

15481009

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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OMB No 1545-0052

2017

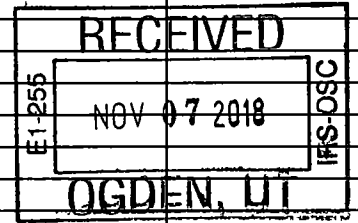
Open to Public Inspection

For calendar year 2017 or tax year beginning

, and ending

Name of foundation John T. Gorman Foundation		A Employer identification number 01-0498551
Number and street (or P O box number if mail is not delivered to street address) One Canal Plaza	Room/suite 800	B Telephone number (207) 518-6784
City or town, state or province, country, and ZIP or foreign postal code Portland, ME 04101		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1 Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 201,374,440.	J Accounting method: ☐ Cash ☒ Accrual ☐ Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	70.		N/A	
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	531,266.	531,266.		Statement 1
	4 Dividends and interest from securities	1,113,371.	1,113,371.		Statement 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	4,977,607.			
	b Gross sales price for all assets on line 6a	74,145,768.			
	7 Capital gain net income (from Part IV, line 2)		4,977,607.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	0.	2,184,311.		
	12 Total. Add lines 1 through 11	6,622,314.	8,806,555.		
	13 Compensation of officers, directors, trustees, etc	353,991.	35,174.		318,817.
	14 Other employee salaries and wages	750,276.	76,153.		674,123.
	15 Pension plans, employee benefits	130,556.	8,741.		121,815.
	16a Legal fees Stmt 3	25,914.	24,035.		1,879.
	b Accounting fees Stmt 4	28,625.	1,463.		27,162.
	c Other professional fees Stmt 5	1,370,531.	778,550.		591,981.
	17 Interest				
	18 Taxes Stmt 6	396,852.	12,556.		61,309.
	19 Depreciation and depletion	52,929.	0.		
	20 Occupancy	102,989.	6,638.		96,351.
	21 Travel, conferences, and meetings	26,219.	3,888.		22,331.
	22 Printing and publications				
	23 Other expenses Stmt 7	80,212.	1,126.		311,141.
	24 Total operating and administrative expenses Add lines 13 through 23	3,319,094.	948,324.		2,226,909.
	25 Contributions, gifts, grants paid	6,730,890.			6,655,890.
	26 Total expenses and disbursements Add lines 24 and 25	10,049,984.	948,324.		8,882,799.
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	<3,427,670.>			
	b Net investment income (if negative, enter -0-)		7,858,231.		
	c Adjusted net income (if negative, enter -0-)			N/A	



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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	756,193.	191,977.	191,977.
	2 Savings and temporary cash investments	1,197,830.	7,063,852.	7,063,852.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	153,549.	359,667.	359,667.
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock Stmt 9	46,891,008.	44,915,956.	44,915,956.
	c Investments - corporate bonds			
	Liabilities	11 Investments - land, buildings, and equipment basis ▶		
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other Stmt 10		110,111,943.	147,719,505.	147,719,505.
14 Land, buildings, and equipment basis ▶ 520,311.				
Less: accumulated depreciation Stmt 11 ▶		265,640.	216,063.	216,063.
15 Other assets (describe ▶ Statement 12)		21,585,085.	907,420.	907,420.
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		180,961,248.	201,374,440.	201,374,440.
17 Accounts payable and accrued expenses		359,680.	305,625.	
18 Grants payable				
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ Statement 13)	54,543.	285,648.	
23 Total liabilities (add lines 17 through 22)	414,223.	591,273.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26, and lines 30 and 31. ☒			
	24 Unrestricted	180,547,025.	200,783,167.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31 ☐			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
30 Total net assets or fund balances	180,547,025.	200,783,167.		
31 Total liabilities and net assets/fund balances	180,961,248.	201,374,440.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	180,547,025.
2 Enter amount from Part I, line 27a	2	<3,427,670.>
3 Other increases not included in line 2 (itemize) ▶ Unrealized Gains on Investments	3	23,663,812.
4 Add lines 1, 2, and 3	4	200,783,167.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	200,783,167.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a Publicly Traded Securities			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a 74,145,768.		69,168,161.	4,977,607.
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			4,977,607.
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	4,977,607.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	{ If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2016	8,825,330.	176,148,226.	.050102
2015	8,921,571.	190,380,868.	.046862
2014	8,434,219.	198,441,424.	.042502
2013	7,904,874.	187,108,828.	.042247
2012	7,262,106.	172,607,003.	.042073

2 Total of line 1, column (d)	2	.223786
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	.044757
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	4	189,285,893.
5 Multiply line 4 by line 3	5	8,471,869.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	78,582.
7 Add lines 5 and 6	7	8,550,451.
8 Enter qualifying distributions from Part XII, line 4	8	8,882,799.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1.			
* Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	78,582.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		2	0.
3 Add lines 1 and 2		3	78,582.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		4	0.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		5	78,582.
6 Credits/Payments.			
a 2017 estimated tax payments and 2016 overpayment credited to 2017	6a	106,700.	
b Exempt foreign organizations - tax withheld at source	6b	0.	
c Tax paid with application for extension of time to file (Form 8868)	6c	100,000.	
d Backup withholding erroneously withheld	6d	0.	
7 Total credits and payments. Add lines 6a through 6d		7	206,700.
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached		8	0.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	128,118.
11 Enter the amount of line 10 to be: Credited to 2018 estimated tax ☒ 128,118. Refunded ☐		11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ► \$ 0. (2) On foundation managers. ► \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. ► ME		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the tax year beginning in 2017? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11* At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>www.jtgfoundation.org</u>	13	X
14 The books are in care of ► <u>Michael Bevilacqua</u> Telephone no. ► <u>(207) 518-6784</u> Located at ► <u>One Canal Plaza, No. 800, Portland, ME</u> ZIP+4 ► <u>04101</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A
16 At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	16	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception: Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b	X
Organizations relying on a current notice regarding disaster assistance, check here ► ☐		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017?	☐ Yes ☒ No	
If "Yes," list the years ► _____, _____, _____, _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____, _____	2b	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year, did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

