

For calendar year 2017, or tax year beginning 01-01-2017, and ending 12-31-2017

Name of foundation THE SERENBETZ FAMILY FOUNDATIONINC		A Employer identification number 13-3993644	
Number and street (or P O box number if mail is not delivered to street address) 695 WEST STREET		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code HARRISON, NY 10528		B Telephone number (see instructions) (914) 698-2251	
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here 2 Foreign organizations meeting the 85% test, check here and attach computation	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 10,834,081		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	441,809			
	2 Check ☐ If the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	253,980	253,980		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	256,362			
	b Gross sales price for all assets on line 6a	1,175,060			
	7 Capital gain net income (from Part IV, line 2)		419,081		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	22,269	22,269		
	12 Total. Add lines 1 through 11	974,420	695,330		
	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	24,429	75		74
	c Other professional fees (attach schedule)	455	0		0
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	4,536	1,932		0
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	9,363	6,819		2,544
	24 Total operating and administrative expenses. Add lines 13 through 23	38,783	8,826		2,618
	25 Contributions, gifts, grants paid	467,000			467,000
	26 Total expenses and disbursements. Add lines 24 and 25	505,783	8,826		469,618
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	468,637			
	b Net investment income (if negative, enter -0-)		686,504		
c Adjusted net income(if negative, enter -0-)					

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value			
Assets	1	Cash—non-interest-bearing		-2		-2	
	2	Savings and temporary cash investments	872,341	906,376		906,376	
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____					
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____					
	5	Grants receivable					
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)					
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____					
	8	Inventories for sale or use					
	9	Prepaid expenses and deferred charges					
	10a	Investments—U S and state government obligations (attach schedule)					
	b	Investments—corporate stock (attach schedule)	467,334	467,334		721,512	
	c	Investments—corporate bonds (attach schedule)	517,885	437,900		464,998	
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____					
	12	Investments—mortgage loans					
	13	Investments—other (attach schedule)	6,636,060	7,136,978		8,722,215	
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____					
15	Other assets (describe ▶ _____)	0	18,982		18,982		
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	8,493,620	8,967,568		10,834,081		
Liabilities	17	Accounts payable and accrued expenses					
	18	Grants payable					
	19	Deferred revenue					
	20	Loans from officers, directors, trustees, and other disqualified persons					
	21	Mortgages and other notes payable (attach schedule)					
	22	Other liabilities (describe ▶ _____)					
	23	Total liabilities (add lines 17 through 22)	0	0			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☐ and complete lines 24 through 26 and lines 30 and 31.						
	24	Unrestricted					
	25	Temporarily restricted					
	26	Permanently restricted					
	Foundations that do not follow SFAS 117, check here ☒ and complete lines 27 through 31.						
	27	Capital stock, trust principal, or current funds	0	0			
	28	Paid-in or capital surplus, or land, bldg, and equipment fund	0	0			
	29	Retained earnings, accumulated income, endowment, or other funds	8,493,620	8,967,568			
30	Total net assets or fund balances (see instructions)	8,493,620	8,967,568				
31	Total liabilities and net assets/fund balances (see instructions) .	8,493,620	8,967,568				

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	8,493,620
2	Enter amount from Part I, line 27a	2	468,637
3	Other increases not included in line 2 (itemize) ▶ _____	3	5,311
4	Add lines 1, 2, and 3	4	8,967,568
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	8,967,568

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	419,081
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	434,366	9,405,656	0 046181
2015	401,341	8,956,346	0 044811
2014	376,400	8,170,816	0 046066
2013	362,826	7,587,560	0 047819
2012	327,736	7,334,484	0 044684
2 Total of line 1, column (d)			2 0 229561
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0 045912
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5			4 10,063,630
5 Multiply line 4 by line 3			5 462,041
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 6,865
7 Add lines 5 and 6			7 468,906
8 Enter qualifying distributions from Part XII, line 4			8 469,618

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	6,865
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	6,865
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	6,865
6	Credits/Payments		
a	2017 estimated tax payments and 2016 overpayment credited to 2017	6a	4,422
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	0
7	Total credits and payments. Add lines 6a through 6d.	7	10,422
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	0
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	3,557
11	Enter the amount of line 10 to be Credited to 2018 estimated tax ☐ 3,557 Refunded ☐	11	0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ 0 (2) On foundation managers ☐ \$ 0		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ NY, NJ		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the taxable year beginning in 2017 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	Yes	
14	The books are in care of STUART W SERENBETZ Telephone no (914) 698-2251			

Located at **695 WEST STREET HARRISON NY** ZIP+4 **10528**

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year 15			
16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country ▶	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐ 1b			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017? ☐ 1c			No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). ☐ 2b			
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017). ☐ Yes ☒ No 3b			
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a			No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017? 4b			No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to				
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).	☐ Yes ☒ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b		
	Organizations relying on a current notice regarding disaster assistance check here.	☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No			
	<i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>				
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	☐ Yes ☒ No	6b	No	
	<i>If "Yes" to 6b, file Form 8870</i>				
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	☐ Yes ☒ No	7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1
List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
See Additional Data Table				

2
Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	Contributions to employee benefit plans and deferred compensation (d)	Expense account, (e) other allowances
NONE				

Total number of other employees paid over \$50,000.

