

efile GRAPHIC print - DO NOT PROCESS		As Filed Data -		DLN: 93491157005018			
Form 990-PF Department of the Treasury Internal Revenue Service		Return of Private Foundation or Section 4947(a)(1) Trust Treated as Private Foundation Do not enter social security numbers on this form as it may be made public. Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.			OMB No 1545-0052  2017  Open to Public Inspection		
For calendar year 2017, or tax year beginning 01-01-2017, and ending 12-31-2017							
Name of foundation THE JOSEPH AND ANNA C DIAS JR FAMILY FOUNDATION				A Employer identification number 04-3357087			
Number and street (or P.O. box number if mail is not delivered to street address) 105 HIGHERBROOK DRIVE			Room/suite				
				B Telephone number (see instructions) (413) 547-8403			
City or town, state or province, country, and ZIP or foreign postal code LUDLOW, MA 01056				C If exemption application is pending, check here			
G Check all that apply Initial return Final return Address change Initial return of a former public charity Amended return Name change				D 1. Foreign organizations, check here 2. Foreign organizations meeting the 85% test, check here and attach computation			
H Check type of organization Section 501(c)(3) exempt private foundation Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation				E If private foundation status was terminated under section 507(b)(1)(A), check here			
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 8,598,845		J Accounting method Cash Accrual Other (specify) (Part I, column (d) must be on cash basis)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here			
Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))				(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)					
	2	Check if the foundation is not required to attach Sch. B					
	3	Interest on savings and temporary cash investments		59,956	59,956		
	4	Dividends and interest from securities		193,275	193,275		
	5a	Gross rents					
	b	Net rental income or (loss)					
	6a	Net gain or (loss) from sale of assets not on line 10		23,354			
	b	Gross sales price for all assets on line 6a		23,354			
	7	Capital gain net income (from Part IV, line 2)			23,354		
	8	Net short-term capital gain					
	9	Income modifications					
	10a	Gross sales less returns and allowances					
b	Less: Cost of goods sold						
c	Gross profit or (loss) (attach schedule)						
11	Other income (attach schedule)						
12	Total. Add lines 1 through 11		276,585	276,585			
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc		42,500			42,500
	14	Other employee salaries and wages					
	15	Pension plans, employee benefits					
	16a	Legal fees (attach schedule)					
	b	Accounting fees (attach schedule)		2,000			2,000
	c	Other professional fees (attach schedule)		15,423	15,423		
	17	Interest					
	18	Taxes (attach schedule) (see instructions)		5,033	3,885		1,078
	19	Depreciation (attach schedule) and depletion		9,410			
	20	Occupancy					
	21	Travel, conferences, and meetings					
	22	Printing and publications					
	23	Other expenses (attach schedule)		13,271			12,491
	24	Total operating and administrative expenses. Add lines 13 through 23		87,637	19,308		58,069
	25	Contributions, gifts, grants paid		324,750			324,750
	26	Total expenses and disbursements. Add lines 24 and 25		412,387	19,308		382,819
27	Subtract line 26 from line 12						
a	Excess of revenue over expenses and disbursements		-135,802				
b	Net investment income (if negative, enter -0-)			257,277			
c	Adjusted net income (if negative, enter -0-)						
For Paperwork Reduction Act Notice, see instructions.				Cat No 11289X		Form 990-PF (2017)	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value			
Assets	1	Cash—non-interest-bearing . . . . .				1	
	2	Savings and temporary cash investments . . . . .	3,769,536	3,165,400			3,165,400
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____					
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____					
	5	Grants receivable . . . . .					
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .					
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____					
	8	Inventories for sale or use . . . . .					
	9	Prepaid expenses and deferred charges . . . . .					
	10a	Investments—U S and state government obligations (attach schedule)					
	b	Investments—corporate stock (attach schedule) . . . . .	4,256,750	4,956,208			4,956,208
	c	Investments—corporate bonds (attach schedule) . . . . .					
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____					
	12	Investments—mortgage loans . . . . .					
	13	Investments—other (attach schedule) . . . . .					
	14	Land, buildings, and equipment basis ▶ _____ 640,458 Less accumulated depreciation (attach schedule) ▶ 165,772	484,096	474,686			474,687
15	Other assets (describe ▶ _____)		2,550			2,550	
16	<b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	8,510,382	8,598,845			8,598,845	
Liabilities	17	Accounts payable and accrued expenses . . . . .					
	18	Grants payable . . . . .					
	19	Deferred revenue . . . . .					
	20	Loans from officers, directors, trustees, and other disqualified persons					
	21	Mortgages and other notes payable (attach schedule) . . . . .					
	22	Other liabilities (describe ▶ _____)					
	23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .		0			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.						
	24	Unrestricted . . . . .	7,069,923	7,158,386			
	25	Temporarily restricted . . . . .					
	26	Permanently restricted . . . . .	1,440,459	1,440,459			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.						
	27	Capital stock, trust principal, or current funds . . . . .					
	28	Paid-in or capital surplus, or land, bldg , and equipment fund					
	29	Retained earnings, accumulated income, endowment, or other funds					
	30	<b>Total net assets or fund balances</b> (see instructions) . . . . .	8,510,382	8,598,845			
31	<b>Total liabilities and net assets/fund balances</b> (see instructions) .	8,510,382	8,598,845				

