

Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

- Do not enter social security numbers on this form as it may be made public.
- Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

2017

Open to Public
Inspection

For calendar year 2017, or tax year beginning 01-01-2017, and ending 12-31-2017

| | | | |
|--|--|---|--|
| <p>Name of foundation THE HARMAN CAIN FAMILY FOUNDATION</p> <p>Number and street (or P O box number if mail is not delivered to street address) 397 SOUTH STREET</p> <p>City or town, state or province, country, and ZIP or foreign postal code NEEDHAM, MA 02492</p> <p>G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change</p> <p>H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation</p> <p>I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ► \$ 2,202,068</p> | | <p>A Employer identification number 04-3340178</p> <p>B Telephone number (see instructions) (781) 449-4189</p> <p>C If exemption application is pending, check here ► <input type="checkbox"/></p> <p>D 1. Foreign organizations, check here ► <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ► <input type="checkbox"/></p> <p>E If private foundation status was terminated under section 507(b)(1)(A), check here ► <input type="checkbox"/></p> <p>F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► <input type="checkbox"/></p> | |
| | | <p>J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____</p> <p>(Part I, column (d) must be on cash basis)</p> | <p>(a) Revenue and expenses per books</p> <p>(b) Net investment income</p> <p>(c) Adjusted net income</p> <p>(d) Disbursements for charitable purposes (cash basis only)</p> |
| <p>Revenue</p> <p>1 Contributions, gifts, grants, etc., received (attach schedule)</p> <p>2 Check ► <input type="checkbox"/> if the foundation is not required to attach Sch B</p> <p>3 Interest on savings and temporary cash investments</p> <p>4 Dividends and interest from securities</p> <p>5a Gross rents</p> <p>b Net rental income or (loss)</p> <p>6a Net gain or (loss) from sale of assets not on line 10</p> <p>b Gross sales price for all assets on line 6a 1,012,063</p> <p>7 Capital gain net income (from Part IV, line 2)</p> <p>8 Net short-term capital gain</p> <p>9 Income modifications</p> <p>10a Gross sales less returns and allowances</p> <p>b Less Cost of goods sold</p> <p>c Gross profit or (loss) (attach schedule)</p> <p>11 Other income (attach schedule)</p> <p>12 Total. Add lines 1 through 11 960,491 491,688</p> | | <p>468,803</p> <p>512 512</p> <p>23,715 23,715</p> <p>467,461</p> <p>467,461</p> <p>467,461</p> | |
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| <p>Operating and Administrative Expenses</p> <p>13 Compensation of officers, directors, trustees, etc</p> <p>14 Other employee salaries and wages</p> <p>15 Pension plans, employee benefits</p> <p>16a Legal fees (attach schedule)</p> <p>b Accounting fees (attach schedule)</p> <p>c Other professional fees (attach schedule)</p> <p>17 Interest</p> <p>18 Taxes (attach schedule) (see instructions)</p> <p>19 Depreciation (attach schedule) and depletion</p> <p>20 Occupancy</p> <p>21 Travel, conferences, and meetings</p> <p>22 Printing and publications</p> <p>23 Other expenses (attach schedule)</p> <p>24 Total operating and administrative expenses. Add lines 13 through 23 17,197</p> <p>25 Contributions, gifts, grants paid 175,050</p> <p>26 Total expenses and disbursements. Add lines 24 and 25 192,247 0 175,050</p> <p>27 Subtract line 26 from line 12</p> <p>a Excess of revenue over expenses and disbursements</p> <p>b Net investment income (if negative, enter -0-)</p> <p>c Adjusted net income (if negative, enter -0-)</p> | | <p>0 0 0</p> <p>1,500 0 0</p> <p>14,419 0 0</p> <p>1,208 0 0</p> <p>70 0 0</p> <p>17,197 0 0</p> <p>175,050 0 175,050</p> <p>192,247 0 175,050</p> <p>768,244</p> <p>491,688</p> | |
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| | Part II Balance Sheets | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions) | Beginning of year | End of year | |
|--|--|---|-------------------|----------------|-----------------------|
| | | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| | 1 Cash—non-interest-bearing | | 4 | 5 | 5 |
| | 2 Savings and temporary cash investments | | 4,519 | 28,366 | 28,366 |
| | 3 Accounts receivable ► | | | | |
| | Less allowance for doubtful accounts ► | | | | |
| | 4 Pledges receivable ► | | | | |
| | Less allowance for doubtful accounts ► | | | | |
| | 5 Grants receivable | | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | | |
| | 7 Other notes and loans receivable (attach schedule) ► | | | | |
| | Less allowance for doubtful accounts ► | | | | |
| | 8 Inventories for sale or use | | | | |
| | 9 Prepaid expenses and deferred charges | | | | |
| | 10a Investments—U S and state government obligations (attach schedule) | | | | |
| | b Investments—corporate stock (attach schedule) | | 253,518 | 0 | 0 |
| | c Investments—corporate bonds (attach schedule) | | | | |
| | 11 Investments—land, buildings, and equipment basis ► | | | | |
| | Less accumulated depreciation (attach schedule) ► | | | | |
| | 12 Investments—mortgage loans | | | | |
| | 13 Investments—other (attach schedule) | | 1,013,717 | 1,994,938 | 2,173,697 |
| | 14 Land, buildings, and equipment basis ► | | | | |
| | Less accumulated depreciation (attach schedule) ► | | | | |
| | 15 Other assets (describe ► _____) | | | | |
| | 16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I) | | 1,271,758 | 2,023,309 | 2,202,068 |
| | 17 Accounts payable and accrued expenses | | | | |
| | 18 Grants payable | | | | |
| | 19 Deferred revenue | | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | | |
| | 21 Mortgages and other notes payable (attach schedule) | | | | |
| | 22 Other liabilities (describe ► _____) | | 16,693 | 0 | 0 |
| | 23 Total liabilities (add lines 17 through 22) | | 16,693 | 0 | |
| | Foundations that follow SFAS 117, check here ► <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31. | | | | |
| | 24 Unrestricted | | | | |
| | 25 Temporarily restricted | | | | |
| | 26 Permanently restricted | | | | |
| | Foundations that do not follow SFAS 117, check here ► <input checked="" type="checkbox"/> and complete lines 27 through 31. | | | | |
| | 27 Capital stock, trust principal, or current funds | | 652,332 | 652,332 | |
| | 28 Paid-in or capital surplus, or land, bldg , and equipment fund | | 0 | 0 | |
| | 29 Retained earnings, accumulated income, endowment, or other funds | | 602,733 | 1,370,977 | |
| | 30 Total net assets or fund balances (see instructions) | | 1,255,065 | 2,023,309 | |
| | 31 Total liabilities and net assets/fund balances (see instructions) | | 1,271,758 | 2,023,309 | |

