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Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation

**or Section 4947(a)(1) Trust Treated as Private Foundation**

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to www.irs.gov/Form990PF for instructions and the latest information

OMB No 1545-0052

**2017**

**Open to Public Inspection**

For calendar year 2017 or tax year beginning , 2017, and ending , 20

Name of foundation: UNITED STATES-JAPAN FOUNDATION

A Employer identification number: 13-3054425

Number and street (or P O box number if mail is not delivered to street address): 145 EAST 32ND STREET | Room/suite

B Telephone number (see instructions): (212) 481-8735

City or town, state or province, country, and ZIP or foreign postal code: NEW YORK, NY 10016

C If exemption application is pending, check here ▶ [ ]

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [ ] Address change [ ] Name change

D 1 Foreign organizations, check here ▶ [ ]
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation [ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 83,939,941.

J Accounting method: [ ] Cash [X] Accrual [ ] Other (specify) (Part I, column (d) must be on cash basis )

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )

| | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|
| 1 | Contributions, gifts, grants, etc , received (attach schedule) | 35,435. | | | |
| 2 | Check ▶ [ ] if the foundation is not required to attach Sch B | | | | |
| 3 | Interest on savings and temporary cash investments | 190,788. | 190,788. | | |
| 4 | Dividends and interest from securities | 1,365,018. | 1,365,018. | | |
| 5a | Gross rents | | | | |
| b | Net rental income or (loss) | | | | |
| 6a | Net gain or (loss) from sale of assets not on line 10 | 210,223. | | | |
| b | Gross sales price for all assets on line 6a 19,802,162. | | | | |
| 7 | Capital gain net income (from Part IV, line 2) | | 172,474. | | |
| 8 | Net short-term capital gain | | | | |
| 9 | Income modifications | | | | |
| 10a | Gross sales less returns and allowances | | | | |
| b | Less Cost of goods sold | | | | |
| c | Gross profit or (loss) (attach schedule) | | | | |
| 11 | Other income (attach schedule) ATCH 1 | -78,051. | 5,360. | | |
| 12 | **Total** Add lines 1 through 11 | 1,723,413. | 1,733,640. | | |
| 13 | Compensation of officers, directors, trustees, etc | 567,527. | 93,132. | | 474,395. |
| 14 | Other employee salaries and wages | 468,781. | 13,816. | | 454,965. |
| 15 | Pension plans, employee benefits | 403,044. | 43,808. | | 359,236. |
| 16a | Legal fees (attach schedule) | | | | |
| b | Accounting fees (attach schedule) ATCH 2 | 130,000. | 61,000. | | 69,000. |
| c | Other professional fees (attach schedule) [3] | 656,880. | 654,094. | | |
| 17 | Interest | | | | |
| 18 | Taxes (attach schedule) (see instructions)[4] | 7,817. | | | |
| 19 | Depreciation (attach schedule) and depletion | 43,956. | | | |
| 20 | Occupancy | 427,835. | 15,376. | | 412,459. |
| 21 | Travel, conferences, and meetings | 246,583. | 63,203. | | 183,380. |
| 22 | Printing and publications | | | | |
| 23 | Other expenses (attach schedule) ATCH 5 | 836,952. | 4,739. | | 832,213. |
| 24 | **Total operating and administrative expenses.** Add lines 13 through 23 | 3,789,375. | 949,168. | | 2,785,648. |
| 25 | Contributions, gifts, grants paid | 1,564,074. | | | 1,793,104. |
| 26 | **Total expenses and disbursements** Add lines 24 and 25 | 5,353,449. | 949,168. | 0. | 4,578,752. |
| 27 | Subtract line 26 from line 12 | | | | |
| a | **Excess of revenue over expenses and disbursements** | -3,630,036. | | | |
| b | **Net investment income** (if negative, enter -0-) | | 784,472. | | |
| c | **Adjusted net income** (if negative, enter -0-) | | | | |

Revenue

Operating and Administrative Expenses

SCANNED FEB 27 2019

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**Part II Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

| | Line | Description | Beginning of year (a) Book Value | End of year (b) Book Value | End of year (c) Fair Market Value |
|---|---|---|---|---|---|
| Assets | 1 | Cash - non-interest-bearing | 121,276. | 156,162. | 156,162. |
| | 2 | Savings and temporary cash investments | 4,209,109. | 1,821,768. | 1,821,768. |
| | 3 | Accounts receivable ▶ | | | |
| | | Less allowance for doubtful accounts ▶ | | | |
| | 4 | Pledges receivable ▶ | | | |
| | | Less allowance for doubtful accounts ▶ | | | |
| | 5 | Grants receivable | | | |
| | 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | |
| | 7 | Other notes and loans receivable (attach schedule) ▶ | | | |
| | | Less allowance for doubtful accounts ▶ | | | |
| | 8 | Inventories for sale or use | | | |
| | 9 | Prepaid expenses and deferred charges ATCH 6 | 67,415. | 120,231. | 120,231. |
| | 10a | Investments - U S and state government obligations (attach schedule) | | | |
| | b | Investments - corporate stock (attach schedule) ATCH 7 | 9,792,769. | 6,458,677. | 6,458,677. |
| | c | Investments - corporate bonds (attach schedule) | | | |
| | 11 | Investments - land, buildings, and equipment basis ▶ | | | |
| | | Less accumulated depreciation (attach schedule) ▶ | | | |
| | 12 | Investments - mortgage loans | | | |
| | 13 | Investments - other (attach schedule) ATCH 8 | 63,738,665. | 74,759,839. | 74,759,839. |
| | 14 | Land, buildings, and equipment basis ▶ 1,724,560. | | | ATCH 9 |
| | | Less accumulated depreciation (attach schedule) ▶ 1,409,468. | 356,612. | 315,092. | 315,092. |
| | 15 | Other assets (describe ▶ ATCH 10 ) | 835,928. | 308,172. | 308,172. |
| | 16 | Total assets (to be completed by all filers - see the instructions Also, see page 1, item I) | 79,121,774. | 83,939,941. | 83,939,941. |
| Liabilities | 17 | Accounts payable and accrued expenses | 63,307. | 109,734. | |
| | 18 | Grants payable | 346,380. | 119,047. | |
| | 19 | Deferred revenue | | | |
| | 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 | Mortgages and other notes payable (attach schedule) | | | |
| | 22 | Other liabilities (describe ▶ ATCH 11 ) | 59,724. | 146,015. | |
| | 23 | **Total liabilities** (add lines 17 through 22) | 469,411. | 374,796. | |
| Net Assets or Fund Balances | | **Foundations that follow SFAS 117, check here ▶ [X] and complete lines 24 through 26, and lines 30 and 31** | | | |
| | 24 | Unrestricted | 78,652,363. | 83,565,145. | |
| | 25 | Temporarily restricted | | | |
| | 26 | Permanently restricted | | | |
| | | **Foundations that do not follow SFAS 117, check here ▶ [ ] and complete lines 27 through 31.** | | | |
| | 27 | Capital stock, trust principal, or current funds | | | |
| | 28 | Paid-in or capital surplus, or land, bldg, and equipment fund | | | |
| | 29 | Retained earnings, accumulated income, endowment, or other funds | | | |
| | 30 | **Total net assets or fund balances** (see instructions) | 78,652,363. | 83,565,145. | |
| | 31 | **Total liabilities and net assets/fund balances** (see instructions) | 79,121,774. | 83,939,941. | |

**Part III Analysis of Changes in Net Assets or Fund Balances**

| | Description | Line | Amount |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 78,652,363. |
| 2 | Enter amount from Part I, line 27a | 2 | -3,630,036. |
| 3 | Other increases not included in line 2 (itemize) ▶ ATCH 12 | 3 | 8,542,818. |
| 4 | Add lines 1, 2, and 3 | 4 | 83,565,145. |
| 5 | Decreases not included in line 2 (itemize) ▶ | 5 | |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 83,565,145. |

## Part IV Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|---|
| 1 a | SEE PART IV SCHEDULE | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) ((e) plus (f) minus (g)) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) FMV as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | | | |
|---|---|---|---|
| 2 | Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } | 2 | 172,474. |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in Part I, line 8 | 3 | 0. |

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|---|---|---|---|
| 2016 | 4,840,061. | 76,655,624. | 0.063140 |
| 2015 | 6,140,517. | 86,612,575. | 0.070896 |
| 2014 | 4,177,619. | 88,565,391. | 0.047170 |
| 2013 | 3,644,466. | 83,072,418. | 0.043871 |
| 2012 | 3,458,276. | 79,163,849. | 0.043685 |

| | | | |
|---|---|---|---|
| 2 | **Total** of line 1, column (d) | 2 | 0.268762 |
| 3 | Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years | 3 | 0.053752 |
| 4 | Enter the net value of noncharitable-use assets for 2017 from Part X, line 5 | 4 | 80,057,115. |
| 5 | Multiply line 4 by line 3 | 5 | 4,303,230. |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 7,845. |
| 7 | Add lines 5 and 6 | 7 | 4,311,075. |
| 8 | Enter qualifying distributions from Part XII, line 4 | 8 | 4,586,066. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

## Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

| | | | |
|---|---|---|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ▶ [ ] and enter "N/A" on line 1. Date of ruling or determination letter ______ (attach copy of letter if necessary - see instructions) | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b | 1 | 7,845. |
| c | All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b) | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-) | 2 | |
| 3 | Add lines 1 and 2 | 3 | 7,845. |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-) | 4 | 0. |
| 5 | **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0- | 5 | 7,845. |
| 6 | Credits/Payments | | |
| a | 2017 estimated tax payments and 2016 overpayment credited to 2017 — 6a: 81,005. | | |
| b | Exempt foreign organizations - tax withheld at source — 6b | | |
| c | Tax paid with application for extension of time to file (Form 8868) — 6c | | |
| d | Backup withholding erroneously withheld — 6d | | |
| 7 | Total credits and payments Add lines 6a through 6d | 7 | 81,005. |
| 8 | Enter any **penalty** for underpayment of estimated tax Check here [X] if Form 2220 is attached | 8 | |
| 9 | **Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | 9 | |
| 10 | **Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | 10 | 73,160. |
| 11 | Enter the amount of line 10 to be **Credited to 2018 estimated tax** ▶ 73,160. **Refunded** ▶ | 11 | |

## Part VII-A Statements Regarding Activities

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | X |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition | 1b | | X |
| | If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities | | | |
| c | Did the foundation file **Form 1120-POL** for this year? | 1c | | X |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ 0. (2) On foundation managers ▶ $ 0. | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0. | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities | 2 | | X |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes | 3 | | X |
| 4a | Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | X | |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year? | 4b | X | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by *General Instruction T* | 5 | | X |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | X | |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV | 7 | X | |
| 8a | Enter the states to which the foundation reports or with which it is registered See instructions ▶ NY, | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G*? If "No," attach explanation | 8b | X | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the tax year beginning in 2017? See the instructions for Part XIV If "Yes," complete Part XIV | 9 | | X |
| 10 | Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses | 10 | | X |

2

## Part VII-A Statements Regarding Activities *(continued)*

| | | Line | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions | 11 | | X |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |

Website address ▶ WWW.US-JF.ORG

14 The books are in care of ▶ CHRISTINE MANAPAT-SIMS Telephone no ▶ 212-481-8753

Located at ▶ 145 EAST 32ND STREET NEW YORK, NY ZIP+4 ▶ 10016

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

| | | Line | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | X | |
| | See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶ | | | |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

1a During the year, did the foundation (either directly or indirectly)

**(1)** Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No

**(2)** Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No

**(3)** Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No

**(4)** Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No

**(5)** Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No

**(6)** Agree to pay money or property to a government official? (**Exception** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ) ☐ Yes ☒ No

| | | Line | Yes | No |
|---|---|---|---|---|
| b | If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance? See instructions | 1b | | X |
| | Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017? | 1c | | X |

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))

a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? ☐ Yes ☒ No

If "Yes," list the years ▶ ____, ____, ____, ____

| | | Line | Yes | No |
|---|---|---|---|---|
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions ) | 2b | | |

c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here

▶ ____, ____, ____, ____

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No

| | | Line | Yes | No |
|---|---|---|---|---|
| b | If "Yes," did it have excess business holdings in 2017 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017 ) | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017? | 4b | | X |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| 5a | During the year, did the foundation pay or incur any amount to | | | |
| | (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? | ☐ Yes ☒ No | | |
| | (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? | ☐ Yes ☒ No | | |
| | (3) Provide a grant to an individual for travel, study, or other similar purposes? | ☐ Yes ☒ No | | |
| | (4) Provide a grant to an organization other than a charitable, etc, organization described in section 4945(d)(4)(A)? See instructions | ☒ Yes ☐ No | | |
| | (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? | ☐ Yes ☒ No | | |
| b | If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance? See instructions | 5b | | X |
| | Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐ | | | |
| c | If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ATCH 13 | ☒ Yes ☐ No | | |
| | If "Yes," attach the statement required by Regulations section 53 4945-5(d) | | | |
| 6a | Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | ☐ Yes ☒ No | | |
| b | Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | X |
| | If "Yes" to 6b, file Form 8870 | | | |
| 7a | At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? | ☐ Yes ☒ No | | |
| b | If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? | 7b | | |

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation. See instructions.**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| ATCH 14 | | 567,527. | 87,130. | 0. |
| | | | | |
| | | | | |
| | | | | |

**2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| ATCH 15 | | 565,164. | 97,845. | 0. |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 ▶

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."**

| (a) Name and address of each person paid more than $50,000 | (b) Type of service | (c) Compensation |
|---|---|---|
| ATCH 16 | | 441,765. |
| | | |
| | | |
| | | |
| | | |
| **Total** number of others receiving over $50,000 for professional services ▶ | | |

**Part IX-A** **Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|---|---|
| 1 US-JAPAN LEADERSHIP PROGRAM - A PROGRAM WHICH DEVELOPS A NETWORK AMONG GENERATIONS OF LEADERS IN EACH COUNTRY THROUGH CONFERENCES, A WEBSITE, NEWSLETTERS AND FREQUENT REUNIONS. | 705,366. |
| 2 | |
| 3 | |
| 4 | |

**Part IX-B** **Summary of Program-Related Investments** (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|---|---|
| 1 NONE | |
| 2 | |
| All other program-related investments See instructions<br>3 NONE | |
| **Total.** Add lines 1 through 3 ▶ | |

**Part X** **Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations, see instructions.)

| | | | |
|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes | | |
| a | Average monthly fair market value of securities | 1a | 80,493,201. |
| b | Average of monthly cash balances | 1b | 250,454. |
| c | Fair market value of all other assets (see instructions) | 1c | 532,604. |
| d | **Total** (add lines 1a, b, and c) | 1d | 81,276,259. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | |
| 3 | Subtract line 2 from line 1d | 3 | 81,276,259. |
| 4 | Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions) | 4 | 1,219,144. |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4 | 5 | 80,057,115. |
| 6 | **Minimum investment return.** Enter 5% of line 5 | 6 | 4,002,856. |

**Part XI** **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ▶ ☐ and do not complete this part )

| | | | | | |
|---|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | | | 1 | 4,002,856. |
| 2a | Tax on investment income for 2017 from Part VI, line 5 | 2a | 7,845. | | |
| b | Income tax for 2017 (This does not include the tax from Part VI ) | 2b | | | |
| c | Add lines 2a and 2b | | | 2c | 7,845. |
| 3 | Distributable amount before adjustments Subtract line 2c from line 1 | | | 3 | 3,995,011. |
| 4 | Recoveries of amounts treated as qualifying distributions | | | 4 | 14. |
| 5 | Add lines 3 and 4 | | | 5 | 3,995,025. |
| 6 | Deduction from distributable amount (see instructions) | | | 6 | |
| 7 | **Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1 | | | 7 | 3,995,025. |

**Part XII** **Qualifying Distributions** (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes | | |
| a | Expenses, contributions, gifts, etc - total from Part I, column (d), line 26 | 1a | 4,578,752. |
| b | Program-related investments - total from Part IX-B | 1b | |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes | 2 | 7,314. |
| 3 | Amounts set aside for specific charitable projects that satisfy the | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | **Qualifying distributions** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 4,586,066. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b See instructions | 5 | 7,845. |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 4,578,221. |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

## Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2016 | (c) 2016 | (d) 2017 |
|---|---|---|---|---|
| 1 Distributable amount for 2017 from Part XI, line 7 | | | | 3,995,025. |
| 2 Undistributed income, if any, as of the end of 2017 | | | | |
| a Enter amount for 2016 only | | | | |
| b Total for prior years 20 15 ,20 14 ,20 13 | | | | |
| 3 Excess distributions carryover, if any, to 2017 | | | | |
| a From 2012 | | | | |
| b From 2013 | | | | |
| c From 2014 | | | | |
| d From 2015 415,344. | | | | |
| e From 2016 1,000,746. | | | | |
| f Total of lines 3a through e | 1,416,090. | | | |
| 4 Qualifying distributions for 2017 from Part XII, line 4 ▶ $ 4,586,066. | | | | |
| a Applied to 2016, but not more than line 2a | | | | |
| b Applied to undistributed income of prior years (Election required - see instructions) | | | | |
| c Treated as distributions out of corpus (Election required - see instructions) | | | | |
| d Applied to 2017 distributable amount | | | | 3,995,025. |
| e Remaining amount distributed out of corpus | 591,041. | | | |
| 5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a) ) | | | | |
| 6 **Enter the net total of each column as indicated below:** | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 2,007,131. | | | |
| b Prior years' undistributed income Subtract line 4b from line 2b | | | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | | | |
| d Subtract line 6c from line 6b Taxable amount - see instructions | | | | |
| e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount - see instructions | | | | |
| f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018 | | | | |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) | | | | |
| 8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions) | | | | |
| 9 **Excess distributions carryover to 2018.** Subtract lines 7 and 8 from line 6a | 2,007,131. | | | |
| 10 Analysis of line 9 | | | | |
| a Excess from 2013 | | | | |
| b Excess from 2014 | | | | |
| c Excess from 2015 415,344. | | | | |
| d Excess from 2016 1,000,746. | | | | |
| e Excess from 2017 591,041. | | | | |

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling . . . . . . . . . . . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section [ ] 4942(j)(3) or [ ] 4942(j)(5)

| | Tax year | Prior 3 years | | | |
|---|---|---|---|---|---|
| | (a) 2017 | (b) 2016 | (c) 2015 | (d) 2014 | (e) Total |
| 2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon | | | | | |
| a "Assets" alternative test - enter | | | | | |
| (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c "Support" alternative test - enter | | | | | |
| (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

**Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)**

**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2) )

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [ ] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc , to individuals or organizations under other conditions, complete items 2a, b, c, and d See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed

ATCH 17

b The form in which applications should be submitted and information and materials they should include

ATCH 18

c Any submission deadlines

ATCH 19

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

ATCH 20

**Part XV Supplementary Information** *(continued)*

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| ATCH 21 | | | | |
| **Total** . . . . . . . . . . . . ▶ **3a** | | | | 1,793,104. |
| **b** *Approved for future payment* | | | | |
| ATCH 22 | | | | |
| **Total** . . . . . . . . . . . . ▶ **3b** | | | | 119,047. |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income (See instructions ) |
|---|---|---|---|---|---|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | 14 | 190,788. | |
| 4 Dividends and interest from securities | | | 14 | 1,365,018. | |
| 5 Net rental income or (loss) from real estate | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | 525990 | 37,749. | 18 | 172,474. | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue a | | | | | |
| b ATCH 23 | | -83,411. | | 5,360. | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal Add columns (b), (d), and (e) | | -45,662. | | 1,733,640. | |

13 Total Add line 12, columns (b), (d), and (e) . . . 13 1,687,978.

(See worksheet in line 13 instructions to verify calculations )

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions ) |
|---|---|
| | |

**Part XVII** **Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations**

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| | (1) Cash | 1a(1) | | X |
| | (2) Other assets | 1a(2) | | X |
| b | Other transactions: | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| | (4) Reimbursement arrangements | 1b(4) | | X |
| | (5) Loans or loan guarantees | 1b(5) | | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

| (a) Line no | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | | N/A |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

[signature] 11-12-18 President

Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below? See instructions ☒Yes ☐No

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's signature | Date | Check ☐ if self-employed | PTIN |
|---|---|---|---|---|
| MARIE ARRIGO | Marie Arrigo CPA | 11/12/2018 | | P00058583 |
| Firm's name ▶ EISNER AMPER LLP | | | Firm's EIN ▶ 13-1639826 | |
| Firm's address ▶ 750 Third Ave, New York NY 10017-2703 | | | Phone no 212-891-4232 | |

**Schedule B**
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

# Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to *www.irs.gov/Form990* for the latest information.

OMB No 1545-0047

**2017**

**Name of the organization**
UNITED STATES-JAPAN FOUNDATION

**Employer identification number**
13-3054425

**Organization type** (check one)

| **Filers of:** | **Section:** |
|---|---|
| Form 990 or 990-EZ | ☐ 501(c)( ) (enter number) organization |
| | ☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation |
| | ☐ 527 political organization |
| Form 990-PF | ☒ 501(c)(3) exempt private foundation |
| | ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation |
| | ☐ 501(c)(3) taxable private foundation |

Check if your organization is covered by the **General Rule** or a **Special Rule.**

**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

**General Rule**

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

**Special Rules**

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000, or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ $ ____________

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization UNITED STATES-JAPAN FOUNDATION

Employer identification number 13-3054425

**Part I** **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed

| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
|---|---|---|---|
| 1 | TANAKA MEMORIAL FOUNDATION<br>711 FIFTH AVENUE, 16TH FLOOR<br>NEW YORK, NY 10022 | $ 10,000. | Person [X]<br>Payroll [ ]<br>Noncash [ ]<br>(Complete Part II for noncash contributions ) |
| 2 | MITSUBISHI<br>655 3RD AVE<br>NEW YORK, NY 10017 | $ 17,797. | Person [X]<br>Payroll [ ]<br>Noncash [ ]<br>(Complete Part II for noncash contributions ) |
| | | $ | Person [ ]<br>Payroll [ ]<br>Noncash [ ]<br>(Complete Part II for noncash contributions ) |
| | | $ | Person [ ]<br>Payroll [ ]<br>Noncash [ ]<br>(Complete Part II for noncash contributions ) |
| | | $ | Person [ ]<br>Payroll [ ]<br>Noncash [ ]<br>(Complete Part II for noncash contributions ) |
| | | $ | Person [ ]<br>Payroll [ ]<br>Noncash [ ]<br>(Complete Part II for noncash contributions ) |

Name of organization UNITED STATES-JAPAN FOUNDATION

Employer identification number 13-3054425

**Part II** **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed.

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions.) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions ) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions ) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions ) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions ) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions.) | (d) Date received |
|---|---|---|---|
| | | $ | |

Name of organization UNITED STATES-JAPAN FOUNDATION

Employer identification number 13-3054425

**Part III** ***Exclusively*** **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor.** Complete columns **(a)** through **(e)** and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **$1,000 or less** for the year (Enter this information once See instructions.) ▶ $ ____________
Use duplicate copies of Part III if additional space is needed.

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

(e) Transfer of gift

| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
|---|---|
| | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

(e) Transfer of gift

| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
|---|---|
| | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

(e) Transfer of gift

| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
|---|---|
| | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

(e) Transfer of gift

| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
|---|---|
| | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | PUBLICLY TRADED SECURITIES | | | | P | | |
| 13159406. | | 13188357. | | | | | -28,951. | |
| | | ALPHAGEN (PASS THRU) | | | | P | | |
| 2,008. | | | | | | | 2,008. | |
| | | FARALLON (PASS THRU) | | | | P | | |
| 92,792. | | | | | | | 92,792. | |
| | | GEMELLI (PASS THRU) | | | | P | | |
| 6,725. | | 255,691. | | | | | -248,966. | |
| | | HEALTHCARE ROYALTY (PASS THRU) | | | | P | | |
| 534,335. | | 534,489. | | | | | -154. | |
| | | JP EURO POOLED (PASS THRU) | | | | P | | |
| 51,401. | | | | | | | 51,401. | |
| | | JP US POOLED (PASS THRU) | | | | P | | |
| 137,788. | | | | | | | 137,788. | |
| | | KKR ENERGY (PASS THRU) | | | | P | | |
| 391,157. | | 454,131. | | | | | -62,974. | |
| | | CROSSHARBOR INST. (PASS THRU) | | | | P | | |
| 120,000. | | 117,540. | | | | | 2,460. | |
| | | OCA STRATEGOS (PASS THRU) | | | | P | | |
| 3,217,309. | | 3,058,807. | | | | | 158,502. | |
| | | OCA VAN ECK (PASS THRU) | | | | P | | |
| 2,016,430. | | 1,966,707. | | | | | 49,723. | |
| | | OCA BREDS (PASS THRU) | | | | P | | |
| 53,986. | | 53,966. | | | | | 20. | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | JP US DIRECT | | | | P | | |
| 18,825. | | | | | | | 18,825. | |
| TOTAL GAIN(LOSS) | | | | | | | 172,474. | |

ATTACHMENT 1

## FORM 990PF, PART I - OTHER INCOME

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|---|
| NET PARTNERSHP PASS-THRU INCOME - OTHER | -68,193. | 15,218. |
| SECTION 965 INCLUSION THROUGH PARTNERSHIP INVESTMENTS | 4,269. | 4,269. |
| FOREIGN CURRENCY EXCHANGE LOSS | -14,127. | -14,127. |
| TOTALS | -78,051. | 5,360. |

ATTACHMENT 2

FORM 990PF, PART I - ACCOUNTING FEES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME | ADJUSTED NET INCOME | CHARITABLE PURPOSES |
|---|---|---|---|---|
| ACCOUNTING FEES | 90,000. | 45,000. | | 45,000. |
| AUDIT AND TAX RETURN PREPARATION FEES | 40,000. | 16,000. | | 24,000. |
| TOTALS | 130,000. | 61,000. | | 69,000. |

## FORM 990PF, PART I - OTHER PROFESSIONAL FEES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|---|
| INVESTMENT ADVISORY AND MANAGEMENT FEES | 656,880. | 656,880. |
| LESS: DISALLOWED SECTION 965 DEDUCTIONS INCLUDED IN INVESTMENT RELATED FEES | | -2,786. |
| TOTALS | 656,880. | 654,094. |

ATTACHMENT 4

## FORM 990PF, PART I - TAXES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS |
|---|---|
| FEDERAL EXCISE TAXES | 7,817. |
| TOTALS | 7,817. |

ATTACHMENT 5

FORM 990PF, PART I - OTHER EXPENSES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME | CHARITABLE PURPOSES |
|---|---|---|---|
| GENERAL OFFICE AND MISC. | 82,024. | 4,101. | 77,923. |
| PROGRAM PROJECT/DEVELOPMENT | 30,998. | | 30,998. |
| TELEPHONE AND FACSIMILE | 10,163. | 472. | 9,691. |
| DUES | 9,576. | | 9,576. |
| POSTAGE AND MESSENGER | 3,314. | 166. | 3,148. |
| US-JAPAN LEADERSHIP PROGRAM | 700,877. | | 700,877. |
| TOTALS | 836,952. | 4,739. | 832,213. |

ATTACHMENT 6

FORM 990PF, PART II - PREPAID EXPENSES AND DEFERRED CHARGES

| DESCRIPTION | BEGINNING BOOK VALUE | ENDING BOOK VALUE | ENDING FMV |
|---|---|---|---|
| PREPAID EXPENSES | 21,410. | 32,043. | 32,043. |
| PREPAID FEDERAL EXCISE TAXES | 46,005. | 88,188. | 88,188. |
| TOTALS | 67,415. | 120,231. | 120,231. |

