

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation
 ▶ Do not enter social security numbers on this form as it may be made public.
 ▶ Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052
2017
Open to Public Inspection

For calendar year 2017, or tax year beginning 01-01-2017, and ending 12-31-2017

Name of foundation EUGENE V AND CLARE E THAW CHARITABLE TRUST		A Employer identification number 13-3081491	
Number and street (or P O box number if mail is not delivered to street address) PO BOX 2422	Room/suite	B Telephone number (see instructions) (505) 982-7023	
City or town, state or province, country, and ZIP or foreign postal code SANTA FE, NM 875042422		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 16,329,465		J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)	
		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	125,261			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	370	370		
	4 Dividends and interest from securities	159,626	159,626		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	1,099,676			
	b Gross sales price for all assets on line 6a	10,118,705			
	7 Capital gain net income (from Part IV, line 2)		1,099,676		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	1,384,933	1,259,672			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	205,000	164,000		41,000
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits	18,000	14,400		3,600
	16a Legal fees (attach schedule)	2,550	2,168		382
	b Accounting fees (attach schedule)	14,920	12,682		2,238
	c Other professional fees (attach schedule)	34,886	34,886		0
	17 Interest	53	53		0
	18 Taxes (attach schedule) (see instructions)	29,780	8,765		2,191
	19 Depreciation (attach schedule) and depletion	17,436	0		
	20 Occupancy	5,180	4,403		777
	21 Travel, conferences, and meetings	20,411	17,349		3,062
	22 Printing and publications				
	23 Other expenses (attach schedule)	46,770	38,115		8,655
	24 Total operating and administrative expenses. Add lines 13 through 23	394,986	296,821		61,905
	25 Contributions, gifts, grants paid	2,000,500			1,778,500
26 Total expenses and disbursements. Add lines 24 and 25	2,395,486	296,821		1,840,405	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	-1,010,553				
b Net investment income (if negative, enter -0-)		962,851			
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	565,419	42,891	42,891
	2 Savings and temporary cash investments	3,744,299	719,610	719,610
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____	1,200,000		
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	14,777	11,753	11,753
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	9,201,534	13,034,693	13,034,693
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)			
	14 Land, buildings, and equipment basis ▶ _____ 829,472 Less accumulated depreciation (attach schedule) ▶ 423,515	423,393	405,957	405,957
15 Other assets (describe ▶ _____)	1,966,300	2,114,561	2,114,561	
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	17,115,722	16,329,465	16,329,465	
Liabilities	17 Accounts payable and accrued expenses	11,693	10,445	
	18 Grants payable	1,778,000	2,000,000	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)	132,187	0	
	23 Total liabilities (add lines 17 through 22)	1,921,880	2,010,445	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	15,193,842	14,319,020	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds				
30 Total net assets or fund balances (see instructions)	15,193,842	14,319,020		
31 Total liabilities and net assets/fund balances (see instructions)	17,115,722	16,329,465		

Part III Analysis of Changes in Net Assets or Fund Balances			
1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)		1	15,193,842
2 Enter amount from Part I, line 27a		2	-1,010,553
3 Other increases not included in line 2 (itemize) ▶ _____		3	735,731
4 Add lines 1, 2, and 3		4	14,919,020
5 Decreases not included in line 2 (itemize) ▶ _____		5	600,000
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30		6	14,319,020

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	2	1,099,676
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	1,937,730	12,151,052	0.159470
2015	1,692,345	8,441,345	0.200483
2014	2,032,172	10,517,068	0.193226
2013	2,475,853	12,570,325	0.196960
2012	13,264,329	21,310,787	0.622423
2 Total of line 1, column (d)			1.372562
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			0.274512
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5			12,944,102
5 Multiply line 4 by line 3			3,553,311
6 Enter 1% of net investment income (1% of Part I, line 27b)			9,629
7 Add lines 5 and 6			3,562,940
8 Enter qualifying distributions from Part XII, line 4			1,840,405

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculations. Includes categories like 'Exempt operating foundations', 'Domestic foundations', and 'Tax due'. Total tax due is 1,784.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions about political campaigns, political expenditures, and state reporting. Includes 'Yes' and 'No' columns.

