

Form **990-PF**  
 Department of the Treasury  
 Internal Revenue Service

**Return of Private Foundation**  
**or Section 4947(a)(1) Trust Treated as Private Foundation**

▶ **Do not enter social security numbers on this form as it may be made public.**  
 ▶ **Information about Form 990-PF and its instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).**

OMB No 1545-0052  
**2017**  
**Open to Public Inspection**

**For calendar year 2017, or tax year beginning 01-01-2017, and ending 12-31-2017**

Name of foundation RHODA M HELLMANN TRUST UW		<b>A Employer identification number</b> 06-6263709
Number and street (or P O box number if mail is not delivered to street address) P O BOX 1802	Room/suite	<b>B Telephone number (see instructions)</b> (888) 866-3275
City or town, state or province, country, and ZIP or foreign postal code PROVIDENCE, RI 029011802		<b>C</b> If exemption application is pending, check here <input type="checkbox"/>
<b>G</b> Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>D 1.</b> Foreign organizations, check here <input type="checkbox"/> <b>2</b> Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
<b>H</b> Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
<b>I</b> Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 11,406,385	<b>J</b> Accounting method: <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)	<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

<b>Part I Analysis of Revenue and Expenses</b> <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	<b>1</b> Contributions, gifts, grants, etc., received (attach schedule)				
	<b>2</b> Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B				
	<b>3</b> Interest on savings and temporary cash investments				
	<b>4</b> Dividends and interest from securities	228,206	228,629		
	<b>5a</b> Gross rents				
	<b>b</b> Net rental income or (loss)				
	<b>6a</b> Net gain or (loss) from sale of assets not on line 10	709,534			
	<b>b</b> Gross sales price for all assets on line 6a	7,370,976			
	<b>7</b> Capital gain net income (from Part IV, line 2)		709,534		
	<b>8</b> Net short-term capital gain			0	
	<b>9</b> Income modifications				
	<b>10a</b> Gross sales less returns and allowances				
<b>b</b> Less Cost of goods sold					
<b>c</b> Gross profit or (loss) (attach schedule)					
<b>11</b> Other income (attach schedule)			970		
<b>12 Total.</b> Add lines 1 through 11	937,740	939,133			
<b>Operating and Administrative Expenses</b>	<b>13</b> Compensation of officers, directors, trustees, etc	67,733	40,640		27,093
	<b>14</b> Other employee salaries and wages		0	0	0
	<b>15</b> Pension plans, employee benefits		0	0	
	<b>16a</b> Legal fees (attach schedule)	25,442	0	0	25,442
	<b>b</b> Accounting fees (attach schedule)	1,250	750	0	500
	<b>c</b> Other professional fees (attach schedule)				0
	<b>17</b> Interest				0
	<b>18</b> Taxes (attach schedule) (see instructions)	22,663	6,670		0
	<b>19</b> Depreciation (attach schedule) and depletion	0	0		
	<b>20</b> Occupancy				
	<b>21</b> Travel, conferences, and meetings		0	0	
	<b>22</b> Printing and publications		0	0	
	<b>23</b> Other expenses (attach schedule)	650	1,747		
	<b>24 Total operating and administrative expenses.</b> Add lines 13 through 23	117,738	49,807	0	53,035
	<b>25</b> Contributions, gifts, grants paid	560,141			560,141
<b>26 Total expenses and disbursements.</b> Add lines 24 and 25	677,879	49,807	0	613,176	
<b>27</b> Subtract line 26 from line 12					
<b>a Excess of revenue over expenses and disbursements</b>	259,861				
<b>b Net investment income</b> (if negative, enter -0-)		889,326			
<b>c Adjusted net income</b> (if negative, enter -0-)			0		

<b>Part II Balance Sheets</b> Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	<b>1</b> Cash—non-interest-bearing . . . . .			
	<b>2</b> Savings and temporary cash investments . . . . .	442,070	468,154	468,154
	<b>3</b> Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____		0	0
	<b>4</b> Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	<b>5</b> Grants receivable . . . . .			
	<b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	<b>7</b> Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____ 0			
	<b>8</b> Inventories for sale or use . . . . .			
	<b>9</b> Prepaid expenses and deferred charges . . . . .			
	<b>10a</b> Investments—U S and state government obligations (attach schedule)			
	<b>b</b> Investments—corporate stock (attach schedule) . . . . .	9,420,971	9,581,182	10,938,231
	<b>c</b> Investments—corporate bonds (attach schedule) . . . . .			
	<b>11</b> Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	<b>12</b> Investments—mortgage loans . . . . .			
	<b>13</b> Investments—other (attach schedule) . . . . .			0
	<b>14</b> Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
<b>15</b> Other assets (describe ▶ _____)				
<b>16 Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	9,863,041	10,049,336	11,406,385	
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses . . . . .			
	<b>18</b> Grants payable . . . . .			
	<b>19</b> Deferred revenue . . . . .			
	<b>20</b> Loans from officers, directors, trustees, and other disqualified persons			
	<b>21</b> Mortgages and other notes payable (attach schedule) . . . . .			
	<b>22</b> Other liabilities (describe ▶ _____)			
	<b>23 Total liabilities</b> (add lines 17 through 22) . . . . .		0	
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow SFAS 117, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>			
	<b>24</b> Unrestricted . . . . .			
	<b>25</b> Temporarily restricted . . . . .			
	<b>26</b> Permanently restricted . . . . .			
	<b>Foundations that do not follow SFAS 117, check here</b> ▶ <input checked="" type="checkbox"/> <b>and complete lines 27 through 31.</b>			
	<b>27</b> Capital stock, trust principal, or current funds . . . . .	9,863,041	10,049,336	
	<b>28</b> Paid-in or capital surplus, or land, bldg, and equipment fund			
<b>29</b> Retained earnings, accumulated income, endowment, or other funds				
<b>30 Total net assets or fund balances</b> (see instructions) . . . . .	9,863,041	10,049,336		
<b>31 Total liabilities and net assets/fund balances</b> (see instructions) .	9,863,041	10,049,336		

**Part III Analysis of Changes in Net Assets or Fund Balances**

<b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	<b>1</b>	9,863,041
<b>2</b> Enter amount from Part I, line 27a . . . . .	<b>2</b>	259,861
<b>3</b> Other increases not included in line 2 (itemize) ▶ _____	<b>3</b>	1,694
<b>4</b> Add lines 1, 2, and 3 . . . . .	<b>4</b>	10,124,596
<b>5</b> Decreases not included in line 2 (itemize) ▶ _____	<b>5</b>	75,260
<b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	<b>6</b>	10,049,336

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
<b>1a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
<b>a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss)	2	709,534
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
 If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

**1** Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	456,959	10,059,027	0 045428
2015	516,083	10,424,843	0 049505
2014	520,703	10,663,144	0 048832
2013	478,853	10,116,478	0 047334
2012	438,009	9,409,364	0 04655

<b>2</b> Total of line 1, column (d)	2	0 237649
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 04753
<b>4</b> Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	4	10,856,824
<b>5</b> Multiply line 4 by line 3	5	516,025
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	6	8,893
<b>7</b> Add lines 5 and 6	7	524,918
<b>8</b> Enter qualifying distributions from Part XII, line 4	8	613,176

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculations. Includes fields for exempt foundations, domestic foundations, tax under section 511, subtitle A tax, and total tax due. Total tax due is 6,107.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions about political campaigns, political expenditures, and foundation activities. Includes Yes/No columns.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions). . . . . 11 No
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) . . . . . 12 No
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A 13 Yes
14 The books are in care of US TRUST FIDUCIARY TAX SERVICES Telephone no (888) 866-3275

Located at PO BOX 1802 PROVIDENCE RI ZIP+4 029011802

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here . . . . . 15

16 At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes," enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.


1a During the year did the foundation (either directly or indirectly)
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? Yes No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). Yes No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? 1b No
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017? 1c No
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))
a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? Yes No
If "Yes," list the years 20, 20, 20, 20
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement-see instructions) 2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20, 20, 20, 20
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Yes No
b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017). 3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a No
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017? 4b No

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (Continued)

<b>5a</b>	During the year did the foundation pay or incur any amount to			
	<b>(1)</b> Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	<b>(2)</b> Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	<b>(3)</b> Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	<b>(4)</b> Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	<b>(5)</b> Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
<b>b</b>	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? . . . . .			<b>5b</b>
	Organizations relying on a current notice regarding disaster assistance check here. . . . .			<input type="checkbox"/>
<b>c</b>	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . .	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
	<i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>			
<b>6a</b>	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
<b>b</b>	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . .			<b>6b</b>
	<i>If "Yes" to 6b, file Form 8870</i>			<b>No</b>
<b>7a</b>	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
<b>b</b>	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? . . . . .			<b>7b</b>

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
BANK OF AMERICA  777 MAIN STREET HARTFORD, CT 06115	TRUSTEE 1	67,733		

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
NONE				

**Total** number of other employees paid over \$50,000. . . . . **0**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

**Total** number of others receiving over \$50,000 for professional services. . . . . **0**

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

	Expenses
<b>1</b>	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
<b>1</b>	
<b>2</b>	
All other program-related investments See instructions	
<b>3</b>	

**Total.** Add lines 1 through 3 . . . . . **0**

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities.	<b>1a</b>	10,675,488
<b>b</b>	Average of monthly cash balances.	<b>1b</b>	346,668
<b>c</b>	Fair market value of all other assets (see instructions).	<b>1c</b>	0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c).	<b>1d</b>	11,022,156
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	<b>1e</b>	0
<b>2</b>	Acquisition indebtedness applicable to line 1 assets.	<b>2</b>	0
<b>3</b>	Subtract line 2 from line 1d.	<b>3</b>	11,022,156
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	<b>4</b>	165,332
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4.	<b>5</b>	10,856,824
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5.	<b>6</b>	542,841