0

3
Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services.

0

Part IX-A
Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B
Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	0
2	
All other program-related investments. See instructions	
3	

Total. Add lines 1 through 3

0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	9,428,598
b	Average of monthly cash balances.	1b	788,285
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	10,216,883
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	10,216,883
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	153,253
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	10,063,630
6	Minimum investment return. Enter 5% of line 5.	6	503,182

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	503,182
2a	Tax on investment income for 2017 from Part VI, line 5.	2a	6,865
b	Income tax for 2017 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	6,865
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	496,317
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	496,317
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	496,317

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	469,618
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	469,618
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	6,865
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	462,753

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				496,317
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only.			462,109	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2017				
a From 2012.				
b From 2013.				
c From 2014.				
d From 2015.				
e From 2016.				
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ \$ 469,618				
a Applied to 2016, but not more than line 2a			462,109	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2017 distributable amount.				7,509
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018				488,808
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2013.				
b Excess from 2014.				
c Excess from 2015.				
d Excess from 2016.				
e Excess from 2017.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling. ▶					
b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)					
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
	(a) 2017	(b) 2016	(c) 2015	(d) 2014	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:	
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2)) See Additional Data Table	
b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest	
2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:	
Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d	
a The name, address, and telephone number or email address of the person to whom applications should be addressed	
b The form in which applications should be submitted and information and materials they should include	
c Any submission deadlines	
d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors	

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	467,000
b <i>Approved for future payment</i>				
Total			3b	0

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount		
1 Program service revenue						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments. . . .						
3 Interest on savings and temporary cash investments						
4 Dividends and interest from securities. . . .			14	253,980		
5 Net rental income or (loss) from real estate						
a Debt-financed property.						
b Not debt-financed property.						
6 Net rental income or (loss) from personal property						
7 Other investment income.			14	22,269		
8 Gain or (loss) from sales of assets other than inventory			18	256,362		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal Add columns (b), (d), and (e). .		0		532,611		0
13 Total. Add line 12, columns (b), (d), and (e). (See worksheet in line 13 instructions to verify calculations)			13			532,611

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII

	Yes	No
--	-----	----

--	--	--

1a(1)	No
--------------	-----------

1a(2)	No
-------	----

--	--	--

1b(1)	No
--------------	-----------

1b(2)		No
--------------	--	-----------

1b(3)		No
--------------	--	-----------

1b(4)		No
--------------	--	-----------

1b(5)		No
--------------	--	-----------

1b(6)		No
--------------	--	-----------

1c		No
----	--	----

value
ue

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations

described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

1	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge
----------	---

***** 2018-11-13 ***** May the IRS discuss this return with the preparer shown

* * * * *

Title

(see instr)? ☒ Yes ☐ No

Paid Preparer Use Only				
	Firm's name ► POOLE & ASSOCIATES LLC			

P01077243

Firm's EIN ►47-1686472

Phone no (212) 679-1007

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
5,277 SH VNGRD EQ INC	P	2015-01-01	2017-11-15
79 SH CABLEA'S INC	P	2015-07-28	2017-01-13
114 SH OLD DOMINON FREIGHT LINE	P	2013-05-17	2017-12-01
128 SH PRICESMART INC	P	2014-11-11	2017-01-05
135 SH TEMPUR-PEDIC INT'L INC	P	2013-06-17	2017-04-17
450 SH TENET HEALTHCARE CORP	P	2013-03-13	2017-04-17
302 SH VISTA OUTDOOR INC	P	2013-04-15	2017-12-31
10 SH ADVANSIX INC	P	2011-09-23	2017-12-15
9 SHS AEGON NV ADR	P	2013-09-13	2017-12-15
47 SH AMGEN INC	P	2012-08-07	2017-12-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
400,000		227,921	172,079
4,558		4,495	63
14,460		4,335	10,125
10,540		10,815	-275
5,491		6,438	-947
7,386		20,295	-12,909
7,283		7,485	-202
402		241	161
55		62	-7
8,314		8,323	-9

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			172,079
			63
			10,125
			-275
			-947
			-12,909
			-202
			161
			-7
			-9

