

Form **990-PF****Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2016

Open to Public Inspection

Department of the Treasury
Internal Revenue ServiceDo not enter social security numbers on this form as it may be made public.
Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pfFor calendar year 2016 or tax year beginning **DEC 1, 2016**, and ending **NOV 30, 2017**Name of foundation
**THE KENNETH T. AND EILEEN L. NORRIS
FOUNDATION**

A Employer identification number

95-6080374

Number and street (or P.O. box number if mail is not delivered to street address)

11 GOLDEN SHORE, SUITE 450

Room/suite

B Telephone number

(562) 435-8444

City or town, state or province, country, and ZIP or foreign postal code

LONG BEACH, CA 90802C If exemption application is pending, check here ☐ **6**

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeD 1 Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation **04**E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year

(from Part II, col (c), line 16)

\$ 223,141,543. (Part I, column (d) must be on cash basis)J Accounting method: ☒ Cash ☐ Accrual☐ Other (specify) _____F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐**Part I Analysis of Revenue and Expenses**
(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1 Contributions, gifts, grants, etc., received			N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
3 Interest on savings and temporary cash investments	1,169.	1,169.		STATEMENT 1
4 Dividends and interest from securities	5,194,494.	5,194,494.		STATEMENT 2
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	1,200,212.			
b Gross sales price for all assets on line 6a	3,663,809.			
7 Capital gain net income (from Part IV, line 2)		1,200,212.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss)				
11 Other income	2,485.	2,485.		STATEMENT 3
12 Total Add lines 1 through 11	6,398,360.	6,398,360.		
13 Compensation of officers, directors, trustees, etc	23,000.	0.		23,000.
14 Other employee salaries and wages	2,000.	0.		2,000.
15 Pension plans, employee benefits				
16a Legal fees				
b Accounting fees STMT 4	42,481.	29,737.		12,744.
c Other professional fees STMT 5	11,130.	11,130.		0.
17 Interest				
18 Taxes STMT 6	207.	20.		187.
19 Depreciation and depletion				
20 Occupancy	43,196.	21,598.		21,597.
21 Travel, conferences, and meetings	12,398.	0.		12,398.
22 Printing and publications				
23 Other expenses STMT 7	1,181,524.	576,281.		605,243.
24 Total operating and administrative expenses Add lines 13 through 23	1,315,936.	638,766.		677,169.
25 Contributions, gifts, grants paid	8,659,100.			8,659,100.
26 Total expenses and disbursements Add lines 24 and 25	9,975,036.	638,766.		9,336,269.
27 Subtract line 26 from line 12.				
a Excess of revenue over expenses and disbursements	<3,576,676.>			
b Net investment income (if negative, enter -0-)		5,759,594.		
c Adjusted net income (if negative, enter -0-)			N/A	

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing		143,712.	66,042.	66,042.
	2	Savings and temporary cash investments		1,456,691.	434,269.	434,269.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges		6,106.		
	10a	Investments - U.S. and state government obligations STMT 12		1,919,295.	2,670,845.	2,714,262.
	b	Investments - corporate stock STMT 13		41,922,452.	41,258,990.	179,553,561.
	c	Investments - corporate bonds STMT 14		35,659,972.	32,948,807.	33,522,452.
	11	Investments - land, buildings, and equipment basis ▶				
	Less: accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other STMT 15		3,749,248.	3,848,076.	6,848,132.	
14	Land, buildings, and equipment: basis ▶	96,394.				
	Less: accumulated depreciation STMT 11 ▶	95,640.	754.	754.	754.	
15	Other assets (describe ▶ <u>SECURITY DEPOSIT</u>)		2,071.	2,071.	2,071.	
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		84,860,301.	81,229,854.	223,141,543.	
Liabilities	17	Accounts payable and accrued expenses		9,202,872.	9,947,716.	
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶ <u>WHITEHALL STREET R</u>)		27,172.	26,938.	
23	Total liabilities (add lines 17 through 22)		9,230,044.	9,974,654.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds		0.	0.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds		75,630,257.	71,255,200.	
30	Total net assets or fund balances		75,630,257.	71,255,200.		
31	Total liabilities and net assets/fund balances		84,860,301.	81,229,854.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	75,630,257.
2	Enter amount from Part I, line 27a	2	<3,576,676.>
3	Other increases not included in line 2 (itemize) ▶ <u>SEE STATEMENT 9</u>	3	9,203,229.
4	Add lines 1, 2, and 3	4	81,256,810.
5	Decreases not included in line 2 (itemize) ▶ <u>SEE STATEMENT 10</u>	5	10,001,610.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	71,255,200.

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Part IV Capital Gains and Losses for Tax on Investment Income SEE ATTACHED STATEMENT

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e	3,663,809.	2,463,597.	1,200,212.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			1,200,212.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	1,200,212.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	9,291,494.	186,714,469.	.049763
2014	8,919,425.	187,914,734.	.047465
2013	8,419,154.	184,385,453.	.045661
2012	7,761,340.	168,851,086.	.045966
2011	7,398,933.	157,559,265.	.046960

2 Total of line 1, column (d)	2	.235815
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.047163
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	203,926,091.
5 Multiply line 4 by line 3	5	9,617,766.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	57,596.
7 Add lines 5 and 6	7	9,675,362.
8 Enter qualifying distributions from Part XII, line 4	8	9,336,269.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	115,192.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	115,192.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		5	115,192.
6 Credits/Payments.			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a	53,894.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	53,894.	
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	1,194.	
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9	62,492.	
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2017 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		<input checked="" type="checkbox"/>
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		<input checked="" type="checkbox"/>
c Did the foundation file Form 1120-POL for this year?		<input checked="" type="checkbox"/>
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		<input checked="" type="checkbox"/>
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		<input checked="" type="checkbox"/>
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	<input checked="" type="checkbox"/>	
b If "Yes," has it filed a tax return on Form 990-T for this year?	<input checked="" type="checkbox"/>	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		<input checked="" type="checkbox"/>
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	<input checked="" type="checkbox"/>	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	<input checked="" type="checkbox"/>	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> CA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	<input checked="" type="checkbox"/>	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		<input checked="" type="checkbox"/>
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		<input checked="" type="checkbox"/>

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► WWW.NORRISFOUNDATION.ORG	X	
14 The books are in care of ► WALTER ZANINO, TRUSTEE-EXEC. DIR. Telephone no. ► (562) 435-8444 Located at ► 11 GOLDEN SHORE, SUITE 450, LONG BEACH, CA ZIP+4 ► 90802		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	<input type="checkbox"/>	N/A
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	X	

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	<input type="checkbox"/>	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

5c

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6a

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

7a

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 17		23,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

[illegible]

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities	
--	--

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	N/A	
2		
3		
4		

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1	N/A	
2		
All other program-related investments. See instructions.		
3		

Total. Add lines 1 through 3

0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:	
a	Average monthly fair market value of securities	1a 205,155,004.
b	Average of monthly cash balances	1b 1,873,734.
c	Fair market value of all other assets	1c 2,826.
d	Total (add lines 1a, b, and c)	1d 207,031,564.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.
2	Acquisition indebtedness applicable to line 1 assets	2 0.
3	Subtract line 2 from line 1d	3 207,031,564.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4 3,105,473.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5 203,926,091.
6	Minimum investment return. Enter 5% of line 5	6 10,196,305.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1 10,196,305.
2a	Tax on investment income for 2016 from Part VI, line 5	2a 115,192.
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b
c	Add lines 2a and 2b	2c 115,192.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3 10,081,113.
4	Recoveries of amounts treated as qualifying distributions	4 0.
5	Add lines 3 and 4	5 10,081,113.
6	Deduction from distributable amount (see instructions)	6 0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7 10,081,113.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:	
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a 9,336,269.
b	Program-related investments - total from Part IX-B	1b 0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2
3	Amounts set aside for specific charitable projects that satisfy the:	
a	Suitability test (prior IRS approval required)	3a
b	Cash distribution test (attach the required schedule)	3b
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4 9,336,269.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5 0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6 9,336,269.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**THE KENNETH T. AND EILEEN L. NORRIS
FOUNDATION**

Form 990-PF (2016)

95-6080374

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				10,081,113.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			9,202,872.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2016 from Part XII, line 4. ► \$ 9,336,269.				
a Applied to 2015, but not more than line 2a			9,202,872.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				133,397.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				9,947,716.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016				

Part XIV: Private Operating Foundations (see instructions and Part VII A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(1)(3) or ☐ 4942(1)(5)

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed
- b** 85% of line 2a
- c** Qualifying distributions from Part XII, line 4 for each year listed
- d** Amounts included in line 2c not used directly for active conduct of exempt activities
- e** Qualifying distributions made directly for active conduct of exempt activities.

