Add lines 1 and 2		3	123.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	123.
6 Credits/Payments:			
a 2017 estimated tax payments and 2016 overpayment credited to 2017	6a	280.	
b Exempt foreign organizations - tax withheld at source	6b	0.	
c Tax paid with application for extension of time to file (Form 8868)	6c	0.	
d Backup withholding erroneously withheld	6d	0.	
7 Total credits and payments. Add lines 6a through 6d	7	280.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	0.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	157.	
11 Enter the amount of line 10 to be: Credited to 2018 estimated tax <input checked="" type="checkbox"/> 157. Refunded <input type="checkbox"/>	11	0.	

**Part VII-A Statements Regarding Activities**

		<b>Yes</b>	<b>No</b>
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		<b>X</b>
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.	1b		<b>X</b>
c Did the foundation file Form 1120-POL for this year?	1c		<b>X</b>
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		<b>X</b>
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		<b>X</b>
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		<b>X</b>
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5		<b>X</b>
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	<b>X</b>	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	7	<b>X</b>	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. <input checked="" type="checkbox"/> NY			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	<b>X</b>	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the tax year beginning in 2017? See the instructions for Part XIV. If "Yes," complete Part XIV	9		<b>X</b>
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	<b>X</b>	

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**Part VII-A Statements Regarding Activities** (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>WWW.FITCHFOUNDATION.ORG</u>	X	
14 The books are in care of ► <u>CRISTIANA PENNA - EXECUTIVE DIRECTOR</u> Telephone no. ► <u>212 252-6809</u> Located at ► <u>232 EAST 11TH STREET, NEW YORK, NY</u> ZIP+4 ► <u>10003</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year		N/A
16 At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here	N/A	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? If "Yes," list the years ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?		X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

	Yes	No
5a During the year, did the foundation pay or incur any amount to:		
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	N/A	5b
Organizations relying on a current notice regarding disaster assistance, check here	<input checked="" type="checkbox"/>	
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	N/A	
If "Yes," attach the statement required by Regulations section 53.4945-5(d).	<input type="checkbox"/> Yes <input type="checkbox"/> No	
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b X
If "Yes" to 6b, file Form 8870.		
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 10		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

**Part VIII****Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

**Part IX-A****Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

**Part IX-B****Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
3 All other program-related investments. See instructions.	

Total. Add lines 1 through 3

0.

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**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	608,792.
b	Average of monthly cash balances	1b	17,834.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	626,626.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	626,626.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	9,399.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	617,227.
6	Minimum investment return. Enter 5% of line 5	6	30,861.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	30,861.
2a	Tax on investment income for 2017 from Part VI, line 5	2a	123.
b	Income tax for 2017. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	123.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	30,738.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	30,738.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	30,738.

**Part XII** Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	78,488.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	78,488.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	123.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	78,365.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				30,738.
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2017:				
a From 2012	54,258.			
b From 2013	21,888.			
c From 2014	89,561.			
d From 2015	33,996.			
e From 2016	13,404.			
f Total of lines 3a through e	213,107.			
4 Qualifying distributions for 2017 from Part XII, line 4: ► \$ 78,488.				
a Applied to 2016, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2017 distributable amount				30,738.
e Remaining amount distributed out of corpus	47,750.			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	260,857.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2016. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2017. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2018				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2012 not applied on line 5 or line 7	54,258.			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	206,599.			
10 Analysis of line 9:				
a Excess from 2013	21,888.			
b Excess from 2014	89,561.			
c Excess from 2015	33,996.			
d Excess from 2016	13,404.			
e Excess from 2017	47,750.			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2017	(b) 2016	(c) 2015	(d) 2014	
1				

b 85% of line 2a

c Qualifying distributions from Part XII, line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities.

3 Subtract line 2d from line 2c. Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test - enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

FREDERICK BLAND- C/O NEIGHBORHOOD PRESERVATION CENTER, (212) 252-6809  
232 EAST 11TH STREET, NEW YORK, NY 10003

b The form in which applications should be submitted and information and materials they should include:

SEE ATTACHED STATEMENT

c Any submission deadlines:

SEE ATTACHED STATEMENT.

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE ATTACHED STATEMENT.

