

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
1	Cash—non-interest-bearing	28,482	-20,851	-20,851
2	Savings and temporary cash investments	6,114,874	6,429,059	6,429,059
3	Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
4	Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	<div style="display: flex; align-items: center;"> <div style="flex: 1;"> <div style="border-left: 1px solid black; border-right: 1px solid black; height: 100px; margin: 0 5px;"></div> <div style="display: flex; justify-content: space-between; font-size: 0.8em;"> If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 </div> </div> <div style="border: 1px solid black; width: 100px; height: 100px; display: flex; align-items: center; justify-content: center; font-size: 1.5em; font-weight: bold;">2</div> </div>	1,388,006
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	<div style="border: 1px solid black; width: 100px; height: 100px; display: flex; align-items: center; justify-content: center; font-size: 1.5em; font-weight: bold;">3</div>	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	2,579,110	35,188,213	0 073295
2014	2,242,500	37,050,116	0 060526
2013	1,698,450	37,664,700	0 045094
2012	2,002,050	34,380,503	0 058232
2011	2,180,450	29,545,830	0 073799
2 Total of line 1, column (d)			0 310946
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			0 062189
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			37,148,138
5 Multiply line 4 by line 3			2,310,206
6 Enter 1% of net investment income (1% of Part I, line 27b)			21,901
7 Add lines 5 and 6			2,332,107
8 Enter qualifying distributions from Part VII, line 4			2 633 200

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	21,901
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	21,901
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	21,901
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	46,000
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	46,000
8	Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	24,099
11	Enter the amount of line 10 to be Credited to 2017 estimated tax <input type="checkbox"/> 24,099 Refunded <input type="checkbox"/>	11	0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ 0 (2) On foundation managers <input type="checkbox"/> \$ 0		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> MN		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names</i>		

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	Yes	
14	The books are in care of DEAN WUEBKER ANDREAS OFFICE Telephone no (507) 345-1233			

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15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year 15			
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country ▶	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/>	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? <input type="checkbox"/>	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). <input type="checkbox"/>	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016). <input type="checkbox"/>	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

5a During the year did the foundation pay or incur any amount to

5a	During the year did the foundation pay or incur any amount to

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ►		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ►	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	31,491,661
b	Average of monthly cash balances.	1b	6,222,185
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	37,713,846
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	37,713,846
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	565,708
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	37,148,138
6	Minimum investment return. Enter 5% of line 5.	6	1,857,407

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	1,857,407
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	21,901
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	21,901
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	1,835,506
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	1,835,506
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	1,835,506

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
2	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1a	2,622,200

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				1,835,506
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2016				
a From 2011.	514,617			
b From 2012.	344,893			
c From 2013.				
d From 2014.	92,025			
e From 2015.	1,199,039			
f Total of lines 3a through e.	2,150,574			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 2,633,200				
a Applied to 2015, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				1,835,506
e Remaining amount distributed out of corpus	797,694			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	2,948,268			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	785,134			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	2,163,134			
10 Analysis of line 9				
a Excess from 2012.	74,376			
b Excess from 2013.				
c Excess from 2014.	92,025			
d Excess from 2015.	1,199,039			
e Excess from 2016.	797,694			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test—enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.

c "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
See Additional Data Table

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	2,633,200
b <i>Approved for future payment</i>				
Total			3b	0

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments.					
3 Interest on savings and temporary cash investments			14	26,799	
4 Dividends and interest from securities.			14	801,755	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	1,388,006	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal Add columns (b), (d), and (e).		0		2,216,560	0
13 Total. Add line 12, columns (b), (d), and (e).			13		2,216,560

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ADVISOR'S ASSET MGMT AMERICAN (AMRNEXR)		2015-09-22	2017-09-25
ADVISOR'S ASSET MGMT AMERICAN (AMRNEXR)		2015-12-28	2017-09-25
ADVISOR'S ASSET MGMT AMERICAN (AMRNEXR)		2015-12-29	2017-09-25
ADVISOR'S ASSET MGMT AMERICAN (AMRNEXR)		2016-01-08	2017-09-25
ADVISOR'S ASSET MGMT AMERICAN (AMRNEXR)		2016-06-28	2017-09-25
ADVISOR'S ASSET MGMT AMERICAN (AMRNEXR)		2016-08-25	2017-09-25
ADVISOR'S ASSET MGMT AMERICAN (AMRNEXR)		2016-12-27	2017-09-25
ADVISOR'S ASSET MGMT AMERICAN (AMRNEXR)		2017-06-26	2017-09-25
APPLE INCORPORATED (AAPL)		2012-12-14	2017-02-08
APPLE INCORPORATED (AAPL)		2013-01-24	2017-02-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
113,509		96,733	16,776
218		180	38
249		209	40
31		25	6
3,351		2,973	378
482		448	34
725		706	19
772		755	17
5,792		3,671	2,121
20,273		11,505	8,768

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			16,776
			38
			40
			6
			378
			34
			19
			17
			2,121
			8,768

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
APPLE INCORPORATED (AAPL)		2013-01-28	2017-02-08
APPLE INCORPORATED (AAPL)		2013-03-01	2017-02-08
APPLE INCORPORATED (AAPL)		2013-04-17	2017-02-08
ARCHER DANIELS MIDLAND COMPANY (ADM)		1969-11-10	2017-01-18
ARCHER DANIELS MIDLAND COMPANY (ADM)		1969-11-10	2017-02-28
ARCHER DANIELS MIDLAND COMPANY (ADM)		1969-11-10	2017-02-28
ARCHER DANIELS MIDLAND COMPANY (ADM)		1969-11-10	2017-02-28
BANK OF AMERICA CORPORATION MT (US06051GFJ31)		2016-03-09	2017-08-25
BLACKROCK ENHANCED EQT DIV TR (BDJ)		2011-06-13	2017-04-20
BLACKROCK ENHANCED EQT DIV TR (BDJ)		2011-08-02	2017-04-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
20,273		10,905	9,368
20,273		10,817	9,456
2,896		1,472	1,424
45,060		56	45,004
68,774		84	68,690
81,286		98	81,188
106,198		126	106,072
100,000		99,791	209
15,919		11,668	4,251
60		44	16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			9,368
			9,456
			1,424
			45,004
			68,690
			81,188
			106,072
			209
			4,251
			16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
BLACKROCK ENHANCED EQT DIV TR (BDJ)		2011-08-03	2017-04-20
BLACKROCK ENHANCED EQT DIV TR (BDJ)		2011-08-03	2017-04-20
BLACKROCK ENHANCED EQT DIV TR (BDJ)		2011-08-03	2017-04-20
BLACKROCK ENHANCED EQT DIV TR (BDJ)		2011-08-04	2017-04-20
BLACKROCK ENHANCED EQT DIV TR (BDJ)		2011-08-04	2017-04-24
BLACKROCK ENHANCED EQT DIV TR (BDJ)		2011-08-04	2017-06-12
BLACKROCK ENHANCED EQT DIV TR (BDJ)		2011-08-04	2017-06-12
BLACKROCK ENHANCED EQT DIV TR (BDJ)		2011-08-04	2017-06-28
BLACKROCK ENHANCED EQT DIV TR (BDJ)		2011-08-05	2017-06-28
BLACKROCK ENHANCED EQT DIV TR (BDJ)		2011-08-05	2017-07-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
26,370		17,296	9,074
9,477		6,186	3,291
34,039		23,590	10,449
3,288		2,075	1,213
55,769		34,908	20,861
1,498		915	583
42,451		27,661	14,790
1,505		976	529
51,474		31,696	19,778
10,382		6,364	4,018

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			9,074
			3,291
			10,449
			1,213
			20,861
			583
			14,790
			529
			19,778
			4,018

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
BLACKROCK ENHANCED EQT DIV TR (BDJ)		2011-08-08	2017-07-03
BLACKROCK ENHANCED EQT DIV TR (BDJ)		2011-08-08	2017-07-03
BLACKROCK ENHANCED EQT DIV TR (BDJ)		2011-09-30	2017-07-03
BLACKROCK FLOAT RATE OME STRAT (FRA)		2016-02-17	2017-03-01
BLACKROCK FLOAT RATE OME STRAT (FRA)		2016-02-22	2017-03-01
BLACKROCK FLOATING RATE INCOME (BFRAX)		2013-11-22	2017-08-30
BLACKROCK FLOATING RATE INCOME (BFRAX)		2013-12-02	2017-08-30
BLACKROCK FLOATING RATE INCOME (BFRAX)		2014-01-02	2017-08-30
BLACKROCK FLOATING RATE INCOME (BFRAX)		2014-02-03	2017-08-30
BLACKROCK FLOATING RATE INCOME (BFRAX)		2014-03-03	2017-08-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
22,174		13,221	8,953
26,609		15,559	11,050
2,923		1,818	1,105
2,962		2,424	538
22,215		18,246	3,969
56,463		58,125	-1,662
2,192		2,257	-65
2,392		2,467	-75
2,388		2,468	-80
2,281		2,353	-72

