

2016

Open to Public Inspection

Form 990-PF

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Department of the Treasury
Internal Revenue Service

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2016 or tax year beginning 12/1/2016, and ending 11/30/2017

03
04

Name of foundation
HALL, FOREST PAULINE CHAR T U A

Number and street (or P O box number if mail is not delivered to street address) Room/suite
Wells Fargo Bank N A Trust Tax Dept - 100 N Main St MAC D4001-117

City or town, state or province, country, and ZIP or foreign postal code
Winston Salem NC 27101

Foreign country name Foreign province/state/country Foreign postal code

A Employer identification number
75-6287809

B Telephone number (see instructions)
(888) 730-4933

C If exemption application is pending, check here ☐

D 1 Foreign organizations, check here ☐
2 Foreign organizations meeting the 85% test check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☒ Address change ☐ Name change

H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 593,076 **J Accounting method** ☒ Cash ☐ Accrual ☐ Other (specify) _____

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue				
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	9,748	9,748		
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	30,903			
b Gross sales price for all assets on line 6a	181,778			
7 Capital gain net income (from Part IV, line 2)		30,903		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	40,651	40,651	0	
Operating and Administrative Expenses				
13 Compensation of officers, directors, trustees, etc.	9,385	7,039		2,346
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule)	889			889
c Other professional fees (attach schedule)				
17 Interest				
18 Taxes (attach schedule) (see instructions)	249	93		
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule)	32	32		
24 Total operating and administrative expenses. Add lines 13 through 23	10,555	7,164	0	3,235
25 Contributions, gifts, grants paid	25,000			25,000
26 Total expenses and disbursements. Add lines 24 and 25	35,555	7,164	0	28,235
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	5,096			
b Net investment income (if negative, enter -0-)		33,487		
c Adjusted net income (if negative, enter -0-)			0	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	24,694	22,246	22,246
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶				
12	Investments—mortgage loans				
13	Investments—other (attach schedule)	447,282	454,804	570,830	
14	Land, buildings, and equipment basis ▶				
	Less accumulated depreciation (attach schedule) ▶				
15	Other assets (describe ▶)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	471,976	477,050	593,076	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>				
	27	Capital stock, trust principal, or current funds	471,976	477,050	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
30	Total net assets or fund balances (see instructions)	471,976	477,050		
31	Total liabilities and net assets/fund balances (see instructions)	471,976	477,050		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	471,976
2	Enter amount from Part I, line 27a	2	5,096
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	477,072
5	Decreases not included in line 2 (itemize) ▶ <u>Mutual Fund Timing Difference</u>	5	22
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	477,050

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Attached Statement				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	30,903
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }			3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	23,854	546,298	0.043665
2014	34,455	579,927	0.059413
2013	26,864	612,464	0.043862
2012	28,389	593,509	0.047832
2011	29,827	567,182	0.052588
2 Total of line 1, column (d)			2 0.247360
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.049472
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4 574,008
5 Multiply line 4 by line 3			5 28,397
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 335
7 Add lines 5 and 6			7 28,732
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 28,235

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	670
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	670
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	670
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	215
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	215
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	455
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	0
11	Enter the amount of line 10 to be Credited to 2017 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ (2) On foundation managers <input type="checkbox"/> \$		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> TX		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	Yes	No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	13	X	
14	The books are in care of ▶ WELLS FARGO BANK N A Telephone no ▶ 888-730-4933 Located at ▶ 100 N Main St MAC D4001-117 Winston Salem NC ZIP+4 ▶ 27101			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A			
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/>	1b		X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)	3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?			5b N/A
	Organizations relying on a current notice regarding disaster assistance check here	<input checked="" type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
	<i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			6b X
	<i>If "Yes" to 6b, file Form 8870</i>			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?			7b N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Wells Fargo Bank, N A 100 N Main St MAC D4001-117 Winston Salem, NC 27	Trustee See Attached	9,385		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services** (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1 NONE	
2	
All other program-related investments See instructions	
3 NONE	