**Part XV** Supplementary Information (continued)**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> Paid during the year				
SEE ATTACHED SCHEDULE				
<b>Total</b>			<b>3a</b>	<b>0.</b>
<b>b</b> Approved for future payment				
SEE ATTACHED SCHEDULE				
<b>Total</b>			<b>3b</b>	<b>0.</b>

**Part XVI-A Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments						458.
4 Dividends and interest from securities						20,218.
5 Net rental income or (loss) from real estate:						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory						5,184.
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)		0.		0.		25,860.
13 Total. Add line 12, columns (b), (d), and (e)						25,860.

(See worksheet in line 13 instructions to verify calculations.)

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

[illegible]

## Part XVII

## Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

- |   |  | Yes   | No |
|---|--|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?  |       |    |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of:   |       |    |
|   | (1) Cash   | 1a(1) | X  |
|   | (2) Other assets   | 1a(2) | X  |
| b | Other transactions:  |       |    |
|   | (1) Sales of assets to a noncharitable exempt organization   | 1b(1) | X  |
|   | (2) Purchases of assets from a noncharitable exempt organization   | 1b(2) | X  |
|   | (3) Rental of facilities, equipment, or other assets   | 1b(3) | X  |
|   | (4) Reimbursement arrangements   | 1b(4) | X  |
|   | (5) Loans or loan guarantees   | 1b(5) | X  |
|   | (6) Performance of services or membership or fundraising solicitations   | 1b(6) | X  |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees   | 1c    | X  |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. |       |    |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date \_\_\_\_\_

Title

May the IRS discuss this return with the preparer shown below? See instr

☒ Yes ☐ No

**Paid  
Preparer  
Use Only**

Print/Type preparer's name

Preparer's signature

Date

Check ☐ self-employed

PTIN
------

PAUL GRAYSON

Firm's name ► **GRAYSON & BOCK**

Firm's address ► 39 BROADWAY - SUITE 2230  
NEW YORK, NY 10006

P00643667

Firm's EIN ► 13-5664434

Phone no **212-483-1080**

**Schedule B**(Form 990, 990-EZ,  
or 990-PF)Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**

- ▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.  
▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information.

OMB No 1545-0047

**2017**

Name of the organization

THE JAMES MARSTON FITCH CHARITABLE  
FOUNDATION, INC.-C/O NEIGHBORHD PRES CTR

Employer identification number

13-3993856

Organization type (check one).

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)( ) (enter number) organization☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000, or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ \_\_\_\_\_

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2017)

## Name of organization

THE JAMES MARSTON FITCH CHARITABLE  
FOUNDATION, INC.-C/O NEIGHBORHD PRES CTR

## Employer identification number

13-3993856

**Part I Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	CONTRIBUTIONS OF LESS THAN \$ 1,000 VARIOUS	\$ 1,800.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
2	WILLIAM HIGGINS 232 EAST 11TH STREET NEW YORK, NY 10003	\$ 3,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
3	JOHN KERR JR. 232 EAST 11TH STREET NEW YORK, NY 10003	\$ 1,500.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
4	PAMELA HAWKES 232 EAST 11TH STREET NEW YORK, NY 10003	\$ 1,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
5	EDWARD MOHYLOWSKI 232 EAST 11TH STREET NEW YORK, NY 10003	\$ 1,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
6	HOLLY LEICHT 232 EAST 11TH STREET NEW YORK, NY 10003	\$ 1,500.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization <b>THE JAMES MARSTON FITCH CHARITABLE FOUNDATION, INC.-C/O NEIGHBORHD PRES CTR</b>	Employer identification number <b>13-3993856</b>
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**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7	FREDERICK BLAND 232 EAST 11TH STREET NEW YORK, NY 10003	\$ 2,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
8	FELICIA MAYRO 232 EAST 11TH STREET NEW YORK, NY 10003	\$ 1,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
9	MARY DIERICKX 232 EAST 11TH STREET NEW YORK, NY 10003	\$ 1,500.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
10	P & H KARPEL FOUNDATION 111 DEVONSHIRE ROAD BOSTON, MA 02109	\$ 1,500.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
11	ANNE VAN INGEN 232 EAST 11TH STREET NEW YORK, NY 10003	\$ 1,500.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
12	MARTICA SAWIN 232 EAST 11TH STREET NEW YORK, NY 10003	\$ 1,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)



