

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.
Go to www.irs.gov/Form990PF for instructions and the latest information

Open to Public Inspection

For calendar year 2017 or tax year beginning , 2017, and ending

THE DALKYS FOUNDATION
1 SMITHDALE COURT
HOUSTON, TX 77024

Check all that apply:

☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16)
\$ 966,340.

J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) _____
(Part I, column (d) must be on cash basis.)

A Employer identification number
03-0373301

B Telephone number (see instructions)
(713) 468-2859

C If exemption application is pending, check here ☐

D 1 Foreign organizations, check here ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule) . . .	196,669.			
2	Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments . . .	47.	47.	47.	
4	Dividends and interest from securities . . .	15,741.	15,741.	15,741.	
5a	Gross rents . . .				
b	Net rental income or (loss) . . .				
6a	Net gain or (loss) from sale of assets not on line 10 . . .	90,689.			
b	Gross sales price for all assets on line 6a 306,659.				
7	Capital gain net income (from Part IV, line 2) . . .		90,689.		
8	Net short-term capital gain . . .			1,919.	
9	Income modifications . . .				
10a	Gross sales less returns and allowances . . .				
b	Less: Cost of goods sold . . .				
c	Gross profit or (loss) (attach schedule) . . .				
11	Other income (attach schedule) . . .				
12	SEE STATEMENT 1 Total. Add lines 1 through 11 . . .	765. 303,911.			
13	Compensation of officers, directors, trustees, etc. . .	0.			
14	Other employee salaries and wages . . .				
15	Pension plans, employee benefits . . .				
16a	Legal fees (attach schedule) . . .				
b	Accounting fees (attach sch) . . .	879.	879.		
c	Other professional fees (attach sch) . . .				
17	Interest . . .				
18	Taxes (attach schedule) (see instrs.) . . .	132.	132.		
19	Depreciation (attach schedule) and depletion . . .				
20	Occupancy . . .				
21	Travel, conferences, and meetings . . .				
22	Printing and publications . . .				
23	Other expenses (attach schedule) . . .				
24	SEE STATEMENT 4 Total operating and administrative expenses. Add lines 13 through 23 . . .	7,410. 8,421.	7,410. 8,421.		
25	Contributions, gifts, grants paid . . .	198,921.			198,921.
26	Total expenses and disbursements. Add lines 24 and 25 . . .	207,342.	8,421.	0.	198,921.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements . . .	96,569.			
b	Net investment income (if negative, enter -0-) . . .		98,056.		
c	Adjusted net income (if negative, enter -0-) . . .			17,707.	

SCANNED SEP 07 2018

REVENUE

ADMINISTRATIVE AND EXPENSES

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2

Part I Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
ASSETS	1	Cash — non-interest-bearing	5,050.	13,934.	13,934.	
	2	Savings and temporary cash investments	30,451.	56,314.	56,314.	
	3	Accounts receivable				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach sch) ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments — U.S. and state government obligations (attach schedule)				
	b	Investments — corporate stock (attach schedule)	478,652.	540,138.	896,092.	
	c	Investments — corporate bonds (attach schedule)				
	11	Investments — land, buildings, and equipment: basis				
	Less: accumulated depreciation (attach schedule)					
12	Investments — mortgage loans					
13	Investments — other (attach schedule)					
14	Land, buildings, and equipment: basis ▶					
	Less: accumulated depreciation (attach schedule)					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I)	514,153.	610,386.	966,340.		
LIABILITIES	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, & other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶ SEE STATEMENT 6)	-518.	-854.		
23	Total liabilities (add lines 17 through 22)	-518.	-854.			
NET ASSETS OR FUND BALANCES	Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26, and lines 30 and 31.					
	24	Unrestricted	514,671.	611,240.		
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg., and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
	30	Total net assets or fund balances (see instructions)	514,671.	611,240.		
	31	Total liabilities and net assets/fund balances (see instructions)	514,153.	610,386.		