ATTACHMENT 7

FORM 990PF, PART II - CORPORATE STOCK

| DESCRIPTION | BEGINNING BOOK VALUE | ENDING BOOK VALUE | ENDING FMV |
|---|---|---|---|
| ACCENTURE LTD | 35,139. | | |
| AECOM | 139,913. | 96,144. | 96,144. |
| AERCAP HOLDINGS NV | 189,617. | 161,250. | 161,250. |
| AETNA INC | 198,664. | 194,460. | 194,460. |
| AFLAC INC | 120,756. | 102,439. | 102,439. |
| AFFILIATED MANAGERS GROUP | | 126,845. | 126,845. |
| ALPHABET INC. CI A | 140,264. | | |
| ALPHABET INC. CL C | 160,539. | 217,651. | 217,651. |
| AMERICAN TOWER CORP | 223,408. | 277,208. | 277,208. |
| AMERICAN WOODMARK CORP. | 78,185. | 135,330. | 135,330. |
| AMERIPRISE FINANCIAL INC | 114,490. | 117,612. | 117,612. |
| AMETEK INC. | 21,530. | 32,104. | 32,104. |
| ANTHEM INC | 171,230. | 180,233. | 180,233. |
| AON PLC. | 165,399. | 198,722. | 198,722. |
| APPLE INC. | 127,402. | | |
| ARRIS GROUP INC | 140,346. | 80,487. | 80,487. |
| ASHTEND GROUP PLC. | 81,568. | 112,584. | 112,584. |
| ASSURANT INC | 160,091. | 116,974. | 116,974. |
| AVIS BUDGET GROUP INC | 109,233. | 87,892. | 87,892. |
| BRISTOL MYERS SQUIBB CO | 46,752. | | |
| BROADCOM LTD | 359,373. | 351,182. | 351,182. |
| BROOKFIELD ASSET MANAGEMENT IN | 129,927. | 171,373. | 171,373. |
| CARMAX, INC. | 164,130. | 163,467. | 163,467. |
| CELANESE CORP-SERIES A | 160,551. | 146,807. | 146,807. |
| CELGENE CORP | 115,750. | | |
| CHARLES SCHWAB CORP | 146,947. | | |
| COMCAST CORP-CLASS A | 151,220. | | |
| COMMSCOPE HOLDING CO INC | 152,855. | 104,562. | 104,562. |
| CORNING INC | 156,081. | 138,389. | 138,389. |

ATTACHMENT 7 (CONT'D)

FORM 990PF, PART II - CORPORATE STOCK

| DESCRIPTION | BEGINNING BOOK VALUE | ENDING BOOK VALUE | ENDING FMV |
|---|---|---|---|
| COSTCO WHOLESALE CORP | 64,845. | | |
| DANAHER CORP | 108,976. | | |
| DRIVE SHACK INC | | 90,399. | 90,399. |
| DOLLAR TREE INC | 115,770. | | |
| EATON CORP PLC | 105,801. | | |
| EDWARDS LIFESCIENCES CORP | 103,070. | | |
| ENCORE CAPITAL GROUP, INC. | 112,279. | 164,990. | 164,990. |
| EOG RESOURCES INC | 132,138. | 94,853. | 94,853. |
| FACEBOOK INC | 126,555. | | |
| FIDELITY NATIONAL INFORMATION | 60,512. | | |
| GAMING AND LEISURE PROPERTIES, | 82,123. | 99,233. | 99,233. |
| FLEX LTD | | 104,270. | 104,270. |
| GOODYEAR TIRE & RUBBER CO | 152,251. | 107,172. | 107,172. |
| HENRY SCHEIN, INC. | 40,962. | 37,735. | 37,735. |
| HCA HEALTHCARE | | 122,888. | 122,888. |
| HERTZ RENTAL CAR HOLD CO | 24,837. | 17,128. | 17,128. |
| HEXCEL CORP. | 100,051. | 120,298. | 120,298. |
| HONEYWELL INTL INC | 92,680. | | |
| INTERCONTINENTAL EXCHANGE INC | 97,889. | | |
| JOHNSON CONTROLS INC | 100,298. | 62,424. | 62,424. |
| KRAFT-HEINZ COMPANY | 130,980. | | |
| LAUDER ESTEE COS INC CL A | 84,139. | | |
| LIBERTY INTERACTIVE CORP Q-A | 81,798. | 67,253. | 67,253. |
| LINCOLN NATIONAL CORP | 185,887. | 145,054. | 145,054. |
| MARKEL CORP. | 208,035. | 262,000. | 262,000. |
| MARLIN BUSINESS SERVICES CORP. | 92,378. | 99,008. | 99,008. |
| MASTERCARD INC - CLASS A | 72,275. | | |
| MEDTRONIC PLC | 64,107. | | |
| MICROSEMI CORP | 164,824. | 106,089. | 106,089. |

ATTACHMENT 7 (CONT'D)

FORM 990PF, PART II - CORPORATE STOCK

| DESCRIPTION | BEGINNING BOOK VALUE | ENDING BOOK VALUE | ENDING FMV |
|---|---|---|---|
| MICROSOFT CORP | 124,280. | | |
| MISTRAS GROUP, INC. | 59,988. | 54,826. | 54,826. |
| MONDELEZ INTERNATIONAL | 128,557. | | |
| MONSTER BEVERAGE CORP | 83,803. | | |
| NATIONAL OILWELL VARCO INC | 60,204. | 38,974. | 38,974. |
| NCR CORPORATION | 157,616. | 88,850. | 88,850. |
| NVR INC | | 77,181. | 77,181. |
| O'REILLY AUTOMOTIVE, INC. | 224,120. | 193,635. | 193,635. |
| OWENS-ILLINOIS INC | 62,467. | 53,496. | 53,496. |
| PEPSICO INC. | 125,556. | | |
| PRICELINE GROUP, INC | 118,751. | | |
| QUALCOMM INC. | 117,360. | | |
| SCHWAB CORP | | 191,251. | 191,251. |
| STARBUCKS CORP | 99,936. | | |
| SUNCOR ENERGY INC | 108,498. | 81,959. | 81,959. |
| SYMANTEC CORP | 120,621. | | |
| TE CONNECTIVITY LTD | 143,687. | 132,581. | 132,581. |
| TENNECO INC | 142,744. | 89,976. | 89,976. |
| THERMO FISHER SCIENTIFIC | 106,107. | | |
| TJX COMPANIES INC. | 30,052. | | |
| TWENTY-FIRST CENTURY FOX, INC. | 78,562. | 98,368. | 98,368. |
| UNITED PARCEL SERVICE INC. | 80,248. | | |
| UNITEDHEALTH GROUP, INC. | 126,752. | | |
| VISA INC. | 132,010. | | |
| WALGREENS BOOTS ALLIANCE INC. | 124,140. | | |
| WESTERN DIGITAL CORP | 98,528. | 77,542. | 77,542. |
| WESTERN UNION CO | 147,544. | 86,857. | 86,857. |
| WHIRLPOOL CORP | 128,511. | 80,271. | 80,271. |
| WILLIS TOWERS WATSON PLC | 118,774. | 98,401. | 98,401. |

ATTACHMENT 7 (CONT'D)

FORM 990PF, PART II - CORPORATE STOCK

| DESCRIPTION | BEGINNING BOOK VALUE | ENDING BOOK VALUE | ENDING FMV |
|---|---|---|---|
| WORLD FUEL SERVICES CORP. | 76,533. | | |
| TOTALS | 9,792,769. | 6,458,677. | 6,458,677. |

