

Form 990-PF

Department of the Treasury  
Internal Revenue ServiceReturn of Private Foundation  
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2017

Open to Public Inspection

◆ Do not enter social security numbers on this form as it may be made public.  
◆ Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

For calendar year 2017 or tax year beginning , and ending

Name of foundation

## NORWOTTOCK CHARITABLE TRUST

Number and street (or P O box number if mail is not delivered to street address)

2440 NORTH LAKEVIEW AVENUE, #15A

Room/suite

City or town, state or province, country, and ZIP or foreign postal code

CHICAGO IL 60614

G Check all that apply

Initial return  Initial return of a former public charity  
 Final return  Amended return  
 Address change  Name change

H Check type of organization  Section 501(c)(3) exempt private foundation Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundationI Fair market value of all assets at J Accounting method  Cash  Accrual  
end of year (from Part II, col. (c),  
line 16) ◆ \$ 284,720 (Part I, column (d) must be on cash basis)

A Employer identification number

04-6561597

B Telephone number (see instructions)

773-404-5566

C If exemption application is pending, check here D 1 Foreign organizations, check here 2. Foreign organizations meeting the  
85% test, check here and attach computation E If private foundation status was terminated under  
section 507(b)(1)(A), check here F If the foundation is in a 60-month termination  
under section 507(b)(1)(B), check here Part I Analysis of Revenue and Expenses (The total of  
amounts in columns (b), (c), and (d) may not necessarily equal  
the amounts in column (a) (see instructions))(a) Revenue and  
expenses per  
books(b) Net investment  
income(c) Adjusted net  
income(d) Disbursements  
for charitable  
purposes  
(cash basis only)

Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	47		
	2 Check ◆ <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B			
	3 Interest on savings and temporary cash investments	15	15	
	4 Dividends and interest from securities	8,617	8,617	
	5a Gross rents			
	b Net rental income or (loss)			
	6a Net gain or (loss) from sale of assets not on line 10	453,705		
	b Gross sales price for all assets on line 6a	474,770		
	7 Capital gain net income (from Part IV, line 2)		453,705	
	8 Net short-term capital gain			0
	9 Income modifications			
	10a Gross sales less returns and allowances			
Operating and Administrative Expenses	b Less Cost of goods sold			
	c Gross profit or (loss) (attach schedule)			
	11 Other income (attach schedule)			
	12 Total. Add lines 1 through 11	462,384	462,337	0
	13 Compensation of officers, directors, trustees, etc	0		
	14 Other employee salaries and wages			
	15 Pension plans, employee benefits			
	16a Legal fees (attach schedule)			
	b Accounting fees (attach schedule)	Stmt 1 3,900		3,900
	c Other professional fees (attach schedule)	Stmt 2 2,490	2,490	
	17 Interest			
	18 Taxes (attach schedule) (see instructions)	Stmt 3 3,114	3,114	
	19 Depreciation (attach schedule) and depletion			
	20 Occupancy			
	21 Travel, conferences, and meetings	1,286		1,286
	22 Printing and publications			
	23 Other expenses (att sch)	Stmt 4 190	175	15
	24 Total operating and administrative expenses.			
	Add lines 13 through 23	10,980	5,779	0
	25 Contributions, gifts, grants paid	486,797		486,797
	26 Total expenses and disbursements. Add lines 24 and 25	497,777	5,779	0
	27 Subtract line 26 from line 12	-35,393		
	a Excess of revenue over expenses and disbursements			
	b Net investment income (if negative, enter -0-)		456,558	
	c Adjusted net income (if negative, enter -0-)			0

For Paperwork Reduction Act Notice, see instructions.

