2949115320318

Form **990-PF** Department of the Treasury Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.
Go to www.irs.gov/Form990PF for instructions and the latest information.

For calendar year 2017 or tax year beginning			, and ending		
Name of foundation SADIE AND LOUIS ROTH FO C/O GEORGE F. HEINRICH,				A Employer identification 13 — 307 **-**1645	number 1645
Number and street (or P O box number if mail is not delivered t			Room/suite	B Telephone number	
P.O. BOX 367		•	}	212-794-21	55
City or town, state or province, country, and ZIP or for	reign po	ostal code		C If exemption application is p	
ELMWOOD PARK, NJ 07407					
G Check all that apply: Initial return		Initial return of a fo	rmer public charity	D 1. Foreign organizations	s, check here
Final return		Amended return			
Address change		Name change		Foreign organizations me check here and attach co	mputation
	<u>'`</u>	empt private foundation		E If private foundation stat	tus was terminated
Section 4947(a)(1) nonexempt charitable trust		Other taxable private founda	ition V	under section 507(b)(1)	(A), check here
· I -		ng method: X Cash	Accrual	F If the foundation is in a 6	
(from Part II, col. (c), line 16)		her (specify)		under section 507(b)(1)	(B), check here
►\$ 1,401,703. (Part Part Analysis of Revenue and Expenses					(4) 2
Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) ma necessarily equal the amounts in column (a))	y not	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes
1 Contributions, gifts, grants, etc., received		5pssss ps. ssss		N/A	(cash basis only)
2 Check X if the foundation is not required to attach So	ch R	 		11/A	
Interest on savings and temporary cash investments	ט ווט	347.	347.	 	STATEMENT 1
4 Dividends and interest from securities		12,288.	12,288.		STATEMENT 2
5a Gross rents				 	
b Net rental income or (loss) <98,07	2.>				STATEMENT 3
6a Net gain or (loss) from sale of assets not on line 10		120,733.			,
b Gross sales price for all 290,27	4.				
b Gross sales price for all 290,27 A Capital glain net income (from Part IV, line 2)			120,733.		
8 Net short-term capital gain				<u> </u>	
9 Income modifications Gross sales less returns			<u> </u>		
10a and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)				Re	CEIVED
11 Other income 12 Total. Add lines 1 through 11		133,368.	133,368.	I knowled have	GEIAEL 18
13 Compensation of officers, directors, trustees, etc		0.	0.	B MA'	3 9 2018 90.
14 Other employee salaries and wages					3 2010
15 Pension plans, employee benefits				00	DEN UT
				<u> </u>	
h Accounting fees STMT	4	55.	55.		0.
c Other professional fees STMT	5	18,347.	18,347.		0.
		1,696.	1,696.		0.
Taxes STMT	6	185.	185.		0.
19 Depreciation and depletion					
E 20 Occupancy					
21 Travel, conferences, and meetings		Ĺ		 	<u> </u>
22 Printing and publications	,				7
23 Other expenses STMT 24 Total operating and administrative expenses. Add lines 13 through 23	1	250.	0.		250.
24 Total operating and administrative		20,533.	20,283.	}	250.
expenses. Add lines 13 through 23 25 Contributions, gifts, grants paid		140,000.	40,403.		140,000.
26 Total expenses and disbursements.		140,000		 	170,000.
Add lines 24 and 25		160,533.	20,283.		140,250.
27 Subtract line 26 from line 12:			20,203.	 	220,200.
a Excess of revenue over expenses and disbursement	s	<27,165.	>		
b Net investment income (if negative, enter -0-)			113,085.		
C Adjusted net income (if negative, enter -0-)			 	N/A_	

C/O GEORGE F. HEINRICH, M.D.