ATTACHMENT 8

FORM 990PF, PART II - OTHER INVESTMENTS

| DESCRIPTION | BEGINNING BOOK VALUE | ENDING BOOK VALUE | ENDING FMV |
|---|---|---|---|
| ALPHAGEN EUROPEAN BEST IDEAS F | 2,636,514. | 3,000,379. | 3,000,379. |
| ANCHRGE CAP PRTNRS OFFSHRE LTD | 3,018,306. | 3,240,795. | 3,240,795. |
| ARIEL INTERNATIONAL | | 2,491,408. | 2,491,408. |
| CERBERUS RMBS OPPORTUNITIES | 2,507,552. | | |
| CROSSHARDBOR INSTITUTIONAL PAR | 575,337. | 1,487,071. | 1,487,071. |
| DOUBLELINE | 4,196,807. | 4,357,785. | 4,357,785. |
| EATON VANCE MUTUAL FUND | 2,350,000. | 4,096,771. | 4,096,771. |
| FARALON CAP MGT PRTNRS LP | 257,754. | 231,423. | 231,423. |
| GEMELLI INVESTORS LP | 7,883. | | |
| HEALTHCARE ROYALTY | 46,729. | 600,012. | 600,012. |
| ISHARES MSCI JAPAN INDEX FUND | 3,643,735. | 4,469,278. | 4,469,278. |
| JP MRGN EURO POOL CORP FIN INV | 224,979. | 71,612. | 71,612. |
| JP MRGN US DIR CORP FIN INV | 6,125. | | |
| JP MRGN US POOLED CORP FIN INV | 915,902. | 561,793. | 561,793. |
| KKR ENERGY INCOME & GROWTH FND | 722,786. | 1,472,536. | 1,472,536. |
| MATHEWS ASIA DIV INSTL | 3,210,742. | 4,327,180. | 4,327,180. |
| MIG OFFSHORE FUND LTD | 2,905,924. | 2,932,925. | 2,932,925. |
| OCA BREDS III | 87,103. | 568,623. | 568,623. |
| OCA CAPITAL SOLUTIONS OVERSEAS | 1,072,772. | 1,137,136. | 1,137,136. |
| OCA LCP VIII - 1163 | 579,879. | 1,281,100. | 1,281,100. |
| OCA OHA CREDIT - 1162 | 4,958,878. | 5,306,171. | 5,306,171. |
| OCA PATRIA - 1144 | 133,666. | 427,188. | 427,188. |
| OCA STRATEGOS RBMS FUND | 3,044,362. | | |
| OCA VAN ECK - 1147 | 2,487,464. | | |
| PERSHING SQ INT'L LTD | 2,978,723. | | |
| SACHERN HEAD OFFSHORE | 3,670,208. | 2,765,999. | 2,765,999. |
| VANGUARD 500 INDEX FUND | 12,154,125. | 11,950,733. | 11,950,733. |
| VANGUARD FTSE EMERGING MKTS | 2,582,269. | 5,545,766. | 5,545,766. |
| VANGUARD SML CAP INDX-ADM | 2,762,141. | 3,210,638. | 3,210,638. |
| EDGEWOOD GROWTH FUND | | 3,784,371. | 3,784,371. |
| ANGEL OAK MULTI-STRAT | | 5,441,146. | 5,441,146. |
| TOTALS | 63,738,665. | 74,759,839. | 74,759,839. |

**United States Japan Foundation**
**Schedule Attachment to Form 990-PF**
**December 31, 2017**
**EIN #13-3054425**

**Part I - Line 19 - Depreciation:**

| | | December 31, 2017 |
|---|---|---|
| **Property and equipment at cost:** | | |
| Cooperative apartment | | $ 790,725 |
| Cooperative apartment improvements | | 241,370 |
| Leasehold improvements | | 249,581 |
| Equipment | | 190,537 |
| Furniture and fixtures | | 252,347 |
| | | 1,724,560 |
| Accumulated depreciation and amortization | | (1,409,468) |
| Total | | $ 315,092 |
| **Depreciation and Amortization** | | |
| Opening accumulated depreciation at January 1, 2017 | | $ 1,360,634 |
| 2017 Depreciation expense | $ 43,956 | |
| 2017 Depreciation expense allocated to US-Japan Leadership Program | 4,878 | 48,834 |
| Ending accumulated depreciation at December 31, 2017 | | $ 1,409,468 |

ATTACHMENT 10

## FORM 990PF, PART II - OTHER ASSETS

| DESCRIPTION | BEGINNING BOOK VALUE | ENDING BOOK VALUE | ENDING FMV |
|---|---|---|---|
| SECURITY DEPOSITS | 35,508. | 35,508. | 35,508. |
| INTEREST RECEIVABLE | 4,731. | 3,145. | 3,145. |
| DUE FROM BROKER | 795,689. | 269,519. | 269,519. |
| TOTALS | 835,928. | 308,172. | 308,172. |

ATTACHMENT 11

FORM 990PF, PART II - OTHER LIABILITIES

| DESCRIPTION | | BEGINNING BOOK VALUE | ENDING BOOK VALUE |
|---|---|---|---|
| DEFERRED EXCISE TAXES PAYABLE | | 59,724. | 146,015. |
| | TOTALS | 59,724. | 146,015. |

ATTACHMENT 12

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

| DESCRIPTION | AMOUNT |
|---|---|
| UNREALIZED APPRECIATION ON INVESTMENTS NET OF CHANGE IN DEFERRED EXCISE TAX | 8,542,818. |
| TOTAL | 8,542,818. |

ATTACHMENT 13

FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

GRANTEE'S NAME: AMERASIAN SCHOOL IN OKINAWA
GRANTEE'S ADDRESS: 1 CHOME-15-22 SHIMASHI
CITY, STATE & ZIP: GINOWAN
FOREIGN PROVINCE: OKINAWA PREFECTURE
FOREIGN COUNTRY: JAPAN 90-12213
GRANT DATE: 04/07/2017
GRANT AMOUNT: 17,130.
GRANT PURPOSE: TO SUPPORT THE SALARY FOR AN INFORMATION COMMUNICATION TECHNOLOGY EDUCATION TEACHER.
AMOUNT EXPENDED: 17,130.
ANY DIVERSION? NO
DATES OF REPORTS: ANNUALLY
VERIFICATION DATE: 12/31/2017
RESULTS OF VERIFICATION:
COMPLIANCE WITH GRANT REQUIREMENTS.

GRANTEE'S NAME: INTERNATIONAL HOUSE OF JAPAN
GRANTEE'S ADDRESS: 5-11-16 ROPPONGI, MINATO-KU
CITY, STATE & ZIP: TOKYO
FOREIGN PROVINCE: MINATO-KU
FOREIGN COUNTRY: JAPAN 106-0032
GRANT DATE: 04/07/2017
GRANT AMOUNT: 50,000.
GRANT PURPOSE: SUPPORT DEVELOPMENT OF BROAD COMMUNITY OF YOUNG LEADERS AND SHAPE FUTURE OF ASIA-PACIFIC REGION.
AMOUNT EXPENDED: 50,000.
ANY DIVERSION? NO
DATES OF REPORTS: ANNUALLY
VERIFICATION DATE: 12/31/2017
RESULTS OF VERIFICATION:
COMPLIANCE WITH GRANT REQUIREMENTS.

GRANTEE'S NAME: GLOBAL FUND FOR EDUCATION ASSISTANCE
GRANTEE'S ADDRESS: 1-5-7 JINNAN, SHIBYA-KU
CITY, STATE & ZIP: TOKYO
FOREIGN PROVINCE: SHIBUYA
FOREIGN COUNTRY: JAPAN 150-0041
GRANT DATE: 10/29/2017
GRANT AMOUNT: 93,863.
GRANT PURPOSE: TO SUPPORT THE JIRO MURASE SCHOLARSHIP PROGRAM FOR BEYOND TOMORROW U.S. SUMMER PROGRAM.
AMOUNT EXPENDED: 93,863.
ANY DIVERSION? NO
DATES OF REPORTS: ANNUALLY
VERIFICATION DATE: 12/31/2017
RESULTS OF VERIFICATION:
COMPLIANCE WITH GRANT REQUIREMENTS.

GRANTEE'S NAME: INTERNATIONAL UNIVERSITY OF JAPAN
GRANTEE'S ADDRESS: 777 KOKUSAICHO

CONT'D ON NEXT PAGE

ATTACHMENT 13 (CONT'D)

FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

CITY, STATE & ZIP: NIIGATA
FOREIGN PROVINCE: MINAMI IONUMA
FOREIGN COUNTRY: JAPAN 949-7302
GRANT DATE: 04/07/2017
GRANT AMOUNT: 8,906.
GRANT PURPOSE: SUPPORT JOINT PROJECT OF 6 SCHOLARS TO EXPLORE CONCEPT OF HUMAN SECURITY.
AMOUNT EXPENDED: 8,906.
ANY DIVERSION? NO
DATES OF REPORTS: ANNUALLY
VERIFICATION DATE: 12/31/2017
RESULTS OF VERIFICATION:
COMPLIANCE WITH GRANT REQUIREMENTS.

GRANTEE'S NAME: REBUILD JAPAN INITIATIVE FOUNDATION
GRANTEE'S ADDRESS: 11F ARK HILLS FRONT TOWER
CITY, STATE & ZIP: TOKYO
FOREIGN PROVINCE: MINATO-KU
FOREIGN COUNTRY: JAPAN 107-0052
GRANT DATE: 05/10/2017
GRANT AMOUNT: 51,402.
GRANT PURPOSE: SUPPORT JOINT RESEARCH WITH CSIS TO EXPLORE STENGTHENING OF U.S-JAPAN ECONOMICS
AMOUNT EXPENDED: 51,402.
ANY DIVERSION? NO
DATES OF REPORTS: ANNUALLY
VERIFICATION DATE: 12/31/2017
RESULTS OF VERIFICATION:
COMPLIANCE WITH GRANT REQUIREMENTS.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 14

| NAME AND ADDRESS | TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION | COMPENSATION | CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS | EXPENSE ACCT AND OTHER ALLOWANCES |
|---|---|---|---|---|
| JAMES W. LINTOTT<br>145 EAST 32ND STREET<br>NEW YORK, NY 10016 | CHAIRMAN<br>1.00 | 0. | 0. | 0. |
| AKINARI HORII<br>145 EAST 32ND STREET<br>NEW YORK, NY 10016 | VICE CHAIRMAN<br>1.00 | 0. | 0. | 0. |
| GEORGE R. PACKARD<br>145 EAST 32ND STREET<br>NEW YORK, NY 10016 | PRESIDENT<br>40.00 | 325,000. | 45,900. | 0. |
| TAKESHI UESHIMA<br>145 EAST 32ND STREET<br>NEW YORK, NY 10016 | BOARD SECRETARY<br>1.00 | 0. | 0. | 0. |
| RICHARD E. DYCK<br>145 EAST 32ND STREET<br>NEW YORK, NY 10016 | TRUSTEE<br>1.00 | 0. | 0. | 0. |
| ALEXANDRA MUNROE<br>145 EAST 32ND STREET<br>NEW YORK, NY 10016 | TRUSTEE<br>1.00 | 0. | 0. | 0. |