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Assets	Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
1	Cash – non-interest-bearing				
2	Savings and temporary cash investments		7,561	-22,798	
3	Accounts receivable ◆				
	Less allowance for doubtful accounts ◆				
4	Pledges receivable ◆				
	Less allowance for doubtful accounts ◆				
5	Grants receivable				
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
7	Other notes and loans receivable (att schedule) ◆		0		
	Less allowance for doubtful accounts ◆				
8	Inventories for sale or use				
9	Prepaid expenses and deferred charges				
10a	Investments – U S and state government obligations (attach schedule)				
b	Investments – corporate stock (attach schedule)	See Stmt 5	79,354	77,316	198,122
c	Investments – corporate bonds (attach schedule)	See Stmt 6	64,898	61,262	61,779
11	Investments – land, buildings, and equipment basis ◆				
	Less accumulated depreciation (attach sch) ◆				
12	Investments – mortgage loans				
13	Investments – other (attach schedule)	See Statement 7	23,052	23,697	24,819
14	Land, buildings, and equipment basis ◆				
	Less accumulated depreciation (attach sch) ◆				
15	Other assets (describe ◆)				
16	Total assets (to be completed by all filers – see the instructions Also, see page 1, item I)		174,865	139,477	284,720
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ◆)	)	0	0
Net Assets or Fund Balances	23	Total liabilities (add lines 17 through 22)			
	24	Foundations that follow SFAS 117, check here ◆ <input type="checkbox"/>			
		and complete lines 24 through 26 and lines 30 and 31.			
	25	Unrestricted			
	26	Temporarily restricted			
	27	Permanently restricted	◆ <input checked="" type="checkbox"/>		
	28	Foundations that do not follow SFAS 117, check here ◆ <input checked="" type="checkbox"/>			
	29	and complete lines 27 through 31.			
	30	Capital stock, trust principal, or current funds			
	31	Paid-in or capital surplus, or land, bldg , and equipment fund			
	32	Retained earnings, accumulated income, endowment, or other funds			
	33	Total net assets or fund balances (see instructions)	174,865	139,477	
	34	Total liabilities and net assets/fund balances (see instructions)	174,865	139,477	
	35		174,865	139,477	

## Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	174,865
2	Enter amount from Part I, line 27a	2	-35,393
3	Other increases not included in line 2 (itemize) ◆ See Statement 8	3	5
4	Add lines 1, 2, and 3	4	139,477
5	Decreases not included in line 2 (itemize) ◆	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	139,477

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Part IV Capital Gains and Losses for Tax on Investment Income

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(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
<b>1a See Worksheet</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
<b>a</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
<b>a</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2	453,705
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in Part I, line 8			3	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

 Yes  No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	258,538	439,787	0.587871
2015	197,370	561,563	0.351465
2014	136,725	669,647	0.204175
2013	437,028	743,322	0.587939
2012	80,630	750,863	0.107383

2 Total of line 1, column (d) 2 1.838833

3 Average distribution ratio for the 5-year base period – divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years 3 0.367767

4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5 4 409,475

5 Multiply line 4 by line 3 5 150,591

6 Enter 1% of net investment income (1% of Part I, line 27b) 6 4,566

7 Add lines 5 and 6 7 155,157

8 Enter qualifying distributions from Part XII, line 4 8 491,998

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

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## Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> <input checked="" type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)	1	4,566
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	2	0
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations, enter 4% of Part I, line 12, col (b)	3	4,566
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	4	0
3	Add lines 1 and 2	5	4,566
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3 If zero or less, enter -0-		
6	Credits/Payments		
a	2017 estimated tax payments and 2016 overpayment credited to 2017	6a	1,712
b	Exempt foreign organizations – tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	1,288
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments Add lines 6a through 6d	7	3,000
8	Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	5
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	1,571
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be Credited to 2018 estimated tax <input type="checkbox"/>	Refunded	11

## Part VII-A Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?   Yes  No

1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the Instructions for the definition   Yes  No

If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities

1c Did the foundation file Form 1120-POL for this year?   Yes  No

1d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year  
(1) On the foundation  \$ \_\_\_\_\_ (2) On foundation managers  \$ \_\_\_\_\_

1e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers  \$ \_\_\_\_\_

2 Has the foundation engaged in any activities that have not previously been reported to the IRS?   Yes  No  
If "Yes," attach a detailed description of the activities

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes   Yes  No

4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?   Yes  No

4b If "Yes," has it filed a tax return on Form 990-T for this year?   Yes  No

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?   Yes  No  
If "Yes," attach the statement required by General Instruction T

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either  
• By language in the governing instrument, or  
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?   Yes  No

7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV   Yes  No

8a Enter the states to which the foundation reports or with which it is registered See instructions   Yes  No

II

b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation   Yes  No

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the taxable year beginning in 2017? See instructions for Part XIV If "Yes," complete Part XIV   Yes  No

10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses   Yes  No

N/A

7/15 INT

13

FTP

15 TOT

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## Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions

12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions

13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ◆ **NONE**

	Yes	No
11		X
12		X
13	X	

14 The books are in care of ◆ **ADELE SIMMONS**

**2440 NORTH LAKEVIEW AVENUE #15A**

Telephone no ◆ **773-404-5566**

Located at ◆ **CHICAGO**

IL

ZIP+4 ◆ **60614**

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – check here and enter the amount of tax-exempt interest received or accrued during the year

◆ **15**



16 At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?

See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ◆

	Yes	No
16	X	

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year, did the foundation (either directly or indirectly)

(1) Engage in the sale or exchange, or leasing of property with a disqualified person?  Yes  No

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?  Yes  No

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  Yes  No

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  Yes  No

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?  Yes  No

(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)  Yes  No

b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions

Organizations relying on a current notice regarding disaster assistance, check here

c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))

a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017?

