

Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

- Do not enter social security numbers on this form as it may be made public.
- Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

2017

Open to Public
Inspection

For calendar year 2017, or tax year beginning 01-01-2017, and ending 12-31-2017

Name of foundation THE JOSEPH AND ANNA C DIAS JR FAMILY FOUNDATION	A Employer identification number 04-3357087				
	B Telephone number (see instructions) (413) 547-8403				
Number and street (or P O box number if mail is not delivered to street address) 105 HIGHERBROOK DRIVE		Room/suite			
City or town, state or province, country, and ZIP or foreign postal code LUDLOW, MA 01056		C If exemption application is pending, check here ► <input type="checkbox"/>			
G Check all that apply Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change <input type="checkbox"/>		D 1. Foreign organizations, check here ► <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation ► <input type="checkbox"/>			
H Check type of organization Section 501(c)(3) exempt private foundation <input checked="" type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation <input type="checkbox"/>		E If private foundation status was terminated under section 507(b)(1)(A), check here ► <input type="checkbox"/>			
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ► \$ 8,598,845		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► <input type="checkbox"/>			
J Accounting method Cash <input checked="" type="checkbox"/> Accrual Other (specify) _____		(Part I, column (d) must be on cash basis)			
Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc, received (attach schedule)				
2 Check ► <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		59,956	59,956		
4 Dividends and interest from securities		193,275	193,275		
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		23,354			
b Gross sales price for all assets on line 6a	23,354				
7 Capital gain net income (from Part IV, line 2)			23,354		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11		276,585	276,585		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc				42,500
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees (attach schedule)					
b Accounting fees (attach schedule)		2,000			2,000
c Other professional fees (attach schedule)		15,423	15,423		
17 Interest					
18 Taxes (attach schedule) (see instructions)		5,033	3,885		1,078
19 Depreciation (attach schedule) and depletion		9,410			
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses (attach schedule)		13,271			12,491
24 Total operating and administrative expenses.					
Add lines 13 through 23		87,637	19,308		58,069
25 Contributions, gifts, grants paid		324,750			324,750
26 Total expenses and disbursements. Add lines 24 and 25		412,387	19,308		382,819
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements		-135,802			
b Net investment income (if negative, enter -0-)			257,277		
c Adjusted net income(if negative, enter -0-)					

	Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year		
			(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash—non-interest-bearing		1		
	2	Savings and temporary cash investments	3,769,536	3,165,400	3,165,400	
	3	Accounts receivable ►				
		Less allowance for doubtful accounts ►				
	4	Pledges receivable ►				
		Less allowance for doubtful accounts ►				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ►				
		Less allowance for doubtful accounts ►				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments—U S and state government obligations (attach schedule)				
	b	Investments—corporate stock (attach schedule)	4,256,750	4,956,208	4,956,208	
	c	Investments—corporate bonds (attach schedule)				
	11	Investments—land, buildings, and equipment basis ►				
	Less accumulated depreciation (attach schedule) ►					
12	Investments—mortgage loans					
13	Investments—other (attach schedule)					
14	Land, buildings, and equipment basis ►	640,458				
	Less accumulated depreciation (attach schedule) ►	165,772				
15	Other assets (describe ►)		484,096	474,686	474,686	
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)			2,550	2,550	
				8,510,382	8,598,845	8,598,845
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ►)				
	23	Total liabilities (add lines 17 through 22)			0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ► <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted		7,069,923	7,158,386	
	25	Temporarily restricted				
	26	Permanently restricted		1,440,459	1,440,459	
	Foundations that do not follow SFAS 117, check here ► <input type="checkbox"/> and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg , and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
	30	Total net assets or fund balances (see instructions)		8,510,382	8,598,845	
	31	Total liabilities and net assets/fund balances (see instructions)		8,510,382	8,598,845	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	8,510,382
2	Enter amount from Part I, line 27a	2	-135,802
3	Other increases not included in line 2 (itemize) ►	3	224,265
4	Add lines 1, 2, and 3	4	8,598,845
5	Decreases not included in line 2 (itemize) ►	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	8,598,845