Part III Analysis of Changes in Net Assets or Fund Balances

| | | |
|--|---|-----------|
| 1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 1,255,065 |
| 2 Enter amount from Part I, line 27a | 2 | 768,244 |
| 3 Other increases not included in line 2 (itemize) ► | 3 | 0 |
| 4 Add lines 1, 2, and 3 | 4 | 2,023,309 |
| 5 Decreases not included in line 2 (itemize) ► | 5 | 0 |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 | 6 | 2,023,309 |

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.) | | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|---|-------------------------------------|
| 1a See Additional Data Table | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) | | |
| a See Additional Data Table | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | | | |
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) | | |
| a See Additional Data Table | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 2 Capital gain net income or (net capital loss) | | If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 | 2 | 467,461 | |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 | | | | 3 | |

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

 Yes No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|---|--|--|---|
| 2016 | 44,250 | 1,589,423 | 0 027840 |
| 2015 | 101,732 | 1,768,612 | 0 057521 |
| 2014 | 133,360 | 1,845,839 | 0 072249 |
| 2013 | 86,271 | 1,300,788 | 0 066322 |
| 2012 | 80,359 | 1,158,532 | 0 069363 |
| 2 Total of line 1, column (d) | | | 2 0 293295 |
| 3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | | | 3 0 058659 |
| 4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5 | | | 4 2,029,709 |
| 5 Multiply line 4 by line 3 | | | 5 119,061 |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) | | | 6 4,917 |
| 7 Add lines 5 and 6 | | | 7 123,978 |
| 8 Enter qualifying distributions from Part XIII, line 4 | | | 8 175,050 |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

| | | | |
|----|---|----------|-------|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions) | 1 | 4,917 |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b | | |
| c | All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b) | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | 2 | 0 |
| 3 | Add lines 1 and 2. | 3 | 4,917 |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | 4 | 0 |
| 5 | Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0- | 5 | 4,917 |
| 6 | Credits/Payments | | |
| a | 2017 estimated tax payments and 2016 overpayment credited to 2017 | 6a | 560 |
| b | Exempt foreign organizations—tax withheld at source | 6b | |
| c | Tax paid with application for extension of time to file (Form 8868) | 6c | |
| d | Backup withholding erroneously withheld | 6d | 0 |
| 7 | Total credits and payments Add lines 6a through 6d. | 7 | 560 |
| 8 | Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached | 8 | 6 |
| 9 | Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ► | 9 | 4,363 |
| 10 | Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ► | 10 | |
| 11 | Enter the amount of line 10 to be Credited to 2018 estimated tax ► | Refunded | 11 |

Part VII-A Statements Regarding Activities

| | | | | |
|---|---|----|-----|----|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | Yes | No |
| b | Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? | 1b | Yes | No |
| <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i> | | | | |
| c | Did the foundation file Form 1120-POL for this year? | 1c | Yes | No |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ► \$ _____ 0 (2) On foundation managers ► \$ _____ 0 | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ _____ 0 | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i> | 2 | Yes | No |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> | 3 | Yes | No |
| 4a | Did the foundation have unrelated business gross income of \$1,000 or more during the year? | 4a | Yes | No |
| b | If "Yes," has it filed a tax return on Form 990-T for this year? | 4b | Yes | No |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i> | 5 | Yes | No |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | Yes | No |
| 7 | Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i> | 7 | Yes | No |
| 8a | Enter the states to which the foundation reports or with which it is registered (see instructions) ►MA | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> | 8b | Yes | No |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the taxable year beginning in 2017 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i> | 9 | Yes | No |
| 10 | Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i> | 10 | Yes | No |

Part VII-A Statements Regarding Activities (continued)

| | | | |
|---|---|------------------------------|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions). | 11 | No |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | No |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ►N/A | 13 | |
| 14 | The books are in care of ►BARBARA L HARMAN | Telephone no ►(781) 449-4189 | |
| Located at ►397 SOUTH STREET NEEDHAM MA | | ZIP+4 ►02492 | |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year. | 15 | <input type="checkbox"/> |
| 16 | At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes", enter the name of the foreign country ► | 16 | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

| File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. | | Yes | No |
|---|---|------------------------------|--|
| 1a | During the year did the foundation (either directly or indirectly) | | |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| | (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| b | If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here. | 1b | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017? | 1c | No |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | |
| a | At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? If "Yes," list the years ► 20____, 20____, 20____, 20____ | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| b | Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions) ► | 2b | |
| c | If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____ | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| b | If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017). | 3b | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | No |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017? | 4b | No |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

| | | | | |
|----|--|------------------------------|--|----|
| 5a | During the year did the foundation pay or incur any amount to | | | |
| | (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No | |
| | (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No | |
| | (3) Provide a grant to an individual for travel, study, or other similar purposes? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No | |
| | (4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions). | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No | |
| | (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No | |
| b | If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here. ► | | | 5b |
| c | If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? | <input type="checkbox"/> Yes | <input type="checkbox"/> No | |
| | <i>If "Yes," attach the statement required by Regulations section 53 4945-5(d)</i> | | | |
| 6a | Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No | |
| b | Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No | 6b |
| | <i>If "Yes" to 6b, file Form 8870</i> | | | No |
| 7a | At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No | |
| b | If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? | | | 7b |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors
1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

| (a) Name and address | Title, and average hours per week (b) devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | Expense account, (e) other allowances |
|---|---|---|---|---------------------------------------|
| BARBARA L HARMAN 397 SOUTH STREET NEEDHAM, MA 02492 | TRUSTEE 0 00 | 0 | 0 | 0 |
| WILLIAM E CAIN 397 SOUTH STREET NEEDHAM, MA 02492 | TRUSTEE 0 00 | 0 | 0 | 0 |

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | Title, and average hours per week (b) devoted to position | (c) Compensation | Contributions to employee benefit plans and deferred compensation (d) | Expense account, (e) other allowances |
|---|---|------------------|---|---------------------------------------|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

Total number of other employees paid over \$50,000. ► 0

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|---|---------------------|------------------|
| NONE | | |
| | | |
| | | |
| | | |
| | | |
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| | | |

Total number of others receiving over \$50,000 for professional services. ► 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

Expenses

| | |
|---|--|
| 1 | |
| | |
| 2 | |
| | |
| 3 | |
| | |
| 4 | |
| | |

Part IX-B Summary of Program-Related Investments (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|--|------------|
| 1 | |
| | |
| 2 | |
| | |
| All other program-related investments See instructions | |
| 3 | |
| | |
| Total. Add lines 1 through 3 | ► 0 |

