

Form **990-PF****Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

Department of the Treasury  
Internal Revenue Service

► Do not enter social security numbers on this form as it may be made public  
► Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information

OMB No 1545-0052

**2017****Open to Public Inspection**

For calendar year 2017 or tax year beginning

, 2017, and ending

, 20

Name of foundation

DORIS DUKE FOUNDATION

A Employer identification number

13-1655241

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

B Telephone number (see instructions)

650 FIFTH AVENUE, 19TH FLOOR

(908) 243-3619

City or town, state or province, country, and ZIP or foreign postal code

NEW YORK, NY 10019

G Check all that apply

☐ Initial return☐ Final return☐ Address change☐ Initial return of a former public charity☒ Amended return☐ Name change

H Check type of organization

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other-taxable private foundation

I Fair market value of all assets at

J Accounting method

☐ Cash☒ Accrual

end of year (from Part II, col (c), line

☐ Other (specify)

16) ► \$ 12,927,460

(Part I, column (d) must be on cash basis)

C If exemption application is pending, check here . . . . .

D 1 Foreign organizations, check here . . . . .

2 Foreign organizations meeting the 85% test, check here and attach computation . . . . .

E If private foundation status was terminated under section 507(b)(1)(A), check here . . . . .

F If the foundation is in a 60-month termination under section 507(b)(1)(B) check here . . . . .

**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

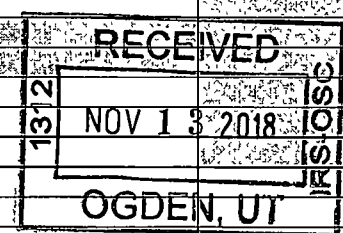
(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1	Contributions, gifts, grants, etc. received (attach schedule)	1,650,000			
2	Check <input type="checkbox"/> if the foundation is not required to attach Sch. B. . . . .				
3	Interest on savings and temporary cash invest.				
4	Dividends and interest from securities	27,240	27,240		
5a	Gross rents . . . . .				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	238,626			
b	Gross sales price for all assets on line 6a				
7	Capital gain net income (from Part IV, line 2)		238,626		
8	Net short-term capital gain . . . . .				
9	Income modifications . . . . .				
10a	Gross sales less returns and allowances . . . . .				
b	Less: Cost of goods sold . . . . .				
c	Gross profit or (loss) (attach schedule) . . . . .				
11	Other income (attach schedule) . . . . .				
12	Total. Add lines 1 through 11 . . . . .	1,915,866	265,866		
13	Compensation of officers, directors, trustees, etc. . . . .	0			
14	Other employee salaries and wages . . . . .				
15	Pension plans, employee benefits . . . . .				
16a	Legal fees (attach schedule) . . . . .				
b	Accounting fees (attach schedule) . . . . .				
c	Other professional fees (attach schedule) . . . . .	28,370	28,370		
17	Interest . . . . .				
18	Taxes (attach schedule) (see instructions) . . . . .				
19	Depreciation (attach schedule) and depletion . . . . .				
20	Occupancy . . . . .				
21	Travel, conferences, and meetings . . . . .				
22	Printing and publications . . . . .				
23	Other expenses (attach schedule) . . . . .	55,083			51,339
24	Total operating and administrative expenses. Add lines 13 through 23. . . . .	83,453	28,370		51,339
25	Contributions, gifts, grants paid . . . . .	100,043			5,363,068
26	Total expenses and disbursements. Add lines 24 and 25 . . . . .	183,496	28,370	0	5,414,407
27	Subtract line 26 from line 12 . . . . .	1,732,370			
a	Excess of revenue over expenses and disbursements . . . . .		237,496		
b	Net investment income (if negative, enter -0-) . . . . .				
c	Adjusted net income (if negative, enter -0-) . . . . .				



Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing . . . . .	102,365	102,407	102,407
	2	Savings and temporary cash investments . . . . .			
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable . . . . .	11,849,439	8,310,787	8,310,787
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . .			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10a	Investments - U.S. and state government obligations (attach schedule) . .			
	b	Investments - corporate stock (attach schedule) ATCH 3 . . . .	4,178,165	4,501,204	4,501,204
	c	Investments - corporate bonds (attach schedule) . . . . .			
	Liabilities	11	Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶		
12		Investments - mortgage loans . . . . .			
13		Investments - other (attach schedule) . . . . .			
14		Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
15		Other assets (describe ▶ ATCH 4 )	13,062	13,062	13,062
16		Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I) . . . . .	16,143,031	12,927,460	12,927,460
17		Accounts payable and accrued expenses . . . . .	53,104	27,369	
18		Grants payable . . . . .	11,219,444	5,987,619	
19		Deferred revenue . . . . .			
20		Loans from officers, directors, trustees, and other disqualified persons . .			
Net Assets or Fund Balances	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶ ATCH 5 )	198,649	197,725	
	23	Total liabilities (add lines 17 through 22) . . . . .	11,471,197	6,212,713	
		Foundations that follow SFAS 117, check here . . . . <input checked="" type="checkbox"/> X and complete lines 24 through 26, and lines 30 and 31			
	24	Unrestricted . . . . .	4,671,834	6,714,747	
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
		Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds . . . . .			
	28	Paid-in or capital surplus, or land, bldg, and equipment fund . . . . .			
Net Assets or Fund Balances	29	Retained earnings, accumulated income, endowment, or other funds . .			
	30	Total net assets or fund balances (see instructions) . . . . .	4,671,834	6,714,747	
	31	Total liabilities and net assets/fund balances (see instructions) . . . . .	16,143,031	12,927,460	

## Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	4,671,834
2	Enter amount from Part I, line 27a . . . . .	2	1,732,370
3	Other increases not included in line 2 (itemize) ▶ ATCH 6	3	310,543
4	Add lines 1, 2, and 3 . . . . .	4	6,714,747
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 . . . .	6	6,714,747