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			8,953
			11,050
			1,105
			538
			3,969
			-1,662
			-65
			-75
			-80
			-72

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
BLACKROCK FLOATING RATE INCOME (BFRAX)		2014-04-01	2017-08-30
BLACKROCK FLOATING RATE INCOME (BFRAX)		2014-05-01	2017-08-30
BLACKROCK FLOATING RATE INCOME (BFRAX)		2014-06-02	2017-08-30
BLACKROCK FLOATING RATE INCOME (BFRAX)		2014-07-01	2017-08-30
BLACKROCK FLOATING RATE INCOME (BFRAX)		2014-08-01	2017-08-30
BLACKROCK FLOATING RATE INCOME (BFRAX)		2014-09-02	2017-08-30
BLACKROCK FLOATING RATE INCOME (BFRAX)		2014-10-01	2017-08-30
BLACKROCK FLOATING RATE INCOME (BFRAX)		2014-11-03	2017-08-30
BLACKROCK FLOATING RATE INCOME (BFRAX)		2014-12-01	2017-08-30
BLACKROCK FLOATING RATE INCOME (BFRAX)		2015-01-02	2017-08-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
2,511		2,589	-78
1,808		1,860	-52
315		325	-10
335		346	-11
363		373	-10
386		396	-10
386		391	-5
406		412	-6
397		403	-6
371		372	-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-78
			-52
			-10
			-11
			-10
			-10
			-5
			-6
			-6
			-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
BLACKROCK FLOATING RATE INCOME (BFRAX)		2015-02-02	2017-08-30
BLACKROCK FLOATING RATE INCOME (BFRAX)		2015-03-02	2017-08-30
BLACKROCK FLOATING RATE INCOME (BFRAX)		2015-04-01	2017-08-30
BLACKROCK FLOATING RATE INCOME (BFRAX)		2015-05-01	2017-08-30
BLACKROCK FLOATING RATE INCOME (BFRAX)		2015-06-01	2017-08-30
BLACKROCK FLOATING RATE INCOME (BFRAX)		2015-07-01	2017-08-30
BLACKROCK FLOATING RATE INCOME (BFRAX)		2015-08-03	2017-08-30
BLACKROCK FLOATING RATE INCOME (BFRAX)		2015-09-01	2017-08-30
BLACKROCK FLOATING RATE INCOME (BFRAX)		2015-10-01	2017-08-30
BLACKROCK FLOATING RATE INCOME (BFRAX)		2015-11-02	2017-08-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
323		324	-1
220		223	-3
243		246	-3
232		236	-4
246		250	-4
258		260	-2
271		273	-2
240		240	0
227		225	2
229		227	2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1
			-3
			-3
			-4
			-4
			-2
			-2
			0
			2
			2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
BLACKROCK FLOATING RATE INCOME (BFRAX)		2015-12-01	2017-08-30
BLACKROCK FLOATING RATE INCOME (BFRAX)		2016-01-04	2017-08-30
BLACKROCK FLOATING RATE INCOME (BFRAX)		2016-02-01	2017-08-30
BLACKROCK FLOATING RATE INCOME (BFRAX)		2016-03-01	2017-08-30
BLACKROCK FLOATING RATE INCOME (BFRAX)		2016-04-01	2017-08-30
BLACKROCK FLOATING RATE INCOME (BFRAX)		2016-05-02	2017-08-30
BLACKROCK FLOATING RATE INCOME (BFRAX)		2016-06-01	2017-08-30
BLACKROCK FLOATING RATE INCOME (BFRAX)		2016-07-01	2017-08-30
BLACKROCK FLOATING RATE INCOME (BFRAX)		2016-08-01	2017-08-30
BLACKROCK FLOATING RATE INCOME (BFRAX)		2016-09-01	2017-08-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
227		223	4
248		240	8
249		240	9
239		228	11
245		238	7
245		241	4
271		267	4
260		255	5
261		258	3
266		264	2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			4
			8
			9
			11
			7
			4
			4
			5
			3
			2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
BLACKROCK FLOATING RATE INCOME (BFRAX)		2016-10-03	2017-08-30
BLACKROCK FLOATING RATE INCOME (BFRAX)		2016-11-01	2017-08-30
BLACKROCK FLOATING RATE INCOME (BFRAX)		2016-12-01	2017-08-30
BLACKROCK FLOATING RATE INCOME (BFRAX)		2017-01-03	2017-08-30
BLACKROCK FLOATING RATE INCOME (BFRAX)		2017-02-01	2017-08-30
BLACKROCK FLOATING RATE INCOME (BFRAX)		2017-03-01	2017-08-30
BLACKROCK FLOATING RATE INCOME (BFRAX)		2017-04-03	2017-08-30
BLACKROCK FLOATING RATE INCOME (BFRAX)		2017-05-01	2017-08-30
BLACKROCK FLOATING RATE INCOME (BFRAX)		2017-06-01	2017-08-30
BLACKROCK FLOATING RATE INCOME (BFRAX)		2017-07-03	2017-08-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
268		267	1
268		267	1
251		251	0
251		252	-1
256		258	-2
220		221	-1
251		252	-1
249		250	-1
247		248	-1
242		243	-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1
			1
			0
			-1
			-2
			-1
			-1
			-1
			-1
			-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
BLACKROCK FLOATING RATE INCOME (BFRAX)		2017-08-01	2017-08-30
BLACKROCK GLOBAL ALLOCATION (MDLOX)		2011-02-04	2017-03-24
BLACKROCK GLOBAL ALLOCATION (MDLOX)		2011-03-30	2017-03-24
BLACKROCK GLOBAL ALLOCATION (MDLOX)		2011-04-27	2017-03-24
BLACKROCK GLOBAL ALLOCATION (MDLOX)		2011-05-31	2017-03-24
BLACKROCK GLOBAL ALLOCATION (MDLOX)		2011-06-24	2017-03-24
BLACKROCK GLOBAL ALLOCATION (MDLOX)		2011-07-22	2017-03-24
BLACKROCK GLOBAL ALLOCATION (MDLOX)		2011-12-20	2017-03-24
BLACKROCK GLOBAL ALLOCATION (MDLOX)		2011-12-20	2017-03-24
BLACKROCK GLOBAL ALLOCATION (MDLOX)		2012-03-20	2017-03-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
257		258	-1
92,414		96,763	-4,349
47,040		50,000	-2,960
22,949		25,000	-2,051
23,185		25,000	-1,815
24,120		25,000	-880
10,850		11,624	-774
15,830		14,825	1,005
15,584		14,595	989
24,108		25,000	-892

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1
			-4,349
			-2,960
			-2,051
			-1,815
			-880
			-774
			1,005
			989
			-892

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
BLACKROCK GLOBAL ALLOCATION (MDLOX)		2012-07-20	2017-03-24
BLACKROCK GLOBAL ALLOCATION (MDLOX)		2012-12-20	2017-03-24
BLACKROCK GLOBAL ALLOCATION (MDLOX)		2013-02-15	2017-03-24
BLACKROCK GLOBAL ALLOCATION (MDLOX)		2013-07-19	2017-03-24
BLACKROCK GLOBAL ALLOCATION (MDLOX)		2013-12-18	2017-03-24
BLACKROCK GLOBAL ALLOCATION (MDLOX)		2013-12-18	2017-03-24
BLACKROCK GLOBAL ALLOCATION (MDLOX)		2013-12-18	2017-03-24
BLACKROCK GLOBAL ALLOCATION (MDLOX)		2014-07-18	2017-03-24
BLACKROCK GLOBAL ALLOCATION (MDLOX)		2014-12-18	2017-03-24
BLACKROCK GLOBAL ALLOCATION (MDLOX)		2014-12-18	2017-03-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
9,674		9,638	36
7,442		7,761	-319
93,015		100,000	-6,985
9,378		10,513	-1,135
15,283		16,827	-1,544
7,485		8,241	-756
42,911		47,245	-4,334
9,372		10,834	-1,462
49,048		50,656	-1,608
8,242		8,512	-270

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			36
			-319
			-6,985
			-1,135
			-1,544
			-756
			-4,334
			-1,462
			-1,608
			-270