Subtract line 2d from line 2c

- 3 Complete 3a, b, or c for the alternative test relied upon:

- a "Assets" alternative test - enter:
(1) Value of all assets

- (2) Value of assets qualifying under section 4942(j)(3)(B)(i)

- b** "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

- c "Support" alternative test - enter:

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

- (3) Largest amount of support from an exempt organization**

- (4) Gross investment income**

[illegible]

Part XV **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SEE ATTACHED

- b** The form in which applications should be submitted and information and materials they should include:

SEE ATTACHED

- c** Any submission deadlines:

SEE ATTACHED

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

NONE

THE KENNETH T. AND EILEEN L. NORRIS
FOUNDATION

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Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHED STATEMENT	NONE	PUBLIC CHARITIES	GENERAL	8,659,100.
Total			3a	8,659,100.
b Approved for future payment				
NONE				
Total			3b	0.

Form 990-PF (2016)

Enter gross amounts unless otherwise indicated.

(See worksheet in line 13 instructions to verify calculations)

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).
--------------	---


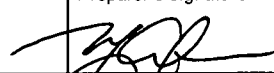
Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|-----|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | | X |
| | (2) Other assets | | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | | X |
| | (3) Rental of facilities, equipment, or other assets | | X |
| | (4) Reimbursement arrangements | | X |
| | (5) Loans or loan guarantees | | X |
| | (6) Performance of services or membership or fundraising solicitations | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.				May the IRS discuss this return with the preparer shown below (see instr.)? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
	 Signature of officer or trustee		Date <u>2/21/18</u>		Title TRUSTEE	
Paid Preparer Use Only	Print/Type preparer's name NAZ AFSHAR		Preparer's signature 		Date <u>2/20/18</u>	
	Check <input type="checkbox"/> if self-employed		PTIN P00441843			
	Firm's name GURSEY SCHNEIDER LLP				Firm's EIN 95-3309779	
	Firm's address 1888 CENTURY PARK EAST, SUITE 900 LOS ANGELES, CA 90067-1735				Phone no. 310-552-0960	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	AMGEN INCORPORAT		04/18/08	06/01/17
b	ILLINOIS ST		01/20/12	06/06/17
c	AMERICAN EXPRESS		07/30/12	03/24/17
d	HOME DEPOT INC		12/21/09	09/05/17
e	DOWDUPONT INC		12/31/10	10/10/17
f	ADVANSIX INC		01/23/12	08/08/17
g	DOWDUPONT INC		01/23/12	10/10/17
h	ADVANSIX INC		04/20/12	08/08/17
i	AMERICAN FD NEW PERSPECTIVE			12/22/16
j	AMERICAN FD EUROPACIFIC			06/15/17
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 500,000.		500,000.	0.
b 300,000.		300,134.	<134.>
c 1,000,000.		1,000,000.	0.
d 764,494.		145,308.	619,186.
e 918,179.		474,252.	443,927.
f 13,333.		3,066.	10,267.
g 78,769.		40,214.	38,555.
h 2,667.		623.	2,044.
i 70,652.			70,652.
j 15,715.			15,715.
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			0.
b			<134.>
c			0.
d			619,186.
e			443,927.
f			10,267.
g			38,555.
h			2,044.
i			70,652.
j			15,715.
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	1,200,212.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	N/A

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
CITY NATIONAL BANK	123.	123.	
CITY NATIONAL BANK - MONEY MARKET	1,046.	1,046.	
TOTAL TO PART I, LINE 3	1,169.	1,169.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
CORPORATE BOND - AMORTIZATION PREMIUM & DISCOUNTS	<95,242.>	0.	<95,242.>	<95,242.>	
DIVIDEND INCOME - COMMON STOCK	3,777,263.	0.	3,777,263.	3,777,263.	
DIVIDEND INCOME - MUTUAL FUNDS	109,942.	0.	109,942.	109,942.	
INTEREST INCOME - CORPORATE BONDS	1,389,221.	0.	1,389,221.	1,389,221.	
INTEREST INCOME - MORTGAGE BACKED SECURITIES	13,310.	0.	13,310.	13,310.	
TO PART I, LINE 4	5,194,494.	0.	5,194,494.	5,194,494.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
WHITEHALL STREET R/E LTD XI - PARTNERSHIP INCOME/LOSS	53.	53.	
WHITEHALL STREET R/E LTD XII - PARTNERSHIP INCOME/LOSS	<77.>	<77.>	
LEHMAN BROTHERS LITIGATION	2,509.	2,509.	
TOTAL TO FORM 990-PF, PART I, LINE 11	2,485.	2,485.	

FORM 990-PF

ACCOUNTING FEES

STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	42,481.	29,737.		12,744.
TO FORM 990-PF, PG 1, LN 16B	42,481.	29,737.		12,744.

FORM 990-PF

OTHER PROFESSIONAL FEES

STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	11,130.	11,130.		0.
TO FORM 990-PF, PG 1, LN 16C	11,130.	11,130.		0.

FORM 990-PF

TAXES

STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PERSONAL PROPERTY TAXES	197.	20.		177.
STATE FILING FEE	10.	0.		10.
TO FORM 990-PF, PG 1, LN 18	207.	20.		187.

FORM 990-PF

OTHER EXPENSES

STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ADMINISTRATIVE	1,145,000.	572,500.		572,500.
PARKING	1,770.	885.		885.
INSURANCE	5,139.	0.		5,139.
EDUCATION	5,140.	0.		5,140.
TELEPHONE	1,988.	0.		1,988.
OFFICE EXPENSE	4,128.	2,064.		2,064.
DUES	1,500.	0.		1,500.
MAINTENANCE	13,704.	0.		13,704.
WEBSITE	2,115.	0.		2,115.
MISCELLANEOUS	1,040.	832.		208.
TO FORM 990-PF, PG 1, LN 23	1,181,524.	576,281.		605,243.

THE KENNETH T. AND EILEEN L. NORRIS FOUN

95-6080374

SEC 1.263(A)-1(F) DE MINIMIS SAFE HARBOR ELECTION

THE KENNETH T. AND EILEEN L. NORRIS FOUNDATION
11 GOLDEN SHORE, SUITE 450
LONG BEACH, CA 90802

EMPLOYER IDENTIFICATION NUMBER: 95-6080374

FOR THE FISCAL YEAR ENDING NOVEMBER 30, 2017

THE KENNETH T. AND EILEEN L. NORRIS FOUNDATION IS
MAKING THE DE MINIMIS SAFE HARBOR ELECTION UNDER
REG. SEC. 1.263(A)-1(F).

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT 9
DESCRIPTION		AMOUNT
REVERSAL OF ACCRUAL OF UNPAID GRANTS AT 11/30/16		9,202,872.
WHITEHALL STREET REAL ESTATE LIMITED PARTNERSHIP XI - BOOK/TAX DIFFERENCE		46.
WHITEHALL STREET REAL ESTATE LIMITED PARTNERSHIP XII - BOOK/TAX DIFFERENCE		311.
TOTAL TO FORM 990-PF, PART III, LINE 3		9,203,229.

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT 10
DESCRIPTION		AMOUNT
ACCRUAL OF UNPAID GRANTS 11/30/17		9,947,716.
FEDERAL EXCISE TAX EXPENSE		53,894.
TOTAL TO FORM 990-PF, PART III, LINE 5		10,001,610.

FORM 990-PF DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT STATEMENT 11

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE	FAIR MARKET VALUE
LEASEHOLD IMPROVEMENTS	56,322.	56,322.	0.	0.
LEASEHOLD IMPROVEMENTS	8,708.	8,708.	0.	0.
LEASEHOLD IMPROVEMENTS	857.	857.	0.	0.
FURNITURE & FIXTURES	16,753.	16,753.	0.	0.
PAINTINGS	754.	0.	754.	754.
COMPUTER SOFTWARE	13,000.	13,000.	0.	0.
TO 990-PF, PART II, LN 14	96,394.	95,640.	754.	754.

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 12

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
600 MODESTO CA IRR		X	600,000.	614,262.
300 ILLINOIS ST		X	0.	0.
500 USC		X	512,611.	520,670.
500 CALIFORNIA ST		X	501,319.	538,150.
1000 INDUSTRY CA SLS		X	1,056,915.	1,041,180.
TOTAL U.S. GOVERNMENT OBLIGATIONS				
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS			2,670,845.	2,714,262.
TOTAL TO FORM 990-PF, PART II, LINE 10A			2,670,845.	2,714,262.

