

Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning

DEC 1, 2016

and ending

NOV 30, 2017

Name of foundation

FULL MOON FOUNDATION

A Employer identification number

85-0406316

Number and street (or P.O. box number if mail is not delivered to street address)

1000 CORDOVA PLACE, #618

Room/suite

B Telephone number

(505) 984-3222

City or town, state or province, country, and ZIP or foreign postal code

SANTA FE, NM 87505-1725

C If exemption application is pending, check here ☐

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeD 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationE If private foundation status was terminated under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year

(from Part II, col. (c), line 16)

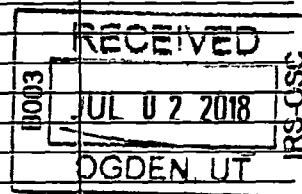
J Accounting method: ☒ Cash ☐ Accrual☐ Other (specify)F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

S 817,471. (Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

| | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|------------------------------------|---------------------------|-------------------------|---|
| 1 Contributions, gifts, grants, etc., received | 0. | | N/A | |
| 2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B | | | | |
| 3 Interest on savings and temporary cash investments | 6. | 6. | | STATEMENT 1 |
| 4 Dividends and interest from securities | 14,944. | 14,944. | | STATEMENT 2 |
| 5a Gross rents | | | | |
| b Net rental income or (loss) | | | | |
| 6a Net gain or (loss) from sale of assets not on line 10 | 25,926. | | | |
| b Gross sales price for all assets on line 6a | 292,270. | | | |
| 7 Capital gain net income (from Part IV, line 2) | | 25,926. | | |
| 8 Net short-term capital gain | | | | |
| 9 Income modifications | | | | |
| 10a Gross sales less returns and allowances | | | | |
| b Less: Cost of goods sold | | | | |
| c Gross profit or (loss) | | | | |
| 11 Other income | | | | |
| 12 Total. Add lines 1 through 11 | 40,876. | 40,876. | | |
| 13 Compensation of officers, directors, trustees, etc. | 0. | 0. | | 0. |
| 14 Other employee salaries and wages | | | | |
| 15 Pension plans, employee benefits | | | | |
| 16a Legal fees STMT 3 | 5,235. | 2,617. | | 2,618. |
| b Accounting fees | | | | |
| c Other professional fees STMT 4 | 3,839. | 3,839. | | 0. |
| 17 Interest | | | | |
| 18 Taxes STMT 5 | 315. | 305. | | 10. |
| 19 Depreciation and depletion | | | | |
| 20 Occupancy | | | | |
| 21 Travel, conferences, and meetings | | | | |
| 22 Printing and publications | | | | |
| 23 Other expenses | | | | |
| 24 Total operating and administrative expenses. Add lines 13 through 23 | 9,389. | 6,761. | | 2,628. |
| 25 Contributions, gifts, grants paid | 40,000. | | | 40,000. |
| 26 Total expenses and disbursements. Add lines 24 and 25 | 49,389. | 6,761. | | 42,628. |
| 27 Subtract line 26 from line 12. | | | | |
| a Excess of revenue over expenses and disbursements | <8,513.> | | | |
| b Net investment income (if negative, enter -0-) | | 34,115. | | |
| c Adjusted net income (if negative, enter -0-) | | | N/A | |



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| Part II Balance Sheets | | Beginning of year | End of year | | |
|--|---|---|----------------|-----------------------|--|
| Attached schedules and amounts in the description column should be for end-of-year amounts only. | | (a) Book Value | (b) Book Value | (c) Fair Market Value | |
| Assets | 1 Cash - non-interest-bearing | | | | |
| | 2 Savings and temporary cash investments | 12,537. | 12,258. | 12,258. | |
| | 3 Accounts receivable ▶ | | | | |
| | Less: allowance for doubtful accounts ▶ | | | | |
| | 4 Pledges receivable ▶ | | | | |
| | Less: allowance for doubtful accounts ▶ | | | | |
| | 5 Grants receivable | | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons | | | | |
| | 7 Other notes and loans receivable ▶ | | | | |
| | Less: allowance for doubtful accounts ▶ | | | | |
| | 8 Inventories for sale or use | | | | |
| | 9 Prepaid expenses and deferred charges | | | | |
| | 10a Investments - U.S. and state government obligations | | | | |
| | b Investments - corporate stock | | | | |
| | c Investments - corporate bonds | | | | |
| | Assets | 11 Investments - land, buildings, and equipment basis ▶ | | | |
| Less: accumulated depreciation ▶ | | | | | |
| 12 Investments - mortgage loans | | | | | |
| 13 Investments - other STMT 6 | | 732,509. | 724,275. | 805,213. | |
| 14 Land, buildings, and equipment; basis ▶ | | | | | |
| Less: accumulated depreciation ▶ | | | | | |
| 15 Other assets (describe ▶) | | | | | |
| 16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item i) | | 745,046. | 736,533. | 817,471. | |
| Liabilities | | 17 Accounts payable and accrued expenses | | | |
| | | 18 Grants payable | | | |
| | 19 Deferred revenue | | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | | |
| | 21 Mortgages and other notes payable | | | | |
| | 22 Other liabilities (describe ▶) | | | | |
| Net Assets or Fund Balances | 23 Total liabilities (add lines 17 through 22) | 0. | 0. | | |
| | Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31 | | | | |
| | 24 Unrestricted | 745,046. | 736,533. | | |
| | 25 Temporarily restricted | | | | |
| | 26 Permanently restricted | | | | |
| | Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31 | | | | |
| | 27 Capital stock, trust principal, or current funds | | | | |
| | 28 Paid-in or capital surplus, or land, bldg., and equipment fund | | | | |
| | 29 Retained earnings, accumulated income, endowment, or other funds | | | | |
| | 30 Total net assets or fund balances | 745,046. | 736,533. | | |
| 31 Total liabilities and net assets/fund balances | 745,046. | 736,533. | | | |

Part III Analysis of Changes in Net Assets or Fund Balances

| | | |
|---|---|----------|
| 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 745,046. |
| 2 Enter amount from Part I, line 27a | 2 | <8,513.> |
| 3 Other increases not included in line 2 (itemize) ▶ | 3 | 0. |
| 4 Add lines 1, 2, and 3 | 4 | 736,533. |
| 5 Decreases not included in line 2 (itemize) ▶ | 5 | 0. |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 736,533. |

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Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.) | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|--|--|--------------------------------------|----------------------------------|
| 1a SOLD SECURITIES - SEE ATTACHED | P | | |
| b CAPITAL GAINS DIVIDENDS | | | |
| c | | | |
| d | | | |
| e | | | |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a 283,494. | | 266,344. | 17,150. |
| b 8,776. | | | 8,776. |
| c | | | |
| d | | | |
| e | | | |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) |
|---------------------------|--------------------------------------|---|---|
| a | | | 17,150. |
| b | | | 8,776. |
| c | | | |
| d | | | |
| e | | | |

| | | | |
|---|---|---|---------|
| 2 Capital gain net income or (net capital loss) | { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 | 2 | 25,926. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 | | 3 | N/A |

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col. (b) divided by col. (c)) |
|--|--|--|---|
| 2015 | 25,059. | 729,678. | .034343 |
| 2014 | 27,498. | 781,455. | .035188 |
| 2013 | 10. | 791,705. | .000013 |
| 2012 | 1,758. | 722,225. | .002434 |
| 2011 | 56,575. | 651,636. | .086820 |

| | | |
|--|---|----------|
| 2 Total of line 1, column (d) | 2 | .158798 |
| 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | .031760 |
| 4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5 | 4 | 773,755. |
| 5 Multiply line 4 by line 3 | 5 | 24,574. |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 341. |
| 7 Add lines 5 and 6 | 7 | 24,915. |
| 8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions | 8 | 42,628. |