Part II Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	514,671.
2	Enter amount from Part I, line 27a	2	96,569.
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	611,240.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30.	6	611,240.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a	CHARLES SCHWAB	P	VARIOUS	VARIOUS
b	CHARLES SCHWAB	P	VARIOUS	VARIOUS
c	CHARLES SCHWAB	P	VARIOUS	VARIOUS
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a 51,868.		49,973.	1,895.
b 254,767.		165,997.	88,770.
c 24.			24.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			1,895.
b			88,770.
c			24.
d			
e			

2 Capital gain net income or (net capital loss)...	2	90,689.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8	3	1,919.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2016	79,025.	833,724.	0.094786
2015	102,485.	862,592.	0.118811
2014	103,488.	951,292.	0.108787
2013	114,662.	893,814.	0.128284
2012	107,287.	748,079.	0.143417
2 Total of line 1, column (d)...			0.594085
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5 or, by the number of years the foundation has been in existence if less than 5 years.			0.118817
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5.			789,081.
5 Multiply line 4 by line 3.			93,756.
6 Enter 1% of net investment income (1% of Part I, line 27b).			981.
7 Add lines 5 and 6.			94,737.
8 Enter qualifying distributions from Part XII, line 4.			198,921.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

3

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 — see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here. <input type="checkbox"/> and enter 'N/A' on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary — see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here. <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b.		1	981.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-).		2	0.
3 Add lines 1 and 2.		3	981.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-).		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-.		5	981.
6 Credits/Payments:			
a 2017 estimated tax pmts and 2016 overpayment credited to 2017.	6 a	854.	
b Exempt foreign organizations — tax withheld at source.	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c		
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments. Add lines 6a through 6d.		7	854.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed.		9	127.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	
11 Enter the amount of line 10 to be: Credited to 2018 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>		11	

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition.		X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ 0. (2) On foundation managers <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If 'Yes,' attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes.		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If 'Yes,' attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, col. (c), and Part XIV.	X	
8 a Enter the states to which the foundation reports or with which it is registered See instructions. N/A		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation.	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the tax year beginning in 2017? See the instructions for Part XIV. If 'Yes,' complete Part XIV.		X
10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses.		X

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule. See instructions	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement. See instructions	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address	13	X
14 The books are in care of ▶ <u>LISA MILLER</u> Telephone no. ▶ <u>(713) 468-2859</u> Located at ▶ <u>1 SMITHDALE COURT HOUSTON TX</u> ZIP + 4 ▶ <u>77024</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 — check here N/A ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A		
16 At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	X
See the instructions for exceptions and filing requirements for FinCEN Form 114. If 'Yes,' enter the name of the foreign country ▶		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is 'Yes' to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance, check here.	1 b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If 'Yes,' list the years ▶ 20 __ , 20 __ , 20 __ , 20 __		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement — see instructions.)	2 b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20 __ , 20 __ , 20 __ , 20 __		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If 'Yes,' did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017.)	3 b	N/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	4 b	X

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Form 990-PF (2017)