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 14 (CONT'D)

| NAME AND ADDRESS | TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION | COMPENSATION | CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS | EXPENSE ACCT AND OTHER ALLOWANCES |
|---|---|---|---|---|
| SATORU MURASE<br>145 EAST 32ND STREET<br>NEW YORK, NY 10016 | TRUSTEE<br>1.00 | 0. | 0. | 0. |
| RICHARD J. SAMUELS<br>145 EAST 32ND STREET<br>NEW YORK, NY 10016 | TRUSTEE<br>1.00 | 0. | 0. | 0. |
| THOMAS W. STRAUSS<br>145 EAST 32ND STREET<br>NEW YORK, NY 10016 | TRUSTEE<br>1.00 | 0. | 0. | 0. |
| DANIEL TANI<br>145 EAST 32ND STREET<br>NEW YORK, NY 10016 | TRUSTEE<br>1.00 | 0. | 0. | 0. |
| LAURENCE K. FISH<br>145 EAST 32ND STREET<br>NEW YORK, NY 10016 | TRUSTEE<br>1.00 | 0. | 0. | 0. |
| CAROLINE B. KENNEDY<br>145 EAST 32ND STREET<br>NEW YORK, NY 10016 | TRUSTEE<br>1.00 | 0. | 0. | 0. |

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 14 (CONT'D)

| NAME AND ADDRESS | TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION | COMPENSATION | CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS | EXPENSE ACCT AND OTHER ALLOWANCES |
|---|---|---|---|---|
| YORIKO KAWAGUCHI<br>145 EAST 32ND STREET<br>NEW YORK, NY 10016 | TRUSTEE<br>1.00 | 0. | 0. | 0. |
| TARO KONO<br>145 EAST 32ND STREET<br>NEW YORK, NY 10016 | TRUSTEE<br>1.00 | 0. | 0. | 0. |
| TAKESHI NIINAMI<br>145 EAST 32ND STREET<br>NEW YORK, NY 10016 | TRUSTEE<br>1.00 | 0. | 0. | 0. |
| AKIHIKO TANAKA<br>145 EAST 32ND STREET<br>NEW YORK, NY 10016 | TRUSTEE<br>1.00 | 0. | 0. | 0. |
| YUKAKO UCHINAGA<br>145 EAST 32ND STREET<br>NEW YORK, NY 10016 | TRUSTEE<br>1.00 | 0. | 0. | 0. |
| MARIA CRISTINA MANAPAT-SIMS<br>145 EAST 32ND STREET<br>NEW YORK, NY 10016 | ASST SECRETARY/TREASURER<br>40.00 | 242,527. | 41,230. | 0. |
| | GRAND TOTALS | 567,527. | 87,130. | 0. |

## 990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES

ATTACHMENT 15

| NAME AND ADDRESS | TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION | COMPENSATION | CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS | EXPENSE ACCT AND OTHER ALLOWANCES |
|---|---|---|---|---|
| TOMOYUKI WATANABE<br>145 EAST 32ND STREET<br>NEW YORK, NY 10016 | JAPAN REPRESENTATIVE<br>40.00 | 130,562. | 22,182. | 0. |
| DAVID JANES<br>145 EAST 32ND STREET<br>NEW YORK, NY 10016 | PROGRAM OFFICER<br>40.00 | 149,960. | 25,493. | 0. |
| KELLY NIXON<br>145 EAST 32ND STREET<br>NEW YORK, NY 10016 | PROJECT DIRECTOR<br>40.00 | 111,789. | 19,004. | 0. |
| TERESA L. SHAM<br>145 EAST 32ND STREET<br>NEW YORK, NY 10016 | EXECUTIVE SECRETARY<br>40.00 | 98,435. | 16,734. | 0. |
| AYA TSUJITA<br>145 EAST 32ND STREET<br>NEW YORK, NY 10016 | PROGRAM DIRECTOR<br>40.00 | 74,418. | 14,432. | 0. |
| | TOTAL COMPENSATION | 565,164. | 97,845. | 0. |

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALS

ATTACHMENT 16

| NAME AND ADDRESS | TYPE OF SERVICE | COMPENSATION |
|---|---|---|
| OFFIT ADVISORS<br>140 BROADWAY<br>NEW YORK, NY 10005 | INVESTMENT ADVISORY | 238,909. |
| BERSON & CORRADO<br>25 WEST 43RD STREET<br>NEW YORK, NY 10036 | ACCOUNTING SERVICES | 90,000. |
| JP MORGAN CHASE LYRICAL<br>2 PARK AVENUE<br>NEW YORK, NY 10016 | INV. MGMT FEES | 50,604. |
| ANCHORAGE CAPITAL GROUP, LLC<br>610 BROADWAY<br>NEW YORK, NY 10012 | INV. MGMT FEES | 62,252. |
| | TOTAL COMPENSATION | 441,765. |

ATTACHMENT 17

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

GRANT ADMINISTRATOR - C/O USJF
145 EAST 32ND STREET
NEW YORK, NY 10016
212-481-8761

ATTACHMENT 18

## 990PF, PART XV - FORM AND CONTENTS OF SUBMITTED APPLICATIONS

GRANT ADMINISTRATOR - C/O USJF
APPLICATION SHOULD BE LESS THAN 3 PAGES AND INCLUDE THE FOLLOWING:

1) DESCRIPTION OF APPLICANT INCLUDING TAX EXEMPT STATUS
2) SUMMARY OF PROPOSED PROJECT
3) PRESENT SOURCE OF FUNDS
4) AMOUNT OF PROPOSED GRANT

ATTACHMENT 19

## 990PF, PART XV - SUBMISSION DEADLINES

GRANT ADMINISTRATOR - C/O USJF
NONE

ATTACHMENT 20

## 990PF, PART XV - RESTRICTIONS OR LIMITATIONS ON AWARDS

GRANT ADMINISTRATOR - C/O USJF
INDIVIDUALS APPLYING ON THEIR OWN BEHALF FOR INDEPENDENT STUDY, RESEARCH, TRAVEL OR PARTICIPATION IN MEETINGS ARE NOT ELIGIBLE.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 21

| RECIPIENT NAME AND ADDRESS | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|---|---|---|---|
| AMERASIAN SCHOOL IN OKINAWA<br>1-15-22 SHIMASHI, GINOWAN<br>OKINAWA<br>GINOWAN<br>JAPAN 901-2213 | NONE<br>NC | TO SUPPORT THE SALARY FOR AN INFORMATION COMMUNICATION TECHNOLOGY EDUCATION TEACHER AND TO PROVIDE COLLEGE SCHOLARSHIPS FOR AMERASIAN STUDENTS | 17,130 |
| GLOBAL FUND FOR EDUCATION ASSISTANCE<br>C/O ETIC, APPLE OHMI BLDG , 4F 1-5-<br>TOKYO<br>JINAN SHIBUYA<br>JAPAN 105-0041 | NONE<br>NC | TO SUPPORT THE 2018 JIRO MURASE SCHOLARSHIP PROGRAM SCHOLARSHIPS FOR US STUDENTS TO ATTEND ISAK SUMMER SCHOOL AND HIGH SCHOOL | 93,863 |
| INTERNATIONAL HOUSE OF JAPAN<br>5-11-16 ROPPONGI, MINATO-KU<br>TOKYO<br>MINATO-KU<br>JAPAN 106-0032 | NONE<br>NC | TO SUPPORT THE DEVELOPMENT OF A BROAD COMMUNITY OF FOR BEYOND TOMORROW U S SUMMER PROGRAM 2016 | 50,000 |
| INTERNATIONAL UNIVERSITY OF JAPAN<br>777 KOKUSAICHO, MINAMI IONUMA<br>NIIGATA<br>MINAMI IONUMA<br>JAPAN 949-7302 | NONE<br>NC | TO SUPPORT A JOINT PROJECT OF SIX SCHOLARS TO EXPLORE JUNIOR HIGH SCHOOL'S "PEACE PROJECT" ON JAPANESE STUDENTS AND AMERICAN TEACHERS AS WELL AS TO IMPLEMENT LONG-TERM SCHOOL PARTNERSHIPS | 8,906 |
| REBUILD JAPAN INITIATIVE FOUNDATION<br>11F ARK HILLS FRONT TOWER, ROP 2-23<br>TOKYO<br>MINATO-KU<br>JAPAN 107-0052 | NONE<br>NC | TO SUPPORT A JOINT RESEARCH PROJECT WITH CSIS TO EXPLORE THE FREIVERS FUNDAMENTAL TO STRENGTHENING US-JAPAN ECONOMIC RELATIONS AND TO IDENTIFY OPPORTUNITIES FOR MORE EFFECTIVE COORDINATION OF INTERNATIONAL ECONOMIC POLICY BETWEEN THE UNITED STATES AND JAPAN | 51,402 |

