

Form **990-PF****Return of Private Foundation**

OMB No 1545-0052

2017Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation
Do not enter social security numbers on this form as it may be made public.
Go to www.irs.gov/Form990PF for instructions and the latest information.

Open to Public Inspection

For calendar year 2017 or tax year beginning

, 2017, and ending

, 20

Name of foundation **THE HAROLD W. SIEBENS CHARITABLE
FOUNDATION, INC.**A Employer identification number
13-3666768

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

B Telephone number (see instructions)

C/O MCDERMOTT WILL & EMERY LLP**(212) 547-5699**

City or town, state or province, country, and ZIP or foreign postal code

NEW YORK, NY 10173-1922

G Check all that apply.

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name change

H Check type of organization

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at

end of year (from Part II, col (c), line

16) \$ 5,509,984.

J Accounting method

☐ Cash☒ Accrual☐ Other (specify)

(Part I, column (d) must be on cash basis)

C If exemption application is
pending, check here. ☐ **6**D 1 Foreign organizations, check here. ☐2. Foreign organizations meeting the
85% test, check here and attach
computation ☐E If private foundation status was terminated
under section 507(b)(1)(A), check here. ☐F If the foundation is in a 60-month termination
under section 507(b)(1)(B), check here. ☐**Part I Analysis of Revenue and Expenses** (The
total of amounts in columns (b), (c), and (d)
may not necessarily equal the amounts in
column (a) (see instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)	7,264,595.			
2 Check ☐ if the foundation is not required to attach Sch. B.				
3 Interest on savings and temporary cash investments.	10,422.	10,422.		ATCH 1
4 Dividends and interest from securities				
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10				
b Gross sales price for all assets on line 6a		0.		
7 Capital gain net income (from Part IV, line 2)				
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	7,275,017.	10,422.		
13 Compensation of officers, directors, trustees, etc.	0.			
14 Other employee salaries and wages	566,913.			566,913.
15 Pension plans/employee benefits	31,749.			31,749.
16a Legal fees (attach schedule) ATCH 2	17,021.			17,021.
b Accounting fees (attach schedule) ATCH 3	9,600.			9,600.
c Other professional fees (attach schedule)				
17 Interest				
18 Taxes (attach schedule) (see instructions) ATCH 4	1,936.	1,936.		
19 Depreciation (attach schedule) and depletion	2,577.			
20 Occupancy	21,018.			21,002.
21 Travel, conferences, and meetings	31,995.			31,995.
22 Printing and publications				
23 Other expenses (attach schedule) ATCH 5	45,354.	300.		45,054.
24 Total operating and administrative expenses Add lines 13 through 23.	728,163.	2,236.		723,334.
25 Contributions, gifts, grants paid	6,326,420.			6,326,420.
26 Total expenses and disbursements. Add lines 24 and 25	7,054,583.	2,236.	0.	7,049,754.
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	220,434.			
b Net investment income (if negative, enter -0-)		8,186.		
c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Beginning of year	End of year	
			(a) Book Value	(b) Book Value
Assets	1 Cash - non-interest-bearing	237,556.	263,765.	263,765.
	2 Savings and temporary cash investments	5,046,058.	5,238,922.	5,238,922.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	2,602.	1,582.	1,582.
	10a Investments - U S and state government obligations (attach schedule)			
	b Investments - corporate stock (attach schedule)			
	c Investments - corporate bonds (attach schedule)			
Liabilities	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments - mortgage loans			
	13 Investments - other (attach schedule)			
	14 Land, buildings, and equipment basis ▶	21,842.		
	Less accumulated depreciation (attach schedule) ▶	16,127.	535.	5,715.
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	5,286,751.	5,509,984.	5,509,984.
	17 Accounts payable and accrued expenses	12,793.	12,464.	
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
Net Assets or Fund Balances	23 Total liabilities (add lines 17 through 22)	12,793.	12,464.	
	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26, and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	5,273,958.	5,497,520.	
	30 Total net assets or fund balances (see instructions)	5,273,958.	5,497,520.	
	31 Total liabilities and net assets/fund balances (see instructions)	5,286,751.	5,509,984.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,273,958.
2 Enter amount from Part I, line 27a	2	220,434.
3 Other increases not included in line 2 (itemize) ▶	3	3,128.
4 Add lines 1, 2, and 3	4	5,497,520.
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	5,497,520.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 a				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e) Do not complete this part