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6.	<b>1</b>	542,841
<b>2a</b>	Tax on investment income for 2017 from Part VI, line 5.	<b>2a</b>	8,893
<b>b</b>	Income tax for 2017 (This does not include the tax from Part VI).	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b.	<b>2c</b>	8,893
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1.	<b>3</b>	533,948
<b>4</b>	Recoveries of amounts treated as qualifying distributions.	<b>4</b>	0
<b>5</b>	Add lines 3 and 4.	<b>5</b>	533,948
<b>6</b>	Deduction from distributable amount (see instructions).	<b>6</b>	0
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	<b>7</b>	533,948

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	<b>1a</b>	613,176
<b>b</b>	Program-related investments—total from Part IX-B.	<b>1b</b>	0
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	<b>2</b>	0
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required).	<b>3a</b>	0
<b>b</b>	Cash distribution test (attach the required schedule).	<b>3b</b>	0
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	<b>4</b>	613,176
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	<b>5</b>	8,893
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4.	<b>6</b>	604,283

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
<b>1</b> Distributable amount for 2017 from Part XI, line 7				533,948
<b>2</b> Undistributed income, if any, as of the end of 2017				
<b>a</b> Enter amount for 2016 only. . . . .			155,948	
<b>b</b> Total for prior years 20___, 20___, 20___		0		
<b>3</b> Excess distributions carryover, if any, to 2017				
<b>a</b> From 2012. . . . .	0			
<b>b</b> From 2013. . . . .	0			
<b>c</b> From 2014. . . . .	0			
<b>d</b> From 2015. . . . .	0			
<b>e</b> From 2016. . . . .	0			
<b>f</b> Total of lines 3a through e. . . . .	0			
<b>4</b> Qualifying distributions for 2017 from Part XII, line 4 ▶ \$ <u>613,176</u>				
<b>a</b> Applied to 2016, but not more than line 2a			155,948	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .		0		
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
<b>d</b> Applied to 2017 distributable amount. . . . .				457,228
<b>e</b> Remaining amount distributed out of corpus	0			
<b>5</b> Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a) )	0			0
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . .		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .		0		
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .		0		
<b>e</b> Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .			0	
<b>f</b> Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018 . . . . .				76,720
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .	0			
<b>8</b> Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions). . . . .	0			
<b>9</b> Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a . . . . .	0			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2013. . . . .	0			
<b>b</b> Excess from 2014. . . . .	0			
<b>c</b> Excess from 2015. . . . .	0			
<b>d</b> Excess from 2016. . . . .	0			
<b>e</b> Excess from 2017. . . . .	0			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling. . . . . ▶

**b** Check box to indicate whether the organization is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

	Tax year	Prior 3 years			<b>(e) Total</b>
	<b>(a) 2017</b>	<b>(b) 2016</b>	<b>(c) 2015</b>	<b>(d) 2014</b>	
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c . . . . .					

**3** Complete 3a, b, or c for the alternative test relied upon

**a** "Assets" alternative test—enter

**(1)** Value of all assets . . . . .

**(2)** Value of assets qualifying under section 4942(j)(3)(B)(i)

**b** "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .

**c** "Support" alternative test—enter

**(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .

**(2)** Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .

**(3)** Largest amount of support from an exempt organization

**(4)** Gross investment income

**Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )  
NA

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest  
NA

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number or email address of the person to whom applications should be addressed

**b** The form in which applications should be submitted and information and materials they should include

**c** Any submission deadlines

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> <i>Paid during the year</i> ST MARYS HOSPITAL RENEE WARNER SR ACCOUNTANT WATERBURY, CT 067061253	N/A	PC	UNRESTRICTED GENERAL	257,367
WATERBURY HOSPITAL 64 ROBBINS ST WATERBURY, CT 067082613	N/A	PC	UNRESTRICTED GENERAL	302,774
<b>Total . . . . .</b> ▶ <b>3a</b>				560,141
<b>b</b> <i>Approved for future payment</i>				
<b>Total . . . . .</b> ▶ <b>3b</b>				





**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
6000 AT&T INC SR UNSECD NT		2016-11-04	2017-09-08
2000 AT&T INC SR UNSECD NT		2016-11-07	2017-09-08
2000 AT&T INC SR UNSECD NT		2016-11-04	2017-09-08
10000 ACTAVIS FDG SCS SR UNSECD GTD NT C2/12/20 @100 LUXEMBOURG		2015-11-05	2017-09-08
5000 ACTAVIS FDG SCS SR UNSECD GTD NT CALL 01/15/22 LUXEMBOURG		2015-03-17	2017-09-08
5000 ACTAVIS FDG SCS SR UNSECD GTD NT CALL 01/15/22 LUXEMBOURG		2015-03-10	2017-09-08
10227 984 INVESCO INTL GROWTH FUND CL Y		2015-03-18	2017-03-09
3706 011 INVESCO INTL GROWTH FUND CL Y		2016-09-23	2017-03-09
699 523 INVESCO INTL GROWTH FUND CL Y		2016-03-29	2017-03-09
10000 ALABAMA PWR CO SR UNSECD NT CALL 01/01/25 @100		2015-11-05	2017-09-08

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,044		6,047	-3
2,015		2,015	
2,015		2,015	
10,215		10,030	185
5,208		5,032	176
5,208		5,027	181
323,613		345,092	-21,479
117,258		119,000	-1,742
22,133		22,000	133
9,998		9,564	434

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-3
			185
			176
			181
			-21,479
			-1,742
			133
			434

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
15000 ALLY MASTER OWNER TR 2014-5 ASSET BACKED NT CL A-1		2014-11-04	2017-09-11
2000 AMERICAN ELEC PWR CO INC SR UNSECD NT CALL 11/15/17 @100		2012-11-29	2017-09-12
4000 AMERICAN ELEC PWR CO INC SR UNSECD NT CALL 11/15/17 @100		2012-11-28	2017-09-12
3000 AMERICAN INTL GROUP INC SR UNSECD NT		2015-09-30	2017-09-08
5000 BP CAP MKTS P L C SR UNSECD NT UNITED KINGDOM		2015-03-03	2017-09-08
10000 BP CAP MKTS P L C SR UNSECD NT UNITED KINGDOM		2015-03-12	2017-09-08
5000 BNSF FDG TR I GTD TR PFD SECS C1/15/26 @100		2009-10-14	2017-09-08
10000 BANK NEW YORK MELLON CORP DEP SHS REPSTG 1/100TH INT PREP PFD		2014-10-28	2017-09-08
10000 BANK NEW YORK MELLON CORP DEP SHS REPSTG 1/100TH INT PREP PFD			2017-09-12
15000 BERKSHIRE HATHAWAY FIN CORP CO GTD SR NT		2012-05-09	2017-03-09

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
14,995		15,000	-5
2,000		2,001	-1
4,001		3,995	6
3,214		3,129	85
5,327		5,149	178
10,653		10,229	424
5,731		4,750	981
9,963		9,500	463
103			103
15,239		14,923	316

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-5
			-1
			6
			85
			178
			424
			981
			463
			103
			316

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
5000 BURLINGTON NORTHN SANTA FE LLC SR UNSECD NT CALL 3/1/45 @100		2015-10-01	2017-09-08
10000 COMM MORTGAGE TR SER 2015-CR22 CL A5		2015-03-18	2017-09-11
10000 COMM 2012-LC4 MTG TR CTF CL A-4		2013-04-17	2017-09-12
10000 CVS CAREMARK CORP SR UNSECD NT CALL 05/20/22 @100		2015-07-14	2017-09-08
5000 CALIFORNIA ST GO BDS-TAXBLL		2009-06-16	2017-09-08
15000 CANADIAN NAT RES LTD NT CANADA		2007-11-27	2017-05-15
3000 CANADIAN NAT RES LTD SR UNSECD NT CALL 11/01/24 @100 CANADA		2015-09-14	2017-03-09
5000 CANADIAN PAC RY CO NEW SR UNSECD NT CANADA		2015-04-13	2017-05-15
5000 CAPITAL ONE FINL CORP SR UNSECD NT CALL 3/24/24 @100		2014-05-14	2017-09-08
5000 CAPITAL ONE FINL CORP SR UNSECD NT CALL 3/24/24 @100		2015-11-10	2017-09-08

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,707		5,054	653
10,334		10,299	35
10,188		10,639	-451
10,485		10,033	452
7,772		4,639	3,133
15,000		15,068	-68
2,952		2,820	132
5,410		5,423	-13
5,212		5,042	170
5,212		5,016	196

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			653
			35
			-451
			452
			3,133
			-68
			132
			-13
			170
			196

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
5000 CATERPILLAR FINL SVCS CORP SR UNSECD MTN		2014-07-30	2017-09-08
5000 CATERPILLAR FINL SVCS CORP SR UNSECD MTN		2015-11-09	2017-03-07
5000 CHUBB CORP DIRECTLY ISSUED SUB CAP SECS CALL 02/26/18 @100		2015-05-20	2017-09-12
5000 CHUBB CORP DIRECTLY ISSUED SUB CAP SECS CALL 02/26/18 @100		2015-05-20	2017-09-12
5000 CITIGROUP INC SR UNSECD NT		2015-11-12	2017-09-11
4018 912 COLUMBIA ACORN INTERNATIONAL FUND CL Z		2015-12-16	2017-03-09
2470 322 COLUMBIA ACORN INTERNATIONAL FUND CL Z		2010-04-05	2017-03-09
1107 42 COLUMBIA ACORN INTERNATIONAL FUND CL Z		2011-08-22	2017-03-09
10334 029 COLUMBIA INCOME OPPORTUNITIES FUND INSTL CL		2016-05-31	2017-03-09
21267 511 COLUMBIA INCOME OPPORTUNITIES FUND INSTL CL		2009-07-16	2017-03-09

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,035		4,989	46
5,004		4,996	8
4,963		5,304	-341
4,963		5,304	-341
5,244		5,050	194
161,359		156,496	4,863
99,183		89,277	9,906
44,463		40,000	4,463
101,377		99,000	2,377
208,634		176,851	31,783