Name of organization <b>THE JAMES MARSTON FITCH CHARITABLE FOUNDATION, INC.-C/O NEIGHBORHD PRES CTR</b>	Employer identification number <b>13-3993856</b>
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**Part I Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
13	THEODORE H.M. PRUDON 232 EAST 11TH STREET NEW YORK, NY 10003	\$ 1,500.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
14	WILLIAM TIPPENS 232 EAST 11TH STREET NEW YORK, NY 10003	\$ 1,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
15	GLENN BOORNAZIAN 232 EAST 11TH STREET NEW YORK, NY 10003	\$ 1,500.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
16	SAMUEL KRESS FOUNDATION 174 EAST 80TH STREET NEW YORK, NY 10075	\$ 15,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Employer identification number

13-3993856

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____

Name of organization

Employer identification number

THE JAMES MARSTON FITCH CHARITABLE  
FOUNDATION, INC.-C/O NEIGHBORHD PRES CTR

13-3993856

**Part III**

Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ▶ \$  
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
UBS FINANCIAL SERVICES	8.	8.	
US TREASURY BONDS	450.	450.	
TOTAL TO PART I, LINE 3	458.	458.	

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
UBS FINANCIAL SERVICES - NOMINEE	20,218.	0.	20,218.	20,218.	
TO PART I, LINE 4	20,218.	0.	20,218.	20,218.	

## FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	7,775.	3,888.		3,887.
TO FORM 990-PF, PG 1, LN 16B	7,775.	3,888.		3,887.

## FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CONSULTING FEES	375.	0.		375.
TO FORM 990-PF, PG 1, LN 16C	375.	0.		375.

FORM 990-PF	TAXES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	273.	273.		0.
TO FORM 990-PF, PG 1, LN 18	273.	273.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ADMINISTRATION EXPENSES	885.	0.		885.
INVESTMENT FEES	9,203.	9,203.		0.
SYMPOSIUM	10,000.	0.		10,000.
OFFICE EXPENSE	1,903.	0.		1,903.
MISCELLANEOUS EXPENSES	165.	0.		165.
BANK CHARGES	237.	237.		0.
TO FORM 990-PF, PG 1, LN 23	22,393.	9,440.		12,953.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	7
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
UBS FINANCIAL SERVICES	COST	440,110.	649,692.
TOTAL TO FORM 990-PF, PART II, LINE 13		440,110.	649,692.

FORM 990-PF	OTHER ASSETS	STATEMENT	8
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DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
DIVIDENDS & INTEREST RECEIVABLE	0.	344.	344.
TO FORM 990-PF, PART II, LINE 15	0.	344.	344.

FORM 990-PF	LIST OF SUBSTANTIAL CONTRIBUTORS PART VII-A, LINE 10	STATEMENT	9
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NAME OF CONTRIBUTOR	ADDRESS
SAMUEL KRESS FOUNDATION	174 EAST 80TH STREET NEW YORK, NY 10075

FORM 990-PF	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS	STATEMENT	10
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NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN	EXPENSE CONTRIB ACCOUNT
FREDERICK BLAND 232 EAST 11TH STREET NEW YORK, NY 10003	CHAIRMAN - TRUSTEE 3.00	0.	0.	0.
WILLIAM J. HIGGINS 232 EAST 11TH STREET NEW YORK, NY 10003	VICE-CHAIRMAN - TRUSTEE 0.00	0.	0.	0.
ANNE H. VAN INGEN 232 EAST 11TH STREET NEW YORK, NY 10003	TRUSTEE 0.00	0.	0.	0.
PAMELA HAWKES 232 EAST 11TH STREET NEW YORK, NY 10003	TRUSTEE 0.00	0.	0.	0.
AMY FREITAG 232 EAST 11TH STREET NEW YORK, NY 10003	SECRETARY - TRUSTEE 0.00	0.	0.	0.