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-14 regarding controlled entities, donor advised funds, public inspection requirements, and books in care.

Located at 6200 UPTOWN BLVD NE SUITE 400 ALBUQUERQUE NM ZIP+4 87110

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here [] and enter the amount of tax-exempt interest received or accrued during the year [15]

16 At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? [] Yes [] No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Main table with 3 columns: Question, Yes, No. Rows 1a-4b regarding Form 4720 exceptions and requirements.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?			5b
	Organizations relying on a current notice regarding disaster assistance check here.			<input type="checkbox"/>
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
	<i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <i>If "Yes" to 6b, file Form 8870</i>			6b
				No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?			7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
EUGENE VICTOR THAW PO BOX 2422 SANTA FE, NM 87501	TRUSTEE 10 00	0	0	0
CLARE E THAW PO BOX 2422 SANTA FE, NM 87501	TRUSTEE 1 00	0	0	0
KATHLEEN FLANAGAN PO BOX 2422 SANTA FE, NM 87501	TRUSTEE & EXECUTIVE DIRECTOR 50 00	205,000	18,000	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. ▶ 0

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services. ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions	
3	

Total. Add lines 1 through 3 ▶ 0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	10,868,079
b	Average of monthly cash balances.	1b	2,273,141
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	13,141,220
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	13,141,220
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	197,118
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	12,944,102
6	Minimum investment return. Enter 5% of line 5.	6	647,205

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	647,205
2a	Tax on investment income for 2017 from Part VI, line 5.	2a	19,257
b	Income tax for 2017 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	19,257
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	627,948
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	627,948
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	627,948

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	1,840,405
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	1,840,405
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	1,840,405

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				627,948
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only.			0	
b Total for prior years 20___, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2017				
a From 2012.	12,204,994			
b From 2013.	1,858,555			
c From 2014.	1,516,422			
d From 2015.	1,340,462			
e From 2016.	1,394,378			
f Total of lines 3a through e.	18,314,811			
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ \$ <u>1,840,405</u>				
a Applied to 2016, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2017 distributable amount.				627,948
e Remaining amount distributed out of corpus	1,212,457			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))				0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	19,527,268			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).		0		
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions).	12,204,994			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	7,322,274			
10 Analysis of line 9				
a Excess from 2013.	1,858,555			
b Excess from 2014.	1,516,422			
c Excess from 2015.	1,340,462			
d Excess from 2016.	1,394,378			
e Excess from 2017.	1,212,457			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2017	(b) 2016	(c) 2015	(d) 2014	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
See Additional Data Table

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total ▶ 3a				1,778,500
b <i>Approved for future payment</i> MORGAN LIBRARY & MUSEUM 225 MADISEN AVE NEW YORK, NY 10016	N/A	PUBLIC CHARITY	PRESENT VALUE ADJUSTMENT FOR "ENDOWMENT OF THE THAW CURATOR OF DRAWINGS AND PRINTS"	222,000
Total ▶ 3b				222,000

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
528 168 SHRS FAM VALUE INSTI TUITIONAL CLASS		2016-12-29	2017-10-20
3114 421 SHRS MAINGATE MFP FUND INSTITUTIONAL CLASS		2017-04-26	2017-05-02
3 418 SHRS T ROWE PRICE QM U S SMALL CAP GROWTH EQUITY FUND		2016-12-15	2017-03-13
1539 172 SHRS VANGUARD SMALL-CAP INDEX FUND ADMIRAL SHARES		2017-03-13	2017-06-15
1396 516 SHRS FAM VALUE INSTITUTIONAL CLASS		2015-12-29	2017-10-20
2533 042 SHRS MAINGATE MLP FUND INSTITUTIONAL CLASS		2016-04-27	2017-05-02
14079 276 SHRS T ROWE PRICE QM US SMALL CAP GROWTH EQUITY FUND		2016-01-08	2017-03-13
3809 524 SHRS VANGUARD GLOBAL MINIMUM VOLATILITY FUND ADMIRAL SHARES		2015-12-21	2017-06-15
8830 590 SHRS VANGUARD TOTAL STOCK MARKET INDEX FUND ADMIRAL SHARES		2015-12-18	2017-10-05
8766 233 SHRS FAM VALUE FUND INSTITUTIONAL CLASS		2014-12-30	2017-10-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
39,312		34,880	4,432
31,643		30,389	1,254
104		99	5
99,993		97,724	2,269
103,943		85,222	18,721
25,736		21,085	4,651
426,602		350,000	76,602
99,993		85,107	14,886
549,993		447,451	102,542
652,471		539,139	113,332