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
BLACKROCK GLOBAL ALLOCATION (MDLOX)		2014-12-18	2017-03-24
BLACKROCK GLOBAL ALLOCATION (MDLOX)		2015-07-17	2017-03-24
BLACKROCK GLOBAL ALLOCATION (MDLOX)		2015-12-16	2017-03-24
BLACKROCK GLOBAL ALLOCATION (MDLOX)		2015-12-16	2017-03-24
BLACKROCK GLOBAL ALLOCATION (MDLOX)		2015-12-16	2017-03-24
BLACKROCK GLOBAL ALLOCATION (MDLOX)		2016-12-20	2017-03-24
BLACKROCK GLOBAL ALLOCATION (MDLOX)		2016-12-20	2017-03-24
CALL AMERICAN AIRLS GROUP (02376RK4E4)		2017-01-04	2017-05-22
CALL ARCHER DANIELS MIDLAND C (039483AJA4)		2016-04-28	2017-01-18
CALL ARCHER DANIELS MIDLAND C (039483JAF4)		2017-02-28	2017-04-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
12,006		12,399	-393
2,645		2,860	-215
51,388		48,100	3,288
4,414		4,132	282
3,903		3,654	249
4,958		4,777	181
5,920		5,704	216
3,600			3,600
16,494		25,500	-9,006
9,300		3,960	5,340

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-393
			-215
			3,288
			282
			249
			181
			216
			3,600
			-9,006
			5,340

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CALL ARCHER DANIELS MIDLAND C (039483JJF4)		2017-01-18	2017-05-02
CALL BANK AMER CORPORATION (060505RTC4)		2016-12-01	2017-02-13
CALL MICRON TECHNOLOGY INC (595112K4D4)		2017-01-25	2017-02-24
CALL PROCTER & GAMBLE COMPANY (742718KXF4)		2016-09-30	2017-06-19
CAPITALA FIN CORPORATION (CPTA)		2016-07-29	2017-05-02
CAPITALA FIN CORPORATION (CPTA)		2016-08-30	2017-05-02
CAPITALA FIN CORPORATION (CPTA)		2016-09-29	2017-05-02
CAPITALA FIN CORPORATION (CPTA)		2016-10-28	2017-05-02
CAPITALA FIN CORPORATION (CPTA)		2016-11-29	2017-05-02
CAPITALA FIN CORPORATION (CPTA)		2016-12-29	2017-05-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,260		440	5,820
1,284		1,884	-600
2,415		1,590	825
2,000			2,000
6		6	0
320		342	-22
375		346	29
7		6	1
7		6	1
7		7	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			5,820
			-600
			825
			2,000
			0
			-22
			29
			1
			1
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CAPITALA FIN CORPORATION (CPTA)		2017-01-30	2017-05-02
CAPITALA FIN CORPORATION (CPTA)		2017-02-27	2017-05-02
CAPITALA FIN CORPORATION (CPTA)		2017-03-30	2017-05-02
CAPITALA FIN CORPORATION (CPTA)		2017-03-30	2017-05-03
CAPITALA FIN CORPORATION (CPTA)		2017-04-27	2017-05-03
COLUMBIA ACORN FUND CLASS A (LACAX)		2010-06-11	2017-07-31
COLUMBIA ACORN FUND CLASS A (LACAX)		2010-12-17	2017-07-31
COLUMBIA ACORN FUND CLASS A (LACAX)		2011-06-10	2017-07-31
COLUMBIA ACORN FUND CLASS A (LACAX)		2011-06-10	2017-07-31
COLUMBIA ACORN FUND CLASS A (LACAX)		2011-12-08	2017-07-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7		7	0
7		7	0
3		3	0
4		4	0
7		7	0
102		165	-63
3,553		7,018	-3,465
1,288		2,620	-1,332
69		141	-72
4,157		7,757	-3,600

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			0
			0
			0
			0
			-63
			-3,465
			-1,332
			-72
			-3,600

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
COLUMBIA ACORN FUND CLASS A (LACAX)		2012-06-07	2017-07-31
COLUMBIA ACORN FUND CLASS A (LACAX)		2012-12-07	2017-07-31
COLUMBIA ACORN FUND CLASS A (LACAX)		2012-12-07	2017-07-31
COLUMBIA ACORN FUND CLASS A (LACAX)		2013-06-06	2017-07-31
COLUMBIA ACORN FUND CLASS A (LACAX)		2013-06-06	2017-07-31
COLUMBIA ACORN FUND CLASS A (LACAX)		2013-12-12	2017-07-31
COLUMBIA ACORN FUND CLASS A (LACAX)		2014-06-05	2017-07-31
COLUMBIA ACORN FUND CLASS A (LACAX)		2014-12-10	2017-07-31
COLUMBIA ACORN FUND CLASS A (LACAX)		2015-06-04	2017-07-31
COLUMBIA ACORN FUND CLASS A (LACAX)		2015-12-10	2017-07-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,659		3,214	-1,555
6,770		13,335	-6,565
289		569	-280
1,670		3,679	-2,009
182		401	-219
8,039		18,886	-10,847
3,341		7,938	-4,597
24,586		50,902	-26,316
9,380		20,049	-10,669
13,590		16,582	-2,992

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-1,555
			-6,565
			-280
			-2,009
			-219
			-10,847
			-4,597
			-26,316
			-10,669
			-2,992

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
COLUMBIA ACORN FUND CLASS A (LACAX)		2016-11-30	2017-07-31
COLUMBIA ACORN FUND CLASS A (LACAX)		2016-11-30	2017-07-31
CSI COMPRESSCO LP (CCLP)		2014-12-31	2017-06-02
CSI COMPRESSCO LP (CCLP)		2016-11-04	2017-06-02
CUSHING RENAISSANCE FD (SZC)		2015-01-28	2017-01-24
CUSHING RENAISSANCE FD (SZC)		2015-01-28	2017-01-24
CUSHING RENAISSANCE FD (SZC)		2015-03-17	2017-01-24
CUSHING RENAISSANCE FD (SZC)		2015-06-17	2017-01-24
CUSHING RENAISSANCE FD (SZC)		2015-06-17	2017-10-09
CUSHING RENAISSANCE FD (SZC)		2015-09-23	2017-10-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
100,000		115,666	-15,666
21,326		24,667	-3,341
5,256		13,224	-7,968
5,256		9,100	-3,844
5,403		5,591	-188
18,100		18,553	-453
2,554		2,729	-175
1,092		1,132	-40
1,719		1,694	25
3,720		2,896	824

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-15,666
			-3,341
			-7,968
			-3,844
			-188
			-453
			-175
			-40
			25
			824