Part VII Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year, did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.Organizations relying on a current notice regarding disaster assistance, check here ☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If 'Yes,' attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If 'Yes' to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DAVID R. MILLER 1 SMITHDALE COURT HOUSTON, TX 77024	PRESIDENT 0	0.	0.	0.
LISA E. MILLER 1 SMITHDALE COURT HOUSTON, TX 77024	VICE PRESIDE 0	0.	0.	0.
GEORGE E. JOCHETZ 12323 RIP VAN WINKLE HOUSTON, TX 77024	VICE PRESIDE 0	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:	
a	Average monthly fair market value of securities	1 a 769,042.
b	Average of monthly cash balances.	1 b 32,055.
c	Fair market value of all other assets (see instructions)	1 c
d	Total (add lines 1a, b, and c)	1 d 801,097.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e 0.
2	Acquisition indebtedness applicable to line 1 assets	2 0.
3	Subtract line 2 from line 1d	3 801,097.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4 12,016.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5 789,081.
6	Minimum investment return. Enter 5% of line 5	6 39,454.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1 39,454.
2a	Tax on investment income for 2017 from Part VI, line 5	2 a 981.
b	Income tax for 2017. (This does not include the tax from Part VI.)	2 b
c	Add lines 2a and 2b	2 c 981.
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3 38,473.
4	Recoveries of amounts treated as qualifying distributions.	4
5	Add lines 3 and 4	5 38,473.
6	Deduction from distributable amount (see instructions).	6
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7 38,473.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:	
a	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a 198,921.
b	Program-related investments — total from Part IX-B	1 b
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2
3	Amounts set aside for specific charitable projects that satisfy the:	
a	Suitability test (prior IRS approval required)	3 a
b	Cash distribution test (attach the required schedule)	3 b
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4 198,921.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5 981.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6 197,940.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7.				38,473.
2 Undistributed income, if any, as of the end of 2017:				
a Enter amount for 2016 only			0.	
b Total for prior years: 20 __, 20 __, 20 __		0.		
3 Excess distributions carryover, if any, to 2017:				
a From 2012	70,471.			
b From 2013	70,700.			
c From 2014	57,642.			
d From 2015	60,674.			
e From 2016	38,141.			
f Total of lines 3a through e.	297,628.			
4 Qualifying distributions for 2017 from Part XII, line 4: ► \$ 198,921.				
a Applied to 2016, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2017 distributable amount				38,473.
e Remaining amount distributed out of corpus.	160,448.			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	458,076.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount — see instructions		0.		
e Undistributed income for 2016. Subtract line 4a from line 2a. Taxable amount — see instructions			0.	
f Undistributed income for 2017. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2018				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required — see instructions)	0.			
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions)	70,471.			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	387,605.			
10 Analysis of line 9:				
a Excess from 2013	70,700.			
b Excess from 2014	57,642.			
c Excess from 2015	60,674.			
d Excess from 2016	38,141.			
e Excess from 2017	160,448.			

Part XVI A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
1	Program service revenue:					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies.					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments			14	47.	
4	Dividends and interest from securities			14	15,741.	
5	Net rental income or (loss) from real estate:					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income			41	765.	
8	Gain or (loss) from sales of assets other than inventory					90,689.
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue:					
a						
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)				16,553.	90,689.
13	Total. Add line 12, columns (b), (d), and (e)				13	107,242.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

► Attach to Form 990, Form 990-EZ, or Form 990-PF.
► Go to www.irs.gov/Form990 for the latest information.

OMB No 1545-0047

2017

Name of the organization

THE DALKYS FOUNDATION

Employer identification number

03-0373301

Organization type (check one):

Filers of:

Form 990 or 990-EZ

Section:

- ☐ 501(c)() (enter number) organization
☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year . . . ► \$ _____

Caution. An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer 'No' on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

BAA For Paperwork Reduction Act Notice, see the instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2017)

Employer identification number

03-0373301

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	DAVID MILLER 1 SMITHDALE COURT HOUSTON, TX 77024	\$ 196,669.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions.)
(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization

Employer identification number

THE DALKYS FOUNDATION

03-0373301

Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed.

[illegible]

BAA

Name of organization

THE DALKYS FOUNDATION

Employer identification number

03-0373301

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) \$ N/A

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	N/A		
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

2017

FEDERAL STATEMENTS

PAGE 1

CLIENT 31667

THE DALKYS FOUNDATION

03-0373301

6/05/18

10:02AM

STATEMENT 1
FORM 990-PF, PART I, LINE 11
OTHER INCOME

	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
OTHER INVESTMENT INCOME	\$ 765.		
TOTAL	\$ 765.	\$ 0.	\$ 0.

STATEMENT 2
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	\$ 879.	\$ 879.		
TOTAL	\$ 879.	\$ 879.	\$ 0.	\$ 0.