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	8,510,382
2	Enter amount from Part I, line 27a . . . . .	2	-135,802
3	Other increases not included in line 2 (itemize) ▶ _____	3	224,265
4	Add lines 1, 2, and 3 . . . . .	4	8,598,845
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	8,598,845

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
<b>1a</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss) <span style="float: right;">{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }</span>	<b>2</b>	23,354
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 <span style="float: right;">{ }</span>	<b>3</b>	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

**1** Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	354,956	7,225,807	0 049123
2015	323,373	7,762,229	0 041660
2014	161,812	6,981,841	0 023176
2013	134,295	4,817,619	0 027876
2012	74,179	1,334,944	0 055567
<b>2 Total</b> of line 1, column (d)			<b>2</b> 0 197402
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			<b>3</b> 0 039480
<b>4</b> Enter the net value of noncharitable-use assets for 2017 from Part X, line 5			<b>4</b> 7,848,771
<b>5</b> Multiply line 4 by line 3			<b>5</b> 309,869
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)			<b>6</b> 2,573
<b>7</b> Add lines 5 and 6			<b>7</b> 312,442
<b>8</b> Enter qualifying distributions from Part XII, line 4			<b>8</b> 382,819

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .	<b>1</b>	2,573
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>	
<b>3</b>	Add lines 1 and 2. . . . .	<b>3</b>	2,573
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>	
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .	<b>5</b>	2,573
<b>6</b>	Credits/Payments		
<b>a</b>	2017 estimated tax payments and 2016 overpayment credited to 2017	<b>6a</b>	5,412
<b>b</b>	Exempt foreign organizations—tax withheld at source . . . . .	<b>6b</b>	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868) . . . . .	<b>6c</b>	
<b>d</b>	Backup withholding erroneously withheld . . . . .	<b>6d</b>	
<b>7</b>	Total credits and payments. Add lines 6a through 6d. . . . .	<b>7</b>	5,412
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>	
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . .	<b>9</b>	
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . .	<b>10</b>	2,839
<b>11</b>	Enter the amount of line 10 to be <b>Credited to 2018 estimated tax</b> <input type="checkbox"/> 2,839 <b>Refunded</b> <input type="checkbox"/>	<b>11</b>	

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .	<b>1a</b>	No
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? . . . . . <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	<b>1b</b>	No
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .	<b>1c</b>	No
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . <i>If "Yes," attach a detailed description of the activities</i>	<b>2</b>	No
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> . . . . .	<b>3</b>	No
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	<b>4a</b>	No
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .	<b>4b</b>	
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . <i>If "Yes," attach the statement required by General Instruction T</i>	<b>5</b>	No
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	<b>6</b>	Yes
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i> . . . . .	<b>7</b>	Yes
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> MA		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	<b>8b</b>	Yes
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the taxable year beginning in 2017 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i> . . . . .	<b>9</b>	No
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i> . . . . .	<b>10</b>	No

**Part VII-A Statements Regarding Activities** (continued)

<b>11</b>	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	<b>11</b>		<b>No</b>
<b>12</b>	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	<b>12</b>		<b>No</b>
<b>13</b>	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <b>N/A</b>	<b>13</b>	<b>Yes</b>	
<b>14</b>	The books are in care of <b>MARIA F GOMES</b> Telephone no <b>(413) 547-8403</b>			

Located at **105 HIGHERBROOK DRIVE LUDLOW MA** ZIP+4 **01056**

<b>15</b>	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here . . . . . <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year . . . . . <b>15</b>			
<b>16</b>	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country <b>▶</b>	<b>16</b>	<b>Yes</b>	<b>No</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

<b>1a</b>	During the year did the foundation (either directly or indirectly)		<b>Yes</b>	<b>No</b>
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b>	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> <b>1b</b>			
<b>c</b>	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017? <input type="checkbox"/> <b>1c</b>			<b>No</b>
<b>2</b>	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
<b>a</b>	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years <b>▶ 20____, 20____, 20____, 20____</b>			
<b>b</b>	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions). <input type="checkbox"/> <b>2b</b>			
<b>c</b>	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here <b>▶ 20____, 20____, 20____, 20____</b>			
<b>3a</b>	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b>	If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <b>3b</b>			
<b>4a</b>	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? <b>4a</b>			<b>No</b>
<b>b</b>	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017? <b>4b</b>			<b>No</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (Continued)

<b>5a</b>	<p>During the year did the foundation pay or incur any amount to</p> <p><b>(1)</b> Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><b>(2)</b> Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><b>(3)</b> Provide a grant to an individual for travel, study, or other similar purposes? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><b>(4)</b> Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><b>(5)</b> Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>			
<b>b</b>	<p>If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/> </p>	<b>5b</b>		
<b>c</b>	<p>If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i></p>			
<b>6a</b>	<p>Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>			
<b>b</b>	<p>Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><i>If "Yes" to 6b, file Form 8870</i></p>	<b>6b</b>		<b>No</b>
<b>7a</b>	<p>At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>			
<b>b</b>	<p>If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<b>7b</b>		