13-3071645 Page 2

П	art	Balance Sheets Attached schedules and amounts in the description	Beginning of year	End End	of year
드	aιι	column should be for end-of-year amounts only	(a) Book Value	(b) Book Value	(c) Fair Market Value
	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	22,620.	39,555	39,555.
	3	Accounts receivable ►			T
		Less; allowance for doubtful accounts			
	4	Pledges receivable ▶			T
	İ	Less; allowance for doubtful accounts ▶			
	5	Grants receivable			
		Receivables due from officers, directors, trustees, and other			
]	disqualified persons			1
	,	. F			+
	7	Other notes and loans receivable Less: allowance for doubtful accounts			-
	١.				
Assets	١	Inventories for sale or use		 	
Ass	1	Prepaid expenses and deferred charges			
•		Investments - U.S. and state government obligations	C20 442	רסי דרי	1 057 631
	(Investments - corporate stock STMT 9	638,443.	583,552	1,057,631.
	C	Investments - corporate bonds			
	11	Investments - land, buildings, and equipment basis	_		
]	Less accumulated depreciation			
	12	Investments - mortgage loans			<u> </u>
	13	Investments - other STMT 10	391,878.	304,517	304,517.
	14	Land, buildings, and equipment: basis			
	ł	Less accumulated depreciation			
	15	Other assets (describe ▶)			1
	16	Total assets (to be completed by all filers - see the			Ţ
		instructions. Also, see page 1, item I)	1,052,941.	927,624	. 1,401,703.
_	17	Accounts payable and accrued expenses			
	18	Grants payable			1, ,
s	19	Deferred revenue			1 ,
Liabilities	20	Loans from officers, directors, trustees, and other disqualified persons			-
Ē	21	Mortgages and other notes payable			₹ ;
Ë	,	Other liabilities (describe)			┥ ;
					₹ :
	23	Total liabilities (add lines 17 through 22)	0.	0	
_	20	Foundations that follow SFAS 117, check here			7
		and complete lines 24 through 26, and lines 30 and 31.	ļ		1
S	24	Unrestricted	1,052,941.	927,624	!
ĕ		L	1,032,341.	921,024	
ă	•	Temporarily restricted			-{
Θ	26	Permanently restricted			-{ :
Fund Balances	ł	Foundations that do not follow SFAS 117, check here	}		!
卢		and complete lines 27 through 31.	}		
ţş	27	Capital stock, trust principal, or current funds			
Assets	28	Paid-in or capital surplus, or land, bldg., and equipment fund			_{
	29	Retained earnings, accumulated income, endowment, or other funds			_]
Š	30	Total net assets or fund balances	1,052,941.	927,624	•
_	31	Total liabilities and net assets/fund balances	1,052,941.	927,624	<u>·</u>
P	art	III Analysis of Changes in Net Assets or Fund Ba	alances		
1	Tota	net assets or fund balances at beginning of year - Part II, column (a), line 3	30		
		st agree with end-of-year figure reported on prior year's return)	•	1	1,052,941.
2		r amount from Part I, line 27a		2	
		r increases not included in line 2 (itemize)		3	
		lines 1, 2, and 3		 4	+
		eases not included in line 2 (itemize)	SEE STA	TEMENT 8 5	
		I net assets or fund balances at end of year (line 4 minus line 5) - Part II, col		6	
Ť		and balances at one or your filled 4 million of 1 art in, but	127, 1110		Form 000-PE (2017)

C/O GEORGE F. HEINRICH, M.D.

	IV Capital Gains		sses for Tax on In							<u> </u>	1043	1 age 3
٠	(a) List and describe	the kınd(s) of property sold (for exan or common stock, 200 shs	nple, real esta		(b)	How are - Purc - Don	cquired hase ation	(c) Date acquired (mo., day, yr.)		(d) Dat (mo., da	
	ORGAN STANLEY TATEMENT	- SI	EE CAPITAL G	AIN/(L	OSS)			P	VARIO	7115	12/3	1/17
	ORGAN STANLEY	- SI	EE CAPITAL G	AIN/(L	oss)	-			V211(1)	<u> </u>	12/3	
	TATEMENT					┢		P	VARI	ous	12/3	1/17
е												
	(e) Gross sales price	(f) [Depreciation allowed (or allowable)		st or other basis expense of sale					in or (loss (f) minus		
<u>a</u>					- 00 22	_						063
<u>b</u>	22,197.				20,33	4.						,863.
C	268,077.				149,20	7					118	,870.
_ <u>u</u>	200,077.				143,20							, 0 , 0 .
	mplete only for assets shown	g gain in (column (h) and owned by t	he foundation	on 12/31/69.	1			Gains (C	ol. (h) gain	minus	
	(i) FMV as of 12/31/69		j) Adjusted basis as of 12/31/69	(k) Ex	cess of col. (I) col. (J), If any			col.	(k), but n	ot less tha (from col. (n -0-) or	
_a			<u>-</u>			_						
<u> </u>											1	,863.
<u> </u>							ļ <u>.</u>				110	,870.
_d										 -	110	, 0 / 0 •
<u>e</u>						╮┤						
2 Cap	ortal gain net income or (net ca	pital loss)	{ If gain, also enter If (loss), enter -0-				2				120	,733.
lf g	short-term capital gain or (los ain, also enter in Part I, line 8, oss), enter -0- in Part I, line 8	•	, ,	d (6):		}	9			N/A		
		nder S	ection 4940(e) for	Reduced	Tax on Net	Inv	estn	nent Inc	ome			
(For or	otional use by domestic private											
	,		,				/					
it secti	on 4940(d)(2) applies, leave th	nis part bi	ank.									
	e foundation liable for the sect the foundation doesn't qualif				•	od?					Yes	X No
1 En	ter the appropriate amount in (each colur	nn for each year; see the in	structions be	fore making any e	ntries	3.					
Cale	(a) Base period years Indar year (or tax year beginnii	ng (n)	(b) Adjusted qualifying dist		Net value of no	(c) ncha		-use assets		Dıstrıl (col. (b) dı	(d) bution ratio vided by col.	(c))
	2016			0,334.				3,724				92708
	2015			8,630.				1,451				80531
	2014			2,050.				4,278				80515
	2013_			0,250.	ļ. —			5,282				87915
	2012		14	0,537.		_1	,40	8,357	┵—		.0	99788
2 Tot	al of line 1, column (d)								2		. 4	41457
	erage distribution ratio for the toundation has been in exister	-	·	on line 2 by 5.0	0, or by the numb	er of	years		3		.0	88291
	er the net value of noncharitab		-	ine 5					4		1,456	
		ne-use as:	sets for 2017 from Part A, 1	ille 3								
5 Mu	Itiply line 4 by line 3								5		128	<u>,631.</u>
6 Ent	er 1% of net investment incom	ne (1% of	Part I, line 27b)						6		1	,131.
7 Add	d lines 5 and 6								7		129	,762.
8 Ent	er qualifying distributions from	ı Part XII,	line 4						8		140	,250.
	ne 8 is equal to or greater than the Part VI instructions.	line 7, ch	eck the box in Part VI, line	1b, and comp	lete that part usin	g a 1	% tax	rate.				