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any			
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	238,626	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in Part I, line 8 . . . . .			3	0	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e) Do not complete this part

**1 Enter the appropriate amount in each column for each year, see the instructions before making any entries**

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	5,772,419	4,383,981	1 316707
2015	5,562,066	4,544,916	1 223800
2014	4,320,038	4,365,928	0 989489
2013	3,880,973	4,393,722	0 883300
2012	1,700,783	5,241,277	0 324498
2 Total of line 1, column (d) . . . . .			2 4 737794
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years . . . . .			3 0 947559
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5 . . . . .			4 4,390,211
5 Multiply line 4 by line 3. . . . .			5 4,159,984
6 Enter 1% of net investment income (1% of Part I, line 27b). . . . .			6 2,375
7 Add lines 5 and 6. . . . .			7 4,162,359
8 Enter qualifying distributions from Part XII, line 4 . . . . . If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions			8 5,414,407

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 . . . . . Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		1	2,375
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		2	
3 Add lines 1 and 2 . . . . .		3	2,375
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		4	0
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0- . . . . .		5	2,375
6 Credits/Payments			
a 2017 estimated tax payments and 2016 overpayment credited to 2017 . . . . .	6a 12,823		
b Exempt foreign organizations - tax withheld at source . . . . .	6b		
c Tax paid with application for extension of time to file (Form 8868), . . . . .	6c		
d Backup withholding erroneously withheld . . . . .	6d		
7 Total credits and payments Add lines 6a through 6d . . . . .	7	12,823	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached . . . . .	8		
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .	9		
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . . .	10	10,448	
11 Enter the amount of line 10 to be Credited to 2018 estimated tax <input checked="" type="checkbox"/> 10,448 Refunded <input type="checkbox"/> <input type="checkbox"/>	11		

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition . . . . . If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year? . . . . .		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input checked="" type="checkbox"/> \$ 0 (2) On foundation managers <input checked="" type="checkbox"/> \$ 0		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input checked="" type="checkbox"/> \$ 0		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .		X
b If "Yes," has it filed a tax return on Form 990-T for this year? . . . . .	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered See instructions <input checked="" type="checkbox"/> DE, NJ, NY,		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation . . . . .	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the tax year beginning in 2017? See the instructions for Part XIV. If "Yes," complete Part XIV . . . . .		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses . . . . .		X

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**Part VII-A Statements Regarding Activities (continued)**

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions . . . . .		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions . . . . .		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>WWW DDCF ORG</u>	X	
14 The books are in care of <u>EILEEN OBERLANDER</u> Telephone no <u>908-243-3619</u> Located at <u>1112 DUKES PARKWAY WEST, HILLSBOROUGH, NJ</u> ZIP+4 <u>08844</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here . . . . . and enter the amount of tax-exempt interest received or accrued during the year . . . . .		15
16 At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . . See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country <u></u>		X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies

	Yes	No
1a During the year, did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . . (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . . (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . . (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . (6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) . . . . . b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions . . . . . Organizations relying on a current notice regarding disaster assistance, check here . . . . . c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017? . . . . .		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? . . . . . If "Yes," list the years <u></u> b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) . . . . . c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u></u>		N/A
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017) . . . . .		N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?		X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

5a	During the year, did the foundation pay or incur any amount to		Yes	No
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.		5b	X
	Organizations relying on a current notice regarding disaster assistance, check here	<input type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
	If "Yes," attach the statement required by Regulations section 53.4945-5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	X
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	N/A

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation. See instructions.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 7		0	0	0

**2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions) If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. NONE

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**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services . . . . . NONE

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3 . . . . .	

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities . . . . .	1a	4,283,011
b	Average of monthly cash balances . . . . .	1b	174,056
c	Fair market value of all other assets (see instructions). . . . .	1c	
d	Total (add lines 1a, b, and c) . . . . .	1d	4,457,067
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . . .	1e	
2	Acquisition indebtedness applicable to line 1 assets . . . . .	2	
3	Subtract line 2 from line 1d . . . . .	3	4,457,067
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions). . . . .	4	66,856
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4 . . . . .	5	4,390,211
6	Minimum investment return. Enter 5% of line 5 . . . . .	6	219,511

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6 . . . . .	1	219,511
2a	Tax on investment income for 2017 from Part VI, line 5 . . . . .	2a	2,375
b	Income tax for 2017 (This does not include the tax from Part VI). . . . .	2b	
c	Add lines 2a and 2b . . . . .	2c	2,375
3	Distributable amount before adjustments. Subtract line 2c from line 1 . . . . .	3	217,136
4	Recoveries of amounts treated as qualifying distributions . . . . .	4	139,038
5	Add lines 3 and 4 . . . . .	5	356,174
6	Deduction from distributable amount (see instructions). . . . .	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. . . . .	7	356,174

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 . . . . .	1a	5,414,407
b	Program-related investments - total from Part IX-B . . . . .	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes . . . . .	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required) . . . . .	3a	
b	Cash distribution test (attach the required schedule) . . . . .	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 . . . . .	4	5,414,407
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions . . . . .	5	2,375
6	Adjusted qualifying distributions. Subtract line 5 from line 4 . . . . .	6	5,412,032