☒ Yes ☐ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions
Organizations relying on a current notice regarding disaster assistance, check here☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **See Statement 15**☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

☐ Yes ☒ No**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 14		331,317.	22,674.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Nicole Witherbee - One Canal Plaza, Suite 800, Portland, ME 04101	Chief Program Officer	182,569.	13,828.	0.
Michael Bevilacqua - One Canal Plaza, Suite 800, Portland, ME 04101	CFO	180,483.	13,689.	0.
Sara Gagne Holmes - One Canal Plaza, Suite 800, Portland, ME 04101	Senior Program Associate	109,476.	13,090.	0.
Jennifer Beck - One Canal Plaza, Suite 800, Portland, ME 04101	Program Associate	85,014.	11,437.	0.
Lauralee Raymond - One Canal Plaza, Suite 800, Portland, ME 04101	Program Associate	63,736.	20,979.	0.
Total number of other employees paid over \$50,000				0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Cambridge Associates 125 High Street, Boston, MA 02110	Investment Advisory Services	468,297.
Organizational Consulting & Leadership Group 815 Gist Avenue, Silver Springs, MD 20910	Fellowship planning & Consulting	125,592.
Northern Trust Company 50 South LaSalle Street, Chicago, IL 60603	Investment Custody Services	69,657.
Christopher Shea 1720 Monkton Farms Drive, Monkton, MD 21111	Community Dev. Consulting	68,359.
Development Without Limits, LLC - 244 Fifth Avenue, Suite 1544, New York, NY 10001	Grantee Technical Assistance	59,965.
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
3 All other program-related investments. See instructions.	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	191,694,334.
b	Average of monthly cash balances	1b	474,085.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	192,168,419.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	192,168,419.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	2,882,526.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	189,285,893.
6	Minimum investment return. Enter 5% of line 5	6	9,464,295.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	9,464,295.
2a	Tax on investment income for 2017 from Part VI, line 5	2a	78,582.
b	Income tax for 2017. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	78,582.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	9,385,713.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	9,385,713.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	9,385,713.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	8,882,799.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	8,882,799.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	78,582.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	8,804,217.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2017)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				9,385,713.
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only			7,820,053.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2017:				
a From 2012				
b From 2013				
c From 2014				
d From 2015				
e From 2016				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2017 from Part XII, line 4: ▶ \$ 8,882,799.				
a Applied to 2016, but not more than line 2a			7,820,053.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2017 distributable amount				1,062,746.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2016. Subtract line 4a from line 2a. Taxable amount - see instr			0.	
f Undistributed income for 2017. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2018				8,322,967.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2012 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2018 Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2013				
b Excess from 2014				
c Excess from 2015				
d Excess from 2016				
e Excess from 2017				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII,
line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities.

- 3 Subtract line 2d from line 2c.
Complete 3a, b, or c for the
alternative test relied upon.

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(i)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed

Anthony Cipollone, 207-518-6784

One Canal Plaza, Suite 800, Portland, ME 04101

b The form in which applications should be submitted and information and materials they should include.

www.jtqfoundation.org

c Any submission deadlines:

See 2(b) above

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

See 2(b) above

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
See Statement 25	N/A	See Attached	Various	6,730,890.
Total			▶ 3a	6,730,890.
b Approved for future payment				
None				
Total			▶ 3b	0.

Form 990-PF (2017)

Part XVI-A **Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1	Program service revenue:					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments			14	531,266.	
4	Dividends and interest from securities			14	1,113,371.	
5	Net rental income or (loss) from real estate:					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			18	4,977,607.	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue:					
a	Net Adjustment for					
b	Amounts Reported on					
c	Schedules K-1		<18,419.>	14	2,184,311.	
d	(Recorded on Books)			14	<2,165,892.>	
e						
12	Subtotal. Add columns (b), (d), and (e)		<18,419.>		6,640,663.	0.
13	Total. Add line 12, columns (b), (d), and (e)					6,622,244.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII. Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|--|--|-----|----|
| 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c)(3) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| (1) Cash | | X | |
| (2) Other assets | | | X |
| b Other transactions: | | | |
| (1) Sales of assets to a noncharitable exempt organization | | | X |
| (2) Purchases of assets from a noncharitable exempt organization | | | X |
| (3) Rental of facilities, equipment, or other assets | | | X |
| (4) Reimbursement arrangements | | | X |
| (5) Loans or loan guarantees | | | X |
| (6) Performance of services or membership or fundraising solicitations | | | X |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees | | | X |
| d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.


Signature of officer or trustee

10/30/18
Date

President & CEO

May the IRS discuss this return with the preparer shown below? See instr

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name Nicholas E. Porto	Preparer's signature 	Date 10/09/18	Check ☐ if self-employed	PTIN P01310283
Firm's name ▶ Baker Newman & Noyes			Firm's EIN ▶ 01-0494526	
Firm's address ▶ P.O. Box 507 Portland, ME 04112			Phone no. (207)879-2100	

Form 990-PF Interest on Savings and Temporary Cash Investments Statement 1

Source	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
Interest Income	531,266.	531,266.	
Total to Part I, line 3	531,266.	531,266.	

Form 990-PF Dividends and Interest from Securities Statement 2

Source	Gross Amount	Capital Gains Dividends	(a) Revenue Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income
Dividend Income	1,113,371.	0.	1,113,371.	1,113,371.	
To Part I, line 4	1,113,371.	0.	1,113,371.	1,113,371.	