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 21 (CONT'D)

| RECIPIENT NAME AND ADDRESS | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|---|---|---|---|
| BARD COLLEGE<br>525 EAST HOUSTON ST<br>NEW YORK, NY 10002 | NONE<br>PC | TO SUPPORT SCHOLARSHIPS FOR STUDENTS TO PARTICIPATE IN THE BARD HIGH SCHOOL EARLY COLLEGE-NAGOYA UNIVERSITY HIGH SCHOOL SCIENCE EXCHANGE PROGRAM FOR THE 2017-2018 ACADEMIC YEAR | 2,000 |
| BROWARD COUNTY PUBLIC SCHOOLS<br>2400 N. 44TH AVE<br>COCONUT CREEK, FL 33066 | NONE<br>PC | TO SUPPORT THE EXPANSION OF BROWARD COUNTY'S JAPANESE DISTANCE LEARNING PROGRAM TO INCLUDE FACE-TO-FACE INTERACTION BETWEEN THE INSTRUCTOR AND STUDENTS AND TO HOLD A JAPAN DAY CELEBRATION. | 3,760 |
| CENTER FOR INDEPENDENT DOCUMENTARY<br>1300 SOLDIERS FIELD RD , STE 4<br>BOSTON, MA 02135 | NONE<br>PC | TO SUPPORT THE CREATION OF A DOCUMENTARY FILM ON THE HISTORY AND ROLE OF BASEBALL IN U S -JAPAN RELATIONS TITLED "DIAMOND DIPLOMACY" | 20,000 |
| CENTER FOR JAPANESE MENTAL HEALTH<br>2185 S LOS ROBLES AVE<br>SAN MARINO, CA 91108 | NONE<br>PC | TO SUPPORT THE DEVELOPMENT OF A MODERATED WEBSITE TO FOSTER COMMUNICATION AND STRENGTHEN BONDS BETWEEN JAPANESE AND AMERICANS THROUGH SHARED STORIES AND MESSAGES OF MENTAL HEALTH RECOVERY, TRAGEDY, STRUGGLE AND HOPE | 5,000 |
| CREATIVE CONNECTIONS<br>303 WEST AVE ,<br>NORWALK, CT 06850 | NONE<br>PC | TO SUPPORT AN ARTS-BASED CULTURAL EDUCATION PROJECT FOR STUDENTS IN JAPAN AND THE UNITED STATES | 40,545 |
| DREAMYARD<br>1085 WASHINGTON AVE ,<br>BRONX, NY 10456 | NONE<br>PC | TO SUPPORT A JAPAN-KOREA-BRONX POETRY EXCHANGE | 10,000 |

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 21 (CONT'D)

| RECIPIENT NAME AND ADDRESS | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|---|---|---|---|
| EAST CHAPEL HILL HIGH SCHOOL<br>500 WEAVER DAIRY RD<br>CHAPEL HILL, NC 27514 | NONE<br>PC | TO SUPPORT THE EAST CHAPEL HILL HIGH SCHOOL JAPANESE LANGUAGE PROGRAM AND AS PART OF THE 2017 ELGIN HEINZ OUTSTANDING TEACHER AWARD | 5,000 |
| FOREIGN POLICY RESEARCH INSTITUTE<br>1528 WALNUT ST , 610<br>PHILADELPHIA, PA 19102 | NONE<br>PC | TO SUPPORT A TEACHER PREPARATION INITIATIVE FOCUSED ON THREE THEMES IN JAPAN POLITICAL AND SOCIAL STABILITY, MAINTAINING CULTURAL VALUES WHILE SEEKING CHANGE, AND CULTURAL BORROWING | 105,385 |
| GLOBAL KIDS<br>137 E 25TH ST , 2ND FLR<br>NEW YORK, NY 10010 | NONE<br>PC | TO SUPPORT THE GK YOUTH AMBASSADORS PROGRAM TO ENGAGE A COHORT OF AMERICAN STUDENTS IN AN INTENSIVE STUDY OF U S -JAPAN RELATIONS, INCLUDING A TRIP TO JAPAN THAT WILL INCLUDE ENGAGING IN COMMUNITY SERVICE PROJECTS WITH JAPANESE YOUTH | 50,000 |
| INTERNATIONAL CENTER FOR JOURNALISTS<br>2000 M ST , NW, STE 250<br>WASHINGTON, DC 20036 | NONE<br>PC | TO SUPPORT THE 5TH JAPAN-U S -KOREA TRILATERAL FORUM TO TAKE PLACE FROM JANUARY 1 TO JANUARY 6, 2018 | 78,500 |
| INTERNATIONAL STUDENT CONFERENCES<br>1211 CONNECTICUT AVE , NW, STE 420<br>WASHINGTON, DC 20036 | NONE<br>PC | TO SUPPORT THE 5TH JAPAN-U S -KOREA TRILATERAL FOR PRE-COLLEGE EDUCATION PROGRAMS INCLUDING THEIR EDUCATOR'S STUDY TOUR TO JAPAN, THEIR PROFESSIONAL DEVELOPMENT PROGRAM FOR TEACHERS, AND THEIR JUNIOR FELLOWS LEADERSHIP PROGRAM | 18,000 |
| JAPAN CENTER FOR INTERNATIONAL EXCHANGE<br>135 W. 29TH ST , STE 303<br>NEW YORK, NY 10001 | NONE<br>PC | TO SUPPORT AN INTENSIVE PROGRAM FOR U S JOURNALISTS THAT WILL BRING THEM TO JAPAN TO EXPOSE THEM TO THE DYNAMICS OF JAPAN'S POLITICS AND ITS ECONOMIC AND FOREIGN POLICYMAKING | 98,970 |

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 21 (CONT'D)

| RECIPIENT NAME AND ADDRESS | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|---|---|---|---|
| JAPAN CENTER FOR INTERNATIONAL EXCHANGE<br>135 W. 29TH ST , STE 303<br>NEW YORK, NY 10001 | NONE<br>PC | TO SUPPORT AN INTENSIVE PROGRAM FOR US JOURNALISTS THAT WILL BRING THEM TO JAPAN TO EXPOSE THEM TO THE DYNAMICS OF JAPAN'S POLITICS AND ITS ECONOMIC AND FOREIGN POLICY MAKING | 97,326 |
| JAPAN SOCIETY<br>333 E 47TH ST<br>NEW YORK, NY 10017 | NONE<br>PC | TO SUPPORT THE JAPAN SOCIETY OF NEW YORK'S PRE-COLLEGE EDUCATION PROGRAMS INCLUDING THEIR PROFESSIONAL DEVELOPMENT PROGRAM FOR TEACHERS, AND THEIR JUNIOR FELLOWS LEADERSHIP PROGRAM | 75,000 |
| JAPAN-AMERICA SOCIETY OF THE STATE OF WASHINGTON<br>3010 77TH AVE , SE, STE 102<br>MERCER ISLAND, MA 98040 | NONE<br>PC | TO SUPPORT AMERICA IN THE SCHOOLS, A NEW INITIATIVE THAT WILL DEVELOP THE CURRICULUM, TOOLS AND INFRASTRUCTURE TO INTRODUCE AMERICAN CULTURE INTO JAPANESE ELEMENTARY SCHOOLS IN A GRASSROOTS WAY | 75,000 |
| JAPAN-AMERICA SOCIETY OF WASHINGTON, DC<br>1819 L ST , NW, B2<br>WASHINGTON, DC 20036 | NONE<br>PC | TO SUPPORT A NATIONAL JAPANESE LANGUAGE AND CULTURE COMPETITION FOR HIGH SCHOOL STUDENTS | 50,000 |
| KETCHIKAN HIGH SCHOOL<br>2610 FOURTH AVE ,<br>KETCHIKAN, AK 99901 | NONE<br>PC | TO SUPPORT THE EXPANSION OF TEACHING ABOUT JAPAN THROUGHOUT THE KETCHIKAN GATEWAY BOROUGH SCHOOL DISTRICT AND AS PART OF THE 2017 ELGIN HEINZ OUTSTANDING TEACHER AWARD | 5,000 |
| KIZUNA ACROSS CULTURES<br>718 7TH ST , NW, 2ND FLR<br>WASHINGTON, DC 20001 | NONE<br>PC | TO SUPPORT THE GLOBAL CLASSMATES PROGRAM FOR HIGH SCHOOL STUDENTS IN THE U S AND JAPAN, WHICH BUILDS FRIENDSHIPS, ENHANCES LANGUAGE SKILLS, AND DEEPENS CULTURAL KNOWLEDGE THROUGH DYNAMIC ONLINE INTERACTION | 71,000 |

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 21 (CONT'D)

| RECIPIENT NAME AND ADDRESS | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|---|---|---|---|
| LIGHT INDUSTRY CINEMA PROJECTS<br>155 FREEMAN ST<br>BROOKLYN, NY 11222 | NONE<br>PC | TO SUPPORT A DOCUMENTARY FILM PRODUCTION GRANT FOR A FILM INVESTIGATING THE PIVOTAL ROLE JAPANESE ARTISTS OF THE EDO ERA PLAYED IN SETTING THE STATGE FOR THE "MODERN ART" MOVEMEMENT IN THE WEST | 50,000 |
| LELAN STANFORD JUNIOR UNIVERSITY<br>450 SERRA MALL<br>STANFORD, CA 94305 | NONE<br>PC | TO SUPPORT THE THIRD YEAR OF A DISTANCE-LEARNING COURSE SPONSORED BY THE STANFORD PROGRAM ON INTERNATIONAL AND CROSS-CULTURAL EDUCATION (SPICE) THAT WILL ANNUALLY ENROLL EXCEPTIONAL HIGH SCHOOL STUDENTS FROM JAPAN TO ENGAGE IN AN INTENSIVE STUDY OF THE US SOCIETY AND CULTURE | 105,410 |
| MAUREEN AND MIKE MANSFIELD FOUNDATION<br>1156 15TH ST , NW, STE 1150<br>WASHINGTON, DC 20005 | NONE<br>PC | TO SUPPORT THE MANSFIELD FOUNDATION'S THOMAS S FOLEY LEGISLATIVE EXCHANGE | 5,000 |
| MIDORI FOUNDATION (MIDORI AND FRIENDS)<br>352 7TH AVE , STE 301<br>NEW YORK, NY 10001 | NONE<br>PC | TO SUPPORT MIDORI AND FRIENDS' MUSIC EDUCATION PROGRAMS IN UNDERPRIVILEGED ELEMENTARY SCHOOLS IN THE NEW YORK CITY AREA, INCLUDING THEIR JOURNEY TO JAPAN PROGRAM | 50,000 |
| NATIONAL ASSOCIATION OF JAPAN-AMERICA SOCIETIES<br>1819 L ST , NW, STE 200<br>WASHINGTON, DC 20036 | NONE<br>PC | TO SUPPORT THE SECRETARIAT FOR THE MANJIRO CENTER FOR INTERNATIONAL EXCHANGE-U S , WHICH HELPS OPERATE THE ANNUAL MANJIRO U S -JAPAN GRASSROOTS SUMMIT | 15,000 |
| NEW YORK WOMEN IN FILM AND TELEVISION<br>6 E 39TH ST , STE 1200<br>NEW YORK, NY 10016 | NONE<br>PC | TO SUPPORT A DOCUMENTARY FILM ON HIROSHIMA SURVIVOR SETSUKO THURLOW | 25,000 |

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 21 (CONT'D)

| RECIPIENT NAME AND ADDRESS | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|---|---|---|---|
| PORTLAND STATE UNIVERSITY<br>PO BOX 751-CJS<br>PORTLAND, OR 97207 | NONE<br>PC | TO SUPPORT PUBLIC LECTURE AND PERFORMING ARTS PROGRAMS FOCUSED ON JAPAN AT PORTLAND STATE UNIVERSITY'S CENTER FOR JAPANESE STUDIES | 71,856 |
| MIDDLEBURY COLLEGE<br>460 PIERCE ST<br>MONTEREY, CA 93940 | NONE<br>PC | TO SUPPORT AN EDUCATION PROGRAM DESIGNED TO PROMOTE AWARENESS OF NONPROLIFERATION AND INTERNATIONAL PEACE AND SECURITY ISSUES AND THE DEVELOPMENT OF CRITICAL THINKING SKILLS AMONG HIGH SCHOOL STUDENTS IN THE U S AND JAPAN | 80,014 |
| RANDALL'S ISLAND PARK ALLIANCE<br>24 W 61ST ST , 4TH FLR<br>NEW YORK, NY 10023 | NONE<br>PC | TO SUPPORT THE RANDALL'S ISLAND PARK ALLIANCE'S ANNUAL CHERRY BLOSSOM FESTIVAL | 5,000 |
| RUTGERS UNIVERSITY PRESS<br>106 SOMERSET ST , 3RD FLR.<br>NEW BRUNSWICK, NJ 08901 | NONE<br>PC | TO SUPPORT THE PUBLICATION OF BENJAMIN DUKE'S BOOK TITLED, "DR DAVID MURRAY SUPERINTENDENT OF EDUCATION IN THE EMPIRE OF JAPAN 1873-1879" BY RUTGERS UNIVERSITY PRESS | 10,000 |
| S&R FOUNDATION<br>3400 PROSPECT ST , NW<br>WASHINGTON, DC 20007 | NONE<br>PC | TO SUPPORT A TWO-WEEK U S STUDY ABROAD PROGRAM IMPLEMENTED BY THE S&R FOUNDATION FOR JAPANESE UNDERGRADUATE AND GRADUATE STUDENTS THAT IS DESIGNED TO EMPOWER THE NEXT GENERATION OF LEADERS WITH THE SKILLS AND NETWORKS THEY WILL NEED TO THRIVE IN AN INCREASINGLY GLOBALIZED SOCIETY | 59,125 |
| SACHIKO KUNO FOUNDATION<br>2001 L ST , NW, STE 750<br>WASHINGTON, DC 20036 | NONE<br>PC | TO SUPPORT THE JAPAN INSTITUTE FOR SOCIAL INNOVATION AND ENTREPRENEURSHIP'S PROGRAM TO CREATE STRONG TIES AMONG U S AND JAPANESE FEMALE PROFESSIONALS | 5,000 |

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 21 (CONT'D)

| RECIPIENT NAME AND ADDRESS | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|---|---|---|---|
| SUMTER COUNTY SCHOOLS<br>100 LEANING LN<br>AMERICUS, GA 31719 | NONE<br>PC | TO SUPPORT AN EDUCATIONAL PARTNERSHIP AND EXCHANGE BETWEEN SCHOOLS IN SUMTER COUNTY, GEORGIA, AND MIYOSHI, JAPAN, THAT WAS ORIGINALLY STARTED BY FORMER PRESIDENT JIMMY CARTER | 75,600 |
| TABLE FOR TWO USA<br>PO BOX 1103<br>NEW YORK, NY 10163 | NONE<br>PC | TO SUPPORT A JAPANESE INSPIRED FOOD EDUCATION PROGRAM FOR K-12 STUDENTS IN THE U S | 51,782 |
| LELAND STANFORD JUNIOR UNIVERSITY<br>3160 PORTER DR , STE 100<br>PALO ALTO, CA 94304 | NONE<br>PC | TO SUPPORT TWO ON-LINE EVENTS THAT CONNECT U S AND JAPANESE STUDENTS AS PART OF THE 2017 ELGIN HEINZ OUTSTANDING TEACHER AWARD | 5,000 |
| US-JAPAN EXCHANGE & TEACHING PROGRAMME ALUMNI<br>1201 15TH ST , NW, STE 330<br>WASHINGTON, DC 20005 | NONE<br>PC | TO SUPPORT THE ESTABLISHMENT OF THE USJETAA AND THE FIRST-EVER ALL-ALUMNI REUNION | 5,000 |
| US-JAPAN TECHNICAL CONNECTIONS<br>2233 OXBOW RD<br>SOUTH ROYALTON, VT 05068 | NONE<br>PC | TO SUPPORT A TECHNICAL EDUCATION PROGRAM IN FUKUOKA, JAPAN FOR HIGH SCHOOL STUDENTS FROM VERMONT | 27,530 |
| WASHINGTON STATE UNIVERSITY<br>PO BOX 643528<br>PULLMAN, WA 99164 | NONE<br>PC | TO SUPPORT AN INTEGRATED PROGRAM OF PROFESSIONAL DEVELOPMENT OPPORTUNITIES AND RESOURCES FOR SECONDARY SOCIAL STUDIES CLASSROOMS DESIGNED TO RAISE THE PROMINENCE OF JAPAN IN THE SECONDARY STUDIES CURRICULUM | 5,000 |

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 21 (CONT'D)

| RECIPIENT NAME AND ADDRESS | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|---|---|---|---|
| UNIVERSITY OF COLORADO FOUNDATION<br>1800 GRANT STREET<br>DENVER, CO 80203 | NONE<br>PC | TO SUPPORT AN INTEGRATED PROGRAM OF PROFESSIONAL DEVELOPMENT | 110,000 |
| | | TOTAL CONTRIBUTIONS PAID | 1,793,104 |

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT

ATTACHMENT 22

| RECIPIENT NAME AND ADDRESS | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|---|---|---|---|
| ASIA-AMERICA INSTITUTE FOR NEW MUSIC<br>1368 E WILSON AVE<br>SALT LAKE CITY, UT 84105 | NONE<br>PC | TO SUPPORT THE 2018 MAINLAND JAPAN TOUR BRINGING TOGETHER AMERICAN, JAPANESE, AND KOREAN COMPOSERS AND PERFORMERS AND AS PART OF THE FOUNDATION'S DISTINGUISHED SERVICE AWARD TO MR SHIGEAKI MORI | 4,413 |
| MICHIGAN STATE UNIVERSITY<br>426 AUDITORIUM RD , RM 2, CONTRACTS AND GRANTS OF<br>EAST LANSING, MI 48824 | NONE<br>PC | TO SUPPORT THE 2018 MICHIGAN-JAPAN NUCLEAR SCIENCE EXCHANGE PROGRAM FOR JAPANESE AND AMERICAN TEACHERS AND STUDENTS | 114,634 |
| | | TOTAL CONTRIBUTIONS APPROVED | 119,047 |

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 23

| DESCRIPTION | BUSINESS CODE | AMOUNT | EXCLUSION CODE | AMOUNT | RELATED OR EXEMPT FUNCTION INCOME |
|---|---|---|---|---|---|
| PASS THRU INCOME/LOSS FROM LIMITED PARTNERSHIPS | 525990 | -83,411. | 18 | 19,487. | |
| FOREIGN CURRENCT EXCHANGE LOSS | 525990 | | | -14,127. | |
| TOTALS | | -83,411. | | 5,360. | |