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

| | | | |
|---|--|----|-----------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes | | |
| a | Average monthly fair market value of securities. | 1a | 1,992,953 |
| b | Average of monthly cash balances. | 1b | 67,665 |
| c | Fair market value of all other assets (see instructions). | 1c | 0 |
| d | Total (add lines 1a, b, and c). | 1d | 2,060,618 |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). | 1e | 0 |
| 2 | Acquisition indebtedness applicable to line 1 assets. | 2 | 0 |
| 3 | Subtract line 2 from line 1d. | 3 | 2,060,618 |
| 4 | Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). | 4 | 30,909 |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4 | 5 | 2,029,709 |
| 6 | Minimum investment return. Enter 5% of line 5. | 6 | 101,485 |

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign**Part XI** organizations check here ► and do not complete this part)

| | | | |
|----|--|----|---------|
| 1 | Minimum investment return from Part X, line 6. | 1 | 101,485 |
| 2a | Tax on investment income for 2017 from Part VI, line 5. | 2a | 4,917 |
| b | Income tax for 2017 (This does not include the tax from Part VI). | 2b | |
| c | Add lines 2a and 2b. | 2c | 4,917 |
| 3 | Distributable amount before adjustments Subtract line 2c from line 1. | 3 | 96,568 |
| 4 | Recoveries of amounts treated as qualifying distributions. | 4 | 0 |
| 5 | Add lines 3 and 4. | 5 | 96,568 |
| 6 | Deduction from distributable amount (see instructions). | 6 | 0 |
| 7 | Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. | 7 | 96,568 |

Part XII Qualifying Distributions (see instructions)

| | | | |
|---|--|----|---------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes | | |
| a | Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. | 1a | 175,050 |
| b | Program-related investments—total from Part IX-B. | 1b | 0 |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the | | |
| a | Suitability test (prior IRS approval required). | 3a | |
| b | Cash distribution test (attach the required schedule). | 3b | |
| 4 | Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 175,050 |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). | 5 | 4,917 |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4. | 6 | 170,133 |
| Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years | | | |

Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2016 | (c) 2016 | (d) 2017 |
|--|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2017 from Part XI, line 7 | | | | 96,568 |
| 2 Undistributed income, if any, as of the end of 2017 | | | 0 | |
| a Enter amount for 2016 only. | | | 0 | |
| b Total for prior years 20____, 20____, 20____ | | 0 | | |
| 3 Excess distributions carryover, if any, to 2017 | | | | |
| a From 2012. | 3,449 | | | |
| b From 2013. | 24,690 | | | |
| c From 2014. | 47,778 | | | |
| d From 2015. | 13,861 | | | |
| e From 2016. | | | | |
| f Total of lines 3a through e. | 89,778 | | | |
| 4 Qualifying distributions for 2017 from Part XII, line 4 ► \$ <u>175,050</u> | | | 0 | |
| a Applied to 2016, but not more than line 2a | | | 0 | |
| b Applied to undistributed income of prior years (Election required—see instructions). | | 0 | | |
| c Treated as distributions out of corpus (Election required—see instructions). | 0 | | | |
| d Applied to 2017 distributable amount. | | | 96,568 | |
| e Remaining amount distributed out of corpus | 78,482 | | | |
| 5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a)) | 0 | | | 0 |
| 6 Enter the net total of each column as indicated below: | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 168,260 | | | |
| b Prior years' undistributed income Subtract line 4b from line 2b. | | 0 | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. | | 0 | | |
| d Subtract line 6c from line 6b Taxable amount—see instructions | | 0 | | |
| e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions | | | 0 | |
| f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018 | | | | 0 |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) | 0 | | | |
| 8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions) | 3,449 | | | |
| 9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a | 164,811 | | | |
| 10 Analysis of line 9 | | | | |
| a Excess from 2013. | 24,690 | | | |
| b Excess from 2014. | 47,778 | | | |
| c Excess from 2015. | 13,861 | | | |
| d Excess from 2016. | | | | |
| e Excess from 2017. | 78,482 | | | |

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

| | | | | |
|-----|--|--|--|--|
| 1a | If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling. ► | | | |
| b | Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5) | | | |
| 2a | Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed. | | | |
| b | 85% of line 2a. | | | |
| c | Qualifying distributions from Part XII, line 4 for each year listed. | | | |
| d | Amounts included in line 2c not used directly for active conduct of exempt activities. | | | |
| e | Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c. | | | |
| 3 | Complete 3a, b, or c for the alternative test relied upon | | | |
| a | "Assets" alternative test—enter | | | |
| (1) | Value of all assets. | | | |
| (2) | Value of assets qualifying under section 4942(j)(3)(B)(i) | | | |
| b | "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. | | | |
| c | "Support" alternative test—enter | | | |
| (1) | Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties). | | | |
| (2) | Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). | | | |
| (3) | Largest amount of support from an exempt organization | | | |
| (4) | Gross investment income | | | |

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
See Additional Data Table

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ► if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

| Enter gross amounts unless otherwise indicated | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income (See instructions) |
|---|---------------------------|---------------|--------------------------------------|---------------|--|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments. | | | | | |
| 3 Interest on savings and temporary cash investments | | | | | 512 |
| 4 Dividends and interest from securities. | 900001 | | | | 23,715 |
| 5 Net rental income or (loss) from real estate | | | | | |
| a Debt-financed property. | | | | | |
| b Not debt-financed property. | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income. | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | | | 467,461 |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal Add columns (b), (d), and (e). | | 0 | | 0 | 491,688 |
| 13 Total. Add line 12, columns (b), (d), and (e). | | | | | 491,688 |
| (See worksheet in line 13 instructions to verify calculations.) | | | | | |