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	7,184,556.	5,407,085.	1.328730
2015	7,423,522.	5,559,877.	1.335195
2014	6,179,534.	3,775,642.	1.636684
2013	5,784,341.	3,743,427.	1.545199
2012	5,679,966.	3,599,627.	1.577932

2 Total of line 1, column (d)	2	7.423740
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	1.484748
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	4	4,782,580.
5 Multiply line 4 by line 3.	5	7,100,926.
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	82.
7 Add lines 5 and 6.	7	7,101,008.
8 Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	7,049,754.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)	1	164.
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	2	
3	Add lines 1 and 2	3	164.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	164.
6	Credits/Payments		
a	2017 estimated tax payments and 2016 overpayment credited to 2017	6a	798.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	798.
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	634.
11	Enter the amount of line 10 to be Credited to 2018 estimated tax ☐ 634. Refunded ☐	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions ☐ IA, MO, NY, TX,		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the tax year beginning in 2017? See the instructions for Part XIV. If "Yes," complete Part XIV.		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	X	
14 The books are in care of ▶ DON HOBSAWN Telephone no ▶ 403-243-7644 Located at ▶ 200-815-17 AVE. SW, CALGARY, AB, CANADA ZIP+4 ▶ T2T-0A1		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15		
16 At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?		X
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions		
Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? ☐ Yes ☒ No If "Yes," list the years ▶		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)		
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017)		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year, did the foundation pay or incur any amount to		Yes	No
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes	☒ No	
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes	☒ No	
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes	☒ No	
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	☐ Yes	☒ No	
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes	☒ No	
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.	5b		
	Organizations relying on a current notice regarding disaster assistance, check here	☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes	☐ No	
	If "Yes," attach the statement required by Regulations section 53.4945-5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes	☒ No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		
	If "Yes" to 6b, file Form 8870			X
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes	☒ No	
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation. See instructions.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 6		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 7		566,913.	0.	2,703.

Total number of other employees paid over \$50,000. ☐

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3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

Part IX-A Summary of Direct Charitable Activities

Expenses

1	NONE	
2		
3		
4		

Part IX-B Summary of Program-Related Investments (see instructions)

Amount

1	NONE	
2		
All other program-related investments See instructions		
3	NONE	
Total. Add lines 1 through 3		

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	
b	Average of monthly cash balances	1b	4,855,411.
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	4,855,411.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	4,855,411.
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	72,831.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	4,782,580.
6	Minimum investment return. Enter 5% of line 5	6	239,129.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	239,129.
2a	Tax on investment income for 2017 from Part VI, line 5	2a	164.
b	Income tax for 2017 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	164.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	238,965.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	238,965.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	238,965.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	7,049,754.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	7,049,754.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b See instructions	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	7,049,754.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

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Page **9****Part XIII Undistributed Income (see instructions)**

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				238,965.
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only.				
b Total for prior years 20 <u>15</u> , 20 <u>14</u> , 20 <u>13</u>				
3 Excess distributions carryover, if any, to 2017				
a From 2012	5,501,243.			
b From 2013	5,598,098.			
c From 2014	5,990,752.			
d From 2015	7,145,528.			
e From 2016	6,914,202.			
f Total of lines 3a through e	31,149,823.			
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ \$ <u>7,049,754.</u>				
a Applied to 2016, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions).				
c Treated as distributions out of corpus (Election required - see instructions).				
d Applied to 2017 distributable amount.				238,965.
e Remaining amount distributed out of corpus.	6,810,789.			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	37,960,612.			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018.				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions)	5,501,243.			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	32,459,369.			
10 Analysis of line 9				
a Excess from 2013	5,598,098.			
b Excess from 2014	5,990,752.			
c Excess from 2015	7,145,528.			
d Excess from 2016	6,914,202.			
e Excess from 2017	6,810,789.			