## THE JAMES MARSTON FITCH CHARITABLE FOUND

13-3993856

THEODORE H. M. PRUDON 232 EAST 11TH STREET NEW YORK, NY 10003	TRUSTEE 0.00	0.	0.	0.
MARTICA SAWIN 232 EAST 11TH STREET NEW YORK, NY 10003	TRUSTEE 0.00	0.	0.	0.
EDWARD MOHYLOWSKI 232 EAST 11TH STREET NEW YORK, NY 10003	TREASURER - TRUSTEE 0.00	0.	0.	0.
CRISTIANA PENA 232 EAST 11TH STREET NEW YORK, NY 10003	EXECUTIVE DIRECTOR 8.00	0.	0.	0.
MARY DIERICKX 232 EAST 11TH STREET NEW YORK, NY 10003	TRUSTEE 0.00	0.	0.	0.
JOHN J. KERR, JR. 232 EAST 11TH STREET NEW YORK, NY 10003	TRUSTEE 0.00	0.	0.	0.
HOLLY LEICHT 232 EAST 11TH STREET NEW YORK, NY 10003	TRUSTEE 0.00	0.	0.	0.
WILLIAM TIPPENS 232 EAST 11TH STREET NEW YORK, NY 10003	TRUSTEE 0.00	0.	0.	0.
GLENN BOORNAZIAN 232 EAST 11TH STREET NEW YORK, NY 10003	TRUSTEE 0.00	0.	0.	0.

TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII

0.	0.	0.
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## 990-PF - SCHEDULE 1

THE JAMES MARSTON FITCH CHAR FOUNDATION  
EIN 13-3993856

	CALENDAR YEAR	2017	2017
	BEGINNING OF YEAR (a) BOOK VALUE	END OF YEAR (b) BOOK VALUE	END OF YEAR FAIR MARKET VALUE
<b>PART II - LINE 13.</b>			
<b>RMA MM PORTFOLIO</b>	<b>\$ 25,903 85</b>	<b>\$ 11,767 04</b>	<b>\$ 11,767 04</b>
<b>COMMON STOCK:</b>			
30 000 ABBOTT LABS	775 75	-	-
101 000 ABBVIE INC (SPIN - OFF)	2,995 36	2,995 36	9,767 71
221 000 ALTRIA GROUP, INC	5,421 48	-	-
200 000 ALTRIA GROUP, INC	-	4,985 31	14,282 00
242 000 APPLE, INC	9,695 27	-	-
227 000 APPLE, INC	-	9,490 77	38,415 21
284 000 AQUA AMER , INC	5,621 18	5,621 18	11,141 32
100 000 BIG FOODS INC CL A	-	4,065 00	3,515 00
64 000 BERKSHIRE HATHAWAY, INC	5,027 75	5,027 75	12,686 08
76 000 BHP BILLITON LTD SPON ADR	5,703 48	-	-
188 000 CISCO SYSTEMS INC	4,379 43	-	-
258 000 CISCO SYSTEMS INC	-	6,611 82	9,881 40
157 000 DAIMLER AG ORD EUR	8,719 59	8,719 59	13,333 53
95 000 DUKE ENERGY CORP NEW	5,695 27	5,695 27	7,990 45
206 000 ENBRIDGE INC	-	6,167 42	8,056 66
93 000 EXXON MOBIL CORP	7,390 11	7,390 11	7,778 52
101 000 GOLDCORP, INC - NEW CAD	3,588 98	-	-
31 000 HALYARD HEALTH INC	1,115 84	-	-
445 000 INTEL CORP	10,889 73	10,889 73	20,541 20
109 000 JOHNSON & JOHNSON	6,952 96	6,952 96	15,229 48
141 000 KELLOGG CO	8,249 80	-	-
131 000 KELLOGG CO	-	7,702 64	8,905 38