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|--|--------------------------------------|----------------------------------|
| ACS ACTIV DE CONTRUC Y SERV ADR | P | 1920-12-09 | 2017-02-23 |
| ACS ACTIV DE CONTRUC Y SERV ADR | P | 1920-12-09 | 2017-05-30 |
| ACS ACTIV DE CONTRUC Y SERV ADR | P | 2016-12-09 | 2017-08-03 |
| ADECCO GROUP AG | P | 2016-08-15 | 2017-11-15 |
| ADECCO GROUP AG | P | 2017-09-15 | 2017-11-15 |
| ADECCO GROUP AG | P | 2017-09-15 | 2017-11-16 |
| AEGON NV ADR | P | 2016-08-01 | 2017-09-29 |
| AEGON NV ADR | P | 2016-08-01 | 2017-10-02 |
| AEGON NV ADR | P | 2016-10-25 | 2017-10-02 |
| AEGON NV ADR | P | 2017-09-15 | 2017-10-02 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 5 | | 5 | 0 |
| 435 | | 330 | 105 |
| 5 | | 4 | 1 |
| 2,258 | | 1,757 | 501 |
| 1,999 | | 2,061 | -62 |
| 1,862 | | 1,909 | -47 |
| 855 | | 589 | 266 |
| 1,438 | | 1,002 | 436 |
| 331 | | 251 | 80 |
| 2,990 | | 2,980 | 10 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) FMV as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 0 |
| | | | 105 |
| | | | 1 |
| | | | 501 |
| | | | -62 |
| | | | -47 |
| | | | 266 |
| | | | 436 |
| | | | 80 |
| | | | 10 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|--|--------------------------------------|----------------------------------|
| AEGON NV ADR | P | 2017-09-15 | 2017-10-03 |
| AIR LIQUIDE ADR FRANCE | P | 2017-09-14 | 2017-10-23 |
| AIRBUS SE UNSPONSORED | P | 2016-10-18 | 2017-05-05 |
| AIRBUS SE UNSPONSORED | P | 2016-10-18 | 2017-06-20 |
| AIRBUS SE UNSPONSORED | P | 2016-10-18 | 2017-11-02 |
| AIRBUS SE UNSPONSORED | P | 2016-11-04 | 2017-11-02 |
| ARCELORMITTAL LUXEMBOURG | P | 2017-05-05 | 2017-05-22 |
| ASTELLAS PHARMA, INC | P | 2016-08-01 | 2017-05-10 |
| ASTELLAS PHARMA, INC | P | 2016-12-22 | 2017-05-10 |
| AXA ADR | P | 2016-10-10 | 2017-01-24 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis (h) plus expense of sale | (h) (e) plus (f) minus (g) |
|-----------------------|--|---|----------------------------|
| 667 | | 671 | -4 |
| 15 | | 14 | 1 |
| 964 | | 692 | 272 |
| 1,148 | | 795 | 353 |
| 853 | | 500 | 353 |
| 251 | | 143 | 108 |
| 8 | | 8 | 0 |
| 1,415 | | 1,970 | -555 |
| 372 | | 414 | -42 |
| 146 | | 137 | 9 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) FMV as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | -4 |
| | | | 1 |
| | | | 272 |
| | | | 353 |
| | | | 353 |
| | | | 108 |
| | | | 0 |
| | | | -555 |
| | | | -42 |
| | | | 9 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|--|--------------------------------------|----------------------------------|
| AXA ADR | P | 2016-10-10 | 2017-02-15 |
| BANCO BILBAO VIZCAYA ARGENTARIA | P | 2016-08-01 | 2017-08-09 |
| BANCO BILBAO VIZCAYA ARGENTARIA | P | 2016-08-01 | 2017-08-10 |
| CHINA MOBILE, LTD | P | 2016-08-01 | 2017-05-15 |
| CHINA MOBILE, LTD | P | 2016-08-01 | 2017-10-04 |
| CHINA MOBILE, LTD | P | 2016-10-05 | 2017-10-04 |
| CHINA MOBILE, LTD | P | 2017-02-09 | 2017-10-04 |
| CHINA MOBILE, LTD | P | 2017-09-15 | 2017-10-04 |
| DBS GROUP HOLDINGS | P | 2016-06-02 | 2017-08-08 |
| DEUTSCHE POST AG | P | 2015-09-11 | 2017-01-24 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 1,000 | | 933 | 67 |
| 590 | | 367 | 223 |
| 286 | | 181 | 105 |
| 762 | | 878 | -116 |
| 910 | | 1,129 | -219 |
| 809 | | 1,008 | -199 |
| 253 | | 284 | -31 |
| 4,147 | | 4,272 | -125 |
| 368 | | 272 | 96 |
| 470 | | 382 | 88 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) FMV as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 67 |
| | | | 223 |
| | | | 105 |
| | | | -116 |
| | | | -219 |
| | | | -199 |
| | | | -31 |
| | | | -125 |
| | | | 96 |
| | | | 88 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|--|--------------------------------------|----------------------------------|
| DEUTSCHE POST AG | P | 2015-09-11 | 2017-02-27 |
| DEUTSCHE POST AG | P | 2015-09-11 | 2017-02-28 |
| E ON SE SPON | P | 2016-02-12 | 2017-06-28 |
| FRESENUIS MEDICAL CARE AG | P | 2016-01-27 | 2017-10-25 |
| FRESENUIS MEDICAL CARE AG | P | 2016-01-29 | 2017-10-25 |
| FRESENUIS MEDICAL CARE AG | P | 2016-01-29 | 2017-10-26 |
| FRESENUIS MEDICAL CARE AG | P | 2017-09-15 | 2017-10-26 |
| GEMALTO NV | P | 2016-08-01 | 2017-05-05 |
| GEMALTO NV | P | 2016-08-01 | 2017-05-23 |
| GRUPO TELEVISA SA | P | 2017-09-14 | 2017-11-13 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 850 | | 682 | 168 |
| 1,231 | | 982 | 249 |
| 445 | | 435 | 10 |
| 1,121 | | 1,006 | 115 |
| 536 | | 482 | 54 |
| 531 | | 482 | 49 |
| 4,101 | | 4,141 | -40 |
| 209 | | 225 | -16 |
| 1,637 | | 1,736 | -99 |
| 1,118 | | 1,400 | -282 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) FMV as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 168 |
| | | | 249 |
| | | | 10 |
| | | | 115 |
| | | | 54 |
| | | | 49 |
| | | | -40 |
| | | | -16 |
| | | | -99 |
| | | | -282 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|--|--------------------------------------|----------------------------------|
| HARMAN INTL INDUS, INC | P | 2001-01-01 | 2017-02-22 |
| HARMAN INTL INDUS, INC | P | 2001-01-01 | 2017-02-22 |
| HSBC HOLDINGS, PLC | P | 2016-03-21 | 2017-05-04 |
| HSBC HOLDINGS, PLC | P | 2016-08-01 | 2017-05-04 |
| INTESA SANPAOLO SPON | P | 2016-03-15 | 2017-03-03 |
| INTESA SANPAOLO SPON | P | 2016-06-01 | 2017-03-03 |
| INTESA SANPAOLO SPON | P | 2016-06-01 | 2017-08-07 |
| INTESA SANPAOLO SPON | P | 2016-06-02 | 2017-08-07 |
| INTESA SANPAOLO SPON | P | 2016-08-01 | 2017-08-07 |
| KOMATSU LTD | P | 2016-12-16 | 2017-11-13 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis (h) plus expense of sale | (h) (e) plus (f) minus (g) |