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Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling **▶**

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☒ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2017	(b) 2016	(c) 2015	(d) 2014	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets.					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(ii)					
(3) Largest amount of support from an exempt organization.					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** *(continued)***3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year ATCH 8				
Total			3a	6,326,420.
b Approved for future payment ATCH 9				
Total			3b	4,431,610.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a PROGRAM					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments .			14	10,422.	
4 Dividends and interest from securities					
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events . . .					
10 Gross profit or (loss) from sales of inventory . .					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				10,422.	
13 Total. Add line 12, columns (b), (d), and (e)				13	10,422.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a	Transfers from the reporting foundation to a noncharitable exempt organization of		
	(1) Cash	1a(1)	X
	(2) Other assets	1a(2)	X
b	Other transactions		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X
	(4) Reimbursement arrangements	1b(4)	X
	(5) Loans or loan guarantees	1b(5)	X
	(6) Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true correct and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date 5/14/2018

► Director
Title

May the IRS discuss this return with the preparer shown below?

See instructions ☒ Yes ☐ No

Paid
Preparer
Use Only

Print/Type preparer's name
MARY-EVELYN ANTONETTI

Preparer's signature *W. C. Culp*

Date
5/14/2018

Check ☐ if self-employed	PTIN P00431862
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Firm's name	▶ KPMG LLP
Firm's address	▶ 345 PARK AVENUE NEW YORK, NY

	Firm's EIN ▶ 13-5565207
10154-0102	Phone no 212-758-9700

Schedule B(Form 990, 990-EZ,
or 990-PF)
Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

OMB No 1545-0047

2017▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.**Name of the organization**THE HAROLD W. SIEBENS CHARITABLE
FOUNDATION, INC.**Employer identification number**

13-3666768

Organization type (check one)**Filers of:****Section:**

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000, or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization **THE HAROLD W. SIEBENS CHARITABLE
FOUNDATION, INC.**

Employer identification number
13-3666768

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	FAMSEA CORPORATION SUITE 200-815-17 AVENUE SW CALGARY ALBERTA CANADA T2T 0A1	\$ 4,076,691.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
2	SEAFAM CORPORATION SUITE 200-815-17 AVENUE SW CALGARY ALBERTA CANADA T2T 0A1	\$ 1,846,976.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
3	SEACAY CORPORATION SUITE 200-815-17 AVENUE SW CALGARY ALBERTA CANADA T2T 0A1	\$ 1,340,928.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization THE HAROLD W. SIEBENS CHARITABLE
FOUNDATION, INC.

Employer identification number
13-3666768

Part III *Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$ _____*
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

ATTACHMENT 1

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
INTEREST	10,422.	10,422.
TOTAL	<u>10,422.</u>	<u>10,422.</u>

ATTACHMENT 2

FORM 990PF, PART I - LEGAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
LEGAL FEES	17,021.			17,021.
TOTALS	<u>17,021.</u>			<u>17,021.</u>

ATTACHMENT 3FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
TAX PREPARATION FEE	9,600.			9,600.
TOTALS	<u>9,600.</u>			<u>9,600.</u>

ATTACHMENT 4

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
EXCISE TAXES	1,936.	1,936.
TOTALS	<u>1,936.</u>	<u>1,936.</u>

ATTACHMENT 5FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
PROFESSIONAL DEVELOPMENT	17,072.		17,072.
INSURANCE	6,861.		6,861.
FEES AND DUES	6,370.		6,370.
OFFICE OPERATIONS AND SUPPLIES	5,107.		5,107.
BANK CHARGES	3,575.	300.	3,275.
COMPUTER EXPENSE	3,271.		3,271.
GOODWILL & PROMOTIONAL	1,568.		1,568.
TELEPHONE	1,530.		1,530.
TOTALS	45,354.	300.	45,054.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 6

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
STEWART D. SIEBENS C/O MCDERMOTT WILL & EMERY LLP NEW YORK, NY 10173-1922	DIRECTOR 3.00	0.	0.	0.
W CARTER SIEBENS C/O MCDERMOTT WILL & EMERY LLP NEW YORK, NY 10173-1922	DIRECTOR 3.00	0.	0.	0.
JAMES G. MCKEE C/O MCDERMOTT WILL & EMERY LLP NEW YORK, NY 10173-1922	DIRECTOR 3.00	0.	0.	0.
HEATHER RAE JOHNSON C/O MCDERMOTT WILL & EMERY LLP NEW YORK, NY 10173-1922	DIRECTOR 3.00	0.	0.	0.
HENRY CHRISTENSEN III C/O MCDERMOTT WILL & EMERY LLP NEW YORK, NY 10173-1922	DIRECTOR 3.00	0.	0.	0.
JAY E. RIVLIN C/O MCDERMOTT WILL & EMERY LLP NEW YORK, NY 10173-1922	DIRECTOR 3.00	0.	0.	0.
GRAND TOTALS		<u>0.</u>	<u>0.</u>	<u>0.</u>