3

SADIE AND LOUIS ROTH FOUNDATION

		307164		Page 4
Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4940(c)	148 -	see instr	uctio	ns)
1a Exempt operating foundations described in section 4940(d)(2), check here 🕨 🛄 and enter "N/A" on line 1.	1			
Date of ruling or determination letter: (attach copy of letter if necessary-see instructions)	.		. · · · . ·	
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here 🕨 🗓 and enter 1%	1		1,1	31.
of Part I, line 27b	1			ļ
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b).				ــــــــــــــــــــــــــــــــــــــ
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	2			0.
3 Add lines 1 and 2	3		1,1	31.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	4			0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5		1,1	31.
6 Credits/Payments:				1
a 2017 estimated tax payments and 2016 overpayment credited to 2017 6a 2,177.	ļ			
b Exempt foreign organizations - tax withheld at source 6b 0 •	[
c Tax paid with application for extension of time to file (Form 8868)	1			i
d Backup withholding erroneously withheld 6d 0.	1			·
7 Total credits and payments. Add lines 6a through 6d	7		2,1	<u>77.</u>
8 Enter any penalty for underpayment of estimated tax. Check here if Form 2220 is attached	8			0.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9			
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		1,0	
11 Enter the amount of line 10 to be: Credited to 2018 estimated tax 1,046. Refunded	11			0.
Part VII-A Statements Regarding Activities				
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene	ın	<u> </u>	Yes	No
any political campaign?		1a		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the defini	tion	1 <u>b</u>		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or		ĺ	1	1
distributed by the foundation in connection with the activities.		ļ	1.	المحمد
c Did the foundation file Form 1120-POL for this year?		10		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:			1	
(1) On the foundation. ► \$ (2) On foundation managers. ► \$		ļ	1] :
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation				,
managers. ► \$ 0 .		*		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?		2		X
If "Yes," attach a detailed description of the activities.		ł	ł	1
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or	ſ	}	_	
bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		3	┼	X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		, 4a	+	X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/		┼—	<u> </u>
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?		5	┵—	X
If "Yes," attach the statement required by General Instruction T.			1	i .
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:		1	}	1
By language in the governing instrument, or		1	}	!
 By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state 	law			
remain in the governing instrument?		6	1 <u>X</u>	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV		7	Х	L
				!
8a Enter the states to which the foundation reports or with which it is registered. See instructions.		}	}	1
NY				
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate)		J		!
of each state as required by General Instruction G? If "No," attach explanation		8b	X	 _
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for cale	ıdar			[
year 2017 or the tax year beginning in 2017? See the instructions for Part XIV. If "Yes," complete Part XIV		9	4	X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		10		X
		Form 99	30-PF	(2017)

Form 990-PF (2017) C/O GEORGE F. HEINRICH, 13-3071645 Page 5 Part VII-A | Statements Regarding Activities (continued) Yes No 11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of X 11 section 512(b)(13)? If "Yes," attach schedule. See instructions 12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions 12 13 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A Telephone no. ▶ 2127942155 14 The books are in care of DEORGE F. HEINRICH, M.D. Located at ▶ PO BOX 367, ELMWOOD PARK, NJ ZIP+4 ▶07407 15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here N/A and enter the amount of tax-exempt interest received or accrued during the year Yes No 16 At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? 16 See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required Yes No File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 1a During the year, did the foundation (either directly or indirectly): Yes X No (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) Yes X No a disqualified person? Yes X No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes X No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available Yes X No for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after Yes X No termination of government service, if terminating within 90 days.) b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations N/A16 section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected X before the first day of the tax year beginning in 2017? 1c 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? If "Yes," list the years b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach N/A 2b statement - see instructions.) c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time ___ Yes X No during the year? b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, N/A Form 4720, to determine if the foundation had excess business holdings in 2017.) 3b 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that

had not been removed from jeopardy before the first day of the tax year beginning in 2017?