|-----------------------|--|---|----------------------------|
| 399,661 | | 142,315 | 257,346 |
| 348,926 | | 142,165 | 206,761 |
| 384 | | 292 | 92 |
| 598 | | 453 | 145 |
| 321 | | 390 | -69 |
| 919 | | 964 | -45 |
| 329 | | 245 | 84 |
| 144 | | 106 | 38 |
| 165 | | 104 | 61 |
| 952 | | 652 | 300 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) FMV as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 257,346 |
| | | | 206,761 |
| | | | 92 |
| | | | 145 |
| | | | -69 |
| | | | -45 |
| | | | 84 |
| | | | 38 |
| | | | 61 |
| | | | 300 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|--|--------------------------------------|----------------------------------|
| KONINKLUKE AHOLD | P | 2017-05-04 | 2017-06-21 |
| KONINKLUKE AHOLD | P | 2017-05-08 | 2017-06-21 |
| KONINKLUKE AHOLD | P | 2017-05-17 | 2017-06-21 |
| KONINKLUKE PHILIPS | P | 2015-09-11 | 2017-01-30 |
| KONINKLUKE PHILIPS | P | 2016-06-15 | 2017-01-30 |
| LINDE AG EXCHANGE | P | 2017-09-14 | 2017-09-19 |
| MAZDA MTR CORP | P | 2016-04-26 | 2017-10-19 |
| MAZDA MTR CORP | P | 2016-04-28 | 2017-10-19 |
| MAZDA MTR CORP | P | 2017-09-15 | 2017-10-19 |
| MURATA MFG CO | P | 2016-04-26 | 2017-12-08 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis (h) plus expense of sale | (h) (e) plus (f) minus (g) |
|-----------------------|--|---|----------------------------|
| 674 | | 776 | -102 |
| 237 | | 278 | -41 |
| 856 | | 1,057 | -201 |
| 1,918 | | 1,707 | 211 |
| 58 | | 49 | 9 |
| 2,755 | | 2,753 | 2 |
| 1,584 | | 1,832 | -248 |
| 190 | | 218 | -28 |
| 4,357 | | 4,529 | -172 |
| 454 | | 487 | -33 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) FMV as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | -102 |
| | | | -41 |
| | | | -201 |
| | | | 211 |
| | | | 9 |
| | | | 2 |
| | | | -248 |
| | | | -28 |
| | | | -172 |
| | | | -33 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|--|--------------------------------------|----------------------------------|
| MURATA MFG CO | P | 2016-04-28 | 2017-12-08 |
| MURATA MFG CO | P | 2016-08-01 | 2017-12-08 |
| MURATA MFG CO | P | 2017-09-15 | 2017-12-08 |
| RIDGEWORTH SEIX ULTRA SHORT BOND FUND | P | 2016-11-28 | 2017-01-13 |
| ROCHE HLDG LTD | P | 2016-08-01 | 2017-10-25 |
| ROYAL DUTCH SHELL | P | 2015-11-09 | 2017-01-23 |
| ROYAL DUTCH SHELL | P | 2015-11-09 | 2017-10-18 |
| ROYAL DUTCH SHELL | P | 2017-09-15 | 2017-10-18 |
| SEIKO EPSON CORP | P | 2015-09-11 | 2017-09-25 |
| SEIKO EPSON CORP | P | 2015-09-11 | 2017-09-26 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis (h) plus expense of sale | (h) (e) plus (f) minus (g) |
|-----------------------|--|---|----------------------------|
| 519 | | 547 | -28 |
| 1,103 | | 1,020 | 83 |
| 3,340 | | 3,898 | -558 |
| 50,000 | | 50,000 | 0 |
| 2,331 | | 2,551 | -220 |
| 164 | | 156 | 8 |
| 3,389 | | 2,908 | 481 |
| 61 | | 58 | 3 |
| 1,858 | | 1,170 | 688 |
| 569 | | 361 | 208 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) FMV as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | -28 |
| | | | 83 |
| | | | -558 |
| | | | 0 |
| | | | -220 |
| | | | 8 |
| | | | 481 |
| | | | 3 |
| | | | 688 |
| | | | 208 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|--|--------------------------------------|----------------------------------|
| SOCIETE GENERALE FRANCE | P | 2016-08-01 | 2017-02-15 |
| SUNTORY BEVERAGE & FOOD | P | 2015-09-11 | 2017-02-03 |
| SUNTORY BEVERAGE & FOOD | P | 2015-09-11 | 2017-02-06 |
| SUNTORY BEVERAGE & FOOD | P | 2015-09-11 | 2017-02-07 |
| SUNTORY BEVERAGE & FOOD | P | 2015-09-11 | 2017-02-08 |
| SUNTORY BEVERAGE & FOOD | P | 2015-09-11 | 2017-02-09 |
| SUNTORY BEVERAGE & FOOD | P | 2015-09-11 | 2017-02-10 |
| SUNTORY BEVERAGE & FOOD | P | 2015-09-11 | 2017-02-14 |
| SUNTORY BEVERAGE & FOOD | P | 2015-09-11 | 2017-02-15 |
| SUNTORY BEVERAGE & FOOD | P | 2015-09-11 | 2017-02-16 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 2,062 | | 1,482 | 580 |
| 168 | | 149 | 19 |
| 189 | | 168 | 21 |
| 188 | | 168 | 20 |
| 147 | | 131 | 16 |
| 126 | | 112 | 14 |
| 146 | | 131 | 15 |
| 141 | | 131 | 10 |
| 178 | | 168 | 10 |
| 202 | | 187 | 15 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) FMV as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 580 |
| | | | 19 |
| | | | 21 |
| | | | 20 |
| | | | 16 |
| | | | 14 |
| | | | 15 |
| | | | 10 |
| | | | 10 |
| | | | 15 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|--|--------------------------------------|----------------------------------|
| SUNTORY BEVERAGE & FOOD | P | 2015-09-11 | 2017-02-17 |
| SUNTORY BEVERAGE & FOOD | P | 2015-09-11 | 2017-02-22 |
| TOTAL S A FRANCE | P | 2015-11-10 | 2017-02-15 |
| VANGUARD INTER TERM CORPORATED BOND | P | 2015-02-02 | 2017-01-06 |
| VANGUARD INTER TERM CORPORATED BOND | P | 2015-02-02 | 2017-10-10 |
| VANGUARD INTER TERM CORPORATED BOND | P | 2016-04-26 | 2017-10-10 |
| VIVENDI SA | P | 2016-04-28 | 2017-05-19 |
| VIVENDI SA | P | 2016-08-01 | 2017-05-19 |
| WPP, PLC | P | 2017-09-14 | 2017-10-03 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 182 | | 168 | 14 |
| 143 | | 131 | 12 |
| 1,064 | | 1,044 | 20 |
| 29,981 | | 30,851 | -870 |
| 15,393 | | 15,470 | -77 |
| 90,160 | | 89,285 | 875 |
| 378 | | 353 | 25 |
| 1,743 | | 1,624 | 119 |
| 1,265 | | 1,299 | -34 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | |
|---|--------------------------------------|---|---|
| (i) FMV as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | Gains (Col (h) gain minus col (k), but not less than -0-) or (I) Losses (from col (h)) |
| | | | 14 |
| | | | 12 |
| | | | 20 |
| | | | -870 |
| | | | -77 |
| | | | 875 |
| | | | 25 |
| | | | 119 |
| | | | -34 |