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CUSHING RENAISSANCE FD (SZC)		2015-12-23	2017-10-09
CUSHING RENAISSANCE FD (SZC)		2016-01-15	2017-10-09
CUSHING RENAISSANCE FD (SZC)		2016-02-18	2017-10-09
CUSHING RENAISSANCE FD (SZC)		2016-02-18	2017-10-12
CUSHING RENAISSANCE FD (SZC)		2016-06-17	2017-10-12
CUSHING RENAISSANCE FD (SZC)		2016-06-17	2017-10-13
EATON VANCE FLOATING RATE FUND (EVBLX)		2016-02-22	2017-04-10
EATON VANCE FLOATING RATE FUND (EVBLX)		2016-03-01	2017-04-10
EATON VANCE FLOATING RATE FUND (EVBLX)		2016-04-01	2017-04-10
EATON VANCE FLOATING RATE FUND (EVBLX)		2016-05-02	2017-04-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,475		2,932	1,543
19,040		11,349	7,691
2,176		1,314	862
3,528		2,162	1,366
4,746		3,720	1,026
11		8	3
109,529		99,927	9,602
90		82	8
402		378	24
370		355	15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,543
			7,691
			862
			1,366
			1,026
			3
			9,602
			8
			24
			15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
EATON VANCE FLOATING RATE FUND (EVLX)		2016-06-01	2017-04-10
EATON VANCE FLOATING RATE FUND (EVLX)		2016-07-01	2017-04-10
EATON VANCE FLOATING RATE FUND (EVLX)		2016-08-01	2017-04-10
EATON VANCE FLOATING RATE FUND (EVLX)		2016-09-01	2017-04-10
EATON VANCE FLOATING RATE FUND (EVLX)		2016-10-03	2017-04-10
EATON VANCE FLOATING RATE FUND (EVLX)		2016-11-01	2017-04-10
EATON VANCE FLOATING RATE FUND (EVLX)		2016-12-01	2017-04-10
EATON VANCE FLOATING RATE FUND (EVLX)		2017-01-03	2017-04-10
EATON VANCE FLOATING RATE FUND (EVLX)		2017-02-01	2017-04-10
EATON VANCE FLOATING RATE FUND (EVLX)		2017-03-01	2017-04-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
382		368	14
372		357	15
373		361	12
382		372	10
366		358	8
363		358	5
325		320	5
393		391	2
340		339	1
291		291	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			14
			15
			12
			10
			8
			5
			5
			2
			1
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
EATON VANCE FLOATING RATE FUND (EVBLX)		2017-04-03	2017-04-10
EATON VANCE INCOME FUND OF (EVIBX)		2015-12-17	2017-03-13
EATON VANCE INCOME FUND OF (EVIBX)		2015-12-17	2017-04-10
EATON VANCE INCOME FUND OF (EVIBX)		2016-01-04	2017-04-10
EATON VANCE INCOME FUND OF (EVIBX)		2016-02-01	2017-04-10
EATON VANCE INCOME FUND OF (EVIBX)		2016-02-09	2017-04-10
EATON VANCE INCOME FUND OF (EVIBX)		2016-03-01	2017-04-10
EATON VANCE INCOME FUND OF (EVIBX)		2016-04-01	2017-04-10
EATON VANCE INCOME FUND OF (EVIBX)		2016-05-02	2017-04-10
EATON VANCE INCOME FUND OF (EVIBX)		2016-06-01	2017-04-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
325		325	0
30,000		28,185	1,815
50,059		46,461	3,598
195		181	14
438		402	36
11,094		9,956	1,138
449		414	35
488		462	26
467		450	17
485		467	18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			1,815
			3,598
			14
			36
			1,138
			35
			26
			17
			18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
EATON VANCE INCOME FUND OF (EVIBX)		2016-07-01	2017-04-10
EATON VANCE INCOME FUND OF (EVIBX)		2016-08-01	2017-04-10
EATON VANCE INCOME FUND OF (EVIBX)		2016-09-01	2017-04-10
EATON VANCE INCOME FUND OF (EVIBX)		2016-10-03	2017-04-10
EATON VANCE INCOME FUND OF (EVIBX)		2016-11-01	2017-04-10
EATON VANCE INCOME FUND OF (EVIBX)		2016-12-01	2017-04-10
EATON VANCE INCOME FUND OF (EVIBX)		2017-01-03	2017-04-10
EATON VANCE INCOME FUND OF (EVIBX)		2017-02-01	2017-04-10
EATON VANCE INCOME FUND OF (EVIBX)		2017-03-01	2017-04-10
EATON VANCE INCOME FUND OF (EVIBX)		2017-04-03	2017-04-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
472		455	17
483		472	11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
EATON VANCE MULTI STRATEGY (EADDX)		2012-10-08	2017-04-10
EATON VANCE MULTI STRATEGY (EADDX)		2012-11-01	2017-04-10
EATON VANCE MULTI STRATEGY (EADDX)		2012-11-05	2017-04-10
EATON VANCE MULTI STRATEGY (EADDX)		2012-12-03	2017-04-10
EATON VANCE MULTI STRATEGY (EADDX)		2013-01-02	2017-04-10
EATON VANCE MULTI STRATEGY (EADDX)		2013-02-01	2017-04-10
EATON VANCE MULTI STRATEGY (EADDX)		2013-03-01	2017-04-10
EATON VANCE MULTI STRATEGY (EADDX)		2013-03-28	2017-04-10
EATON VANCE MULTI STRATEGY (EADDX)		2013-04-30	2017-04-10
EATON VANCE MULTI STRATEGY (EADDX)		2013-05-31	2017-04-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
144,671		148,956	-4,285
308		316	-8
304		312	-8
408		418	-10
433		443	-10
372		381	-9
382		391	-9
493		505	-12
514		527	-13
351		356	-5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-4,285
			-8
			-8
			-10
			-10
			-9
			-9
			-12
			-13
			-5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
EATON VANCE MULTI STRATEGY (EADDX)		2013-06-28	2017-04-10
EATON VANCE MULTI STRATEGY (EADDX)		2013-07-31	2017-04-10
EATON VANCE MULTI STRATEGY (EADDX)		2013-08-30	2017-04-10
EATON VANCE MULTI STRATEGY (EADDX)		2013-09-30	2017-04-10
EATON VANCE MULTI STRATEGY (EADDX)		2013-10-31	2017-04-10
EATON VANCE MULTI STRATEGY (EADDX)		2013-11-29	2017-04-10
EATON VANCE MULTI STRATEGY (EADDX)		2013-12-31	2017-04-10
EATON VANCE MULTI STRATEGY (EADDX)		2014-01-31	2017-04-10
EATON VANCE MULTI STRATEGY (EADDX)		2014-02-28	2017-04-10
EATON VANCE MULTI STRATEGY (EADDX)		2014-03-31	2017-04-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
435		436	-1
365		365	0
481		478	3
461		459	2
394		394	0
393		392	1
530		527	3
408		406	2
449		447	2
572		568	4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-1
			0
			3
			2
			0
			1
			3
			2
			2
			4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
EATON VANCE MULTI STRATEGY (EADDX)		2014-04-30	2017-04-10
EATON VANCE MULTI STRATEGY (EADDX)		2014-05-30	2017-04-10
EATON VANCE MULTI STRATEGY (EADDX)		2014-06-30	2017-04-10
EATON VANCE MULTI STRATEGY (EADDX)		2014-07-31	2017-04-10
EATON VANCE MULTI STRATEGY (EADDX)		2014-08-29	2017-04-10
EATON VANCE MULTI STRATEGY (EADDX)		2014-09-30	2017-04-10
EATON VANCE MULTI STRATEGY (EADDX)		2014-10-31	2017-04-10
EATON VANCE MULTI STRATEGY (EADDX)		2014-11-28	2017-04-10
EATON VANCE MULTI STRATEGY (EADDX)		2014-12-31	2017-04-10
EATON VANCE MULTI STRATEGY (EADDX)		2015-01-30	2017-04-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
439		436	3
540		538	2
450		448	2
398		396	2
362		359	3
373		369	4
320		316	4
307		304	3
656		645	11
836		832	4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			3
			2
			2
			2
			3
			4
			4
			3
			11
			4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
EATON VANCE MULTI STRATEGY (EADDX)		2015-02-27	2017-04-10
EATON VANCE MULTI STRATEGY (EADDX)		2015-03-31	2017-04-10
EATON VANCE MULTI STRATEGY (EADDX)		2015-04-30	2017-04-10
EATON VANCE MULTI STRATEGY (EADDX)		2015-05-29	2017-04-10
EATON VANCE MULTI STRATEGY (EADDX)		2015-06-30	2017-04-10
EATON VANCE MULTI STRATEGY (EADDX)		2015-07-31	2017-04-10
EATON VANCE MULTI STRATEGY (EADDX)		2015-08-31	2017-04-10
EATON VANCE MULTI STRATEGY (EADDX)		2015-09-30	2017-04-10
EATON VANCE MULTI STRATEGY (EADDX)		2015-10-30	2017-04-10
EATON VANCE MULTI STRATEGY (EADDX)		2015-11-30	2017-04-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
319		317	2
355		354	1
281		280	1
433		431	2
321		317	4
339		336	3
348		342	6
244		238	6
217		213	4
174		170	4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			2
			1
			1
			2
			4
			3
			6
			6
			4
			4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
EATON VANCE MULTI STRATEGY (EADDX)		2015-12-31	2017-04-10
EATON VANCE MULTI STRATEGY (EADDX)		2016-01-29	2017-04-10
EATON VANCE MULTI STRATEGY (EADDX)		2016-02-29	2017-04-10
EATON VANCE MULTI STRATEGY (EADDX)		2016-03-31	2017-04-10
EATON VANCE MULTI STRATEGY (EADDX)		2016-04-29	2017-04-10
EATON VANCE MULTI STRATEGY (EADDX)		2016-05-31	2017-04-10
EATON VANCE MULTI STRATEGY (EADDX)		2016-06-30	2017-04-10
EATON VANCE MULTI STRATEGY (EADDX)		2016-07-29	2017-04-10
EATON VANCE MULTI STRATEGY (EADDX)		2016-08-31	2017-04-10
EATON VANCE MULTI STRATEGY (EADDX)		2016-09-30	2017-04-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
403		393	10
211		206	5
221		216	5
294		289	5
193		191	2
161		159	2
222		221	1
129		128	1
145		145	0
102		102	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			10
			5
			5
			5
			2
			2
			1
			1
			0
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
EATON VANCE MULTI STRATEGY (EADDX)		2016-10-31	2017-04-10
EATON VANCE MULTI STRATEGY (EADDX)		2016-11-30	2017-04-10
EATON VANCE MULTI STRATEGY (EADDX)		2016-12-30	2017-04-10
EATON VANCE MULTI STRATEGY (EADDX)		2017-01-31	2017-04-10
EATON VANCE MULTI STRATEGY (EADDX)		2017-02-28	2017-04-10
EATON VANCE MULTI STRATEGY (EADDX)		2017-03-31	2017-04-10
EATON VANCE TAX ADVT DIV INCM (EVT)		2013-12-05	2017-04-17
EATON VANCE TAX ADVT DIV INCM (EVT)		2013-12-11	2017-04-17
EATON VANCE TAX ADVT DIV INCM (EVT)		2013-12-11	2017-04-18
EATON VANCE TAX ADVT DIV INCM (EVT)		2013-12-11	2017-04-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
105		104	1
81		80	1
321		319	2
51		50	1
62		62	0
189		189	0
31,642		26,988	4,654
11,437		9,756	1,681
38,832		32,924	5,908
26,177		22,106	4,071