STATEMENT 3
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX.....	\$ 132.	\$ 132.		
TOTAL	\$ 132.	\$ 132.	\$ 0.	\$ 0.

STATEMENT 4
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT ADVISOR FEE.....	\$ 7,153.	\$ 7,153.		
MISCELLANEOUS.....	257.	257.		
TOTAL	\$ 7,410.	\$ 7,410.	\$ 0.	\$ 0.

2017

FEDERAL STATEMENTS

PAGE 2

CLIENT 31667

THE DALKYS FOUNDATION

03-0373301

6/05/18

10:02AM

STATEMENT 5
FORM 990-PF, PART I, LINE 25
CONTRIBUTIONS, GIFTS, AND GRANTS

CASH GRANTS AND ALLOCATIONS

CLASS OF ACTIVITY:	RELIGIOUS	
DONEE'S NAME:	AMAZING PLACE	
DONEE'S ADDRESS:	3735 DRIXEL DRIVE HOUSTON TX 77024	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	CHARITABLE	
AMOUNT GIVEN:		\$ 1,500.
CLASS OF ACTIVITY:	PUBLICLY SUPPORTED	
DONEE'S NAME:	CHAPPELWOOD UNITED METHODIST CHURCH	
DONEE'S ADDRESS:	11140 GREENBAY DRIVE HOUSTON TX 77024	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	RELIGIOUS	
AMOUNT GIVEN:		132,450.
CLASS OF ACTIVITY:	PUBLICLY SUPPORTED	
DONEE'S NAME:	GREATER HOUSTON COMMUNITY FOUNDATION	
DONEE'S ADDRESS:	5120 WOODWAY DR, STE 6000 HOUSTON TX 77056	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	CHARITABLE	
AMOUNT GIVEN:		250.
CLASS OF ACTIVITY:	PUBLICLY SUPPORTED	
DONEE'S NAME:	MEMORIAL ASSISTANCE MINISTRIES	
DONEE'S ADDRESS:	1625 BLALOCK ROAD HOUSTON TX 77080	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	CHARITABLE	
AMOUNT GIVEN:		37,000.
CLASS OF ACTIVITY:	PUBLICLY SUPPORTED	
DONEE'S NAME:	NATIONAL MULTIPLE SCLEROSIS SOCIETY	
DONEE'S ADDRESS:	8111 N STADIUM DR STE 100 HOUSTON TX 77054	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	CHARITABLE	
AMOUNT GIVEN:		550.
CLASS OF ACTIVITY:	PUBLICLY SUPPORTED	
DONEE'S NAME:	TEXAS BAR ASSOCIATION	
DONEE'S ADDRESS:	1414 COLORADO AUSTIN TX 78701	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	EDUCATIONAL	
AMOUNT GIVEN:		400.
CLASS OF ACTIVITY:	PUBLICLY SUPPORTED	
DONEE'S NAME:	TEXAS WATCH FOUNDATION	
DONEE'S ADDRESS:	815 BRAZOS STREET STE 603 AUSTIN TX 78701	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	CHARITABLE	
AMOUNT GIVEN:		500.

