

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	149,834	61,010	61,010
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶ _____ 67			
	Less allowance for doubtful accounts ▶ _____	67	67	67
	4 Pledges receivable ▶ _____			
	Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ 3,000			
	Less allowance for doubtful accounts ▶ _____ 0	2,400	3,000	3,000
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	1,613	1,613	1,613
10a Investments—U S and state government obligations (attach schedule)				
b Investments—corporate stock (attach schedule)	993,085	1,091,189	1,346,269	
c Investments—corporate bonds (attach schedule)	60,074	60,074	65,844	
11 Investments—land, buildings, and equipment basis ▶ _____				
Less accumulated depreciation (attach schedule) ▶ _____				
12 Investments—mortgage loans	60,000	60,000	60,000	

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	Contributions to employee benefit plans and deferred compensation (d)	Expense account, (e) other allowances
NONE				

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 a CASH IN LIEU OF SHARES	P	2015-01-01	2017-08-16
b NUVEEN MORTGAGE OPPORTUNITY CAPITAL GAIN DIST	P	2015-01-01	2016-12-30
c 48 SHS FAIRPOINT COMMUNICATIONS	P	2005-10-27	2017-04-27
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 37			37
b 680			680
c		297	-297
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			37
b			680
c			-297
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	420
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	{ }	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	28,995	1,288,232	0.022508
2014	46,700	786,041	0.058166

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

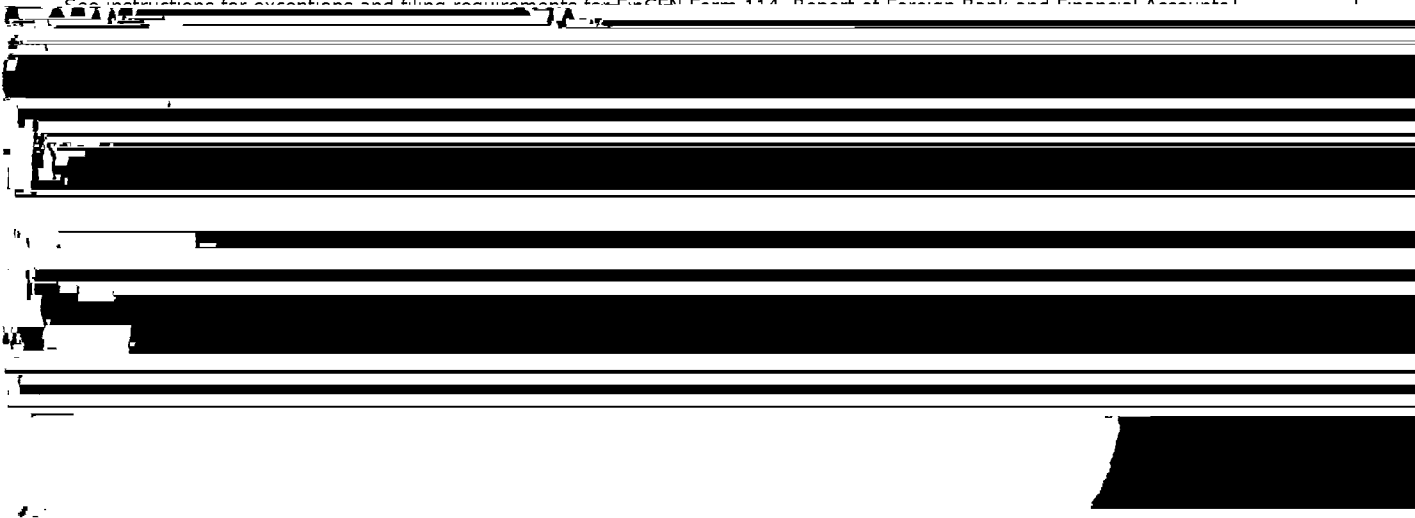
1a Exempt operating foundations described in section 4940(d)(2), check here and enter "N/A" on line 1
Date of ruling or determination letter _____ **(attach copy of letter if necessary—see instructions)**

b Domestic foundations that meet the section 4940(e) requirements in Part V, check

1	987

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶N/A	13	Yes	
14 The books are in care of ▶NANCY LISEBY Telephone no ▶(713) 334-1289			
Located at ▶8822 HEATHER CIRCLE HOUSTON TX ZIP+4 ▶77055			
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? <small>See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts.</small>	16	Yes	No



TY 2016 Accounting Fees Schedule

Name: HORLOCK FOUNDATION
EIN: 74-2155927

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	2,750	1,950		800

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to					
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/>	No	
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/>	No	
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/>	No	
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/>	No	
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/>	No	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	1,406,318
b	Average of monthly cash balances.	1b	106,850
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	1,513,168
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	1,513,168
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	22,698
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,490,470
6	Minimum investment return. Enter 5% of line 5.	6	74,524

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	74,524
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	987

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				73,537
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			21,789	
b Total for prior years 20___, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2016				
a From 2011.				
b From 2012.				
c From 2013.				
d From 2014.				
e From 2015.				
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ <u>44,524</u>				
a Applied to 2015, but not more than line 2a			21,789	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				22,735
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				50,802
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2012.				
b Excess from 2013.				
c Excess from 2014.				
d Excess from 2015.				
e Excess from 2016.				