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			4,432
			1,254
			5
			2,269
			18,721
			4,651
			76,602
			14,886
			102,542
			113,332

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
100000 SHRS REPUBLIC BK DULUTH MINN CTF DEP ACT/365 0 700%		2017-02-23	2017-06-30
245000 SHRS SALLIE MAE BK MURRAY UTAH CTF DEP ACT/365 1 500%		2017-03-09	2017-10-03
250000 SHRS WELLS FARGO BK NA SIOUX FALLS D CTF DEP ACT/365 MONTHLY		2017-02-23	2017-11-13
1100 SHRS ACCENTURE PLC IRELAND CLASS SHS ISIN#IEO 0B4BNMY34		2016-08-11	2017-06-15
1500 SHRS STERIS PLC SHS ISIN# GB00BVVBC028		2016-08-03	2017-02-07
500 SHRS ACUITY BRANDS INC COM		2017-03-16	2017-10-04
1000 SHRS CENTENE CORP DEL COM		2017-02-01	2017-03-28
1000 SHRS CENTENE CORP DEL COM		2017-03-16	2017-04-10
840 SHRS CHURCH & DWIGHT CO INC		2016-07-06	2017-06-12
460 SHRS CHURCH & DWIGHT CO INC		2016-07-06	2017-06-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
100,000		100,000	0
245,000		245,000	0
250,000		250,000	0
138,606		124,376	14,230
100,527		105,725	-5,198
84,961		112,466	-27,505
69,793		64,084	5,709
71,471		66,321	5,150
44,351		41,769	2,582
24,564		23,360	1,204

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			0
			0
			14,230
			-5,198
			-27,505
			5,709
			5,150
			2,582
			1,204

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
200 SHRS DIGITAL RLTY TR INC COM		2016-12-08	2017-06-15
640 SHRS DR PEPPER SNAPPLE GROUP INC COM ISIN#US2 6138E1091		2016-08-12	2017-06-12
600 SHRS EQUIFAX INC		2016-12-08	2017-09-14
800 SHRS EXXON MOBIL CORP COM		2016-09-06	2017-07-06
1000 SHRS FISERV INC COM		2016-08-03	2017-06-15
175 SHRS FORTIVE CORP COM		2016-08-03	2017-06-12
1400 SHRS HELMERICH & PAYNE INC COM		2017-02-14	2017-06-15
1300 SHRS INSPERITY INC COM		2017-02-10	2017-08-01
300 SHRS MCKESSON CORP COM		2016-03-18	2017-03-16
400 SHRS NASDAQ INC COM		2016-06-27	2017-06-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
23,205		18,829	4,376
58,936		62,134	-3,198
68,802		70,531	-1,729
65,272		70,313	-5,041
123,666		105,858	17,808
10,952		8,506	2,446
75,455		98,706	-23,251
104,558		95,622	8,936
44,586		47,581	-2,995
28,348		24,663	3,685

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			4,376
			-3,198
			-1,729
			-5,041
			17,808
			2,446
			-23,251
			8,936
			-2,995
			3,685