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1
			1
			2
			1
			0
			0
			4,654
			1,681
			5,908
			4,071

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
EATON VANCE TAX ADVT DIV INCM (EVT)		2013-12-11	2017-04-26
EATON VANCE TAX ADVT DIV INCM (EVT)		2013-12-13	2017-04-26
EATON VANCE TAX ADVT DIV INCM (EVT)		2013-12-13	2017-10-10
EATON VANCE TAX ADVT DIV INCM (EVT)		2014-01-22	2017-10-10
EATON VANCE TAX ADVT DIV INCM (EVT)		2015-08-24	2017-10-10
EATON VANCE TAX ADVT DIV INCM (EVT)		2015-08-24	2017-10-16
EATON VANCE TAX ADVT DIV INCM (EVT)		2015-08-24	2017-11-06
EATON VANCE TAX ADVT DIV INCM (EVT)		2015-08-24	2017-11-06
EATON VANCE WORLDWIDE (ETHSX)		2011-06-01	2017-04-17
FIDELITY NATL INFORMATION SVCS (FIS)		2009-04-04	2017-05-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
82,815		69,394	13,421
26,382		21,942	4,440
4,677		3,796	881
22,379		19,324	3,055
17,702		12,364	5,338
44,979		31,263	13,716
40,534		28,011	12,523
10,405		7,010	3,395
50,000		53,229	-3,229
50,939		10,393	40,546

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			13,421
			4,440
			881
			3,055
			5,338
			13,716
			12,523
			3,395
			-3,229
			40,546

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
FIRST TRUST RBA TACT SER AMER (FABNGXR)		2015-10-08	2017-09-11
FIRST TRUST RBA TACT SER AMER (FABNGXR)		2016-01-08	2017-09-11
FIRST TRUST RBA TACT SER AMER (FABNGXR)		2016-06-27	2017-09-11
FIRST TRUST RBA TACT SER AMER (FABNGXR)		2016-12-28	2017-09-11
FIRST TRUST RBA TACT SER AMER (FABNGXR)		2017-06-26	2017-09-11
FRANKLIN BIOTECHNOLOGY (FBDIX)		2011-06-10	2017-02-13
FRANKLIN BIOTECHNOLOGY (FBDIX)		2011-07-05	2017-02-13
FRANKLIN BIOTECHNOLOGY (FBDIX)		2011-08-02	2017-02-13
FRANKLIN BIOTECHNOLOGY (FBDIX)		2011-09-02	2017-02-13
FRANKLIN BIOTECHNOLOGY (FBDIX)		2011-10-04	2017-02-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
88,921		72,271	16,650
202		148	54
5,669		4,610	1,059
427		441	-14
368		361	7
23,401		12,354	11,047
3,365		1,841	1,524
3,368		1,828	1,540
4,152		2,005	2,147
3,724		1,727	1,997

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			16,650
			54
			1,059
			-14
			7
			11,047
			1,524
			1,540
			2,147
			1,997

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
FRANKLIN BIOTECHNOLOGY (FBDIX)		2011-11-02	2017-02-13
FRANKLIN BIOTECHNOLOGY (FBDIX)		2011-12-02	2017-02-13
FRANKLIN BIOTECHNOLOGY (FBDIX)		2011-12-29	2017-02-13
FRANKLIN BIOTECHNOLOGY (FBDIX)		2011-12-29	2017-03-14
FRANKLIN BIOTECHNOLOGY (FBDIX)		2011-12-29	2017-03-14
FRANKLIN BIOTECHNOLOGY (FBDIX)		2011-12-29	2017-03-14
FRANKLIN BIOTECHNOLOGY (FBDIX)		2012-01-04	2017-03-14
FRANKLIN BIOTECHNOLOGY (FBDIX)		2012-01-09	2017-03-14
FRANKLIN BIOTECHNOLOGY (FBDIX)		2012-02-02	2017-03-14
FRANKLIN BIOTECHNOLOGY (FBDIX)		2012-02-02	2017-07-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,595		1,823	1,772
3,638		1,887	1,751
4,757		2,128	2,629
22,199		9,437	12,762
1,510		642	868
80		34	46
3,711		1,603	2,108
1,414		625	789
1,087		528	559
2,178		1,043	1,135

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,772
			1,751
			2,629
			12,762
			868
			46
			2,108
			789
			559
			1,135

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
FRANKLIN BIOTECHNOLOGY (FBDIX)		2012-02-06	2017-07-12
FRANKLIN BIOTECHNOLOGY (FBDIX)		2012-03-06	2017-07-12
FRANKLIN BIOTECHNOLOGY (FBDIX)		2012-03-06	2017-07-12
FRANKLIN BIOTECHNOLOGY (FBDIX)		2012-04-03	2017-07-12
FRANKLIN BIOTECHNOLOGY (FBDIX)		2012-04-05	2017-07-12
FRANKLIN BIOTECHNOLOGY (FBDIX)		2012-05-02	2017-07-12
FRANKLIN BIOTECHNOLOGY (FBDIX)		2012-05-04	2017-07-12
FRANKLIN BIOTECHNOLOGY (FBDIX)		2012-06-05	2017-07-12
FRANKLIN BIOTECHNOLOGY (FBDIX)		2012-06-07	2017-07-12
FRANKLIN BIOTECHNOLOGY (FBDIX)		2012-07-05	2017-07-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,252		625	627
1,303		625	678
2,777		1,336	1,441
2,925		1,463	1,462
1,254		625	629
2,902		1,463	1,439
1,251		625	626
3,866		1,934	1,932
1,261		625	636
3,215		1,764	1,451

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			627
			678
			1,441
			1,462
			629
			1,439
			626
			1,932
			636
			1,451

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
FRANKLIN BIOTECHNOLOGY (FBDIX)		2012-07-09	2017-07-12
FRANKLIN BIOTECHNOLOGY (FBDIX)		2012-08-03	2017-07-12
FRANKLIN BIOTECHNOLOGY (FBDIX)		2012-08-07	2017-07-12
FRANKLIN BIOTECHNOLOGY (FBDIX)		2012-09-06	2017-07-12
FRANKLIN BIOTECHNOLOGY (FBDIX)		2012-09-06	2017-07-12
FRANKLIN BIOTECHNOLOGY (FBDIX)		2012-09-10	2017-07-12
FRANKLIN BIOTECHNOLOGY (FBDIX)		2012-10-03	2017-07-12
FRANKLIN BIOTECHNOLOGY (FBDIX)		2012-10-03	2017-07-12
FRANKLIN BIOTECHNOLOGY (FBDIX)		2012-10-05	2017-07-12
FRANKLIN BIOTECHNOLOGY (FBDIX)		2012-11-05	2017-07-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,113		625	488
3,600		1,979	1,621
1,150		625	525
3,273		1,831	1,442
15		8	7
827		478	349
2,719		1,575	1,144
51		30	21
809		478	331
3,775		2,056	1,719

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			488
			1,621
			525
			1,442
			7
			349
			1,144
			21
			331
			1,719