SADIE AND LOUIS ROTH FOUNDATION

Form 990-PF (2017) C/O GEORGE F. HEINRICH, M.D.

Part VII-B | Statements Regarding Activities for Which Form 4720 May Be Required (continuous)

Fait VII-D Statements negarding Activities for Willow	OIIII 4720 Way De F	required (continu		78	 1	
5a During the year, did the foundation pay or incur any amount to:	-	FT			/es	No
(1) Carry on propaganda, or otherwise attempt to influence legislation (section			es 🗶 No		ſ	
(2) Influence the outcome of any specific public election (see section 4955); o	r to carry on, directly or indire		₩		ł	
any voter registration drive?	_		es X No		-	1
(3) Provide a grant to an individual for travel, study, or other similar purposes		Ye	es X No	1		
(4) Provide a grant to an organization other than a charitable, etc., organization	n described in section	r	(55 7	- 1	l	
4945(d)(4)(A)? See instructions			es X No	- 1	1	ĺ
(5) Provide for any purpose other than religious, charitable, scientific, literary,	or educational purposes, or f	or			ł	
the prevention of cruelty to children or animals?		Ye	es X No			
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify und	der the exceptions described i	n Regulations	.)_]_		
section 53.4945 or in a current notice regarding disaster assistance? See instri	uctions		N/A	5b		
Organizations relying on a current notice regarding disaster assistance, check t	here				ĺ	. [
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption fr	rom the tax because it maintai	ned	_	ł	1	
expenditure responsibility for the grant?	N	ī/A □ Yε	es 🔲 No 📗		1	Ì
If "Yes," attach the statement required by Regulations section 53.4945-5(d).			i	- 1		
6a Did the foundation, during the year, receive any funds, directly or indirectly, to	pay premiums on		1	1	- [. !
a personal benefit contract?			s X No	İ		, ,
b Did the foundation, during the year, pay premiums, directly or indirectly, on a p	ersonal benefit contract?		I	6b	- 1	X
If "Yes" to 6b, file Form 8870.			, t		\neg	
7a At any time during the tax year, was the foundation a party to a prohibited tax s	helter transaction?		es X No		1	
b If "Yes," did the foundation receive any proceeds or have any net income attribu			N/A	7b	_	
Part VIII Information About Officers, Directors, Trust		nagers Highly				
Paid Employees, and Contractors	ecs, i oundation ma	inagers, riiging	,			
1 List all officers, directors, trustees, and foundation managers and t	heir compensation.	· · · · · · · · · · · · · · · · · · ·				
		(c) Compensation	(d) Contributions to	T (e) Expe	ense
(a) Name and address	(b) Title, and average hours per week devoted to position	(If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	acc	ount,	ense other nces
DR GEORGE HEINRICH	V. PRES	enter -o-)	compensation	+	iio waii	1003
P.O. BOX 367	V. FRES			1		
	0.10	0.	0.	1		0.
ELMWOOD PARK, NJ 07407	PRES	<u>-</u>	<u> </u>	 		<u> </u>
	PRES					
565 FIFTH AVENUE	0 10	,	,	ł		^
NEW YORK, NY 10017	0.10	0.	0.	'		0.
	!			1		
				ſ		
		<u> </u>		↓		
			}	1		
]	}	ļ	,		
	l	<u> </u>	L	<u> </u>		
2 Compensation of five highest-paid employees (other than those inc		enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week	(c) Compensation	(d) Contributions to employee benefit plans and deterred	l acc	e) Expe count.	ense other
(a) Name and address of each employee paid more than \$60,000	devoted to position	(C) componsation	and deterred compensation	a	llowar	nces
NONE]			-	
] _		
				T		
	}	Ì	}	ł		
				T -	_	
	1			1		
		 		\vdash		
	1		1	1		
	 	 		+		
	}]	1		
Total number of other employees paid over \$50,000	·	 		Ь		 0
TOTAL number of other employees paid over \$50,000			Earn	990	PF /	
			rom	シンし	1 ((4011)

SADIE AND LOUIS ROTH FOUNDATION 13-3071645 Farm 990-PF (2017) C/O GEORGE F. HEINRICH, M.D. Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued) 3 Five highest-paid independent contractors for professional services. If none, enter "NONE." (a) Name and address of each person paid more than \$50,000 (c) Compensation (b) Type of service NONE Total number of others receiving over \$50,000 for professional services

Part IX-A Summary of Direct Charitable Activities List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the Expenses number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. 0. Part IX-B Summary of Program-Related Investments Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. Amount 1 NONE 0.