Total. Add lines 1 through 3



0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	563,796
b	Average of monthly cash balances	1b	18,953
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	582,749
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	582,749
4	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see instructions)	4	8,741
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	574,008
6	Minimum investment return. Enter 5% of line 5	6	28,700

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	28,700
2a	Tax on investment income for 2016 from Part VI, line 5	2a	670
b	Income tax for 2016 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	670
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	28,030
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	28,030
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	28,030

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	28,235
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	28,235
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	28,235

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				28,030
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			24,935	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2016				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				
f Total of lines 3a through e	0			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 28,235				
a Applied to 2015, but not more than line 2a			24,935	
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2016 distributable amount				3,300
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				24,730
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016				

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Attached Statement				
Total			▶ 3a	25,000
b <i>Approved for future payment</i> NONE				
Total			▶ 3b	0

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

BLOODCARE

Street

2205 HIGHWAY 121

City

BEDFORD

State

TX

Zip Code

76021

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

PURCH OF QUANTUM CELL EXPANSION SYS

Amount

25,000

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Long Term CG Distributions		Amount	Capital Gains/Losses										Totals		Gross Sales		Cost or Other Basis, Expenses, Depreciation and Adjustments		Net Gain or Loss	
Short Term CG Distributions		0	Other sales										Capital Gains/Losses		181,778		150,875		30,903	
			Check "X" if include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments						
1	INV BALANCE RISK COMM ST	CUSIP # 00988Y508	X				8/25/2016	1/18/2017	5,822	5,763					0		59			
2	AMER CENT SMALL CAP GRV	025083320	X				5/24/2017	5/24/2017	9,285	8,814					0		471			
3	AOR MANAGED FUTURES ST	00203H859	X				8/25/2016	11/14/2017	915	1,032					117		0			
4	AMER CENT SMALL CAP GRV	025083320	X				7/24/2015	11/21/2017	744	845					0		99			
5	ARTISAN INTERNATIONAL FI	04314H402	X				3/2/2009	4/20/2017	19,973	8,372					0		11,601			
6	ARTISAN INTERNATIONAL FI	04314H402	X				1/18/2017	4/20/2017	736	683					0		55			
7	ARTISAN MID CAP FUNDS-INS	04314H600	X				1/22/2015	10/23/2017	764	760					0		-16			
8	ARTISAN MID CAP FUNDS-INS	04314H600	X				2/11/2013	10/23/2017	359	320					0		39			
9	ARTISAN MID CAP FUNDS-INS	04314H600	X				2/11/2013	11/14/2017	1,023	917					0		106			
10	ARTISAN MID CAP FUNDS-INS	04314H600	X				2/11/2013	11/21/2017	289	292					0		-3			
11	BLACKROCK GL US CREDIT	09258N505	X				10/23/2017	11/21/2017	838	840					0		-2			
12	CREDIT SUISSE COMM RET	22544R305	X				1/23/2014	1/18/2017	1,188	1,670					0		-482			
13	CREDIT SUISSE COMM RET	22544R305	X				1/22/2015	1/18/2017	3,232	3,860					0		-448			
14	CREDIT SUISSE COMM RET	22544R305	X				1/13/2015	1/18/2017	965	980					0		75			
15	CREDIT SUISSE COMM RET	22544R305	X				1/22/2016	1/18/2017	556	470					0		86			
16	DODGE & COX INT'L STOCK	256206103	X				1/22/2016	10/23/2017	1,828	1,278					0		550			
17	DODGE & COX INT'L STOCK	256206103	X				1/22/2016	11/21/2017	511	360					0		151			
18	DODGE & COX INCOME FD C	256210105	X				10/5/2016	4/20/2017	3,195	3,220					0		-25			
19	DODGE & COX INCOME FD C	256210105	X				5/11/2016	4/20/2017	318	316					0		2			
20	DODGE & COX INCOME FD C	256210105	X				10/23/2017	11/21/2017	954	954					0		0			
21	EATON VANCE GLOB MACRO	27792328	X				10/23/2017	11/21/2017	718	718					0		0			
22	EATON VANCE GLOB MACRO	27792328	X				10/27/2015	10/23/2017	5,249	5,255					0		-6			