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
FRANKLIN BIOTECHNOLOGY (FBDIX)		2012-11-05	2017-07-12
FRANKLIN BIOTECHNOLOGY (FBDIX)		2012-11-07	2017-07-12
FRANKLIN BIOTECHNOLOGY (FBDIX)		2012-12-05	2017-07-12
FRANKLIN BIOTECHNOLOGY (FBDIX)		2012-12-05	2017-07-12
FRANKLIN BIOTECHNOLOGY (FBDIX)		2012-12-07	2017-07-12
FRANKLIN BIOTECHNOLOGY (FBDIX)		2012-12-18	2017-07-12
FRANKLIN BIOTECHNOLOGY (FBDIX)		2013-01-04	2017-07-12
FRANKLIN BIOTECHNOLOGY (FBDIX)		2013-01-04	2017-07-12
FRANKLIN BIOTECHNOLOGY (FBDIX)		2013-01-09	2017-07-12
FRANKLIN FLOATING RATE DAILY (FAFRX)		2011-03-30	2017-03-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
65		35	30
877		478	399
2,888		1,600	1,288
58		32	26
867		478	389
184		103	81
2,992		1,647	1,345
203		112	91
348		201	147
75,000		77,615	-2,615

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			30
			399
			1,288
			26
			389
			81
			1,345
			91
			147
			-2,615

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
FRANKLIN FLOATING RATE DAILY (FAFRX)		2011-03-30	2017-08-30
FRANKLIN FLOATING RATE DAILY (FAFRX)		2011-04-01	2017-08-30
FRANKLIN FLOATING RATE DAILY (FAFRX)		2011-05-02	2017-08-30
FRANKLIN FLOATING RATE DAILY (FAFRX)		2011-05-31	2017-08-30
FRANKLIN FLOATING RATE DAILY (FAFRX)		2011-06-01	2017-08-30
FRANKLIN FLOATING RATE DAILY (FAFRX)		2012-05-01	2017-08-30
FRANKLIN FLOATING RATE DAILY (FAFRX)		2013-11-13	2017-08-30
FRANKLIN FLOATING RATE DAILY (FAFRX)		2013-11-22	2017-08-30
FRANKLIN FLOATING RATE DAILY (FAFRX)		2014-01-22	2017-08-30
FRANKLIN FLOATING RATE DAILY (FAFRX)		2015-03-04	2017-08-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,870		4,037	-167
997		1,040	-43
1,227		1,284	-57
95,870		100,000	-4,130
1,472		1,536	-64
97,030		100,000	-2,970
95,870		100,000	-4,130
95,870		100,000	-4,130
119,447		125,000	-5,553
14,716		15,000	-284

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-167
			-43
			-57
			-4,130
			-64
			-2,970
			-4,130
			-4,130
			-5,553
			-284

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
FRANKLIN FLOATING RATE DAILY (FAFRX)		2015-12-15	2017-08-30
FRANKLIN FLOATING RATE DAILY (FAFRX)		2016-02-11	2017-08-30
FRANKLIN MUTUAL GLOBAL (TEDIX)		2009-06-30	2017-05-24
GABELLI GLB SML & MD CP VAL TR (GGZ)		2014-06-24	2017-05-23
GABELLI GLB SML & MD CP VAL TR (GGZ)		2014-06-24	2017-05-23
GABELLI GLB SML & MD CP VAL TR (GGZ)		2014-06-24	2017-05-23
GABELLI GLB SML & MD CP VAL TR (GGZ)		2014-06-24	2017-05-23
GABELLI GLB SML & MD CP VAL TR (GGZ)		2014-06-24	2017-05-23
GABELLI GLB SML & MD CP VAL TR (GGZ)		2014-06-24	2017-05-23
GABELLI GLB SML & MD CP VAL TR (GGZ)		2014-06-24	2017-05-23
GABELLI GLB SML & MD CP VAL TR (GGZ)		2014-06-24	2017-05-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
106,010		100,006	6,004
108,487		100,000	8,487
100,000		73,642	26,358
2,367		2,378	-11
4,147		4,165	-18
4,739		4,760	-21
47		47	0
52		52	0
52		52	0
51		52	-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			6,004
			8,487
			26,358
			-11
			-18
			-21
			0
			0
			0
			-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
GABELLI GLB SML & MD CP VAL TR (GGZ)		2014-06-24	2017-05-23
GENERAL ELECTRIC COMPANY MTN I (US36962G2G80)		2010-08-17	2017-02-15
GLAXOSMITHKLINE PLC (GSK)		2016-11-04	2017-10-03
GUGGENHEIM ENHNCD EQTY INCM FD (GPM)		2013-08-27	2017-03-28
INVESCO CHARTER FUND CLASS A (CHTRX)		2014-02-03	2017-10-18
INVESCO CHARTER FUND CLASS A (CHTRX)		2014-12-15	2017-10-18
INVESCO CHARTER FUND CLASS A (CHTRX)		2014-12-15	2017-10-18
INVESCO CHARTER FUND CLASS A (CHTRX)		2014-12-15	2017-10-18
INVESCO CHARTER FUND CLASS A (CHTRX)		2015-12-14	2017-10-18
INVESCO CHARTER FUND CLASS A (CHTRX)		2015-12-14	2017-10-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
50		50	0
100,000		100,000	0
20,472		19,395	1,077
5		6	-1
27,185		30,000	-2,815
2,857		3,111	-254
180		196	-16
165		179	-14
4,112		3,667	445
385		343	42

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			0
			1,077
			-1
			-2,815
			-254
			-16
			-14
			445
			42

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
INVESCO CHARTER FUND CLASS A (CHTRX)		2016-12-14	2017-10-18
INVESCO CHARTER FUND CLASS A (CHTRX)		2016-12-14	2017-10-18
INVESCO CHARTER FUND CLASS A (CHTRX)		2016-12-14	2017-10-18
INVESCO SR INCOME TR (VVR)		2016-01-15	2017-03-01
INVESCO SR INCOME TR (VVR)		2016-01-15	2017-09-18
INVESCO SR INCOME TR (VVR)		2016-02-29	2017-09-18
INVESCO SR INCOME TR (VVR)		2016-03-31	2017-09-18
INVESCO SR INCOME TR (VVR)		2016-04-29	2017-09-18
INVESCO SR INCOME TR (VVR)		2016-05-31	2017-09-18
INVESCO SR INCOME TR (VVR)		2016-05-31	2017-09-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
3,509		3,216	293
53		48	5
331		303	28
14,220		11,310	2,910
19,755		16,965	2,790
566		481	85
530		484	46
521		487	34
516		488	28
3		3	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			293
			5
			28
			2,910
			2,790
			85
			46
			34
			28
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ISHARES TR CORE S&P MCP ETF (IJH)		2016-11-30	2016-12-01
ISHARES TR CORE S&P500 ETF (IVV)		2011-08-08	2016-12-28
ISHARES TR CORE S&P500 ETF (IVV)		2011-08-08	2017-10-18
ISHARES TR RUS MD CP GR ETF (IWP)		2016-11-30	2016-12-01
ISHARES TR RUS MD CP GR ETF (IWP)		2016-11-30	2016-12-28
ISHARES TR RUS MD CP GR ETF (IWP)		2016-11-30	2017-01-27
ISHARES TR RUS MD CP GR ETF (IWP)		2009-07-09	2017-02-09
ISHARES TR RUS MD CP GR ETF (IWP)		2009-09-28	2017-02-09
ISHARES TR RUS MD CP GR ETF (IWP)		2016-11-30	2017-02-09
ISHARES TR S&P MC 400GR ETF (IJK)		2011-08-19	2016-12-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
81,028		25,765	55,263
79,018		40,110	38,908
128,647		57,300	71,347
38,568		12,765	25,803
29,328		9,574	19,754
100,811		31,913	68,898
2,481		838	1,643
1,828		749	1,079
159,527		49,717	109,810
53,196		27,067	26,129

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			55,263
			38,908
			71,347
			25,803
			19,754
			68,898
			1,643
			1,079
			109,810
			26,129

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
JPMORGAN CHASE & CO 7Y I ETF (US48125X4M51)		2011-10-14	2017-08-02
JPMORGAN CHASE & CO 7Y I ETF (US48125X4M51)		2011-10-14	2017-10-16
JPMORGAN CHASE & COMPANY (JPM)		2013-10-09	2016-12-01
LAZARD GLB TTL RET&INCM FD (LGI)		2015-08-24	2017-04-26
LAZARD GLB TTL RET&INCM FD (LGI)		2015-08-24	2017-04-26
LAZARD GLB TTL RET&INCM FD (LGI)		2015-08-24	2017-05-03
LAZARD GLB TTL RET&INCM FD (LGI)		2015-08-24	2017-05-04
LAZARD GLB TTL RET&INCM FD (LGI)		2015-08-24	2017-05-04
LAZARD GLB TTL RET&INCM FD (LGI)		2015-08-24	2017-07-25
LAZARD GLB TTL RET&INCM FD (LGI)		2015-08-24	2017-07-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
77,799		63,483	14,316
80,484		63,998	16,486
20,432		12,588	7,844
13,029		10,700	2,329
25,820		21,200	4,620
8,676		7,056	1,620
8,401		6,832	1,569
29,991		23,988	6,003
1,677		1,255	422
2,985		2,234	751