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
49 SH ANADARKO PETE	P	2011-09-23	2017-12-15
40 SH APACHE CORP	P	2011-09-23	2017-12-15
106 SH ARCONIC INC	P	2014-11-11	2017-12-15
62 SH CATERPILAR INC	P	2011-09-23	2017-12-15
119 SH CHENIERE ENEERGY INC	P	2014-11-11	2017-12-15
470 SH CHESAPEAKE ENERGY CORP	P	2014-11-11	2017-12-15
597 CLEVELAND CLIFFS INC	P	2014-11-11	2017-12-15
126 SH CONOCOPHILLIPS	P	2011-09-23	2017-12-15
214 SH CORNING INC	P	2011-09-23	2017-12-15
19 SH DELL TECHNOLOGIES INC	P	2011-03-10	2017-12-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
2,328		2,367	-39
1,574		1,597	-23
2,673		2,658	15
9,132		9,087	45
5,956		5,743	213
1,649		1,779	-130
3,930		3,916	14
6,582		6,531	51
6,855		6,946	-91
1,525		1,525	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-39
			-23
			15
			45
			213
			-130
			14
			51
			-91
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
12 SH DIAMONDBACK ENERGY INC	P	2014-11-11	2017-12-15
16 SH DXC TECHNOLOGY	P	2011-09-23	2017-12-15
93 SH ELI LILLY & CO	P	2012-08-07	2017-12-15
426 SH FORD MOTOR CO	P	2011-09-23	2017-12-15
565 SH FREEPORT-MCMORGAN INC	P	2014-11-11	2017-12-15
42 GOLDMAN SACHS GRP INC	P	2012-08-07	2017-12-15
196 SH HEWLETT PACKARD ENTERPRISES	P	2011-09-23	2017-12-15
60 SH HONEYWELL INTERNATIONAL INC	P	2011-09-23	2017-12-15
196 SH HP INC COM	P	2011-09-23	2017-12-15
74 SH KRAFT HEINZ CO	P	2011-09-23	2017-12-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,320		1,327	-7
1,516		1,517	-1
8,061		8,085	-24
5,380		5,359	21
9,533		9,427	106
10,847		10,788	59
2,820		2,817	3
9,251		9,247	4
4,090		4,083	7
5,883		5,877	6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-7
			-1
			-24
			21
			106
			59
			3
			4
			7
			6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
101 LOWES COMPANIES	P	2012-08-07	2017-12-15
26 SH MICRO FCS INT	P	2011-09-23	2017-12-15
213 MONDELEZ INTL INC	P	2011-09-23	2017-12-15
47 SH MONSANTO CO	P	2011-09-23	2017-12-15
363 SH NABORS INDUSTRIES LTD	P	2014-11-11	2017-12-15
33 SH NEWFIELD EXPL CO	P	2014-11-11	2017-12-15
237 SH NEWMONT MINING CORP	P	2014-11-11	2017-12-15
10 SH NOBLE CORP	P	2014-11-11	2017-12-15
223 SH OASIS PETROLEUM INC	P	2014-11-11	2017-12-15
79 SH OCCIDENTAL PETROLEUM	P	2011-03-10	2017-12-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
8,755		8,002	753
862		868	-6
9,242		9,163	79
5,509		5,519	-10
1,992		2,206	-214
921		938	-17
8,517		8,547	-30
31		38	-7
1,742		1,759	-17
5,590		5,589	1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			753
			-6
			79
			-10
			-214
			-17
			-30
			-7
			-17
			1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
69 SH PHILLIPS 66 COM	P	2011-09-23	2017-12-15
86 SH ROWAN COMPANIES PLC	P	2013-11-11	2017-12-15
66 SH STATE STREET CORP	P	2011-09-23	2017-12-15
86 SH TARGET CORP	P	2011-09-23	2017-12-15
401 SH TRANSOCEAN L	P	2014-11-11	2017-12-15
125 SH US BANCORP COM	P	2012-08-07	2017-12-15
85 SH UNION PACIFIC CORP	P	2011-03-10	2017-12-15
75 SH UNITED STS STL CP	P	2014-11-11	2017-12-15
80 SH UNITED TECHNOLOGIES CORP	P	2011-03-10	2017-12-15
97 SH US SILICA HLDGS INC	P	2014-11-11	2017-12-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
6,905		6,900	5
1,157		1,164	-7
6,533		6,231	302
5,411		5,657	-246
3,821		3,797	24
6,848		6,822	26
11,092		11,094	-2
2,387		2,369	18
10,103		10,024	79
3,357		3,320	37

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			5
			-7
			302
			-246
			24
			26
			-2
			18
			79
			37