23	EATON VANCE GLOB MACRO	27792328	X				4/22/2016	10/23/2017	392	387					0		5			
24	EATON VANCE GLOB MACRO	27792328	X				8/25/2016	10/23/2017	10,897	10,837					0		60			
25	MFS VALUE FUND-CLASS I #	552983694	X				7/24/2015	1/18/2017	2,570	2,582					0		88			
26	MFS VALUE FUND-CLASS I #	552983694	X				8/25/2016	1/18/2017	723	710					0		13			
27	MFS VALUE FUND-CLASS I #	552983694	X				6/18/2014	1/18/2017	1,125	1,072					0		53			
28	MFS VALUE FUND-CLASS I #	552983694	X				6/18/2014	10/23/2017	1,108	942					0		166			
29	MFS VALUE FUND-CLASS I #	552983694	X				6/18/2014	11/14/2017	1,008	872					0		136			
30	MFS VALUE FUND-CLASS I #	552983694	X				6/18/2014	11/21/2017	1,096	942					0		154			
31	MERGER FUND-INST #301	589509207	X				5/3/2010	10/23/2017	4,626	4,522					0		104			
32	MERGER FUND-INST #301	589509207	X				8/25/2016	10/23/2017	5,362	5,152					0		210			
33	MERGER FUND-INST #301	589509207	X				5/24/2017	10/23/2017	1,846	1,823					0		23			
34	MET WEST TOTAL RETURN F	592905509	X				1/22/2015	11/14/2017	927	957					30		0			
35	MET WEST TOTAL RETURN F	592905509	X				12/31/2014	11/21/2017	927	958					0		-31			
36	MET WEST TOTAL RETURN F	592905509	X				1/22/2015	11/21/2017	192	198					6		6			
37	ASG GLOBAL ALTERNATIVES	63872T885	X				7/12/2013	11/21/2017	520	540					0		-20			
38	NEUBERGER BERMAN LONG	64128R908	X				6/18/2014	1/18/2017	458	452					0		6			
39	NEUBERGER BERMAN LONG	64128R908	X				10/23/2017	11/14/2017	924	923					0		1			
40	NEUBERGER BERMAN LONG	64128R908	X				10/23/2017	11/21/2017	386	383					0		3			
41	OPPENHEIMER DEVELOPING	683974604	X				8/12/2011	4/20/2017	1,824	1,616					0		208			
42	EATON VANCE GLOB MACRO	27792328	X				1/18/2017	10/23/2017	329	381					0		3			
43	EATON VANCE GLOB MACRO	27792328	X				4/24/2017	10/23/2017	328	328					0		1			
44	FID ADV EMER MKTS INC-CL	315920702	X				10/23/2017	11/21/2017	857	866					0		-9			
45	JP MORGAN MID CAP VALUE	339128100	X				4/24/2014	11/21/2017	1,000	902					0		98			
46	HARBOR CAPITAL APRTION	411511504	X				8/28/2015	1/18/2017	2,884	3,064					0		-180			
47	HARBOR CAPITAL APRTION	411511504	X				8/28/2015	4/20/2017	2,075	2,083					0		12			
48	HARBOR CAPITAL APRTION	411511504	X				8/28/2015	10/23/2017	543	462					0		81			
49	HARBOR CAPITAL APRTION	411511504	X				8/28/2015	10/23/2017	7,028	5,796					0		1,232			
50	HARBOR CAPITAL APRTION	411511504	X				10/24/2014	11/14/2017	76	62					0		14			
51	HARBOR CAPITAL APRTION	411511504	X				10/24/2014	11/14/2017	3,404	2,408					0		632			
52	HARBOR CAPITAL APRTION	411511504	X				10/24/2014	11/21/2017	314	244					0		70			
53	HARBOR CAPITAL APRTION	411511504	X				1/22/2015	11/21/2017	1,545	1,172					0		373			
54	JOHN HANCOCK ILABSL RT	47803M168	X				10/23/2017	11/21/2017	742	730					0		12			
55	JP MORGAN HIGH YIELD FUN	4812C0803	X				12/3/2010	11/21/2017	1,686	1,164					0		-37			
56	JP MORGAN HIGH YIELD FUN	4812C0803	X				7/23/2010	10/23/2017	425	462					0		-244			
57	JP MORGAN HIGH YIELD FUN	4812C0803	X				5/24/2017	10/23/2017	4,395	4,243					0		152			
58	JP MORGAN HIGH YIELD FUN	4812C0803	X				4/24/2017	10/23/2017	1,400	1,239					0		161			
59	JP MORGAN HIGH YIELD #3	4812C0803	X				4/24/2017	11/21/2017	692	609					0		83			
60	OPPENHEIMER DEVELOPING	683974604	X				1/23/2014	1/18/2017	1,398	1,429					0		-31			
61	OPPENHEIMER DEVELOPING	683974604	X				4/24/2014	1/18/2017	3,144	3,191					0		-47			
62	OPPENHEIMER DEVELOPING	683974604	X				8/25/2016	1/18/2017	1,909	1,650					0		19			
63	BOSTON P LINGSHRT RES-IN	7492SK581	X				1/18/2017	11/21/2017	487	478					0		9			
64	BOSTON P LINGSHRT RES-IN	7492SK581	X				1/18/2017	11/21/2017	1,178	1,146					0		32			
65	T ROWE PR OVERSEAS STO	77956H435	X				1/18/2017	11/21/2017	530	516					0		14			
66	T ROWE PR OVERSEAS STO	77956H435	X				1/18/2017	11/21/2017	530	516					0		14			
67	T ROWE PRICE INST FLOAT	77958B402	X				1/18/2017	11/21/2017	530	516					0		14			
68	T ROWE PRICE INST FLOAT	77958B402	X				1/18/2017	11/21/2017	530	516					0		14			
69	T ROWE PRICE INST FLOAT	77958B402	X				1/18/2017	11/21/2017	530	516					0		14			
70	T ROWE PRICE INST FLOAT	77958B402	X				1/18/2017	11/21/2017	530	516					0		14			
71	T ROWE PR REAL ESTATE-F	779919307	X				1/18/2017	11/21/2017	530	516					0		14			
72	T ROWE PR REAL ESTATE-F	779919307	X				1/18/2017	11/21/2017	530	516					0		14			