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

| | | | |
|--|---------------|----|--------|
| 1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions) | | 1 | 341. |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b | | 2 | 0. |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b). | | 3 | 341. |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | 4 | 0. |
| 3 Add lines 1 and 2 | | 5 | 341. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | | |
| 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- | | | |
| 6 Credits/Payments: | | | |
| a 2016 estimated tax payments and 2015 overpayment credited to 2016 | 6a 1,049. | 7 | 1,049. |
| b Exempt foreign organizations - tax withheld at source | 6b | 8 | |
| c Tax paid with application for extension of time to file (Form 8868) | 6c | 9 | |
| d Backup withholding erroneously withheld | 6d | 10 | 708. |
| 7 Total credits and payments. Add lines 6a through 6d | | 11 | 0. |
| 8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached | | | |
| 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed | | | |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid | | | |
| 11 Enter the amount of line 10 to be: Credited to 2017 estimated tax | 708. Refunded | | |

Part VII-A Statements Regarding Activities

| | Yes | No |
|--|-----|----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | | X |
| 1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. | | X |
| 1c Did the foundation file Form 1120-POL for this year? | | X |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0. | | |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0. | | |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities. | | X |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes | | X |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? | | X |
| b If "Yes," has it filed a tax return on Form 990-T for this year? | | X |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T | | X |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | X | |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV | X | |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) NM | | |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation | X | |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV | | X |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses | | X |

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Part VII-A Statements Regarding Activities (continued)

| | Yes | No |
|--|-----|----|
| 11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | | X |
| 12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | | X |
| 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | X | |
| Website address N/A | | |
| 14 The books are in care of LAWRENCE TAUB, ESQ Telephone no. 505-984-3222 Located at 1000 CORDOVA PLACE, #618 ZIP+4 87505 | | |
| 15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year 15 N/A | | |
| 16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | | X |
| See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country N/A | | |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

| | Yes | No |
|--|---|----|
| 1a During the year did the foundation (either directly or indirectly): | | |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? | N/A | |
| Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/> | | |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? | | X |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | |
| a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| If "Yes," list the years N/A | | |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) | N/A | |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here N/A | | |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016) | N/A | |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | | X |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016? | | X |

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation.**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|--|---|---|---|---------------------------------------|
| TOBI TRAVIS PO BOX 213 SUN VALLEY, ID 83353 | PRES, SEC, TREAS, DIR 1.00 | 0. | 0. | 0. |
| PHILLIP AARON HALEEN PO BOX 213 SUN VALLEY, ID 83353 | DIRECTOR, ASST. SEC. 1.00 | 0. | 0. | 0. |
| SEAN HALEEN PO BOX 213 SUN VALLEY, ID 83353 | DIRECTOR, V.P. 1.00 | 0. | 0. | 0. |
| | | | | |
| | | | | |
| | | | | |

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|------------------|---|---------------------------------------|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|---|---------------------|------------------|
| NONE | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

| | Expenses |
|-------|----------|
| 1 N/A | |
| | |
| 2 | |
| | |
| 3 | |
| | |
| 4 | |
| | |

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

| | Amount |
|--|--------|
| 1 N/A | |
| | |
| 2 | |
| | |
| All other program-related investments. See instructions. | |
| 3 | |
| | |
| | |
| | |

Total. Add lines 1 through 3

0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|---|---|----|----------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| a | Average monthly fair market value of securities | 1a | 772,268. |
| b | Average of monthly cash balances | 1b | 13,270. |
| c | Fair market value of all other assets | 1c | |
| d | Total (add lines 1a, b, and c) | 1d | 785,538. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1e | 0. |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | 0. |
| 3 | Subtract line 2 from line 1d | 3 | 785,538. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) | 4 | 11,783. |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 773,755. |
| 6 | Minimum investment return. Enter 5% of line 5 | 6 | 38,688. |

Part XI Distributable Amount (see instructions) (Section 4942(i)(3) and (i)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

| | | | |
|----|--|----|---------|
| 1 | Minimum investment return from Part X, line 6 | 1 | 38,688. |
| 2a | Tax on investment income for 2016 from Part VI, line 5 | 2a | 341. |
| b | Income tax for 2016. (This does not include the tax from Part VI.) | 2b | |
| c | Add lines 2a and 2b | 2c | 341. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | 3 | 38,347. |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | 0. |
| 5 | Add lines 3 and 4 | 5 | 38,347. |
| 6 | Deduction from distributable amount (see instructions) | 6 | 0. |
| 7 | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7 | 38,347. |

Part XII Qualifying Distributions (see instructions)

| | | | |
|---|---|----|---------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 42,628. |
| b | Program-related investments - total from Part IX-B | 1b | 0. |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 42,628. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5 | 341. |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4 | 6 | 42,287. |

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2015 | (c) 2015 | (d) 2016 |
|---|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2016 from Part XI, line 7 | | | | 38,347. |
| 2 Undistributed income, if any, as of the end of 2016 | | | | |
| a Enter amount for 2015 only | | | 0. | |
| b Total for prior years. | | 0. | | |
| 3 Excess distributions carryover, if any, to 2016. | | | | |
| a From 2011 | 16,260. | | | |
| b From 2012 | | | | |
| c From 2013 | | | | |
| d From 2014 | | | | |
| e From 2015 | | | | |
| f Total of lines 3a through e | 16,260. | | | |
| 4 Qualifying distributions for 2016 from Part XII, line 4: ▶ \$ | 42,628. | | | |
| a Applied to 2015, but not more than line 2a | | | 0. | |
| b Applied to undistributed income of prior years (Election required - see instructions) | | 0. | | |
| c Treated as distributions out of corpus (Election required - see instructions) | 0. | | | |
| d Applied to 2016 distributable amount | | | | 38,347. |
| e Remaining amount distributed out of corpus | 4,281. | | | |
| 5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).) | 0. | | | 0. |
| 6 Enter the net total of each column as indicated below: | | | | |
| a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 | 20,541. | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b | | 0. | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | 0. | | |
| d Subtract line 6c from line 6b. Taxable amount - see instructions | | 0. | | |
| e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr. | | | 0. | |
| f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017 | | | | 0. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) | 0. | | | |
| 8 Excess distributions carryover from 2011 not applied on line 5 or line 7 | 16,260. | | | |
| 9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a | 4,281. | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2012 | | | | |
| b Excess from 2013 | | | | |
| c Excess from 2014 | | | | |
| d Excess from 2015 | | | | |
| e Excess from 2016 | 4,281. | | | |

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling

- b**
- Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

- b 85% of line 2a

- c** Qualifying distributions from Part XII,
line 4 for each year listed

- d** Amounts included in line 2c not used directly for active conduct of exempt activities

- e Qualifying distributions made directly for active conduct of exempt activities.