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
See Additional Data Table				

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
NONE				

**Total** number of other employees paid over \$50,000. . . . . ▶

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

**Total** number of others receiving over \$50,000 for professional services. . . . . ▶

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
<b>1</b>	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
<b>1</b> N/A	
<b>2</b>	
All other program-related investments See instructions	
<b>3</b>	

**Total.** Add lines 1 through 3 . . . . . ▶

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities.	<b>1a</b>	4,907,431
<b>b</b>	Average of monthly cash balances.	<b>1b</b>	3,266,654
<b>c</b>	Fair market value of all other assets (see instructions).	<b>1c</b>	474,686
<b>d</b>	<b>Total</b> (add lines 1a, b, and c).	<b>1d</b>	8,648,771
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets.	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d.	<b>3</b>	8,648,771
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	<b>4</b>	800,000
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4.	<b>5</b>	7,848,771
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5.	<b>6</b>	392,439

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6.	<b>1</b>	392,439
<b>2a</b>	Tax on investment income for 2017 from Part VI, line 5.	<b>2a</b>	2,573
<b>b</b>	Income tax for 2017 (This does not include the tax from Part VI).	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b.	<b>2c</b>	2,573
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1.	<b>3</b>	389,866
<b>4</b>	Recoveries of amounts treated as qualifying distributions.	<b>4</b>	
<b>5</b>	Add lines 3 and 4.	<b>5</b>	389,866
<b>6</b>	Deduction from distributable amount (see instructions).	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	<b>7</b>	389,866

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	<b>1a</b>	382,819
<b>b</b>	Program-related investments—total from Part IX-B.	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required).	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule).	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	<b>4</b>	382,819
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	<b>5</b>	2,573
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4.	<b>6</b>	380,246

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
<b>1</b> Distributable amount for 2017 from Part XI, line 7				389,866
<b>2</b> Undistributed income, if any, as of the end of 2017				
<b>a</b> Enter amount for 2016 only. . . . .			338,179	
<b>b</b> Total for prior years 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2017				
<b>a</b> From 2012. . . . .				
<b>b</b> From 2013. . . . .				
<b>c</b> From 2014. . . . .				
<b>d</b> From 2015. . . . .				
<b>e</b> From 2016. . . . .				
<b>f</b> Total of lines 3a through e. . . . .				
<b>4</b> Qualifying distributions for 2017 from Part XII, line 4 ▶ \$ <u>382,819</u>				
<b>a</b> Applied to 2016, but not more than line 2a			338,179	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .				
<b>d</b> Applied to 2017 distributable amount. . . . .				44,640
<b>e</b> Remaining amount distributed out of corpus				
<b>5</b> Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a) )				
<b>6 Enter the net total of each column as indicated below:</b>				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5				
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . .				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .				
<b>e</b> Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .				
<b>f</b> Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018 . . . . .				345,226
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .				
<b>8</b> Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions). . . . .				
<b>9 Excess distributions carryover to 2018.</b> Subtract lines 7 and 8 from line 6a . . . . .				
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2013. . . . .				
<b>b</b> Excess from 2014. . . . .				
<b>c</b> Excess from 2015. . . . .				
<b>d</b> Excess from 2016. . . . .				
<b>e</b> Excess from 2017. . . . .				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

<b>1a</b> If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling. . . . . ▶					
<b>b</b> Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)					
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .	Tax year	Prior 3 years			<b>(e) Total</b>
	<b>(a) 2017</b>	<b>(b) 2016</b>	<b>(c) 2015</b>	<b>(d) 2014</b>	
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test—enter					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .					
<b>b</b> "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .					
<b>c</b> "Support" alternative test—enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
<b>(3)</b> Largest amount of support from an exempt organization . . . . .					
<b>(4)</b> Gross investment income . . . . .					

**Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**

<b>1 Information Regarding Foundation Managers:</b>	
<b>a</b> List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )	
<b>b</b> List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest	
<b>2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:</b>	
Check here <input checked="" type="checkbox"/> if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.	
<b>a</b> The name, address, and telephone number or e-mail address of the person to whom applications should be addressed MARIA F GOMES TRUSTEE 105 HIGHERBROOK DRIVE LUDLOW, MA 01056 (413) 530-0651	
<b>b</b> The form in which applications should be submitted and information and materials they should include VERBAL OR WRITTEN REQUEST OUTLINING SPECIFIC NEEDS, BUDGETS, USE, ETC	
<b>c</b> Any submission deadlines NONE	
<b>d</b> Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors NONE	

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i> See Additional Data Table				
<b>Total</b> . . . . .			<b>3a</b>	324,750
<b>b</b> <i>Approved for future payment</i>				
<b>Total</b> . . . . .			<b>3b</b>	