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7 . . . . .				356,174
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only. . . . .				
b Total for prior years 20 <u>15</u> , 20 <u>14</u> , 20 <u>13</u>				
3 Excess distributions carryover, if any, to 2017				
a From 2012 . . . . .				1,441,687
b From 2013 . . . . .				3,664,767
c From 2014 . . . . .				4,104,524
d From 2015 . . . . .				5,338,874
e From 2016 . . . . .				5,557,556
f Total of lines 3a through e . . . . .	20,107,408			
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ \$ <u>5,414,407</u>				
a Applied to 2016, but not more than line 2a . . .				
b Applied to undistributed income of prior years (Election required - see instructions). . . . .				
c Treated as distributions out of corpus (Election required - see instructions) . . . . .	350,000			
d Applied to 2017 distributable amount. . . . .				356,174
e Remaining amount distributed out of corpus. . .	4,708,233			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a) )				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	25,165,641			
b Prior years' undistributed income Subtract line 4b from line 2b. . . . .				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .				
d Subtract line 6c from line 6b Taxable amount - see instructions . . . . .				
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount - see instructions . . . . .				
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018. . . . .				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . .	350,000			
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions) . . .	1,441,687			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a . . . . .	23,373,954			
10 Analysis of line 9				
a Excess from 2013 . . .	3,664,767			
b Excess from 2014 . . .	4,104,524			
c Excess from 2015 . . .	5,338,874			
d Excess from 2016 . . .	5,557,556			
e Excess from 2017 . . .	4,708,233			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling . . . . .

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2017	(b) 2016	(c) 2015	(d) 2014	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .					
b 85% of line 2a . . . . .					
c Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
d Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets. . . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .					
(3) Largest amount of support from an exempt organization. . . . .					
(4) Gross investment income . . . . .					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc , Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc , to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed

ATCH 8

b The form in which applications should be submitted and information and materials they should include

LETTER SUBMISSION - NO PRESCRIBED FORMAT

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

**Part XV** Supplementary Information *(continued)***3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
ATCH 9				
<b>Total</b> . . . . .			<b>3a</b>	5,363,068
<b>b Approved for future payment</b>				
<b>Total</b> . . . . .			<b>3b</b>	NONE

## Enter gross amounts unless otherwise indicated

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions )
▼	

[illegible]

**Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations**

- | 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?   |       | Yes | No |
|---|-------|-----|----|
| a Transfers from the reporting foundation to a noncharitable exempt organization of   |       |     |    |
| (1) Cash . . . . .  | 1a(1) |     | X  |
| (2) Other assets . . . . .  | 1a(2) |     | X  |
| b Other transactions  |       |     |    |
| (1) Sales of assets to a noncharitable exempt organization . . . . .  | 1b(1) |     | X  |
| (2) Purchases of assets from a noncharitable exempt organization . . . . .  | 1b(2) |     | X  |
| (3) Rental of facilities, equipment, or other assets . . . . .  | 1b(3) |     | X  |
| (4) Reimbursement arrangements . . . . .  | 1b(4) |     | X  |
| (5) Loans or loan guarantees . . . . .  | 1b(5) |     | X  |
| (6) Performance of services or membership or fundraising solicitations . . . . .  | 1b(6) |     | X  |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees . . . . .  | 1c    |     | X  |
| d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received |       |     |    |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

**Sign  
Here**

Signature of officer or trustee

Date 11/1/19

► President & CEO

May the IRS discuss this return with the preparer shown below?

See instructions ☒ Yes ☐ No

**Paid  
Preparer  
Use Only**

Print/Type preparer's name  
SCOTT THOMPSETT

Preparer's signature *S. Thompson*

Date	11/5/2018
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Check <input type="checkbox"/> if self-employed	PTIN P00741490
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Firm's name	▶ GRANT THORNTON LLP
Firm's address	▶ 757 THIRD AVENUE, 3RD FLOOR NEW YORK, NY

	Firm's EIN ▶ 36-6055558
10017-2013	Phone no 212-599-0100

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		INVESTMENTS HELD BY DDCF ON DDF'S BEHALF					VAR 238,626	VAR
TOTAL GAIN (LOSS)							<u>238,626</u>	

ATTACHMENT 1FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
INVESTMENT MANAGEMENT FEES	28,370	28,370.		
TOTALS	<u>28,370</u>	<u>28,370</u>		

ATTACHMENT 2FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
MANAGEMENT FEES (PAID TO DDMF)	53,774			50,280
FILING FEES	1,255			1,005
INSURANCE	54			54
TOTALS	55,083			51,339



ATTACHMENT 3FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
VARIOUS INVESTMENTS HELD BY DORIS DUKE CHARITABLE FDN ON DORIS DUKE FDN'S BEHALF	4,178,165	4,501,204	4,501,204
TOTALS	<u>4,178,165</u>	<u>4,501,204</u>	<u>4,501,204</u>

ATTACHMENT 4FORM 990PF, PART II - OTHER ASSETS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
COLLECTIBLES	13,062	13,062	13,062
TOTALS	<u>13,062.</u>	<u>13,062.</u>	<u>13,062</u>

ATTACHMENT 5FORM 990PF, PART II - OTHER LIABILITIES

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>
DUE TO DORIS DUKE CHARITABLE FOUNDATION	2,233	2,232
DUE TO DORIS DUKE MANAGEMENT FOUNDATION	123,453	122,530
DUE TO DUKE FARMS FOUNDATION	72,963	72,963
TOTALS	<u>198,649</u>	<u>197,725</u>

ATTACHMENT 6FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
UNREALIZED GAIN ON INVESTMENTS	310,543
TOTAL	<u>310,543</u>