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)		If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	23,354	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8				3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

 Yes No

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	354,956	7,225,807	0 049123
2015	323,373	7,762,229	0 041660
2014	161,812	6,981,841	0 023176
2013	134,295	4,817,619	0 027876
2012	74,179	1,334,944	0 055567
2 Total of line 1, column (d)			2 0 197402
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0 039480
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5			4 7,848,771
5 Multiply line 4 by line 3			5 309,869
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 2,573
7 Add lines 5 and 6			7 312,442
8 Enter qualifying distributions from Part XIII, line 4			8 382,819

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)	1	2,573	
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b			
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2		
3	Add lines 1 and 2.	3	2,573	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4		
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5	2,573	
6	Credits/Payments			
a	2017 estimated tax payments and 2016 overpayment credited to 2017	6a	5,412	
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d.	7	5,412	
8	Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8		
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ►	9		
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ►	10	2,839	
11	Enter the amount of line 10 to be Credited to 2018 estimated tax ► 2,839	Refunded ►	11	

Part VII-A Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b	Yes	No
<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>				
c	Did the foundation file Form 1120-POL for this year?	1c	Yes	No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ► \$ _____ (2) On foundation managers ► \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	Yes	No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	Yes	No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	Yes	No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b	Yes	No
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	Yes	No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	No
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes	No
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ►MA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	8b	Yes	No
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the taxable year beginning in 2017 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	Yes	No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	Yes	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11	No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ►N/A	13	Yes
14	The books are in care of ►MARIA F GOMES	Telephone no ►(413) 547-8403	
Located at ►105 HIGHERBROOK DRIVE LUDLOW MA			ZIP+4 ►01056
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.	15	<input type="checkbox"/>
16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes", enter the name of the foreign country ►	16	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?.	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?	1c	No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? If "Yes," list the years ► 20____, 20____, 20____, 20____	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions) ►	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017).	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	4b	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions).	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here. ►			5b
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
	<i>If "Yes," attach the statement required by Regulations section 53 4945-5(d)</i>			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	6b
	<i>If "Yes" to 6b, file Form 8870</i>			No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?			7b

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	Contributions to employee benefit plans and deferred (d) compensation	Expense account, (e) other allowances
NONE				

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services. ►

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

organizations and other beneficiaries served, conferences convened, research papers produced, etc	
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2		Amount
1	N/A	
2		
All other program-related investments See instructions		
3		

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	4,907,431
b	Average of monthly cash balances.	1b	3,266,654
c	Fair market value of all other assets (see instructions).	1c	474,686
d	Total (add lines 1a, b, and c).	1d	8,648,771
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	8,648,771
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	800,000
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	7,848,771
6	Minimum investment return. Enter 5% of line 5.	6	392,439

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign**Part XI** organizations check here ► and do not complete this part)

1	Minimum investment return from Part X, line 6.	1	392,439
2a	Tax on investment income for 2017 from Part VI, line 5.	2a	2,573
b	Income tax for 2017 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	2,573
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	389,866
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	389,866
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	389,866

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	382,819
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	382,819
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	2,573
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	380,246
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				389,866
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only.			338,179	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2017				
a From 2012.				
b From 2013.				
c From 2014.				
d From 2015.				
e From 2016.				
f Total of lines 3a through e.				
4 Qualifying distributions for 2017 from Part XII, line 4 ► \$ 382,819				
a Applied to 2016, but not more than line 2a			338,179	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2017 distributable amount.				44,640
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018.				345,226
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a.				
10 Analysis of line 9				
a Excess from 2013.				
b Excess from 2014.				
c Excess from 2015.				
d Excess from 2016.				
e Excess from 2017.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a	If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling. ►			
b	Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)			
2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.			
b	85% of line 2a.			
c	Qualifying distributions from Part XII, line 4 for each year listed.			
d	Amounts included in line 2c not used directly for active conduct of exempt activities.			
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.			
3	Complete 3a, b, or c for the alternative test relied upon			
a	"Assets" alternative test—enter			
(1)	Value of all assets.			
(2)	Value of assets qualifying under section 4942(j)(3)(B)(i)			
b	"Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.			
c	"Support" alternative test—enter			
(1)	Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).			
(2)	Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(III).			
(3)	Largest amount of support from an exempt organization			
(4)	Gross investment income			