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount		
<b>1</b> Program service revenue						
<b>a</b> _____						
<b>b</b> _____						
<b>c</b> _____						
<b>d</b> _____						
<b>e</b> _____						
<b>f</b> _____						
<b>g</b> Fees and contracts from government agencies						
<b>2</b> Membership dues and assessments. . . . .						
<b>3</b> Interest on savings and temporary cash investments . . . . .						59,956
<b>4</b> Dividends and interest from securities. . . . .						193,275
<b>5</b> Net rental income or (loss) from real estate						
<b>a</b> Debt-financed property. . . . .						
<b>b</b> Not debt-financed property. . . . .						
<b>6</b> Net rental income or (loss) from personal property						
<b>7</b> Other investment income. . . . .						
<b>8</b> Gain or (loss) from sales of assets other than inventory . . . . .						23,354
<b>9</b> Net income or (loss) from special events						
<b>10</b> Gross profit or (loss) from sales of inventory						
<b>11</b> Other revenue <b>a</b> _____						
<b>b</b> _____						
<b>c</b> _____						
<b>d</b> _____						
<b>e</b> _____						
<b>12</b> Subtotal Add columns (b), (d), and (e). . .						276,585
<b>13 Total.</b> Add line 12, columns (b), (d), and (e). . . . .						276,585

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**[illegible]

**Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

<b>1</b> Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		<b>Yes</b>	<b>No</b>
<b>a</b> Transfers from the reporting foundation to a noncharitable exempt organization of			
<b>(1)</b> Cash. . . . .	<b>1a(1)</b>		<b>No</b>
<b>(2)</b> Other assets. . . . .	<b>1a(2)</b>		<b>No</b>
<b>b</b> Other transactions			
<b>(1)</b> Sales of assets to a noncharitable exempt organization. . . . .	<b>1b(1)</b>		<b>No</b>
<b>(2)</b> Purchases of assets from a noncharitable exempt organization. . . . .	<b>1b(2)</b>		<b>No</b>
<b>(3)</b> Rental of facilities, equipment, or other assets. . . . .	<b>1b(3)</b>		<b>No</b>
<b>(4)</b> Reimbursement arrangements. . . . .	<b>1b(4)</b>		<b>No</b>
<b>(5)</b> Loans or loan guarantees. . . . .	<b>1b(5)</b>		<b>No</b>
<b>(6)</b> Performance of services or membership or fundraising solicitations. . . . .	<b>1b(6)</b>		<b>No</b>
<b>c</b> Sharing of facilities, equipment, mailing lists, other assets, or paid employees. . . . .	<b>1c</b>		<b>No</b>
<b>d</b> If the answer to any of the above is "Yes," complete the following schedule. Column <b>(b)</b> should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column <b>(d)</b> the value of the goods, other assets, or services received.			

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . . . ☐ Yes ☒ No

**b** If "Yes," complete the following schedule


(a) Name of organization	(b) Type of organization	(c) Description of relationship

<b>Sign Here</b>	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.	***** 2018-05-25 Date	***** Title	May the IRS discuss this return with the preparer shown below (see instr.)? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
	Signature of officer or trustee			

<b>Paid Preparer Use Only</b>	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed <input type="checkbox"/>	PTIN
	MARK A GERMAIN CPA		2018-06-04		P00927528
	Firm's name <b>▶</b> GOMES DACRUZ & TRACY PC				Firm's EIN <b>▶</b> 04-3040103
	Firm's address <b>▶</b> 77 WINSOR STREET PO BOX 588 LUDLOW, MA 010563469				Phone no (413) 589-7831


Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
MARIA F GOMES	TRUSTEE 5 00	15,000	0	0
105 HIGHERBROOK DRIVE LUDLOW, MA 01056				
ARMAND DIAS	TRUSTEE 4 00	15,000	0	0
ORCHARD VALLEY BOSTON ROAD WILBRAHAM, MA 01095				
CAROL COSTA	TRUSTEE 1 50	5,000	0	0
235 STATE STREET SPRINGFIELD, MA 01103				
MARK DIAS	TRUSTEE 1 00	0	0	0
6 TURNER LANE NORTH EASTON, MA 02356				
JANICE DIAS	TRUSTEE 1 50	3,750	0	0
3 BLUE HERON LANE KENNEBUNK, ME 04043				
CHARLES AHMES	TRUSTEE 1 50	3,750	0	0
3 BLUE HERON LANE KENNEBUNK, ME 04043				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
ST JOHN THE BAPTIST CATHOLIC SCHOOL CATHOLIC SCHOOLHUBBARD STREET LUDLOW, MA 01056	NONE	NON-PROFIT	NON PROFIT SUPPORT, EDUCATION	10,000
WESTERN UNITED PIONEERS WESTERN UNITED PIONEERS WINSOR ST LUDLOW, MA 01056	NONE	NON-PROFIT	NON-PROFIT SUPPORT/YOUTH	5,000
LUSO AMERICAN SCHOLARSHIP COMM LUSO AMERICAN SCHOLARSHIP COMM WINSOR STREET LUDLOW, MA 01056	NONE	NON-PROFIT	SCHOLARSHIP	36,000
ST JUDE'S CHILDREN'S RESEARCH HOSPI ST JUDE'S CHILDREN'S RES HOSPITAL 501 ST JUDE PLACE MEMPHIS, TN 38105	NONE	NON-PROFIT	NON-PROFIT SUPPORT/RESEARCH	50,000
LUSITANO ALUMNI FANS LUSITANO ALUMNI FANS WINSOR STREET LUDLOW, MA 01056	NONE	NON-PROF	SCHOLARSHIP	2,000
<b>Total . . . . .</b> <b>3a</b>				324,750