Form 990-PF Legal Fees Statement 3

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Legal Fees	1,879.	0.		1,879.
Investment Legal Fees	24,035.	24,035.		0.
To Form 990-PF, Pg 1, ln 16a	25,914.	24,035.		1,879.

Form 990-PF Accounting Fees Statement 4

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Accounting & Tax Preparation Fees	28,625.	1,463.		27,162.
To Form 990-PF, Pg 1, ln 16b	28,625.	1,463.		27,162.

Form 990-PF	Other Professional Fees			Statement	5
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
Investment Management Fees	778,550.	778,550.		0.	
Consulting/Management Fees	591,981.	0.		591,981.	
To Form 990-PF, Pg 1, ln 16c	1,370,531.	778,550.		591,981.	

Form 990-PF	Taxes			Statement	6
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
Payroll Taxes	67,596.	6,287.		61,309.	
Foreign Taxes	6,269.	6,269.		0.	
Excise Taxes	322,987.	0.		0.	
To Form 990-PF, Pg 1, ln 18	396,852.	12,556.		61,309.	

Form 990-PF	Other Expenses			Statement	7
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
Office Expense	70,053.	1,126.		68,927.	
Insurance	10,159.	0.		10,159.	
Accrual to Cash Adjustment	0.	0.		232,055.	
To Form 990-PF, Pg 1, ln 23	80,212.	1,126.		311,141.	

Footnotes				Statement	8
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Form 990-PF	Corporate Stock	Statement	9
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Description	Book Value	Fair Market Value
Corporate Stock - Statement 23	44,915,956.	44,915,956.
Total to Form 990-PF, Part II, line 10b	44,915,956.	44,915,956.

Form 990-PF	Other Investments	Statement	10
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Description	Valuation Method	Book Value	Fair Market Value
Other Investments - Statement 23	FMV	147,719,505.	147,719,505.
Total to Form 990-PF, Part II, line 13		147,719,505.	147,719,505.

Form 990-PF	Depreciation of Assets Not Held for Investment	Statement	11
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Description	Cost or Other Basis	Accumulated Depreciation	Book Value
Furniture & Fixtures	173,851.	95,114.	78,737.
Computers & Equipment	51,938.	42,594.	9,344.
Leasehold Improvements	294,522.	166,540.	127,982.
Total To Fm 990-PF, Part II, ln 14	520,311.	304,248.	216,063.

Form 990-PF	Other Assets	Statement	12
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Description	Beginning of Yr Book Value	End of Year Book Value	Fair Market Value
Pending Investment Trade Sales/Purchases	21,585,085.	907,420.	907,420.
To Form 990-PF, Part II, line 15	21,585,085.	907,420.	907,420.

Form 990-PF	Other Liabilities	Statement	13
Description	BOY Amount	EOY Amount	
Deferred Tax Liability	54,543.	285,648.	
Total to Form 990-PF, Part II, line 22	54,543.	285,648.	

Form 990-PF	Part VIII - List of Officers, Directors Trustees and Foundation Managers	Statement	14
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Name and Address	Title and Avrg Hrs/Wk	Compen- sation	Employee Ben Plan Expense Contrib Account	
Anne Dinsmore One Canal Plaza, Suite 800 Portland, ME 04101	Director 1.00	0.	0.	0.
Maggie Christie Keohan One Canal Plaza, Suite 800 Portland, ME 04101	Director 2.00	0.	0.	0.
Richard W. Petersen One Canal Plaza, Suite 800 Portland, ME 04101	Director 1.50	0.	0.	0.
Shawn O. Gorman One Canal Plaza, Suite 800 Portland, ME 04101	Chairman & Director 2.00	0.	0.	0.
Anthony Cipollone (ex officio) One Canal Plaza, Suite 800 Portland, ME 04101	President, CEO, & Director 40.00	331,317.	22,674.	0.
Jeffrey J. Gorman One Canal Plaza, Suite 800 Portland, ME 04101	Vice Chairman & Director 1.00	0.	0.	0.
Martha E. Greene One Canal Plaza, Suite 800 Portland, ME 04101	Secretary & Director 2.00	0.	0.	0.
Weston Bonney One Canal Plaza, Suite 800 Portland, ME 04101	Treasurer & Director 2.00	0.	0.	0.
Totals included on 990-PF, Page 6, Part VIII		331,317.	22,674.	0.

Form 990-PF

Expenditure Responsibility Statement
Part VII-B, Line 5c

Statement 15

Grantee's Name

See Statement 24

Grantee's AddressGrant AmountDate of GrantAmount Expended

157,320.

Purpose of Grant

See Statement 23

Dates of Reports by Grantee

See Statement 23

990-PF	Involvement With Noncharitable Organizations	Statement 16
	Part XVII, Line 1, Column (d)	

Name of Noncharitable Exempt Organization

Annie E. Casey Foundation

Description of Transfers, Transactions, and Sharing Arrangements

The John T. Gorman Foundation awards grants to the Annie E. Casey Foundation, an unrelated private non-operating foundation, that provides support and assistance to children and families in need. See statement 24.

STATEMENT 24

JOHN T. GORMAN FOUNDATION

FORM 990-PF: SUPPLEMENTAL STATEMENT XX

Year Ended December 31, 2017

01-0498551

Part VII-B, Line 5c: Statement Required by Regs. Section 53-4945-5(d)

1. Name and address of grantee:

Portland Regional Chamber
443 Congress Street
Portland, Maine 04101

2. Date and amount of the grant:

Grant #: 2016-018-ER
Approval Date: March 22, 2016
Grant Amount: \$82,320
Grant Period: March 18, 2016 – March 17, 2017

3. Purpose of the grant:

The John T. Gorman Foundation grant provided funds to the Portland Regional Chamber for the support of the Portland Workforce Pipeline Initiative. The Portland Workforce Pipeline Initiative's goal is to create a continuum of education, training and services that lead marginalized, unemployed populations directly into employment opportunities in Portland.