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ► if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

MARIA F GOMES TRUSTEE
105 HIGHERBROOK DRIVE
LUDLOW, MA 01056
(413) 530-0651

b The form in which applications should be submitted and information and materials they should include

VERBAL OR WRITTEN REQUEST OUTLINING SPECIFIC NEEDS, BUDGETS, USE, ETC

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a Paid during the year</i> See Additional Data Table				
Total			► 3a	324,750
<i>b Approved for future payment</i>				
Total			► 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments.					
3 Interest on savings and temporary cash investments					59,956
4 Dividends and interest from securities.					193,275
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory					23,354
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e).					276,585
13 Total. Add line 12, columns (b), (d), and (e).					276,585
(See worksheet in line 13 instructions to verify calculations.)					

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
3	TO MAINTAIN AND PRESERVE GROUNDS FOR USE BY YOUTH AND CHARITABLE ORGANIZATIONS
4	TO ENCOURAGE YOUTH AND COMMUNITY GROWTH THROUGH EDUCATION AND EXPANDED CULTURAL OPPORTUNITIES

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

	Yes	No
1a(1)		No
1a(2)		No
1b(1)		No
1b(2)		No
1b(3)		No
1b(4)		No
1b(5)		No
1b(6)		No
1c		No

a Transfers from the reporting foundation to a noncharitable exempt organization of

b Other transactions

(1) Sales of assets to a noncharitable exempt organization.	1b(1)	No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)	No
(3) Rental of facilities, equipment, or other assets.	1b(3)	No
(4) Reimbursement arrangements.	1b(4)	No
(5) Loans or loan guarantees.	1b(5)	No
(6) Performance of services or membership or fundraising solicitations.	1b(6)	No

c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations

described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? Yes No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

* * * * *

2018-05-25

* * * * *

May the IRS discuss this
return
with the preparer shown
below
(see instr)? Yes No

Signature of officer or trustee

Date

✓ Title

**Sign
Here**

**Paid
Preparer
Use Only**

(see instr)? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No				
Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ► <input type="checkbox"/>
	MARK A GERMAIN CPA		2018-06-04	PTIN P00927528
	Firm's name ► GOMES DACRUZ & TRACY PC			Firm's EIN ► 04-3040103
Firm's address ► 77 WINSOR STREET PO BOX 588 LUDLOW, MA 010563469			Phone no (413) 589-7831	

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MARIA F GOMES 105 HIGHERBROOK DRIVE LUDLOW, MA 01056	TRUSTEE 5 00	15,000	0	0
ARMAND DIAS ORCHARD VALLEY BOSTON ROAD WILBRAHAM, MA 01095	TRUSTEE 4 00	15,000	0	0
CAROL COSTA 235 STATE STREET SPRINGFIELD, MA 01103	TRUSTEE 1 50	5,000	0	0
MARK DIAS 6 TURNER LANE NORTH EASTON, MA 02356	TRUSTEE 1 00	0	0	0
JANICE DIAS 3 BLUE HERON LANE KENNEBUNK, ME 04043	TRUSTEE 1 50	3,750	0	0
CHARLES AHMES 3 BLUE HERON LANE KENNEBUNK, ME 04043	TRUSTEE 1 50	3,750	0	0