**Doris Duke Foundation**  
**Form 990-PF - Return of Private Foundation**  
**FYE: 12/31/2017**  
**Attachment 7**

**Form 990-PF, Part VIII - List of Officers, Directors and Trustees**

<b>Name</b>	<b>Title</b>	<b>Hrs Worked</b>	<b>Comp</b>	<b>Employee Benefits</b>	<b>Expense Accounts</b>
Peter A Nadosy	Chairperson	4 hours per year	NONE	NONE	NONE
Anthony S Fauci	Vice Chairperson	4 hours per year	NONE	NONE	NONE
Kathy Halbreich	Director	4 hours per year	NONE	NONE	NONE
Jide Zeitlin	Director	4 hours per year	NONE	NONE	NONE
James F Gill	Director (Thru 10/29/17)	4 hours per year	NONE	NONE	NONE
Nannerl O Keohane	Director	4 hours per year	NONE	NONE	NONE
Angela K Mwanza	Director	4 hours per year	NONE	NONE	NONE
Vishakha N Desai	Director	4 hours per year	NONE	NONE	NONE
Marie Lynn Miranda	Director	4 hours per year	NONE	NONE	NONE
Clive Gillinson	Director (as of 7/1/17)	2 hours per year	NONE	NONE	NONE
William Wright	Director (as of 7/1/17)	2 hours per year	NONE	NONE	NONE
Edward P Henry	Chief Executive Officer/President	1 hours per week	NONE	NONE	NONE
Peter Simmons	Chief Operating Officer/Treasurer	1 hours per week	NONE	NONE	NONE
Erica Dziedzic	Secretary/Counsel	1 hours per week	NONE	NONE	NONE

All Board of Directors members and officers provide services to five related entities (Doris Duke Charitable Foundation, Doris Duke Management Foundation, Duke Farms Foundation, Doris Duke Foundation for Islamic Art, Doris Duke Foundation), and may be reached at the Doris Duke Charitable Foundation's corporate address at 650 Fifth Avenue, 19th Floor, NY, NY, 10019 See Attachment 7(a) Note (1) and Note (2) for further information

**Doris Duke Foundation**  
**Form 990-PF - Return of Private Foundation**  
**FYE: 12/31/2017**  
**Attachment 7a**

**Notes**

(1) The Doris Duke Foundation does not compensate any of its officers directly, the officers are paid compensation by the Doris Duke Management Foundation (DDMF). The hours shown on the Form 990-PF are based on an allocation of time spent on matters related to the Doris Duke Foundation (DDF) and are for informational purposes only. These officers provide services to other related entities (Doris Duke Charitable Foundation (DDCF), Doris Duke Management Foundation (DDMF), Duke Farms Foundation (DFF), and Doris Duke Foundation for Islamic Art (DDFIA) and in the aggregate, hours worked on matters related to these entities equal or exceed 40 hours a week. The allocation of compensation paid by the Doris Duke Management Foundation and allocated to other related entities is based on an annual survey.

(2) The trustees who receive compensation are paid by the Doris Duke Charitable Foundation, even though they provide services to each of the five foundations (DDCF, DDMF, DDF, DFF, and DDFIA). The hours reported on Attachment 7 of the Form 990-PF represent an allocation of the trustees' time spent on matters related to DDF including attendance at Board meetings and various foundation-related events and discussions. These hour allocations are reported to comply with the Form 990-PF reporting requirement, and are for informational purposes only. Trustees have oversight responsibilities and perform multiple tasks across all five foundations, and thus, significant additional hours are reported on the DDCF, DFF, DDMF and DDFIA tax returns.

Doris Duke Foundation  
Form 990-PF - Return of Private Foundation  
FYE: 12/31/2017  
Attachment 8

**Form 990-PF, Part XV - Name, Address and Phone for Applications**

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Public charities (and occasionally, private foundations) may request funding from the Doris Duke Foundation via letter submission. The Foundation does not require any specific prescribed format for the submission.

The name, address and phone number for grant applications is as follows:

Doris Duke Foundation  
650 Fifth Avenue, 19th Floor  
New York, NY 10019  
908-243-3619

The Doris Duke Foundation also provides matching gift donations for the trustees and Doris Duke Artist Awards. Please see Attachments 9 and 11 for more information about each grant initiative.

**Doris Duke Foundation**  
**Form 990-PF - Return of Private Foundation**  
**FYE: 12/31/2017**  
**Attachment 8**

**Form 990-PF, Part XV - Name, Address and Phone for Applications**

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The Doris Duke Foundation provides three different classes of grants: Matching Gift donations, Doris Duke Artist Awards and standard grants to other Section 501(c)(3) organizations (most of which are public charities)

The procedures and criteria for awarding each type of funding is different

**Matching Gifts:**

All non-temporary full-time and part-time foundation employees and board members are eligible to participate in the foundation's Matching Gifts Program immediately upon their date of hire or membership. Donations made to qualifying Section 501(c)(3) charitable organizations will be matched by the foundation up to two times (2x) the donated amount. The maximum amount the foundation will contribute per participant in any calendar year is \$10,000

**Doris Duke Artist Awards:**

Doris Duke Artist Award recipients are selected through a rigorous, anonymous process of peer review. No applications are accepted. Candidates for Doris Duke Artist Awards are selected from a pool of artists who have won at least three designated national grants, awards, accolades or fellowships over the past ten years, with at least one having been funded by the Doris Duke Charitable Foundation. (See attachment 11 for more information) Starting with the 2018 class of artists, a nomination process will be utilized whereby leaders in the arts field will be called upon to add into the candidate pool artists who should be recognized with the award

**Grants to Organizations:**

Public charities (and occasionally, private foundations) may request funding from the Doris Duke Foundation via letter submission. The foundation does not require any specific prescribed format for the submission



Doris Duke Foundation  
Form 990-PF - Return of Private Foundation  
FYE: 12/31/2017  
Attachment 9

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR			
Recipient and/or Purpose	Tax Status	Amount Paid in 2017	Approved for Future Payment
Matching Gifts (as reported in Attachment 9a(1))	501(c)(3) public charities	\$174,416	\$0
Doris Duke Artist Awards Recipients (as reported in Attachments 9a(2) & 10)	N/A	\$5,008,652	\$0
Grants to Organizations (as reported in Attachment 9a(3))	See Attachment 9a(3)	\$180,000	\$0
Total Grants Paid out in 2017		\$5,363,068	\$0