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
34 SH VALERO ENERGY CP DELA	P	2014-11-11	2017-12-15
673 SH WEATHFORD INTERNATIONAL	P	2014-11-11	2017-12-15
38 SH WHITING PETROLEUM	P	2014-11-11	2017-12-19
183 SH ABBOTT LAB	P	2002-07-11	2017-12-15
20 SH ABERCROMBIE &FITCH CO	P	2004-12-17	2017-12-15
50,000 SH ABN AMRO BANK	P	2013-05-14	2017-09-13
120 SH AFLAC INCORPORATED	P	2002-07-11	2017-12-15
37 SH ALCOA CORP	P	2000-11-02	2017-12-15
12 SH ALLSTATE CORP	P	2000-11-02	2017-12-15
17 SH AMER INTL GP	P	2004-12-17	2017-12-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,977		2,990	-13
2,224		2,258	-34
901		895	6
10,152			10,152
332		156	176
50,000		53,243	-3,243
10,714		805	9,909
1,567		1,559	8
1,243			1,243
1,004		1,010	-6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-13
			-34
			6
			10,152
			176
			-3,243
			9,909
			8
			1,243
			-6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
8 SH AMERIPRISES FINANCIAL INC	P	2004-12-17	2017-12-15
25,000 SH AMGEN INC	P	2008-02-28	2017-06-01
152 SH APPLIED MATERIAL INC	P	2006-06-16	2017-12-15
10 SH AUTOMATIC DATA PROCESSING INC	P	2000-06-01	2017-12-15
25 SH BANK OF NEW YORK MELLON	P	1999-12-09	2017-12-15
49 SH BB & T CORP	P	2001-03-21	2017-12-15
45 SH BHP BILLITON LTD	P	2009-12-09	2017-12-15
25 SH BLACK KNIGHT INC	P	2005-10-18	2017-12-19
157 SH BRADY CL A	P	2004-09-28	2017-12-15
128 SH BROWN & BROWN INC	P	2003-11-20	2017-12-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,343		31	1,312
25,000		25,142	-142
7,983		1,976	6,007
1,177		40	1,137
1,356		3	1,353
2,437		1,879	558
1,936		85	1,851
1,105			1,105
6,192		6,151	41
6,587		195	6,392

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			1,312
			-142
			6,007
			1,137
			1,353
			558
			1,851
			1,105
			41
			6,392

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
32 SH CADENCE DESIGN SYSTEM	P	2002-07-11	2017-12-15
33 SH CANNAE HLDGS INC	P	2005-10-18	2017-12-19
70 SH CAPITAL CITY BANK GROUP	P	2006-06-16	2017-12-15
39 SH CONAGRA BRANDS INC	P	2000-03-31	2017-12-15
108 SH EATON VANCE CP	P	2006-06-16	2017-12-15
10 SH ENERGY CORP	P	2002-12-23	2017-12-15
39 SH FEDERATED INVESTORS INC	P	2000-06-01	2017-12-15
37 SH FEDEX CORP	P	2000-06-01	2017-12-15
84 SH FIDELITY NATIONAL FINANCIAL IN	P	2005-10-18	2017-12-15
8 SH FRONTIER COMMUNICATIONS CORP	P	2002-07-11	2017-12-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,387		153	1,234
546			546
1,705		881	824
1,464		634	830
6,069		2,559	3,510
832		213	619
1,379		21	1,358
8,876		1,010	7,866
3,334		3,328	6
61			61

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			1,234
			546
			824
			830
			3,510
			619
			1,358
			7,866
			6
			61

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
54 SH GENERAL MILLS INC	P	2000-12-21	2017-12-15
10 SH GENL DYNAMICS CORP	P	2000-06-01	2017-12-15
127 SH HALYARD HEALTH INC	P	2003-03-17	2017-12-15
32 SH HARTFORD FIN SERS GRP INC	P	2004-09-28	2017-12-15
50 SH SCHEIN INC	P	2001-03-21	2017-12-15
84 SH HSBC HOLDINGS PLC	P	2004-09-28	2017-12-15
10 SH HUNTINGTON INGALLS INDUSTRIES	P	2000-11-02	2017-12-15
10 SH J ALEXANDER HLDHS INC	P	2005-10-18	2017-12-15
183 SH JOHNSON CTLS INTL	P	2005-06-24	2017-12-15
116 SH KEMPER CORP DEL	P	2002-07-11	2017-12-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
3,076		27	3,049
1,992		192	1,800
6,067		61	6,006
1,787		1,780	7
3,517			3,517
4,212		256	3,956
2,379			2,379
94		101	-7
6,797		6,796	1
8,136		37	8,099

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			3,049
			1,800
			6,006
			7
			3,517
			3,956
			2,379
			-7
			1
			8,099

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
13 LAMB WESTON HLDGS INC	P	2000-03-31	2017-12-15
2 SH LENNAR CORP	P	2004-09-28	2017-12-19
117 SH LENNAR CORP	P	2004-09-28	2017-12-19
38 SH MANULIFE FINANCIAL CORP	P	2001-12-20	2017-12-15
20 SH MASCO CORP	P	2000-12-21	2017-12-15
174 SH NEW YORK COMMUNITY BANCORP INC	P	2002-12-23	2017-12-15
15 SH NORTHROP GRUMMAN CP	P	2000-11-02	2017-12-19
461 GLATFELTER	P	2006-06-16	2017-12-15
477 SH PA RL EST INVT	P	2006-03-17	2017-12-15
10 SH PIPER JAFFRAY	P	2000-02-04	2017-12-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
714		195	519
92			92
7,275		1,992	5,283
783		708	75
840		6	834
2,297		2,272	25
4,622		6	4,616
9,370		4,322	5,048
5,356		5,335	21
789		253	536