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).

BARBARA L HARMAN

WILLIAM E CAIN

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|----------------------------------|---------|
| Name and address (home or business) | | | | |
| <i>a Paid during the year</i> | | | | |
| ARLINGTON FOOD ASSISTANCE NETWORK 2708 SOUTH NELSON STREET ARLINGTON, VA 22206 | NONE | 501(C)(3) ORGANIZATI | CHARITABLE | 1,000 |
| RAW ART WORKS 37 CENTRAL SQUARE LYNN, MA 01901 | NONE | 501(C)(3) ORGANIZATI | CHARITABLE | 5,000 |
| SKIDMORE COLLEGE 815 N BROADWAY SARATOGA SPRINGS, NY 12866 | NONE | 501(C)(3) ORGANIZATI | CHARITABLE | 12,000 |
| Total | | | | 175,050 |
| 3a | | | | |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|----------------------------------|---------|
| Name and address (home or business) | | | | |
| a Paid during the year | | | | |
| ACTION US NETWORK 85 BROAD STREET NEW YORK, NY 10004 | NONE | 501(C)(3) ORGANIZATI | CHARITABLE | 2,000 |
| AFTER SCHOOL ALL STARS DC 1331 H STREET NW SUITE 1100 WASHINGTON, DC 20005 | NONE | 501(C)(3) ORGANIZATI | CHARITABLE | 2,500 |
| AN OPEN BOOK FOUNDATION 3215 MORRISON STREET NW WASHINGTON, DC 20015 | NONE | 501(C)(3) ORGANIZATI | CHARITABLE | 2,500 |
| Total | | | | 175,050 |
| 3a | | | | |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|----------------------------------|---------|
| Name and address (home or business) | | | | |
| a Paid during the year | | | | |
| APPLETREE INSTITUTE FOR EDUCATIONAL INNOVATION 415 MICHIGAN AVENUE NE WASHINGTON, DC 20017 | NONE | 501(C)(3) ORGANIZATI | CHARITABLE | 2,500 |
| BISHOP JOHN T WALKER SCHOOL 3640 MARTIN LUTHER KING JR AVENUE SE WASHINGTON, DC 20032 | NONE | 501(C)(3) ORGANIZATI | CHARITABLE | 5,000 |
| BORROMEO HOUSING 3304 WASHINGTON BOULEVARD ARLINGTON, VA 22201 | NONE | 501(C)(3) ORGANIZATI | CHARITABLE | 1,500 |
| Total | | | | 175,050 |
| 3a | | | | |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|----------------------------------|---------|
| Name and address (home or business) | | | | |
| a Paid during the year | | | | |
| BOSTON PHILHARMONIC 236 HUNTINGTON AVENUE SUITE 209 BOSTON, MA 02115 | NONE | 501(C)(3) ORGANIZATI | CHARITABLE | 2,500 |
| CENTRAL AMERICAN RESOURCE CENTER 1460 COLUMBIA ROAD NW SUITE C-1 WASHINGTON, DC 20009 | NONE | 501(C)(3) ORGANIZATI | CHARITABLE | 1,500 |
| CASA PRINCE GEORGE'S 6811 KENILWORTH AVENUE SUITE 402 RIVERDALE, MD 20737 | NONE | 501(C)(3) ORGANIZATI | CHARITABLE | 4,000 |
| Total | | | | 175,050 |
| 3a | | | | |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|----------------------------------|---------|
| Name and address (home or business) | | | | |
| a Paid during the year | | | | |
| CASA CHIRILAQUA 4109 MOUNT VERNON AVENUE ALEXANDRIA, VA 22305 | NONE | 501(C)(3) ORGANIZATI | CHARITABLE | 2,500 |
| COLLEGIATE DIRECTIONS 4833 RUGBY AVENUE SUITE 001 BETHESDA, MD 20814 | NONE | 501(C)(3) ORGANIZATI | CHARITABLE | 2,000 |
| DANA FARMER JIMMY FUND 10 BROOKLINE PLACE BROOKLINE, MA 024457226 | NONE | 501(C)(3) ORGANIZATI | CHARITABLE | 2,000 |
| Total | | | | 175,050 |
| 3a | | | | |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|----------------------------------|---------|
| Name and address (home or business) | | | | |
| a Paid during the year | | | | |
| DC CAMPAIGN TO PREVENT TEEN PREGNANCY 1101 15TH STREET NW SUITE 1212-B WASHINGTON, DC 20005 | NONE | 501(C)(3) ORGANIZATI | CHARITABLE | 2,500 |
| DC STUDENTS CONSTRUCTION TRADES FOUNDATION 5151 WISCONSIN AVENUE NW SUITE 307 WASHINGTON, DC 20016 | NONE | 501(C)(3) ORGANIZATI | CHARITABLE | 2,500 |
| DOWNTOWN CLUSTERS GERIATRIC 926 11TH STREET NW WASHINGTON, DC 20001 | NONE | 501(C)(3) ORGANIZATI | CHARITABLE | 2,000 |
| Total | ► | | | 175,050 |
| 3a | | | | |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|----------------------------------|---------|
| Name and address (home or business) | | | | |
| a Paid during the year | | | | |
| FAMILY & YOUTH INITIATIVE 515 M STREET SE SUITE 217 WASHINGTON, DC 20003 | NONE | 501(C)(3) ORGANIZATI | CHARITABLE | 4,500 |
| FIRST GENERATION COLLEGE BOUND 8101 SANDY SPRING ROAD LAUREL, MD 20707 | NONE | 501(C)(3) ORGANIZATI | CHARITABLE | 1,500 |
| FORUM THEATRE 8641 COLESVILLE ROAD SILVER SPRING, MD 20910 | NONE | 501(C)(3) ORGANIZATI | CHARITABLE | 2,500 |
| Total | | | | 175,050 |
| 3a | | | | |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|----------------------------------|---------|
| Name and address (home or business) | | | | |
| a Paid during the year | | | | |
| FREE MINDS BOOK CLUB AND WRITING WORKSHOP 2905 P STREET NW WASHINGTON, DC 20037 | NONE | 501(C)(3) ORGANIZATI | CHARITABLE | 2,500 |
| GERIATRIC DOWNTOWN CLUSTERS 926 11TH STREET NW WASHINGTON, DC 20001 | NONE | 501(C)(3) ORGANIZATI | CHARITABLE | 1,000 |
| GREATER DC DIAPER BANK 1532 A STREET NE WASHINGTON, DC 20002 | NONE | 501(C)(3) ORGANIZATI | CHARITABLE | 2,500 |
| Total | | | ► | 175,050 |
| 3a | | | | |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|----------------------------------|---------|
| Name and address (home or business) | | | | |
| a Paid during the year | | | | |
| GREATER HOUSTON COMM FOUNDATION 5120 WOODWAY DR 6000 HOUSTON, TX 77056 | NONE | 501(C)(3) ORGANIZATI | CHARITABLE | 1,000 |
| GREATER WASHINGTON COMMUNITY FOUNDATION 1325 G STREET NW SUITE 480 WASHINGTON, DC 20005 | NONE | 501(C)(3) ORGANIZATI | CHARITABLE | 6,550 |
| GUGGENHEIM MUSEUM DEVELOPMENT 1071 FIFTH AVENUE NEW YORK, NY 10128 | NONE | 501(C)(3) ORGANIZATI | CHARITABLE | 3,000 |
| Total | | | | 175,050 |
| 3a | | | | |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|----------------------------------|---------|
| Name and address (home or business) | | | | |
| <i>a Paid during the year</i> | | | | |