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1500 SHRS PARSLEY ENERGY INC CLA		2017-03-28	2017-07-06
1500 SHRS POWERSHARES EXCHANGE - TRADED TR II S&P SMALLCAP HEALTH CAR		2017-02-07	2017-06-15
4000 SHRS SELECT SECTOR SPDR TR REAL ESTATE SELECT SECTOR SPDR FD		2016-11-11	2017-06-15
1699 353 SHRS UNDER ARMOUR INC CL C		2016-08-04	2017-03-22
100 SHRS ACUITY BRANDS INC COM		2016-06-27	2017-10-04
800 SHRS AMGEN INC COM		2016-01-11	2017-06-15
6500 SHRS AMTRUST FINL SVCS INC COM		2016-03-07	2017-04-11
1300 SHRS ANSYS INC COM		2016-01-11	2017-07-06
1500 SHRS APPLE INC COM		2016-04-26	2017-06-15
300 SHRS BIOGEN IDEC INC COM		2016-04-15	2017-07-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
41,299		46,476	-5,177
126,111		112,034	14,077
131,027		121,066	9,961
29,051		60,359	-31,308
15,936		23,570	-7,634
131,132		121,535	9,597
112,549		179,366	-66,817
157,564		113,012	44,552
215,714		141,732	73,982
78,949		73,523	5,426

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-5,177
			14,077
			9,961
			-31,308
			-7,634
			9,597
			-66,817
			44,552
			73,982
			5,426

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
250 SHRS BIOVERATIV INC COM		2016-05-04	2017-06-12
1500 SHRS BROADRIDGE FINL SOLUTIONS INC COM		2016-01-11	2017-07-06
3200 SHRS CHURCH & DWIGHT CO INC		2016-01-08	2017-06-12
500 SHRS COSTCO WHOLESALE CORP NEW COM		2016-01-07	2017-06-15
700 SHRS CROWN CASTLE INTL CORP NEW COM		2016-01-04	2017-06-15
1000 SHRS DANAHER CORP COM		2016-01-26	2017-06-15
700 SHRS EXXON MOBIL CORP COM		2016-09-06	2017-12-11
1000 SHRS FACTSET RESEARCH SYSTEMS INC		2016-04-12	2017-07-06
500 SHRS FORTIVE CORP COM		2016-01-26	2017-06-12
700 SHRS JOHNSON & JOHNSON COM		2016-01-08	2017-06-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
14,005		10,362	3,643
112,227		79,644	32,583
164,151		132,039	32,112
89,386		78,751	10,635
71,344		59,280	12,064
84,049		66,002	18,047
58,118		61,524	-3,406
165,373		150,329	15,044
31,292		20,535	10,757
92,728		69,493	23,235

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			3,643
			32,583
			32,112
			10,635
			12,064
			18,047
			-3,406
			15,044
			10,757
			23,235

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
3300 SHRS MANHATTAN ASSOCS INC COM		2016-04-15	2017-04-21
700 SHRS MCKENSON CORP COM		2016-02-12	2017-03-16
1000 SHRS MOODYS CORP COM		2016-05-04	2017-08-01
590 SHRS POWERSHARES EXCH TRD FD TR NASDAQ INTERNET PORTFOLIO		2016-01-06	2017-06-12
400 SHRS ROPER TECHNOLOGIES INC COM		2016-02-12	2017-07-06
1400 SHRS SPDR TR S&P AERO SPACE & DEFENSE ETF		2016-01-11	2017-09-05
2448 SHRS SELECT SECTOR SPDR TR FINANCIAL		2016-01-08	2017-06-15
1500 SHRS SMITH A O CORP COMMON		2016-01-29	2017-06-15
1500 SHRS UNDER ARMOUR INC CL A		2016-01-25	2017-06-12
1510 647 SHRS UNDER ARMOUR INC CL C		2016-01-25	2017-03-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
158,003		199,698	-41,695
104,033		107,209	-3,176
123,637		93,368	30,269
60,374		44,730	15,644
91,864		64,600	27,264
101,263		72,620	28,643
59,463		42,584	16,879
84,031		48,020	36,011
34,315		58,024	-23,709
25,825		56,536	-30,711

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-41,695
			-3,176
			30,269
			15,644
			27,264
			28,643
			16,879
			36,011
			-23,709
			-30,711

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
775 SHRS VANGUARD WORLD FDS VANGUARD CONSUMER DISCRETIONARY ETF		2016-01-14	2017-06-12
1500 SHRS VISA INC COM CL A		2016-01-08	2017-07-06
INSTALLMENT SALE OF DONATED MONDRIAN PAINTING	D	2016-02-08	2017-07-28
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
110,540		91,325	19,215
140,619		115,149	25,470
1,200,000		600,000	600,000
584			584

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			19,215
			25,470
			600,000
			584

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).