If "Yes," list the years ◆ 20 , 20 , 20 , 20

Yes  No

b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement – see instructions)

c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ◆ 20 , 20 , 20 , 20

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?

Yes  No

b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017)

4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?

b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?

**N/A**

◆

**1c**

**N/A**

**2b**

**N/A**

◆

**3b**

**4a**

◆

**4b**

X

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## Part.VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? See instructions	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance? See instructions	<b>N/A</b>	5b	
	Organizations relying on a current notice regarding disaster assistance, check here	<input checked="" type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<b>N/A</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No	
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	6b	<input checked="" type="checkbox"/>
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	<b>N/A</b>	7b	

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

## 1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ADELE SMITH SIMMONS 2440 NORTH LAKEVIEW AVENUE #15A	CHICAGO IL 60614	TRUSTEE 0.00	0	0
ERICA S. SIMMONS 25 N PROSPECT AVENUE	MADISON WI 53726-3973	TRUSTEE 0.00	0	0

## 2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

► 0

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**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**
**3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
<b>NONE</b>		
<b>Total number of others receiving over \$50,000 for professional services</b>		◆

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

Expenses

1 **N/A**

2

3

4

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1 **N/A**

2

All other program-related investments. See instructions

3

**Total. Add lines 1 through 3** ►

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**Part X Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations, see instructions )

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	387,113
b	Average of monthly cash balances	1b	28,598
c	Fair market value of all other assets (see instructions)	1c	0
d	<b>Total</b> (add lines 1a, b, and c)	1d	415,711
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	415,711
4	Cash deemed held for charitable activities Enter 1½% of line 3 (for greater amount, see instructions)	4	6,236
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4	5	409,475
6	<b>Minimum investment return.</b> Enter 5% of line 5	6	20,474

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here   and do not complete this part )

1	Minimum investment return from Part X, line 6	1	20,474
2a	Tax on investment income for 2017 from Part VI, line 5	2a	4,566
b	Income tax for 2017 (This does not include the tax from Part VI )	2b	
c	Add lines 2a and 2b	2c	4,566
3	Distributable amount before adjustments Subtract line 2c from line 1	3	15,908
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	15,908
6	Deduction from distributable amount (see instructions)	6	
7	<b>Distributable amount as adjusted</b> Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	15,908

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc – total from Part I, column (d), line 26	1a	491,998
b	Program-related investments – total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	491,998
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b See instructions	5	4,566
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	6	487,432

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

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## Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				15,908
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only				
b Total for prior years 20 ____ , 20 ____ , 20 ____				
3 Excess distributions carryover, if any, to 2017				
a From 2012	43,352			
b From 2013	400,102			
c From 2014	104,668			
d From 2015	171,012			
e From 2016	240,551			
f Total of lines 3a through e	959,685			
4 Qualifying distributions for 2017 from Part XII, line 4 ◆ \$ 491,998				
a Applied to 2016, but not more than line 2a				
b Applied to undistributed income of prior years (Election required – see instructions)				
c Treated as distributions out of corpus (Election required – see instructions)				
d Applied to 2017 distributable amount				15,908
e Remaining amount distributed out of corpus	476,090			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:	1,435,775			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount – see instructions				
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount – see instructions				
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions)	43,352			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	1,392,423			
10 Analysis of line 9				
a Excess from 2013	400,102			
b Excess from 2014	104,668			
c Excess from 2015	171,012			
d Excess from 2016	240,551			
e Excess from 2017	476,090			



## Form 990-PF (2017) NORWOTTOCK CHARITABLE TRUST

04-6561597

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## Part XV Supplementary Information (continued)

## 3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b> <b>SEE ATTACHED STATEMENT</b>	<b>NONE</b>	<b>501 (C) (3)</b>	<b>UNRESTRICTED</b>	<b>486,797</b>
<b>Total</b>			◆ 3a	<b>486,797</b>
<b>b Approved for future payment</b> <b>N/A</b>				
<b>Total</b>			◆ 3b	

## Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

## 1 Program service revenue

a \_\_\_\_\_  
 b \_\_\_\_\_  
 c \_\_\_\_\_  
 d \_\_\_\_\_  
 e \_\_\_\_\_  
 f \_\_\_\_\_