4. Amounts expended by the grantee (based on the most recent report):

The John T. Gorman Foundation grant funds to the Portland Regional Chamber were used for a coordinator position to support the further development and implementation of a jobs pipeline in the City of Portland.

5. Statement regarding fund diversion:

To the best of John T. Gorman Foundation's knowledge, the grantee did not divert any portion of the fund from the purpose of the grant.

6. Dates of any reports received from the grantee:

Narrative and financial reports were due on April 28, 2017.

7. Dates and results of verification of grantee's reports:

Narrative and financial reports were received and approved by May 9, 2017.

STATEMENT 24

JOHN T. GORMAN FOUNDATION

FORM 990-PF: SUPPLEMENTAL STATEMENT XX

Year Ended December 31, 2017

01-0498551

Part VII-B, Line 5c: Statement Required by Regs. Section 53-4945-5(d)

1. Name and address of grantee:

Annie E. Casey Foundation
701 St. Paul Street
Baltimore, Maryland 21202-2311

2. Date and amount of the grant:

Grant #: 2017-003-ER
Approval Date: February 21, 2017
Grant Amount: \$75,000
Grant Period: January 1, 2017 – December 31, 2017

3. Purpose of the grant:

The John T. Gorman Foundation grant provided funds to the Annie E. Casey Foundation for the support of the Learn and Earn to Achieve Potential (LEAP) for Youth and Young Adults to be used as a national match grant.

4. Amounts expended by the grantee (based on the most recent report):

The John T. Gorman Foundation grant funds to Annie E. Casey supported site technical assistance for the University of Southern Maine partnership as it implemented LEAP activities in Maine, as well as supported the national evaluation which will included an evaluation case study of Maine's LEAP work.

5. Statement regarding fund diversion:

To the best of John T. Gorman Foundation's knowledge, the grantee did not divert any portion of the fund from the purpose of the grant.

6. Dates of any reports received from the grantee:

Narrative and financial reports were due on February 12, 2018.

7. Dates and results of verification of grantee's reports:

Narrative and financial reports were received and approved by February 14, 2018.

JOHN I. GURMAN FOUNDATION
EIN: 01-0498551

Part XV, Line 3a: Grants and Contributions Paid During the Year
Fiscal Year Ending December 31, 2017

Recipient's Name	Relationship (if individual)	Foundation Status	Purpose of Grant or Contribution	Amount
AARP Foundation 601 E Street NW Washington, DC 20049	N/A	PC	To support AARP Foundation's and AARP Maine's work to engage cities and towns in preparing for aging residents	\$50,000
American Civil Liberties Union of Maine Foundation 121 Middle Street, Suite 301 Portland, ME 04101	N/A	PC	To provide sponsorship support for the 29th Annual Justice Louis Sclinik Award Dinner	\$1,000
Amistad, Inc P O Box 992 Portland, ME 04104	N/A	PC	For general operating support	\$15,000
Area Interfaith Outreach P O Box 113 Rockland, ME 04841	N/A	PC	For general operating support	\$15,000
Aroostook County Action Program P O Box 1116 Presque Isle, ME 04769	N/A	PC	To cover travel expenses for staff to visit one of two Community Action Programs that have transformed their services via a two generation approach	\$5,000
Aspen Institute 2300 N Street NW, Suite 700 Washington, DC 20037	N/A	PC	To support the engagement of southern rural Maine in the Opportunity Youth Incentive Fund grantee network	\$50,000
Avesta Housing / Maine Affordable Housing Coalition 307 Cumberland Avenue Portland, ME 04101	N/A	PC	To sponsor the Maine Affordable Housing Coalition's 2017 Housing Policy Conference	\$1,500
Axiom Education and Training Center 3 Water Street Machias, ME 04654	N/A	PC	To provide support for Family Futures Downeast's Collaborative Project	\$120,000
Bangor Housing Authority 161 Davis Road Bangor, ME 04401	N/A	PC	To support Families Forward, a two generation approach to improving outcomes for children, parents and families	\$250,000
Bangor Housing Authority (Boys & Girls Club of Bangor) 161 Davis Road Bangor, ME 04401	N/A	PC	To support summer programming with the goal of preventing summer learning loss and maintaining literacy achievement for youth	\$50,000
Bates College 2 Andrews Road Lewiston, ME 04240	N/A	PC	To provide scholarships for Maine students	\$10,000
Bath Housing Development Corporation 80 Congress Avenue Bath, ME 04530	N/A	PC	To support increased housing stability for 20 low-income, elderly homeowners in greater Bath	\$20,000
Big Brothers Big Sisters of Southern Maine P O Box 8402 Portland, ME 04104	N/A	PC	To support the "Little Means A Lot" campaign	\$5,000