EUGENE VICTOR THAW

CLARE E THAW

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AMERICAN MUSEUM OF NATURAL HISTORY CENTRAL PARK WEST AT 79TH STREET NEW YORK, NY 100245192	N/A	PUBLIC CHARITY	TOWARDS RENOVATION OF THE HALL OF NORTHWEST COAST INDIANS	1,000,000
COOPER HEWITT SMITHSONIAN MUSEUM 2 EAST 91ST STREET NEW YORK, NY 10128	N/A	PUBLIC CHARITY	TOWARDS THE EXHIBITION BOOKLET "MOUSTIERS CERAMICS"	7,500
FENIMORE ART MUSEUM PO BOX 800 COOPERSTOWN, NY 13326	N/A	PUBLIC CHARITY	DIRECTOR'S DISCRETIONARY FUND	20,000
Total ▶ 3a				1,778,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
GLIMMERGLASS FESTIVAL PO BOX 191 COOPERSTOWN, NY 13326	N/A	PUBLIC CHARITY	TOWARDS THE 2017 OPERA PRODUCTION OF "XERXES"	200,000
INSTITUTE OF FINE ARTS NEW YORK UNIV 14 EAST 78TH STREET NEW YORK, NY 10075	N/A	PUBLIC CHARITY	FOR THE 43RD ANNUAL CONFERENCE OF THE ASSOCIATION OF GRADUATE PROGRAMS IN ART CONSERVATION	20,000
MENIL COLLECTION 1515 BRANARD HOUSTON, TX 77006	N/A	PUBLIC CHARITY	BENEFACTOR MEMBERSHIP IN THE MENIL SOCIETY	10,000
Total 				1,778,500
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MITCHELL GALLERY ST JOHN'S COLLEGE 60 COLLEGE AVENUE ANNAPOLIS, MD 21401	N/A	PUBLIC CHARITY	TOWARDS THE EXHIBITION "THE LURE OF NATURE LANDSCAPE DRAWINGS" FROM THE MORGAN LIBRARY & MUSEUM	15,000
MORGAN LIBRARY & MUSEUM 225 MADISEN AVE NEW YORK, NY 10016	N/A	PUBLIC CHARITY	TEMPERATURE-CONTROLLED EXHIBITION CASE, ANNUAL EXHIBIT FROM THE PERMANENT COLLECTION AND ACCOMPANYING PUBLICATION, DIRECTOR'S ROUNDTABLE	181,000
NATIONAL GALLERY OF ART 6TH CONSTITUTION AVE NW WASHINGTON, DC 20565	N/A	PUBLIC CHARITY	ANNUAL EXHIBIT FROM THE PERMANENT COLLECTION AND ACCOMPANYING PUBLICATION	60,000
Total 3a				1,778,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NETHERLAND-AMERICA FOUNDATION 82 WALL STREET SUITE 709 NEW YORK, NY 100053643	N/A	PUBLIC CHARITY	TOWARDS "A CATALOGUE RAISONNE OF THE DRAWINGS OF AELBERT CUYP (1620-1691)"	30,000
NM SCHOOL FOR THE ARTS - ART INSTITUTE 275 E ALAMEDA ST SANTA FE, NM 87501	N/A	PUBLIC CHARITY	GENERAL SUPPORT	200,000
SANTA FE ANIMAL SHELTER 100 CAJA DEL RIO RD SANTA FE, NM 87507	N/A	PUBLIC CHARITY	EMERGENCY VETERINARY FUND	10,000
Total ▶ 3a				1,778,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i>				
SKOWHEGAN SCHOOL OF PAINTING & SCULPTURE 136 WEST 22ND STREET NEW YORK, NY 10011	N/A	PUBLIC CHARITY	BENEFIT DINNER	25,000
Total ▶ 3a				1,778,500