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			46
			8
			-341
			-341
			194
			4,863
			9,906
			4,463
			2,377
			31,783

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
499 5 COLUMBIA SHORT TERM BOND FUND INSTL CL		2016-09-23	2017-03-09
4312 939 COLUMBIA SHORT TERM BOND FUND INSTL CL		2016-05-31	2017-03-09
17280 254 COLUMBIA SHORT TERM BOND FUND INSTL CL		2012-01-23	2017-03-09
8814 59 COLUMBIA SHORT TERM BOND FUND INSTL CL		2015-12-16	2017-03-09
694 6 COLUMBIA SMALL CAP VALUE I FUND INSTL CL		2017-03-09	2017-05-25
671 156 COLUMBIA SMALL CAP VALUE I FUND INSTL CL		2016-03-29	2017-05-25
2182 285 COLUMBIA SMALL CAP VALUE I FUND INSTL CL		2015-12-16	2017-05-25
3406 089 COLUMBIA SMALL CAP VALUE I FUND INSTL CL		2009-07-16	2017-05-25
2340 506 COLUMBIA MID CAP GROWTH FUND INSTL CL		2016-09-23	2017-03-09
9524 454 COLUMBIA MID CAP GROWTH FUND INSTL CL		2009-07-16	2017-03-09

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,970		5,000	-30
42,914		43,000	-86
171,939		170,902	1,037
87,705		87,000	705
31,257		31,000	257
30,202		26,363	3,839
98,203		85,000	13,203
153,274		109,029	44,245
62,632		62,000	632
254,874		156,392	98,482

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-30
			-86
			1,037
			705
			257
			3,839
			13,203
			44,245
			632
			98,482

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1590 524 COLUMBIA REAL ESTATE EQUITY FUND CLASS Z SHARES		2003-07-02	2017-03-09
2296 588 COLUMBIA REAL ESTATE EQUITY FUND CLASS Z SHARES		2015-12-16	2017-03-09
13670 54 COLUMBIA REAL ESTATE EQUITY FUND CLASS Z SHARES		2012-08-15	2017-03-09
2464 789 COLUMBIA REAL ESTATE EQUITY FUND CLASS Z SHARES		2012-12-05	2017-03-09
3920 118 COLUMBIA REAL ESTATE EQUITY FUND CLASS Z SHARES		2007-12-21	2017-03-09
3843 198 COLUMBIA REAL ESTATE EQUITY FUND CLASS Z SHARES		2013-12-23	2017-03-09
4207 221 COLUMBIA REAL ESTATE EQUITY FUND CLASS Z SHARES		2009-03-18	2017-03-09
10000 COMM 2015-LC19 MTG TR MTG PASS THRU CTF CL A-4		2015-01-26	2017-09-11
6000 COMMONWEALTH EDISON CO SECD NT CALL 5/15/45 @100		2015-11-12	2017-09-11
5000 CONSOLIDATED EDISON CO NEW YORK INC BD		2003-04-07	2017-05-01

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
24,081		31,486	-7,405
34,770		35,000	-230
206,972		200,000	6,972
37,317		35,000	2,317
59,351		51,926	7,425
58,186		50,000	8,186
63,697		26,454	37,243
10,238		10,299	-61
6,676		5,987	689
5,886		4,971	915

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-7,405
			-230
			6,972
			2,317
			7,425
			8,186
			37,243
			-61
			689
			915

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
10000 DUKE RLTY LTD PARTNERSHIP NEW SR UNSECD NT CALL 7/15/22 @100		2012-12-18	2017-03-09
5000 ENERGY TRANSFER PARTNERS L P UNSECD SR NT CALL 8/1/14 @100		2012-02-10	2017-09-08
1 57 FEDERAL HOME LN MTG CORP POOL #1B4023		2008-04-23	2017-01-31
1 57 FEDERAL HOME LN MTG CORP POOL #1B4023		2008-04-23	2017-02-28
1 58 FEDERAL HOME LN MTG CORP POOL #1B4023		2008-04-23	2017-03-31
1 59 FEDERAL HOME LN MTG CORP POOL #1B4023		2008-04-23	2017-04-30
1 5 FEDERAL HOME LN MTG CORP POOL #1B4023		2008-04-23	2017-05-31
1 47 FEDERAL HOME LN MTG CORP POOL #1B4023		2008-04-23	2017-06-30
1 89 FEDERAL HOME LN MTG CORP POOL #1B4023		2008-04-23	2017-07-31
1 07 FEDERAL HOME LN MTG CORP POOL #1B4023		2008-04-23	2017-08-31

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
10,321		10,133	188
5,588		5,454	134
2		2	
2		2	
2		2	
2		2	
2		2	
1		1	
2		2	
1		1	

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			188
			134

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
572 783 FEDERAL HOME LN MTG CORP POOL #1B4023		2008-04-23	2017-09-12
1249 23 FEDERAL HOME LN MTG CORP POOL #C91778		2016-03-30	2017-01-31
1116 218 FEDERAL HOME LN MTG CORP POOL #C91778		2016-03-30	2017-02-28
253 782 FEDERAL HOME LN MTG CORP POOL #C91778		2016-03-30	2017-02-28
1215 95 FEDERAL HOME LN MTG CORP POOL #C91778		2016-03-30	2017-03-31
1108 14 FEDERAL HOME LN MTG CORP POOL #C91778		2016-03-30	2017-04-30
731 1 FEDERAL HOME LN MTG CORP POOL #C91778		2016-03-30	2017-05-31
862 77 FEDERAL HOME LN MTG CORP POOL #C91778		2016-03-30	2017-06-30
1495 05 FEDERAL HOME LN MTG CORP POOL #C91778		2016-03-30	2017-07-31
969 75 FEDERAL HOME LN MTG CORP POOL #C91778		2016-03-30	2017-08-31

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
579		576	3
1,249		1,314	-65
1,116		1,174	-58
254		267	-13
1,216		1,279	-63
1,108		1,165	-57
731		769	-38
863		907	-44
1,495		1,572	-77
970		1,020	-50

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			3
			-65
			-58
			-13
			-63
			-57
			-38
			-44
			-77
			-50

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
72499 309 FEDERAL HOME LN MTG CORP POOL #C91778		2016-03-30	2017-09-11
80 38 FEDERAL HOME LN MTG CORP POOL #J10791		2009-12-04	2017-01-31
65 99 FEDERAL HOME LN MTG CORP POOL #J10791		2009-12-04	2017-02-28
40 67 FEDERAL HOME LN MTG CORP POOL #J10791		2009-12-04	2017-03-31
85 71 FEDERAL HOME LN MTG CORP POOL #J10791		2009-12-04	2017-04-30
49 16 FEDERAL HOME LN MTG CORP POOL #J10791		2009-12-04	2017-05-31
87 31 FEDERAL HOME LN MTG CORP POOL #J10791		2009-12-04	2017-06-30
49 99 FEDERAL HOME LN MTG CORP POOL #J10791		2009-12-04	2017-07-31
44 54 FEDERAL HOME LN MTG CORP POOL #J10791		2009-12-04	2017-08-31
2728 284 FEDERAL HOME LN MTG CORP POOL #J10791		2009-12-04	2017-09-11

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
75,014		76,238	-1,224
80		84	-4
66		69	-3
41		42	-1
86		89	-3
49		51	-2
87		91	-4
50		52	-2
45		46	-1
2,875		2,844	31

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1,224
			-4
			-3
			-1
			-3
			-2
			-4
			-2
			-1
			31

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
144 33 FEDERAL HOME LN MTG CORP POOL #A92650		2010-11-17	2017-01-31
189 4 FEDERAL HOME LN MTG CORP POOL #A92650		2010-11-17	2017-02-28
138 82 FEDERAL HOME LN MTG CORP POOL #A92650		2010-11-17	2017-03-31
298 89 FEDERAL HOME LN MTG CORP POOL #A92650		2010-11-17	2017-04-30
474 35 FEDERAL HOME LN MTG CORP POOL #A92650		2010-11-17	2017-05-31
240 33 FEDERAL HOME LN MTG CORP POOL #A92650		2010-11-17	2017-06-30
704 97 FEDERAL HOME LN MTG CORP POOL #A92650		2010-11-17	2017-07-31
695 17 FEDERAL HOME LN MTG CORP POOL #A92650		2010-11-17	2017-08-31
8833 966 FEDERAL HOME LN MTG CORP POOL #A92650		2010-11-17	2017-09-11
147 47 FEDERAL NATL MTG ASSN POOL #AL2880		2016-09-29	2017-01-31

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
144		155	-11
189		203	-14
139		149	-10
299		321	-22
474		509	-35
240		258	-18
705		757	-52
695		746	-51
9,676		9,483	193
147		157	-10

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-11
			-14
			-10
			-22
			-35
			-18
			-52
			-51
			193
			-10

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
674 11 FEDERAL NATL MTG ASSN POOL #AL2880		2016-09-29	2017-02-28
155 FEDERAL NATL MTG ASSN POOL #AL2880		2016-09-29	2017-03-31
529 56 FEDERAL NATL MTG ASSN POOL #AL2880		2016-09-29	2017-04-30
373 31 FEDERAL NATL MTG ASSN POOL #AL2880		2016-09-29	2017-05-31
481 94 FEDERAL NATL MTG ASSN POOL #AL2880		2016-09-29	2017-06-30
115 75 FEDERAL NATL MTG ASSN POOL #AL2880		2016-09-29	2017-07-31
491 53 FEDERAL NATL MTG ASSN POOL #AL2880		2016-09-29	2017-08-31
43935 234 FEDERAL NATL MTG ASSN POOL #AL2880		2016-09-29	2017-09-11
119 73 FEDERAL NATL MTG ASSN POOL #AL4060		2014-08-08	2017-01-31
55 14 FEDERAL NATL MTG ASSN POOL #AL4060		2014-08-08	2017-02-28