Form **990-PF** (2017)

0.

All other program-related investments. See instructions.

Total. Add lines 1 through 3

P	Art X Minimum Investment Return (All domestic foundations must complete this part. Foreign for	undations,	see instructions)
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
а	Average monthly fair market value of securities	1a	1,085,726.
	Average of monthly cash balances	1b	45,165.
	Fair market value of all other assets	1c	348,198.
d	Total (add lines 1a, b, and c)	1d	1,479,089.
	Reduction claimed for blockage or other factors reported on lines 1a and		
	1c (attach detailed explanation) 1e 1	.]]	
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,479,089.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	22,186.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,456,903.
6_	Minimum investment return. Enter 5% of line 5	6	72,845.
P	art XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations a foreign organizations, check here ☐ and do not complete this part.)	and certain	
1	Minimum investment return from Part X, line 6] 1]	72,845.
2a	Tax on investment income for 2017 from Part VI, line 5 2a 2 1,131		
b	Income tax for 2017. (This does not include the tax from Part VI.)	7	
C	Add lines 2a and 2b	2c	1,131.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	71,714.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	71,714.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	71,714.
느	art XII Qualifying Distributions (see instructions)		
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:	l	140 250
	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	140,250.
b	·	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	, , , , , , , , , , , , , , , , , , , ,	3a	
	Cash distribution test (attach the required schedule)	3b	140 250
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	140,250.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment	_	1 121
	Income. Enter 1% of Part I, line 27b	5	1,131. 139,119.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	سننا	
	Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation 4940(e) reduction of tax in those years.	i quaimes 10	i die Section

Farm 990-PF (2017)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI,				
line 7				71,714.
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only		<u> </u>	0.	
b Total for prior years:	1			
		<u> </u>		
3 Excess distributions carryover, if any, to 2017:				
a From 2012 71,545. b From 2013 63,036.				
—— <u>———————————————————————————————————</u>				
dFrom 2014 60,928. dFrom 2015 57,412.				
eFrom 2016 66, 400.				
f Total of lines 3a through e	319,321.			
4 Qualifying distributions for 2017 from	313/3211	<u> </u>		
Part XII, line 4: ►\$ 140, 250.			,	ı
a Applied to 2016, but not more than line 2a			0.	}
b Applied to undistributed income of prior				
years (Election required - see instructions)		0.		
c Treated as distributions out of corpus]
(Election required - see instructions)	0.			'
d Applied to 2017 distributable amount				71,714.
e Remaining amount distributed out of corpus	68,536.			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount	0.			0.
must be shown in column (a)) 6 Enter the net total of each column as				, 8 8
indicated below:				1
2 Corpus Add lines 3f, 4c, and 4e Subtract line 5	387,857.			<u></u>
b Prior years' undistributed income. Subtract		•	1	
line 4b from line 2b		0.	<u> </u>	· · · · · · · · · · · · · · · · · · ·
c Enter the amount of prior years' undistributed income for which a notice of				- '
deficiency has been issued, or on which	-			
the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable				<u> </u>
amount - see instructions		0.		
e Undistributed income for 2016. Subtract line				
4a from line 2a. Taxable amount - see instr.			0.	<u>'</u>
f Undistributed income for 2017. Subtract				
lines 4d and 5 from line 1. This amount must	ļ			
be distributed in 2018				0.
7 Amounts treated as distributions out of				
corpus to satisfy requirements imposed by			:	,
section 170(b)(1)(F) or 4942(g)(3) (Election				,
may be required - see instructions)	0.			· · · · · · · · · · · · · · · · · · ·
8 Excess distributions carryover from 2012				
not applied on line 5 or line 7	71,545.			
9 Excess distributions carryover to 2018.	216 240			
Subtract lines 7 and 8 from line 6a	316,312.			
10 Analysis of line 9:				!
a Excess from 2013 63,036. b Excess from 2014 60,928.				ì
				, i
d Excess from 2015 57,412.				
e Excess from 2017 68,536.				į
0 1 2 2 0 1 2 0 1 2 0 1 2 0 1 2 0 1 2 0 1 2 0 1 2 0 1 2 0 1 0 1			<u> </u>	5 000 DE (0047)

SADIE AND LOUIS ROTH FOUNDATION 13-3071645 C/O GEORGE F. HEINRICH, M.D. Form 990-PF (2017) Page 10 Part XIV | Private Operating Foundations (see instructions and Part VII-A, question 9) N/A 1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling 4942(j)(3) or 4942(j)(5) b Check box to indicate whether the foundation is a private operating foundation described in section Tax year Prior 3 years 2 a Enter the lesser of the adjusted net (a) 2017 (b) 2016 (c) 2015 (d) 2014 (e) Total income from Part I or the minimum investment return from Part X for each year listed **b** 85% of line 2a c Qualifying distributions from Part XII, line 4 for each year listed d Amounts included in line 2c not used directly for active conduct of exempt activities e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c Complete 3a, b, or c for the alternative test relied upon; a "Assets" alternative test - enter: (1) Value of all assets (2) Value of assets qualifying under section 4942(j)(3)(B)(i) b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) (3) Largest amount of support from an exempt organization (4) Gross investment income Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets Part XV at any time during the year-see instructions.) Information Regarding Foundation Managers: a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).) NONE b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest. NONE Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs: Check here X if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If

the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Form 990-PF (2017) C/O GEORGE F. HEINRICH, M.D.