FORM 990-PF

CORPORATE STOCK

STATEMENT 13

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
40000 A T & T INC	1,137,960.	1,455,200.
10000 A T & T INC	309,500.	363,800.
10000 ABBOTT LABORATORIES	271,801.	563,700.
10000 ABBOTT LABORATORIES	289,473.	563,700.
20000 ABBOTT LABORATORIES	468,410.	1,127,400.
20000 ABBVIE INC	507,950.	1,938,400.
10000 ABBVIE INC	313,908.	969,200.
10000 ABBVIE INC	294,746.	969,200.
400 ADVANSIX INC	0.	0.
80 ADVANSIX INC	0.	0.
12000 AMGEN INCORPORATED	376,625.	2,107,920.
8000 AMGEN INCORPORATED	268,290.	1,405,280.
10000 AMGEN INCORPORATED	607,544.	1,756,600.
14000 APPLE INC	517,911.	2,405,900.
35000 APPLE INC	1,177,344.	6,014,750.
21000 APPLE INC	799,148.	3,608,850.
5 BERKSHIRE HTWY CLA	381,055.	1,457,500.
12 BERKSHIRE HTWY CLA	228,150.	3,498,000.
13 BERKSHIRE HTWY CLA	333,593.	3,789,500.
5 BERKSHIRE HTWY CLA	162,750.	1,457,500.
20000 CANADIAN NATL RAILWY	311,060.	1,559,600.
120000 CANADIAN NATL RAILWY	231,560.	9,357,600.
7700 CHEVRON CORPORATION	295,291.	916,223.
18480 CHEVRON CORPORATION	392,165.	2,198,935.
4620 CHEVRON CORPORATION	194,908.	549,734.
5000 CHEVRON CORPORATION	331,462.	594,950.
4200 CHEVRON CORPORATION	272,706.	499,758.
30000 COCA COLA COMPANY	831,180.	1,373,100.
10000 COCA COLA COMPANY	239,768.	457,700.
8000 COCA COLA COMPANY	24,843.	366,160.
48000 COCA COLA COMPANY	173,971.	2,196,960.
16000 COCA COLA COMPANY	79,490.	732,320.

24000 COCA COLA COMPANY	137,864.	1,098,480.
16000 COCA COLA COMPANY	106,994.	732,320.
28000 COCA COLA COMPANY	194,155.	1,281,560.
20000 COLGATE-PALMOLIVE CO	656,612.	1,449,000.
10000 COLGATE-PALMOLIVE CO	292,500.	724,500.
16000 COLGATE-PALMOLIVE CO	105,991.	1,159,200.
16000 COLGATE-PALMOLIVE CO	127,053.	1,159,200.
8000 COLGATE-PALMOLIVE CO	154,685.	579,600.
10000 COLGATE-PALMOLIVE CO	330,528.	724,500.
20000 COLGATE-PALMOLIVE CO	663,398.	1,449,000.
10000 DOWDUPONT INC	0.	0.
11720 DOWDUPONT INC	428,457.	843,371.
19230 DOWDUPONT INC	524,983.	1,383,791.
12820 DOWDUPONT INC	408,802.	922,527.
12820 DOWDUPONT INC	424,354.	922,527.
6410 DOWDUPONT INC	222,774.	461,264.
5000 EXXON MOBIL CORP	400,084.	416,450.
4000 EXXON MOBIL CORP	130,564.	333,160.
6400 EXXON MOBIL CORP	138,250.	533,056.
1600 EXXON MOBIL CORP	34,563.	133,264.
4000 EXXON MOBIL CORP	86,406.	333,160.
4000 EXXON MOBIL CORP	86,406.	333,160.
15000 EXXON MOBIL CORP	732,050.	1,249,350.
5000 HOME DEPOT INC	0.	0.
15000 HOME DEPOT INC	79,550.	2,697,300.
18000 HOME DEPOT INC	103,734.	3,236,760.
27000 HOME DEPOT INC	170,460.	4,855,140.
30000 HOME DEPOT INC	257,276.	5,394,600.
10000 HOME DEPOT INC	126,667.	1,798,200.
10000 HONEYWELL INTL INC	576,167.	1,559,600.
2000 HONEYWELL INTL INC	117,077.	311,920.
10000 IBM CORP	1,044,537.	1,539,700.
24000 INTEL CORP	96,938.	1,076,160.
32000 INTEL CORP	233,750.	1,434,880.
40000 INTEL CORP	280,000.	1,793,600.
4000 INTEL CORP	31,000.	179,360.
10000 INTEL CORP	232,990.	448,400.
10000 ISHARES DOW JONES US	528,726.	1,328,000.
10000 ISHARES DOW JONES US	562,342.	1,328,000.
5000 ISHARES SELECT DIVIDE	250,200.	492,950.
8000 ISHARES SELECT DIVIDE	439,589.	788,720.
12000 ISHARES SELECT DIVIDE	632,496.	1,183,080.
10000 ISHARES SELECT DIVIDE	562,800.	985,900.
20000 ISHARES SELECT DIVIDE	917,008.	1,971,800.
5000 ISHARES SELECT DIVIDE	241,450.	492,950.
16000 JOHNSON & JOHNSON	87,905.	2,229,280.
8000 JOHNSON & JOHNSON	81,875.	1,114,640.
8000 JOHNSON & JOHNSON	88,675.	1,114,640.
18000 JOHNSON & JOHNSON	228,640.	2,507,940.
2000 JOHNSON & JOHNSON	131,620.	278,660.
8000 JOHNSON & JOHNSON	512,080.	1,114,640.
15000 MC DONALDS CORP	1,064,303.	2,579,550.
10000 MERCK & CO INC	378,253.	552,700.
10000 MERCK & CO INC	384,200.	552,700.
8000 MICROSOFT CORP	51,070.	673,360.
48000 MICROSOFT CORP	172,875.	4,040,160.
64000 MICROSOFT CORP	334,200.	5,386,880.
33000 MICROSOFT CORP	924,300.	2,777,610.

48852 NORTHERN TRUST CORP	200,000.	4,776,749.
5148 NORTHERN TRUST CORP	33,290.	503,371.
18000 NORTHERN TRUST CORP	149,100.	1,760,040.
8000 NORTHERN TRUST CORP	96,500.	782,240.
10000 ORACLE CORPORATION	325,337.	490,600.
30000 ORACLE CORPORATION	819,849.	1,471,800.
10000 POWERSHARES QQQ TRUST	460,466.	1,551,500.
5000 POWERSHARES QQQ TRUST	231,170.	775,750.
10000 POWERSHARES QQQ TRUST	571,000.	1,551,500.
8000 POWERSHARES QQQ TRUST	505,200.	1,241,200.
20000 POWERSHARES QQQ TRUST	800,000.	3,103,000.
20000 POWERSHARES QQQ TRUST	837,600.	3,103,000.
5000 POWERSHARES QQQ TRUST	238,218.	775,750.
2000 POWERSHARES QQQ TRUST	117,427.	310,300.
20000 PROCTER & GAMBLE	1,001,624.	1,799,800.
13946 PROCTER & GAMBLE	650,944.	1,255,001.
6054 PROCTER & GAMBLE	272,760.	544,799.
8000 PROCTER & GAMBLE	47,441.	719,920.
32000 PROCTER & GAMBLE	204,020.	2,879,680.
521 ROYAL DUTCH SHELL	35,777.	34,355.
3447 ROYAL DUTCH SHELL	181,032.	227,295.
5172 ROYAL DUTCH SHELL	158,952.	341,042.
7758 ROYAL DUTCH SHELL	211,425.	511,563.
5172 ROYAL DUTCH SHELL	113,568.	341,042.
2586 ROYAL DUTCH SHELL	55,437.	170,521.
10344 ROYAL DUTCH SHELL	76,549.	682,083.
8000 T ROWE PRICE GROUP	55,596.	823,360.
48000 T ROWE PRICE GROUP	174,723.	4,940,160.
24000 T ROWE PRICE GROUP	159,375.	2,470,080.
5000 TRANSCANADA CORP	73,266.	240,150.
5000 TRANSCANADA CORP	73,230.	240,150.
20000 TRANSCANADA CORP	323,126.	960,600.
10000 TRANSCANADA CORP	301,581.	480,300.
10000 TRANSCANADA CORP	144,668.	480,300.
20000 WAL-MART STORES INC	995,438.	1,944,600.
10000 WAL-MART STORES INC	426,513.	972,300.
TOTAL TO FORM 990-PF, PART II, LINE 10B	41,258,990.	179,553,561.