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Long Term CG Distributions		Amount	Totals		Gross Sales		Capital Gains/Losses		Cost or Other Basis		Expenses		Net Gain or Loss	
Short Term CG Distributions		11,305	Other sales		181,778		0		Depreciation and Adjustments		150,875		30,903	
Description	CUSIP #	Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	* Net Gain or Loss
73 SPDR DJ WILSHIRE INTERNA	78463X863	X				1/18/2017	4/20/2017	306	294					12
74 SPDR DJ WILSHIRE INTERNA	78463X863	X				10/23/2017	11/21/2017	743	729					14
75 SPDR DJ WILSHIRE INTERNA	78463X863	X				1/22/2015	5/24/2017	854	567					87
76 STERLING CAPITAL STRATIT	85917K546	X				1/17/2015	5/24/2017	1,060	898					162
77 STERLING CAPITAL STRATIT	85917K546	X				1/22/2016	5/24/2017	1,501	1,140					361
78 STERLING CAPITAL STRATIT	85917K546	X				8/25/2016	5/24/2017	3,703	3,447					256
79 STERLING CAPITAL STRATIT	85917K546	X				1/18/2017	5/24/2017	428	418					10
80 STERLING CAPITAL STRATIT	85917K546	X				4/24/2017	5/24/2017	659	658					1
81 STERLING CAPITAL STRATIT	85917K546	X				6/18/2014	5/24/2017	791	729					62
82 STERLING CAPITAL STRATIT	85917K546	X				6/18/2014	10/23/2017	385	312					53
83 STERLING CAPITAL STRATIT	85917K546	X				6/18/2014	11/21/2017	467	389					78
84 VANGUARD REIT VIPER	922908553	X				10/23/2017	11/21/2017	424	418					6