## 990-PF - SCHEDULE 1

THE JAMES MARSTON FITCH CHAR FOUNDATION  
EIN 13-3993856

	CALENDAR YEAR	2017	2017
	BEGINNING OF YEAR (a) BOOK VALUE	END OF YEAR (b) BOOK VALUE	END OF YEAR FAIR MARKET VALUE
<b>PART II - LINE 13.</b>			
<b>COMMON STOCK (CONTINUED)</b>			
79 000 KIMBERLY CLARK CORP	\$ 4,892 39	\$ 4,892 39	\$ 9,532 14
83 000 KRAFT FOODS, GROUP INC	4,365 28	-	-
255 000 MERCK & CO, INC	9,460 23	-	-
240 000 MERCK & CO, INC	-	8,961 33	13,504 80
500 000 MICROSOFT CORP	13,173 98	13,173 98	42,770 00
143 000 MONDELEZ INT'L, INC	3,084 83	-	-
120 000 MONDELEZ INT'L, INC	-	2,543 10	5,136 00
124 000 NEXTERA ENERGY INC	7,742 94	7,742 94	19,367 56
304 000 NORDIC AMERICAN TANKERS LTD	3,708 80	-	-
534 000 PFIZER, INC	11,046 11	11,046 11	19,341 48
125 000 PHILIP MORRIS INT'L, INC	10,152 97	10,152 97	13,206 25
110 000 PROCTER & GAMBLE CO	7,954 40	7,954 40	10,106 80
75 000 RIO TINTO PLC SPON ADR	-	3,055 43	3,969 75
97 000 ROYAL DUTCH SHELL PLC ADS REPSTG 2 CL B	7,141 99	-	-
107 000 ROYAL DUTCH SHELL PLC ADS REPSTG 2 CL B	-	7,700 78	7,307 03
45 000 J M SMUCKER CO NEW	2,423 00	2,423 00	5,590 80
210 000 SPECTRA ENERGY CORP	6,185 17	-	-
90 000 STARBUCKS CORP	-	5,257 52	5,168 70
88 000 STRYKER CORP	3,263 90	-	-
80 000 STRYKER CORP	-	2,995 32	12,387 20
110 000 UNILEVER PLC AMER SHS NEW	3,673 40	-	-
90 000 UNILEVER PLC AMER SHS NEW	-	3,011 86	4,980 60

## 990-PF - SCHEDULE 1

THE JAMES MARSTON FITCH CHAR FOUNDATION  
EIN 13-3993856

	CALENDAR YEAR	2017	2017
	BEGINNING OF YEAR (a) BOOK VALUE	END OF YEAR (b) BOOK VALUE	END OF YEAR FAIR MARKET VALUE
<b>PART II - LINE 13.</b>			
<b>COMMON STOCK (CONTINUED)</b>			
90 000 UNITED TECHNOLOGIES CORP	\$ 7,510 74	\$ 7,510 74	\$ 11,481 30
227 000 WASTE MGT INC NEW	7,716 60	7,716 60	19,590 10
395 000 WISDOMTREE INVESTMENTS INC	5,031 99	5,031 99	4,957 25
283 000 XYLEM INC	7,417 26	7,417 26	19,300 60
37 000 YUM CHINA HOLDINGS INC	329 87	-	-
37 000 YUM! BRANDS, INC	764 41	764 41	3,019 57
48 000 3M CO	<u>4,071 36</u>	<u>4,071 36</u>	<u>11,297 76</u>
<b>TOTAL COMMON STOCKS</b>	<u>223,333 60</u>	<u>215,738 40</u>	<u>423,539 63</u>
<b>CLOSED END FUNDS &amp; EXCHANGE TRADED PRODUCTS:</b>			
45 000 ISHARES US PHARMACEUTICALS ETF	2,887 38	-	-
40 000 ISHARES US PHARMACEUTICALS ETF	-	2,633 53	6,175 20
145 000 WISDOMTREE EMERGING MARKETS HIGH DIVIDEND FUND ETF	7,050 53	-	-
155 000 WISDOMTREE EMERGING MARKETS HIGH DIVIDEND FUND ETF	-	7,459 73	7,029 25
96 000 WISDOMTREE EMERGING MARKETS SMALL CAP DIV	4,155 38	-	-
101 000 WISDOMTREE EMERGING MARKETS SMALL CAP DIV	<u>-</u>	<u>4,380 33</u>	<u>5,267 15</u>
<b>TOTAL CLOSED END FUNDS &amp; EXCHANGE TRADED PRODUCTS</b>	<u>14,093 29</u>	<u>14,473 59</u>	<u>18,471 60</u>
<b>OTHER EQUITY INVESTMENTS:</b>			
51 000 PLAINS GP HOLDINGS LP CL A MLP	3,567 39	-	-
71 000 PLAINS GP HOLDINGS LP CL A MLP	<u>-</u>	<u>4,075 97</u>	<u>1,558 45</u>
<b>TOTAL OTHER EQUITY INVESTMENTS</b>	<u>3,567 39</u>	<u>4,075 97</u>	<u>1,558 45</u>