- 3 Subtract line 2d from line 2c. Complete 3a, b, or c for the alternative test relied upon:

- a "Assets" alternative test - enter:
(1) Value of all assets

- (2) Value of assets qualifying under section 4942(j)(3)(B)(i)

- b "Endowment" alternative test** - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

- c "Support" alternative test - enter:**

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

- (3) Largest amount of support from an exempt organization

- (4) Gross investment income

Part XV **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

- 1 Information Regarding Foundation Managers:**

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2))

SEE STATEMENT 7

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

- b The form in which applications should be submitted and information and materials they should include:**

- c Any submission deadlines?**

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Form 990-PF (2016)

FULL MOON FOUNDATION

85-0406316

Page 11

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|--|--------------------------------------|-------------------------------------|---------|
| a Paid during the year | | | | |
| WOMEN'S AND CHILDREN'S ALLIANCE 720 W WASHINGTON STREET BOISE, ID 83702 | NONE | PUBLIC CHARITY | GENERAL SUPPORT | 5,000. |
| EDUCATION WITHOUT BORDERS 1414 N AINSWORTH STREET PORTLAND, OR 97217 | NONE | PUBLIC CHARITY | GENERAL SUPPORT | 25,000. |
| JOIN 1435 NE 81ST AVE #100 PORTLAND, OR 97213 | NONE | PUBLIC CHARITY | GENERAL SUPPORT | 10,000. |
| | | | | |
| | | | | |
| Total | | | ▶ 3a | 40,000. |
| b Approved for future payment | | | | |
| NONE | | | | |
| | | | | |
| | | | | |
| Total | | | ▶ 3b | 0. |

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Part XVI-A

Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

| Enter gross amounts unless otherwise indicated. | | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) |
|---|-------------------------|---------------------------|-------------------------------|--------------------------------------|----|--------------------------------------|
| | (a) Business code | (b) Amount | (c) Exclu- sion code | (d) Amount | | Related or exempt function income |
| 1 Program service revenue: | | | | | | |
| a _____ | | | | | | |
| b _____ | | | | | | |
| c _____ | | | | | | |
| d _____ | | | | | | |
| e _____ | | | | | | |
| f _____ | | | | | | |
| g Fees and contracts from government agencies | | | | | | |
| 2 Membership dues and assessments | | | | | | |
| 3 Interest on savings and temporary cash investments | | | 14 | 6. | | |
| 4 Dividends and interest from securities | | | 14 | 14,944. | | |
| 5 Net rental income or (loss) from real estate. | | | | | | |
| a Debt-financed property | | | | | | |
| b Not debt-financed property | | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | | |
| 7 Other investment income | | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | 25,926. | | |
| 9 Net income or (loss) from special events | | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | | |
| 11 Other revenue: | | | | | | |
| a _____ | | | | | | |
| b _____ | | | | | | |
| c _____ | | | | | | |
| d _____ | | | | | | |
| e _____ | | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e) | | 0. | | 40,876. | | 0. |
| 13 Total. Add line 12, columns (b), (d), and (e) | | | | | 13 | 40,876. |

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B

Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

| SOURCE | (A) REVENUE PER BOOKS | (B) NET INVESTMENT INCOME | (C) ADJUSTED NET INCOME |
|------------------------------|-----------------------------|---------------------------------|-------------------------------|
| 1ST NATIONAL BANK - CHECKING | 6. | 6. | |
| TOTAL TO PART I, LINE 3 | 6. | 6. | |

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

| SOURCE | GROSS AMOUNT | CAPITAL GAINS DIVIDENDS | (A) REVENUE PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME |
|------------------------|-----------------|-------------------------------|-----------------------------|-----------------------------------|-------------------------------|
| WELLS FARGO ACCOUNT | 14,944. | 0. | 14,944. | 14,944. | |
| WELLS FARGO ACCOUNT | 8,776. | 8,776. | 0. | 0. | |
| TO PART I, LINE 4 | 23,720. | 8,776. | 14,944. | 14,944. | |

FORM 990-PF LEGAL FEES STATEMENT 3

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| LEGAL FEES | 5,235. | 2,617. | | 2,618. |
| TO FM 990-PF, PG 1, LN 16A | 5,235. | 2,617. | | 2,618. |

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| MANAGEMENT FEES | 3,839. | 3,839. | | 0. |
| TO FORM 990-PF, PG 1, LN 16C | 3,839. | 3,839. | | 0. |

FORM 990-PF TAXES STATEMENT 5

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| CORPORATION COMMISSION | 10. | 0. | | 10. |
| FOREIGN TAX | 305. | 305. | | 0. |
| TO FORM 990-PF, PG 1, LN 18 | 315. | 305. | | 10. |

FORM 990-PF OTHER INVESTMENTS STATEMENT 6

| DESCRIPTION | VALUATION METHOD | BOOK VALUE | FAIR MARKET VALUE |
|--|---------------------|------------|----------------------|
| STOCKS - SEE ATTACHED | COST | 662,348. | 743,286. |
| MONEY MKT - SEE ATTACHED | COST | 61,927. | 61,927. |
| TOTAL TO FORM 990-PF, PART II, LINE 13 | | 724,275. | 805,213. |

FORM 990-PF PART XV - LINE 1A
LIST OF FOUNDATION MANAGERS STATEMENT 7

NAME OF MANAGER

TOBI TRAVIS
PHILLIP AARON HALEEN
SEAN HALEEN

| FULL MOON FOUNDATION | | | | | | | | | |
|-------------------------------------|----------|---------------|---------------|-----------------|-----------|--------------|-------------|--------|----------|
| FYE 30NOV17 SALES | | | | | | | | | |
| Form 990PF - 2016 | | | | | | | | | |
| EIN: 85-0406316 | | | | | | | | | |
| Page 3, Part IV | | | | | | | | | |
| | Quantity | Purchase Date | WF Cost Basis | WF Market Value | Sold Date | Sales Amount | Gain/(Loss) | ST | LT |
| OPENING POSITION: | | | | | | | | | |
| Affiliated Managers Group, Inc. Com | 1 000 | 02/29/16 | 128.64 | | 01/25/17 | 142.73 | 14.09 | 14.09 | |
| Affiliated Managers Group, Inc. Com | 3 000 | 02/29/16 | 385.91 | | 07/27/17 | 535.94 | 150.03 | | 150.03 |
| Affiliated Managers Group, Inc. Com | 4 000 | | 859.07 | | 01/25/17 | 570.94 | (288.13) | | (288.13) |
| Alphabet Inc, f/k/a Google Inc | 1 000 | | 694.43 | | 01/25/17 | 803.37 | 108.94 | 108.94 | |
| Alphabet Inc, f/k/a Google Inc | 1 000 | | 694.43 | | 07/27/17 | 971.56 | 277.13 | | 277.13 |
| American Century Small Cap | 87 000 | | 1,304.13 | | 01/23/17 | 1,278.90 | (25.23) | | (25.23) |
| American Century Small Cap | 30 000 | | 449.70 | | 04/25/17 | 469.20 | 19.50 | | 19.50 |
| American Century Small Cap | 718 000 | | 10,762.92 | | 05/24/17 | 11,308.50 | 545.68 | | 545.68 |
| American Century Small Cap | 51 000 | | 764.49 | | 07/25/17 | 851.70 | 87.21 | | 87.21 |
| Ameriprise Finl In. | 13 000 | 09/09/16 | 1,597.71 | | 01/25/17 | 1,461.45 | (136.26) | | (136.26) |
| Ameriprise Finl In. | 5 000 | 09/09/16 | 614.51 | | 07/27/17 | 682.47 | 67.96 | | 67.96 |
| Apple Inc | 11 000 | 09/23/11 | 1,253.68 | | 01/25/17 | 1,317.94 | 64.26 | | 64.26 |
| Apple Inc | 2 000 | | 225.95 | | 04/04/17 | 288.31 | 62.36 | | 62.36 |
| Apple Inc. | 4 000 | | 451.91 | | 04/11/17 | 576.32 | 124.41 | | 124.41 |
| Apple Inc | 13 000 | | 903.02 | | 05/01/17 | 1,872.22 | 969.20 | | 969.20 |
| Apple Inc. | 3 000 | | 436.44 | | 07/27/17 | 452.85 | 16.41 | 16.41 | |
| Artisan Intl Fund | 11 583 | 01/26/16 | 329.21 | | 04/25/17 | 334.75 | 5.53 | | |
| Artisan Intl Fund | 12 482 | 04/29/16 | 354.77 | | 04/25/17 | 360.73 | 5.96 | | |
| Artisan Intl Fund | 863 587 | | 24,545.08 | | 04/25/17 | 24,957.66 | 412.58 | 94.49 | 353.83 |
| Artisan Mid Cap Fund | 68 000 | | 3,215.72 | | 07/25/17 | 3,134.12 | (81.60) | | (81.60) |
| Artisan Mid Cap Fund | 5 000 | | 236.45 | | 04/25/17 | 212.70 | (23.75) | | (23.75) |
| ASG Global Alternative | 60 000 | | 687.60 | | 01/23/17 | 617.40 | (70.20) | | (70.20) |
| ASG Global Alternative | 28 000 | | 320.88 | | 07/25/17 | 296.24 | (24.64) | | (24.64) |
| ASG Global Alternative | 12 000 | | 137.52 | | 10/24/17 | 131.16 | (6.36) | | (6.36) |
| Blackrock Global Long/Short | 50 000 | | 520.00 | | 01/23/17 | 508.50 | (11.50) | | (11.50) |
| Blackrock Inc | 4 000 | | 1,332.74 | | 01/25/17 | 1,517.09 | 184.35 | | 184.35 |
| Boeing Co | 5 000 | 03/07/16 | 584.44 | | 08/21/17 | 1,188.01 | 603.57 | 104.96 | 981.45 |
| Boeing Co | 1 000 | 09/09/16 | 116.89 | | 08/21/17 | 237.60 | 120.71 | | |
| Boeing Co | 9 000 | | 1,097.40 | | 01/25/17 | 1,425.30 | 327.90 | | 327.90 |
| Boeing Co | 6 000 | | 710.17 | | 04/04/17 | 1,069.92 | 359.75 | | 359.75 |
| Boeing Co | 6 000 | | 689.52 | | 07/27/17 | 1,269.84 | 580.32 | | 580.32 |
| Boeing Co | 3 000 | | 350.67 | | 08/21/17 | 712.80 | 362.13 | | |
| Boston Partners L/S Research | 12 877 | 04/29/16 | 190.05 | | 10/24/17 | 212.34 | 22.29 | | |
| Boston Partners L/S Research | 319 790 | | 4,719.76 | | 10/24/17 | 5,273.34 | 553.58 | 208.41 | 963.30 |
| Boston Partners L/S Research | 20 000 | | 288.60 | | 07/25/17 | 325.60 | 57.00 | | 57.00 |