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
674		718	-44
155		165	-10
530		564	-34
373		398	-25
482		514	-32
116		123	-7
492		524	-32
45,476		46,825	-1,349
120		119	1
55		55	

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-44
			-10
			-34
			-25
			-32
			-7
			-32
			-1,349
			1

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
293 04 FEDERAL NATL MTG ASSN POOL #AL4060		2014-08-08	2017-03-31
140 2 FEDERAL NATL MTG ASSN POOL #AL4060		2014-08-08	2017-04-30
180 93 FEDERAL NATL MTG ASSN POOL #AL4060		2014-08-08	2017-05-31
297 17 FEDERAL NATL MTG ASSN POOL #AL4060		2014-08-08	2017-06-30
196 FEDERAL NATL MTG ASSN POOL #AL4060		2014-08-08	2017-07-31
221 93 FEDERAL NATL MTG ASSN POOL #AL4060		2014-08-08	2017-08-31
24918 256 FEDERAL NATL MTG ASSN POOL #AL4060		2014-08-08	2017-09-11
1552 88 FEDERAL NATL MTG ASSN POOL #AL5063		2016-03-28	2017-01-31
1062 72 FEDERAL NATL MTG ASSN POOL #AL5063		2016-03-28	2017-02-28
1492 22 FEDERAL NATL MTG ASSN POOL #AL5063		2016-03-28	2017-03-31

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
293		290	3
140		139	1
181		179	2
297		294	3
196		194	2
222		220	2
25,144		24,669	475
1,553		1,692	-139
1,063		1,158	-95
1,492		1,626	-134

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			3
			1
			2
			3
			2
			2
			475
			-139
			-95
			-134

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1423 07 FEDERAL NATL MTG ASSN POOL #AL5063		2016-03-28	2017-04-30
1358 86 FEDERAL NATL MTG ASSN POOL #AL5063		2016-03-28	2017-05-31
1538 12 FEDERAL NATL MTG ASSN POOL #AL5063		2016-03-28	2017-06-30
1172 27 FEDERAL NATL MTG ASSN POOL #AL5063		2016-03-28	2017-07-31
1190 61 FEDERAL NATL MTG ASSN POOL #AL5063		2016-03-28	2017-08-31
66311 314 FEDERAL NATL MTG ASSN POOL #AL5063		2016-03-28	2017-09-11
584 16 FEDERAL NATL MTG ASSN POOL #AS0353		2013-08-29	2017-01-31
435 85 FEDERAL NATL MTG ASSN POOL #AS0353		2013-08-29	2017-02-28
1070 66 FEDERAL NATL MTG ASSN POOL #AS0353		2013-08-29	2017-03-31
98 88 FEDERAL NATL MTG ASSN POOL #AS0353		2013-08-29	2017-04-30

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,423		1,551	-128
1,359		1,481	-122
1,538		1,676	-138
1,172		1,278	-106
1,191		1,298	-107
71,202		72,269	-1,067
584		584	
436		436	
1,071		1,071	
99		99	

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-128
			-122
			-138
			-106
			-107
			-1,067

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
271 18 FEDERAL NATL MTG ASSN POOL #AS0353		2013-08-29	2017-05-31
1048 45 FEDERAL NATL MTG ASSN POOL #AS0353		2013-08-29	2017-06-30
588 25 FEDERAL NATL MTG ASSN POOL #AS0353		2013-08-29	2017-07-31
422 71 FEDERAL NATL MTG ASSN POOL #AS0353		2013-08-29	2017-08-31
42679 293 FEDERAL NATL MTG ASSN POOL #AS0353		2013-08-29	2017-09-11
756 41 FEDERAL NATL MTG ASSN POOL #AS5666		2015-08-31	2017-01-31
490 65 FEDERAL NATL MTG ASSN POOL #AS5666		2015-08-31	2017-02-28
395 38 FEDERAL NATL MTG ASSN POOL #AS5666		2015-08-31	2017-03-31
227 04 FEDERAL NATL MTG ASSN POOL #AS5666		2015-08-31	2017-04-30
895 15 FEDERAL NATL MTG ASSN POOL #AS5666		2015-08-31	2017-05-31

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
271		271	
1,048		1,049	-1
588		589	-1
423		423	
44,146		42,703	1,443
756		806	-50
491		523	-32
395		422	-27
227		242	-15
895		954	-59

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1
			-1
			1,443
			-50
			-32
			-27
			-15
			-59

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
876 44 FEDERAL NATL MTG ASSN POOL #AS5666		2015-08-31	2017-06-30
613 84 FEDERAL NATL MTG ASSN POOL #AS5666		2015-08-31	2017-07-31
545 4 FEDERAL NATL MTG ASSN POOL #AS5666		2015-08-31	2017-08-31
24276 74 FEDERAL NATL MTG ASSN POOL #AS5666		2015-09-09	2017-09-11
6817 953 FEDERAL NATL MTG ASSN POOL #AS5666		2015-08-31	2017-09-11
86 17 FEDERAL NATL MTG ASSN POOL #AS6413		2015-12-17	2017-01-31
72 19 FEDERAL NATL MTG ASSN POOL #AS6413		2015-12-17	2017-02-28
111 14 FEDERAL NATL MTG ASSN POOL #AS6413		2015-12-17	2017-03-31
95 64 FEDERAL NATL MTG ASSN POOL #AS6413		2015-12-17	2017-04-30
145 66 FEDERAL NATL MTG ASSN POOL #AS6413		2015-12-17	2017-05-31

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
876		934	-58
614		654	-40
545		581	-36
25,589		25,908	-319
7,187		7,269	-82
86		87	-1
72		73	-1
111		112	-1
96		96	
146		147	-1

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-58
			-40
			-36
			-319
			-82
			-1
			-1
			-1
			-1

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
112 15 FEDERAL NATL MTG ASSN POOL #AS6413		2015-12-17	2017-06-30
132 56 FEDERAL NATL MTG ASSN POOL #AS6413		2015-12-17	2017-07-31
155 97 FEDERAL NATL MTG ASSN POOL #AS6413		2015-12-17	2017-08-31
8019 721 FEDERAL NATL MTG ASSN POOL #AS6413		2015-12-17	2017-09-11
821 06 FEDERAL NATL MTG ASSN POOL #AS7568		2016-09-28	2017-01-31
559 55 FEDERAL NATL MTG ASSN POOL #AS7568		2016-09-28	2017-02-28
1189 47 FEDERAL NATL MTG ASSN POOL #AS7568		2016-09-28	2017-03-31
618 11 FEDERAL NATL MTG ASSN POOL #AS7568		2016-09-28	2017-04-30
540 82 FEDERAL NATL MTG ASSN POOL #AS7568		2016-09-28	2017-05-31
1034 33 FEDERAL NATL MTG ASSN POOL #AS7568		2016-09-28	2017-06-30

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
112		113	-1
133		134	-1
156		157	-1
8,125		8,087	38
821		904	-83
560		616	-56
1,189		1,310	-121
618		681	-63
541		595	-54
1,034		1,139	-105

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1
			-1
			-1
			38
			-83
			-56
			-121
			-63
			-54
			-105

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1195 37 FEDERAL NATL MTG ASSN POOL #AS7568		2016-09-28	2017-07-31
1043 2 FEDERAL NATL MTG ASSN POOL #AS7568		2016-09-28	2017-08-31
39553 031 FEDERAL NATL MTG ASSN POOL #AS7568		2016-09-28	2017-09-11
194 28 FEDERAL NATL MTG ASSN POOL #AW8597		2015-04-10	2017-01-31
165 96 FEDERAL NATL MTG ASSN POOL #AW8597		2015-04-10	2017-02-28
224 89 FEDERAL NATL MTG ASSN POOL #AW8597		2015-04-10	2017-03-31
268 15 FEDERAL NATL MTG ASSN POOL #AW8597		2015-04-10	2017-04-30
212 9 FEDERAL NATL MTG ASSN POOL #AW8597		2015-04-10	2017-05-31
282 64 FEDERAL NATL MTG ASSN POOL #AW8597		2015-04-10	2017-06-30
317 73 FEDERAL NATL MTG ASSN POOL #AW8597		2015-04-10	2017-07-31

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,195		1,316	-121
1,043		1,149	-106
42,458		43,552	-1,094
194		204	-10
166		174	-8
225		236	-11
268		281	-13
213		223	-10
283		297	-14
318		333	-15

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-121
			-106
			-1,094
			-10
			-8
			-11
			-13
			-10
			-14
			-15

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
194 74 FEDERAL NATL MTG ASSN POOL #AW8597		2015-04-10	2017-08-31
14249 503 FEDERAL NATL MTG ASSN POOL #AW8597		2015-04-10	2017-09-11
188 33 FEDERAL NATL MTG ASSN POOL #AZ0862		2015-08-05	2017-01-31
184 67 FEDERAL NATL MTG ASSN POOL #AZ0862		2015-08-05	2017-02-28
217 74 FEDERAL NATL MTG ASSN POOL #AZ0862		2015-08-05	2017-03-31
200 13 FEDERAL NATL MTG ASSN POOL #AZ0862		2015-08-05	2017-04-30
236 19 FEDERAL NATL MTG ASSN POOL #AZ0862		2015-08-05	2017-05-31
241 49 FEDERAL NATL MTG ASSN POOL #AZ0862		2015-08-05	2017-06-30
204 62 FEDERAL NATL MTG ASSN POOL #AZ0862		2015-08-05	2017-07-31
307 89 FEDERAL NATL MTG ASSN POOL #AZ0862		2015-08-05	2017-08-31

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
195		204	-9
14,708		14,949	-241
188		195	-7
185		191	-6
218		225	-7
200		207	-7
236		244	-8
241		250	-9
205		212	-7
308		318	-10

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-9
			-241
			-7
			-6
			-7
			-7
			-8
			-9
			-7
			-10