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ST JOHN THE BAPTIST CATHOLIC SCHOOL CATHOLIC SCHOOLHUBBARD STREET LUDLOW, MA 01056	NONE	NON-PROFIT	NON PROFIT SUPPORT, EDUCATION	10,000
WESTERN UNITED PIONEERS WESTERN UNITED PIONEERS WINSOR ST LUDLOW, MA 01056	NONE	NON-PROFIT	NON-PROFIT SUPPORT/YOUTH	5,000
LUSO AMERICAN SCHOLARSHIP COMM LUSO AMERICAN SCHOLARSHIP COMM WINSOR STREET LUDLOW, MA 01056	NONE	NON-PROFIT	SCHOLARSHIP	36,000
ST JUDE'S CHILDREN'S RESEARCH HOSPI ST JUDE'S CHILDREN'S RES HOSPITAL 501 ST JUDE PLACE MEMPHIS, TN 38105	NONE	NON-PROFIT	NON-PROFIT SUPPORT/RESEARCH	50,000
LUSITANO ALUMNI FANS LUSITANO ALUMNI FANS WINSOR STREET LUDLOW, MA 01056	NONE	NON-PROF	SCHOLARSHIP	2,000
Total				324,750
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
LUDLOW HIGH SCHOOL LUDLOW PUBLIC SCHOOLS CHAPIN STREET LUDLOW, MA 01056	NONE	NON-PROF	SCHOLARSHIPS	4,000
JUNIOR ACHIEVEMENT OF WEST MA JUIOR ACHIEVEMENT OF WEST MA 1500 MAIN STREET SPRINGFIELD, MA 01103	NONE	NON-PROF	EDUCATION PROGRAMS	6,750
HABITAT FOR HUMANITY YORK COUNTY HABITAT FOR HUMANITY YORK COUNTY POST ROAD YORK, ME 03909	NONE	NON-PROF	CULTURAL ASSISTANCE	26,000
ALZEHIMER'S ASSOCIATION NATIONAL ALZEHIMER'S ASSOCK 402 E PLAZA DRIVE CATERVILLE, IL 62918	NONE	NON-PROFIT	RESEARCH & EDUCATION	20,000
SHRINER'S CHILDREN'S HOSPITAL SHRINER'S CHILDREN'S HOSPITAL CAREW STREET SPRINGFIELD, MA 01101	NONE	NON-PROF	RESEARCH & GENERAL	25,000
Total				324,750
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
DANA FARBER CANCER INSTITUTE DANA FARBER CANCER INSTITUTE 456 BROOKLINE AVE BOSTON, MA 02215	NONE	NON-PROFIT	RESEARCH AND GENRAL	20,000
LUDLOW COMMUNITY CENTER LUDLOW COMMUNITY CENTER CLAUDIAS WAY LUDLOW, MA 01056	NONE	NON-PROF	EDUCATION	105,000
AMERICAN RED CROSS AMERICAN RED CROSSMAIN STREET SPRINGFIELD, MA 01101	NONE	NON-PROF	RESEARCH AND GENERAL	10,000
HABITAT FOR HUMANITY TEXAS DISASTER RELIEFPOBOX 3174 BEAUMONT, TX 77704	NONE	NON-PROFIT	CHARITABLE	5,000
Total				324,750
3a				