**Doris Duke Foundation**  
**Form 990-PF - Return of Private Foundation**  
**FYE: 12/31/2017**  
**Attachment 9a (1)**

**Form 990-PF, Part XV - Grants and Contributions Paid During the Year**

ORGANIZATION NAME	ADDRESS	CITY	STATE	CASH
AFS Intercultural Programs Inc	71 West 23rd Street, 6th Floor	New York	NY	\$10,000
American Foundation for Suicide Prevention	120 Wall Street, Floor 29	New York	NY	\$60
Bowmans Hill Wildflower Preserve Association Inc	P O Box 685	New Hope	PA	\$200
Camp Fire New Jersey Inc	535 E Franklin Street	Trenton	NJ	\$130
Catholic Relief Services United States Conference of Catholic Bish	228 W Lexington St	Baltimore	MD	\$500
Catholic University of America	620 Michigan Ave	Washington	DC	\$100
Center for Biological Diversity Inc	P O Box 710	Tucson	AZ	\$200
Children International	2000 E Red Bridge Road	Kansas City	MO	\$792
Delaware Riverkeeper Network	925 Canal Street, Suite 3701	Bristol	PA	\$200
Doctors Without Borders USA Inc	40 Rector Street, 16th Floor	New York	NY	\$2,000
East Amwell Historical Society	PO Box 98	Ringoes	NJ	\$200
Fort Greene Park Conservancy Inc	85 South Oxford Street	Brooklyn	NY	\$300
Grantmakers In the Arts	4055 21st Ave W Ste 100	Seattle	WA	\$1,500
Habitat for Humanity International	P O Box 7024	Americus	GA	\$2,000
Half Moon Theatre Inc	P O Box 3484	Poughkeepsie	NY	\$2,000
Hawaii Peace and Justice	2426 Oahu Avenue	Honolulu	HI	\$700
Hawaii Public Radio	738 Kaheka Street	Honolulu	HI	\$1,000
Hunterdon Land Trust	111 Mine St	Flemington	NJ	\$200
Institute for Educational Achievement Inc	381 Madison Avenue	New Milford	NJ	\$300
Mc Daniel College Inc	2 College Hill	Westminster	MD	\$600
Memorial Sloan-Kettering Cancer Center	1275 York Avenue	New York	NY	\$120
Mercado Global Inc	254 36th St, Ste C-308, Unit 41	Brooklyn	NY	\$100
Muhlenberg College	2400 Chew Street	Allentown	PA	\$150
Neshanic Reformed Church	715 Amwell Road	Hillsborough	NJ	\$790
New Jersey Institute of Technology Foundation	323 Dr Martin Luther King Jr Blvd, Ste 315	Newark	NJ	\$100
New York Womens Foundation	39 Broadway Fl 23	New York	NY	\$100
Northeastern University	360 Huntington Avenue	Boston	MA	\$200
Orange County Land Tr	P O Box 269	Mountainville	NY	\$500
Our Childrens Trust	P O Box 5181	Eugene	OR	\$500
Sourland Conservancy	83 Princeton Ave, Ste 1A	Hopewell	NJ	\$200
Southern Poverty Law Center Inc	400 Washington Avenue	Montgomery	AL	\$2,000
St Jude Childrens Research Hospital Inc	501 St Jude Place	Memphis	TN	\$50
Top Gun Fastpitch Softball Inc	c/o Larry Yard - 207 Daval Road	Hillsborough	NJ	\$2,200

Trustees of Mount Holyoke College	50 College Street	South Hadley	MA	\$200
Washington Crossing Audubon Society	PO Box 112	Pennington	NJ	\$200
WHYY Inc	150 N 6th Street	Philadelphia	PA	\$180
Widener University	One University Place	Chester	PA	\$1,640
WNET	825 Eighth Avenue	New York	NY	\$1,000
African Health Now	P O Box 3243	New York	NY	\$400
Alley Cat Allies Incorporated	7920 Norfolk Avenue, Ste 600	Bethesda	MD	\$500
Amari Public Charter School	60 S Third Ave	Mount Vernon	NY	\$200
American Buddhist Study Center Inc	331 Riverside Drive	New York	NY	\$200
American Heart Association Inc	7272 Greenville Avenue	Dallas	TX	\$100
American Society for the Prevention of Cruelty To Animals	424 East 92nd St, 3rd Fl	New York	NY	\$200
Americas Vetdogs the Veterans K9 Corps Inc	371 East Jericho Turnpike	Smithtown	NY	\$200
Ananda Mandir Inc	269 Cedar Grove Lane	Somerset	NJ	\$902
Animal Legal Defense Fund	525 East Cotati Avenue	Cotati	CA	\$500
Armenian Apostolic Holy Cross Church of Los Angeles - Armenian Mesrobian School	8420 Beverly Road	Pico Rivera	CA	\$1,000
Asian American Arts Alliance	20 Jay Street, Ste 740	Brooklyn	NY	\$200
Auricle Communications	WFMU, PO Box 2011	Jersey City	NJ	\$150
Bank Street College of Education	PO Box 250865	New York	NY	\$400
Bottomless Closet	16 East 52nd Street 15th Floor	New York	NY	\$500
Branchburg Township Parent-Teacher Organization	220 Baird Rd	Branchburg	NJ	\$200
Buddhist Churches of America	331-332 Riverside Drive	New York	NY	\$2,750
Caesar Rodney High School	239 Old North Road	Camden	DE	\$9,800
Center for Curatorial Leadership	174 East 80th St	New York	NY	\$1,000
Chattanooga Area Food Bank Inc	2009 Curtain Pole Rd	Chattanooga	TN	\$100
City Harvest Inc	6 East 32nd Street, 5th Fl	New York	NY	\$600
Council on the Environment Inc	PO Box 2327	New York	NY	\$1,000
Grohns & Colitis Foundation Inc	733 Third Ave, Ste 510	New York	NY	\$50
Cystic Fibrosis Foundation	3316 Beecaves Rd Ste A	Austin	MD	\$66
Daishu-In West Inc	P O Box 716	Garberville	CA	\$300
Dance Ring- New York Theatre Ballet	131 East 10th Street, 2nd Floor	New York	NY	\$200
Dance Theatre of Harlem Inc	c/o Dwight Johnson Design, 276 Fifth Ave, Ste 703	New York	NY	\$500
Diocese of Metuchen-Shrine Chapel of the Blessed Sacrament	52 West Somerset Street	Raritan	NJ	\$520
Dreamchaser Pmu Rescue Andrehabilitation	6340 Kinchebe Dr	Falcon	MO	\$100
East-West Players Inc	120 Judge John Also St #4	Los Angeles	CA	\$100
Fairmont Montessori Association-Ruffing Montessori School	3380 Fairmount Boulevard	Cleveland Heights	OH	\$100