| FULL MOON FOUNDATION | | | | | | | | | |
|---------------------------|-----------|---------------|---------------|-----------------|-----------|--------------|-------------|---------|----------|
| FYE 30NOV17 SALES | | | | | | | | | |
| Form 990PF - 2016 | | | | | | | | | |
| EIN: 85-0406316 | | | | | | | | | |
| Page 3, Part IV | | | | | | | | | |
| | Quantity | Purchase Date | WF Cost Basis | WF Market Value | Sold Date | Sales Amount | Gain/(Loss) | ST | LT |
| Celanese Corp | 11 000 | | 600 69 | | 01/25/17 | 905 17 | 304.48 | | 304.48 |
| Celanese Corp | 10 000 | | 546 08 | | 10/25/17 | 1,052 72 | 506.64 | | 506.64 |
| Cisco Systems Inc | 18 000 | | 556 45 | | 04/11/17 | 594 98 | 38.53 | 25.81 | 12.72 |
| Citigroup Inc | 6 000 | | 349 60 | | 01/25/17 | 336 95 | (12.65) | | (12.65) |
| CME Group Inc | 7 000 | | 506 06 | | 01/25/17 | 813.74 | 307.68 | | 307.68 |
| CME Group Inc | 4 000 | | 289 18 | | 04/11/17 | 471.19 | 182.01 | | 182.01 |
| CME Group Inc | 10 000 | | 722 94 | | 10/25/17 | 1,348 03 | 625.09 | | 625.09 |
| Cognizant Tech | 11 000 | 03/18/16 | 644 89 | | 01/25/17 | 626 10 | (18.79) | | |
| Comcast Corp | 24 000 | | 1,474.02 | | 01/25/17 | 1,763 13 | 289.11 | 289 11 | |
| Comcast Corp | 17 000 | | 377 45 | | 07/27/17 | 667 57 | 290.12 | | 290 12 |
| Credit Suisse Com Return | 104 186 | 01/26/16 | 581 00 | | 01/23/17 | 535 52 | (45.48) | | |
| Credit Suisse Com Return | 1,392 814 | | 7,767 20 | | 01/23/17 | 7,159 06 | (608.14) | 87.52 | (741.14) |
| CVS Health Corp | 7 000 | | 617 50 | | 01/25/17 | 571 97 | (45.53) | (59.15) | 23.62 |
| Diageo Plc - ADR | 4 000 | | 488 38 | | 07/27/17 | 475 05 | (13.33) | | (13.33) |
| Dodge & Cox Income Fd | 326 000 | | 4,520 15 | | 04/25/17 | 4,472.72 | (47.43) | | (47.43) |
| Dodge & Cox Intl | 22 000 | | 852 28 | | 01/23/17 | 866 36 | 14.08 | | 14.08 |
| Dodge & Cox Intl | 10 000 | | 387 40 | | 04/25/17 | 422.80 | 35.40 | | 35.40 |
| Dodge & Cox Intl | 55 000 | | 2,130 70 | | 07/25/17 | 2,487 65 | 356.95 | | 356.95 |
| Dodge & Cox Intl | 9 000 | | 348 66 | | 10/24/17 | 421 92 | 73.26 | | 73.26 |
| Eaton Corp Plc | 21 000 | | 1,386.84 | | 10/24/17 | 1,423 98 | 37.14 | | 37.14 |
| Eaton Vance Global | 65 663 | 04/29/16 | 599 35 | | 10/24/17 | 600 82 | 1.47 | | |
| Eaton Vance Global | 1,580.373 | 09/09/16 | 14,425.10 | | 10/24/17 | 14,460 41 | 35.31 | | |
| Eaton Vance Global | 709 852 | | 6,479 28 | | 10/24/17 | 6,495 15 | 15.87 | | |
| Eli Lilly & Co | 14 000 | 10/07/16 | 1,135.36 | | 01/25/17 | 1,072 55 | (62.81) | (62.81) | |
| Fidelity Adv Emer Mkts | 7 000 | | 94 57 | | 04/25/17 | 98 70 | 4.13 | | 4.13 |
| Hain Celestial Grp Inc | 10 000 | 11/04/16 | 370.18 | | 07/27/17 | 435 94 | 65.76 | 65.76 | |
| Inv Balance Risk Comm | 1,027 874 | 09/09/16 | 7,154 00 | | 01/23/17 | 7,143 72 | (10.28) | (10.28) | |
| JP Morgan Chase & Co | 29 000 | | 1,479 00 | | 01/25/17 | 2,420 84 | 941.84 | | 941.84 |
| JP Morgan Chase & Co | 5 000 | | 298 26 | | 07/27/17 | 455.88 | 157.62 | | 157.62 |
| JP Morgan High Yield Fund | 722 885 | 04/29/16 | 5,396.16 | | 01/23/17 | 5,356 60 | (39.56) | 216.87 | (368.71) |
| JP Morgan High Yield Fund | 2,049.115 | | 15,296 20 | | 01/23/17 | 15,183 92 | (112.28) | | |
| JP Morgan Mid Cap Value | 4 000 | | 135.56 | | 04/25/17 | 152 72 | 17.16 | | 17.16 |
| JP Morgan Mid Cap Value | 29 000 | | 982 81 | | 07/25/17 | 1,135 64 | 152.83 | | 152.83 |
| Las Vegas Sands Corp | 13 000 | | 822.56 | | 06/27/17 | 834 06 | 11.50 | | 11.50 |
| Manulife Financial Corp | 123 000 | 12/23/15 | 1,813.27 | | 01/25/17 | 2,285 30 | 472.03 | | 472.03 |
| McKesson Corp | 3 000 | | 689.53 | | 07/27/17 | 500.79 | (188.74) | | (188.74) |
| Merck & Co Inc New | 6 000 | 02/10/16 | 340 55 | | 01/25/17 | 375 26 | 34.71 | 34.71 | |