TY 2017 Accounting Fees Schedule

Name: THE JOSEPH AND ANNA C DIAS JR
FAMILY FOUNDATION
EIN: 04-3357087

Accounting Fees Schedule

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	2,000			2,000

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2017 Depreciation Schedule

Name: THE JOSEPH AND ANNA C DIAS JR
FAMILY FOUNDATION
EIN: 04-3357087

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
LAND	1997-02-28	300,000							
CONCRETE WORK	1998-09-01	2,028	2,028	S/L	15 0000				
WELL	1998-11-01	600	600	S/L	15 0000				
MONUMENT FENCE AND RAIL	1998-07-01	3,800	3,800	S/L	15 0000				
WELL, SPRINKLER SYSTEM	1999-06-01	28,201	28,201	S/L	15 0000				
SHED	1999-10-01	8,420	3,716	S/L	39 0000	216			
SPRINKLER SYSTEMS	2000-06-01	8,912	8,912	S/L	15 0000				
STEEL GATE AND ENTRYWAY	2000-06-01	17,102	17,102	S/L	15 0000				
FENCE	2002-11-02	230	217	S/L	15 0000	13			
LAND (LUSITANIA)	2002-03-26	100,000							
FIELD LIGHTING	2003-11-21	1,685	1,469	S/L	15 0000	113			
NEW PUMP FOR WELL	2003-08-18	4,814	4,279	S/L	15 0000	321			
PARK BENCHES	2008-12-08	2,550	2,550	S/L	5 0000				
FIELD LIGHTS	2008-12-08	6,547	3,528	S/L	15 0000	437			
NEW FENCE	2008-11-15	3,845	3,107	150DB	15 0000	114			
LAND IMPROVEMENTS	2009-06-30	2,457	1,369	150DB	15 0000	145			
LIGHT POLES	2009-06-30	17,690	9,856	150DB	15 0000	1,044			
SIDE SLOPE/SHRUBERY	2010-07-23	8,994	4,480	150DB	15 0000	531			
SPRINKLERS	2010-09-29	3,485	1,736	150DB	15 0000	205			
FENCE	2011-05-04	4,214	4,214	150DB	15 0000				

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
NEW MEM PK LAND IMPRO	2012-09-12	11,823	8,138	150DB	15 0000	369			
JDIASMONUMENT	2013-03-20	49,000	13,279	150DB	15 0000	3,572			
SPRINKLER SYSTEM	2013-08-26	800	533	S/L	5 0000	160			
ELECTRIC LIGHTING	2013-11-04	4,561	2,982	150DB	15 0000	158			
PARK BENCHES	2014-07-21	2,250	1,688	S/L	5 0000	225			
NEW FENCE	2014-08-22	4,550	2,799	150DB	15 0000	175			
LAND IMPROV	2014-10-01	1,000	615	150DB	15 0000	39			
MEMORIAL	2014-12-02	22,500	13,843	150DB	15 0000	866			
FENCE	2014-05-20	9,100	5,599	150DB	15 0000	350			
LAND IMPROV	2014-07-21	2,750	1,692	150DB	15 0000	106			
FENCE	2014-12-08	4,550	2,799	150DB	15 0000	175			
LAWN IMPROV	2014-12-30	2,000	1,231	150DB	15 0000	76			

TY 2017 Investments Corporate Stock Schedule

Name: THE JOSEPH AND ANNA C DIAS JR
FAMILY FOUNDATION

EIN: 04-3357087

Name of Stock	End of Year Book Value	End of Year Fair Market Value
COMM FINAN MUTUAL FUNDS, BONDS	4,956,208	4,956,208
\		

**TY 2017 Land, Etc.
Schedule**

Name: THE JOSEPH AND ANNA C DIAS JR
FAMILY FOUNDATION
EIN: 04-3357087

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
IMPROVEMENTS & EQUIP	240,458	165,772	74,686	74,687
LAND	400,000		400,000	400,000

TY 2017 Other Assets Schedule

Name: THE JOSEPH AND ANNA C DIAS JR

FAMILY FOUNDATION

EIN: 04-3357087

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
ADVANCE DIVIDENDS RECEIVED		2,550	2,550

TY 2017 Other Expenses Schedule

Name: THE JOSEPH AND ANNA C DIAS JR
FAMILY FOUNDATION
EIN: 04-3357087

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
INSURANCE	10,280			10,280
MISC	780			
LAWN & FIELD MAINT	2,211			2,211

TY 2017 Other Increases Schedule

Name: THE JOSEPH AND ANNA C DIAS JR
FAMILY FOUNDATION

EIN: 04-3357087

Description	Amount
NON-DIV DISTRIBUTIONS RECEIVED	23,186
FOREIGN TAXES PAI	385
ADJ IN BEG & END FMV ADJ	200,691
ROUNDING	3