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			519
			92
			5,283
			75
			834
			25
			4,616
			5,048
			21
			536

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
339 SONIC CORP	P	2003-05-09	2017-12-15
1134 SH SOUTHWESTERN ENERGY COMPANY	P	2006-03-17	2017-12-15
43 SH SUNTRUST BKS	P	2009-12-09	2017-12-15
10 SH J M SMUCKER COMPANY	P	2009-12-09	2017-12-15
72 SH TORONTO DOM BK	P	2008-03-31	2017-12-15
10 SH TRAVELERS COM	P	2000-08-18	2017-12-15
9 SH VALMONT INDUSTRIES	P	2000-02-04	2017-12-15
123 SH WALGREENS BOOTS	P	2004-05-25	2017-12-15
19 SH WASTE CONNECTIONS INC	P	2000-08-18	2017-12-15
26 SH WEYERHAEUSER CO	P	2001-12-20	2017-12-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
9,578		573	9,005
5,907		2,595	3,312
2,814		12	2,802
1,190		351	839
4,024		4,033	-9
1,343		73	1,270
1,458		49	1,409
8,858		674	8,184
1,337		1,336	1
929		674	255

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			9,005
			3,312
			2,802
			839
			-9
			1,270
			1,409
			8,184
			1
			255

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
14 SH ZIMMER BIOMET HLDGS	P	2009-12-09	2017-12-15
18 SH ADIENT PLC	P	2016-01-01	2017-12-15
51 SH ARCHER DANIELS MIDLAND	P	2016-01-01	2017-12-15
132 SH AVID TECHNOLOGY INC	P	2016-01-01	2017-12-15
18 SH BEST BUY	P	2016-01-01	2017-12-15
44 SH BOB EVANS FARMS INC	P	2016-01-01	2017-12-15
10 SH CINTAS CORP	P	2016-01-01	2017-12-15
47 SH DAEDEN RESTURANTS	P	2016-01-01	2017-12-15
10 SH ENBRIDGE INC	P	2016-01-01	2017-12-15
134 SH FOREST CITY RLTY	P	2016-01-01	2017-12-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,607		6	1,601
1,420		1,439	-19
2,072			2,072
783			783
1,166			1,166
3,437			3,437
1,578			1,578
4,176			4,176
381			381
3,274			3,274

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,601
			-19
			2,072
			783
			1,166
			3,437
			1,578
			4,176
			381
			3,274

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
25 SH FOUR CORNERS PPTY	P	2016-01-01	2017-12-15
10 SH MALLINCKRODT PUBLIC LTD	P	2016-01-01	2017-12-15
59 SH NAVIENT CORP	P	2016-01-01	2017-12-15
105 SH OFFICE DEPOT	P	2016-01-01	2017-12-15
10 SH PARKER HANNIFIN CORP	P	2016-01-01	2017-12-15
10 SH PENTAIR PLC	P	2016-01-01	2017-12-15
52 SH REGIONS FINANCIAL CORP	P	2016-01-01	2017-12-15
67 SH SLM CORPORATION	P	2016-01-01	2017-12-15
23 SH SYMANTEC CORP	P	2016-01-01	2017-12-15
20 SH TE CONNECTIVITY LTD	P	2016-01-01	2017-12-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
654			654
228			228
774			774
355			355
1,938			1,938
680			680
890			890
756			756
660			660
1,920			1,920

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			654
			228
			774
			355
			1,938
			680
			890
			756
			660
			1,920