| FULL MOON FOUNDATION | | | | | | | | | |
|---------------------------------|-----------|---------------|---------------|-----------------|-----------|--------------|-------------|--------|----------|
| FYE 30NOV17 SALES | | | | | | | | | |
| Form 990PF - 2016 | | | | | | | | | |
| EIN: 85-0406316 | | | | | | | | | |
| Page 3, Part IV | | | | | | | | | |
| | Quantity | Purchase Date | WF Cost Basis | WF Market Value | Sold Date | Sales Amount | Gain/(Loss) | ST | LT |
| Merger Fund Class Inst | 25 179 | 04/29/16 | 397 22 | | 10/24/17 | 404 63 | 7.41 | | |
| Merger Fund Class Inst | 406 748 | 09/09/16 | 6,416 80 | | 10/24/17 | 6,536.44 | 119 64 | | |
| Merger Fund Class Inst | 355 195 | | 5,603 51 | | 10/24/17 | 5,707 98 | 104 47 | 28.08 | 242 20 |
| Merger Fund Class Inst | 7 000 | | 112 00 | | 01/23/17 | 109 48 | (2.52) | | (2.52) |
| Merger Fund Class Inst | 12 000 | | 192 01 | | 07/25/17 | 191 40 | (0.61) | | (0 61) |
| Met West Total Return | 898 686 | 04/29/16 | 9,770 43 | | 11/16/17 | 9,579 99 | (190 44) | | (190 44) |
| Met West Total Return | 1,915 573 | | 20,825 92 | | 11/16/17 | 20,420 01 | (405.91) | | (405 91) |
| Microsoft Corp | 30 000 | | 1,621 11 | | 01/25/17 | 1,875 20 | 254.09 | 116.75 | 137.34 |
| Microsoft Corp | 16 000 | | 832 91 | | 07/27/17 | 1,171.69 | 338.78 | | 338.78 |
| Monsanto Co New | 40 000 | | 4,048 57 | | 12/14/16 | 4,179.00 | 130.43 | | 130.43 |
| Neuberger Berman Long Sh Fund | 26 000 | | 337.48 | | 01/23/17 | 340 08 | 2.60 | | 2.60 |
| Neuberger Berman Long Sh Fund | 13 000 | | 188 74 | | 04/25/17 | 177 84 | 9.10 | | 9 10 |
| Neuberger Berman Long Sh Fund | 61 000 | | 791 78 | | 07/25/17 | 859 49 | 67.71 | | 67 71 |
| Oppenheimer Developing Mkt | 51 000 | | 1,767 34 | | 04/25/17 | 1,842.12 | 74.78 | | 74.78 |
| Oppenheimer Developing Mkt | 125 000 | | 4,331 70 | | 07/25/17 | 4,952 50 | 620.80 | | 620.80 |
| Oppenheimer Developing Mkt | 49 000 | | 1,698.03 | | 10/24/17 | 2,049 18 | 351.15 | | 351.15 |
| Roche Holdinga LTD-ADR | 23 000 | | 842 60 | | 01/25/17 | 668 90 | (173.70) | | (173 70) |
| Roche Holdinga LTD-ADR | 14 000 | | 512 88 | | 01/25/17 | 448 55 | (64.33) | | (64 33) |
| Schlumberger Ltd | 15 000 | | 1,309 17 | | 01/25/17 | 1,295 00 | (14.17) | | (14 17) |
| SPDR DJ Wilshire Intl Real | 12 000 | | 494 95 | | 04/27/17 | 459 35 | (35.60) | | (35 60) |
| SPDR DJ Wilshire Intl Real | 5 000 | | 206 23 | | 07/27/17 | 194.35 | (11.88) | | (11 88) |
| SPDR DJ Wilshire Intl Real | 130 000 | | 5,361.94 | | 11/17/17 | 4,982 79 | (379.15) | | (379 15) |
| Spirit Aerodynamics Hold | 6 000 | 11/04/16 | 325.70 | | 01/25/17 | 339 37 | 13.67 | | |
| Sterling Cap/Stratton Small Cap | 4 000 | | 282 21 | | 10/24/17 | 365 20 | 82.99 | | 82.99 |
| Sterling Cap/Stratton Small Cap | 6 000 | | 427 65 | | 07/25/17 | 519.78 | 92.13 | | 92 13 |
| Sterling Cap/Stratton Small Cap | 131 000 | | 10,122.36 | | 05/24/17 | 11,060 33 | 937.97 | | 937 97 |
| Sterling Cap/Stratton Small Cap | 12 000 | | 927 24 | | 01/23/17 | 993 48 | 66.24 | | 66 24 |
| Suncor Energy Inc New F | 35 000 | | 961 75 | | 01/25/17 | 1,110 26 | 148.51 | | 148 51 |
| Suncor Energy Inc New F | 13 000 | | 357 22 | | 07/27/17 | 399 35 | 42.13 | | 42 13 |
| T Rowe Price Inst Float Rate | 310 365 | 04/29/16 | 3,098.42 | | 01/23/17 | 3,131 58 | 33.16 | | 37 09 |
| T Rowe Price Inst Float Rate | 530 635 | | 5,297 41 | | 01/23/17 | 5,354 11 | 56.70 | | |
| Target Corp | 12 000 | | 878 29 | | 12/14/16 | 927 33 | 49.04 | 19 99 | 29.05 |
| TE Connectivity Ltd | 6 000 | 11/14/16 | 392 00 | | 01/25/17 | 418 45 | 26.45 | | |
| Total S A -ADR | 18 000 | | 945 95 | | 01/25/17 | 921.50 | (24.45) | | (24 45) |
| Total S A -ADR | 13 000 | | 664.00 | | 04/11/17 | 672 73 | 8.73 | | 8 73 |
| Union Pacific Corp | 11 000 | | 918 40 | | 01/25/17 | 1,188.05 | 269.65 | 48.57 | 221.08 |
| United Health Group Inc | 2 000 | | 221 07 | | 01/25/17 | 316 83 | 95.76 | | 95 76 |