2017

FEDERAL STATEMENTS

PAGE 3

CLIENT 31667

THE DALKYS FOUNDATION

03-0373301

6/05/18

10:02AM

STATEMENT 5 (CONTINUED)
FORM 990-PF, PART I, LINE 25
CONTRIBUTIONS, GIFTS, AND GRANTS

CLASS OF ACTIVITY:	PUBLICLY SUPPORTED	
DONEE'S NAME:	THE RISE SCHOOL OF HOUSTON	
DONEE'S ADDRESS:	5618 H MARK CROSSWELL, JR STREET HOUSTON TX 77021	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	CHARITABLE	
AMOUNT GIVEN:		\$ 1,000.
CLASS OF ACTIVITY:	PUBLICLY SUPPORTED	
DONEE'S NAME:	WESLEY COMMUNITY CENTER	
DONEE'S ADDRESS:	1410 LEE STREET HOUSTON TX 77009	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	RELIGIOUS	
AMOUNT GIVEN:		1,500.
DONEE'S NAME:	AMERICAN CANCER SOCIETY	
DONEE'S ADDRESS:	2500 FONDREN STE 100 HOUSTON TX 77063	
AMOUNT GIVEN:		171.
DONEE'S NAME:	CIBOLO CONSERVEANCY	
DONEE'S ADDRESS:	25 SPRING CYPRESS RD BOERNE TX 78006	
AMOUNT GIVEN:		250.
DONEE'S NAME:	HOUSTON FOOD BANK	
DONEE'S ADDRESS:	535 PORTWALL STREET HOUSTON TX 77029	
AMOUNT GIVEN:		3,000.
DONEE'S NAME:	JUNIOR LEAGUE OF HOUSTON	
DONEE'S ADDRESS:	PO BOX 54027 HOUSTON TX 77254	
AMOUNT GIVEN:		100.
DONEE'S NAME:	SIGHT INTO SOUND	
DONEE'S ADDRESS:	3935 ESSEX LANE HOUSTON TX 77027	
AMOUNT GIVEN:		500.
DONEE'S NAME:	YOUTH DEVELOPMENT CENTER	
DONEE'S ADDRESS:	7725 SANDRA STREET HOUSTON TX 77016	
AMOUNT GIVEN:		250.
CLASS OF ACTIVITY:	PUBLICLY SUPPORTED	
DONEE'S NAME:	AMERICAN CIVIL LIBERTIES UNION FOUNDATIO	
DONEE'S ADDRESS:	125 BROAD ST NEW YORK NY 10004	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	CHARITABLE	
AMOUNT GIVEN:		750.
CLASS OF ACTIVITY:	PUBLICLY SUPPORTED	
DONEE'S NAME:	ARCHWAY ACADEMY	
DONEE'S ADDRESS:	6221 MAIN STREET	

2017

FEDERAL STATEMENTS

PAGE 4

CLIENT 31667

THE DALKYS FOUNDATION

03-0373301

6/05/18

10:02AM

STATEMENT 5 (CONTINUED)
FORM 990-PF, PART I, LINE 25
CONTRIBUTIONS, GIFTS, AND GRANTS

RELATIONSHIP OF DONEE:	HOUSTON TX 77030	
ORGANIZATIONAL STATUS OF DONEE:	NONE	
AMOUNT GIVEN:	CHARITABLE	\$ 250.
CLASS OF ACTIVITY:	PUBLICLY SUPPORTED	
DONEE'S NAME:	CITY WIDE CLUB	
DONEE'S ADDRESS:	PO BOX 740889	
	HOUSTON TX 77274	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	CHARITABLE	
AMOUNT GIVEN:		100.
CLASS OF ACTIVITY:	PUBLICLY SUPPORTED	
DONEE'S NAME:	CONVENANT HOUSE	
DONEE'S ADDRESS:	461 WIGHTH AVENUE	
	NEW YORK NY 10001	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	CHARITABLE	
AMOUNT GIVEN:		250.
CLASS OF ACTIVITY:	PUBLICLY SUPPORTED	
DONEE'S NAME:	DELTA GAMA FOUNDATION	
DONEE'S ADDRESS:	3250 RIVERSIDE DR	
	COLUMBUS OH 43221	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	CHARITABLE	
AMOUNT GIVEN:		200.
CLASS OF ACTIVITY:	PUBLICLY SUPPORTED	
DONEE'S NAME:	NATIONAL CHRISTIAN FOUNDATION	
DONEE'S ADDRESS:	4545 POST OAK PLACE, STE 201	
	HOUSTON TX 77027	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	CHARITABLE	
AMOUNT GIVEN:		1,000.
CLASS OF ACTIVITY:	PUBLICLY SUPPORTED	
DONEE'S NAME:	PLANNED PARENTHOOD	
DONEE'S ADDRESS:	123 WILLIAM STREET, 10TH FLOOR	
	NEW YORK NY 10038	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	CHARITABLE	
AMOUNT GIVEN:		100.
CLASS OF ACTIVITY:	PUBLICLY SUPPORTED	
DONEE'S NAME:	SIGMA CHI FOUNDATION	
DONEE'S ADDRESS:	1714 HINMAN AVE	
	EVANSTON IL 60201	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	CHARITABLE	
AMOUNT GIVEN:		15,000.
CLASS OF ACTIVITY:	PUBLICLY SUPPORTED	
DONEE'S NAME:	SPRING SPIRIT BASEBALL	
DONEE'S ADDRESS:	PO BOX 550549	
	HOUSTON TX 77255	