JOHN I. GURMAN FOUNDATION
EIN: 01-0498551

Boys and Girls Club of Southern Maine P O Box 7830 Portland, ME 04112	N/A	PC	\$50,000 To support the Brain Gain Read! Summer Learning Loss Prevention Program, \$5,000 to support the Great Futures Campaign	\$55,000
Brandeis University 415 South Street, MSC 110 Waltham, MA 02453-2728	N/A	PC	To support the provision of technical assistance and an evaluation of the enhanced Family Self Sufficiency program at the Bangor Housing Authority	\$80,000
Catholic Charities P O Box 10660 Portland, ME 04104	N/A	PC	To support a free community dinner in celebration of World Refugee Day	\$250
Central Maine Medical Center P O Box 4500 Lewiston, ME 04240	N/A	PC	To support the Maine Fund for Cancer Patients at the Dempsey Center	\$15,000
Chebeague Island Council P O Box 12 Chebeague Island, ME 04017	N/A	PC	To support the Samaritan Fund which provides residents of Chebeague Island with essential services, and the Fuel Assistance Fund, which provides fuel to island families	\$2,000
Cheverus High School 267 Ocean Avenue Portland, ME 04103	N/A	PC	For general operating support	\$5,000
City of Portland 389 Congress Street, Suite 26 Portland, ME 04101	N/A	GOV	To provide support for the City of Portland's Office of Economic Development	\$2,500
Community Concepts 240 Bates Street Lewiston, ME 04240	N/A	PC	\$18,000 To support pre-planning work for the HUD Choice Neighborhood application in Lewiston, including the development of a Physical Needs Assessment of the Maple Knolls Apartments and resident and community meetings, \$180,000 to support an eighteen month expansion of workforce initiative efforts, including support for a project director and coach, \$175,000 to support neighborhood capacity among organizations and residents in the Tree Street Neighborhood as the community works toward neighborhood revitalization	\$373,000
Community Financial Literacy P O Box 8013 Portland, ME 04101	N/A	PC	For general operating support	\$15,000
Council for a Strong America 4 Jersey Circle Topsham, ME 04086	N/A	PC	To support the Right from the Start Coalition's early care and education policies to increase quality, access, and affordability	\$55,000
Cultivating Community P O Box 3972 Portland, ME 04104	N/A	PC	To provide local food for people with low to no income, including asylum-seekers	\$15,000
Down East Hospice Volunteers 24 Hospital Lane Calais, ME 04619	N/A	PC	For general operating support	\$10,000

JOHN I. GURMAN FOUNDATION
EIN: 01-0498551

Educate Maine 482 Congress Street, Suite 303 Portland, ME 04101	N/A	PC	\$50,000 to support the development and distribution of the 2017 Education Indicators for Maine report and two related policy briefs, \$3,000 for general operating support, \$98,000 to provide support for the expanded implementation of Count ME in	\$151,000
Ellsworth Free Medical Clinic Mill Mall 248 State Street, Suite 16 Ellsworth, ME 04605	N/A	PC	For general operating support	\$7,000
Families and Children Together 304 Hancock Street, Suite 2B Bangor, ME 04401	N/A	PC	To provide parents and their families access to Navigator Services at the Community Home Alternative Medication Program (CHAMP) Clinic at Penobscot Community Health Center	\$25,000
Foundation for Maine's Community Colleges 54 Lighthouse Circle South Portland, ME 04106	N/A	PC	For general operating support	\$5,000
Friends of Aroostook P.O. Box 1705 Houlton, ME 04730	N/A	PC	For general operating support	\$10,000
Friends of Portland Community Free Clinic 10A Beach Street, Suite 2 Portland, ME 04101	N/A	PC	For general operating support	\$5,000
Friends of the Long Creek Youth Development Center 675 Westbrook Street South Portland, ME 04106	N/A	PC	To support in-house educational opportunities, community re-entry programs, and the College and Career Center at Long Creek Youth Development Center	\$15,000
Friends of the Long Creek Youth Development Center 675 Westbrook Street South Portland, ME 04106	N/A	PC	To support in-house educational opportunities, community re-entry programs, and the College and Career Center at Long Creek Youth Development Center	\$1,500
Furniture Friends P.O. Box 631 Westbrook, ME 04098	N/A	PC	For general operating support	\$10,000
Gedakina P.O. Box 2478 Bangor, ME 04402	N/A	PC	To support the LifeWays Program, which focuses on increased access to direct services for Native American families in Portland and Bangor	\$20,000
Goodwill Industries of Northern New England 75 Washington Avenue, Suite 300 Portland, ME 04101	N/A	PC	To support the Gateway to Opportunity program, which connects low-income youth to paid summer learning opportunities	\$100,000
Grahamstastic Connection 21 Bradeen Street, Suite 107 Springvale, ME 04083	N/A	PC	To provide laptops, tablets and internet access to children in Maine who are battling cancer	\$15,000
Grantmakers for Effective Organizations 1725 DeSales Street NW, Suite 404 Washington, DC 20036	N/A	PC	For general operating support	\$3,190

JOHN I. GURMAN FOUNDATION
EIN: 01-0498551

Green and Healthy Homes Initiative 2714 Hudson Street Baltimore, MD 21224-4716	N/A	PC	\$131,000 to support the delivery of comprehensive housing assessment and intervention services to low-income families, \$16,500 to support GHHI's grant writing and technical assistance work in preparation of the City of Lewiston's application to the Department of Housing and Urban Development for Lead Hazard Reduction funding	\$147,500
Gulf of Maine Research Institute 350 Commercial Street Portland, ME 04101	N/A	PC	For general operating support	\$10,000
H O M E , Inc P O Box 10660 Orland, ME 04472	N/A	PC	For general operating support	\$15,000
Hand in Hand Mano en Mano P O Box 573 Millbridge, ME 04658	N/A	PC	To provide immigrants and migrant workers with high-quality supports and referrals to meet their basic needs	\$20,000
Healthy Community Coalition 105 Mount Blue Circle, Suite #1 Farmington, ME 04938	N/A	PC	To provide funding for their Mobile Health Unit to care for low-income, under-served residents living in Franklin County	\$25,000
Hospice Volunteers of Hancock County 14 McKenzie Avenue Ellsworth, ME 04605	N/A	PC	For general operating support	\$10,000
Hospice Volunteers of Waterville Area 304, Main Street Waterville, ME 04901	N/A	PC	To provide support for hospice patients and their families	\$15,000
Immigrant Legal Advocacy Project P O Box 17917 Portland, ME 04112	N/A	PC	For general operating support	\$25,000
Intercultural Community Center 36 Patrick Drive Westbrook, ME 04092	N/A	PC	\$50,000 to support a summer academic enrichment program targeted to served the needs of immigrant children in Portland and Westbrook, \$1,000 to sponsor their annual fall event	\$51,000
Island Commons Resource 132 Littlefield Road Chebeague Island, ME 04017	N/A	PC	For general operating support	\$1,000
Island Institute 386 Main Street Rockland, ME 04841	N/A	PC	\$100,000 to support expansion of small business supports for island and coastal residents, \$100,000 to support broadband expansion to island and coastal communities in Maine	\$200,000
Jewish Community Alliance of Southern Maine 1342 Congress Street Portland, ME 04102	N/A	PC	To provide support for JCA's food and diaper pantry	\$15,000
Jobs for Maine's Graduates 65 Stone Street Augusta, ME 04330	N/A	PC	To support the expansion of the Opportunity Passport Program to a broader population of vulnerable youth, including those who are homeless, transitioning from the juvenile justice system, or at risk of dropping out of school	\$161,000