| FULL MOON FOUNDATION | | | | | | | | | |
|---------------------------------|----------|---------------|-------------------|-----------------|-----------|-------------------|------------------|-----------------|------------------|
| FYE 30NOV17 SALES | | | | | | | | | |
| Form 990PF - 2016 | | | | | | | | | |
| EIN: 85-0406316 | | | | | | | | | |
| Page 3, Part IV | | | | | | | | | |
| | Quantity | Purchase Date | WF Cost Basis | WF Market Value | Sold Date | Sales Amount | Gain/(Loss) | ST | LT |
| United Health Group Inc | 3 000 | | 214 20 | | 07/27/17 | 573.01 | 358.81 | | 358.81 |
| United Health Group Inc | 4,000 | | 285 60 | | 10/25/17 | 829 38 | 543.78 | | 543.78 |
| Vanguard Reit Viper | 104 000 | | 6,770.75 | | 01/25/17 | 8,593 36 | 1,822 61 | | 1,822.61 |
| Walt Disney Co | 9 000 | | 574 02 | | 01/25/17 | 967 61 | 393 59 | | 393 59 |
| TOTAL OPENING POSITION: | | | 245,901.08 | 0.00 | | 260,922.10 | 15,021.01 | 1,412.33 | 14,214.87 |
| CURRENT PURCHASES: | | | | | | | | | |
| Artisan Intl Fund | 50 753 | 01/27/17 | 1,442.51 | | 04/25/17 | 1,466 76 | 24.25 | | |
| Bayer AG ADR | 9 000 | 12/15/16 | 905 21 | | 01/27/17 | 982.33 | 77.12 | 77 12 | |
| Bayer AG ADR | 4 000 | 12/15/16 | 402 32 | | 07/27/17 | 518.89 | 116.57 | 116 57 | |
| Bayer AG ADR | 5,000 | 12/15/16 | 502 89 | | 08/21/17 | 634 53 | 131 64 | 131 64 | |
| Bayer AG ADR | 19,000 | 12/15/16 | 477.75 | | 10/25/17 | 653 96 | 176.21 | 176.21 | |
| Bayer AG ADR | 28 000 | 09/20/17 | 704 05 | | 10/25/17 | 963 74 | 259.69 | 259 69 | |
| Boston Partners L/S Research | 9 000 | 05/24/17 | 120 87 | | 01/23/17 | 140 49 | 19.62 | | 19 62 |
| Boston Partners L/S Research | 344 208 | 05/24/17 | 5,080 15 | | 10/24/17 | 5,675 99 | 595 84 | | |
| Eaton Vance Global | 40 526 | 04/27/17 | 369 91 | | 10/24/17 | 370.81 | 0.90 | | |
| Eaton Vance Global | 15 952 | 07/31/17 | 145 61 | | 10/24/17 | 145 96 | 0.35 | 1.77 | 52.14 |
| Merger Fund Class Inst | 8 412 | 04/27/17 | 132 71 | | 10/24/17 | 135 18 | 2 47 | | |
| Merger Fund Class Inst | 123 392 | 05/24/17 | 1,946.62 | | 10/24/17 | 1,982 91 | 36.29 | | |
| T Rowe Pr Overseas | | 04/27/17 | 1,524 56 | | 07/26/17 | 1,601 32 | 76 76 | 76 76 | |
| T Rowe Pr Overseas | 71,000 | 04/27/17 | 712.13 | | 10/24/17 | 801 59 | 89.46 | 89 46 | |
| T Rowe Pr Real Estate | 171,292 | 11/16/17 | 4,696.74 | | 11/16/17 | 5,000 00 | 303 26 | | 303.26 |
| Zoetis Inc | 7,000 | 05/01/17 | 389 34 | | 07/27/17 | 442.32 | 52.98 | 52.98 | |
| Zoetis Inc. | 16,000 | 05/01/17 | 889 92 | | 10/25/17 | 1,055.97 | 166.05 | 166 06 | |
| TOTAL CURRENT PURCHASES: | | | 20,443.29 | 0.00 | | 22,572.75 | 2,129.46 | 1,148.26 | 375.02 |
| TOTAL: | | | 266,344.37 | 0.00 | | 283,494.85 | 17,150.47 | 2,560.59 | 14,589.89 |

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| FULL MOON FOUNDATION | | | | |
| FYE 30NOV17 CLOSED | | | | |
| Form 990PF - 2016 | | | | |
| EIN: 85-0406316 | | | | |
| Statement 6, continued | Quantity | Purchase Date | WF Cost Basis | WF Market Value |
| OPENING POSITION: | | | | |
| Affiliated Managers Group, Inc. Com | 10.000 | 02/29/16 | 1,286.37 | 1,986.70 |
| Alphabet Inc, f/k/a Google Inc | 4.000 | 02/29/16 | 2,433.15 | 4,085.64 |
| American Century Small Cap | 108.108 | 01/26/16 | 1,561.04 | 1,891.89 |
| American Century Small Cap | 258.706 | 09/09/16 | 3,735.61 | 4,527.36 |
| American Century Small Cap | 293.601 | | 3,751.84 | 5,138.02 |
| Ameriprise Finl In. | 1.000 | 09/09/16 | 99.57 | |
| Ameriprise Finl In. | 20.000 | | 1,991.31 | |
| Ameriprise Finl Inc. | 5.000 | 03/07/16 | 497.82 | |
| Ameriprise Finl Inc. | 13.000 | 06/16/16 | 1,294.35 | 6,365.97 |
| Apple Inc. | 47.000 | | 3,716.81 | |
| AQR Managed Futures | 90.846 | 04/29/16 | 940.14 | 835.78 |
| AQR Managed Futures | 1,413.294 | 09/09/16 | 14,625.77 | 13,002.31 |
| AQR Managed Futures | 599.170 | | 6,200.64 | 5,512.36 |
| Artisan Mid Cap Fund | 115.731 | 01/26/16 | 5,300.58 | 4,805.15 |
| Artisan Mid Cap Fund | 8.224 | 04/29/16 | 376.67 | 341.47 |
| Artisan Mid Cap Fund | 532.469 | | 24,387.56 | 22,108.11 |
| ASG Global Alternative | 918.838 | | 10,059.39 | 10,217.49 |
| ASG Global Alternatives | 258.805 | 04/29/16 | 2,833.38 | 2,877.91 |
| Berkshire Haahaway Inc. | 5.000 | 03/18/16 | 560.49 | 965.05 |
| Blackrock Inc. | 11.000 | | 3,382.30 | 5,513.09 |
| Boeing Co | 19.000 | 09/09/16 | 2,520.07 | 5,259.20 |
| Celanese Corp | 22.000 | | 1,201.37 | 2,359.28 |
| Cisco Systems Inc | 15.000 | 10/07/16 | 392.57 | 559.50 |
| Cisco Systems Inc. | 217.000 | | 5,593.76 | 8,094.10 |
| Citigroup Inc | 27.000 | 09/09/16 | 1,275.92 | |
| Citigroup Inc. | 19.000 | | 1,032.11 | 3,473.00 |
| CME Group Inc. | 10.000 | 09/09/16 | 714.83 | 1,495.40 |
| CME Group Inc | 14.000 | | 1,000.76 | 2,093.56 |
| Cognizant Tech | 4.000 | 03/18/16 | 185.72 | |
| Cognizant Tech | 20.000 | 09/09/16 | 1,107.49 | |
| Cognizant Tech | 20.000 | 10/07/16 | 1,107.49 | 3,180.32 |
| Comcast Corp | 30.000 | 11/04/16 | 699.73 | 1,126.20 |
| Comcast Corp | 7.000 | | 163.27 | 262.78 |
| CVS Health Corp | 6.000 | 09/09/16 | 383.68 | 459.60 |
| CVS Health Corp | 23.000 | | 1,300.89 | 1,761.80 |
| Diageo Plc ADR | 15.000 | 04/27/16 | 1,742.15 | 2,077.05 |
| Diageo Plc - ADR | 26.000 | | 2,995.93 | 3,600.22 |
| Dodge & Cox Income Fd | 370.403 | 05/17/16 | 5,046.65 | 5,118.97 |
| Dodge & Cox Income Fd | 1,006.582 | | 13,714.44 | 13,910.97 |
| Dodge & Cox Intl | 102.933 | 01/26/16 | 4,055.56 | 4,763.74 |