Part XV Supplementary Information (continued)

13-3071645 Page 11

3 Grants and Contributions Paid During the		Payment		
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager	Foundation status of	Purpose of grant or contribution	Amount
	or substantial contributor	recipient	 	
a Paid during the year				
		•		
AMERICAN FRIENDS OF MAGEN DAVID ADOM	NONE	₽C	GENERAL FUND	
352 SEVENTH AVENUE, SUITE 400				
NEW YORK, NY 10001	 		 	500
		}		
		}		
ANIMAL RESCUE FUND OF THE HAMPTONS	NONE	PC	GENERAL FUND	
91 DANIELS HOLE ROAD		}		
WAINSCOTT, NY 11975		<u> </u>	 	650
		1	1	
		}		
ASPCA	NONE	PC	GENERAL FUND	
424 EAST 92ND STREET		1		
NEW YORK, NY 10128		<u> </u>		1,000
		ł		
		İ		
BEN GURION UNIVERSITY	NONE	PC	EDUCATIONAL-GENERAL	
1114 AVENUE OF AMERICAS			FUND	
NEW YORK, NY 10038				6,700
	{			
COLUMBIA UNIVERSITY	NONE	PC	SCHOLARSHIP	
116TH STREET AND BROADWAY				
NEW YORK, NY 10027				50,000
Total SEE CO	NTINUATION SHE	IT(S)	▶ 3a	140,000
b Approved for future payment				
]	1		
NONE				
			}	
	ļ			
		<u> </u>	 	
			1	
		1		
		1	1	
		<u> </u>		
		1		
		ĺ		
Total			▶ 3b	rm 990-PF (201

Part XVI-A Analysis of Income-Producing Activities

Form 990-PF (2017)

Enter gross amounts unless otherwise indicated.	Unrelated	business income	Exclu	ded by section 512, 513, or 514	(e)
1 Program service revenue:	(a) Business code	(b) Amount	(C) Exclu- sion code	(d) Amount	Related or exempt function income
_	Code				
b			\vdash		
C					
d	_				
e	-		-		
f	-				
g Fees and contracts from government agencies	-				
2 Membership dues and assessments					
3 Interest on savings and temporary cash					
investments	1		14	347.	
4 Dividends and interest from securities			14	12,288.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property	-		16	<98,072.	>
b Not debt-financed property					
6 Net rental income or (loss) from personal					
property					
7 Other investment income					
8 Gain or (loss) from sales of assets other					
than inventory			18	120,733.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
a b	_				
c					
d	_				
e	_				
12 Subtotal. Add columns (b), (d), and (e)		0.		35,296.	0.
13 Total. Add line 12, columns (b), (d), and (e)				13	35,296.
(See worksheet in line 13 instructions to verify calculations.)					
Part XVI-B Relationship of Activities	to the Acco	mplishment of Ex	emp	t Purposes	
Line No. Explain below how each activity for which in the foundation's exempt purposes (other than			contrib	uted importantly to the accom	plishment of
					
					
				- 	·

Form 990-PF (20	017) C/O G	EORGE F.	HEINRI	CH, M.D.		13-30	71645	Pa	ge 13
Part XVII			nsfers to a	nd Transactio	ns an	d Relationships With Nonch	aritable	•	
4 Databases	Exempt Organ					504(4)		Voo	Na
						described in section 501(c)	Ì	Yes	140
•	i section 501(c)(3) organ from the reporting found	•			יפווטוו		ĺ	1	l
(1) Cash	rom the reporting loans	audit to a noncharia	abic exempt of	gamzauon or.			1a(1)		X
(2) Other	assets						1a(2)		X
b Other trans							1.507		
(1) Sales	of assets to a noncharita	ible exempt organiza	ation				1b(1)		X
	ases of assets from a no						1b(2)		X
(3) Rental	of facilities, equipment,	or other assets	-				1b(3)		X
(4) Reimb	ursement arrangements	;					1b(4)		X
(5) Loans	or loan guarantees						1b(5)		X
(6) Perfor	mance of services or me	embership or fundra	using solicitatio	ns			1b(6)		X
c Sharing of	facilities, equipment, ma	uling lists, other ass	ets, or paid em	ployees			10		X
						ays show the fair market value of the goods		sets,	
	• • •			ed less than fair mark	et value	in any transaction or sharing arrangement	, show in		
) the value of the goods,			ovemet ergenization		T (d) 5			
(a)Line no	(b) Amount involved	(c) Name (N/A	exempt organization		(d) Description of transfers, transactions, an	od sharing ar	rangeme	nts
		 	N/A			 			
		 			-	 			
		 				 			