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
LUDLOW HIGH SCHOOL LUDLOW PUBLIC SCHOOLS CHAPIN STREET LUDLOW, MA 01056	NONE	NON-PROF	SCHOLARSHIPS	4,000
JUNIOR ACHIEVEMENT OF WEST MA JUIOR ACHIEVEMENT OF WEST MA 1500 MAIN STEET SPRINGFIELD, MA 01103	NONE	NON-PROF	EDUCATION PROGRAMS	6,750
HABITAT FOR HUMANITY YORK COUNTY HABITAT FOR HUMANITY YORK COUNTY POST ROAD YORK, ME 03909	NONE	NON-PROF	CULTURAL ASSISTANCE	26,000
ALZEIHMER'S ASSOCIATION NATIONAL ALZEIHMER'S ASSOCK 402 E PLAZA DRIVE CATERVILLE, IL 62918	NONE	NON-PROFIT	RESEARCH & EDUCATION	20,000
SHRINER'S CHILDREN'S HOSPITAL SHRINER'S CHILDREN'S HOSPITAL CAREW STREET SPRINGFIELD, MA 01101	NONE	NON-PROF	RESEARCH & GENERAL	25,000
<b>Total</b> . . . . . 				324,750
<b>3a</b>				



**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient  Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> <i>Paid during the year</i>				
DANA FARBER CANCER INSTITUTE DANA FARBER CANCER INSTITUTE 456 BROOKLINE AVE BOSTON, MA 02215	NONE	NON-PROFIT	RESEARCH AND GENRAL	20,000
LUDLOW COMMUNITY CENTER LUDLOW COMMUNITY CENTER CLAUDIAS WAY LUDLOW, MA 01056	NONE	NON-PROF	EDUCATION	105,000
AMERICAN RED CROSS AMERICAN RED CROSSMAIN STREET SPRINGFIELD, MA 01101	NONE	NON-PROF	RESEARCH AND GENERAL	10,000
HABITAT FOR HUMANITY TEXAS DISASTER RELIEFPOBOX 3174 BEAUMONT, TX 77704	NONE	NON-PROFIT	CHARITABLE	5,000
<b>Total . . . . .</b>  <b>3a</b>				324,750

**TY 2017 Accounting Fees Schedule**

**Name:** THE JOSEPH AND ANNA C DIAS JR  
FAMILY FOUNDATION

**EIN:** 04-3357087

**Accounting Fees Schedule**

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	2,000			2,000

**Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.**

# **TY 2017 Depreciation Schedule**

**Name:** THE JOSEPH AND ANNA C DIAS JR  
 FAMILY FOUNDATION  
**EIN:** 04-3357087

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
LAND	1997-02-28	300,000							
CONCRETE WORK	1998-09-01	2,028	2,028	S/L	15 0000				
WELL	1998-11-01	600	600	S/L	15 0000				
MONUMENT FENCE AND RAIL	1998-07-01	3,800	3,800	S/L	15 0000				
WELL, SPRINKLER SYSTEM	1999-06-01	28,201	28,201	S/L	15 0000				
SHED	1999-10-01	8,420	3,716	S/L	39 0000	216			
SPRINKLER SYSTEMS	2000-06-01	8,912	8,912	S/L	15 0000				
STEEL GATE AND ENTRYWAY	2000-06-01	17,102	17,102	S/L	15 0000				
FENCE	2002-11-02	230	217	S/L	15 0000	13			
LAND (LUSITANIA)	2002-03-26	100,000							
FIELD LIGHTING	2003-11-21	1,685	1,469	S/L	15 0000	113			
NEW PUMP FOR WELL	2003-08-18	4,814	4,279	S/L	15 0000	321			
PARK BENCHES	2008-12-08	2,550	2,550	S/L	5 0000				
FIELD LIGHTS	2008-12-08	6,547	3,528	S/L	15 0000	437			
NEW FENCE	2008-11-15	3,845	3,107	150DB	15 0000	114			
LAND IMPROVEMENTS	2009-06-30	2,457	1,369	150DB	15 0000	145			
LIGHT POLES	2009-06-30	17,690	9,856	150DB	15 0000	1,044			
SIDE SLOPE/SHRUBERY	2010-07-23	8,994	4,480	150DB	15 0000	531			
SPRINKLERS	2010-09-29	3,485	1,736	150DB	15 0000	205			
FENCE	2011-05-04	4,214	4,214	150DB	15 0000				

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
NEW MEM PK LAND IMPRO	2012-09-12	11,823	8,138	150DB	15 0000	369			
JDIASMONUMENT	2013-03-20	49,000	13,279	150DB	15 0000	3,572			
SPRINKLER SYSTEM	2013-08-26	800	533	S/L	5 0000	160			
ELECTRIC LIGHTING	2013-11-04	4,561	2,982	150DB	15 0000	158			
PARK BENCHES	2014-07-21	2,250	1,688	S/L	5 0000	225			
NEW FENCE	2014-08-22	4,550	2,799	150DB	15 0000	175			
LAND IMPROV	2014-10-01	1,000	615	150DB	15 0000	39			
MEMORIAL	2014-12-02	22,500	13,843	150DB	15 0000	866			
FENCE	2014-05-20	9,100	5,599	150DB	15 0000	350			
LAND IMPROV	2014-07-21	2,750	1,692	150DB	15 0000	106			
FENCE	2014-12-08	4,550	2,799	150DB	15 0000	175			
LAWN IMPROV	2014-12-30	2,000	1,231	150DB	15 0000	76			