| HOMELESS CHILDRENS PLAYTIME 1525 NEWTON STREET NW SUITE C-1 WASHINGTON, DC 20010 | NONE | 501(C)(3) ORGANIZATI | CHARITABLE | 4,000 |
| HOPE FOR HENRY 2440 WISCONSIN AVENUE NW 2ND FLOOR WASHINGTON, DC 20007 | NONE | 501(C)(3) ORGANIZATI | CHARITABLE | 2,500 |
| HOPE HOUSEPO BOX 60682 WASHINGTON, DC 20039 | NONE | 501(C)(3) ORGANIZATI | CHARITABLE | 3,000 |
| Total | | | | 175,050 |
| 3a | | | | |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|----------------------------------|---------|
| Name and address (home or business) | | | | |
| a Paid during the year | | | | |
| JOSEPH'S HOUSE 1730 LANIER PLACE NW WASHINGTON, DC 20009 | NONE | 501(C)(3) ORGANIZATI | CHARITABLE | 2,500 |
| JUST NEIGHBORS 7630 LITTLE RIVER TURNPIKE SUITE 900 ANNANDALE, VA 22003 | NONE | 501(C)(3) ORGANIZATI | CHARITABLE | 2,500 |
| KIDS R FIRSTPO BOX 3242 RESTON, VA 21095 | NONE | 501(C)(3) ORGANIZATI | CHARITABLE | 2,500 |
| Total | | | | 175,050 |
| 3a | | | | |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|----------------------------------|---------|
| Name and address (home or business) | | | | |
| a Paid during the year | | | | |
| LEGAL COUNSEL FOR THE ELDERLY 601 E STREET NW WASHINGTON, DC 20049 | NONE | 501(C)(3) ORGANIZATI | CHARITABLE | 2,000 |
| LIBERTY'S PROMISE 2900A JEFFERSON DAVIS HWY ALEXANDRIA, VA 22305 | NONE | 501(C)(3) ORGANIZATI | CHARITABLE | 4,000 |
| LIFE PIECES TO MASTERPIECES 5600 EADS STREET NE WASHINGTON, DC 20019 | NONE | 501(C)(3) ORGANIZATI | CHARITABLE | 1,500 |
| Total | | | | 175,050 |
| 3a | | | | |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|----------------------------------|---------|
| Name and address (home or business) | | | | |
| a Paid during the year | | | | |
| MAIN STREET CHILD DEV CTR 4401 SIDEBURN ROAD FAIRFAX, VA 22030 | NONE | 501(C)(3) ORGANIZATI | CHARITABLE | 1,500 |
| MICHAEL J FOX FOUNDATION CHURCH STREET STATION PO BOX 780 NEW YORK, NY 100080780 | NONE | 501(C)(3) ORGANIZATI | CHARITABLE | 2,000 |
| NATIONAL GALLERY OF ART 2000B SOUTH CLUB DRIVE LANDOVER, MD 20785 | NONE | 501(C)(3) ORGANIZATI | CHARITABLE | 19,000 |
| Total | | | | 175,050 |
| 3a | | | | |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|----------------------------------|---------|
| Name and address (home or business) | | | | |
| a Paid during the year | | | | |
| NOTRE DAME FOUNDATION 230 PERFORMING ARTS CENTER NOTRE DAME, IN 46556 | NONE | 501(C)(3) ORGANIZATI | CHARITABLE | 1,000 |
| OPEN BOOK FOUNDATION 3215 MORRISON STREET NW WASHINGTON, DC 20015 | NONE | 501(C)(3) ORGANIZATI | CHARITABLE | 1,500 |
| OPEN CITY ADVOCATES 4202 BENNING ROAD NE SUITE 2 WASHINGTON, DC 20019 | NONE | 501(C)(3) ORGANIZATI | CHARITABLE | 2,500 |
| Total | | | | 175,050 |
| 3a | | | | |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|----------------------------------|---------|
| Name and address (home or business) | | | | |
| a Paid during the year | | | | |
| POTOMAC CONSERVANCY 8403 COLESVILLE ROAD 805 SILVER SPRING, MD 20910 | NONE | 501(C)(3) ORGANIZATI | CHARITABLE | 3,500 |
| REACH INCORPORATED 300 M STREET SE SUITE 803 WASHINGTON, DC 20003 | NONE | 501(C)(3) ORGANIZATI | CHARITABLE | 2,500 |
| READING PARTNERS 600 NEW HAMPSHIRE AVENUE NW WASHINGTON, DC 20037 | NONE | 501(C)(3) ORGANIZATI | CHARITABLE | 4,500 |
| Total | | | | 175,050 |
| 3a | | | | |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|----------------------------------|---------|
| Name and address (home or business) | | | | |
| a Paid during the year | | | | |
| SAN MIGUEL SCHOOL 7705 GEORGIA AVENUE NW WASHINGTON, DC 20012 | NONE | 501(C)(3) ORGANIZATI | CHARITABLE | 4,000 |
| SAVE THE CHILDREN 501 KINGS HIGHWAY EAST SUITE 400 FAIRFIELD, CT 06825 | NONE | 501(C)(3) ORGANIZATI | CHARITABLE | 1,000 |
| SITAR ARTS CENTER 1700 KALORAMA ROAD NW SUITE 101 WASHINGTON, DC 20009 | NONE | 501(C)(3) ORGANIZATI | CHARITABLE | 6,000 |
| Total | | | | 175,050 |
| 3a | | | | |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|--|--------------------------------------|-------------------------------------|---------|
| Name and address (home or business) | | | | |
| <i>a Paid during the year</i> | | | | |
| ST JOHN'S PREP72 SPRING STREET DANVERS, MA 01923 | NONE | 501(C)(3) ORGANIZATI | CHARITABLE | 2,000 |
| THE FAMILY PLACE3309 16TH STREET WASHINGTON, DC 20010 | NONE | 501(C)(3) ORGANIZATI | CHARITABLE | 2,500 |
| TOUCHING HEARTPO BOX 710282 HERNDON, VA 20171 | NONE | 501(C)(3) ORGANIZATI | CHARITABLE | 2,500 |
| Total | | | ► | 175,050 |
| 3a | | | | |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|----------------------------------|---------|
| Name and address (home or business) | | | | |
| a Paid during the year | | | | |
| TURNING THE PAGE 1625 K STREET NW SUITE 102 WASHINGTON, DC 20006 | NONE | 501(C)(3) ORGANIZATI | CHARITABLE | 2,000 |
| TEXAS DIAPER BANK 1803 GRANDSTAND DRIVE SUITE 150 SAN ANTONIO, TX 78238 | NONE | 501(C)(3) ORGANIZATI | CHARITABLE | 1,000 |
| US AGAINST ALZHEIMERS 1101 K STREET NW SUITE 400 WASHINGTON, DC 20005 | NONE | 501(C)(3) ORGANIZATI | CHARITABLE | 2,000 |
| Total | | | | 175,050 |
| 3a | | | | |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|----------------------------------|---------|
| Name and address (home or business) | | | | |
| a Paid during the year | | | | |
| VETERAN'S CONSORTIUM 2101 L STREET NW SUITE 420 WASHINGTON, DC 20037 | NONE | 501(C)(3) ORGANIZATI | CHARITABLE | 4,000 |
| WELLESLEY COLLEGE 106 CENTRAL STREET WELLESLEY, MA 02481 | NONE | 501(C)(3) ORGANIZATI | CHARITABLE | 2,500 |
| YELLOW RIBBON FUND 4905 DEL RAY AVENUE SUITE 500 BETHESDA, MD 20814 | NONE | 501(C)(3) ORGANIZATI | CHARITABLE | 2,500 |
| Total | | | | 175,050 |
| 3a | | | | |