990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES

		<u>ATTACHMENT 7</u>	
<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS EXPENSE ACCT TO EMPLOYEE AND OTHER BENEFIT PLANS ALLOWANCES</u>
MONICA FISHER C/O MCDERMOTT WILL & EMERY LLP NEW YORK, NY 10173-1922	PROGRAM OFFICER 40.00	231,099.	0. 999.
JEREMY MUELLER C/O MCDERMOTT WILL & EMERY LLP NEW YORK, NY 10173-1922	PROGRAM OFFICER 40.00	181,774.	0. 704.
AMY STRINGER HESSEL C/O MCDERMOTT WILL & EMERY LLP NEW YORK, NY 10173-1922	PROGRAM OFFICER 40.00	154,040.	0. 1,000.
TOTAL COMPENSATION		<u>566,913.</u>	<u>0. 2,703.</u>

THE HAROLD W. SIEBENS CHARITABLE FOUNDATION, INC

EIN 13-3666768

ATTACHMENT 8 - PAYMENTS - DECEMBER 31, 2017

Legal Name	Address	City	Prov/State	Postal Code/Zip Code	Tax ID	Project Title	2017 Total Payment
Advocates for Community Transformation	PO Box 225225	Dallas	TX	75222	27-0178272	Legal Advocacy to Eliminate Crime & Urban Blight in Cross Hampton	100,000
Ames Public Library Friends Foundation	515 Douglas Avenue	Ames	IA	50010	42-1450291	Small Talk Story County	222,920
Attitudes & Attire	2050 N Stemmons Freeway	Dallas	TX	75207	75-2574836	Hopeful Smiles™ Restorative Dental Program Support	10,000
Big Springs Medical Association	110 South Second Street	Ellington	MO	63638	43-1068291	Oral Health Service Expansion- Mobile Dental Unit Project	200,000
Boys & Girls Clubs of the Midlands	815 N 16th St	Council Bluffs	IA	51501	47-0467350	Readers to Leaders	48,155
Boys & Girls Clubs of the Permian Basin, Inc	800 E 13th Street	Odessa	TX	79761	46-1749237	Stride Academy Reading Initiative with Four Computer Labs	163,000
Buckner Children and Family Services, Inc	700 N Pearls St , Suite 1200	Dallas	TX	75201	75-2571395	Pekitas Family Hope Center	100,000
Casa de Amigos of Midland, Texas, Incorporated	1101 Garden Lane	Midland	TX	79701	75-1240087	HVAC Replacement Unit #B	7,699
Casa de Salud	3200 Chouteau Avenue	Saint Louis	MO	63103	27-0732049	Mental Health Collaborative	450,000
Catherine McAuley Center, Inc	866 4th Ave SE	Cedar Rapids	IA	52403	42-1342872	Assisting Refugees and Immigrants in Realizing Potential through Employment	47,476
Center for Hearing & Speech	9835 Manchester Road	St. Louis	MO	63119	43-0652678	Speech-Language Services for Low-income Children	30,000
Churches United in Ministry	102 W Second Street	Duluth	MN	55802	41-1227969	General Operating Support - Crisis Response	145,000
City Academy, Inc	4175 N Kingshighway Blvd	Saint Louis	MO	63115	31-1619379	Scholarship Program	180,000
CitySquare	511 N Akard Suite 302	Dallas	TX	75201	75-2332948	TRAC - Workforce Services	75,000
Communities In Schools of Cameron County	700 E Levee St Ste 204	Brownsville	TX	78520	74-2746090	2014-2018 Family Engagement for High School Success Program	40,000
Communities In Schools of Greater Tarrant County, Inc	6707 Brentwood Stair Road, Ste 510	Fort Worth	TX	76112	75-2411238	CIS Case Management for At-Risk Students in Lake Worth I S D	100,000
Communities In Schools of the South Plains, Inc	1946 Avenue Q	Lubbock	TX	79411	75-2819581	Campus Funding	10,000
Community Development Corporation of Brownsville	815 Arthur Street	Brownsville	TX	78521	74-1835777	YouthBuild Employment and Training	100,000
Community Foundation of Greater Des Moines	1915 Grand Avenue	Des Moines	IA	50309	42-6139033	Rural Affiliate Capacity Building	24,000
Creative Adventure Lab, Inc	210 Jones St, STE 100	Dubuque	IA	52001	26-3523626	The Innovation Lab	69,000
Dallas AfterSchool	2902 Swiss Avenue	Dallas	TX	75204	76-0838983	2017-2018 Program Quality Initiative	100,000
Dexter Community Regional Healthcare Foundation	215 West Grant	Dexter	MO	63841	43-6054187	Building Economically Stable Families	30,000
Family Promise of Greater Des Moines	4114 Allison Avenue	Des Moines	IA	50310	16-1649338	General Operating Support	23,100
Family Resources, Inc	2800 Eastern Avenue	Davenport	IA	52803	42-0698225	Expanding TotalChild	25,000
Flance Management, Inc	1908 O'Fallon Street	St. Louis	MO	63106	46-2048313	2017-2020 Tuition Subsidies	100,000
Foster Care Coalition of Greater St. Louis, Inc	1750 S Brentwood Blvd, Suite 210	St. Louis	MO	63144	43-1570225	30 Days to Family*	50,000