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| FULL MOON FOUNDATION | | | | |
| FYE 30NOV17 CLOSED | | | | |
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| EIN: 85-0406316 | | | | |
| Statement 6, continued | Quantity | Purchase Date | WF Cost Basis | WF Market Value |
| Dodge & Cox Intl | 456.918 | | 18,065.90 | 21,146.16 |
| Eaton Corp Plc | 61.000 | | 3,795.50 | 4,744.58 |
| Eli Lilly & Co | 26.000 | 10/07/16 | 2,108.52 | 3,131.68 |
| Fidelity Adv Emer Mkts | 551.605 | 12/01/15 | 7,450.54 | 7,755.57 |
| Fidelity Adv Emer Mkts | 22.130 | 04/29/16 | 298.91 | 311.15 |
| Fidelity Adv Emer Mkts | 958.080 | 09/09/16 | 12,940.80 | 13,470.61 |
| Fidelity Adv Emer Mkts | 1,518.510 | | 20,510.53 | 21,350.25 |
| Gilead Science | 15.000 | 09/09/16 | 1,403.10 | |
| Gilead Science | 15.000 | | 1,403.09 | |
| Hain Celestial Grp Inc. | 18.000 | 11/04/16 | 666.31 | 739.80 |
| JP Morgan Chase & Co | 26.000 | | 1,438.46 | |
| JP Morgan Chase & Co | 40.000 | 03/07/16 | 2,143.82 | 6,898.32 |
| JP Morgan High Yield Fund | 690.336 | 04/29/16 | 5,048.34 | 5,122.29 |
| JP Morgan High Yield Fund | 672.456 | 09/09/16 | 4,917.59 | 4,989.62 |
| JP Morgan Mid Cap Value | 65.976 | 01/26/16 | 2,296.09 | 2,718.21 |
| JP Morgan Mid Cap Value | 761.570 | | 26,534.17 | 31,376.69 |
| Las Vegas Sands Corp | 76.000 | | 4,195.07 | 5,266.04 |
| Manulife Financial Corp | 42.000 | 12/23/15 | 619.16 | 883.68 |
| Manulife Financial Corp | 90.000 | 01/27/16 | 1,185.29 | 1,893.60 |
| Manulife Financial Corp | 155.000 | 03/07/16 | 2,145.79 | 3,261.20 |
| McKesson Corp | 7.000 | | 1,447.73 | 1,034.18 |
| Merck & Co Inc New | 64.000 | 02/10/16 | 3,114.26 | 3,537.28 |
| Merck & Co Inc New | 22.000 | 04/27/16 | 1,248.68 | 1,215.94 |
| Met West Total Return | 203.526 | 04/29/16 | 2,163.64 | 2,165.52 |
| Met West Total Return | 679.485 | 05/17/16 | 7,223.45 | 7,229.72 |
| Microsoft Corp | 15.000 | 01/27/16 | 639.62 | 1,262.55 |
| Microsoft Corp | 33.000 | 04/27/16 | 1,407.17 | 2,777.61 |
| Microsoft Corp | 22.000 | 10/07/16 | 938.11 | 1,851.74 |
| Microsoft Corp | 59.000 | | 2,023.32 | 4,966.03 |
| Neuberger Berman Long Sh Fund | 29.347 | 04/29/16 | 379.95 | 425.53 |
| Neuberger Berman Long Sh Fund | 850.193 | | 11,004.11 | 12,327.80 |
| Oppenheimer Developing Mkt | 105.275 | 01/26/16 | 3,557.16 | 4,421.55 |
| Oppenheimer Developing Mkt | 42.571 | 05/17/16 | 1,438.44 | 1,787.98 |
| Oppenheimer Developing Mkt | 491.489 | | 16,412.55 | 20,642.54 |
| PNC Financial Services Grp | 24.000 | | 2,256.52 | 3,373.44 |
| Roche Holdinga LTD-ADR | 22.000 | 09/09/16 | 766.09 | 694.76 |
| Roche Holdinga LTD-ADR | 163.000 | | 5,608.97 | 5,147.54 |
| Schlumberger Ltd | 40.000 | | 3,415.37 | 2,514.00 |
| SPDR DJ Wilshire Intl Real | 211.000 | | 8,629.31 | 8,315.51 |
| Spirit Aerodysytems Hold | 14.000 | 11/04/16 | 759.96 | 1,179.50 |
| Sterling Cap/Stratton Small Cap | 17.187 | 01/28/16 | 1,414.66 | 1,640.84 |

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| FULL MOON FOUNDATION | | | | |
| FYE 30NOV17 CLOSED | | | | |
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| EIN: 85-0406316 | | | | |
| Statement 6, continued | Quantity | Purchase Date | WF Cost Basis | WF Market Value |
| Sterling Cap/Stratton Small Cap | 52 223 | 09/09/16 | 4,298.46 | 4,985.73 |
| Sterling Cap/Stratton Small Cap | 49.881 | | 4,105.69 | 4,762.14 |
| Suncor Energy Inc New F | 40.000 | 01/27/16 | 1,044.83 | 1,390.40 |
| Suncor Energy Inc New F | 28.000 | 09/09/16 | 731.36 | 973.28 |
| Suncor Energy Inc New F | 108.000 | | 2,755.84 | 3,754.08 |
| T Rowe Price Inst Float Rate | 174.514 | 04/29/16 | 1,745.90 | 1,748.63 |
| T Rowe Price Inst Float Rate | 234.930 | 09/09/16 | 2,350.33 | 2,354.00 |
| Target Corp | 10.000 | 08/19/16 | 657.52 | 599.00 |
| Target Corp | 27.000 | 09/09/16 | 1,775.30 | 1,617.30 |
| Target Corp | 31.000 | | 1,949.05 | 1,856.90 |
| TE Connectivity Ltd | 8.000 | 11/14/16 | 522.46 | 755.52 |
| TE Connectivity Ltd | 24.000 | 11/15/16 | 1,567.65 | 2,266.56 |
| Thermo Fisher Scientific Inc. | 10.000 | | 1,299.40 | 1,927.60 |
| TJX Companies Inc. | 13.000 | | 701.44 | 982.15 |
| Total S.A.-ADR | 30.000 | 01/27/16 | 1,434.73 | 1,696.50 |
| Total S.A.-ADR | 11.000 | 09/09/16 | 526.06 | 622.05 |
| Total S.A.-ADR | 47.000 | | 2,120.34 | 2,657.85 |
| Union Pacific Corp | 5.000 | 12/23/15 | 405.62 | 632.50 |
| Union Pacific Corp | 4.000 | 09/09/16 | 324.49 | 506.00 |
| Union Pacific Corp | 12 000 | 11/04/16 | 973.48 | 1,518.00 |
| Union Pacific Corp | 12.000 | | 947.43 | 1,518.00 |
| United Health Group Inc. | 18 000 | | 1,285.20 | 4,107.06 |
| United Parcel Service-CL B | 7.000 | 10/07/16 | 682.21 | |
| United Parcel Service-CL B | 18.000 | | 1,754.27 | 4,007.85 |
| Vanguard Inflat-Prot | 79 175 | 04/29/16 | 2,077.37 | 2,039.55 |
| Vanguard Inflat-Prot | 14.745 | 05/17/16 | 386.87 | 379.83 |
| Vanguard Inflat-Prot | 33.717 | 09/09/16 | 884.66 | 868.55 |
| Vanguard Reit Viper | 129.000 | | 8,820.64 | 10,886.31 |
| Walt Disney Co | 11.000 | | 701.58 | 1,153.02 |
| TOTAL OPENING POSITION: | | | 379,115.40 | 435,907.36 |
| | | | | |
| | | | | |
| CURRENT PURCHASES: | | | | |
| | | | | |
| American Century Small Cap | 6.948 | 10/27/17 | 117.00 | 121.59 |
| American Century Small Cap | 600.962 | 11/16/17 | 10,000.00 | 10,516.84 |
| Apple Inc. | 5 000 | 06/27/17 | 395.40 | 8,936.20 |
| AQR Managed Futures | 178 481 | 01/27/17 | 1,692.00 | 1,642.02 |
| AQR Managed Futures | 120.419 | 04/27/17 | 1,091.00 | 1,107.86 |
| AQR Managed Futures | 95.114 | 07/31/17 | 837.00 | 875.05 |
| AQR Managed Futures | 14 428 | 10/27/17 | 130.00 | 132.74 |