TY 2017 Accounting Fees Schedule

Name: THE HARMAN CAIN FAMILY FOUNDATION

EIN: 04-3340178

Accounting Fees Schedule

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|--------------------------------|--------|-----------------------|---------------------|---------------------------------------|
| BERGER, KATZ, WEISHAUS & LENZA | 1,500 | 0 | 0 | 0 |

TY 2017 Investments - Other Schedule**Name:** THE HARMAN CAIN FAMILY FOUNDATION**EIN:** 04-3340178**Investments Other Schedule 2**

| Category/ Item | Listed at Cost or FMV | Book Value | End of Year Fair Market Value |
|------------------------|------------------------------|-------------------|--------------------------------------|
| FIXED INCOME | AT COST | 910,987 | 909,070 |
| ALTERNATIVE STRATEGIES | AT COST | 300,000 | 324,000 |
| EQUITIES | AT COST | 783,951 | 940,627 |

TY 2017 Other Expenses Schedule

Name: THE HARMAN CAIN FAMILY FOUNDATION
EIN: 04-3340178

Other Expenses Schedule

| Description | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|----------------------|--------------------------------|-----------------------|---------------------|---------------------------------------|
| MA ANNUAL FILING FEE | 70 | 0 | 0 | 0 |

TY 2017 Other Liabilities Schedule

Name: THE HARMAN CAIN FAMILY FOUNDATION**EIN:** 04-3340178

| Description | Beginning of Year - Book Value | End of Year - Book Value |
|--------------------|---|-------------------------------------|
| DUE TO OFFICER | 16,693 | 0 |

TY 2017 Other Professional Fees Schedule

Name: THE HARMAN CAIN FAMILY FOUNDATION

EIN: 04-3340178

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|-----------------|---------------|------------------------------|----------------------------|--|
| UBS FINANCIAL | 14,419 | 0 | | 0 |

TY 2017 Taxes Schedule

Name: THE HARMAN CAIN FAMILY FOUNDATION

EIN: 04-3340178

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|------------------------|---------------|------------------------------|----------------------------|--|
| FOREIGN TAXES WITHHELD | 668 | 0 | | 0 |
| FEDERAL INCOME TAXES | 540 | 0 | | 0 |

Schedule B
(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

OMB No 1545-0047

► Attach to Form 990, 990-EZ, or 990-PF
 ► Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at
www.irs.gov/form990

2017
Name of the organization
 THE HARMAN CAIN FAMILY FOUNDATION

Employer identification number
 04-3340178
Organization type (check one)**Filers of:**

Form 990 or 990-EZ

 501(c)() (enter number) organization 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation 527 political organization

Form 990-PF

 501(c)(3) exempt private foundation 4947(a)(1) nonexempt charitable trust treated as a private foundation 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions**General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹ 3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ► \$ _____

Caution. An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

| Name of organization THE HARMAN CAIN FAMILY FOUNDATION | | Employer identification number 04-3340178 | |
|---|---|---|--|
| Part I Contributors (See instructions) Use duplicate copies of Part I if additional space is needed | | | |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| 1 | WILLIAM CAIN BARBARA HARMAN 397 SOUTH STREET | \$ 468,803 | Person <input checked="" type="checkbox"/> |
| | NEEDHAM, MA02492 | | Payroll <input type="checkbox"/> |
| | | | Noncash <input type="checkbox"/> |
| (Complete Part II for noncash contributions) | | | |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| | | \$ | Person <input type="checkbox"/> |
| | | | Payroll <input type="checkbox"/> |
| | | | Noncash <input type="checkbox"/> |
| (Complete Part II for noncash contributions) | | | |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| | | \$ | Person <input type="checkbox"/> |
| | | | Payroll <input type="checkbox"/> |
| | | | Noncash <input type="checkbox"/> |
| (Complete Part II for noncash contributions) | | | |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| | | \$ | Person <input type="checkbox"/> |
| | | | Payroll <input type="checkbox"/> |
| | | | Noncash <input type="checkbox"/> |
| (Complete Part II for noncash contributions) | | | |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| | | \$ | Person <input type="checkbox"/> |
| | | | Payroll <input type="checkbox"/> |
| | | | Noncash <input type="checkbox"/> |
| (Complete Part II for noncash contributions) | | | |

Name of organization
THE HARMAN CAIN FAMILY FOUNDATION

Employer identification number

04-3340178

Part II **Noncash Property** (See instructions) Use duplicate copies of Part II if additional space is needed

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
|------------------------|--|--|----------------------|
| | | | |
| | | | |
| | | | |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
| | | | |
| | | | |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
| | | | |
| | | | |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
| | | | |
| | | | |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
| | | | |
| | | | |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
| | | | |
| | | | |

Name of organization
THE HARMAN CAIN FAMILY FOUNDATION

Employer identification number

04-3340178

Part III *Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ _____*
Use duplicate copies of Part III if additional space is needed

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|------------------------|---|-----------------|--|
| | | | |
| | (e) Transfer of gift Transferee's name, address, and ZIP 4 | | Relationship of transferor to transferee |
| | | | |
| | (e) Transfer of gift Transferee's name, address, and ZIP 4 | | Relationship of transferor to transferee |
| | | | |
| | (e) Transfer of gift Transferee's name, address, and ZIP 4 | | Relationship of transferor to transferee |
| | | | |
| | (e) Transfer of gift Transferee's name, address, and ZIP 4 | | Relationship of transferor to transferee |