Part I, Line 16b (990-PF) - Accounting Fees

		889	0	0	889
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	TAX PREP FEES	889			889

Part I, Line 18 (990-PF) - Taxes

		249	93	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	Foreign Tax Withheld	93	93		
2	Estimated Excise Payments	156			

Part I, Line 23 (990-PF) - Other Expenses

		32	32	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	Alternate Investment Other Expense #1	32	32		

Part II, Line 13 (990-PF) - Investments - Other

		447,282		454,804		570,830	
Asset Description		Basis of Valuation	Book Value Beg of Year	Book Value End of Year	FMV End of Year		
1				0			
2	DODGE & COX INCOME FD COM 147		0	22,770	23,772		
3	HARBOR CAPITAL APRCTION-INST 2012		0	22,590	64,836		
4	ARTISAN MID CAP FUND-INSTL 1333		0	22,427	23,467		
5	MET WEST TOTAL RETURN BOND CL I 51		0	52,181	51,454		
6	FID ADV EMER MKTS INC- CL I 607		0	33,393	35,242		
7	DODGE & COX INTL STOCK FD 1048		0	13,289	20,543		
8	AMER CENT SMALL CAP GRWTH INST 334		0	6,950	8,991		
9	MFS VALUE FUND-CLASS I 893		0	44,465	66,593		
10	JP MORGAN MID CAP VALUE-I 758		0	15,141	27,207		
11	STERLING CAPITAL STRATTON SMALL CA		0	7,434	9,112		
12	T ROWE PR OVERSEAS STOCK-I #521		0	18,194	20,708		
13	T ROWE PR REAL ESTATE-I #432		0	31,227	32,359		
14	ASG GLOBAL ALTERNATIVES-Y 1993		0	11,737	11,864		
15	VANGUARD REIT VIPER		0	2,309	8,777		
16	AQR MANAGED FUTURES STR-I		0	19,513	17,859		
17	VANGUARD INFLAT-PROT SECS-ADM 511		0	3,884	3,818		
18	JPMORGAN HIGH YIELD FUND SS 3580		0	7,732	8,083		
19	T ROWE PRICE INST FLOAT RATE 170		0	3,680	3,714		
20	EATON VANCE GLOB MACRO ADV-I 208		0	17,617	17,634		
21	BLACKROCK GL L/S CREDIT-K #1940		0	20,093	20,531		
22	OPPENHEIMER DEVELOPING MKT-I 799		0	25,334	34,572		
23	JOHN HANCOCK II-CURR STR-I 3643		0	11,489	12,004		
24	SPDR DJ WILSHIRE INTERNATIONAL REA		0	13,437	17,853		
25	NEUBERGER BERMAN LONG SH-INS #183		0	27,917	29,836		
26	OK PAYNE 1/160 M I		0	1	1		