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			14,316
			16,486
			7,844
			2,329
			4,620
			1,620
			1,569
			6,003
			422
			751

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
LAZARD GLB TTL RET&INCM FD (LGI)		2015-08-24	2017-07-27
LAZARD GLB TTL RET&INCM FD (LGI)		2015-09-23	2017-07-27
LAZARD GLB TTL RET&INCM FD (LGI)		2015-10-23	2017-07-27
LAZARD GLB TTL RET&INCM FD (LGI)		2015-10-23	2017-11-22
LAZARD GLB TTL RET&INCM FD (LGI)		2015-11-23	2017-11-22
LAZARD GLB TTL RET&INCM FD (LGI)		2015-12-23	2017-11-22
LAZARD GLB TTL RET&INCM FD (LGI)		2016-01-13	2017-11-22
LAZARD GLB TTL RET&INCM FD (LGI)		2016-01-15	2017-11-22
MFS GLOBAL EQUITY FUND CLASS A (MWEFX)		2012-03-27	2017-07-03
MICRON TECHNOLOGY INCORPORATED (MU)		2016-11-30	2017-03-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
17,783		13,308	4,475
1,975		1,463	512
734		583	151
1,169		903	266
1,967		1,507	460
2,109		1,526	583
25,859		17,418	8,441
11,995		7,835	4,160
50,000		31,220	18,780
11,772		1,778	9,994

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			4,475
			512
			151
			266
			460
			583
			8,441
			4,160
			18,780
			9,994

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
MICRON TECHNOLOGY INCORPORATED (MU)		2016-11-30	2017-06-08
MICRON TECHNOLOGY INCORPORATED (MU)		2016-11-30	2017-09-20
MICRON TECHNOLOGY INCORPORATED (MU)		2016-11-30	2017-11-21
NVIDIA CORPORATION (NVDA)		2013-07-15	2017-04-27
OLIN CORPORATION COM PAR \$1 (OLN)		2015-09-18	2017-01-20
OLIN CORPORATION COM PAR \$1 (OLN)		2015-12-10	2017-01-20
OLIN CORPORATION COM PAR \$1 (OLN)		2015-12-18	2017-01-20
OLIN CORPORATION COM PAR \$1 (OLN)		2015-12-18	2017-05-23
OLIN CORPORATION COM PAR \$1 (OLN)		2016-03-10	2017-05-23
OLIN CORPORATION COM PAR \$1 (OLN)		2016-03-10	2017-05-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
13,136		1,778	11,358
18,200		2,223	15,977
48,899		4,445	44,454
42,131		5,488	36,643
27,195		18,053	9,142
511		400	111
13,086		8,499	4,587
579		332	247
991		495	496
17		9	8

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			11,358
			15,977
			44,454
			36,643
			9,142
			111
			4,587
			247
			496
			8

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
PIMCO DYNMIC CREDIT AND MRT IN (PCI)		2015-06-26	2017-02-07
PIMCO DYNMIC CREDIT AND MRT IN (PCI)		2015-06-29	2017-02-07
PIMCO DYNMIC CREDIT AND MRT IN (PCI)		2015-07-01	2017-02-07
PIMCO DYNMIC CREDIT AND MRT IN (PCI)		2015-08-03	2017-02-07
PIMCO DYNMIC CREDIT AND MRT IN (PCI)		2015-09-01	2017-02-07
PIMCO DYNMIC CREDIT AND MRT IN (PCI)		2015-10-01	2017-02-07
PIMCO DYNMIC CREDIT AND MRT IN (PCI)		2015-11-02	2017-02-07
PIMCO DYNMIC CREDIT AND MRT IN (PCI)		2015-12-01	2017-02-07
PIMCO DYNMIC CREDIT AND MRT IN (PCI)		2015-12-29	2017-02-07
PIMCO DYNMIC CREDIT AND MRT IN (PCI)		2016-01-04	2017-02-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,046		1,009	37
47,091		44,713	2,378
245		234	11
916		861	55
963		868	95
1,049		919	130
1,034		927	107
1,069		935	134
1,517		1,323	194
1,099		944	155

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			37
			2,378
			11
			55
			95
			130
			107
			134
			194
			155

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
PIMCO DYNMIC CREDIT AND MRT IN (PCI)		2016-02-01	2017-02-07
PIMCO DYNMIC CREDIT AND MRT IN (PCI)		2016-03-01	2017-02-07
PIMCO DYNMIC CREDIT AND MRT IN (PCI)		2016-04-01	2017-02-07
PIMCO DYNMIC CREDIT AND MRT IN (PCI)		2016-05-02	2017-02-07
PIMCO DYNMIC CREDIT AND MRT IN (PCI)		2016-06-01	2017-02-07
PIMCO DYNMIC CREDIT AND MRT IN (PCI)		2016-07-01	2017-02-07
PIMCO DYNMIC CREDIT AND MRT IN (PCI)		2016-07-01	2017-02-08
PIMCO INCOME FUND CLASS P N/L (PONPX)		2014-04-15	2017-09-18
POWERSHARES ETF TRUST II (PSCD)		2014-10-27	2017-01-05
POWERSHARES ETF TRUST II (PSCD)		2015-08-24	2017-01-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,154		964	190
1,200		973	227
1,181		983	198
1,139		992	147
1,127		1,001	126
1,104		1,006	98
4		4	0
250,000		251,005	-1,005
52,399		45,303	7,096
18,340		16,841	1,499

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			190
			227
			198
			147
			126
			98
			0
			-1,005
			7,096
			1,499

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
POWERSHARES ETF TRUST II (PSCD)		2015-08-24	2017-01-05
POWERSHARES ETF TRUST II (PSCD)		2015-09-29	2017-01-05
PROSHARES ULTRASHORT (TBT)		2010-05-25	2017-07-03
PROSHARES ULTRASHORT (TBT)		2010-08-17	2017-07-03
PROSHARES ULTRASHORT (TBT)		2010-11-23	2017-07-03
PROSHARES ULTRASHORT (TBT)		2011-05-17	2017-07-03
PROSHARES ULTRASHORT (TBT)		2016-11-30	2017-07-03
ROYCE MICRO-CAP TR (RMT)		2010-05-20	2016-12-27
ROYCE MICRO-CAP TR (RMT)		2010-05-25	2016-12-27
ROYCE MICRO-CAP TR (RMT)		2010-07-01	2016-12-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,554		5,015	539
20,645		18,622	2,023
4,528		19,170	-14,642
2,717		9,884	-7,167
4,528		17,734	-13,206
6,339		23,525	-17,186
4,528		22,550	-18,022
12,263		11,360	903
24,719		21,996	2,723
4,217		3,534	683

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			539
			2,023
			-14,642
			-7,167
			-13,206
			-17,186
			-18,022
			903
			2,723
			683

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ROYCE MICRO-CAP TR (RMT)		2010-07-01	2016-12-29
ROYCE MICRO-CAP TR (RMT)		2010-07-01	2017-01-10
ROYCE MICRO-CAP TR (RMT)		2010-12-23	2017-01-10
ROYCE MICRO-CAP TR (RMT)		2011-03-23	2017-01-10
ROYCE MICRO-CAP TR (RMT)		2011-06-23	2017-01-10
ROYCE MICRO-CAP TR (RMT)		2011-09-23	2017-01-10
ROYCE MICRO-CAP TR (RMT)		2011-12-23	2017-01-10
ROYCE MICRO-CAP TR (RMT)		2012-03-02	2017-01-10
ROYCE MICRO-CAP TR (RMT)		2012-06-25	2017-01-10
ROYCE MICRO-CAP TR (RMT)		2012-09-24	2017-01-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed	Cost or other basis	Gain or (loss)
-----------------------	----------------------	---------------------	----------------