FORM 990-PF

CORPORATE BONDS

STATEMENT 14

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
1000 AMERICAN EXPRESS	0.	0.
500 AMGEN INCORPORAT	0.	0.
500 WELLS FARGO BK	500,791.	500,403.
500 UBS AG	505,499.	500,958.
1000 UBS AG	857,500.	1,001,915.
500 AMERICAN INTL GR	502,681.	502,263.
500 GOLDMAN SACHS GR	503,970.	502,536.
500 MORGAN STANLEY	500,879.	507,610.
500 BANK OF AMERICA	495,850.	507,740.
500 GENERAL ELECTRI	443,245.	507,741.
1000 CITIGROUP INC	1,001,091.	1,018,611.
500 GENERAL ELECTRIC CO	518,378.	528,174.
500 MORGAN STANLEY	502,492.	528,523.
1000 DR PEPPER SNAPPLE G	1,000,000.	990,625.
1000 BARCLAYS BANK P	1,032,924.	1,059,139.
1000 WAL MART STORES	1,022,960.	1,033,017.
500 WELLS FARGO BK N	532,360.	532,059.
1000 BANK OF AMERICA COR	1,025,076.	1,077,671.
1000 LOCKHEED MARTIN	1,023,241.	1,030,974.
1000 TOYOTA AUTO RECE	1,012,100.	1,034,002.
500 GOLDMAN SACHS GR	544,061.	555,088.
1000 A T & T INC	998,600.	1,002,098.
1000 COLGATE PALMOLIVE	975,160.	996,000.
450 BP CAPITAL MKT	451,401.	462,314.
1500 FHLB	1,490,820.	1,497,371.
525 MUFG AMERICAS HLD	540,786.	536,405.
1000 ANHEUSER BUSCH IN	990,050.	992,402.
1000 CME GROUP INC	1,020,965.	1,018,098.
500 WALT DISNEY CO	506,453.	493,126.
1250 METLIFE INC	1,250,000.	1,269,460.
1250 OCCIDENTAL PETROL	1,265,646.	1,249,623.
1000 CHUBB CORP	1,011,150.	995,794.
500 FFCB	487,995.	513,090.
1000 FEDERAL NATL MTG	1,000,000.	975,939.
250 DUKE ENERGY CORP	258,116.	262,734.
500 FED HOME LN BKS	502,172.	529,558.
1000 FED HOME LN BKS	1,000,000.	1,051,733.
500 APPLE INC	526,973.	519,315.
1000 FFCB	895,240.	995,853.
1000 ASSOCIATED BANC	1,015,050.	1,033,160.
750 ORACLE CORPORATI	747,750.	752,058.
750 MC DONALDS CORP	759,956.	766,255.
1000 FED HOME LOAN BK	1,000,000.	982,617.
250 DUKE ENERGY CAROLIN	316,848.	308,201.
1000 INDUSTRY CA SLS	0.	0.
500 GOVERNMENT NATIONAL	412,578.	400,199.
TOTAL TO FORM 990-PF, PART II, LINE 10C	32,948,807.	33,522,452.

FORM 990-PF

OTHER INVESTMENTS

STATEMENT 15

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
16,119 AMERICAN FD EUROPACIFIC	COST	250,000.	921,208.
200 AMERICAN FD EUROPACIFIC	COST	9,026.	11,430.
46,555 AMERICAN FD NEW PERSPECTIVE	COST	500,000.	2,111,719.
19,142 AMERICAN FD NEW PERSPECTIVE	COST	250,000.	868,275.
538 AMERICAN FD NEW PERSPECTIVE	COST	19,052.	24,403.
1994 AMERICAN FD NEW PERSPECTIVE	COST	70,651.	90,447.
5,000 ISHARES IBOXX HIGH YIELD	COST	435,077.	438,900.
10,000 ISHARES IBOXX INVT GRADE	COST	1,164,736.	1,206,700.
9,000 ISHARES TIPS BOND ETF	COST	992,520.	1,023,120.
1,000 ISHARES TIPS BOND ETF	COST	118,773.	113,680.
WHITEHALL STREET REAL ESTAET LP XI	COST	38,241.	38,250.
TOTAL TO FORM 990-PF, PART II, LINE 13		3,848,076.	6,848,132.

FORM 990-PF

OTHER LIABILITIES

STATEMENT 16

DESCRIPTION	BOY AMOUNT	EOY AMOUNT
WHITEHALL STREET REAL ESTATE LP XII - EXCESS BASIS	27,172.	26,938.
TOTAL TO FORM 990-PF, PART II, LINE 22	27,172.	26,938.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 17

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
LISA HANSEN 11 GOLDEN SHORE, SUITE 450 LONG BEACH, CA 90802	TRUSTEE & CHAIRMAN 5.00	4,000.	0.	0.
WALTER ZANINO 11 GOLDEN SHORE, SUITE 450 LONG BEACH, CA 90802	TRUSTEE & EXECUTIVE DIRECT 35.00	4,000.	0.	0.
BRADLEY K. NORRIS 11 GOLDEN SHORE, SUITE 450 LONG BEACH, CA 90802	TRUSTEE 5.00	4,000.	0.	0.
JAMES MARTIN 11 GOLDEN SHORE, SUITE 450 LONG BEACH, CA 90802	TRUSTEE 5.00	4,000.	0.	0.
KIMBERLEY PRESLEY 11 GOLDEN SHORE, SUITE 450 LONG BEACH, CA 90802	TRUSTEE 5.00	3,000.	0.	0.
BROOKS NORRIS 11 GOLDEN SHORE, SUITE 450 LONG BEACH, CA 90802	TRUSTEE 5.00	4,000.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		23,000.	0.	0.

FORM 990-PF PAGE 1

FORM 990-PF PAGE 1

628111 04-01-16

(D) - Asset disposed

* ITC, Salvage, Bonus, Commercial Revitalization Deduction, GO Zone

The Kenneth T. & Eileen L. Norris Foundation		
I.D. #95-6080374		
Part XV - Supplementary Information (continued)		
Charitable Donation Summary - Year End November 30, 2017		
<u>Organization</u>	<u>Deductible Portion</u>	<u>Project Title</u>
USC/Norris Comprehensive Cancer Center - Norris Healthcare Consultation Center 1441 Eastlake Avenue, Suite 8302L Los Angeles, CA 90089	\$ 1,500,000 00	Norris Healthcare Consultation Center 10 Year Pledge
Torrance Memorial Medical Center Health Care Foundation 3330 Lomita Boulevard Torrance, CA 90505-5073	\$ 500,000 00	ICU Physician Specialist/Intensivist
USO San Diego 303 A Street, Suite 100 San Diego, CA 92101	\$ 500,000 00	The Norris Support Center for Military Families
City of Hope 1500 East Duarte Road Duarte, CA 91010	\$ 400,000 00	Phase I Clinical Trial of HER2 - Specific CAR T Cell Therapy for Patients with Brain Metastatic Breast Cancer
Providence Little Company of Mary Foundation 4101 Torrance Boulevard Torrance, CA 90503	\$ 400,000 00	Heart to Heart Campaign - OPTIS Integrated System, EPIQ 7, and Aisys CS2
Huntington Library, Art Collections, and Botanical Gardens 1151 Oxford Road San Marino, CA 91108	\$ 250,000 00	The Education and Visitor Center Capital Project 4 Year Pledge
Los Angeles Biomedical Research Institute at Harbor-UCLA Medical Center Development Department 1124 West Carson Street Torrance, CA 90502	\$ 250,000 00	Plasma-Based Liquid Biopsies in Diagnosis and Treatment of Thyroid Cancer
Palos Verdes Performing Arts 27570 Norris Center Drive Rolling Hills Estates, CA 90274	\$ 200,000 00	PVPA Organizational Budget
AltaSea at the Port of Los Angeles 222 West 6th Street, Suite 1010 San Pedro, CA 90731	\$ 100,000 00	Warehouse 58 Improvements/LA Waterfront STEM Network Classroom 4 Year Pledge
Aquarium of the Pacific 100 Aquarium Way Long Beach, CA 90802	\$ 100,000 00	Campus Master Plan (Pacific Visions) 5 Year Pledge
California Science Center Foundation 700 Exposition Park Drive Los Angeles, CA 90037	\$ 100,000 00	Samuel Oschin Air and Space Center Mission 26 ET Comes Home 5 Year Pledge
Catalina Island Conservancy 330 Golden Shore, Suite 170 Long Beach, CA 90802	\$ 100,000 00	NatureWorks - STEM Eco-Literacy Program and Trailhead Visitors Center Capital Project