Farm Sanctuary Inc	PO Box 150	Watkins Glen	NY	\$500
Fiji Theater Company Inc - Ping Chong and Company	47 Great Jones Street	New York	NY	\$100
Fractured Atlas Inc	248 West 35th Street, 10th Floor	New York	NY	\$50
Francis W Parker School	330 West Webster Ave	Chicago	IL	\$200
Fred Hutchinson Cancer Research Center	1100 Fairview Ave. N J5-200	Seattle	WA	\$100
Fresh Air Fund	633 Third Ave., 14th Fl	New York	NY	\$500
Friends of Acadia	PO Box 45	Bar Harbor	ME	\$2,000
Gentle Giants Draft Horse Rescue Society Ltd	17250 Old Frederick Road	Mount Airy	MD	\$100
Hispanic Federation Inc	55 Exchange Place	New York	NY	\$100
Hope For New York	1166 Avenue of the Americas, 16th Floor	New York	NY	\$850
Humane Farming Association	PO Box 3577	San Rafael	CA	\$400
Hunterdon Drug Awareness Program	8 Main Street, Ste 7	Flemington	NJ	\$800
International Yacht Restoration School Inc	449 Thames Street	Newport	RI	\$2,000
Joyce Theater Foundation Inc	175 Eighth Ave	New York	NY	\$500
Kent Place School	42 Norwood Avenue	Summit	NJ	\$50
Khan Academy Inc	PO Box 1630	Mountain View	CA	\$50
Make Some Noise Cure Kids Cancer Foundation Inc	PO Box 9210	Morristown	NJ	\$50
Maryknoll Fathers and Brothers	P O Box 301	Maryknoll	NY	\$360
Memorial Sloan-Kettering Cancer Center	1275 York Avenue	New York	NY	\$100
Morgan Bay Zendo	532 Morgan Bay Road	Surry	ME	\$200
Mount Desert Island Biological Laboratory	P O Box 35	Salisbury Cove	ME	\$2,000
Movember Foundation	P O Box 1595	Culver City	CA	\$100
National Asian American Theaterfestival Inc	520 8th Avenue	New York	NY	\$200
National Asian-American Theatre Company	520 8th Avenue, Suite 308	New York	NY	\$100
National Multiple Sclerosis Society	733 3rd Avenue, Fl 3	New York	NY	\$50
Neighborhood Cats Inc	2472 Broadway No 321	NEW YORK	NY	\$504
New England Foundation for the Arts Inc	145 Tremont St Fl 7	Boston	MA	\$200
New York City Gay and Lesbian Anti-Violence Project Inc	116 Nassau St Fl 3	New York	NY	\$218
New York Public Radio	160 Varick Street	New York	NY	\$80
New York Times Neediest Cases Fund	620 8th Ave	New York	NY	\$1,000
New Yorkers for Children Inc	450 Seventh Avenue, Suite 403	New York	NY	\$1,000
Oberlin College	50 West Lorain Street	Oberlin	OH	\$200
Pan Asian Repertory Theatre Inc	520 Eighth Avenue, Ste 314	New York	NY	\$200
The Parent Project for Muscular Dystrophy Research Inc	401 Hackensack Ave., 9th Fl.	Hackensack	NJ	\$200
Parkinsons Unity Walk Inc	4422 Route 27, Building C, Fl 2	Kingston	NJ	\$400
Peaceful Valley Donkey Rescue Inc	PO Box 216	Miles	TX	\$100
People for the Ethical Treatment of Animals Inc	501 Front Street	Norfolk	VA	\$200