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
48 SH TELEPHONE AND DATA SYSTEMS INC	P	2016-01-01	2017-12-15
12 SH TENET HEALTHCARE CORP	P	2016-01-01	2017-12-15
89 SH WORLD FUEL SERVICES CORP	P	2014-01-01	2017-12-15
8 SHS CRACKER BARREL OLD CTRY STORE	P	2016-10-20	2017-01-12
25 SHS CROWN CASTLE INTL CORP	P	2016-11-17	2017-11-16
DIGITAL REALTY TRUST INC	P	2016-10-19	2017-09-14
ENBRIDGE INC	P	2016-12-18	2017-02-28
9 SHS INTL BUSINESS MACHINES CORP	P	2016-07-20	2017-01-26
1 SHS L BRANDS INC COM	P	2017-08-28	2017-11-06
NATIONAL GRID PLC	P	2017-03-23	2017-05-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,255			1,255
165			165
2,472			2,472
1,264		1,055	209
2,780		2,126	654
18		12	6
5		5	0
1,604		1,453	151
48		36	12
52		52	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,255
			165
			2,472
			209
			654
			6
			0
			151
			12
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
PITNEY BOWES INC	P	2016-01-01	2017-12-31
WESTERN DIGITAL CORP	P	2016-07-08	2017-01-26
ABBOTT LABORATORIES	P	2016-06-23	2017-12-06
ABBVIE INC COM	P	2016-01-01	2017-12-31
AT & T INC	P	2016-01-01	2017-12-31
DIGITAL REALTY TRUST INC	P	2016-01-01	2017-12-31
DOMTAR CORP	P	2016-01-01	2017-12-31
GENERAL ELECTRIC CO	P	2016-01-01	2017-12-31
GLAXOSMITHKLINE PLC ADR	P	2016-01-01	2017-12-31
JOHNSON & JOHNSON	P	2016-01-01	2017-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
5,098		7,794	-2,696
4,736		2,998	1,738
7,968		5,742	2,226
4,996		4,142	854
7,479		7,280	199
5,172		2,667	2,505
5,581		6,478	-897
8,595		7,348	1,247
6,953		9,082	-2,129
6,610		7,539	-929

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-2,696
			1,738
			2,226
			854
			199
			2,505
			-897
			1,247
			-2,129
			-929

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
L BRANDS INC COM	P	2016-02-11	2017-11-06
LAMAR ADVERTISING CO NEW	P	2014-10-15	2017-01-26
LYONDELLASELL NV	P	2014-11-21	2017-01-26
MICROCHIP TECH	P	2016-01-01	2017-12-31
NOVARTIS AG ADR	P	2016-01-01	2017-12-31
OMEGA HEALTHCARE	P	2016-01-01	2017-12-31
ONEOK INC NEW	P	2016-01-01	2017-12-31
PFIZER INC	P	2016-01-01	2017-12-31
PLAINS GP HLDGS LP	P	2016-07-14	2017-08-28
PROCTER & GAMBLE	P	2016-01-01	2017-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
3,347		5,885	-2,538
460		273	187
382		354	28
11,184		5,888	5,296
4,698		5,423	-725
2,416		2,622	-206
5,175		5,504	-329
2,067		2,072	-5
5,410		6,937	-1,527
6,376		5,020	1,356

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-2,538
			187
			28
			5,296
			-725
			-206
			-329
			-5
			-1,527
			1,356

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
QUALCOMM INC	P	2016-02-17	2017-07-17
VODAFONE GROUP PLC	P	2016-01-01	2017-12-31
JOHNSON & JOHNSON	P	2016-01-01	2017-12-31
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,109		2,598	511
2,602		4,543	-1,941
11,745		8,587	3,158
84,804			84,804

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			511
			-1,941
			3,158
			84,804

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
THELMA R SERENBETZ	TRUSTEE 0 00	0	0	0
695 WEST STREET HARRISON, NY 10528				
JEAN B SERENBETZ	SEC'Y/TREAS & TRUSTEE 0 00	0	0	0
526 CLARET WAY JOHNS ISLAND, SC 29455				
WARREN L SERENBETZ JR	TRUSTEE 0 00	0	0	0
165 SIGNAL HILL RD NORTH WILTON, CT 06897				
CYNTHIA L SERENBETZ	VICE PRESIDENT AND TRUSTEE 0 00	0	0	0
165 SIGNAL HILL RD NORTH WILTON, CT 06897				
STUART W SERENBETZ	TRUSTEE 0 00	0	0	0
526 CLARET WAY JOHNS ISLAND, SC 29455				
CHRISTIN E WEBERMAN	TRUSTEE 0 00	0	0	0
29 RIVERFIELD DRIVE FAIRFIELD, CT 06824				
WARREN L SERENBETZ III	TRUSTEE 0 00	0	0	0
22509 49TH AVENUE SE BOTHELL, WA 98021				
ROBERT C SERENBETZ	TRUSTEE 0 00	0	0	0
356 WEST 23RD STREET APT 3 C NEW YORK, NY 10011				
W TUCKER SERENBETZ	TRUSTEE 0 00	0	0	0
4150 17TH STREET 24 SAN FRANCISCO, CA 94114				
G HUNTER SERENBETZ	TRUSTEE 0 00	0	0	0
500 WEST 23RD STREET APT 7H NEW YORK, NY 10011				
M SKYLER SERENBETZ	TRUSTEE 0 00	0	0	0
6 HICKORY DRIVE STAMFORD, CT 06902				
PAUL H SERENBETZ	PRESIDENT & TRUSTEE 0 00	0	0	0
16 SEAVIEW AVE EAST HAVEN, CT 06512				

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).