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
23072 854 FEDERAL NATL MTG ASSN POOL #AZ0862		2015-08-05	2017-09-11
29 19 FEDERAL HOME LN MTG CORP SER 3536 CL PC		2009-10-20	2017-01-31
1 76 FEDERAL HOME LN MTG CORP SER 3536 CL PC		2009-10-20	2017-02-28
121 73 FEDERAL HOME LN MTG CORP SER 3536 CL PC		2009-10-20	2017-03-31
18 59 FEDERAL HOME LN MTG CORP SER 3536 CL PC		2009-10-20	2017-04-30
107 57 FEDERAL HOME LN MTG CORP SER 3536 CL PC		2009-10-20	2017-05-31
192 24 FEDERAL HOME LN MTG CORP SER 3536 CL PC		2009-10-20	2017-06-30
46 85 FEDERAL HOME LN MTG CORP SER 3536 CL PC		2009-10-20	2017-07-31
45 02 FEDERAL HOME LN MTG CORP SER 3536 CL PC		2009-10-20	2017-08-31
1047 166 FEDERAL HOME LN MTG CORP SER 3536 CL PC		2009-10-20	2017-09-11

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
23,866		23,852	14
29		30	-1
2		2	
122		126	-4
19		19	
108		112	-4
192		199	-7
47		49	-2
45		47	-2
1,060		1,086	-26

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			14
			-1
			-4
			-4
			-7
			-2
			-2
			-26

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
306 05 FEDERAL NATL MTG ASSN POOL #BC0133		2015-12-11	2017-01-31
278 35 FEDERAL NATL MTG ASSN POOL #BC0133		2015-12-11	2017-02-28
313 09 FEDERAL NATL MTG ASSN POOL #BC0133		2015-12-11	2017-03-31
310 72 FEDERAL NATL MTG ASSN POOL #BC0133		2015-12-11	2017-04-30
271 37 FEDERAL NATL MTG ASSN POOL #BC0133		2015-12-11	2017-05-31
448 07 FEDERAL NATL MTG ASSN POOL #BC0133		2015-12-11	2017-06-30
270 39 FEDERAL NATL MTG ASSN POOL #BC0133		2015-12-11	2017-07-31
407 13 FEDERAL NATL MTG ASSN POOL #BC0133		2015-12-11	2017-08-31
27389 784 FEDERAL NATL MTG ASSN POOL #BC0133		2015-12-11	2017-09-11
3 87 FEDERAL NATL MTG ASSN POOL #888757		2007-10-18	2017-01-31

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
306		316	-10
278		288	-10
313		324	-11
311		321	-10
271		281	-10
448		463	-15
270		280	-10
407		421	-14
28,271		28,323	-52
4		4	

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-10
			-10
			-11
			-10
			-10
			-15
			-10
			-14
			-52

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
4 35 FEDERAL NATL MTG ASSN POOL #888757		2007-10-18	2017-02-28
4 64 FEDERAL NATL MTG ASSN POOL #888757		2007-10-18	2017-03-31
4 91 FEDERAL NATL MTG ASSN POOL #888757		2007-10-18	2017-04-30
4 11 FEDERAL NATL MTG ASSN POOL #888757		2007-10-18	2017-05-31
4 53 FEDERAL NATL MTG ASSN POOL #888757		2007-10-18	2017-06-30
4 25 FEDERAL NATL MTG ASSN POOL #888757		2007-10-18	2017-07-31
4 5 FEDERAL NATL MTG ASSN POOL #888757		2007-10-18	2017-08-31
1340 564 FEDERAL NATL MTG ASSN POOL #888757		2007-10-18	2017-09-12
4 46 FEDERAL NATL MTG ASSN POOL #890200		2010-02-25	2017-01-31
13 93 FEDERAL NATL MTG ASSN POOL #890200		2010-02-25	2017-02-28

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4		4	
5		5	
5		5	
4		4	
5		5	
4		4	
5		5	
1,400		1,354	46
4		5	-1
14		15	-1

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			46
			-1
			-1

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
37 84 FEDERAL NATL MTG ASSN POOL #890200		2010-02-25	2017-03-31
25 08 FEDERAL NATL MTG ASSN POOL #890200		2010-02-25	2017-04-30
21 16 FEDERAL NATL MTG ASSN POOL #890200		2010-02-25	2017-05-31
143 86 FEDERAL NATL MTG ASSN POOL #890200		2010-02-25	2017-06-30
8 57 FEDERAL NATL MTG ASSN POOL #890200		2010-02-25	2017-07-31
19 7 FEDERAL NATL MTG ASSN POOL #890200		2010-02-25	2017-08-31
1507 86 FEDERAL NATL MTG ASSN POOL #890200		2010-02-25	2017-09-11
308 16 FEDERAL NATL MTG ASSN POOL #AD6942		2010-06-25	2017-01-31
171 59 FEDERAL NATL MTG ASSN POOL #AD6942		2010-06-25	2017-02-28
44 98 FEDERAL NATL MTG ASSN POOL #AD6942		2010-06-25	2017-03-31

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
38		40	-2
25		27	-2
21		23	-2
144		154	-10
9		9	
20		21	-1
1,681		1,613	68
308		327	-19
172		182	-10
45		48	-3

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-2
			-2
			-2
			-10
			-1
			68
			-19
			-10
			-3

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
277 24 FEDERAL NATL MTG ASSN POOL #AD6942		2010-06-25	2017-04-30
45 29 FEDERAL NATL MTG ASSN POOL #AD6942		2010-06-25	2017-05-31
308 47 FEDERAL NATL MTG ASSN POOL #AD6942		2010-06-25	2017-06-30
293 31 FEDERAL NATL MTG ASSN POOL #AD6942		2010-06-25	2017-07-31
162 57 FEDERAL NATL MTG ASSN POOL #AD6942		2010-06-25	2017-08-31
18332 972 FEDERAL NATL MTG ASSN POOL #AD6942		2010-06-25	2017-09-11
5000 FEDEX CORP SR UNSECD NT CALL 5/15/45 @100		2015-11-18	2017-09-08
20000 FIFTH THIRD BANCORP SR UNSECD NT		2014-02-25	2017-09-08
385 2 FORD CREDIT AUTO OWNER TR SER 2014-A CL A3		2014-01-14	2017-01-14
378 73 FORD CREDIT AUTO OWNER TR SER 2014-A CL A3		2014-01-14	2017-02-14

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
277		294	-17
45		48	-3
308		327	-19
293		311	-18
163		172	-9
20,006		19,436	570
5,469		4,858	611
20,149		19,972	177
385		385	
379		379	

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-17
			-3
			-19
			-18
			-9
			570
			611
			177

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
5 11 FORD CREDIT AUTO OWNER TR SER 2014-A CL A3		2014-01-14	2017-03-15
25000 FORD MTR CR CO LLC SR UNSECD NT		2016-11-09	2017-09-08
10000 GE DEALER FLOORPLAN MASTER NT TR SER 2015-1 CL A		2015-10-14	2017-09-11
356 73 GOVERNMENT NATL MTG ASSN II POOL #MA2601		2015-03-18	2017-01-31
327 48 GOVERNMENT NATL MTG ASSN II POOL #MA2601		2015-03-18	2017-02-28
371 28 GOVERNMENT NATL MTG ASSN II POOL #MA2601		2015-03-18	2017-03-31
316 59 GOVERNMENT NATL MTG ASSN II POOL #MA2601		2015-03-18	2017-04-30
351 63 GOVERNMENT NATL MTG ASSN II POOL #MA2601		2015-03-18	2017-05-31
391 48 GOVERNMENT NATL MTG ASSN II POOL #MA2601		2015-03-18	2017-06-30
404 35 GOVERNMENT NATL MTG ASSN II POOL #MA2601		2015-03-18	2017-07-31

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5		5	
24,996		24,915	81
9,992		9,948	44
357		375	-18
327		344	-17
371		390	-19
317		333	-16
352		370	-18
391		412	-21
404		425	-21

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			81
			44
			-18
			-17
			-19
			-16
			-18
			-21
			-21

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
384 13 GOVERNMENT NATL MTG ASSN II POOL #MA2601		2015-03-18	2017-08-31
17546 13 GOVERNMENT NATL MTG ASSN II POOL #MA2601		2015-03-18	2017-09-11
15000 GS MTG SECS TR 2013-GC13 MTG PASS THRU CTF CL A-5		2013-07-17	2017-09-11
10000 GS MTG SECS TR 2015-GC34 COML MTG PASSTHRU CTF CL A-4		2015-12-22	2017-09-12
2000 GENERAL ELEC CO SR UNSECD NT		2014-12-23	2017-09-08
10000 GENERAL ELEC CO PERP PFD JR SUB NT SER D		2016-01-05	2017-09-08
10000 GENERAL ELEC CO PERP PFD JR SUB NT SER D			2017-09-12
10000 GENERAL ELEC CAP CORP SR UNSECD MTN		2013-01-09	2017-09-08
3000 GENERAL MTRS FINL CO INC SR UNSECD NT CALL 12/01/25 @100		2017-02-15	2017-09-08
22000 GENERAL MTRS FINL CO INC SR UNSECD NT CALL 12/01/25 @100		2017-02-15	2017-09-08

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
384		404	-20
18,286		18,454	-168
16,022		15,450	572
10,325		10,047	278
2,225		2,187	38
10,538		10,000	538
121			121
10,499		10,006	493
3,279		3,197	82
24,046		23,408	638

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-20
			-168
			572
			278
			38
			538
			121
			493
			82
			638