TY 2017 Other Professional Fees Schedule

Name: THE JOSEPH AND ANNA C DIAS JR
FAMILY FOUNDATION

EIN: 04-3357087

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT FEES	15,423	15,423		

TY 2017 Taxes Schedule

Name: THE JOSEPH AND ANNA C DIAS JR
FAMILY FOUNDATION

EIN: 04-3357087

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FILING FEES -NET OF REFUND	70			
FEDERAL TAXES	3,500	3,500		
RE TAXES	1,078			1,078
FOREIGN TAXES PAID	385	385		



Yours Account Relationship date business as Fiduciary Financial Group, LLC
 and offers securities through Commonwealth Financial Network® Member FINRA/SIPC

Holdings

For additional information regarding your holdings, please refer to the footnotes at the end of the statement

CASH AND CASH EQUIVALENTS - 2.76% of Total Account Value

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/17	Current Market Value	Estimated Annual Income
Money Markets					
FIDELITY MONEY MARKET	SPRXX CASH	120,014.82	\$1.00	\$120,014.82	
7 DAY YIELD 1.20%					
Dividend Option Cash					
Capital Gain Option Cash					
Bank Deposits					
Bank deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). Funds used to purchase or sweep to a bank deposit are SIPC protected until deposited to a Program Bank at which time funds may be eligible for FDIC insurance. Customers are responsible for monitoring their total deposits at each Program Bank to determine the extent of available FDIC insurance. Refer to the Bank Deposit Detail section which appears later in this statement for information on the banks holding your deposits. If your account was established on the last business day of this month, your statement will not include a Bank Deposit Detail section.					
BANK DEPOSIT SWEEP PROGRAM	QPRMQ CASH	20,497.52	\$1.00	\$20,497.52	
Estimated Annual Yield 0.05%					
Total Cash and Cash Equivalents				\$140,512.34	

HOLDINGS > EQUITIES - 14.44% of Total Account Value

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/17	Current Market Value	Estimated Annual Income
Equity					
FS ENERGY & POWER FUND COMMON BASED ON INDEPENDENT APPRAISAL	30264D109 CASH	22,857.143	\$7.04 A1	\$160,914.29	
FS GLOBAL CR OPPORTUNITY FDS SHS BEN	30291B100 CASH	24,048	\$7.5468 A1	\$181,485.45	
INT BASED ON PROGRAM MANAGEMENT UNCONFIRMED ESTIMATE OF NET ASSETS					
INDUSTRIAL PROPERTY TRUST INC CL A	45632A306	19,155.804	\$11.11 A1	\$212,820.98	

COMMONWEALTH FINANCIAL NETWORK

MN - CEBDXPGTBBDSDQM BBBBB 20171229

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period December 1, 2017 to December 31, 2017
JOSEPH & ANNA C DIAS JR FAMILY FOUNDATION - Trust Uno
Account Number B33-5375566

JOSEPH & ANNA C DIAS JR FAMILY FOUNDATION - Trust Under Agreement
Account Number B3S-537566



HOLDINGS > EQUITIES continued

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/17	Current Market Value	Estimated Annual Income
INDUSTRIAL PROPERTY TRUST INC CL A BASED ON AN INDEPENDENT APPRAISAL					
	45632A06	<i>continued</i>			
	CASH				
INLAND REAL ESTATE INCOME TR INC COM	457464105	20,000	\$9.05	\$181,000.00	
BASED ON AN INDEPENDENT APPRAISAL	CASH				
Total Equity				\$736,220.72	\$736,220.72