TY 2017 Accounting Fees Schedule

Name: EUGENE V AND CLARE E THAW
CHARITABLE TRUST

EIN: 13-3081491

Accounting Fees Schedule

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	14,920	12,682		2,238

TY 2017 Investments Corporate Stock Schedule

Name: EUGENE V AND CLARE E THAW
CHARITABLE TRUST

EIN: 13-3081491

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ACCENTURE PLC IRELAND	76,542	76,542
AMGEN INC	174,014	174,014
APPLE INC	148,329	148,329
BROADRIDGE FINL SOL INC	112,997	112,997
CHURCH & DWIGHT CO INC	75,255	75,255
COSTCO WHOLESALE CORP	93,060	93,060
DANAHER CORP	92,820	92,820
DR PEPPER SNAPPLE GP INC	54,354	54,354
FISERV INC	168,491	168,491
FORTIVE CORP	59,689	59,689
JOHNSON & JOHNSON	69,860	69,860
NASDAQ INC	61,464	61,464
ROPER TECHNOLOGIES INC	152,990	152,990
SMITH A O CORP	91,920	91,920
VISA INC	57,010	57,010
VANGUARD GLOBAL MIN VOL FD	550,630	550,630
VANGUARD TOTAL STOCK MKT INX FD	859,435	859,435
POWERSHARES EXCH TRD FD	215,387	215,387
SPDR SER S&P AEROSPACE	125,340	125,340
SELECT SECTOR SPDR TR FIN	99,136	99,136
VANGUARD WORLD FDS	113,078	113,078
AT&T INC	100,204	100,204
AUTODESK INC	52,468	52,468
BECTON DICKINSON & CO	102,420	102,420
CONSTELLATION BRANDS INC	105,786	105,786
CROWN CASTLE INTL CORP	179,950	179,950
DIGITAL RLTY TR INC	117,636	117,636
DIGITAL RLTY TR LP	80,462	80,462
GOLDMAN SACHS GROUP INC	104,513	104,513
HOSPITALITY PPTYS TR	52,281	52,281

Name of Stock	End of Year Book Value	End of Year Fair Market Value
JP MORGAN CHASE & CO	105,491	105,491
KRAFT HEINZ FOOD CO	103,287	103,287
SHERWIN-WILLIAMS CO	182,559	182,559
SMUCKER J M CO	102,846	102,846
WELLS FARGO & CO	105,455	105,455
VANGUARD BALANCED INX FD	1,226,960	1,226,960
VANGUARD TOTAL BOND MARKET INX FD	906,821	906,821
VANGUARD HIGH YIELD CORP BOND FD	404,053	404,053
VANGUARD S/T CORP BOND INX FD	502,191	502,191
VANGUARD SMALL CAP INX FD	342,198	342,198
AMERICAN EXPRESS CENTURION BANK	199,874	199,874
BNY MELLON NA	249,933	249,933
BANK BARODA NEW YORK	249,930	249,930
BANK EAST ASIA LTD	249,973	249,973
BROOKLINE BANK	249,255	249,255
COMENITY CAP BANK	248,037	248,037
COMPASS BANK	249,945	249,945
DISCOVER BANK SEMI-ANNUAL	248,154	248,154
DISCOVER BANK AT-MATURITY	249,813	249,813
FIRST FINANCIAL BANK	249,973	249,973
GOLDMAN SACHS BANK SEMI-ANNUAL	199,263	199,263
GOLDMAN SACHS BANK AT-MATURITY	249,893	249,893
MORGAN STANLEY BANK	249,938	249,938
SALLIE MAE BANK	150,629	150,629
SYNCHRONY BANK	250,025	250,025
WELLS FARGO BANK NA 12	197,959	197,959
WELLS FARGO BANK NA 19	249,900	249,900
TE CONNECTIVITY LTD	95,040	95,040
CISCO SYSTEMS INC	95,750	95,750
CONCHO RES INC COM	75,110	75,110

Name of Stock	End of Year Book Value	End of Year Fair Market Value
HARRIS CORP	56,660	56,660
MSA SAFETY INC COM	38,760	38,760
ORBITAL ATK INC COM	39,450	39,450
PARSLEY ENERGY INC CL A	44,160	44,160
PAYCHEX INC COM	68,080	68,080
ROLLINS INC	46,530	46,530
WASTE CONNECTIONS INC COM	42,701	42,701
REALTY INCOME CORP COM	45,616	45,616
ISHARES TR US OIL & GAS EXPL & PRODUCTION	64,960	64,960