WARREN L SERENBETZ JR
STUART W SERENBETZ

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FAMILY AND CHILDREN'S AGENCY INC 9 MOTT AVENUE NORWALK, CT 06850	NONE	PUBLIC CHARITY	FAMILY PROGRAMS AND CHILDCARE	45,000
GEORGE WASHINGTON CARVER COMMUNITY CENTER 7 ACADEMY STREET NORWALK, CT 06850	NONE	PUBLIC CHARITY	FAMILY COMMUNITY CENTERS	35,000
HORIZONS STUDENT ENRICHMENT PROGRAM 545 PONUS RIDGE NEW CANAAN, CT 06840	NONE	PUBLIC CHARITY	EDUCATION- LOW INCOME FAMILIES	88,000
Total 				467,000
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
HUDSON RIVER COMMUNITY SAILINGINC PO BOX 1800 NEW YORK, NY 10156	NONE	PUBLIC CHARITY	COMMUNITY WORK	10,000
REACH PREP ONE DOCK STREET SUITE 100 STAMFORD, CT 06902	NONE	PUBLIC CHARITY	EDUCATION-UNDERPRIVILEGED CHILDREN	80,000
ST VINCENT'S SERVICESHEARTSHARE 66 BOERUM PLACE BROOKLYN, NY 11201	NONE	PUBLIC CHARITY	EDUCATIONAL AND CHILDCARE	45,000
Total ► 3a				467,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
TAFT SCHOOL110 WOODBURY RD WATERTOWN, CT 06795	NONE	PUBLIC CHARITY	EDUCATION-CHILDRENE EDUCATION OPPORTUNITY	3,000
THE HARLEM ACADEMY1330 5TH AVE NEW YORK, NY 10026	NONE	PUBLIC CHARITY	CULTURAL AND EDUCATIONAL PROGRAMS	46,000
WATERSIDE SCHOOL 535 FAIRFIELD AVENUE STAMFORD, CT 06902	NONE	PUBLIC CHARITY	EDUCATION-CHILDREN	90,000
Total 				467,000
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
FIRST GRADUATE 3130 20TH STREET SUITE 275 SAN FRANCISCO, CA 94110	NONE	PUBLIC CHARITY	EDUCATION	25,000
Total ▶ 3a				467,000

TY 2017 Accounting Fees Schedule**Name:** THE SERENBETZ FAMILY FOUNDATIONINC**EIN:** 13-3993644**Accounting Fees Schedule**

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
REGISTERED AGENT FEE	149	75		74
ACCOUNTING	24,280	0		0

TY 2017 Investments Corporate Bonds Schedule**Name:** THE SERENBETZ FAMILY FOUNDATIONINC**EIN:** 13-3993644**Investments Corporate Bonds Schedule**

Name of Bond	End of Year Book Value	End of Year Fair Market Value
GOLDMAN SACHS BONDS	22,380	31,465
TARGET BONDS	25,006	25,032
PITNEY BOWES BONDS	30,051	30,150
ASSOCIATES CORP BONDS	30,471	31,136
HARTFORD FINL GRP BONDS	30,781	31,974
ANGLOGOLD HOLDINGS BONDS	40,901	41,790
GOLDMAN SACHS BONDS	30,145	32,514
HEWLETT PACKARD BONDS	30,550	31,887
ING BANK NV BONDS 9/25/2023	51,839	56,163
LEUCADIA NATIONAL BONDS	95,631	102,254
ING BANK NV BONDS 11/21/2023	50,145	50,633

TY 2017 Investments Corporate Stock Schedule**Name:** THE SERENBETZ FAMILY FOUNDATIONINC**EIN:** 13-3993644

Name of Stock	End of Year Book Value	End of Year Fair Market Value
BRISTOL-MYERS SQUIBB CO	25,498	61,280
XCEL ENERGY INC	9,317	24,055
J HANCOCK PATRIOT PREM DIV FUND(M/S)	182,380	248,368
DEUTSCHE TELEKOM AG	50,139	76,967
VANGUARD DIVIDEND GROWTH FUND	200,000	310,842