## 990-PF - SCHEDULE 1

THE JAMES MARSTON FITCH CHAR FOUNDATION  
EIN 13-3993856

	CALENDAR YEAR		2017		2017
	BEGINNING OF YEAR (a) BOOK VALUE		END OF YEAR (b) BOOK VALUE		END OF YEAR FAIR MARKET VALUE
<b>PART II - LINE 13.</b>					
<b>FIXED INCOME - CLOSED END MUTUAL FUNDS &amp; EXCHANGE TRADED PRODUCTS:</b>					
789 000 BLACKROCK DEFINED OPPORTUNITY CREDIT TRUST	\$ 10,421 39		\$ -		\$ -
280 000 DOUBLELINE OPPORTUNISTIC CR FD	7,472 29		-		-
280 000 DOUBLELINE OPPORTUNISTIC CR FD	-		7,457 89		6,213 20
985 000 DOUBLELINE INCOME SOLUTIONS FD	18,844 38		-		-
1,085 000 DOUBLELINE INCOME SOLUTIONS FD	-		20,851 61		21,906 15
807 000 ESCROW BLACKROCK DEFINED OPPORTUNITY CREDIT TRUST	-		12 11		12 11 *
700 000 PIMCO DYNAMIC CR AND MORTGAGE INCOME FUND	13,871 32		13,871 32		15,708 00
300 000 WESTERN ASSET INV GRADE DEFINED OPPORTUNITY TR INC	6,075 26		6,075 26		6,858 00
<b>TOTAL FIXED INCOME - CLOSED END MUTUAL FUNDS &amp; EXCHANGE TRADED PRODUCTS</b>	<b>56,684 64</b>		<b>48,268 19</b>		<b>50,697 46</b>
<b>MUTUAL FUNDS:</b>					
4,830 020 DOUBLELINE TOTAL RETURN FUND INSTL	52,731 42		-		-
5,010 571 DOUBLELINE TOTAL RETURN FUND INSTL	-		54,658 21		53,262 36
2,598 070 DOUBLELINE CORE FIXED INCOME FUND CLASS I	27,817 24		-		-
2,673 917 DOUBLELINE CORE FIXED INCOME FUND CLASS I	-		28,647 01		29,332 86
4,742 913 THOMPSON BOND FUND	53,421 75		-		-
4,889 862 THOMPSON BOND FUND	-		55,096 05		55,695 52
<b>TOTAL MUTUAL FUNDS</b>	<b>133,970 41</b>		<b>138,401 27</b>		<b>138,290 74</b>
<b>FIXED INCOME - GOVERNMENT SECURITIES:</b>					
5,000 000 US TREASURY BOND 9% MATURES 11/15/18	7,331 25		7,331 25		5,312 10
Accrued interest	55 93		54 69		54 69
	7,387 18		7,385 94		5,366 79
<b>GRAND TOTAL</b>	<b>\$ 464,940 36</b>		<b>\$ 440,110 40</b>		<b>\$ 649,691 71</b>

\* Market price not available

EIN 13-3993856

## PART XV - LINES 2b,c, &amp; d

JAMES MARSTON FITCH CHARITABLE FOUNDATION  
MID-CAREER GRANT PROGRAMGoals and Objectives

The James Marston Fitch Charitable Foundation, Inc. (the "Foundation") is being established in recognition of the unique contribution of Dr. Fitch to historic preservation in the United States. Its purpose is to advance the study and the practice of the preservation of the historic architectural heritage in the United States. The Foundation advances and supports preservation through a special research grant program as well as through such educational activities as seminars and lectures.

Mid-Career Grant Program

The Foundation will provide annual research grants in historic preservation to mid-career professionals. The grants are intended to support innovative original research and creative design which advance the practice of preservation. Projects must demonstrate usefulness to practitioners and the results must be in publishable form. The grants are to be regarded as incentives for innovative work rather than as a prize for past accomplishments.

Eligibility

Grants will be available to qualified mid-career professionals with established backgrounds in historic preservation or related fields. Candidates must have an advanced or professional degree and 10 years professional experience in historic preservation or in related fields such as architecture, landscape architecture, urban design, planning, law, engineering, archaeology, architectural history and the decorative arts. The project must be relevant and useful to the preservation community in the United States. The Foundation will not discriminate based on race, sex, ethnicity, age, religion, disability or sexual orientation.