TY 2017 Legal Fees Schedule

Name: EUGENE V AND CLARE E THAW
CHARITABLE TRUST

EIN: 13-3081491

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	2,550	2,168		382

TY 2017 Other Assets Schedule

Name: EUGENE V AND CLARE E THAW
CHARITABLE TRUST

EIN: 13-3081491

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
ARTWORK	16,300	16,300	16,300
DONATED ARTWORK	1,950,000	2,098,261	2,098,261

TY 2017 Other Decreases Schedule

Name: EUGENE V AND CLARE E THAW
CHARITABLE TRUST

EIN: 13-3081491

Description	Amount
BOOK/TAX GAIN RECOGNITION DIFFERENCE	600,000

TY 2017 Other Expenses Schedule

Name: EUGENE V AND CLARE E THAW
CHARITABLE TRUST

EIN: 13-3081491

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INSURANCE	38,653	31,215		7,438
OFFICE SUPPLIES	3,895	3,311		584
DUES AND SUBSCRIPTIONS	2,000	1,700		300
POSTAGE	1,323	1,125		198
REPAIRS	899	764		135

TY 2017 Other Increases Schedule

Name: EUGENE V AND CLARE E THAW
CHARITABLE TRUST

EIN: 13-3081491

Description	Amount
CHANGE IN UNREALIZED GAINS & LOSSES	735,731

TY 2017 Other Liabilities Schedule

Name: EUGENE V AND CLARE E THAW
CHARITABLE TRUST

EIN: 13-3081491

Description	Beginning of Year - Book Value	End of Year - Book Value
DEFERRED COMPENSATION PAYABLE	132,187	0

TY 2017 Other Professional Fees Schedule

Name: EUGENE V AND CLARE E THAW
CHARITABLE TRUST

EIN: 13-3081491

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT FEES	34,886	34,886		0

TY 2017 Taxes Schedule

Name: EUGENE V AND CLARE E THAW
CHARITABLE TRUST

EIN: 13-3081491

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PAYROLL TAXES	10,956	8,765		2,191
EXCISE TAX	18,824	0		0

Schedule B
(Form 990, 990-EZ,
or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors
▶ Attach to Form 990, 990-EZ, or 990-PF
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at
www.irs.gov/form990

OMB No 1545-0047
2017

Name of the organization
EUGENE V AND CLARE E THAW
CHARITABLE TRUST

Employer identification number
13-3081491

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

- 501(c)() (enter number) organization
- 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- 527 political organization

Form 990-PF

- 501(c)(3) exempt private foundation
- 4947(a)(1) nonexempt charitable trust treated as a private foundation
- 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹ 3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than \$1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution. An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization EUGENE V AND CLARE E THAW CHARITABLE TRUST	Employer identification number 13-3081491
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Part I **Contributors** (See instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	EUGENE VICTOR THAW ART FOUNDATION <hr/> 553 CANYON RD PO BOX 2422 <hr/> SANTA FE, NM87501	\$ 125,261	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
	<hr/> <hr/>	\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
	<hr/> <hr/>	\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
	<hr/> <hr/>	\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
	<hr/> <hr/>	\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
	<hr/> <hr/>	\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
	<hr/> <hr/>	\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
	<hr/> <hr/>	\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization EUGENE V AND CLARE E THAW CHARITABLE TRUST	Employer identification number 13-3081491
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Part II Noncash Property (See instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	4 WORKS OF ART BY FORSBERG, WYETH, AND BIERSTADT AND 6TH CENTURY GOLD BROOCH	\$ 125,261	2017-07-05
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization EUGENE V AND CLARE E THAW CHARITABLE TRUST	Employer identification number 13-3081491
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Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$ _____
 Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

Transferee's name, address, and ZIP 4	(e) Transfer of gift Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

Transferee's name, address, and ZIP 4	(e) Transfer of gift Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

Transferee's name, address, and ZIP 4	(e) Transfer of gift Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

Transferee's name, address, and ZIP 4	(e) Transfer of gift Relationship of transferor to transferee