Physicians Committee for Responsible Medicine Inc	5100 Wisconsin Avenue NW Suite 400	Washington	DC	\$400
Planned Parenthood Federation of America Inc	123 Williams Street -10th Fl	New York	NY	\$50
Planned Parenthood of New York City Inc	26 Bleeker Street	New York	NY	\$200
Ravenswood Fellowship United Methodist Church	4511 North Hermitage Avenue	Chicago	IL	\$200
Ready To Empower Inc	800 summer st Apt 309	Stamford	CT	\$200
Redeemer Presbyterian Church of New York	1166 Avenue of the Americas, 16th Fl	New York	NY	\$6,423
Rhode Island School of Design	2 College St	Providence	RI	\$500
Salvation Army Development Corporation	4 Gary Road	Union	NJ	\$414
San Francisco AIDS Foundation	1035 Market Street, Ste 400	San Francisco	CA	\$200
Schomburg Collection of Black History Literature and Art Inc	515 Lenox Avenue	New York	NY	\$50
Shelley & Donald Rubin Cultural Tru Ua05111999 Rubin Museum of Art	150 West 17th Street	New York	NY	\$90
Teachers College Columbia University	525 West 120th Street	New York	NY	\$100
Teadra Productions	522 Wilshire Blvd Ste H	Santa Monica	CA	\$100
Telem Center for the African Child Inc	P O Box 162	New York	NY	\$200
United States Artists Inc	980 N Michigan Ave No 1300	Chicago	IL	\$2,000
University of Hawaii Foundation	PO Box 11270	Honolulu	HI	\$100
University of Notre Dame Du Lac	1100 Grace Hall	Notre Dame	IN	\$908
University of Southern California	1150 S Olive Street, 25th Fl	Los Angeles	CA	\$100
University of Wisconsin Foundation	1848 University Ave	Madison	WI	\$1,000
US Catholic Conference - Christ the Redeemer Parish	P O Box 924	Manville	NJ	\$140
The V Foundation	14600 Weston Parkway	Cary	NC	\$100
Wat Opot Childrens Fund	299 Georges Pond Road	Franklin	ME	\$400
Wounded Warrior Project Inc	4899 Belfort Road, Ste 300	Jacksonville	FL	\$200
Alzheimers Disease and Related Disorders Association Inc	8180 Greensboro Drive, Ste 400	McLean	VA	\$500
American Heart Association Inc	7272 Greenville Avenue	Dallas	TX	\$50
Bat Conservation International	500 N Capital of Texas Highway, Bldg 1-201	Austin	TX	\$668
Best Friend Dog and Animal Adoption Inc	P O Box 335	Cranford	NJ	\$200
Blinknow Foundation A NJ Nonprofitcorporation	PO Box 453	Mendham	NJ	\$200
Boy Scouts of America	1 Saddle Road	Cedar Knolls	NJ	\$1,000
Camp Dark Waters Inc	26 New Freedom Road	Medford	NJ	\$200
Charity Navigator	139 Harristown Rd , Ste 101	Glen Rock	NJ	\$50
Childrens Defense Fund	25 E street, NW	Washington	DC	\$600

Childrens Miracle Network	205 West 700 South	Salt Lake City	UT	\$100
Diocese of New Jersey-St. Martin's Episcopal Church	1350 Washington Valley Rd	Bridgewater	NJ	\$700
Doctors Without Borders USA Inc	40 Rector Street, 16th Floor	New York	NY	\$400
Donorschoose Org	134 West 37th Street, 11th Fl	New York	NY	\$2,400
Environment New Jersey Research Andpolicy Center	104 Bayard Street, 6th Floor	New Brunswick	NJ	\$70
Foodshed Alliance A NJ Nonprofit Corporation	PO Box 713	Blairstown	NJ	\$200
Friends of Somerset Regional Animal Shelter Inc	100 Commons Way	Bridgewater	NJ	\$400
Greener New Jersey Productions Inc	PO Box 14	Stockton	NJ	\$500
Hackensack Riverkeeper Inc	231 Main St	Hackensack	NJ	\$200
Interfaith Food Pantry Inc	2 Executive Drive	Morris Plains	NJ	\$200
Jdrf International	1480 US Highway 9, Ste 306	Woodbridge	NJ	\$200
John J Tyler Arboretum	515 Painter Road	Media	PA	\$300
Jointure for Community Adult Education Inc-Raritan Valley Symphonic Band	P O BOX 6064	Hillsborough	NJ	\$1,700
Juniata College	1700 Moore Street	Huntingdon	PA	\$600
Kidsoutdoors Inc	80 W Hanover Ave	Morris Plains	NJ	\$100
Madison Volunteer Ambulance Corps	P O Box 626	Madison	NJ	\$200
Memorial Sloan-Kettering Cancer Center	633 Third Avenue, 4th Floor	New York	NY	\$1,600
N A A C P Legal Defense and Educational Fund Inc	40 Rector Street	New York	NY	\$200
National Economic and Social Rights Initiative	90 John St #308	New York	NY	\$600
National Geographic Society	1145 17th Street NW	Washington	DC	\$200
National Multiple Sclerosis Society	733 3rd Avenue, Fl 3	New York	NY	\$108
National Wildlife Federation	11100 Wildlife Center Drive	Reston	VA	\$184
Natural Resources Defense Council Inc	40 West 20th St	New York	NY	\$400
Nature Conservancy	200 Pottersville Road	Chester	NJ	\$500
New Jersey Audubon Society	9 Hardscrabble Road,	Bernardsville	NJ	\$300
New Jersey League of Conservation Voters Education Fund	PO Box 1237	Trenton	NJ	\$500
New Jersey Policy Perspective Inc	137 West Hanover St	Trenton	NJ	\$2,000
New Venture Fund-Avon Breast Cancer Crusade	PO Box 3535	New York	NY	\$400
New York Public Radio	160 Varick Street	New York	NY	\$400
Nick Magos Cancer Callout	PO Box 646	Bedminster	NJ	\$300
Orangutan Foundation International	824 S Wellesley Ave	Los Angeles	CA	\$400
Partners for A New Beginning	PO BOX 285	Nazareth	PA	\$800
Pinelands Preservation Alliance Inc	17 Pemberton Road	Southampton	NJ	\$1,200
Planned Parenthood Federation of America Inc	123 Williams Street -10th Fl	New York	NY	\$1,500
Pop Warner Little Scholars Inc	PO Box 7061	Hillsborough	NJ	\$650
Reef Environmental Education Foundation Incorporated	P O Box 246	Key Largo	FL	\$200
Schiff Natural Lands Trust Inc	339 Pleasant Valley Road	Mendham	NJ	\$200
Sean Hanna Foundation Inc	3 Rivercrest Dr	Piscataway	NJ	\$1,000