Application Process

An announcement of the grant program will be made each year. Approximately seven (7) months before the application is due, a press release describing the Foundation, its goals, and the requirements of the grant program and application will be mailed to approximately two dozen publications in the United States specializing in the field of historic preservation, architecture, architectural history, and related fields as well as to all existing university programs in historic preservation. The announcement will describe the criteria for selection, the details for the proposals and the submission deadline for that year. The administrator's name and the Foundation's address and telephone number will be given in the announcement.

Proposal Requirements

Applicants will be required to submit three (3) copies of their proposals. The proposal must contain: a brief description of the project and the work product, not to exceed three (3) pages; a schedule of work and budget showing how the grant money will be applied; a curriculum vita, including professional and academic background and past and present grants received; and, two (2) letters of support for the project.

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EIN 13-3993856

PART XV - LINES 2b,c, & d

JAMES MARSTON FITCH CHAR. FOUNDATION

Criteria for Selection

- demonstrated need for the proposed study and evidence of its value to the preservation community in the United States
- background, qualifications and professional experience of the applicant
- feasibility of the proposed research, work product, schedule and budget
- complete submittal of all of the requirements

Selection Process

The administrator will answer all inquiries by mail and telephone. No applications will be considered which arrive in person after, or are postmarked after, the deadline stated in the grant announcement. As applications are received, the administrator will log them in and hold them until all the applications are received. The administrator then sends the applications to the Board members and makes sure that everyone has had at least two weeks to review them.

All members of the Board of Directors will review each of the applications and grade them privately, using the letters A, B, C, F, and Does Not Apply. The applications are discussed at a special grant review Board meeting. If it is clear that there will not be a quorum, the meeting date will be rescheduled until a time when there will be a quorum. Board members will discuss each application in that meeting. When a Board member cannot attend, the member will have the option to hand in his or her grading sheet, which is consulted during the discussion at the Board meeting. Members can also consult by telephone. As a result of the discussions, the applications are narrowed to approximately ten (10). At the end of the discussion, the Board members present at the meeting vote to determine who will be awarded the grant(s).

Grant Award

The recipient(s) will be notified by mail. The main award will be divided into four (4) equal payments, to be made by check to the awardee. The first payment is upon award of the grant. Progress reports are required for the second and third payments. The final payment will be made only after the final report is submitted and approved. Projects are required to be completed within twelve (12) months of the grant award, except when an extension is approved by the Board of Directors. A Board member will be assigned to follow the progress of the awardee and to review and approve the progress reports and the final report, in consultation with the administrator and the chairperson.

Final and completed studies are required to consist of one complete original with appropriate illustrations and two complete copies. The Foundation requires that its grant is acknowledged in all publications and reserves the right to publish the results if it chooses to do so. Proper credit will be given to the author/recipient.

**990-PF- SCHEDULE**

THE JAMES MARSTON FITCH CHAR. FOUNDATION  
EIN 13-3993856

CALENDAR YEAR 2017

**PART XV - LINE 3a & 3b**

	PAYMENTS MADE IN PRIOR YEARS	PAYMENTS MADE 2017	AMOUNTS PAYABLE IN FUTURE YEARS	TOTAL GRANT
<b>AWARDEE:</b>				
VICKI WEINER	\$ 18,750	\$ -	\$ 1,250	\$ 20,000
THOMAS J. CAMPANELLA	1,750	1,750	-	3,500
DANIEL SERDA	3,833	-	7,667	11,500
EDGAR GARCIA	3,750	-	3,750	7,500
BEATRIZ DEL CUETO	4,995	-	9,990	14,985
ADRIAN SCOTT FINE	3,233	-	6,467	9,700
AMANDA SCHACTER & ALEXANDER LEVI	3,333	-	1,667	5,000
REBECCA WARD	11,250	-	3,750	15,000
TIM FRANK	9,000	4,720	-	13,720
NADYA NENADICH	5,000	5,000	5,000	15,000
CHRISTINA WALLACE	4,552	9,104	-	13,656
FRAMPTON TOLBERT	-	4,500	8,500	13,000
PAUL KAPP	-	7,750	3,750	11,500
MARK RAKATANSKY	-	5,000	10,000	15,000
	<u>\$ 69,446</u>	<u>\$ 37,824</u>	<u>\$ 61,791</u>	<u>\$ 169,061</u>