HOLDINGS > MUTUAL FUNDS - 77.11% Of Total Account Value

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/17	Current Market Value	Estimated Annual Income
EQUITY					
COLUMBIA DISCIPLINED VALUE ADV	COLEX CASH	23,779.893	\$10.68	\$253,969.26	\$5,652.00
Estimated Yield 2.22%					
Dividend Option Cash					
Capital Gain Option Cash					
IVY INTERNATIONAL CORE EQUITY CL Y	IVYX CASH	5,593.958	\$20.36	\$113,892.98	\$1,202.14
Estimated Yield 1.05%					
Dividend Option Cash					
Capital Gain Option Cash					
JPMORGAN UNDISCOVERD MNG BEHAVIOR CL I	UBVISX CASH	454.462	\$69.74	\$31,694.18	\$299.58
Estimated Yield 0.94%					
Dividend Option Cash					
Capital Gain Option Cash					
LORD ABBETT CALIBRAT MID CAP VALUE F	LVMFX CASH	1,259.484	\$21.69	\$27,318.21	\$371.17
Estimated Yield 1.35%					
Dividend Option Cash					
Capital Gain Option Cash					
NATIXIS US EQUITY OPPORTUNITIES CL Y	NESYX CASH	6,167.586	\$42.61	\$262,800.84	\$863.46
Estimated Yield 0.32%					
Dividend Option Cash					
Capital Gain Option Cash					
Total Equity					\$689,675.47

COMMUNICATING FINANCIAL NETWORK

Account carried with National Financial Services LLC, Member
NYSE SIPC

Statement for the Period December 1, 2017 to December 31, 2017
JOSEPH & ANNA C DIAS JR FAMILY FOUNDATION - Trust Under Agreement
Account Number B3S-537566



Your Account Representative does business as Pioneer Valley Financial Group, LLC
 and offers securities through Commonwealth Financial Network, Member NASD/SIPC

HOLDINGS > MUTUAL FUNDS continued

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/17	Current Market Value	Estimated Annual Income
Fixed Income					
AB GLOBAL BOND ADVISOR CLASS	ANAYX CASH	15,188.335	\$8.40	\$127,582.01	\$3,177.99
Estimated Yield 2.49%					
Dividend Option Cash					
Capital Gain Option Cash					
AB HIGH INCOME ADVISOR	AGDYX CASH	57,868.735	\$8.83	\$510,980.93	\$29,778.39
Estimated Yield 5.82%					
Dividend Option Cash					
Capital Gain Option Cash					
EATON VANCE HIGH INCOME OPT CL I	EHVX CASH	110,248.824	\$4.53	\$499,427.17	\$27,443.75
Estimated Yield 5.49%					
Dividend Option Cash					
Capital Gain Option Cash					
EATON VANCE SHUT DUR STRATEGIC INC FD I	ESIX CASH	34,255.267	\$7.44	\$254,859.19	\$10,071.05
Estimated Yield 3.95%					
Dividend Option Cash					
Capital Gain Option Cash					
JPMORGAN CORE PLUS BOND CLASS I	HLIPX CASH	43,116.094	\$8.27	\$356,570.10	\$10,093.91
Estimated Yield 2.83%					
Dividend Option Cash					
Capital Gain Option Cash					
JPMORGAN LIMITED DURATION BD I	HLGFX CASH	17,547.633	\$10.01	\$175,951.81	\$2,711.46
Estimated Yield 1.54%					
Dividend Option Cash					
Capital Gain Option Cash					
LOOMIS SAYLES SR FLT RATE & FIXED INC Y	LSFYX CASH	49,282.243	\$9.89	\$487,401.38	\$27,647.34
Estimated Yield 5.67%					
Dividend Option Cash					
Capital Gain Option Cash					
LORD ABBETT INCOME FUND CL F	LAUFX CASH	92,210.154	\$2.87	\$264,643.14	\$9,461.98
Estimated Yield 3.57%					
Dividend Option Cash					
Capital Gain Option Cash					
LORD ABBETT SHORT DURATION INCOME CL F	LDLFX	46,338.008	\$4.25	\$196,936.53	\$7,530.76

COMMONWEALTH FINANCIAL NETWORK

MN_CEBDXPGBTBBDSON_BBBBBB20171229

Account carried with National Financial Services LLC, Member
 NYSE, SIPC

Statement for the Period December 1, 2017 to December 31, 2017
JOSEPH & ANNA C DIAS JR FAMILY FOUNDATION - Trust Under Agreement
Account Number B3S-537566



Your Registered Broker-Dealer, Member NASD, NYSE, SIPC, and offers securities through Commonwealth Financial Network, Member NYSE, SIPC.