## g Fees and contracts from government agencies

## 2 Membership dues and assessments

## 3 Interest on savings and temporary cash investments

## 4 Dividends and interest from securities

## 5 Net rental income or (loss) from real estate

## a Debt-financed property

## b Not debt-financed property

## 6 Net rental income or (loss) from personal property

## 7 Other investment income

## 8 Gain or (loss) from sales of assets other than inventory

## 9 Net income or (loss) from special events

## 10 Gross profit or (loss) from sales of inventory

## 11 Other revenue a \_\_\_\_\_

b \_\_\_\_\_  
 c \_\_\_\_\_  
 d \_\_\_\_\_  
 e \_\_\_\_\_

## 12 Subtotal Add columns (b), (d), and (e)

## 13 Total. Add line 12, columns (b), (d), and (e)

(See worksheet in line 13 instructions to verify calculations.)

	Unrelated business income		Excluded by section 512, 513, or 514		{e) Related or exempt function income (See instructions )
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
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**Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

	Yes	No
1a(1)		X
1a(2)		X
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X

- a Transfers from the reporting foundation to a noncharitable exempt organization of
  - (1) Cash
  - (2) Other assets
- b Other transactions
  - (1) Sales of assets to a noncharitable exempt organization
  - (2) Purchases of assets from a noncharitable exempt organization
  - (3) Rental of facilities, equipment, or other assets
  - (4) Reimbursement arrangements
  - (5) Loans or loan guarantees
  - (6) Performance of services or membership or fundraising solicitations
- c Sharing of facilities, equipment, mailing lists, other assets, or paid employees

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
N/A			

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527?

Yes  No

**b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

May the IRS discuss this return  
with the preparer shown below?  
See instructions  Yes  No

**Sign  
Here**

Signature of officer or trustee

14

**TRUSTEE**

Signature of officer or trustee		Date	Date
<b>Paid Preparer Use Only</b>	Print/Type preparer's name	Preparer's signature	Date
	<b>Robert G. Bernstein</b>		
	Firm's name <sup>(4)</sup>	<b>Old Greenwich Financial, Inc.</b>	PTIN <b>P00365707</b>
Firm's address <sup>(4)</sup>	<b>5214 N. Lakewood Ave Chicago, IL 60640</b>	Firm's EIN <sup>(4)</sup> <b>06-1546854</b>	
		Phone no <b>773-271-9551</b>	
		Check <input type="checkbox"/> if self-employed	

**Statement 1 - Form 990-PF, Part I, Line 16b - Accounting Fees**

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
TAX PREPARATION FEES	\$ 3,900	\$ 0	\$ 0	\$ 3,900
Total	\$ 3,900	\$ 0	\$ 0	\$ 3,900

**Statement 2 - Form 990-PF, Part I, Line 16c - Other Professional Fees**

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
INVESTMENT MANAGEMENT FEES	\$ 2,490	\$ 2,490	\$ 0	\$ 0
Total	\$ 2,490	\$ 2,490	\$ 0	\$ 0

**Statement 3 - Form 990-PF, Part I, Line 18 - Taxes**

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
FOREIGN TAXES PAID	\$ 114	\$ 114	\$ 0	\$ 0
FEDERAL INCOME TAXES	\$ 3,000	\$ 3,000	\$ 0	\$ 0
Total	\$ 3,114	\$ 3,114	\$ 0	\$ 0

**Statement 4 - Form 990-PF, Part I, Line 23 - Other Expenses**

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Expenses	\$	\$	\$	\$
BANK CHARGES	175	175	175	15
FILING FEES	15	15	15	15
Total	\$ 190	\$ 175	\$ 0	\$ 15

**Statement 5 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments**

<u>Description</u>	<u>Beginning of Year</u>	<u>End of Year</u>	<u>Basis of Valuation</u>	<u>Fair Market Value</u>
SEE ATTACHED STATEMENT	\$ 79,354	\$ 77,316	Cost	\$ 198,122
Total	\$ 79,354	\$ 77,316		\$ 198,122

**Statement 6 - Form 990-PF, Part II, Line 10c - Corporate Bond Investments**

<u>Description</u>	<u>Beginning of Year</u>	<u>End of Year</u>	<u>Basis of Valuation</u>	<u>Fair Market Value</u>
SEE ATTACHED	\$ 64,898	\$ 61,262	Cost	\$ 61,779
Total	\$ 64,898	\$ 61,262		\$ 61,779

**Statement 7 - Form 990-PF, Part II, Line 13 - Other Investments**

<u>Description</u>	<u>Beginning of Year</u>	<u>End of Year</u>	<u>Basis of Valuation</u>	<u>Fair Market Value</u>
NON TRADITIONAL-MUTUAL FUNDS COMMODITIES	\$ 18,906 4,146	\$ 19,570 4,127	Cost Cost	\$ 19,873 4,946
Total	\$ 23,052	\$ 23,697		\$ 24,819