TY 2017 Investments - Other Schedule

Name: THE SERENBETZ FAMILY FOUNDATIONINC
EIN: 13-3993644

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
VANGUARD REIT INDEX FUND	FMV	267,353	365,882
VANGUARD SMALL-CAP VALUE IDX FD	FMV	91,849	236,193
T R PRICE CAPITAL APP FUND(V/B)	FMV	300,070	417,255
VANGUARD INT'L VALUE FUND	FMV	350,000	373,378
VANGUARD SHORT TERM INVESTMENT GRADE FUND	FMV	300,476	304,155
VANGUARD TOTAL STOCK MARKET FUND	FMV	100,000	228,246
VANGUARD WELLINGTON ADVANTAGE FUND	FMV	425,000	548,485
VANGUARD DEVELOPING MKTS INDEX FD	FMV	375,000	439,247
ALTERNATIVE INVESTMENT PARTNERS ABSOLUTE RETURN FUND	FMV	375,251	375,251
VANGUARD EQUITY INCOME FUND	FMV	368,079	664,299
LORD ABBOTT SHT DURATION TST	FMV	512,661	494,375
OPPENHEIMER INTL BOND FD CLASS A	FMV	307,496	287,120
T R PRICE EQUITY INCOME FUND(V/B)	FMV	100,000	150,714
RECEIVABLE FROM MORGAN STANLEY	FMV	4,750	4,750
VANGUARD INFORMATION TECHNOLOGY FUND	FMV	199,394	543,609
LONDON COMPANY ADVISORS(M/S)	FMV	346,792	480,183
MILLER HOWARD ADVISORS(M/S)	FMV	297,757	341,819
TWEEDY BROWNE GLOBAL FD(V/B)	FMV	300,000	337,834
VIRTUS INSIGHT EMG MKTS FD	FMV	330,448	374,360
VANGUARD HEALTH CARE	AT COST	96,365	91,878
VANGUARD SELECT VALUE FUND	AT COST	60,886	75,366
UBS ALERIAN	AT COST	200,300	181,425
SKYBRIDGE MULTI -ADSR HF	AT COST	433,789	397,484
IRONWOOD MULTI STRAT	AT COST	515,221	526,819
LEGG MASON INC BOND	AT COST	25,615	26,787
ACCRUED INTEREST PURCHASED	AT COST	143	143
ISHARES MSCI EUROZONE(EZU)	AT COST	299,898	301,665
ISHARES MSCI JAPAN(EWJ)	AT COST	100,003	102,001
GENERAL MOTORS FIN CO	AT COST	52,382	51,492

TY 2017 Other Assets Schedule

Name: THE SERENBETZ FAMILY FOUNDATIONINC

EIN: 13-3993644

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
DIVIDEND RECEIVAVLE		18,982	18,982

TY 2017 Other Expenses Schedule**Name:** THE SERENBETZ FAMILY FOUNDATIONINC**EIN:** 13-3993644**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ADVISORY FEES-M/S MILLER HOWARD	2,887	2,887		0
AMORTIZATION EXPENSE	2,544	0		2,544
ADVISORY FEES-M/S LONDON	3,932	3,932		0

TY 2017 Other Income Schedule**Name:** THE SERENBETZ FAMILY FOUNDATIONINC**EIN:** 13-3993644**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
AIP ABSOLUTE RETURN FUND STS	9,665	9,665	9,665
OTHEE INCOME VANGUARD	12,604	12,604	12,604

TY 2017 Other Increases Schedule

Name: THE SERENBETZ FAMILY FOUNDATIONINC
EIN: 13-3993644

Description	Amount
PRIOR YEAR UNREALIZED GAIN ON HEDGEFUNDS	5,311

TY 2017 Other Professional Fees Schedule**Name:** THE SERENBETZ FAMILY FOUNDATIONINC**EIN:** 13-3993644

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK SERVICE CHARGES	455	0		0

TY 2017 Taxes Schedule**Name:** THE SERENBETZ FAMILY FOUNDATIONINC**EIN:** 13-3993644

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAXES	1,810	0		0
FOREIGN TAXES IMPOSED ON DIVIDEND INCOME	2,726	1,932		0

efile GRAPHIC print - DO NOT PROCESS		As Filed Data -		DLN: 93491318022698	
Schedule B (Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service		Schedule of Contributors ▶ Attach to Form 990, 990-EZ, or 990-PF ▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990			OMB No 1545-0047
					2017
Name of the organization THE SERENBETZ FAMILY FOUNDATIONINC				Employer identification number 13-3993644	

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)() (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization THE SERENBETZ FAMILY FOUNDATIONINC	Employer identification number 13-3993644
---	---

Part I Contributors (See instructions) Use duplicate copies of Part I if additional space is needed			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	PAUL H SERENBETZ 16 SEAVIEW AVENUE EAST HAVEN, CT06512	\$ 4,000	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
2	STUART JEAN SERENBETZ 526 CLARET WAY JOHNS ISLAND, SC29455	\$ 1,000	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
3	HICKERY ENTERPRISES LP 165 SIGNAL ROAD WILTON, CT06987	\$ 436,809	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
.		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
.		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
.		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Employer identification number

13-3993644

Part II

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
 	 	\$ 	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
 	 	\$ 	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
 	 	\$ 	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
 	 	\$ 	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
 	 	\$ 	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
 	 	\$ 	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
 	 	\$ 	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
 	 	\$ 	

Name of organization THE SERENBETZ FAMILY FOUNDATIONINC	Employer identification number 13-3993644
---	---

Part III	Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ _____ Use duplicate copies of Part III if additional space is needed
-----------------	--

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee