

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)			Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value			
Assets	1	Cash—non-interest-bearing					
	2	Savings and temporary cash investments	47,103	209,271	209,271		
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____	0	0	0		
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____	0	0	0		
	5	Grants receivable					
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)	0	0	0		
	7	Other notes and loans receivable (attach schedule) ▶ _____ 0 Less allowance for doubtful accounts ▶ _____ 0	0	0	0		
	8	Inventories for sale or use					
	9	Prepaid expenses and deferred charges					
	10a	Investments—U S and state government obligations (attach schedule)	0	0	0		
	b	Investments—corporate stock (attach schedule)	24,171,954	22,971,691	22,971,691		
	c	Investments—corporate bonds (attach schedule)	0		0		
	11	Investments—land, buildings, and equipment basis ▶ _____ 0 Less accumulated depreciation (attach schedule) ▶ _____ 0	0		0		
	12	Investments—mortgage loans					
	13	Investments—other (attach schedule)	5,043,423	5,375,996	5,375,996		
	14	Land, buildings, and equipment basis ▶ _____ 0 Less accumulated depreciation (attach schedule) ▶ _____ 0	0		0		
15	Other assets (describe ▶ _____)	0	0	0			
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	29,262,480	28,556,958	28,556,958			
Liabilities	17	Accounts payable and accrued expenses					
	18	Grants payable					
	19	Deferred revenue					
	20	Loans from officers, directors, trustees, and other disqualified persons	0	0			
	21	Mortgages and other notes payable (attach schedule)	0	0			
	22	Other liabilities (describe ▶ _____)					
	23	Total liabilities (add lines 17 through 22)	0	0			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.						
	24	Unrestricted	29,262,480	28,556,958			
	25	Temporarily restricted					
	26	Permanently restricted					
	Foundations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 27 through 31.						
	27	Capital stock, trust principal, or current funds					
	28	Paid-in or capital surplus, or land, bldg , and equipment fund					
	29	Retained earnings, accumulated income, endowment, or other funds					
	30	Total net assets or fund balances (see instructions)	29,262,480	28,556,958			
	31	Total liabilities and net assets/fund balances (see instructions) .	29,262,480	28,556,958			

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	29,262,480
2	Enter amount from Part I, line 27a	2	-3,166,594
3	Other increases not included in line 2 (itemize) ▶ _____	3	2,461,072
4	Add lines 1, 2, and 3	4	28,556,958
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	28,556,958

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day , yr)	(d) Date sold (mo , day , yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	<div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div>	2	800,286
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	20,117,988	30,414,585	0 661459
2015	2,925,500	45,143,006	0 064805
2014	1,248,750	44,134,129	0 028294
2013	2,230,564	37,813,006	0 058989
2012	855,750	4,263,205	0 200729
2 Total of line 1, column (d)			2 1 014276
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0 202855
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5			4 29,772,150
5 Multiply line 4 by line 3			5 6,039,429
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 17,159
7 Add lines 5 and 6			7 6,056,588
8 Enter qualifying distributions from Part XII, line 4			8 4,879,114

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	34,318
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	34,318
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	34,318
6	Credits/Payments		
a	2017 estimated tax payments and 2016 overpayment credited to 2017	6a	18,078
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	21,078
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	13,240
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be Credited to 2018 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the taxable year beginning in 2017 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	No
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	Yes	
14	The books are in care of EARLE YAFFA Telephone no (212) 735-3250			


Located at **4 TIMES SQUARE NEW YORK NY**ZIP+4 **10036**

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year 15			
16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country ▶	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/>	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017? <input type="checkbox"/>	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). <input type="checkbox"/>	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017). <input type="checkbox"/>	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b	No	
	Organizations relying on a current notice regarding disaster assistance check here. 			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input type="checkbox"/> No		
	<i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b	No	
	<i>If "Yes" to 6b, file Form 8870</i>			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
ROBERT C SHEEHAN 4 TIMES SQUARE NEW YORK, NY 10036	PRESIDENT AND DIRECTOR 1 000	0	0	0
ERIC J FRIEDMAN 4 TIMES SQUARE NEW YORK, NY 10036	VICE-PRESIDENT AND DIRECTOR 1 000	0	0	0
EARLE YAFFA 4 TIMES SQUARE NEW YORK, NY 10036	TREASURER AND DIRECTOR 1 000	0	0	0
RONALD J WEISS 4 TIMES SQUARE NEW YORK, NY 10036	SECRETARY AND DIRECTOR 1 000	0	0	0

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	Contributions to employee benefit plans and deferred compensation (d)	Expense account, (e) other allowances

Total number of other employees paid over \$50,000.

0

3

Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation

Total number of others receiving over \$50,000 for professional services.

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3

0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	29,491,009
b	Average of monthly cash balances.	1b	734,524
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	30,225,533
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	30,225,533
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	453,383
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	29,772,150
6	Minimum investment return. Enter 5% of line 5.	6	1,488,608

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	1,488,608
2a	Tax on investment income for 2017 from Part VI, line 5.	2a	34,318
b	Income tax for 2017 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	34,318
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	1,454,290
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	1,454,290
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	1,454,290

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	4,879,114
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	4,879,114
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	4,879,114

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				1,454,290
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2017				
a From 2012.				0
b From 2013.				324,586
c From 2014.				0
d From 2015.				693,799
e From 2016.				18,614,960
f Total of lines 3a through e.	19,633,345			
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ \$ <u>4,879,114</u>				
a Applied to 2016, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2017 distributable amount.				1,454,290
e Remaining amount distributed out of corpus	3,424,824			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))				0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	23,058,169			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	23,058,169			
10 Analysis of line 9				
a Excess from 2013.				324,586
b Excess from 2014.				0
c Excess from 2015.				693,799
d Excess from 2016.				18,614,960
e Excess from 2017.				3,424,824

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total	
(a) 2017	(b) 2016	(c) 2015	(d) 2014		
0	0	0		0	
85% of line 2a	0	0	0		0
c Qualifying distributions from Part XII, line 4 for each year listed	4,879,114	20,123,910	0		25,003,024
d Amounts included in line 2c not used directly for active conduct of exempt activities					0
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c	4,879,114	20,123,910	0		25,003,024

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test—enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.

c "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total ▶ 3a				4,843,114
b <i>Approved for future payment</i>				
The Gateway Schools 211 West 61st St 6th Fl New York, NY 10023	NONE	PC	Support of scholarships (2nd of three pledged payments)	350,000
Teaching Matters Inc 475 Riverside Drive Suite 1270 New York, NY 10115	NONE	PC	Support to increase teachers' ability to improve literacy instruction and student learning outcomes	90,000
Stella & Charles Guttman Comm College Fdn 50 West 40th Street New York, NY 10018	NONE	PC	Support of the Peer Mentoring Program	50,000
Total ▶ 3b				490,000

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2017)

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
--	--	-----	----

--	--	--

1a(1)	No
--------------	-----------

1a(2)	No
-------	----

--	--	--

1b(1)	No
--------------	-----------

1b(2)	No
-------	----

1b(3)		No
--------------	--	-----------

1b(4)		No
--------------	--	-----------

1b(5)	No
-------	----

1b(6)		No
--------------	--	-----------

1c		No
-----------	--	-----------

value
ue

[illegible]

described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

2018-11-12

* * * * *

May the IRS discuss this return with the preparer shown below

(see instr)? ☒ Yes ☐ No

Signature of officer or trustee

Date

Title

**Paid
Preparer
Use Only**

Paid Preparer Use Only	Print/Type preparer's name Brittney Kocaj	Preparer's Signature	Date	Check if self-employed <input type="checkbox"/>	PTIN P01320603
	Firm's name ▶ CROWE LLP				Firm's EIN ▶ 35-0921680
	Firm's address ▶ 401 East Las Olas Blvd Suite 1100 Fort Lauderdale, FL 333014230				Phone no (954) 202-8600

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
Westwood Income Opportunity Institutional	P	2016-10-28	2017-04-24
Cullen FDS TR	P	2017-03-31	2017-04-05
DFA US L/C	P	2017-04-06	2017-06-26
DFA US L/C	P	2017-04-06	2017-12-11
Baird Intermediate	P	2014-06-13	2017-04-24
Baird Intermediate	P	2014-06-13	2017-06-26
Baird Intermediate	P	2014-06-13	2017-09-14
Cullen FDS TR	P	2013-04-12	2017-03-06
Cullen FDS TR	P	2016-03-31	2017-04-05
DFA INVT Dimensions	P	2013-05-15	2017-06-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
75,000		71,235	3,765
456,478		435,036	21,442
125,000		122,488	2,512
200,000		178,354	21,646
450,000		452,029	-2,029
750,000		751,349	-1,349
200,000		200,000	0
250,000		214,773	35,227
2,248,146		2,176,404	71,742
125,000		93,908	31,092

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
		0	3,765
		0	21,442
		0	2,512
		0	21,646
		0	-2,029
		0	-1,349
		0	0
		0	35,227
		0	71,742
		0	31,092

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
DFA INVT Dimensions	P	2013-12-13	2017-09-14
Europacific Growth	P	2013-04-12	2017-12-11
PIMCO ALL ASSETS	P	2013-04-12	2017-04-24
PIMCO ALL ASSETS	P	2013-04-12	2017-06-26
PIMCO ALL ASSETS	P	2013-04-12	2017-09-14
Vanguard Total International	P	2013-04-12	2017-09-14
Vanguard Total International	P	2013-04-12	2017-12-11
Vanguard Small Cap	P	2012-12-05	2017-06-26
Vanguard Small Cap	P	2012-12-05	2017-09-14
Vanguard Small Cap	P	2012-12-05	2017-12-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
300,000		234,676	65,324
300,000		225,395	74,605
75,000		80,960	-5,960
250,000		268,041	-18,041
250,000		261,843	-11,843
200,000		176,415	23,585
300,000		259,085	40,915
125,000		80,778	44,222
300,000		191,865	108,135
100,000		59,862	40,138

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
		0	65,324
		0	74,605
		0	-5,960
		0	-18,041
		0	-11,843
		0	23,585
		0	40,915
		0	44,222
		0	108,135
		0	40,138

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d


List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
Vanguard 500	P	2012-12-05	2017-03-06
Vanguard 500	P	2012-12-05	2017-06-26
Vanguard 500	P	2012-12-05	2017-09-14
Vanguard 500	P	2012-12-05	2017-12-07
Vanguard 500	P	2012-12-05	2017-12-11


Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
200,000		135,980	64,020
125,000		83,043	41,957
200,000		129,247	70,753
45,000		27,541	17,459
155,000		94,031	60,969

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l


Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
		0	64,020
		0	41,957
		0	70,753
		0	17,459
		0	60,969

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
All Our Kin IncP O Box 8477 New Haven, CT 06530	NONE	PC	Support of family childcare providers	100,000
American Jewish Committee 165 E 56th Street New York, NY 10022	NONE	PC	Support of the Learned Hand Dinner event	50,000
The Armory Foundation 216 Fort Washington Ave New York, NY 10032	NONE	PC	Support of Little Feet Program	94,000
Total 				4,843,114
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Association to Benefit Children 419 East 86th Street New York, NY 10028	NONE	PC	Support recruitment and retention of Certified Special Education Teachers working at Cassidy's Place, a center serving underserved and disabled preschoolers	100,000
Baker Ripley4500 Bissonnet Suite 200 Bellaire, TX 77277	NONE	PC	Houston disaster relief	100,000
Bank Street College of Education 610 W 112th Street New York, NY 10025	NONE	PC	Support of the Center for Culturally Responsive Practice	465,000
Total 				4,843,114
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
A Better Chance Inc 253 West 35th Street 6th Floor New York, NY 10001	NONE	PC	General support	25,000
Caring Kind - NYC 360 Lexington Ave 4th Fl New York, NY 10017	NONE	PC	General support	75,000
Chances for Children NY Inc 850 Seventh Ave Suite 703 New York, NY 10019	NONE	PC	Expansion of ongoing clinical programs	125,000
Total ▶ 3a				4,843,114

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Citizen Schools Inc 120 Broadway Suite 220 New York, NY 10271	NONE	PC	General support	25,000
Citizens' Committee for Children of New York City 14 Wall St Suite 4E New York, NY 10001	NONE	PC	General support	50,000
Communities in Schools Inc 2345 Crystal Drive Suite 700 Arlington, VA 22202	NONE	PC	General support	25,000
Total ► 3a				4,843,114

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Community Funds Inc 909 Third Avenue 22nd Floor New York, NY 10022	NONE	PC	Support of The Puerto Rico Relief and Rebuilding Fund, Number 4948, established by the Partnership for NYC	50,000
Cystic Fibrosis Foundation 424 Madison Avenue 6th Floor New York, NY 10017	NONE	PC	Support of Annual Gala	75,000
The DreamYard Project Inc 1085 Washington Avenue Ground Floor Bronx, NY 10456	NONE	PC	Support and build a network of teachers that will develop skills and experience with integrating the arts into the social studies curriculum	50,000
Total 				4,843,114
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
The Edge Foundation 2017 Fairview Ave E Suite 1 Seattle, WA 98102	NONE	PC	Support of a pilot intervention program to address chronic absenteeism and student behavior of homeless and at-risk youth	60,000
E L E M Youth in Distress Inc 270 Madison Ave Suite 1501 New York, NY 10016	NONE	PC	General support	10,000
Excellence Community Schools Inc 1960 Benedict Ave Bronx, NY 10457	NONE	PC	General support	25,000
Total ▶ 3a				4,843,114

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Extreme Kids and Crew Inc 71 Sullivan Street Brooklyn, NY 11231	NONE	PC	General support	5,000
Fencers Club 229 West 28th Street 2nd Floor New York, NY 10001	NONE	PC	Support for the Fencers Club School Partnership program	25,000
The Foundation for New Education Initiatives Inc 1450 NE 2nd Avenue Room 931 Miami, FL 33132	NONE	PC	Miami disaster relief	100,000
Total ► 3a				4,843,114

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
The Fund for Public Schools Inc 52 Chambers St New York, NY 10007	NONE	PC	Support of Book Trust	200,000
The Gateway Schools 211 West 61st St 6th Fl New York, NY 10023	NONE	PC	Support of scholarships (2nd of three pledged payments)	350,000
Good Shepherd Services 307 Seventh Avenue 9th Floor New York, NY 10001	NONE	PC	Support of a language and literacy-focused initiative for 200 K-3rd graders	110,000
Total ▶ 3a				4,843,114

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Grand Street Settlement80 Pitt Street New York, NY 10002	NONE	PC	General support	25,000
Harlem RBI Incorporated 333 East 100th St New York, NY 10029	NONE	PC	Support of DREAM High School project	200,000
Horizons at Brooklyn Friends 375 Pearl Street Brooklyn, NY 11201	NONE	PC	To prevent "summer slide" in low-income public school students by providing summer academic enrichment	75,000
Total ▶ 3a				4,843,114

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Job Path Inc22 West 38th St 11th Fl New York, NY 10018	NONE	PC	General support	100,000
The Legal Aid Society199 Water St New York, NY 10038	NONE	PC	Support of Kathryn A McDonald Education Advocacy Fund	100,000
Lost Tree Village Charitable Foundation Inc 8 Church Lane North Palm Beach, FL 33408	NONE	PC	Support of endowment building efforts	10,000
Total ▶ 3a				4,843,114

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MIT - Sloan School of Management 77 Massachusetts Avenue E60-200 Cambridge, MA 02139	NONE	PC	Support development of Sloan Health Systems Academy, support of annual fund	175,000
Mobilization for Justice Inc (fka MFY Legal Services Inc 299 Broadway New York, NY 10007	NONE	PC	General support	146,667
MOUSE Inc55 Broad Street 16th Floor New York, NY 10004	NONE	PC	Support of STEM programs in elementary schools	134,000
Total ▶ 3a				4,843,114

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
My Money Workshop Inc (fka My Future - Money Management Workshops Inc) 70 Pine Brook Road Bedford, NY 10506	NONE	PC	General support	30,000
NAACP Legal Defense & Education Fund Inc 40 Rector Street 5th Floor New York, NY 10005	NONE	PC	General support	25,000
The New York Public Library Astor Lenox and Tilden Foundations 445 Fifth Avenue Fourth Floor New York, NY 10016	NONE	PC	Support of the Literacy Leaders Program	150,000
Total ▶ 3a				4,843,114

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NoSilenceNoViolence Inc 16971 Meadowlark Ridge Rd Unit 3 San Diego, CA 92127	NONE	PC	General support	30,000
PowerMyLearning Inc 520 Eighth Avenue Floor 10 New York, NY 10018	NONE	PC	Support of early childhood education programs	232,570
Power of Two 400 Rockaway Avenue Suite 2 Brooklyn, NY 11212	NONE	PC	Support of Power of Two's, efforts to strengthen responsive parenting by expanding into Brownsville, Brooklyn	140,000
Total ► 3a				4,843,114

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Publicolor Inc 20 West 36th Street 9th Floor New York, NY 10016	NONE	PC	Support a program to revitalize nine childcare facilities during 2018	125,000
READ Alliance Inc 80 Maiden Lane 11th Floor New York, NY 10038	NONE	PC	Support expansion of the READ Alliance program for at-risk, early elementary students	200,000
Resources for Children with Special Needs Inc (dba INLUDEnyc) 116 East 16th Street 5th Floor New York, NY 10003	NONE	PC	Support outreach to parents seeking information and access to high quality support and referrals children with developmental delays or disabilities	30,000
Total ▶ 3a				4,843,114

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
The Skadden Foundation 360 Hamilton Avenue White Plains, NY 10601	Associated Organization	PF	Support of an additional fellowship	67,000
Stella & Charles Guttman Community College Foundation Inc 50 West 40th Street New York, NY 10018	NONE	PC	Support of peer mentoring program	25,000
StreetSquash Inc40 West 116th St New York, NY 10026	NONE	PC	General support	25,000
Total ▶ 3a				4,843,114

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Teaching Matters Inc 475 Riverside Drive Suite 1270 New York, NY 10115	NONE	PC	Support to increase teachers' ability to improve literacy instruction and student learning outcomes	45,000
TechKidsUnlimited Inc 261 Broadway Suite 8d New York, NY 10007	NONE	PC	Support to teach technology to children who learn differently, especially those with Autism Spectrum Disorders	30,250
Theatre Development Fund Inc 520 8th Ave New York, NY 10018	NONE	PC	Support of the Autism Theatre Initiative	25,000
Total ► 3a				4,843,114

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Union Settlement Association Inc 237 East 104th Street New York, NY 10029	NONE	PC	Support of Intergenerational Tutoring Program	101,127
University of Pennsylvania Law School 3451 Walnut St 433 Franklin Bldg Philadelphia, PA 19104	NONE	PC	Support of Interdisciplinary Child Advocacy Clinical Fund	12,500
Volunteer Lawyers for the Arts Inc 1 East 53rd St 6th Fl New York, NY 10022	NONE	PC	General support of annual gala	25,000
Total ▶ 3a				4,843,114

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Volunteers of Legal Service (VOLS) 40 Worth Street Room 820 New York, NY 10013	NONE	PC	Support of Incarcerated Mothers Project & Support of immigration program Defending NY's Dreamers	35,000
The Writing Revolution Inc 22 Cortlandt Street 33rd Floor New York, NY 10007	NONE	PC	Support writing and critical thinking efforts for under-served elementary school students in NYC by training and supporting educators	60,000
Writopia Lab Inc 155 West 81st Street Suite A New York, NY 10024	NONE	PC	General support	25,000
Total ▶ 3a				4,843,114

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Room to Grow National Inc 7 West 30th Street Floor 3 New York, NY 10001	NONE	PC	Critical material support and specialized referrals to babies and their families living in poverty in NYC	135,000
MIT - Sloan School of Management Fund 30 Memorial Dr Cambridge, MA 02142	NONE	PC	Support of Annual Fund	10,000
Total ▶ 3a				4,843,114

TY 2017 Accounting Fees Schedule**Name:** THE JOSEPH H FLOM FOUNDATION**EIN:** 13-3499384**Software ID:** 17005876**Software Version:** 2017v2.2**Accounting Fees Schedule**

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	3,068	1,534		

TY 2017 Investments Corporate Stock Schedule**Name:** THE JOSEPH H FLOM FOUNDATION**EIN:** 13-3499384**Software ID:** 17005876**Software Version:** 2017v2.2

Name of Stock	End of Year Book Value	End of Year Fair Market Value
UBS Corporate Stock	4,924	4,924
Vanguard Index 500 Admiral	2,685,907	2,685,907
Vanguard Small Cap Index	1,139,676	1,139,676
Vanguard Total International	2,923,359	2,923,359
CULLEN FDS TR HIGH DIV	0	0
DFA US Small Cap	1,174,276	1,174,276
EuroPacific Growth Fund	3,151,646	3,151,646
PIMCO All Asset	3,482,561	3,482,561
Baird Intermediate Fund	3,198,445	3,198,445
Westwood Inc Opportunity Fund	2,440,488	2,440,488
DFA US Large Cap	2,770,409	2,770,409

TY 2017 Investments - Other Schedule**Name:** THE JOSEPH H FLOM FOUNDATION**EIN:** 13-3499384**Software ID:** 17005876**Software Version:** 2017v2.2**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
LIGHTHOUSE DIVERSIFIED FUND	FMV	5,375,996	5,375,996

TY 2017 Other Expenses Schedule**Name:** THE JOSEPH H FLOM FOUNDATION**EIN:** 13-3499384**Software ID:** 17005876**Software Version:** 2017v2.2**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MEMBERSHIP FEES	95			

TY 2017 Other Increases Schedule**Name:** THE JOSEPH H FLOM FOUNDATION**EIN:** 13-3499384**Software ID:** 17005876**Software Version:** 2017v2.2

Description	Amount
Unrealized Gain	2,461,072

TY 2017 Other Professional Fees Schedule**Name:** THE JOSEPH H FLOM FOUNDATION**EIN:** 13-3499384**Software ID:** 17005876**Software Version:** 2017v2.2

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT CONSULTING	35,000	35,000		
CUSTODIAN FEES	9,377	9,377		
BROKERAGE FEES	10,591	10,591		
INVESTMENT FEES	44	44		

TY 2017 Taxes Schedule**Name:** THE JOSEPH H FLOM FOUNDATION**EIN:** 13-3499384**Software ID:** 17005876**Software Version:** 2017v2.2

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX	1,000			
STATE TAXES PAID	750			