Just in Time for Foster Youth P O Box 81292 San Diego, CA 92138	\$ 100,000.00	Just in Time for Foster Youth Community Service Center
American Film Institute 2021 North Western Avenue Los Angeles, CA 90027-1657	\$ 85,000.00	General Operating / AFI Conservatory / Scholarship
Children's Hospital Los Angeles 4650 Sunset Boulevard, MS #29 Los Angeles, CA 90027	\$ 85,000.00	Genomic and Immunologic Approaches for Identification of Novel Therapies in Neuroblastoma
Palos Verdes Art Center 5504 West Crestridge Road Rancho Palos Verdes, CA 90275	\$ 75,000.00	80th Anniversary Legacy Campaign
Blind Children's Center, Inc 4120 Marathon Street Los Angeles, CA 90029	\$ 70,000.00	Preschool - 2nd Grade Literacy Program
Autry Museum of the American West 4700 Western Heritage Way Los Angeles, CA 90027	\$ 50,000.00	2017 Masters of the American West Fine Art Exhibition and Sale
Geffen Playhouse 10886 Le Conte Avenue Los Angeles, CA 90024	\$ 50,000.00	Veterans Writing & Performance Program
Greater Los Angeles Zoo Association 5333 Zoo Drive Los Angeles, CA 90027	\$ 50,000.00	Species Conservation Action Network Initiative 5 Year Pledge
Hillsides 940 Avenue 64 Pasadena, CA 91105	\$ 50,000.00	Creating Lasting Change Capital Campaign 5 Year Pledge
Memorial Medical Center Foundation 2801 Atlantic Avenue Long Beach, CA 90806	\$ 50,000.00	Transition of Care
Natural History Museum of Los Angeles County 900 Exposition Boulevard Los Angeles, CA 90007	\$ 50,000.00	School and Teacher Programs
Orthopaedic Institute for Children Foundation 403 West Adams Boulevard Los Angeles, CA 90007	\$ 50,000.00	OICare for Kids Fund
Pacific Battleship Center (Battleship IOWA) 250 South Harbor Boulevard San Pedro, CA 90731	\$ 50,000.00	Digital Innovation Museum and Educational Experience
Switzer Learning Center 2201 Amapola Court Torrance, CA 90501	\$ 50,000.00	Technology Revolution
USC - Town and Gown 620 West McCarthy Way, Suite 10 Los Angeles, CA 90089	\$ 50,000.00	Town and Gown of USC Eileen Norris Scholarship Fund

YMCA - Ketchum/Downtown 401 South Hope Street Los Angeles, CA 90071	\$ 50,000 00	The Youth and Family Health and Well-Being Initiative
YMCA - Wilmington 1127 North Avalon Boulevard Wilmington, CA 90744	\$ 50,000 00	Wilmington Aquatic Center Project 5 Year Pledge
Children's Burn Foundation 5000 Van Nuys Boulevard, Suite 210 Sherman Oaks, CA 91403	\$ 45,000 00	Full Recovery Program
Keck Graduate Institute of Applied Life Sciences 535 Watson Drive Claremont, CA 91711	\$ 45,000 00	2018 Summer Undergraduate Research Experience
Peninsula Friends of the Library P O Box 2361 Palos Verdes Peninsula, CA 90274	\$ 45,000 00	Sunday Hours at the Peninsula Center Library
Birch Aquarium at Scripps Institute of Oceanography, UC San Diego 9500 Gilman Drive, # 0207 La Jolla, CA 92093	\$ 40,000 00	Modular Laboratory Classroom
Casa Colina Hospital and Centers for Healthcare 255 East Bonita Avenue Pomona, CA 91767	\$ 40,000 00	A Year and Beyond After Traumatic Brain Injury What's Happening to the Recovering Brain?
Los Angeles County Museum of Art 5905 Wilshire Boulevard Los Angeles, CA 90036	\$ 40,000 00	Chagall: Fantasies for the Stage
California Academy of Mathematics and Science c/o California State University Dominguez Hills Foundation 1000 East Victoria Street Carson, CA 90747	\$ 35,000 00	Robotics Program
Long Beach Public Library Foundation 101 Pacific Avenue Long Beach, CA 90822	\$ 35,000 00	Family Learning Centers
Occidental College 1600 Campus Road, M-44 Los Angeles, CA 90041	\$ 35,000 00	Norris Science Scholars Program
Palos Verdes Peninsula Education Foundation P O Box 2632 Palos Verdes Peninsula, CA 90274	\$ 35,000 00	College & Career Centers
PBS SoCal 10100 Santa Monica Boulevard, Suite 1070 Los Angeles, CA 90067	\$ 35,000 00	Ready To Learn
A Place Called Home 2830 South Central Avenue Los Angeles, CA 90011	\$ 30,000 00	General Operating Support
Boys & Girls Clubs of the Los Angeles Harbor 1200 South Cabrillo Avenue San Pedro, CA 90731	\$ 30,000 00	College Bound

Friends of Banning Museum P O Box 1927 Wilmington, CA 90748	\$ 30,000 00	Banning Heritage Week
Harbor Community Clinic 593 West 6th Street San Pedro, CA 90731	\$ 30,000 00	Diabetes Education, Screening, Prevention, and Treatment
Junior Blind of America 5300 Angeles Vista Boulevard Los Angeles, CA 90043	\$ 30,000 00	Junior Blind's Children's Services
Library Foundation of Los Angeles 630 West Fifth Street Los Angeles, CA 90071	\$ 30,000 00	We Read Together
Los Angeles Maritime Institute Berth 73, Suite 2 San Pedro, CA 90731	\$ 30,000 00	TopSail STEM Program
Palos Verdes Peninsula Land Conservancy P O Box 3427 Rolling Hills Estates, CA 90274	\$ 30,000 00	Third Grade Naturalist Program
Pediatric Therapy Network 1815 West 213th Street, Suite 100 Torrance, CA 90501	\$ 30,000 00	Core Operations & Expansion
Pepperdine University 24255 Pacific Coast Highway Malibu, CA 90263	\$ 30,000 00	University Events Center 2 Year Pledge
Public Counsel 610 South Ardmore Avenue Los Angeles, CA 90005	\$ 30,000 00	Vulnerable Children Program
UCLA Foundation - School of Theater, Film and Television 10920 Wilshire Boulevard 14th Floor Los Angeles, CA 90024	\$ 30,000 00	MFA Film, Television and Digital Media Student Scholarships
USC - Flora L. Thornton School of Music 840 West 34th Street, MUS 408 Los Angeles, CA 90089	\$ 30,000 00	USC Thornton Community Engagement Program
USC - Viterbi School of Engineering 1150 South Olive Street, Suite 1510 Los Angeles, CA 90015	\$ 30,000 00	Women in Engineering Program
Autry Museum of the American West 4700 Western Heritage Way Los Angeles, CA 90027	\$ 25,000 00	Autry Education Outreach Programs
Best Friends Animal Society 5001 Angel Canyon Road Kanab, Utah 84741-5000	\$ 25,000 00	Unrestricted/General Support
Boys to Men Mentoring Network, Inc 9587 Tropico Drive La Mesa, CA 91941	\$ 25,000 00	Boys to Men Mentoring Program

California Institute of Technology Office of the President M/C 204-31 1200 East California Boulevard Pasadena, CA 91125	\$ 25,000 00	Single-Cell Reprogramming and Analysis of Cancer
CSU Dominguez Hills Philanthropic Foundation 1000 East Victoria Street Welch Hall 425 Carson, CA 90747	\$ 25,000 00	Faculty Mini-Grants
Da Camera Society of Mount St Mary's University, The 10 Chester Place Los Angeles, CA 90007	\$ 25,000 00	Chamber Music in Historic Sites Concerts
Dientes Community Dental Care 5300 Soquel Avenue, Suite 103 Santa Cruz, CA 95062	\$ 25,000 00	Access to Specialty Dental Care for Underserved Children, Adults, and Seniors in Santa Cruz County
Five Acres-The Boys' and Girls' Aid Society of Los Angeles County 760 West Mountain View Street Altadena, CA 91001	\$ 25,000 00	Five Acres Residential Treatment Center Program
Golden West College Foundation 15744 Golden West Street Huntington Beach, CA 92647	\$ 25,000 00	New Female Computerized Simulator
GRAMMY Museum 800 West Olympic Boulevard, Suite A245 Los Angeles, CA 90015	\$ 25,000 00	Music Education for K-12 Students
Harvey Mudd College 301 Platt Boulevard Claremont, CA 91711	\$ 25,000 00	President's Scholars Program
Jester & Pharley Phund, The P O Box 817 Palos Verdes Estates, CA 90274	\$ 25,000 00	Smiles For Kids With Cancer
Kidspace Children's Museum 480 North Arroyo Boulevard Pasadena, CA 91103	\$ 25,000 00	Kidspace After-School Science Program
Museum of Making Music, a division of the NAMM Foundation 5790 Armada Drive Carlsbad, CA 92008	\$ 25,000 00	Permanent Exhibition Upgrade
My Stuff Bags Foundation 5347 Sterling Center Drive Westlake Village, CA 91361	\$ 25,000 00	2017 Southern California My Stuff Bags Project
Para Los Niños 5000 Hollywood Boulevard Los Angeles, CA 90027	\$ 25,000 00	Get TECH!
Port of Los Angeles High School 250 West 5th Street San Pedro, CA 90731	\$ 25,000 00	POLAHS Physics Program