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1269 96 GM FINL AUTOMOBILE LEASING TR 2015-1 ASSET BACKED NT CL A2		2015-03-18	2017-01-19
278 35 GM FINL AUTOMOBILE LEASING TR 2015-1 ASSET BACKED NT CL A2		2015-03-18	2017-02-21
25000 GOLDMAN SACHS GROUP INC UNSECD SR GBL NT C01/26/26 @100		2017-01-26	2017-09-08
10000 HSBC HLDGS PLC SR UNSECD NT UNITED KINGDOM		2012-02-06	2017-09-08
3000 HSBC FIN CORP SR SUB NT		2015-10-27	2017-09-20
2000 HSBC FIN CORP SR SUB NT		2015-10-27	2017-10-24
2857 143 HARTFORD MUT FDS II INC SCHRODERS EMERGING MKTS		2015-12-16	2017-05-25
2894 902 HARTFORD MUT FDS II INC SCHRODERS EMERGING MKTS		2015-12-16	2017-09-15
5000 HOME DEPOT INC SR UNSECD NT CALL 6/15/25 @100		2015-12-22	2017-09-08
530 41 HYUNDAI AUTO RECEIVABLES TR 2015-B CL A-2-B		2015-04-15	2017-01-17

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,270		1,270	
278		278	
25,782		24,891	891
10,990		10,540	450
3,445		3,339	106
2,252		2,250	2
41,000		31,486	9,514
46,000		31,902	14,098
5,238		5,101	137
530		530	

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			891
			450
			106
			2
			9,514
			14,098
			137

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
5000 JPMORGAN CHASE & CO SR UNSECD NT		2015-11-04	2017-09-08
5000 JPMORGAN CHASE & CO SR UNSECD NT		2014-03-26	2017-09-08
410 97 J P MORGAN CHASE COML MTG SECS TR 2007-CIBC18 PASSTHRU CL A-4		2008-02-27	2017-02-13
15000 J P MORGAN CHASE COML MTG SECS TR 2012-C8 COML MTG PASSTHRU A-3		2012-12-12	2017-09-11
10000 JPMBB COML MTG SECS TR SER 2014-C26 CL A4		2015-12-22	2017-09-11
15000 JPMBB COML MTG SECS TR 2015-C28 COML MTG PASSTHRU CTF A-3		2015-04-02	2017-09-11
2335 02 KENTUCKY ASSET / LIABILITY COM FDG NTS-TAXBL		2010-08-18	2017-04-24
1944 293 KENTUCKY ASSET / LIABILITY COM FDG NTS-TAXBL		2010-08-18	2017-09-11
10000 KIMCO RLTY CORP SR UNSECD NT CALL 03/01/23 @100		2014-05-27	2017-03-10
5000 KINDER MORGAN ENERGY PARTNERS L P SR NT		2010-09-29	2017-09-08

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,333		5,235	98
5,333		5,194	139
411		388	23
15,300		15,468	-168
10,434		10,080	354
15,066		15,000	66
2,335		2,335	
1,944		1,944	
9,816		9,666	150
5,773		5,471	302

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			98
			139
			23
			-168
			354
			66
			150
			302

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
3000 KINDER MORGAN ENERGY PARTNERS UNSECD SR NT		2015-12-15	2017-09-08
5000 KINDER MORGAN INC DEL SR UNSECD NT		2015-12-03	2017-09-11
2000 KINDER MORGAN INC DEL SR UNSECD NT CALL 8/15/45 @100		2015-11-16	2017-09-08
5000 KRAFT FOODS GROUP INC SR UNSECD NT		2015-06-25	2017-09-11
1362 32 LB-UBS COML MTG TR 2007-C2 MTG PASSTHRU CTF CL A-3		2013-07-22	2017-02-17
10000 LYB INTL FINANCE BV GTD SR UNSECD NT NETHERLANDS		2015-10-26	2017-03-09
2000 LINCOLN NATL CORP IND UNSECD SR NT		2009-06-29	2017-05-08
5000 LLOYDS BK PLC BK GTD BD UNITED KINGDOM		2013-01-11	2017-09-11
5000 LOCKHEED MARTIN CORP SR UNSECD NT		2015-07-16	2017-09-08
2000 LOCKHEED MARTIN CORP SR UNSECD NT CALL 10/15/25 @100		2015-11-16	2017-09-08

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,025		2,768	257
5,001		4,680	321
2,006		1,475	531
5,201		5,181	20
1,362		1,508	-146
10,359		10,270	89
2,267		2,035	232
5,658		5,660	-2
5,245		5,083	162
2,097		1,992	105

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			257
			321
			531
			20
			-146
			89
			232
			-2
			162
			105

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
6000 LOCKHEED MARTIN CORP SR UNSECD NT CALL 10/15/25 @100		2015-11-16	2017-09-08
5000 LOWES COS INC SR UNSECD NT CALL 3/15/45 @100		2015-09-10	2017-05-15
530 739 MFS MID CAP VALUE FUND CL I		2017-05-25	2017-12-21
4278 474 MFS MID CAP VALUE FUND CL I		2017-03-09	2017-12-21
8738 79 MFS VALUE FUND CL I		2015-12-16	2017-03-09
3405 018 MFS VALUE FUND CL I		2016-03-29	2017-03-09
1123 364 MFS VALUE FUND CL I		2013-06-17	2017-03-09
5000 MACYS RETAIL HLDGS INC SR UNSECD NT		2015-09-04	2017-01-10
5000 MACYS RETAIL HLDGS INC SR UNSECD NT		2015-08-27	2017-01-10
10000 MEDTRONIC INC UNSECD SR GBL NT		2015-12-08	2017-09-08

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,291		5,975	316
5,191		4,962	229
12,594		12,000	594
101,528		95,966	5,562
334,608		292,662	41,946
130,378		114,000	16,378
43,014		34,015	8,999
4,677		4,699	-22
4,677		4,660	17
10,441		10,116	325

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			316
			229
			594
			5,562
			41,946
			16,378
			8,999
			-22
			17
			325

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1060 29 MERCEDES-BENZ AUTO LEASE TR 2015-B ASSET BACKED NT CL A-3		2015-10-21	2017-05-14
1012 59 MERCEDES-BENZ AUTO LEASE TR 2015-B ASSET BACKED NT CL A-3		2015-10-21	2017-06-14
1551 45 MERCEDES-BENZ AUTO LEASE TR 2015-B ASSET BACKED NT CL A-3		2015-10-21	2017-07-14
1280 92 MERCEDES-BENZ AUTO LEASE TR 2015-B ASSET BACKED NT CL A-3		2015-10-21	2017-08-14
5094 748 MERCEDES-BENZ AUTO LEASE TR 2015-B ASSET BACKED NT CL A-3		2015-10-21	2017-09-11
10000 METLIFE INC NT		2009-02-11	2017-09-08
5000 METLIFE INC SR UNSECD NT CALL 8/13/25 @100		2015-11-10	2017-09-08
10000 METROPOLITAN TRANSN AUTH N Y R REV BDS-TAXBL		2010-07-15	2017-09-08
5000 MICROSOFT CORP SR UNSECD NT CALL 5/3/45 @100		2015-10-29	2017-09-08
25000 MICROSOFT CORP SR UNSECD NT		2016-08-04	2017-09-08

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,060		1,060	
1,013		1,013	
1,551		1,551	
1,281		1,281	
5,091		5,095	-4
10,838		10,021	817
5,284		5,028	256
14,067		10,084	3,983
5,627		5,060	567
25,030		25,497	-467

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-4
			817
			256
			3,983
			567
			-467

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
10000 MORGAN STANLEY SR UNSECD NT		2015-05-04	2017-09-08
15000 NATIONAL RURAL UTILS COOP FIN CORP COLL TR BD		2008-10-28	2017-09-08
15000 NEW JERSEY ST TPK AUTH TPK REV REV BDS-TAXBL		2010-12-08	2017-09-11
5000 NISOURCE FIN CORP UNSECD SR NT		2015-10-02	2017-09-08
2000 NOVARTIS SECS INVEST LTD CO GTD BERMUDA		2015-09-30	2017-09-08
10000 NOVARTIS CAP CORP SR UNSECD NT CALL 8/20/25 @100		2015-11-17	2017-09-08
5000 ORACLE CORP SR UNSECD NT CALL 3/15/22 @100		2015-04-29	2017-09-08
12195 122 PIMCO HIGH YIELD FD INSTL CL		2017-03-09	2017-05-25
11252 595 PIMCO HIGH YIELD FD INSTL CL		2017-03-09	2017-12-21
5000 PNC FINL SVCS GROUP INC JR SUB DEP RECEIPT DEP SHS REPSTG		2014-11-03	2017-09-08

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
10,505		10,232	273
16,456		15,597	859
22,127		15,337	6,790
5,261		5,172	89
2,099		2,098	1
10,306		9,944	362
5,080		4,968	112
110,000		107,805	2,195
100,823		99,473	1,350
5,133		4,756	377

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			273
			859
			6,790
			89
			1
			362
			112
			2,195
			1,350
			377

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
5000 PNC FINL SVCS GROUP INC JR SUB DEP RECEIPT DEP SHS REPSTG			2017-09-12
15000 PPL CAP FDG INC SR UNSECD NT CALL 3/1/23 @100		2013-05-21	2017-09-08
25000 PNC BK N A PITTSBURGH PA UNSECD MEDIUM TERM SR BK NT C06/02/19		2016-12-22	2017-09-08
1000 PACIFIC GAS & ELEC CO FIRST MTG		2015-10-21	2017-09-08
3000 PACIFIC GAS & ELEC CO FIRST MTG		2015-10-19	2017-09-08
1000 PACIFIC GAS & ELEC CO FIRST MTG		2015-11-03	2017-09-08
10000 PACIFICORP 1ST MTG BD CALL 3/15/21 @100		2015-06-12	2017-09-08
1212 POWERSHARES DB COMMODITY INDEX TRACKING FUND UNIT BEN INT		2014-05-13	2017-03-09
5874 POWERSHARES DB COMMODITY INDEX TRACKING FUND UNIT BEN INT		2014-09-16	2017-03-09
353 POWERSHARES DB COMMODITY INDEX TRACKING FUND UNIT BEN INT		2014-09-16	2017-12-15