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Kennebec Mental Health Associates 67 Eustis Parkway Waterville, ME 04901	N/A	SOI	To provide support for the Homeless Youth Outreach Program, to ensure youth's basic needs are met, that they have access to mainstream resources, and that they achieve safe, stable housing	\$25,000
King Middle School & Portland Public Schools 92 Deering Avenue Portland, ME 04102	N/A	GOV	To support a partnership between King Middle School and four other Maine schools to adopt an interdisciplinary, experiential-based model for teaching and learning	\$26,136
Knox County Homeless Coalition P O Box 1696 Rockland, ME 04841	N/A	PC	To provide support for mental health programs serving homeless clients in Knox, Lincoln and parts of Waldo County	\$25,000
Lake Region Senior Service P O Box 816 Bridgton, ME 04009	N/A	PC	For general operating support	\$9,000
Lewiston High School 156 East Avenue Lewiston, ME 04240	N/A	GOV	To fund a Restorative Practices Coordinator at Lewiston High School as well as youth stipends, staff stipends, and training to support the coordinator in implementation of a culturally competent system of Restorative Practices	\$113,000
Lewiston Public Schools 36 Oak Street Lewiston, ME 04240	N/A	GOV	To support program strategies consistent with the Lewiston Campaign's Community Solution Action Plan, as well as to support project staffing	\$18,118
Lewiston Public Schools / Montello Elementary 36 Oak Street Lewiston, ME 04240	N/A	GOV	To support enhancements to the Integrated Supports / Response to Intervention System at Montello Elementary	\$77,000
Literacy Volunteers - Androscoggin 15 Sacred Heart Place Auburn, ME 04210	N/A	PC	For general operating support	\$5,000
Locker Project 71 Federal Street Portland, ME 04101	N/A	PC	For general operating support	\$5,000
Lydia Home Association P O Box 93 Whiting, ME 04691	N/A	PC	To help expand the Safe Families for Children program's efforts to recruit and train Family Friend volunteers, provide services to families who need support, and to support home placement services	\$75,000
Maine Association of Nonprofits 565 Congress Street, Suite 301 Portland, ME 04101	N/A	PC	To provide funds to be used at MANP activities or classes for respondents to their grantee survey	\$500
Maine Association of Area Agencies on Aging One Weston Court Augusta, ME 04330	N/A	SOI	\$150,000 to support Maine's five Area Agencies on Aging in meeting the low income seniors' needs for food and home repair services, \$7,500 to support the Tri-State Learning Collaborative on Aging	\$157,500
Maine Association of Nonprofits 565 Congress Street, Suite 301 Portland, ME 04101	N/A	PC	For general operating support	\$10,000

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Maine Center for Coastal Fisheries P O Box 27 Stonington, ME 04681	N/A	PC	To support the expansion of the Eastern Maine Skippers Program, to build and maintain a collaboration of regional schools, communities and nonprofits and agencies	\$100,000
Maine Children's Alliance 331 State Street Augusta, ME 04330	N/A	PC	\$50,000 to support the development of a comprehensive policy platform to improve the quality of early learning experiences for all Maine children, \$1,000 to sponsor the Maine Resilience Building Network Conference	\$51,000
Maine Medical Center (Maine Children's Cancer Program) 22 Bramhall Street Portland, ME 04102	N/A	PC	To provide support for patients and providers by helping patients screen for secondary cancers at two clinics, one in Portland and the other in Augusta	\$24,000
Maine Community Action Association P O Box 200 East Wilton, ME 04234	N/A	PC	\$120,000 to support six senior transportation programs' efforts to provide transportation services to seniors in underserved areas, \$80,000 to provide support for repairs to the homes of senior Maine residents	\$200,000
Maine Council on Aging 8 Falcon Road Lewiston, ME 04240	N/A	PC	To sponsor the Maine Wisdom Summit	\$2,500
Maine Equal Justice Partners 126 Sewall Street Augusta, ME 04330	N/A	PC	\$50,000 for general operating support, \$71,000 to support the convening a diverse task force focused on reducing childhood poverty by developing strategies to connect low-income parents to the workforce and to develop a scorecard to measure the outcomes of assistance programs	\$121,000
Maine Immigrant Refugee Services P O Box 7149 Lewiston, ME 04240	N/A	PC	\$15,400 to support building renovations, \$1,000 to provide sponsorship for the 6th Annual Juvenile Justice Panel, \$52,500 for general operating support, \$25,000 to support upgrades to their new community center	\$93,900
Maine Initiatives 56 North Street, Suite 100 Portland, ME 04101	N/A	PC	\$3,000 to support the Immigrant and Refugee Funders Collaborative Coordinator Position, \$5,000 to support their Changemakers event honoring 10 local nonprofits working on racial justice in Maine, \$5,000 to support their Solidarity Fund, a fund specifically geared toward supporting immigrant-led organizations	\$13,000
Maine Justice Foundation 40 Water Street Hallowell, ME 04347	N/A	PC	To support the Campaign for Justice	\$1,000
Maine Medical Center 22 Bramhall Street Portland, ME 04102	N/A	PC	To support Maine Medical Center's Capital Campaign	\$5,000
Maine Philanthropy Center P O Box 9301 Portland, ME 04101	N/A	PC	\$10,000 for General operating support, \$2,500 to sponsor the Attract, Build, Retain Talent For Maine Summit, \$19,000 for general operating support, sponsorship of the 2018 Philanthropy Partners Conference in May, and to support the work of the advocacy committee	\$31,500