Four Oaks Family and Children's Services	5400 Kirkwood Blvd SW	Cedar Rapids	IA	52404	42-0998726	TotalChild 2.0 Initiative	190,000
Goodwill Industries of East Texas, Inc	409 W Locust St	Tyler	TX	75702	75-1478918	GoodAssist	50,000
Great Hearts America-Texas	824 Broadway	San Antonio	TX	78215	43-1973126	Support for Low Income Families	70,000
Heart of Missouri United Way, Inc	105 East Ash Street, Suite 300	Columbia	MO	65203	43-0735827	Building Effectiveness Strategically Together	102,759
IDEA Public Schools	2115 West Pike Blvd	Weslaco	TX	78596	74-2948339	IDEA Riverview	100,000
Iowa Association of Community Action Directors	1620 Pleasant St	Des Moines	IA	50314	42-1308042	Research and Marketing Division	57,859
Iowa Council of Foundations	6919 Vista Drive	West Des Moines	IA	50266	42-1476116	Strengthening Capacity of Iowa Grade Level Reading Network Toward Bigger Outcomes	123,820
Iowa Legal Aid	1111 9th St , Ste 230	Des Moines	IA	50314	42-1079227	Justice For Rural Victims 2017-2019	151,577
Iowa Public Health Association Foundation	6919 Vista Dr	West Des Moines	IA	50266	23-7327835	Strategic Platform Consulting Project	9,991
IowaJAG, Inc	Grimes State Office Building, 3rd Floor400 E 14th Street	Des Moines	IA	50319	42-1492988	Rural Expansion	45,000
Job Point	400 Wilkes Blvd	Columbia	MO	65201	43-0887032	Occupational Training for Youth	69,945
Kingdom House	1321 S 11th Street	St. Louis	MO	63104	43-0652648	Kingdom Academy	100,000
Life House	102 W 1st	Duluth	MN	55802	41-1704840	General Operating Support - Life House	71,000
Logos, Inc	9137 Old Bonhomme Rd	St. Louis	MO	63132	43-0968673	Tuition Support Program	62,400
Lower Rio Grande Valley Community Health Management Corporation, Inc	901 E Vermont Ave	McAllen	TX	78503	74 2784427	Ancillary Services and Medication	20,250
Mid-Iowa Community Action (MICA), Inc	1001 South 18th Ave	Marshalltown	IA	50158	42-0923311	Spread the Words-Read by 3rd- Every Child	23,605
Midland Fair Havens, Inc	2400 Whitmire Blvd Ste 100	Midland	TX	79705	75 2627746	Homelessness Prevention Assistance	18,000
National Math and Science Initiative, Inc	8350 North Central Expressway	Dallas	TX	75206	11-3769438	Partnerships to Advance STEM Education	547,073
Nexus Recovery Center, Incorporated	8733 La Prada Drive	Dallas	TX	75228	23 7169388	Building College Readiness for All Pregnant & Parenting Women with Children (PPWC) Program	100,000
Nurses for Newborns	7259 Lansdowne Avenues, Suite 100	St. Louis	MO	63119	43-1601329	Nurse Home Visitation for Missouri	40,000
Operation Food Search, Inc	1644 Lotzle Blvd	St. Louis	MO	63132	43-1241854	Operation Backpack	40,000
Palacios Community Hub	PO BOX 385	Palacios	TX	77414	46-4903441	Every Child Ready to Succeed	58,000
Parenting Way, Inc	1211 Vine Street Suite 2140	West Des Moines	IA	50265	61-1577179	Parent Education	50,000
Prevent Child Abuse Iowa	2704 Fleur Drive	Des Moines	IA	50321	42-1117292	Building Systems and Communities Responsive to Childhood Trauma	65,000
Primary Health Care, Inc	1200 University Ave , Suite 200	Des Moines	IA	50314	42-1350092	Centralized Intake for Homeless Individuals	50,000
Promise House, Inc	224 W Page Avenue	Dallas	TX	75208	75-2180083	Emergency Youth Shelter Program	50,000
Safe Haven Shelter for Battered Women	PO Box 3558	Duluth	MN	55803	41-1317462	General Operating	80,000
Shelter House Community Shelter and Transition Services	P O Box 3146	Iowa City	IA	52244	42-1231451	General Operations 2017	50,000
Sherwood Forest Camp, Inc	2708 Sutton Blvd	St. Louis	MO	63143	43-0653401	Supports for Success Program Expansion	50,000
Southern Methodist University	PO Box 750402	Dallas	TX	75275-0402	75-0800689	The School Zone	50,000
SouthSide Early Childhood Center	2101 S Jefferson Ave	St. Louis	MO	63104	43-0685348	Comprehensive Early Childhood Services for Victims of Violence A Violence Prevention Program to Transform the Lives of Children	65,000
St. Louis Children's Hospital Foundation	1001 Highlands Plaza Drive West, Ste 160	St. Louis	MO	63110	43-1626863	Program to Transform the Lives of Children	100,000
St. Louis Community College Foundation	300 S Broadway	St. Louis	MO	63102	43 1374500	Gateway to College at St. Louis Community	79,997

Texas Appleseed	1609 Shoal Creek Blvd, Ste 201	Austin	TX	78701	74-2804268	Creating Opportunities for Economic Security through Fair Markets and Practices	100,000
Texas Community Capital	1910 East Martin Luther King Jr Blvd	Austin	TX	78702	68-0488549	Community Loan Center of Texas	75,000
Texas RioGrande Legal Aid, Inc	301 S Texas Ave	Austin	TX	78570	74-1675230	Protection for Immigrant Victims of Domestic Violence in Laredo	68,100
The Community Partnership	1101 Hauck Drive	Rolla	MO	65401	43-1768614	Early Care and Education - Social	35,000
The Concilio	400 S Zang Boulevard	Dallas	TX	75208	75-1770140	Parents Advocating for Student Excellence	100,000
The Dallas Foundation	3963 Maple Avenue, Suite 390	Dallas	TX	75219	75-2890371	Bachman Lake Together Family Center Capital Funding Gap	218,000
The Family Place	1420 W Mockingbird Ln, Suite 800	Dallas	TX	75247	75-1590896	Child Development Center	75,000
The Job Foundation	2712 Orchard Dr, Ste B2	Cedar Falls	IA	50613	20-3091308	Financial Stewardship Mentoring Program	10,000
Tree House of Greater St. Louis	332 Stable Lane	Wentzville	MO	63385	51-0198939	Providing Therapy to Clients in Need	60,000
United Action for Youth	P O Box 892	Iowa City	IA	52244	42-0954860	Funding Development Program	50,320
United Way of Greater St. Louis, Inc	910 N 11th Street	Saint Louis	MO	63101-1018	43-0714167	The Financial Coaching and Asset Building	89,874
United Way of Southern Cameron County	634 East Levee Street	Brownsville	TX	78520	74-1241385	Volunteer Income Tax Assistance	80,000
United Way of the Quad Cities Area	852 Middle Road	Bettendorf	IA	52722	36-2725960	Born Learning	62,500
United Ways of Iowa	PO Box 316	Johnston	IA	50131	46-1216277	Iowa Nonprofit Summit	9,000
Vickery Meadow Learning Center	4144 N Central Expressway, Suite 702	Dallas	TX	75204	75-2708992	Student Support Services	50,000
WeLIFT Job Search Center	106 East Second Avenue	Indianola	IA	50125	46-5357566	Restricted Operating	8,000
West Texas Food Bank	P O Box 4242	Odessa	TX	79760	75-2057692	Grant Writer Transition	4,000
Whole Health Outreach	180 County Road 700	Ellington	MO	63638	43-1577997	From Crisis to Self-Sufficiency	30,000
Community Foundation of Greater Des Moines	1915 Grand Avenue	Des Moines	IA	50309	42-6139033	Employee Donation Matching Program	5,000
The Dallas Foundation	3963 Maple Avenue, Suite 390	Dallas	TX	75219	75-2890371	Employee Donation Matching Program	5,000
Total, for 2017							6,326,420