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
68			68
15,593		15,010	583
25,229		25,097	132
1,302		1,206	96
3,907		3,609	298
1,302		1,187	115
10,657		10,407	250
18,174		23,541	-5,367
88,079		101,200	-13,121
5,576		6,082	-506

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			68
			583
			132
			96
			298
			115
			250
			-5,367
			-13,121
			-506

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
463 POWERSHARES DB COMMODITY INDEX TRACKING FUND UNIT BEN INT		2017-05-25	2017-12-15
1583 POWERSHARES DB COMMODITY INDEX TRACKING FUND UNIT BEN INT		2016-05-31	2017-12-15
5000 PRUDENTIAL FINL INC SR MTN		2010-04-29	2017-09-08
2000 PRUDENTIAL FINL INC SR MTN		2015-11-13	2017-09-08
5000 RESOLUTION FDG CORP FED PRIN STRIPS GENERIC PRIN PMT		2015-08-31	2017-09-08
15000 RESOLUTION FDG CORP FED PRIN STRIPS GENERIC PRIN PMT		2014-01-10	2017-09-08
5000 SEMPRA ENERGY SR UNSECD NT CALL 07/01/22 @100		2015-01-15	2017-09-08
5000 SEMPRA ENERGY SR UNSECD NT CALL 07/01/22 @100		2014-10-09	2017-09-08
20000 SHELL INTL FIN B V SR UNSECD NT NETHERLANDS		2015-11-05	2017-09-08
10000 SOUTHERN CA EDISON CO 1ST MORTGAGE BD C3/1/21 @100		2015-06-12	2017-09-08

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7,313		3,721	3,592
25,003		12,442	12,561
5,475		5,479	-4
2,190		2,172	18
3,602		3,418	184
10,806		9,157	1,649
5,075		5,044	31
5,075		4,930	145
20,020		19,934	86
10,657		10,428	229

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			3,592
			12,561
			-4
			18
			184
			1,649
			31
			145
			86
			229

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
25000 SYNCHRONY FINL SR UNSECD NT CALL 7/15/17 @100		2016-09-06	2017-08-15
9108 305 TCW SELECT EQUITIES FUND CL I		2014-01-15	2017-03-09
6773 05 TCW SELECT EQUITIES FUND CL I		2014-01-15	2017-05-25
1339 136 TCW SELECT EQUITIES FUND CL I		2014-01-15	2017-09-15
374 12 THORNBURG INTL VALUE FUND CL I		2010-04-06	2017-03-09
5997 601 THORNBURG INTL VALUE FUND CL I		2011-08-22	2017-03-09
10085 401 THORNBURG INTL VALUE FUND CL I		2015-12-16	2017-03-09
3173 931 THORNBURG INTL VALUE FUND CL I		2016-03-29	2017-03-09
5000 TOTAL CAP INTL SA CO GTD NT FRANCE		2014-09-17	2017-09-08
705 76 TOYOTA AUTO RECEIVABLES 2015-C OWNER TR NT CL A-3		2015-08-18	2017-04-14

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
25,000		25,000	
238,000		230,896	7,104
191,000		171,697	19,303
40,000		33,947	6,053
9,155		9,809	-654
146,761		150,000	-3,239
246,790		248,000	-1,210
77,666		75,000	2,666
5,154		4,961	193
706		706	

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			7,104
			19,303
			6,053
			-654
			-3,239
			-1,210
			2,666
			193

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse, or common stock, 200 shs MLC Co )	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo , day, yr )	<b>(d)</b> Date sold (mo , day, yr )
1142 37 TOYOTA AUTO RECEIVABLES 2015-C OWNER TR NT CL A-3		2015-08-18	2017-05-14
1181 72 TOYOTA AUTO RECEIVABLES 2015-C OWNER TR NT CL A-3		2015-08-18	2017-06-14
1142 78 TOYOTA AUTO RECEIVABLES 2015-C OWNER TR NT CL A-3		2015-08-18	2017-07-14
1047 79 TOYOTA AUTO RECEIVABLES 2015-C OWNER TR NT CL A-3		2015-08-18	2017-08-14
9779 58 TOYOTA AUTO RECEIVABLES 2015-C OWNER TR NT CL A-3		2015-08-18	2017-09-11
15000 TOYOTA MTR CR CORP SR UNSECD MTN		2015-07-10	2017-09-13
10000 21ST CENTY FOX AMER INC SR DEB		2008-04-04	2017-05-01
5000 UNION PAC CORP SR UNSECD NT SER WI CALL 4/15/22 @100		2015-10-02	2017-09-08
5000 UNION PAC CORP SR UNSECD NT CALL 01/15/23 @100		2015-11-12	2017-09-08
4000 U S BANCORP MEDIUM TERM SUB NT C6/15/22 @100		2015-09-30	2017-09-08

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
1,142		1,142	
1,182		1,182	
1,143		1,143	
1,048		1,048	
9,767		9,778	-11
15,044		15,007	37
12,283		10,036	2,247
5,441		5,286	155
5,124		4,929	195
4,109		3,988	121

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
<b>(i)</b> F M V as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col (i) over col (j), if any	
			-11
			37
			2,247
			155
			195
			121

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
6000 UNITED STATES TREAS BD STRIPPED PRIN PMT 3 750%		2014-12-19	2017-09-08
17000 UNITED STATES TREAS BD STRIPPED PRIN PMT 3 750%		2015-05-29	2017-09-08
5000 UNITED STATES TREAS BD STRIPPED PRIN PMT 3 750%		2015-06-30	2017-09-08
5000 UNITED STATES TREAS BD STRIPPED PRIN PMT 3 750%		2014-06-30	2017-09-08
10000 UNITED STATES TREAS BD STRIPPED PRIN PMT 3 750%		2014-03-31	2017-09-08
10000 UNITED STATES TREAS BD STRIPPED PRIN PMT 3 750%		2014-03-18	2017-09-08
5000 UNITED STATES TREAS BD STRIPPED PRIN PMT 3 750%		2014-01-31	2017-09-08
35000 UNITED STATES TREAS BD STRIPPED PRIN PMT 3 750%		2013-12-31	2017-09-08
25000 UNITED STATES TREAS BD DTD 11/15/11 3 125% DUE 11/15/41		2016-09-27	2017-09-08
5000 UNITED STATES TREAS BD DTD 08/15/15 2 875% DUE 08/15/45		2015-11-15	2017-09-08

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,928		2,858	70
8,297		7,850	447
2,440		2,161	279
2,440		2,008	432
4,881		3,757	1,124
4,881		3,653	1,228
2,440		1,825	615
17,083		11,787	5,296
27,357		29,269	-1,912
5,200		4,939	261

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			70
			447
			279
			432
			1,124
			1,228
			615
			5,296
			-1,912
			261

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
4000 UNITED STATES TREAS BD DTD 08/15/15 2 875% DUE 08/15/45		2015-12-02	2017-09-08
2000 UNITED STATES TREAS BD DTD 08/15/15 2 875% DUE 08/15/45		2015-12-07	2017-09-08
3000 UNITED STATES TREAS BD DTD 08/15/15 2 875% DUE 08/15/45		2016-01-07	2017-09-08
7000 UNITED STATES TREAS BD DTD 08/15/15 2 875% DUE 08/15/45		2015-11-30	2017-09-08
5000 UNITED STATES TREAS BD DTD 08/15/15 2 875% DUE 08/15/45		2015-11-25	2017-09-08
5000 UNITED STATES TREAS BD DTD 08/15/15 2 875% DUE 08/15/45		2015-12-09	2017-09-08
4000 UNITED STATES TREAS BD DTD 08/15/15 2 875% DUE 08/15/45		2015-11-19	2017-09-08
14000 UNITED STATES TREAS BD DTD 08/15/15 2 875% DUE 08/15/45		2015-11-24	2017-09-08
5000 UNITED STATES TREAS BD DTD 08/15/15 2 875% DUE 08/15/45		2015-11-18	2017-09-08
27000 UNITED STATES TREAS BD DTD 08/15/15 2 875% DUE 08/15/45		2015-11-16	2017-09-08

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,160		3,951	209
2,080		1,972	108
3,120		2,955	165
7,280		6,831	449
5,200		4,877	323
5,200		4,867	333
4,160		3,891	269
14,561		13,600	961
5,200		4,827	373
28,081		25,960	2,121

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			209
			108
			165
			449
			323
			333
			269
			961
			373
			2,121

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
25000 UNITED STATES TREAS BD DTD 08/15/16 2 250% DUE 08/15/46		2016-09-06	2017-09-08
25000 UNITED STATES TREAS BD DTD 08/15/16 2 250% DUE 08/15/46		2016-10-28	2017-09-08
25000 UNITED STATES TREAS NT DTD 08/15/16 1 500% DUE 08/15/26		2016-09-27	2017-09-08
25000 UNITED STATES TREAS NT DTD 08/15/16 1 500% DUE 08/15/26		2016-10-28	2017-09-08
5000 UNITED STATES TREAS NT DTD 12/31/13 1 500% DUE 12/31/18		2013-12-31	2017-09-08
1900 UNITED STATES TREAS NT DTD 11/16/15 2 250% DUE 11/15/25		2016-01-08	2017-03-09
46000 UNITED STATES TREAS NT DTD 11/16/15 2 250% DUE 11/15/25		2015-11-16	2017-03-09
3000 UNITED STATES TREAS NT DTD 11/16/15 2 250% DUE 11/15/25		2015-11-18	2017-03-09
1000 UNITED STATES TREAS NT DTD 11/16/15 2 250% DUE 11/15/25		2015-11-18	2017-03-09
80000 UNITED STATES TREAS NT DTD 12/15/15 1 250% DUE 12/15/18		2016-01-13	2017-09-08

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
22,800		25,132	-2,332
22,800		23,141	-341
23,916		24,859	-943
23,916		24,243	-327
5,016		4,948	68
1,856		1,917	-61
44,933		45,971	-1,038
2,930		2,995	-65
977		998	-21
80,009		80,127	-118