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions										Amount		Short Term CG Distributions									
										11,305	0										
	Description of Property Sold	CUSIP #	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adjusted Basis	Excess FMV Over Adj. Basis or Loss							
1	INV BALANCE RISK COMM ST	008881508		8/25/2016	1/18/2017	5,822			5,783	59				19,598							
2	AMER CENT SMALL CAP GRV	025083320		7/24/2015	5/24/2017	9,285			9,285	471				59							
3	AQR MANAGED FUTURES ST	002030859		8/25/2016	1/14/2017	915		117	1,032	0				471							
4	AMER CENT SMALL CAP GRV	025083320		7/24/2015	1/12/2017	744			645	99				0							
5	ARTISAN INTERNATIONAL FI	04314H402		3/5/2009	4/20/2017	19,973			8,372	11,601				11,601							
6	ARTISAN INTERNATIONAL FI	04314H402		1/18/2017	4/20/2017	738			693	45				45							
7	ARTISAN MID CAP FUND-INS	04314H600		1/22/2015	10/23/2017	764			780	-16				-16							
8	ARTISAN MID CAP FUND-INS	04314H600		2/11/2013	10/23/2017	359			320	39				39							
9	ARTISAN MID CAP FUND-INS	04314H600		2/11/2013	11/14/2017	1,023			917	106				106							
10	ARTISAN MID CAP FUND-INS	04314H600		2/11/2013	11/21/2017	289			292	-3				-3							
11	BLACKROCK GL US CREDIT	09258N505		10/23/2017	1/12/2017	838			840	-2				-2							
12	CREDIT SUISSE COMM RET	22544R305		1/23/2014	1/18/2017	1,188			1,670	-482				-482							
13	CREDIT SUISSE COMM RET	22544R305		1/22/2015	1/18/2017	3,232			3,680	-448				-448							
14	CREDIT SUISSE COMM RET	22544R305		1/17/2015	1/18/2017	965			890	75				75							
15	CREDIT SUISSE COMM RET	22544R305		1/22/2016	1/18/2017	556			470	86				86							
16	DODGE & COX INTL STOCK	256206103		1/22/2016	10/23/2017	1,828			1,278	550				550							
17	DODGE & COX INTL STOCK	256206103		1/22/2016	11/21/2017	511			360	151				151							
18	DODGE & COX INCOME FD C	256210105		10/5/2016	4/20/2017	3,195			3,220	-25				-25							
19	DODGE & COX INCOME FD C	256210105		5/11/2016	4/20/2017	318			316	2				2							
20	DODGE & COX INCOME FD C	256210105		10/23/2017	11/21/2017	954			954	0				0							
21	EATON VANCE GLOB MACR	277923254		10/23/2017	11/21/2017	718			718	0				0							
22	EATON VANCE GLOB MAC	277923278		10/27/2015	10/23/2017	5,249			5,255	-6				-6							
23	EATON VANCE GLOB MAC	277923278		4/22/2016	10/23/2017	392			387	5				5							
24	EATON VANCE GLOB MAC	277923278		8/25/2016	10/23/2017	10,897			10,837	60				60							
25	MFS VALUE FUND-CLASS I #	552983694		7/24/2015	1/18/2017	2,670			2,582	88				88							
26	MFS VALUE FUND-CLASS I #	552983694		8/25/2016	1/18/2017	723			710	13				13							
27	MFS VALUE FUND-CLASS I #	552983694		6/18/2014	1/18/2017	1,125			1,072	53				53							
28	MFS VALUE FUND-CLASS I #	552983694		6/18/2014	10/23/2017	1,108			942	166				166							
29	MFS VALUE FUND-CLASS I #	552983694		6/18/2014	11/14/2017	1,008			872	136				136							
30	MFS VALUE FUND-CLASS I #	552983694		6/18/2014	11/21/2017	1,096			942	154				154							
31	MERGER FUND-INST #301	589509207		5/4/2010	10/23/2017	4,626			4,522	104				104							
32	MERGER FUND-INST #301	589509207		8/25/2016	10/23/2017	5,362			5,152	210				210							
33	MERGER FUND-INST #301	589509207		5/24/2017	10/23/2017	1,846			1,823	23				23							
34	MET WEST TOTAL RETURN F	592905509		1/22/2015	11/14/2017	927		30	957	0				0							
35	MET WEST TOTAL RETURN F	592905509		12/31/2014	11/21/2017	927			958	-31				-31							
36	MET WEST TOTAL RETURN F	592905509		1/22/2015	11/21/2017	192		6	198	0				0							
37	ASG GLOBAL ALTERNATIVES	638721885		7/12/2013	11/21/2017	520			540	-20				-20							