**TY 2017 Investments Corporate Stock Schedule**

**Name:** THE JOSEPH AND ANNA C DIAS JR  
FAMILY FOUNDATION

**EIN:** 04-3357087

Name of Stock	End of Year Book Value	End of Year Fair Market Value
COMM FINAN MUTUAL FUNDS, BONDS	4,956,208	4,956,208
\		

**TY 2017 Land, Etc.  
Schedule**

**Name:** THE JOSEPH AND ANNA C DIAS JR  
FAMILY FOUNDATION

**EIN:** 04-3357087

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
IMPROVEMENTS & EQUIP	240,458	165,772	74,686	74,687
LAND	400,000		400,000	400,000

## TY 2017 Other Assets Schedule

**Name:** THE JOSEPH AND ANNA C DIAS JR  
FAMILY FOUNDATION

**EIN:** 04-3357087

### Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
ADVANCE DIVIDENDS RECEIVED		2,550	2,550

**TY 2017 Other Expenses Schedule**

**Name:** THE JOSEPH AND ANNA C DIAS JR  
FAMILY FOUNDATION

**EIN:** 04-3357087

**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
INSURANCE	10,280			10,280
MISC	780			
LAWN & FIELD MAINT	2,211			2,211



**TY 2017 Other Increases Schedule**

**Name:** THE JOSEPH AND ANNA C DIAS JR  
FAMILY FOUNDATION

**EIN:** 04-3357087

Description	Amount
NON-DIV DISTRIBUTIONS RECEIVED	23,186
FOREIGN TAXES PAI	385
ADJ IN BEG & END FMV ADJ	200,691
ROUNDING	3

# TY 2017 Other Professional Fees Schedule

**Name:** THE JOSEPH AND ANNA C DIAS JR  
FAMILY FOUNDATION

**EIN:** 04-3357087

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT FEES	15,423	15,423		

**TY 2017 Taxes Schedule**

**Name:** THE JOSEPH AND ANNA C DIAS JR  
FAMILY FOUNDATION

**EIN:** 04-3357087

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FILING FEES -NET OF REFUND	70			
FEDERAL TAXES	3,500	3,500		
RE TAXES	1,078			1,078
FOREIGN TAXES PAID	385	385		

Statement for the Period December 1, 2017 to December 31, 2017

JOSEPH & ANNA C DIAS JR FAMILY FOUNDATION - Trust Under Agreement  
Account Number B3S-537566



YOUR ACCOUNT REPRESENTS A BUSINESS AS FINANCIAL GROUP, LLC  
and offers securities through Commonwealth Financial Network® Member FINRA/SIPC

# Holdings

For additional information regarding your holdings, please refer to the footnotes at the end of the statement

## CASH AND CASH EQUIVALENTS - 2.76% of Total Account Value

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/17	Current Market Value	Estimated Annual Income
<b>Money Markets</b>					
<b>FIDELITY MONEY MARKET</b>					
7 DAY YIELD 1.20%	SPRXX CASH	120,014.82	\$1.00	\$120,014.82	
Dividend Option Cash					
Capital Gain Option Cash					
<b>Bank Deposits</b>					
Bank deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). Funds used to purchase or sweep to a bank deposit are SIPC protected until deposited to a Program Bank at which time funds may be eligible for FDIC insurance. Customers are responsible for monitoring their total deposits at each Program Bank to determine the extent of available FDIC insurance. Refer to the Bank Deposit Detail section which appears later in this statement for information on the banks holding your deposits. If your account was established on the last business day of this month, your statement will not include a Bank Deposit Detail section.					
<b>BANK DEPOSIT SWEEP PROGRAM</b>	QPRMQ CASH	20,497.52	\$1.00	\$20,497.52	
Estimated Annual Yield 0.05%					
Total Cash and Cash Equivalents				<b>\$140,512.34</b>	

## HOLDINGS > EQUITIES - 14.44% of Total Account Value

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/17	Current Market Value	Estimated Annual Income
<b>Equity</b>					
<b>FS ENERGY &amp; POWER FUND COMMON</b>	30264D109 CASH	22,857.143	\$7.04 AI	\$160,914.29	
BASED ON AN INDEPENDENT APPRAISAL					
<b>FS GLOBAL CR OPPORTUNITIE FDA SHS BEN</b>	30291B100 CASH	24,048	\$7.5468 AI	\$181,485.45	
INT					
BASED ON PROGRAM MANAGEMENT'S					
UNCONFIRMED ESTIMATE OF NET ASSETS					
<b>INDUSTRIAL PROPERTY TRUST INC CL A</b>	45632A306	19,155.804	\$11.11 AI	\$212,820.98	