		 				 			
									
			·			<u> </u>			
		1				 			
		<u> </u>				<u> </u>			
		 							
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	1-1 - 1 - 1	1	.1.1.1						
	dation directly or indirec	- ·		or more tax-exempt	organiza	ations described	Yes	Ÿ	□No
	501(c) (other than section mplete the following sch		ecuon 5277				1 TeS	LA	טאו ב
0 11 163, 60	(a) Name of ord			(b) Type of organiz	ation	(c) Description of relatio	nship		
	N/A			(-) -)	-+				
		 	 						
									
		7							
l and had						tatements, and to the best of my knowledge tion of which preparer has any knowledge	May the IHS	discuss	this
Sigir T		717			1.4	Niles of Willes propuled has any knowledge	May the IHS elurn with the shown below	ie prepar i? Se <u>e in</u>	er str
Here	~ W~	7 7		3/17	7,0	VICE PRESIDENT	X Yes	: L_	No,
	ature of or cer or trustee		Today and the	Date		Title L			
ł	Print/Type/preparer's na	aiii e /	Preparer's si	gnature	- 1	Date Check if PTII self- employed	ч		
Paid	СТАМВАВА	TOGEDA	+- h	10	- {	VIVLO	01073	160	
Preparer	GIAMPAPA, Firm's name ► MAR		HYJJD			Firm's EIN ▶11-			
Use Only	min Shame Franc	WO EWNET	TUL			riim sein > 11-	J J T O O		
- (Firm's address ▶ 68	5 THIRD	AVENUE						

Phone no. 212-503-8800

NEW YORK, NY 10017

3 Grants and Contributions Paid During the Yo			 _	
Recipient	If recipient is an individual, show any relationship to	Foundation status of	Purpose of grant or contribution	Amount
Name and address (home or business)	any foundation manager or substantial contributor	recipient	oona ibaaan	_ -
	ļ			
CONGREGATION OF BROTHERS OF ISRAEL	NONE	PC	GENERAL FUND	
250 PARK AVE		1		2.5
LONG BEACH, NJ 07740		 	 	35
PRIENDS OF KAREN	NONE	₽C	GENERAL FUND	
18 TITICUS ROAD	NONE .			
PURDY'S, NY 10578		<u> </u>		1,00
HILLEL HOUSE OF JOHN HOPKINS	NONE	PC	GENERAL FUND	
JNIVERSITY	1	}		
1101 E 33RD ST STE C202	}	•		
BALTIMORE, MD 21218	 	 		3,00
	ļ			
JEWISH FAMILY & CHILDREN SERVICES	NONE	PC	GENERAL FUND	ı
705 SUMMERFIELD AVENUE ASBURY PARK, NJ 07712	}	}		20
			 	
JEWISH FEDERATION OF MONMOUTH COUNTY	NONE	PC	GENERAL FUND	
100 GRAND AVENUE				
DEAL, NJ 07723		ļ		1,00
	}			
JEWISH FEDERATION OF PALM BEACH	NONE	PC	GENERAL FUND	
4601 COMMUNITY DRIVE		}		2 00
WEST PALM BEACH, FL 33417	 	 	 	3,00
TOWN WORKING INVIVENCENCY	TONT T		GOVOT ARGUT R	
JOHN HOPKINS UNIVERSITY 1101 E 33RD ST STE C202	NONE	₽C	SCHOLARSHIP	
BALTIMORE, MD 21218		<u> </u>		38,25
NEW JERSEY HEALTH FOUNDATION	NONE	₽C	GENERAL FUND	
120 ALBANY STREET, TOWER II, SUITE 850	-	1	1	12.00
NEW BRUNSWICK, NJ 08901	 	 	 	12,00
DALM DEACH COIDING GLUD BOUNDANTON	NONE	BC.	CONDON SINIO	
PALM BEACH COUNTY CLUB FOUNDATION 760 NORTH OCEAN BLVD	NONE	PC	GENERAL FUND	{
PALM BEACH, FL 33480				50
TEMPLE BETH MIRIAM	NONE	PC	GENERAL FUND	
180 LINCOLN AVENUE		1]
ELBERON, NJ 07740	1	1	I	1 35