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Maine Sea Coast Mission 127 West Street Bar Harbor, ME 04609	N/A	PC	To provide support for the Weald Bethel Food Pantry, which serves low-income residents within a 700-mile radius of Washington County	\$25,000
Maine Women's Policy Center 124 Sewall Street Augusta, ME 04330	N/A	PC	To provide sponsorship support for the Maine Women's Economic Summit	\$1,000
Mercy Hospital 195 Fore River Parkway, Suite 360 Portland, ME 04101	N/A	PC	To provide support for their Patient Assistance Fund for adults in treatment for cancer or blood disorders who need financial help to defray the cost of non-medical expenses	\$15,000
Mid Coast Hunger Prevention Program 12 Tenney Way Brunswick, ME 4011	N/A	PC	For general operating support	\$10,000
Milestone Foundation 65 India Street Portland, ME 04101	N/A	PC	For general operating support	\$15,000
Millinocket Memorial Library 5 Maine Avenue Millinocket, ME 04462	N/A	PC	To support the Future Library Project	\$15,000
Mission Investors Exchange 2440 W El Camino Real STW 300 Mountain View, CA 94040-1498	N/A	PC	For general operating support	\$2,000
Mitchell Institute 75 Washington Avenue, Suite 2E Portland, ME 04101	N/A	PC	To provide sponsorship support for the 2017 Mitchell Institute Gala	\$2,500
Mitchell Institute 75 Washington Avenue, Suite 2E Portland, ME 04101	N/A	PC	To provide ten Promise Scholars with scholarships each year for four years as well as wrap-around supports to expand academic and career opportunities	\$260,000
Muskie Fund for Legal Services P O Box 547 Portland, ME 04112	N/A	PC	To provide sponsorship support for the 2017 Muskie Fund for Legal Services dinner	\$1,500
Muskie School of Public Service P O Box 9300 Portland, ME 04104-9300	N/A	GOV	\$80,000 to support their technical assistance to Foundation grantees, including Portland Connected, Starting Strong, Summer learning sites, and Maine Sea Coast Mission \$115,000 to support Year 2 of Maine's Learn and Earn to Achieve Potential (LEAP) Social Innovation Fund Initiative	\$195,000
NAMI Maine 1 Bangor Street Augusta, ME 04330	N/A	PC	For general operating support	\$15,000
NCSL Foundation 7700 E 1st Place Denver, CO 80230	N/A	PC	To provide sponsorship for a two generation conference in Maine	\$30,000
New Beginnings 134 College Street Lewiston, ME 04240	N/A	PC	To provide program support for a 24-hour shelter for runaway and homeless teens in Maine	\$20,000

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New Ventures 46 University Drive, UMA Augusta, ME 04330	N/A	GOV	To provide support to continue and expand the work of the Maine CA\$H coalitions in advancing financial capability of Maine families	\$250,000
OHI 25 Freedom Parkway Heron, ME 04401	N/A	PC	To provide funding to increase recovery while decreasing isolation for people with mental illness through social integration, opportunities for recreation, and peer supports	\$15,000
Olympia Snowe Women's Leadership Institute One Canal Plaza, Suite 501 Portland, ME 04101	N/A	PC	To provide support for the "My Vision" class of young women leaders	\$50,000
Penobscot Bay Medical Center 22 White Street Rockland, ME 04841	N/A	PC	To provide support for the Oncology Patient Assistance Fund, which provides financially distressed cancer patients battling with support for urgent basic need expenses	\$25,000
Penquis CAP P O Box 1162 Bangor, ME 04402-1162	N/A	PC	To provide support for the Piscataquis Safe Havens Center	\$25,000
Pine Tree Hospice 883 West Main Street Dover-Foxcroft, ME 04426	N/A	PC	For general operating support	\$10,000
Portland Adult Education / Portland Public Schools 14 Locust Street Portland, ME 04101	N/A	GOV	To provide education, employment and financial literacy services to New Americans in greater Portland	\$25,000
Portland Community Health Center 180 Park Avenue Portland, ME 04102	N/A	PC	To provide funding for a social worker to focus on trauma screening with all new pediatric patients	\$25,000
Preble Street 38 Preble Street Portland, ME 04101	N/A	PC	\$296,000 to support Preble Street's First Place, a transition-in-place housing program for homeless youth, and for the expansion of the transition-in-place model through the Maine Transitional Living Collaborative, \$25,000 to provide support for Preble Street's Emergency Food Programs at the Resource Center Soup Kitchen and Food Pantry, the Florence House Soup Kitchen, and the Teen Center Soup Kitchen, \$25,000 to support the Preble Street Teen Center and their work with homeless veterans, \$4,000 for general operating support, \$65,000 to provide support for the transition of the older youth at the First Place Transitional Housing program into stable housing	\$415,000
River Valley Healthy Communities Coalition P O Box 86 Rumford, ME 04276	N/A	PC	To provide food to families in Oxford County	\$25,000
Seeds of Hope Neighborhood Center 35 South Street Biddeford, ME 04005	N/A	PC	For general operating support	\$10,000
Somali Bantu Community Association of Lewiston 145 Pierce Street, Suite 101 Lewiston, ME 04240	N/A	PC	For general operating support	\$5,000