Southern Tier AIDS Program Inc	22 Riverside Drive	Binghamton	NY	\$200
Southern Utah Wilderness Alliance	425 East 100 South	Salt Lake City	UT	\$600
St Josephs Indian School	1301 N Main St Box 89	Chamberlain	SD	\$100
Sustainable Jersey Inc	PO Box 6855	Lawrenceville	NJ	\$2,000
Trustees of Dartmouth College	6066 Development Office	Hanover	NH	\$600
Vassar College	124 Raymond Ave	Poughkeepsie	NY	\$400
Achieve Minneapolis	111 Third Avenue South, Ste 5	Minneapolis	MN	\$1,000
Artists Space Inc	55 Walker Street	New York	NY	\$1,000
College of the Holy Cross	One College Street	Worcester	MA	\$1,000
Duke University	Box 90581	Durham	NC	\$3,000
Fiorello H Laguardia Community College Foundation	3110 Thomson Ave	Long Island City	NY	\$2,000
Haleakala Inc	512 West 19th Street	New York	NY	\$1,000
James B Lee Jr Scholarship Fund In Honor of Joseph A McBride	P O Box 1366	Southampton	NY	\$5,850
Mercer Street Friends Center	824 Silvia Street	Ewing	NJ	\$1,000
New York City Street Tree Consortium Inc	100 Gold St Ste 3100	New York	NY	\$1,000
Planned Parenthood of New York City Inc	26 Bleeker Street	New York	NY	\$1,000
President and Fellows of Harvard College	124 Mount Auburn Street	Cambridge	MA	\$2,000
Southern Environmental Law Center	201 W Main St, Ste 14	Charlottesville	VA	\$10,000
Studio Museum In Harlem Inc	144 West 125th Street	New York	NY	\$1,500
Wellesley College	106 Central Street	Wellesley	MA	\$5,000
Honolulu Fii-AM Seventh Day Advent Church	2322 Kanealii Avenue	Honolulu	HI	\$20,590
Indiana State University Foundation Inc-Indiana State University Office of the Controller	30 North Fifth Suet	Terre Haute	IN	\$100
Planned Parenthood Federation of America Inc	123 Williams Street -10th Fl	New York	NY	\$200
St Patricks Church	1124 7th Ave	Honolulu	HI	\$200
US Catholic Conference - Co-Cathedral of St Theresa	712 N School Street	Honolulu	HI	\$1,810
				<b>\$174,416</b>

**Doris Duke Foundation**  
**Form 990-PF - Return of Private Foundation**  
**FYE: 12/31/2017**  
**Attachment 10**

**Form 990-PF, Part I - Net Gain from Sale of Assets**

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The Doris Duke Foundation's investments are commingled with that of a related organization, the Doris Duke Charitable Foundation. The realized gains reportable on the Doris Duke Foundation's Form 990-PF are an allocation of the portfolio's total gains based on its percentage of holdings in the account. Accordingly, deriving a sales price for Line 6(b) is impractical. A detailed schedule of gains and losses is available upon request.



**Doris Duke Foundation**  
**Form 990-PF - Return of Private Foundation**  
**FYE: 12/31/2017**  
**Attachment 11**

**Form 990-PF, Part VII-B, Line 5(a)(3).**

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The Doris Duke Artist Awards. these grants constitute qualifying distributions because such grants are made pursuant to the Internal Revenue Code Section 4945(g)(3)

The Doris Duke Artist Awards support exemplary individual artists in contemporary dance, jazz, theatre and related interdisciplinary work who have proven their artistic vitality and commitment to their field. The Doris Duke Artist Award is not a lifetime achievement award, nor a "genius" grant. The award is a deeper investment in the potential of dedicated artists, empowering them through the freedom of unrestricted support while celebrating past achievement.

Doris Duke Artist Award recipients are entitled to two kinds of funds: unrestricted/flexible funds, and restricted project funds. Artists will receive an unrestricted grant of \$250,000 distributed in equal installments over a four year period. An additional \$25,000 of unrestricted funds will be made available to artists who can demonstrate that they have started or increased resources (whether IRA's, SEP's 401(k)'s, etc.) that will allow them to continue their creative exploration in their later years when other resources are likely to be unpredictable and difficult to obtain.

With the fifth class of Doris Duke Artist Award recipients announced in 2016 a total of 101 of America's most vital and productive performing artists have received awards. Doris Duke Artists Award recipients are selected through a rigorous, anonymous process of peer review. No applications are accepted. No new awards were made in 2017. Candidates for Doris Duke Artist Awards are instead selected from a pool of artists who have won at least three designated national grants, awards, accolades or fellowships over the past ten years, with at least one having been funded by the Doris Duke Charitable Foundation.

Recipients of Doris Duke Artist Awards have access to goal assessment tools; financial and legal counseling; and conferences with peer-to-peer learning opportunities. Artists are also able to allocate a portion of their funding to cover costs of professional development services including workshops to help artists expand their skills and practices (from strategic planning to fundraising to promotion), phone-in clinics that offer support for the business areas of artistic practices (legal, financial, tech, PR and business advice), memberships that provide opportunities for crowdfunding and fiscal sponsorship partners, as well as pro-rated fees for insurance or health care.

To find additional information about the Doris Duke Artist Awards and the eligibility criteria and selection process for the awards, visit <http://www.ddcf.org/what-we-fund/performing-arts/goal-and-strategies/support-for-artists/unrestricted-support-for-artists/doris-duke-artist-awards/>

The Foundation received prior approval from the IRS for this grant-making program. A copy of the IRS Advance Approval is available upon request.