School on Wheels, Inc P O Box 23371 Ventura, CA 93002	\$ 25,000 00	Implementation of Strategic Plan
Shoes That Fit 1420 North Claremont Boulevard, Suite 204-A Claremont, CA 91711	\$ 25,000 00	Communications and Marketing Project
Stone Soup Child Care Programs 15910 Ventura Boulevard, Suite 1420 Encino, CA 91436	\$ 25,000 00	Tuition Assistance and Staff Training and 1 1 Aides for Special Needs Students
Students Run LA 5252 Crebs Avenue Tarzana, CA 91356	\$ 25,000 00	Training for a Marathon Training for Life
Teach for America - Los Angeles 606 South Olive Street, Suite 300 Los Angeles, CA 90014	\$ 25,000 00	Expanding Educational Opportunities in Los Angeles
Team Prime Time, Inc 3740 Overland Avenue, Suite F Los Angeles, CA 90034	\$ 25,000 00	Norris 30 Learning Groups/Prime Time Games Expansion
USC - The Center on Philanthropy and Public Policy School of Policy, Planning, and Development 650 Childs Way, Room 210 Los Angeles, CA 90089-0626	\$ 25,000 00	Donor Education for Strategic and Meaningful Giving
1736 Family Crisis Center 2116 Arlington Avenue, Suite 200 Los Angeles, CA 90018	\$ 20,000 00	Emergency Youth Shelter Program
Best Buddies California 3731 Stocker Street, Suite 212 Los Angeles, CA 90008	\$ 20,000 00	Best Buddies High School Project
Carnegie Observatories of the Carnegie Institution for Science 813 Santa Barbara Street Pasadena, CA 91101	\$ 20,000 00	Carnegie Observatories Astronomy Lecture Series
Chamber Orchestra of the South Bay P O Box 2095 Palos Verdes Peninsula, CA 90274	\$ 20,000 00	Season Soloists
Colburn School, The 200 South Grand Avenue Los Angeles, CA 90012	\$ 20,000 00	Jumpstart Young Musicians Program
Exceptional Children's Foundation 5350 Machado Road Culver City, CA 90232	\$ 20,000 00	Kayne Eras School
Fleet Science Center P O Box 33303 San Diego, CA 92163	\$ 20,000 00	Myth Busters The Explosive Exhibition
Friends of Cabrillo Marine Aquarium 3720 Stephen M. White Drive San Pedro, CA 90731	\$ 20,000 00	Youth Marine Science and Conservation Education

Geffen Playhouse 10886 Le Conte Avenue Los Angeles, CA 90024	\$ 20,000 00	High School Partnerships Program
Hathaway-Sycamores Child and Family Services 210 South De Lacey Avenue, Suite 110 Pasadena, CA 91105	\$ 20,000 00	Science Technology Engineering & Math (STEM) for At-Risk Students
Institute for the Redesign of Learning 625 Fair Oaks Avenue, Suite 300 South Pasadena, CA 91030	\$ 20,000 00	Westmoreland Academy Special Needs Playground
Jimmy Miller Memorial Foundation 2711 North Sepulveda Boulevard #331 Manhattan Beach, CA 90266	\$ 20,000 00	Military Ocean Therapy Programs
Las Floristas, Inc 11 Vista del Golfo Long Beach, CA 90803	\$ 20,000 00	Rancho Los Amigos National Rehabilitation Center Pediatric Program Support
Liberty Painting Corporation 108 West Miner Street Yreka, CA 96097	\$ 20,000 00	Community Exhibition Support
Long Beach Museum of Art 2300 East Ocean Boulevard Long Beach, CA 90803	\$ 20,000 00	Rafael Soriano The Artist as Mystic Exhibition
Los Angeles County Museum of Art 5905 Wilshire Boulevard Los Angeles, CA 90036	\$ 20,000 00	Evenings for Educators
Los Angeles Opera Company 135 North Grand Avenue Los Angeles, CA 90012	\$ 20,000 00	LA Opera's Education Programs
Old Globe Theatre P O Box 122171 San Diego, CA 92112	\$ 20,000 00	The 2017 Globe for All Tour
P F Bresee Foundation 184 South Bimini Place Los Angeles, CA 90004	\$ 20,000 00	Holistic Youth Development for Low-Income Youth in Central Los Angeles
Painted Turtle, The 1300 4th Street, Suite 300 Santa Monica, CA 90401	\$ 20,000 00	2017 Medical Specialty Camp
Performing Arts Center of Los Angeles County/The Music Center 135 North Grand Avenue Los Angeles, CA 90012	\$ 20,000 00	Very Special Arts Festival
South Bay Center for Counseling (Previously Known As Bring Me A Book Foundation) 607 Ruby Street Redondo Beach, CA 90277	\$ 20,000 00	Bring Me A Book Early Literacy Reading Readiness Initiative
Toberman Neighborhood Center 131 North Grand Avenue San Pedro, CA 90731	\$ 20,000 00	Family Learning Program

USC - Classical KUSC Radio P O Box 7193 Los Angeles, CA 90007	\$ 20,000 00	General Operating Support
USC - Physically Challenged Athletes Scholarship Fund Heritage Hall 3501 Watt Way Los Angeles, CA 90089-0602	\$ 20,000 00	Physically Challenged Athletes Scholarship Fund
Veterans Village of San Diego 4141 Pacific Highway San Diego, CA 92110	\$ 20,000 00	VVSD School of Music for Recovery
Workshops for Warriors 2970 Main Street San Diego, CA 92113	\$ 20,000 00	Advanced Manufacturing Program for Young Veterans
YMCA - Torrance/South Bay 2900 West Sepulveda Boulevard Torrance, CA 90505	\$ 20,000 00	Before and After School Child Care
Council on Foundations P O Box 75661 Baltimore, MD 21275-5661	\$ 19,600 00	2017 Membership Renewal
Devil Pups, Inc P O Box 6607 Westlake Village, CA 91359	\$ 18,000 00	Devil Pups Summer Encampment
A Noise Within 3352 East Foothill Boulevard Pasadena, CA 91107	\$ 15,000 00	Classics Live! Education Program
Algalita Marine Research and Education 148 North Marina Drive Long Beach, CA 90803	\$ 15,000 00	Research and Education Programs
Aquarium of the Pacific 100 Aquarium Way Long Beach, CA 90802	\$ 15,000 00	Ocean Science Education Program
Boys & Girls Club of Pasadena 3230 East Del Mar Boulevard Pasadena, CA 91107	\$ 15,000 00	Project Learn
College Track 2130 East 1st Street Boyle Heights, CA 90033	\$ 15,000 00	Creating a College Going Culture in Los Angeles
Dream Street Foundation 324 South Beverly Drive, Suite 500 Beverly Hills, CA 90212	\$ 15,000 00	Camp Dream Street
Foundation for Second Chances 3734 West Slauson Avenue Los Angeles, CA 90043	\$ 15,000 00	Foundation for Second Chances STEM Equity Program
Friends of Rancho San Pedro 18127 South Alameda Street Rancho Dominguez, CA 90220	\$ 15,000 00	Education and Outreach Programs

Friends of Santa Ana Zoo 1801 East Chestnut Avenue Santa Ana, CA 92701	\$ 15,000 00	Western Pond Turtle Education Station
Liberty Painting Corporation 108 West Miner Street Yreka, CA 96097	\$ 15,000 00	Community Exhibitions
Los Angeles Conservancy 523 West 6th Street, Suite 826 Los Angeles, CA 90014	\$ 15,000 00	Education and Outreach
Los Angeles County High School for the Arts 1149 S Hill Street, Suite H-100 Los Angeles, CA 90015	\$ 15,000 00	Dance Education Program
Marine Corps Scholarship Foundation 909 North Washington Street, Suite 400 Alexandria, VA 22314	\$ 15,000 00	Scholarship Support for Children from California
NatureBridge 28 Geary Street San Francisco, CA 91408	\$ 15,000 00	Environmental Science in the Santa Monica Mountains
Old Town Music Hall, Inc 140 Richmond Street El Segundo, CA 90245	\$ 15,000 00	Upgrade 2017
Peninsula Symphony Association P O Box 2602 Palos Verdes Peninsula, CA 90274	\$ 15,000 00	The Norris Foundation Concert
Reading is Fundamental of Southern California, Inc 7250 Bandini Boulevard, Suite 209 Los Angeles, CA 90040	\$ 15,000 00	General Operating Support
Regents of the University of California, The One Shields Avenue 230 Physical Sciences and Engineering Library - COSMOS Davis, CA 95616	\$ 15,000 00	COSMOS Scholarships
Rolling Hills Preparatory School One Rolling Hills Prep Way San Pedro, CA 90732	\$ 15,000 00	Using Robotics to Encourage and Facilitate STEM in Underprivileged Children
Ronald McDonald House Charities of San Diego 2929 Children's Way San Diego, CA 92123	\$ 15,000 00	Meals and Educational Programs
SCGA Foundation 3740 Cahuenga Boulevard Studio City, CA 91604	\$ 15,000 00	SCGA Junior Instruction Program
Solutions for Change, Inc 722 West California Avenue Vista, CA 92083	\$ 15,000 00	Manager of Youth Services
Southern California Public Radio 474 South Raymond Avenue Pasadena, CA 91105	\$ 15,000 00	Southern California Public Radio's Education Coverage