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total ▶ 3a				43,381
b <i>Approved for future payment</i>				
Total ▶ 3b				0

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CLAYTON DABNEY FOUNDATION FOR KIDS FOR CANCER 8150 N CENTRAL EXPRESSWAY 795 HOUSTON, TX 75205	NONE	PUBLIC CHARITY	GENERAL OPERATING EXPENSES	500
HELPING HAND HOME FOR CHILDREN 3804 AVENUE B AUSTIN, TX 78751	NONE	PUBLIC CHARITY	GENERAL OPERATING EXPENSES	2,800
MISSION OF YAHWEH 10247 ALGIERS HOUSTON, TX 770562111	NONE	PUBLIC CHARITY	GENERAL OPERATING EXPENSES	10,000
THE KINKAID SCHOOL 201 KINKAID SCHOOL DR HOUSTON, TX 77024	NONE	PUBLIC CHARITY	GENERAL OPERATING EXPENSES	2,000
AUSTIN SPEECH LABS 7800 SHOAL CREEK BLVD STE 136S AUSTIN, TX 78757	NONE	PUBLIC CHARITY	GENERAL OPERATING EXPENSES	375
Total ▶ 3a				43,381

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FOSTER ANGELS CENTRAL TEXAS PO BOX 152575 AUSTIN, TX 78715	NONE	PUBLIC CHARITY	GENERAL OPERATING EXPENSES	500
KAMUKAMA FOUNDATION PO BOX 5929 AUSTIN, TX 78763	NONE	PUBLIC CHARITY	GENERAL OPERATING EXPENSES	1,000
SAINT JOHN VIANNEY CATHOLIC CHURCH 625 NOTTINGHAM OAKS TRAIL HOUSTON, TX 77079	NONE	PUBLIC CHARITY	GENERAL OPERATING EXPENSES	6,670
HOUSTON MUSEUM OF NATURAL SCIENCE 5555 HERMAN PARK DR HOUSTON, TX 77030	NONE	PUBLIC CHARITY	GENERAL OPERATING EXPENSES	1,000
MAKARIOS 1801 E 51ST STE 365-300 AUSTIN, TX 78723	NONE	PUBLIC CHARITY	GENERAL OPERATING EXPENSES	2,026
Total ▶ 3a				43,381

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SAMARITAN'S PURSE PO BOX 3000 BOONE, NC 28607	NONE	PUBLIC CHARITY	GENERAL OPERATING EXPENSES	1,000
FIRST LIBERTY	NONE	PUBLIC	GENERAL OPERATING EXPENSES	1,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
WILLOW MEADOWS BAPTIST CHURCH 4300 W BELLFORST HOUSTON, TX 77035	NONE	PUBLIC CHARITY	GENERAL OPERATING EXPENSES	1,000
NATIONAL CHRISTIAN FOUNDATION HOUSTON 4545 POST OAK PLACE DRIVE SUITE 201 HOUSTON, TX 77027	NONE	PUBLIC CHARITY	GENERAL OPERATING EXPENSES	1,000
GLADNEY CENTER FOR ADOPTION 6300 JOHN RYAN DRIVE FORT WORTH, TX 761324122	NONE	PUBLIC CHARITY	GENERAL OPERATING EXPENSES	500
MOBILE LOAVES & FISHES 9301 HOG EYE ROAD 950 AUSTIN, TX 78724	NONE	PUBLIC CHARITY	GENERAL OPERATING EXPENSES	800
AUSTIN FOOD & WINE ALLIANCE PO BOX 164112 AUSTIN, TX 78716	NONE	PUBLIC CHARITY	GENERAL OPERATING EXPENSES	1,250
Total 3a				43,381

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i>				
BRIARGROVE ELEMENTARY PARENT-TEACHER ORGANIZATION 6145 SAN FELIPE HOUSTON, TX 77057	NONE	PUBLIC CHARITY	GENERAL OPERATING EXPENSES	2,000
Total ▶ 3a				43,381

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As Filed Data -

DLN: 93491176004078

TY 2016 Applied to Prior Year Election

Name: HORLOCK FOUNDATION

EIN: 74-2155927

Election: APPLY TO 2006 UNDISTRIBUTED AMOUNT

TY 2016 Investments Corporate Bonds Schedule**Name:** HORLOCK FOUNDATION**EIN:** 74-2155927

Name of Bond	End of Year Book Value	End of Year Fair Market Value
CORPORATE BONDS	60,074	65,844

TY 2016 Investments Corporate Stock Schedule**Name:** HORLOCK FOUNDATION**EIN:** 74-2155927

Name of Stock	End of Year Book Value	End of Year Fair Market Value
EQUITY	1,091,189	1,346,269

TY 2016 Investments - Other Schedule

Name: HORLOCK FOUNDATION

EIN: 74-2155927

07/08/2016 / 12/31/2016

10/17/2016 at Cost 0%

Book Value

End of Year Fair



TY 2016 Legal Fees Schedule**Name:** HORLOCK FOUNDATION**EIN:** 74-2155927

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	390	0		390

TY 2016 Other Expenses Schedule**Name:** HORLOCK FOUNDATION**EIN:** 74-2155927**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT ADVISOR FEES	150	150		0
ADMINISTRATIVE EXPENSE	203	0		203

TY 2016 Other Increases Schedule**Name:** HORLOCK FOUNDATION**EIN:** 74-2155927

Description	Amount
UNREALIZED LOSS ON SECURITIES	5,280

TY 2016 Taxes Schedule**Name:** HORLOCK FOUNDATION**EIN:** 74-2155927

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	1,397	1,397		0