HOLDINGS > MUTUAL FUNDS continued

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/17	Current Market Value	Estimated Annual Income
LORD ARBETT SHORT DURATION INCOME CL F					
Estimated Yield 3.82%					
Dividend Option Cash					
Capital Gain Option Cash					
TEMPLETON GLOBAL TOTAL RT ADV CL SH	TTRZX CASH	30,385.298	\$12.05	\$366,142.84	\$12,971.48
Estimated Yield 3.54%					
Dividend Option Cash					
Capital Gain Option Cash					
Total Fixed Income		- - - - -			
Total Mutual Funds				\$3,240,195.10	\$140,888.11
HOLDINGS > EXCHANGE TRADED PRODUCTS - 5.69% of Total Account Value					
Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/17	Current Market Value	Estimated Annual Income
Equity					
GUGGENHEIM S&P MIDCAP 400 PURE GROWTH	RFG CASH	675	\$154.10	\$104,017.50	\$688.57
Estimated Yield 0.66%					
Dividend Option Cash					
Capital Gain Option Cash					
ISHARES TR MORNNG SM CP ETF	JKL CASH	342	\$152.259	\$52,072.56	\$905.55
Estimated Yield 1.73%					
Dividend Option Cash					
Capital Gain Option Cash					
VANGUARD INDEX FDS FORMERLY VANGUARD	VGO CASH	330	\$245.29	\$80,945.70	\$1,562.75
INDEX TR TO 05/24/2001 S&P 500 ETF SHS					
NEW					
Estimated Yield 1.93%					
Dividend Option Cash					
Capital Gain Option Cash					

COMMONWEALTH FINANCIAL NETWORK

MN_CEBDXPGTBBDSDQM_BBBBBB 20171229

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period December 1, 2017 to December 31, 2017
JOSEPH & ANNA C DIAS JR FAMILY FOUNDATION - Trust Under Agreement
Account Number B3S-537566



Your Account is Represented by the above business as PaineWebber Financial Group, LLC
 and offers securities through Commonwealth Financial Network®, Member FINRA/SIPC

HOLDINGS > EXCHANGE TRADED PRODUCTS continued

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/17	Current Market Value	Estimated Annual Income
VANGUARD INDEX FDS VANGUARD SMALL CAP	VBK	330	\$160.85	\$53,080.50	\$432.70
GROWTH VIPSERS FORMERLY VANGUARD INDEX TR	CASH				
Estimated Yield 0.81%					
Dividend Option Cash					
Capital Gain Option Cash					
Total Equity				\$290,116.28	\$3,589.57
Total Exchange Traded Products				\$290,116.28	\$3,589.57
Total Securities				\$4,956,207.57	\$152,866.03
TOTAL PORTFOLIO VALUE				\$5,096,719.91	\$152,866.03

Activity

CORE FUND ACTIVITY

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
12/01/17	CASH	YOU BOUGHT	BANK DEPOSIT SWEEP PROGRAM @ 1 AS OF 12-01-17	6,605.78	(\$6,605.78)
12/01/17	CASH	YOU BOUGHT	BANK DEPOSIT SWEEP PROGRAM @ 1 AS OF 12-04-17	986.3	(\$986.30)
12/04/17	CASH	YOU BOUGHT	BANK DEPOSIT SWEEP PROGRAM @ 1 AS OF 12-04-17	3,092.1	(\$3,092.10)
12/11/17	CASH	YOU BOUGHT	BANK DEPOSIT SWEEP PROGRAM @ 1	1,093.72	(\$1,093.72)
12/12/17	CASH	YOU BOUGHT	BANK DEPOSIT SWEEP PROGRAM @ 1	19,144	(\$19,144.00)
12/14/17	CASH	YOU BOUGHT	BANK DEPOSIT SWEEP PROGRAM @ 1	1,136.11	(\$1,136.11)

COMMONWEALTH FINANCIAL NETWORK

MN_CEBDXPGETBBBDSQM_BBBBB 20171229
 Account carried with National Financial Services LLC, Member
 NYSE, SIPC