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-2,332
			-341
			-943
			-327
			68
			-61
			-1,038
			-65
			-21
			-118

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
20000 UNITED STATES TREAS NT DTD 12/31/15 2 125% DUE 12/31/22		2016-01-05	2017-03-09
150000 UNITED STATES TREAS NT DTD 12/31/15 1 750% DUE 12/31/20		2016-01-13	2017-03-09
15000 UNITED STATES TREAS NT DTD 12/31/15 1 750% DUE 12/31/20		2016-01-13	2017-09-08
126000 UNITED STATES TREAS NT DTD 12/31/15 1 750% DUE 12/31/20		2016-01-05	2017-09-08
10000 UNITED STATES TREAS NT DTD 12/31/15 1 000% DUE 12/31/17		2016-01-04	2017-09-08
75000 UNITED STATES TREAS NT DTD 05/02/16 0 750% DUE 04/30/18		2016-12-21	2017-09-08
50569 5 UNITED STATES TREAS NT		2016-11-03	2017-03-22
25000 UNITED STATES TREAS NT DTD 09/30/16 0 750% DUE 09/30/18		2016-09-28	2017-09-08
50000 UNITED STATES TREAS NT DTD 11/15/16 2 000% DUE 11/15/26		2016-12-21	2017-09-08
45000 UNITED STATES TREAS NT DTD 02/28/17 2 125% DUE 02/29/24		2017-03-22	2017-09-08

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
19,836		20,073	-237
149,086		151,447	-2,361
15,144		15,126	18
127,211		125,685	1,526
9,996		9,995	1
74,795		74,710	85
49,325		50,613	-1,288
24,874		24,997	-123
49,811		47,645	2,166
45,786		44,738	1,048

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-237
			-2,361
			18
			1,526
			1
			85
			-1,288
			-123
			2,166
			1,048

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
10000 UTAH ST TAXABLE GO BDS-TAXBL		2009-09-17	2017-09-08
653 VANGUARD FTSE EMERGING MKTS ETF		2016-05-31	2017-03-09
465 VANGUARD FTSE EMERGING MKTS ETF		2016-05-31	2017-05-25
447 VANGUARD FTSE EMERGING MKTS ETF		2016-05-31	2017-09-15
5000 VERIZON COMMUNICATIONS INC SR UNSCED NT		2015-09-30	2017-02-08
10000 VERIZON COMMUNICATIONS INC SR UNSECD NT		2014-07-31	2017-02-07
8000 VISA INC UNSECD SR NT CALL 09/14/25 @100		2015-12-10	2017-09-08
20000 WFRBS COML MTG TR 2012-C9 MTG PASSTHRU CTF CL A3		2012-10-16	2017-09-11
5000 WELLS FARGO & CO NEW JR SUB NT SER S CALL 6/15/24 @100		2014-09-19	2017-09-08
5000 WELLS FARGO & CO NEW JR SUB NT SER S CALL 6/15/24 @100		2014-09-18	2017-09-08

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
11,030		10,093	937
24,901		22,055	2,846
19,054		15,705	3,349
20,051		15,097	4,954
5,514		5,449	65
10,286		10,153	133
8,273		8,002	271
20,400		20,499	-99
5,427		5,194	233
5,427		5,188	239

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			937
			2,846
			3,349
			4,954
			65
			133
			271
			-99
			233
			239

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e g , real estate, <b>(a)</b> 2-story brick warehouse, or common stock, 200 shs MLC Co )	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo , day, yr )	<b>(d)</b> Date sold (mo , day, yr )
10000 WELLS FARGO & CO NEW JR SUB NT SER S CALL 6/15/24 @100			2017-09-12
5000 WISCONSIN ELEC PWR CO SR UNSECD NT CALL 6/15/45 @100		2015-11-13	2017-09-08
1842 177 INTERNATIONAL FOCUSED EQUITY COMMON TRUST FUND		2017-03-10	2017-09-15
CAPITAL GAIN DIVIDENDS	P		

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	Gain or (loss) <b>(h)</b> (e) plus (f) minus (g)
143			143
5,472		4,986	486
25,000		21,769	3,231
			195,682

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
<b>(i)</b> F M V as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col (i) over col (j), if any	
			143
			486
			3,231

**TY 2017 Accounting Fees Schedule****Name:** RHODA M HELLMANN TRUST UW**EIN:** 06-6263709**Accounting Fees Schedule**

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
TAX PREPARATION FEE - BOA	1,250	750		500

**TY 2017 Compensation Explanation****Name:** RHODA M HELLMANN TRUST UW**EIN:** 06-6263709

Person Name	Explanation
BANK OF AMERICA	SEE FOOTNOTE

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

## TY 2017 Gain/Loss from Sale of Other Assets Schedule

**Name:** RHODA M HELLMANN TRUST UW

**EIN:** 06-6263709

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
1212 POWERS	2017-03		2014-05	PURCHASER	18,174	23,541			-5,367	
5874 POWERS	2017-03		2014-09	PURCHASER	88,079	101,200			-13,121	
353 POWERSH	2017-12		2014-09	PURCHASER	5,576	6,082			-506	
463 POWERSH	2017-12		2017-05	PURCHASER	7,313	3,721			3,592	
1583 POWERS	2017-12		2016-05	PURCHASER	25,003	12,442			12,561	

# TY 2017 General Explanation Attachment

**Name:** RHODA M HELLMANN TRUST UW

**EIN:** 06-6263709

## General Explanation Attachment

Identifier	Return Reference	Explanation	
1	FEDERAL FOOTNOTE	PART VIII LIST OF OFFICERS AND DIRECTORS	THE COMPENSATION SHOWN ON THE RETURN THAT IS PAID TO BANK OF AMERICA, N A AS CORPORATE TRUSTEE IS NOT CALCULATED BASED UPON AN HOURLY RATE FOR THE TIME SPENT BY THE TRUSTEE, RATHER, BANK OF AMERICA'S COMPENSATION AS CORPORATE TRUSTEE IS CALCULATED USING A MARKET VALUE FEE SCHEDULE THE TRUST OFFICER'S TIME SPENT PERFORMING ADMINISTRATIVE RESPONSIBILITIES FOR THIS FOUNDATION AVERAGES ONE HOUR PER WEEK IN ADDITION, TIME IS SPENT BY OTHER STAFF MEMBERS FOR RECORDKEEPING, INVESTMENT MANAGEMENT, INCOME COLLECTION, RENDERING STATEMENTS AND ACCOUNTINGS, REGULATORY REPORTING, REGULATORY COMPLIANCE, AND TAX SERVICES

**TY 2017 Investments Corporate Stock Schedule****Name:** RHODA M HELLMANN TRUST UW**EIN:** 06-6263709

<b>Name of Stock</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
464287200 ISHARES CORE S&P 500	766,031	961,408
464287499 ISHARES RUSSELL MID-	638,336	708,683
464287655 ISHARES RUSSELL 2000	760,793	901,953
73935S105 POWERSHARES DB COMMO	69,083	77,768
921943858 VANGUARD FTSE DEVELO	850,569	985,574
922042858 VANGUARD FTSE EMERGI	251,758	342,948
41665H847 HARTFORD MUT FDS II	385,976	582,817
55272P596 MFS MID CAP VALUE FU	394,141	456,698
552983694 MFS VALUE FUND CL I	783,654	1,033,961
87234N302 TCW SELECT EQUITIES	541,651	552,394
94975P447 WELLS FARGO SPECIAL	322,000	342,539
693390841 PIMCO HIGH YIELD FD	111,722	113,239
202671913 AGGREGATE BOND CTF	2,219,516	2,209,639
207543877 SMALL CAP GROWTH LEA	168,528	178,940
323991307 MID CAP GROWTH CTF	456,572	487,960
99Z466197 INTERNATIONAL FOCUSE	860,852	1,001,710

**TY 2017 Legal Fees Schedule****Name:** RHODA M HELLMANN TRUST UW**EIN:** 06-6263709

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
LEGAL FEES - PRINCIPAL (ALLOCA	290			290
LEGAL FEES - INCOME (ALLOCABLE	290			290
LEGAL FEES - CHARITABLE	24,862			24,862

**TY 2017 Other Decreases Schedule****Name:** RHODA M HELLMANN TRUST UW**EIN:** 06-6263709

<b>Description</b>	<b>Amount</b>
SALES ADJUSTMENT	652
SALES GAIN/LOSS ADJUSTMENT	65,404
PARTNERSHIP TRANSACTION ADJUSTMENT	599
CTF ADJUSTMENT	8,521
AMORTIZATION ADJUSTMENT	84

**TY 2017 Other Expenses Schedule****Name:** RHODA M HELLMANN TRUST UW**EIN:** 06-6263709**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OTHER ALLOCABLE EXPENSE-PRINCI	325	325		0
OTHER ALLOCABLE EXPENSE-INCOME	325	325		0
PARTNERSHIP EXPENSES		1,097		0

**TY 2017 Other Income Schedule****Name:** RHODA M HELLMANN TRUST UW**EIN:** 06-6263709**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
PARTNERSHIP INCOME		970	

**TY 2017 Other Increases Schedule****Name:** RHODA M HELLMANN TRUST UW**EIN:** 06-6263709

<b>Description</b>	<b>Amount</b>
INCOME ADJUSTMENT	686
ROUNDING	18
SECURITIES ADJUSTMENT	149
ACCRUED INTEREST PAID ADJUSTMENT	841

**TY 2017 Taxes Schedule****Name:** RHODA M HELLMANN TRUST UW**EIN:** 06-6263709

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
FOREIGN TAXES	2,625	2,625		0
EXCISE TAX - PRIOR YEAR	993	0		0
EXCISE TAX ESTIMATES	15,000	0		0
FOREIGN TAXES ON QUALIFIED FOR	3,232	3,232		0
FOREIGN TAXES ON NONQUALIFIED	813	813		0