2017

FEDERAL STATEMENTS

PAGE 5

CLIENT 31667

THE DALKYS FOUNDATION

03-0373301

6/05/18

10:02AM

STATEMENT 5 (CONTINUED)
FORM 990-PF, PART I, LINE 25
CONTRIBUTIONS, GIFTS, AND GRANTS

RELATIONSHIP OF DONEE: NONE
ORGANIZATIONAL STATUS OF DONEE: CHARITABLE
AMOUNT GIVEN:

\$ 1,850.

TOTAL \$ 198,921.

STATEMENT 6
FORM 990-PF, PART II, LINE 22
OTHER LIABILITIES

TOTAL \$ -854.

STATEMENT 7
FORM 990-PF, PART XV, LINE 1A
FOUNDATION MANAGERS - 2% OR MORE CONTRIBUTORS

DAVID R. MILLER
LISA E. MILLER



2744-5526

DALY'S FOUNDATION
1 SMITHDALE COURT
HOUSTON, TX

Corp

Total Known Realized Gain/(Loss) %/yr
 \$9,925,844.22%

Total Known Proceeds
 \$309,932.13

Total Known Short-Term Gain/(Loss)
 \$1,985.01

Total Known Cost Basis
 \$318,909.46

Total Known Long-Term Gain/(Loss)
 \$89,130.63

Cost Basis - Realized Gain/(Loss) - Filtered by Previous Year

Symbol	Name	Quantity	Acquired	Cost Basis	Long-Term	Total
AT	A.T.T. INC	08/28/2017	08/13/2007	\$11,837.97	\$4,030.59	\$15,868.56
APPL	APPLE INC	10/26/2017	03/14/2013	\$7,802.21	\$4,585.11	\$12,387.32
APPL	APPLE INC	11/28/2017	None Loss	\$17,282.86	\$11,838.49	\$29,121.35
		11/28/2017	11/28/2011	\$12,989.49	\$8,933.67	\$21,923.16
		11/28/2017	08/14/2013	\$4,323.16	\$2,704.61	\$7,027.77
BHP	BHP BILLITON LTD SPONSORED ADR ADR REP'S 2 ORD SHS	08/07/2017	08/22/2016	\$7,073.10	\$1,365.12	\$8,438.22
BAM	BROOKFIELD ASSET MGM FCLASS A	08/28/2017	08/19/2014	\$3,830.03	\$747.75	\$4,577.78
BAM	BROOKFIELD ASSET MGM FCLASS A	08/28/2017	08/19/2014	\$3,830.43	\$748.19	\$4,578.62
BAM	BROOKFIELD ASSET MGM FCLASS A	08/18/2017	None Loss	\$4,030.39	\$1,162.47	\$5,192.86
		08/18/2017	05/14/2013	\$2,016.19	\$1,278.78	\$3,294.97
		08/18/2017	08/18/2014	\$2,016.20	\$424.07	\$2,440.27
BAM	BROOKFIELD ASSET MGM FCLASS A	08/28/2017	08/19/2014	\$1,985.11	\$373.97	\$2,359.08
BO	BUNGE LIMITED F	11/28/2017	12/14/2016	\$9,845.61	(\$873.84)	\$8,971.77
CX	CHEVRON CORPORATION	11/28/2017	03/24/2009	\$11,839.79	\$4,701.41	\$16,541.20