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Southern Maine Medical Center P O Box 626 Bridford, ME 04005	N/A	PC	To provide financial support to cancer patients with healthcare and transportation related expenses	\$20,000
St Martin de Porres Residence P O Box 7227 Lewiston, ME 04243-7227	N/A	PC	For general operating support	\$15,000
Saint Mary's Nutrition Center P O Box 7291 Lewiston, ME 04243	N/A	PC	For general operating support	\$15,000
Sunrise County Economic Council 7 Ames Way Machias, ME 04654	N/A	PC	\$79,930 to support the development of a culturally appropriate approach to serving vulnerable Wabanaki children and families within Maine, \$50,000 to support an evaluation of the Family Futures Downeast initiative, \$100,000 to support low-income families in Washington County through a two-generational approach to post-secondary education and early childhood education	\$229,930
Sunrise Opportunities P O Box 88 Machias, ME 04654	N/A	PC	To provide general operating support for the Community Caring Collaborative	\$100,000
Tedford Housing P O Box 958 Brunswick, ME 04011	N/A	PC	For general operating support	\$15,000
The Lebanon Elementary Schools (MSAD 60) P O Box 819 North Berwick, ME 03906	N/A	GOV	To provide support for the Kid Connection afterschool program	\$24,500
The Telling Room 225 Commercial Street, Suite 201 Portland, ME 04101	N/A	PC	To provide project-based summer literacy programming and creative writing instruction to English Language Learners and other under-resourced elementary and middle school students in the Greater Portland and Bangor areas	\$29,950
Town of Chebeague Island 192 North Road Chebeague Island, ME 04017	N/A	GOV	To support the fire and rescue department at the Town of Chebeague Island	\$1,000
Tree Street Youth 144 Howe Street Lewiston, ME 04240	N/A	PC	\$20,000 to support the Sequoia Juvenile Justice Program, \$26,000 for general operating support	\$46,000
Tri-County Mental Health Services P O Box 2008 Lewiston, ME 04241-2008	N/A	PC	To provide intensive family and community-based treatment for chronic and violent juvenile offenders	\$25,000
Trinity Jubilee Center 247 Bates Street Lewiston, ME 04240	N/A	PC	To support the Feeding L-A Project, which provides healthy food to low-income residents of Lewiston-Auburn and the surrounding towns	\$25,000

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UNH Carsey School of Public Policy Service and Fire Building 51 College Road Durham, NH 03824	N/A	GOV	\$24,097 to support a data scan related to Head Start and Early Head Start programs across the state of Maine, \$46,353 to support the production of a Maine demographic analysis and a policy scan relevant to the Foundation's priority areas, \$42,976 to support the production of three publications and an overview all focused on older youth transition to adulthood	\$113,426
Unitarian Universalist Association 15 Lebanon Street Sanford, ME 04073	N/A	PC	To provide support to purchase non-food household items for the Corner Cupboard essentials pantry to benefit low-income people	\$8,500
United Way of Greater Portland P O Box 15200 Portland, ME 04101	N/A	PC	\$175,000 to support Starting Strong's strategies in 2017-2018, \$50,000 to support the Executive Director position of Portland Connected, \$25,000 to support the Women United two generation strategy, \$125,000 to enable UWGP to serve as the backbone organization for the Greater Portland Workforce Initiative, \$150,000 to serve as a match for new and increased 2017 Annual Campaign pledges, \$15,000 to support for United Way's 2017 Annual Campaign, \$200,000 to support Portland Public School's development and implementation of district-wide social-emotional learning strategies	\$740,000
Unity Barn Raisers P O Box 381 Unity, ME 04988	N/A	PC	To provide meals for low-income families in Waldo County	\$12,000
University of Maine School of Law Foundation 246 Deering Avenue Portland, ME 04102	N/A	PC	To support juvenile justice reforms regarding sealed records, age appropriate mental intent standards, creation of a continuum of care, and the creation of the Maine Center for Juvenile Policy and Law	\$83,000
University of Maine System 5717 Corbett Hall Orono, ME 04461-5717	N/A	GOV	To support the Senior Companion Program in expanding their efforts to provide educationally focused volunteer opportunities for limited income seniors who otherwise would not have the ability to become part of the volunteer community	\$30,000
University of Maine Upward Bound 5713 Chadourne Hall, Room 220 Orono, ME 04469	N/A	GOV	To support 2017 summer programming	\$2,500
University of New Hampshire 9 Edgewood Road Durham, NH 03824	N/A	GOV	To provide need-based scholarship support for students from Maine who attend the University of New Hampshire	\$40,000
University of Southern Maine P O Box 9300 Portland, ME 04104-9300	N/A	GOV	To sponsor the Maine Juvenile Justice Summit	\$2,500
University of Southern Maine Foundation P O Box 9300 Portland, ME 04104-9300	N/A	PC	To support the Promise Scholarship Program	\$5,000
Urban Institute 2100 M Street NW Washington, DC 20037	N/A	PC	To support the adaption of key components of the Urban Housing, Opportunity, and Service Together model to enhance and track outcomes for the Bangor Housing's Families Forward program	\$99,990

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Wolfe's Neck Farm Foundation 184 Burnett Road Freeport, ME 04032	N/A	PC	To support Wolfe's Neck Center's teen agriculture programming	\$10,000
Youth and Family Outreach 331 Cumberland Avenue Portland, ME 04101	N/A	PC	For general operating support	\$3,000
Total Grants Paid in 2017:				<u>\$6,655,890</u>