COMMONWEALTH FINANCIAL NETWORK

MN\_CEBDXPGTBBDSDQM\_BBBB 20171229

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

Statement for the Period December 1, 2017 to December 31, 2017

JOSEPH & ANNA C DIAS JR FAMILY FOUNDATION - Trust Under Agreement  
Account Number B3S-537566



Your Account Representative does business as Pioneer Valley Financial Group, LLC  
and does not have a relationship with National Financial Services LLC, Member NYSE, SIPC

HOLDINGS > EQUITIES continued

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/17	Current Market Value	Estimated Annual Income
<b>INDUSTRIAL PROPERTY TRUST INC CL A BASED ON AN INDEPENDENT APPRAISAL</b>	<b>45632A306 CASH</b>	<b>continued</b>			
INLAND REAL ESTATE INCOME TR INC COM BASED ON AN INDEPENDENT APPRAISAL	457464105 CASH	20,000	\$9.05 AI	\$181,000.00	
<b>Total Equity</b>				<b>\$736,220.72</b>	
<b>Total Equities</b>				<b>\$736,220.72</b>	

HOLDINGS > MUTUAL FUNDS - 77.11% of Total Account Value

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/17	Current Market Value	Estimated Annual Income
<b>Equity</b>					
<b>COLUMBIA DISCIPLINED VALUE ADV</b>	<b>COLEX CASH</b>	<b>23,779.893</b>	<b>\$10.68</b>	<b>\$253,969.26</b>	<b>\$5,652.00</b>
Estimated Yield 2.22%					
Dividend Option Cash					
Capital Gain Option Cash					
<b>IVY INTERNATIONAL CORE EQUITY CL Y</b>	<b>IWVYX CASH</b>	<b>5,593.958</b>	<b>\$20.36</b>	<b>\$113,892.98</b>	<b>\$1,202.14</b>
Estimated Yield 1.05%					
Dividend Option Cash					
Capital Gain Option Cash					
<b>JPMORGAN UNDISCOVERD MNG BEHAVIOR CL I</b>	<b>UBVSX CASH</b>	<b>454.462</b>	<b>\$69.74</b>	<b>\$31,694.18</b>	<b>\$299.58</b>
Estimated Yield 0.94%					
Dividend Option Cash					
Capital Gain Option Cash					
<b>LORD ABBETT CALIBRAT MID CAP VALUE F</b>	<b>LVMFX CASH</b>	<b>1,259.484</b>	<b>\$21.69</b>	<b>\$27,318.21</b>	<b>\$371.17</b>
Estimated Yield 1.35%					
Dividend Option Cash					
Capital Gain Option Cash					
<b>NATIXIS US EQUITY OPPORTUNITIES CL Y</b>	<b>NESYX CASH</b>	<b>6,167.586</b>	<b>\$42.61</b>	<b>\$262,800.84</b>	<b>\$863.46</b>
Estimated Yield 0.32%					
Dividend Option Cash					
Capital Gain Option Cash					
<b>Total Equity</b>				<b>\$689,675.47</b>	<b>\$8,388.35</b>

COMMONWEALTH FINANCIAL NETWORK

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Account carried with National Financial Services LLC, Member  
NYSE, SIPC

Statement for the Period December 1, 2017 to December 31, 2017

JOSEPH & ANNA C DIAS JR FAMILY FOUNDATION - Trust Under Agreement  
Account Number B3S-537566



Your Account Representative does business as Pioneer Valley Financial Group, LLC  
and offers securities through Commonwealth Financial Network, Member FINRA/SIPC

HOLDINGS > MUTUAL FUNDS continued

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/17	Current Market Value	Estimated Annual Income
<b>Fixed Income</b>					
<b>AB GLOBAL BOND ADVISOR CLASS</b>	ANAYX CASH	15,188.335	\$8.40	\$127,582.01	\$3,177.99
Estimated Yield 2.49%					
Dividend Option Cash					
Capital Gain Option Cash					
<b>AB HIGH INCOME ADVISOR</b>	AGDYX CASH	57,868.735	\$8.83	\$510,980.93	\$29,778.39
Estimated Yield 5.82%					
Dividend Option Cash					
Capital Gain Option Cash					
<b>EATON VANCE HIGH INCOME OPPT CL I</b>	EIHIX CASH	110,248.824	\$4.53	\$499,427.17	\$27,443.75
Estimated Yield 5.49%					
Dividend Option Cash					
Capital Gain Option Cash					
<b>EATON VANCE SHT DUR STRATEGIC INC FD I</b>	ESIX CASH	34,255.267	\$7.44	\$254,859.19	\$10,071.05
Estimated Yield 3.95%					
Dividend Option Cash					
Capital Gain Option Cash					
<b>JPMORGAN CORE PLUS BOND CLASS I</b>	HLIPX CASH	43,116.094	\$8.27	\$356,570.10	\$10,093.91
Estimated Yield 2.83%					
Dividend Option Cash					
Capital Gain Option Cash					
<b>JPMORGAN LIMITED DURATION BD I</b>	HLGFX CASH	17,547.633	\$10.01	\$175,651.81	\$2,711.46
Estimated Yield 1.54%					
Dividend Option Cash					
Capital Gain Option Cash					
<b>LOOMIS SAYLES SR FLT RATE &amp; FIXED INC Y</b>	LSFYX CASH	49,282.243	\$9.89	\$487,401.38	\$27,647.34
Estimated Yield 5.67%					
Dividend Option Cash					
Capital Gain Option Cash					
<b>LORD ABBETT INCOME FUND CL F</b>	LAUFY CASH	92,210.154	\$2.87	\$264,643.14	\$9,461.98
Estimated Yield 3.57%					
Dividend Option Cash					
Capital Gain Option Cash					
<b>LORD ABBETT SHORT DURATION INCOME CL F</b>	LDLFX	46,338.008	\$4.25	\$196,936.53	\$7,530.76