38	NEUBERGER BERMAN LONG	64128R608		6/18/2014	1/18/2017	458			452	6				6							
39	NEUBERGER BERMAN LONG	64128R608		10/23/2017	11/14/2017	924			923	1				1							
40	NEUBERGER BERMAN LONG	64128R608		10/23/2017	11/21/2017	386			383	3				3							
41	OPPENHEIMER DEVELOPING	683974604		8/12/2011	4/20/2017	1,824			1,616	208				208							
42	EATON VANCE GLOBAL MAC	277923278		1/18/2017	10/23/2017	384			381	3				3							
43	EATON VANCE GLOBAL MAC	277923278		4/24/2017	10/23/2017	329			328	1				1							
44	FID ADV EMER MKTS INC- CI	315920702		10/23/2017	11/21/2017	857			866	-9				-9							
45	JPM MORGAN MID CAP VALUE	339128100		4/24/2014	11/21/2017	1,000			902	98				98							
46	HARBOR CAPITAL APRCION	411511504		8/28/2015	1/18/2017	2,884			3,064	-180				-180							
47	HARBOR CAPITAL APRCION	411511504		8/28/2015	4/20/2017	2,075			2,063	12				12							
48	HARBOR CAPITAL APRCION	411511504		8/28/2015	10/23/2017	543			462	81				81							
49	HARBOR CAPITAL APRCION	411511504		8/25/2016	10/23/2017	7,028			5,796	1,232				1,232							
50	HARBOR CAPITAL APRCION	411511504		10/24/2014	10/23/2017	76			62	14				14							
51	HARBOR CAPITAL APRCION	411511504		10/24/2014	11/14/2017	3,040			2,408	632				632							
52	HARBOR CAPITAL APRCION	411511504		10/24/2014	11/21/2017	314			244	70				70							
53	HARBOR CAPITAL APRCION	411511504		1/22/2015	11/21/2017	1,545			1,172	373				373							
54	JOHN HANCOCK II-ABS LT RT	47803M168		10/23/2017	11/21/2017	742			730	12				12							
55	JPMORGAN HIGH YIELD FUND	4812C0803		1/23/2014	1/18/2017	425			462	-37				-37							
56	JPMORGAN HIGH YIELD FUND	4812C0803		12/19/2014	1/18/2017	10,648			10,892	-244				-244							
57	JPMORGAN HIGH YIELD FUND	4812C0803		8/25/2016	1/18/2017	3,985			3,958	27				27							
58	JPMORGAN HIGH YIELD FUND	4812C0803		4/22/2016	1/18/2017	800			764	36				36							
59	JPMORGAN HIGH YIELD FUND	4812C0803		10/23/2017	11/21/2017	297			302	-5				-5							
60	OPPENHEIMER DEVELOPING	683974604		8/12/2011	10/23/2017	4,234			3,208	1,026				1,026							
61	OPPENHEIMER DEVELOPING	683974604		7/23/2010	10/23/2017	199			142	57				57							
62	OPPENHEIMER DEVELOPING	683974604		7/23/2010	11/21/2017	1,688			1,164	524				524							
63	BOSTON P LINGSHIRT RES-IN	74925K581		7/12/2013	10/23/2017	4,671			3,771	900				900							
64	BOSTON P LINGSHIRT RES-IN	74925K581		5/24/2017	10/23/2017	4,395			4,243	152				152							
65	T ROWE PR OVERSEAS STO	77956H435		4/24/2017	11/21/2017	1,400			1,239	161				161							
66	T ROWE PRICE INST FLOAT	77958B402		1/23/2014	1/18/2017	892			609	83				83							
67	T ROWE PRICE INST FLOAT	77958B402		4/24/2014	11/21/2017	3,144			1,429	-1				-1							
68	T ROWE PRICE INST FLOAT	77958B402		8/25/2016	1/18/2017	1,909			1,890	19				19							

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions		Amount		11,305		170,473		0		153		151,028		19,598		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0		0</	
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Part VI, Line 6a (990-PF) - Estimated Tax Payments

	Date		Amount
1 Credit from prior year return		1	59
2 First quarter estimated tax payment	4/12/2017	2	156
3 Second quarter estimated tax payment		3	
4 Third quarter estimated tax payment		4	
5 Fourth quarter estimated tax payment		5	
6 Other payments		6	
7 Total		7	215

Part XIII, Line 2a, Column C (990-PF) - Prior Year Undistributed Income

1	Distributable amounts for 2015 that remained undistributed at the beginning of the 2016 tax year	1	24,935
2		2	
3		3	
4		4	
5		5	
6		6	
7		7	
8		8	
9		9	
10	Total	10	24,935