Part XV Supplementary Information Grants and Contributions Paid During the Year (Continuation) Recipient If recipient is an individual, Foundation status of recipient Purpose of grant or contribution show any relationship to Amount any foundation manager Name and address (home or business) or substantial contributor THE HEALTH WAGON NONE ₽C GENERAL FUND PO BOX 7070 2,000. WISE, VA 24293 RESEARCH THE SKIN CANCER FOUNDATION NONE ₽C 245 FIFTH AVENUE NEW YORK, NY 10016 5,000. GENERAL FUND TOYS FOR TOTS NONE ÞС 18251 QUANTICO GATEWAY DR TRIANGLE, VA 22172 1,000. GENERAL FUND UNITED WAY OF PALM BEACH NONE ₽C 2600 QUANTUM ROAD BOYNTON BEACH, FL 33426 1,000. YESHIVA UNIVERSITY NONE ÞС GENERAL FUND 500 WEST 185TH 10,000. NEW YORK, NY 10038 NO KID HUNGRY SHARE OUR STRENGTH NONE РC GENERAL FUND 1030 15TH STREET, NW, SUITE 1100W WASHINGTON, DC 20005 2,500. Total from continuation sheets

FORM 990-PF INTERE	ST ON SAVIN	GS AN	D TEMPOR	ARY (CASH IN	VESTMENTS	STATEMENT	1
SOURCE		;	(A) (B) REVENUE NET INVESTM PER BOOKS INCOME			VESTMENT	STMENT ADJUSTED	
MORGAN STANLEY SMIT	H BARNEY		3	47.		347.		
TOTAL TO PART I, LI	NE 3		3	47.		347.		
FORM 990-PF	DIVIDENDS	AND	INTEREST	FROI	M SECUR	ITIES	STATEMENT	2
SOURCE	GROSS AMOUNT	G.	PITAL AINS IDENDS	REV	(A) JENUE BOOKS	(B) NET INVES MENT INCO	(C) ST- ADJUST OME NET INC	
BCB 380 SOUTH 4TH STREET LLC MORGAN STANLEY SMITH BARNEY MORNINGSIDE	1. 12,2 4 5.		0.	:	1. 12,245.	12,24	1. 45.	
INVESTORS GROUP LLC NOVEL LINCOLN INVESTOR	35. 7.		0.		35. 7.		35 . 7.	
TO PART I, LINE 4	12,288.		0.		12,288.			
FORM 990-PF	1	RENTA	L EXPENS	==== ES			STATEMENT	3
DESCRIPTION			ACTI NUM		A	MOUNT	TATOT	
PASS-THROUGH INCOME K-1	- SU	втота	 L -	1		20,182.	20,2	L82.
PASS-THROUGH INCOME PASS-THROUGH INCOME	- SU	втота	L –	2		38,77 4. 0.	38,	774.
K-1		втота	L -	3		39,116.	39,1	116.
TOTAL RENTAL EXPENS	ES						98,0	072.
NET RENTAL INCOME T	O FORM 990-	PF. P.	ART I. L	INE !	5B		<98,0	72.

FORM 990-PF	ACCOUNTI	NG FEES	S!	ratement 4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES K-1	55.	55.		0.
TO FORM 990-PF, PG 1, LN 16B	55.	55.		0.
FORM 990-PF (OTHER PROFES	SIONAL FEES	S	PATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME		(D) CHARITABLE PURPOSES
INVESTMENT ADVISORY FEES	18,347.	18,347.		0.
TO FORM 990-PF, PG 1, LN 16C	18,347.	18,347.		0.
FORM 990-PF	TAX	ES	S'	TATEMENT 6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME		(D) CHARITABLE PURPOSES
FOREIGN TAX	185.	185.		0.
TO FORM 990-PF, PG 1, LN 18	185.	185.		0.
FORM 990-PF	OTHER E	XPENSES	S	TATEMENT 7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
NYS DEPT OF LAW	250.	0.		250.
TO FORM 990-PF, PG 1, LN 23	250.	0.		250.

FORM 990-PF OTHER DECREA	ASES IN NET ASSETS OR F	FUND BALANCES	STATEMENT 8
DESCRIPTION			AMOUNT
PASS-THROUGH RENTAL LOSSES NOVEL LINCOLN INVESTOR MEME	98,072.		
TOTAL TO FORM 990-PF, PART	III, LINE 5		98,152.
FORM 990-PF	CORPORATE STOCK		STATEMENT 9
DESCRIPTION		BOOK VALUE	FAIR MARKET VALUE
MORGAN STANLEY A/C 552-1280 STATEMENT	026 - SEE ATTACHED	583,552.	1,057,631.
TOTAL TO FORM 990-PF, PART	II, LINE 10B	583,552.	1,057,631.
FORM 990-PF	OTHER INVESTMENTS		STATEMENT 10
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
380 SOUTH 4TH STREET LLC MORNINGSIDE PORTFOLIO LLC NOVEL LINCOLN INVESTOR	COST COST COST	60,864. 122,032. 121,621.	60,864. 122,032. 121,621.
TOTAL TO FORM 990-PF, PART	II, LINE 13	304,517.	304,517.