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Symbol	Name	Sold	Acquired	Quantity	Total Proceeds	Over/Under	Short Term	Long Term	Total	Total %
		Closed	Opened	Sold						(Times)
QTAS	QTAS CORP	03/28/2017	03/22/2018	100,000	\$12,624.77	\$9,491.78	\$3,132.99		\$3,132.99	33.01%
CYS	CYS HEALTH CORP	03/28/2017	03/24/2019	100,000	\$11,789.79	\$4,297.32			\$7,502.47	174.65%
ETN	EATON CORP PLC F	11/28/2017	09/22/2018	50,000	\$3,897.86	\$2,636.46	3040.40		\$6,538.26	30.38%
ESRX	EXPRESS SCRIPTS HLDG	11/28/2017	08/22/2018	100,000	\$7,616.02	\$9,940.80	6080.80		\$1,774.22	30.38%
XOM	EXXON MOBIL CORP	08/07/2017	08/15/2012	300,000	\$18,038.98	\$18,272.93			(\$233.95)	41.28%
FISV	FISERV INC	08/29/2017	06/08/2002	100,000	\$7,639.87	\$3,438.99			\$4,198.88	122.17%
GILD	GILEAD SCIENCES INC	03/29/2017	03/24/2009	76,000	\$8,857.36	\$1,393.33			\$7,304.03	539.71%
INTC	INTEL CORP	11/28/2017	02/14/2012	180,000	\$10,124.33	\$4,098.71			\$6,025.62	147.15%
INTC	INTEL CORP	10/25/2017	08/02/2011	250,000	\$11,118.39	\$5,481.48			\$5,636.91	102.84%
JNJ	JOHNSON & JOHNSON	08/28/2017	05/18/2001	250,000	\$10,180.92	\$8,835.00			\$1,345.92	48.88%
JPM	JPMORGAN CHASE & CO	08/28/2017	03/08/2002	100,000	\$13,235.17	\$5,488.14			\$7,737.03	140.72%
KMI	KINDER MORGAN INC	08/28/2017	Hide Lots	760,000	\$14,240.45	\$21,778.96			(\$7,538.51)	(34.51%)
MCD	MC DONALDS CORP	08/28/2017	02/18/2011	275,000	\$5,221.90	\$8,608.48			(\$3,386.58)	(34.63%)
MSFT	MICROSOFT CORP	08/28/2017	12/04/2007	60,000	\$7,989.74	\$3,019.29			\$4,970.45	163.88%
PIL	POLARIS INDUSTRIES	11/28/2017	Hide Lots	180,000	\$12,682.81	\$5,268.11			\$7,414.70	141.03%
SCG	SCANA CORPORATION	11/28/2017	02/14/2012	50,000	\$4,230.87	\$1,905.84			\$2,325.03	180.86%
SWKS	SKYWORKS SOLUTIONS	10/25/2017	02/12/2014	100,000	\$8,481.84	\$3,760.17			\$4,721.67	128.04%
SWKS	SKYWORKS SOLUTIONS	03/29/2017	02/12/2014	100,000	\$7,840.40	\$3,760.18			\$4,080.22	108.51%
SWKS	SKYWORKS SOLUTIONS	11/03/2017	Hide Lots	180,000	\$8,362.87	\$12,688.95			(\$4,326.08)	(33.89%)
SWKS	SKYWORKS SOLUTIONS	11/03/2017	03/06/2002	50,000	\$6,307.87	\$5,308.78			\$1,000.89	19.88%
SWKS	SKYWORKS SOLUTIONS	11/03/2017	08/02/2011	100,000	\$2,102.59	\$1,338.60			\$763.99	57.05%
SWKS	SKYWORKS SOLUTIONS	08/29/2017	02/17/2015	50,000	\$4,205.11	\$3,867.88			\$337.23	5.88%
SWKS	SKYWORKS SOLUTIONS	10/28/2017	Hide Lots	180,000	\$6,084.83	\$4,111.89			\$1,972.94	23.65%
SWKS	SKYWORKS SOLUTIONS	10/28/2017	02/17/2015	50,000	\$15,589.82	\$11,941.79			\$3,648.03	30.95%
SWKS	SKYWORKS SOLUTIONS	10/28/2017	12/28/2015	60,000	\$5,188.61	\$4,111.89			\$1,076.72	28.38%
SWKS	SKYWORKS SOLUTIONS	10/28/2017	12/28/2015	60,000	\$5,188.61	\$4,034.46			\$1,154.15	28.81%