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| FULL MOON FOUNDATION | | | | |
| FYE 30NOV17 CLOSED | | | | |
| Form 990PF - 2016 | | | | |
| EIN: 85-0406316 | | | | |
| Statement 6, continued | Quantity | Purchase Date | WF Cost Basis | WF Market Value |
| Artisan Mid Cap Fund | 60.200 | 01/27/17 | 2,757.21 | 2,499.50 |
| Artisan Mid Cap Fund | 14.435 | 10/27/17 | 661.14 | 599.34 |
| ASG Global Alternatives | 16.172 | 04/27/17 | 177.05 | 179.83 |
| ASG Global Alternatives | 178.074 | 05/24/17 | 1,949.54 | 1,980.18 |
| Bayer AG ADR | 29.000 | 09/20/17 | 729.20 | 926.55 |
| Blackrock Global Long/Short | 24.806 | 08/17/17 | 255.00 | 259.72 |
| Blackrock Global Long/Short | 744.409 | 08/18/17 | 7,451.00 | 7,793.95 |
| Blackrock Global Long/Short | 153.440 | 08/18/17 | 1,502.00 | 1,606.52 |
| Blackrock Global Long/Short | 1,557.397 | 08/18/17 | 16,228.20 | 16,305.95 |
| Blackrock Global Long/Short | 30.887 | 10/27/17 | 324.00 | 323.39 |
| Comcast Corp | 54.000 | 02/21/17 | 1,259.52 | 2,027.16 |
| CVS Health Corp | 13.000 | 08/21/17 | 1,031.88 | 995.80 |
| Dodge & Cox Income Fd | 767.988 | 01/27/17 | 10,463.65 | 10,613.59 |
| Dodge & Cox Income Fd | 56.925 | 10/27/17 | 775.59 | 786.70 |
| Eaton Vance Global | 2,111.985 | 10/27/17 | 22,556.00 | 22,661.60 |
| Eli Lilly & Co | 11.000 | 12/14/16 | 747.76 | |
| Fidelity Adv Emer Mkts | 57.864 | 01/27/17 | 781.57 | 813.57 |
| Fidelity Adv Emer Mkts | 10.235 | 07/31/17 | 138.24 | 143.90 |
| Fidelity Adv Emer Mkts | 41.135 | 10/27/17 | 555.61 | 578.35 |
| Gilead Sciences | 10.000 | 12/14/16 | 727.79 | |
| Gilead Sciences | 8.000 | 04/04/17 | 540.28 | 3,589.44 |
| Home Depot Inc | 16.000 | 04/11/17 | 2,362.97 | 2,877.12 |
| John Hancock | 1,540.676 | 10/27/17 | 15,037.00 | 15,452.98 |
| JP Morgan High Yield Fund | 26.995 | 10/27/17 | 197.41 | 200.30 |
| JP Morgan High Yield Fund | 2,038.043 | 11/16/17 | 14,903.95 | 15,122.29 |
| JP Morgan Mid Cap Value | 14.647 | 01/27/17 | 544.00 | 603.45 |
| JP Morgan Mid Cap Value | 7.005 | 10/27/17 | 280.00 | 288.61 |
| Met West Total Return | 1,770.437 | 01/27/17 | 18,821.10 | 18,837.45 |
| Met West Total Return | 528.558 | 04/27/17 | 5,618.98 | 5,623.86 |
| Met West Total Return | 32.584 | 07/31/17 | 346.39 | 346.69 |
| Met West Total Return | 158.663 | 10/27/17 | 1,686.71 | 1,688.17 |
| Neuberger Berman Long Sh Fund | 942.316 | 05/24/17 | 12,938.00 | 13,663.58 |
| Neuberger Berman Long Sh Fund | 824.700 | 10/27/17 | 11,686.00 | 11,958.15 |
| Nike Inc | 15.000 | 10/25/17 | 807.31 | 906.30 |
| Oppenheimer Developing Mkt | 421.202 | 01/27/17 | 14,224.00 | 17,690.48 |
| SPDR DJ Wilshire Intl Real | 226.000 | 01/25/17 | 8,230.81 | 8,906.66 |
| SPDR DJ Wilshire Intl Real | 13.000 | 10/25/17 | 498.90 | 512.33 |
| Sterling Cap-Stratton Small Cap | 4.109 | 04/27/17 | 338.21 | 392.29 |
| Sterling Cap-Stratton Small Cap | 109.782 | 11/16/17 | 9,036.12 | 10,480.89 |
| T Rowe Pr Overseas | 2,316.382 | 04/27/17 | 23,233.31 | 26,337.26 |
| T Rowe Pr Real Estate | 19.686 | 09/15/17 | 555.59 | 576.60 |

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| FULL MOON FOUNDATION | | | | |
| FYE 30NOV17 CLOSED | | | | |
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| EIN: 85-0406316 | | | | |
| Statement 6, continued | Quantity | Purchase Date | WF Cost Basis | WF Market Value |
| T Rowe Pr Real Estate | 16.702 | 09/15/17 | 471.37 | 489.20 |
| T Rowe Pr Real Estate | 897.131 | 09/15/17 | 25,319.27 | 26,276.97 |
| T Rowe Pr Real Estate | 17.514 | 09/15/17 | 494.28 | 512.99 |
| T Rowe Pr Real Estate | 74.830 | 09/15/17 | 2,111.89 | 2,191.77 |
| T Rowe Pr Real Estate | 213.086 | 09/15/17 | 6,013.82 | 6,241.29 |
| T Rowe Pr Real Estate | 19.611 | 10/27/17 | 553.47 | 574.40 |
| T Rowe Price Inst Float Rate | 24.155 | 04/27/17 | 241.66 | 242.03 |
| T Rowe Price Inst Float Rate | 11.420 | 07/31/17 | 114.25 | 114.43 |
| T Rowe Price Inst Float Rate | 11.343 | 10/27/17 | 113.48 | 113.66 |
| T Rowe Price Inst Float Rate | 1,498.501 | 11/16/17 | 14,991.56 | 15,014.98 |
| Total S.A.-ADR | 34.000 | 08/21/17 | 1,717.58 | 1,922.70 |
| United Parcel Service-CL B | 8.000 | 04/04/17 | 853.96 | |
| Vanguard Inflat-Prot | 60.912 | 01/27/17 | 1,598.18 | 1,569.09 |
| Vanguard Inflat-Prot | 4.808 | 07/31/17 | 126.15 | 123.85 |
| Vanguard Inflat-Prot | 5.708 | 10/27/17 | 149.77 | 147.04 |
| Vanguard Reit Viper | 5.000 | 10/25/17 | 417.86 | 421.95 |
| Zoetis Inc | 13.000 | 05/01/17 | 723.06 | 939.77 |
| TOTAL CURRENT PURCHASES: | | | 283,233.00 | 307,378.92 |
| | | | | |
| TOTAL: | | | 662,348.40 | 743,286.27 |
| | | | | |
| CASH & MONEY MARKET: | | | | |
| Income Acct | | | 133.86 | 133.86 |
| Money Market | | | 61,793.60 | 61,793.60 |
| Principal Acct | | | 0.00 | 0.00 |
| TOTAL CASH & MONEY MARKET | | | 61,927.46 | 61,927.46 |
| | | | | |
| TOTAL VALUE @ 11/30/17 | | | 724,275.86 | 805,213.73 |
| STMT: | | | 724,275.86 | 805,213.73 |
| | | | (0.00) | 0.00 |