Thelonious Monk Institute of Jazz 445 Charles E Young Drive East Schoenberg Hall Los Angeles, CA 90095	\$ 15,000 00	Jazz in the Classroom
TreePeople, Inc 12601 Mulholland Drive Beverly Hills, CA 90210	\$ 15,000 00	School Greening
United States Adaptive Recreation Center P O Box 2897 Big Bear Lake, CA 92315-2897	\$ 15,000 00	Outdoor Therapeutic Recreation Lessons for Children with Disabilities Residing in Los Angeles County
YMCA - Siskiyou 350 North Foothill Drive Yreka, CA 96097	\$ 15,000 00	Operable Wall - Aerobics Room
Stable Hands P O Box 1852 Yreka, CA 96097	\$ 12,000 00	Equine Assisted Activities and Therapy for Youth
Activities For Retarded Children 6456 Whitsett Avenue North Hollywood, CA 91606	\$ 10,000 00	Physical Fitness & Healthy Nutrition
ArtCenter College of Design 1700 Lida Street Pasadena, CA 91103	\$ 10,000 00	The ArtCenter - Petersen Education Partnership
Athletes in the Making 635 Academy Road Los Angeles, CA 90012	\$ 10,000 00	Team AIM Physical Education
Balboa Park Cultural Partnership 1549 El Prado Balboa Park, Suite 4 San Diego, CA 92101	\$ 10,000 00	Community Access Pass Program
Boy Scouts of America-Long Beach Area Council 401 East 37th Street Long Beach, CA 90807	\$ 10,000 00	ScoutReach
Cabrillo Festival of Contemporary Music 147 South River Street, Suite 232 Santa Cruz, CA 95060	\$ 10,000 00	2017 Cabrillo Festival of Contemporary Music
California Institute of the Arts 24700 McBean Parkway Valencia, CA 91355	\$ 10,000 00	Scholarships for The Herb Alpert School of Music at CalArts
Catalina Island Museum P O Box 366 Santa Catalina Island Avalon, CA 90704	\$ 10,000 00	Cultural Exhibition Fund
Center Theatre Group 601 West Temple Street Los Angeles, CA 90012	\$ 10,000 00	Education and Community Partnerships
EngAGE, Inc 240 East Verdugo Avenue, Suite 100 Burbank, CA 91502	\$ 10,000 00	EngAGE in Creativity

Epilepsy Foundation of San Diego County 2055 El Cajon Boulevard San Diego, CA 92104	\$ 10,000 00	Unrestricted/General Support
Fellowship of Christian Athletes P O Box 2196 Vista, CA 92085	\$ 10,000 00	Unrestricted/General Support
For Inspiration and Recognition of Science and Technology 200 Bedford Street Manchester, NH 03101	\$ 10,000 00	FIRST Robotics Competition Los Angeles Regional 2018
Friends of Banning Museum P O Box 1927 Wilmington, CA 90748	\$ 10,000 00	Stagecoach Office
Friends of the Girls Academic Leadership Academy 1067 West Boulevard Los Angeles, CA 90019	\$ 10,000 00	Girls Academic Leadership Academy
Gabriella Foundation, The 639 South Commonwealth Ave , Suite B Los Angeles, CA 90005	\$ 10,000 00	Everybody Dance!
Grand Vision Foundation 434 West 6th Street San Pedro, CA 90731	\$ 10,000 00	Meet the Music
House of Blues Music Forward Foundation 7060 Hollywood Boulevard, Floor 3 Los Angeles, CA 90028	\$ 10,000 00	Bringing Down the House
Inner-City Arts 720 Kohler Street Los Angeles, CA 90021	\$ 10,000 00	Learning and Achieving Through the Arts
International City Theatre P O Box 1690 Long Beach, CA 90801	\$ 10,000 00	Operating Support
Las Floristas, Inc 11 Vista del Golfo Long Beach, CA 90803	\$ 10,000 00	Scholarship Dinner
Laughing Pony Rescue 7143 Vial del Charro Rancho Santa Fe, CA 92067	\$ 10,000 00	General Operating Support
Liberty Painting Corporation 108 West Miner Street Yreka, CA 96097	\$ 10,000 00	Community Exhibitions and General Operating Support
Long Beach Bar Foundation 3515 Linden Avenue Long Beach, CA 90807	\$ 10,000 00	Shortstop Juvenile Crime Diversion Program
Los Angeles Chamber Orchestra Society, Inc 350 South Figueroa Street, Suite 183 Los Angeles, CA 90071	\$ 10,000 00	Meet the Music

Los Angeles City Elementary Schools Music Association P O Box 361 Topanga, CA 90290	\$ 10,000 00	Scholarship Program
Los Angeles Conservation Corps 605 West Olympic Boulevard, Suite 450 Los Angeles, CA 90015	\$ 10,000 00	Clean & Green
Los Angeles Master Chorale Association 135 North Grand Avenue Los Angeles, CA 90012	\$ 10,000 00	28th Annual High School Choir Festival
Los Angeles Philharmonic Association 151 South Grand Avenue Los Angeles, CA 90012	\$ 10,000 00	Symphonies for Schools
Los Angeles Waterkeeper 120 Broadway, Suite 105 Santa Monica, CA 90401	\$ 10,000 00	Watershed Training Program
Museum of Contemporary Art, The 250 South Grand Avenue Los Angeles, CA 90012	\$ 10,000 00	Contemporary Art Start & Sunday Studio
Museum of Making Music, a division of the NAMM Foundation 5790 Armada Drive Carlsbad, CA 92008	\$ 10,000 00	Albert Lee and Cindy Cashdollar Concert
No Limits for Deaf Children 9801 Washington Boulevard, 2nd Floor Culver City, CA 90232	\$ 10,000 00	No Limits Educational Center
Ocean Institute 24200 Dana Point Harbor Drive Dana Point, CA 92629	\$ 10,000 00	Watershed Program
Oceanographic Teaching Stations - Roundhouse Aquarium P O Box 1 Manhattan Beach, CA 90267	\$ 10,000 00	Free Marine Science and Environmental Education Field Trip Program
Palos Verdes Concours d'Elegance 1921 South Catalina Avenue, Suite 5 Redondo Beach, CA 90277	\$ 10,000 00	Palos Verdes Concours d'Elegance
Pasadena State Theater of California, Inc (The Pasadena Playhouse) 600 Playhouse Alley, Suite 300 Pasadena, CA 91101	\$ 10,000 00	New Generations Theatre Access Program for Youth
PowerMyLearning, Inc 800 Wilshire Boulevard Suite 200 Los Angeles, CA 90017	\$ 10,000 00	Personalized Learning in Science Education
Racers Who Care 7095 Hollywood Boulevard, #769 Hollywood, CA 90028	\$ 10,000 00	Quick Tips on Winning in Life Field Trip Programs for Kids & Teens
Reef Check Foundation 1372 Fiji Way, B-2 Marina del Rey, CA 90292	\$ 10,000 00	Student Ocean Scientists STEM-Enrichment Program

San Diego Air & Space Museum 2001 Pan American Plaza San Diego, CA 92101	\$ 10,000.00	Fly Your Ride Engineering Competition
San Diego Natural History Museum P O Box 121390 San Diego, CA 92112-1390	\$ 10,000.00	Environmental Science Education Programs
San Diego Public Library Foundation 330 Park Boulevard San Diego, CA 92101	\$ 10,000.00	STEM Programming for Underserved Youth
Santa Cecilia Orchestra 2759 West Broadway Los Angeles, CA 90041	\$ 10,000.00	Discovering Music
Shakespeare by the Sea 777 Centre Street San Pedro, CA 90731	\$ 10,000.00	20th Anniversary Season of Admission Free Community Shakespeare
Siskiyou Domestic Violence & Crisis Center P O Box 688 Yreka, CA 96097	\$ 10,000.00	Siskiyou Domestic Violence & Crisis Center
South Central Scholars Foundation 1414 South Grand Avenue Los Angeles, CA 90015	\$ 10,000.00	The College Success Program
South Coast Botanic Garden 26300 Crenshaw Boulevard Palos Verdes Peninsula, CA 90274	\$ 10,000.00	The Rose Garden Renovation Project
Southern California Institute of Architecture 960 East 3rd Street Los Angeles, CA 90013	\$ 10,000.00	Undergraduate Scholarships
Special Olympics Southern California, Inc 1600 Forbes Way, Suite 200 Long Beach, CA 90810	\$ 10,000.00	Special Olympics Southern California Schools Program
Team Rubicon 6171 Century Blvd , Suite 310 Los Angeles, CA 90045	\$ 10,000.00	Unrestricted/General Support
United Through Reading 1455 Frazee Road, Suite 500 San Diego, CA 92108	\$ 10,000.00	United Through Reading for Military Families in Southern California
USC - Men's Crew USC Lyons Center 1026 West 34th Street Los Angeles, CA 90089-2500	\$ 10,000.00	Repair of the Steven B Sample 8-Man Rowing Shell
Veterans Village of San Diego 4141 Pacific Highway San Diego, CA 92110	\$ 10,000.00	Guitars for Vets Music Program
Wishtoyo Foundation 9452 Telephone Road, #432 Ventura, CA 93004	\$ 10,000.00	Chumash Environmental Education and Outreach Program