COO'S Office Services Co., Inc. ("COO'S") is a private company. All information is provided for informational purposes only. This information may not be accurate or made available in part or in whole, to any party that is not an authorized investor.

Symbol	Name	Quantity	Acquired/	Cost Basis	Total Proceeds	Short Term	Long Term	Total
	10/28/2017	03/24/2016	50,000	\$3,786.43	\$5,185.80	\$1,401.15	\$1,401.15	\$2,802.30
	08/28/2017	None	4,000	\$47.16	\$55.20	\$18.05	\$18.05	\$36.15
	08/14/2013	2,847	\$28.50	\$43.15	\$14.35	\$14.35	\$14.35	\$28.70
	08/28/2017	08/18/2014	1,392	\$18.35	\$22.05	\$3.70	\$3.70	\$7.40
	08/28/2017	08/18/2014	0.4177	\$5.56	\$6.86	\$1.28	\$1.28	\$2.56
	08/22/2017	08/18/2014	100,000	\$3,888.04	\$10,262.81	\$8,274.77	\$8,274.77	\$16,549.54
	08/28/2017	01/03/2012	100,000	\$18,433.26	\$16,394.86	\$1,729.26	\$1,729.26	\$3,458.52
	08/07/2017	None	800,000	\$8,467.17	\$9,776.31	\$1,309.14	\$1,309.14	\$2,618.25
	08/07/2017	08/14/2013	278,000	\$8,927.89	\$8,859.95	\$1,728.29	\$1,728.29	\$3,456.58
	05/07/2017	06/22/2018	225,000					
TOTAL				306,351.3	2,159,449			

Disclosures:
 The Realized Gain/Loss page provides summary information of closed transactions. Not all closed transactions appear on this page.
 We calculate cost basis using data that you or your client have provided to us or that we have obtained from market data supplied by third party sources. We may also display cost basis data that we have not calculated but that has been supplied by you or your client, a prior custodian or another third party source. Because the accuracy of cost basis data depends upon these third party inputs, we are not able to guarantee the availability, accuracy or completeness of such cost basis data.
 Only covered transactions will be reported to the IRS. Schwab does not provide tax or legal advice. Please have your clients consult with a tax advisor regarding their specific tax situation.

Short Term 51867.85 49972.84 1895.01
 Long Term 254767.28 16596.65

Footnotes apply where indicated on the data view.
 Missing: Indicates cost basis has not been provided for this security.
 Not Tracked: Indicates original cost basis is not available.

1. Data for this holding has been edited or provided by the Advisor.
2. Data for this holding has been edited or provided by the end client.
3. Data for this holding has been edited or provided by a third party.
4. Cost Basis was reported as unknown on the received cost basis report.
5. Cost Basis was reported due to a wash sale.
6. Cost Basis for fixed income securities is now adjusted to reflect amortized/accreted values. Click the acquired/opened date to view original cost in the Details page. Note: Some securities, including variable rate/floating rate instruments and mortgage backed securities, may only reflect original cost even when the amortization/premium preference is on.
7. Total excludes missing cost basis information or values not tracked by Schwab.

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