ALL ORGANIZATIONS LISTED ARE PUBLIC CHARITIES

THE HAROLD W. SIEBENS CHARITABLE FOUNDATION, INC
EIN 13-3666768
ATTACHMENT 9 - COMMITMENTS OUTSTANDING - DECEMBER 31, 2017

Legal Name	Address	City	Prov/ State	Fiscal Code/ Zip Code	Tax ID	Project Title	2017 Outstanding Amount
Alcohol & Drug Abuse Council for the Concho Valley	3553 Houston Martie Expy	San Angelo	TX	76903	75-1609328	Capital Campaign	200,000
Ames Public Library Friends Foundation	515 Douglas Avenue	Ames	IA	50010	42-1450291	Small Talk Story County	60,347
Attitudes & Acture	2050 N. Stemmons Freeway	Dallas	TX	75207	75-2574836	Hopeful Smiles™ Restorative Dental Program Support	10,000
Boys & Girls Clubs of the Midlands	815 N 16th St	Council Bluffs	IA	51501	47-0467350	Readers to Leaders	36,725
Buckner Children and Family Services, Inc.	700 N. Pearls St., Suite 1200	Dallas	TX	75201	75-2571395	Perkins Family Hope Center	100,000
Casa de Salud	3200 Chouteau Avenue	Saint Louis	MO	63103	27-0732049	Guides for Understanding, Information, & Access - A Path to Wellness	75,000
Catherine McAuley Center, Inc.	866 4th Ave. SE	Cedar Rapids	IA	52403	42-1347872	Assisting Refugees and Immigrants in Realizing Potential through Employment	47,251
Catholic Council for Social Concerns, Inc.	601 Grand Ave	Des Moines	IA	50309	42-0680464	Social Enterprise Development	36,067
Center for Hearing & Speech	9835 Manchester Road	St. Louis	MO	63119	43-0652678	Speech-Language Services for Low-Income Children	45,000
Communities in Schools of Greater Tarrant County, Inc.	6707 Brentwood Star Road Ste 510	Fort Worth	TX	76112	75-2411238	CIS Case Management for At-Risk Students in Lake Worth L.S.D	100,000
Community Youth Concepts	1446 Martin Luther King Junior Parkway	Des Moines	IA	50314	26-2996028	Project Forever Home	70,000
Family Planning Council of Iowa	108 3rd Street, Suite 210	Des Moines	IA	50309	42-1145646	Centralized Data System	48,100
Family Promise of Greater Des Moines	4314 Allison Avenue	Des Moines	IA	50310	16-149338	General Operating Support	69,300
Fiance Management, Inc.	1908 O'Fallon Street	St. Louis	MO	63106	46-2048313	2017 2020 Tuition Subsidies	125,000
Foster Care Coalition of Greater St. Louis, Inc.	1750 S. Brentwood Blvd, Suite 210	St. Louis	MO	63144	43-1570225	30 Days to Family®	50,000
Four Oaks Family and Children's Services	3400 Kirkwood Blvd SW	Cedar Rapids	IA	52404	42-0998726	TotalChild 2.0 Initiative	125,000
Goodwill Industries of East Texas, Inc.	409 W. Locust St.	Tyler	TX	75702	75-1478918	GoodAsist	50,000
Iowa City Free Medical Clinic	2440 Towncrest Dr	Iowa City	IA	52240	42-0906955	Nurse Practitioner Primary Care Clinic	37,382
Iowa Legal Aid	1311 9th St. Ste 230	Des Moines	IA	50314	42-1079227	Justice for Rural Victims 2017-2019	261,141
Iowa Legal Aid	1311 9th St. Ste 230	Des Moines	IA	50314	42-1079227	Parent Representation Project	293,200
IowaLAG, Inc.	Grimes State Office Building, 3rd Floor 400 E. 14th Street	Des Moines	IA	50319	42-1492988	Rural Expansion	22,500