COMMONWEALTH FINANCIAL NETWORK

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Statement for the Period December 1, 2017 to December 31, 2017

JOSEPH & ANNA C DIAS JR FAMILY FOUNDATION - Trust Under Agreement  
Account Number B3S-537566



YOUR ACCOUNT REPRESENTS YOUR INVESTMENT IN FINANCIAL GROUP, A SECURITIES FUND, AND OFFERS INVESTING THROUGH COMMONWEALTH FINANCIAL NETWORK'S MEMBER-SPONSORED.

HOLDINGS > MUTUAL FUNDS continued

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/17	Current Market Value	Estimated Annual Income
<b>LORD ABBETT SHORT DURATION INCOME CL F</b>					
	LDLFX CASH				
Estimated Yield 3.82%					
Dividend Option Cash					
Capital Gain Option Cash					
<b>TEMPLETON GLOBAL TOTAL RT ADV CL SH</b>					
	TTRZX CASH	30,385.298	\$12.05	\$366,142.84	\$12,971.48
Estimated Yield 3.54%					
Dividend Option Cash					
Capital Gain Option Cash					
Total Fixed Income				\$3,240,195.10	\$140,888.11
Total Mutual Funds				\$3,929,870.57	\$149,276.46

HOLDINGS > EXCHANGE TRADED PRODUCTS - 5.69% of Total Account Value

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/17	Current Market Value	Estimated Annual Income
<b>Equity</b>					
<b>GUGGENHEIM S&amp;P MIDCAP 400 PURE GROWTH</b>					
	RFQ CASH	675	\$154.10	\$104,017.50	\$688.57
Estimated Yield 0.66%					
Dividend Option Cash					
Capital Gain Option Cash					
<b>ISHARES TR MNRING SM CP ETF</b>					
	JKL CASH	342	\$152.259	\$52,072.58	\$905.55
Estimated Yield 1.73%					
Dividend Option Cash					
Capital Gain Option Cash					
<b>VANGUARD INDEX FDS FORMERLY VANGUARD</b>					
	VVO CASH	330	\$245.29	\$80,945.70	\$1,562.75
Estimated Yield 1.93%					
Dividend Option Cash					
Capital Gain Option Cash					

COMMONWEALTH FINANCIAL NETWORK

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Statement for the Period December 1, 2017 to December 31, 2017

JOSEPH & ANNA C DIAS JR FAMILY FOUNDATION - Trust Under Agreement  
Account Number B3S-537566



Your Account Representative does business as Pioneer Valley Financial Group, LLC  
and operates through Commwealth Financial Networks Member FINVUSPC

HOLDINGS > EXCHANGE TRADED PRODUCTS continued

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/17	Current Market Value	Estimated Annual Income
VANGUARD INDEX FDS VANGUARD SMALL CAP GROWTH VIPERS FORMERLY VANGUARD INDEX TR Estimated Yield 0.81% Dividend Option Cash Capital Gain Option Cash	VBK CASH	330	\$160.85	\$53,080.50	\$432.70
Total Equity				\$290,116.28	\$3,589.57
Total Exchange Traded Products				\$290,116.28	\$3,589.57
Total Securities				\$4,956,207.57	\$152,866.03
TOTAL PORTFOLIO VALUE				\$5,096,719.91	\$152,866.03

## Activity

### CORE FUND ACTIVITY

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
12/01/17	CASH	YOU BOUGHT	BANK DEPOSIT SWEEP PROGRAM @ 1	6,605.78	(\$6,605.78)
12/01/17	CASH	YOU BOUGHT	BANK DEPOSIT SWEEP PROGRAM @ 1 AS OF 12-01-17	986.3	(\$986.30)
12/04/17	CASH	YOU BOUGHT	BANK DEPOSIT SWEEP PROGRAM @ 1 AS OF 12-04-17	3,092.1	(\$3,092.10)
12/11/17	CASH	YOU BOUGHT	BANK DEPOSIT SWEEP PROGRAM @ 1	1,093.72	(\$1,093.72)
12/12/17	CASH	YOU BOUGHT	BANK DEPOSIT SWEEP PROGRAM @ 1	19,144	(\$19,144.00)
12/14/17	CASH	YOU BOUGHT	BANK DEPOSIT SWEEP PROGRAM @ 1	1,136.11	(\$1,136.11)

COMMONWEALTH FINANCIAL NETWORK

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Account carried with National Financial Services LLC, Member  
NYSE, SIPC