YMCA - West Valley 18810 Vanowen Street Reseda, CA 91335	\$ 10,000 00	Youth and Teen Development Programs
Young Musicians Foundation 244 South San Pedro Street 5th Floor, Suite 506 Los Angeles, CA 90012	\$ 10,000 00	Teaching Artist Program
Zoological Society of San Diego P O Box 120551 San Diego, CA 92112-0551	\$ 10,000 00	Conservation Science Investigation for Underserved Students
Southern California Grantmakers 1000 North Alameda Street Suite 230 Los Angeles, CA 90012	\$ 9,500 00	2017 Membership Renewal
Catalina Marine Society 15954 Leadwell Street Lake Balboa, CA 91406	\$ 7,500 00	Catalina Dynamic Ocean Chemistry
Angel Flight West 3161 Donald Douglas Loop South Santa Monica, CA 90405	\$ 5,000 00	Southern California Programs
Aptos Junior High School Library Pajaro Valley Unified School District 1001 Huntington Drive Aptos, CA 95003	\$ 5,000 00	Unrestricted/General Support
Austin Firefighters Relief & Outreach Fund 7537 Cameron Road Austin, TX 78752	\$ 5,000 00	Unrestricted/General Support
California Wildlife Center P O Box 2022 Malibu, CA 90265	\$ 5,000 00	Wildlife Animal Care Operations
Christian Outreach in Action 515 East Third Street Long Beach, CA 90802	\$ 5,000 00	Meals & Social Services
Covenant House California 1325 North Western Avenue Los Angeles, CA 90027	\$ 5,000 00	Safe Haven for Homeless and Trafficked Transition Aged Youth (18-24)
Fern Street Community Arts, Inc P O Box 5864 San Diego, CA 92165	\$ 5,000 00	Fern Street Circus Educational and Performance Programs
Friends of Banning Museum P O Box 1927 Wilmington, CA 90748	\$ 5,000 00	Banning Residence Museum Wisteria Regale
Friends of the Big Bear Alpine Zoo P O Box 2557 Big Bear City, CA 92314	\$ 5,000 00	Unrestricted/General Support

Hispanic Federation Unidos Disaster Relief Fund c/o Hispanic Federation New York, New York 10005	\$ 5,000 00	Unidos Disaster Relief Fund
LifeWire P O Box 6398 Bellevue, Washington 98008-0398	\$ 5,000 00	Unrestricted/General Support
Los Angeles Conservancy 523 West 6th Street, Suite 826 Los Angeles, CA 90014	\$ 5,000 00	Unrestricted/General Support
Mama's Kitchen 3960 Home Avenue San Diego, CA 92105	\$ 5,000 00	Children's Nutritional Health Program
Meals-on-Wheels Greater San Diego, Inc 2254 San Diego Avenue, Suite 200 San Diego, CA 92110	\$ 5,000 00	Senior Care in the Rural Areas of San Diego County
Miller PTA 1975 Miller Avenue Escondido, CA 92025	\$ 5,000 00	Convert VHS Tapes to DVDs and Purchase Books
Museum of Making Music, a division of the NAMM Foundation 5790 Armada Drive Carlsbad, CA 92008	\$ 5,000 00	Play It Forward Program
National Center for Family Philanthropy 1667 K Street, NW, Suite 550 Washington, DC 20006	\$ 5,000 00	2017 Membership
Project Linus 10209 San Gabriel Road NE Albuquerque, NM 87111	\$ 5,000 00	Unrestricted/General Support
Promises Foundation, The P O Box 662087 Los Angeles, CA 90066	\$ 5,000 00	Miriam's House
Rancho Santa Fe Foundation P O Box 811 Rancho Santa Fe, CA 92067	\$ 5,000 00	North County Senior Connections
Red Scarf Society for the Performing Arts P O Box 1824 Yreka, CA 96097	\$ 5,000 00	Educational Outreach
REINS P O Box 1283 Bonsall, CA 92003	\$ 5,000 00	Unrestricted/General Support
Salvation Army - San Pedro Sage House, The 180 East Ocean Boulevard, Suite 500 Long Beach, CA 90802	\$ 5,000 00	San Pedro Sage House
Salvation Army - Sierra del Mar Division, The 2320 Fifth Avenue San Diego, CA 92101	\$ 5,000 00	Shelter-Transitional/Employment Program Services

San Diego Children's Choir 123 Camino de la Reina San Diego, CA 92108	\$ 5,000 00	San Diego Children's Choir
San Diego Rescue Mission P O Box 80427 San Diego, CA 92138-0427	\$ 5,000 00	General Operating Support
San Diego Symphony Orchestra Association 1245 Seventh Avenue San Diego, CA 92101	\$ 5,000 00	San Diego Symphony Orchestra Children's Music Education Programs
Siena House Maternity Home of Santa Cruz County 108 High Street Santa Cruz, CA 95060	\$ 5,000 00	Unrestricted/General Support
Siskiyou County Library Vestal Foundation 719 4th Street Yreka, CA 96097	\$ 5,000 00	Friends of the Yreka Library
Solutions for Change, Inc 722 West California Avenue Vista, CA 92083	\$ 5,000 00	Unrestricted/General Support
Southern California Conservatory of Music 6671 Birchton Avenue West Hills, CA 91307	\$ 5,000 00	FAME - Free Access Music Education
USC - Physically Challenged Athletes Scholarship Fund Heritage Hall 3501 Watt Way Los Angeles, CA 90089-0602	\$ 5,000 00	Unrestricted/General Support
Volunteer Center South Bay-Harbor-Long Beach 1230 Cravens Avenue Torrance, CA 90501	\$ 5,000 00	De De Hicks Legacy Gift Funds
American Red Cross P O Box 37839 Boone, Iowa 50037-0839	\$ 4,000 00	Hurricane Harvey Relief Fund
Las Floristas, Inc 11 Vista del Golfo Long Beach, CA 90803	\$ 4,000 00	Scholarship Dinner
Constitutional Rights Foundation Orange County 4101 Westerly Place, Suite 101 Newport Beach, CA 92660	\$ 2,500 00	Peer Court 2017-2018
American Red Cross P O Box 37839 Boone, Iowa 50037-0839	\$ 2,000 00	Puerto Rico Relief Fund
Foodwhat Incorporated 1156 High Street Santa Cruz, CA 95064	\$ 2,000 00	Unrestricted/General Support
Life Lab Science Program 1156 High Street Santa Cruz, CA 95064	\$ 2,000 00	Unrestricted/General Support

Pediatric Therapy Network 1815 West 213th Street, Suite 100 Torrance, CA 90501	\$ 1,000 00	Camp Escapades
Total \$ 8,659,100.00		

FOOTNOTES

STATEMENT 8

WALTER ZANINO WAS INDIRECTLY COMPENSATED BY THE KENNETH T. AND EILEEN L. NORRIS FOUNDATION DURING THE TAXABLE YEAR AS EMPLOYEE OF KTN ENTERPRISES, INC., THE CORPORATION WHICH PROVIDES MANAGEMENT SERVICES TO THE FOUNDATION. THE AMOUNT OF HIS RELATED COMPENSATION FROM THE CORPORATION FOR THE PERIOD DECEMBER 1, 2016 THROUGH NOVEMBER 30, 2017 WAS \$324,558.50

THE COMPENSATION PAID DIRECTLY OR INDIRECTLY TO THE TRUSTEE WAS REASONABLE AND NECESSARY TO CARRY OUT THE EXEMPT PURPOSE OF THE FOUNDATION AND WAS NOT EXCESSIVE. THEREFORE, THE PAYMENTS OF COMPENSATION WERE NOT ACTS OF SELF-DEALING WITHIN THE MEANING OF REG. 53-4941(D) - (3)(C).