**Federal Statements**

FYD: 12/31/2017

**Statement 8 - Form 990-PF, Part III, Line 3 - Other Increases**

Description	Amount
ROUNDING	\$ 5
Total	\$ 5

**Norwottock Charitable Trust**  
**Contributions Paid 12-31-17**  
**2017 Form 990-PF, Page 11, Part XV, Line 3a**

Name	Paid Amount
Strategic Learning Initiatives	55,000.00
BPI	100.00
Dune Acres Civic Improvement Foundation	100.00
Institute for Policy Studies	250.00
Lake Tanganyika Floating Health Clinic	250.00
Shirley Heinze Land Trust	100.00
Trustees of Hampshire College - CLPP	250.00
Chicago Ideas Week	2,082.00
The American Prospect	5,000.00
The American Prospect	5,000.00
Project &	1,000.00
Strategic Learning Initiatives	55,000.00
Field Museum	3,000.00
Strategic Learning Initiatives	25,000.00
Brushwood Center @ Ryerson Woods	2,940.00
International Crane Foundation	1,000.00
Chicago Council on Global Affairs	10,000.00
Chicago Institute for Psychoanalysis	500.00
Mother Jones	50.00
Strategic Learning Initiatives	45,000.00
Field Museum	3,000.00
The New Press	500.00
Chicago Council on Global Affairs	1,640.00
Environmental Law & Policy Center	325.00
Strategic Learning Initiatives	70,000.00
Hampshire College	5,000.00
Hampshire College	250.00
CERES	10,000.00
Strategic Learning Initiatives	50,000.00
Growing Healthy Veterans	200.00
Center for Neighborhood Technology	780.00
Field Museum	500.00
High Concept Laboratories	15,000.00
The Clinton Foundation	12,500.00
Chicago Symphony Orchestra	3,500.00
Chicago Humanities Festival	350.00
Field Museum	4,600.00
Shared Interest	250.00
Metropolitan Planning Council	450.00
Health & Medicine Policy Research Group	2,500.00
Chicago Public Art Group	300.00
Story Corps	500.00
University Club Foundation	200.00
CERES	5,000.00
Women's Global Education Project	750.00
Harvard - College Class Report Fund	100.00
Adlai Stevenson Center on Democracy	1,000.00
Alliance For Justice	100.00
American Academy of Arts & Sciences	250.00
Arizona Center for Integrative Medicine	2,500.00
Art Institute	2,500.00
Better Government Association	250.00
Bulletin for Atomic Scientists	1,500.00
Center for American Progress	150.00
Center for International Policy	500.00

**Norwottuck Charitable Trust**  
**Contributions Paid 12-31-17**  
**2017 Form 990-PF, Page 11, Part XV, Line 3a**

Name	Paid Amount
Chicago History Museum	100 00
Chicago Public Library Foundation	100.00
Chicago Shakespeare Theater	150 00
Civil Liberties & Public Policies-Harvard	250.00
Council on Foreign Relations	250 00
Delta Institute	250.00
Environmental Defense Fund	500 00
Gaples Institute	250.00
Garrison Forest School	100.00
Global Fund for Women	100 00
Global Justice Center	100.00
Grant Park Orchestra Association	150 00
Metropolitan Opera	100 00
Nation	100.00
Newberry Library	1,000 00
Openlands Project	500 00
Peggy Notebaert Museum	1,000.00
Save the Dunes Conservation Fund	100 00
Shirley Heinze Land Trust	150 00
Southern Poverty Law Center	50.00
The Nature Conservancy	100 00
The New Press	500.00
Union of Concerned Scientists	5,000.00
WBEZ-Chicago Public Radio	180 00
WFMT Fine Arts Station	250 00
World Resources Institute	250 00
WTTW Channel 11	500 00
Hampshire College	10,000 00
Museum of Science & Industry	300 00
Field Museum	15,000 00
Lyric Opera of Chicago	2,500.00
The Seattle Foundation	4,000 00
Chicago Foundation for Women	250 00
ecoAmerica	500 00
National Geographic Society	500 00
Hampshire College	5,000.00
Lake Tanganyika Floating Health Clinic	250 00
Manufacturing Renaissance	250 00
Dune Acres Civic Improvement Foundation	100.00
Health & Medicine Policy Research Group	2,500 00
Synergos Institute	25,000.00
 <b>TOTAL</b>	 <b>486,797.00</b>