Job Point	400 Wilkes Blvd	Columbia	MO	65201	43-0887032	Occupational Training for Youth	69,945
Logos, Inc.	9137 Old Bonhomme Rd.	St. Louis	MO	63132	43-0968673	Tuition Support Program	62,400
Logos, Inc.	9137 Old Bonhomme Rd	St. Louis	MO	63132	43-0968673	Logos Future Fund	500,000
Lower Rio Grande Valley Community Health Management Corporation, Inc.	901 E. Vermont Ave	McAllen	TX	78503	74-2784427	Ancillary Services and Medication	20,250
Midland Fair Havens, Inc.	2400 Whitmore Blvd Ste 100	Midland	TX	79705	75-2627746	Homelessness Prevention Assistance Program	18,000
National Math and Science Initiative, Inc.	8350 North Central Expressway	Dallas	TX	75206	11-3789438	Partnerships to Advance STEM Education: Building College Readiness for All	418,527
Nexus Recovery Center, Incorporated	8733 La Prada Drive	Dallas	TX	75228	23-7169388	Pregnant & Parenting Women with Children (PPWC) Program	100,000
Nurses for Newborns	7259 Lansdowne Avenues Suite 100	St. Louis	MO	63119	43-1601329	Nurse Home Visitation for Missouri Newborns	40,000
Palacios Community Hub	PO BOX 385	Palacios	TX	77414	46-4903441	Every Child Ready to Succeed!	43,000
Prevent Child Abuse Iowa	2704 Fleur Drive	Des Moines	IA	50321	42-1117292	Building Systems and Communities Responsive to Childhood Trauma	6,500
Shelter House Community Shelter and Transition Services	P.O. Box 3146	Iowa City	IA	52244	42-1231451	Development and Communications Team Capacity Building	205,235
Sherwood Forest Camp, Inc.	2708 Sutton Blvd.	St. Louis	MO	63143	43-0653401	Supports for Success Program Expansion	90,000
St. Louis Community College Foundation	300 S. Broadway	St. Louis	MO	63102	43-1374500	Gateway to College at St. Louis Community College	20,003
Texas Appleseed	1609 Shoal Creek Blvd Ste 201	Austin	TX	78701	74-2804268	Improving Economic Security Through Fair Markets and Practices	150,000
Texas Community Capital	1910 East Martin Luther King Jr. Blvd.	Austin	TX	78702	68-0488549	Community Loan Center of Texas	75,000
The Center for Head Injury Services	11828 Lackland Road	St. Louis	MO	63146	43-1554015	School to Work Transition Program	371,344
The Child and Family Policy Center	505 5th Avenue Suite 404	Des Moines	IA	50309	42-1378567	Effective Responses to Children of Adversity	56,224
The Community Partnership	1101 Hauck Drive	Rolla	MO	65401	43-1768614	Early Care and Education: Social Competence	35,000
The Concho	400 S. Zang Boulevard	Dallas	TX	75208	75-1770140	Parents Advocating for Student Excellence (PASE)	100,000
United Action for Youth	P.O. Box 892	Iowa City	IA	52244	43-0954860	Funding Development Program	25,325
United Way of Greater St. Louis, Inc.	910 N. 11th Street	Saint Louis	MO	63101 1018	43-0714167	The Financial Coaching and Asset Building Initiative	82,944
United Way of Southern Cameron County	634 East Levee Street	Brownsville	TX	78520	74-1241385	VITA Program	50,000
Whole Health Outreach	180 County Road 700	Ellington	MO	63638	43-1577997	From Crisis to Self-Sufficiency	30,000
Total for 2017							4,431,610

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