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ROYCE MICRO-CAP TR (RMT)		2012-12-24	2017-01-10
ROYCE MICRO-CAP TR (RMT)		2013-06-27	2017-01-10
ROYCE MICRO-CAP TR (RMT)		2013-09-27	2017-01-10
ROYCE MICRO-CAP TR (RMT)		2013-12-27	2017-01-10
ROYCE MICRO-CAP TR (RMT)		2014-03-25	2017-01-10
ROYCE MICRO-CAP TR (RMT)		2014-06-27	2017-01-10
ROYCE MICRO-CAP TR (RMT)		2014-09-26	2017-01-10
ROYCE MICRO-CAP TR (RMT)		2014-12-26	2017-01-10
ROYCE MICRO-CAP TR (RMT)		2015-03-25	2017-01-10
ROYCE MICRO-CAP TR (RMT)		2015-06-26	2017-01-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed	Cost or other basis	Gain or (loss)
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Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
ROYCE MICRO-CAP TR (RMT)		2015-09-25	2017-01-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)	(b) How acquired	(c) Date acquired	(d) Date sold
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Name: L & N ANDREAS FOUNDATION

EIN: 36-3382956

Description	Beginning of Year - Book Value	End of Year - Book Value
EXCISE TAX PAYABLE	-29,919	-24,099
CALLS ON STOCKS	25,298	4,300

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
THORNBURG DEVELOPING WORLD (THDAX)		2014-04-03	2017-04-12
THORNBURG DEVELOPING WORLD (THDAX)		2014-05-05	2017-04-12
THORNBURG DEVELOPING WORLD (THDAX)		2014-06-04	2017-04-12
THORNBURG DEVELOPING WORLD (THDAX)		2014-07-03	2017-04-12
THORNBURG DEVELOPING WORLD (THDAX)		2014-08-05	2017-04-12
THORNBURG DEVELOPING WORLD (THDAX)		2014-09-04	2017-04-12
THORNBURG DEVELOPING WORLD (THDAX)		2014-10-03	2017-04-12
UNILEVER PLC SPON ADR NEW (UL)		2015-08-21	2017-08-01
UNILEVER PLC SPON ADR NEW (UL)		2015-08-24	2017-08-01
WISDOMTREE EMERGING MARKETS (DEM)		2013-04-02	2017-02-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
900		975	-75
922		994	-72
879		981	-102
777		891	-114
742		838	-96
719		817	-98
9		10	-1
26,359		20,891	5,468
5,272		3,917	1,355
8,082		10,980	-2,898

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-75
			-72
			-102
			-114
			-96
			-98
			-1
			5,468
			1,355
			-2,898

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
WISDOMTREE EMERGING MARKETS (DEM)		2013-05-07	2017-02-14
WISDOMTREE EMERGING MARKETS (DEM)		2013-05-15	2017-02-14
WISDOMTREE EMERGING MARKETS (DEM)		2013-07-15	2017-02-14
WISDOMTREE EMERGING MARKETS (DEM)		2013-07-15	2017-04-12
WISDOMTREE EMERGING MARKETS (DEM)		2014-01-22	2017-04-12
WISDOMTREE EMERGING MARKETS (DEM)		2015-07-27	2017-04-12
WISDOMTREE TRUST (DLS)		2010-08-24	2017-03-10
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h


(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
8,082		11,355	-3,273
12,123		16,784	-4,661
12,123		14,823	-2,700
8,088		9,882	-1,794
12,132		14,748	-2,616
20,220		19,693	527
25,805		17,066	8,739
236,865			236,865

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-3,273
			-4,661
			-2,700
			-1,794
			-2,616
			527
			8,739
			236,865

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).

ANDREAS LEE
DAVID ANDREAS
JASON LEE


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AH OF MONROE COUNTY INC 1434 KENNEDY DRIVE KEY WEST, FL 33040	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	1,000
AM CIVIL LIBERTIES UNION OF MN FNDT 2300 MYRLE AVENUE SUITE 180 ST PAUL, MN 55114	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	20,000
AMERICAN RED CROSS 1201 WEST RIVER PARKWAY MINNEAPOLIS, MN 55434	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS HURRICANES 2017 FUND	5,000
AMERICAN RED CROSS 1201 WEST RIVER PARKWAY MINNEAPOLIS, MN 55454	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS HOME FIRE CAMPAIGN FUND	25,000
AUTISM SPEAKS 1 E 33RD STREET FLOOR 4 NEW YORK, NY 10016	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	1,000
Total 				2,633,200
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment


Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BASILICA SCHOOL ST MARY STAR OF THE SEA 700 TRUMAN AVE KEY WEST, FL 33040	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	50,000
BETHLEHEM LUTHERAN CHURCH 720 S 2ND STREET MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS CONNECTIONS SHELTER FUND	10,000
BIG BROTHERS BIG SISTERS 545 DUNNELL DRIVE OWATONNA, MN 55060	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	13,000
BLUE SKY BRIDGE- CHILDFAMILY ADVOCACY CENTER PO BOX 19122 BOULDER, CO 80308	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	20,000
BOULDER COUNTY ARTS ALLIANCE INC 2590 WALNUT STREET BOULDER, CO 80302	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	3,000
Total ► 3a				2,633,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BOYS & GIRLS CLUB OF ROCHESTER 1026 E CENTER STREET ROCHESTER, MN 55904	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS FBO MANKATO FUND	30,000
BRECK SCHOOL 123 OTTAWA AVENUE N MINNEAPOLIS, MN 55422	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	5,000
BRIDGE HOUSE 5345 ARAPAHOE AVE 5 BOULDER, CO 80303	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	20,000
CATHOLIC CHARITIES 924 G STREET NW WASHINGTON, DC 20001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	100,000
CENTER FOR VICTIMS OF TORTURE 2356 UNIVERSITY AVE W ST PAUL, MN 55144	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	175,000
Total ▶ 3a				2,633,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CHAPEL HILL CARRBORO MEALSWHEELS PO BOX 2102 CHAPEL HILL, NC 27514	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	5,000
CHILDREN'S HEARTLINK 5075 ARCADIA AVE MINNEAPOLIS, MN 55436	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	25,000
CHILDREN'S MUSEUM OF SO MN 224 LAMM STREET MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	10,000
CHILDREN'S THEATRE COMPANY 2400 3RD AVENUE S MINNEAPOLIS, MN 55404	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	1,000
CLASSICAL THEATRE OF HARLEN 566 W 159 STREET 44 NEW YORK, NY 10032	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	15,000
Total 				3a 2,633,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
COLORADO SEMINARYUNIV OF DENVER 2199 S UNIVERSITY BLVD DENVER, CO 80210	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	15,000
COMMONBOND COMMUNITIES 1080 MONTREAL AVE ST PAUL, MN 55116	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	5,000
DAIRY CENTER FOR THE ARTS 2590 WALNUT STREET BOULDER, CO 80302	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	5,000
ECHO FOOD SHELF PO BOX 3212 MANKATO, MN 56002	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	60,000
EDUCARE FOUNDATION PO BOX 241 MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	7,500
Total 3a				2,633,200


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FEEDING OUR COMMUNITY PO BOX 5275 MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	65,000
FILM INDEPENDENT INC 9911 W PICO BLVD 11TH FLOOR LOS ANGELES, CA 90035	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	10,000
FL KEYS HISTORY AND DIVING MUSEUM INC 82990 OVERSEAS HWY ISLAMORADA, FL 33036	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	1,000
FL KEYS WILD BIRD REHABILITATION CENTER 92080 OVERSEAS HWY TAVERNIER, FL 33070	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	2,500
FLORIDA KEYS CHILDRENS SHELTER 73 HIGH POINT ROAD TAVERNIER, FL 33070	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	2,000
Total ► 3a				2,633,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FLORIDA KEYS HISTORY & DISCOVERY CENTER PO BOX 1124 ISLAMORADA, FL 33036	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	4,000
FRACTURED ATLAS PRODUCTIONS INC 248 WEST 35TH STREET NEW YORK, NY 10001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	6,000
FRACTURED ATLAS PRODUCTIONS INC 248 WEST 35TH STREET NEW YORK, NY 10001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS HAPPYMESSAGER ART BUS FUND	10,000
FRANCONIA SCULPTURE PARK 29836 ST CROIX TRAIL SHAHER, MN 55074	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	40,000
FRANCONIA SCULPTURE PARK 29836 ST CROIX TRAIL SHAHER, MN 55074	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS ANNIVERSARY CAMPAIGN FUND	50,000
Total ▶ 3a				2,633,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FRIENDS OF WLRN INC 172 N 15 ST 2ND FLOOR MIAMI, FL 33122	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	5,000
GEFFEN PLAYHOUSE 10886 LE CONTE AVE LOS ANGELES, CA 90024	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	50,000
GILLETTE CHILDREN'S HOSPITAL FNDT 200 UNIVERSITY AVE E ST PAUL, MN 55101	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS CLINIC IN MANKATO FUND	10,000
GLOBAL EDUCATION FUND PO BOX 548 BOULDER, CO 80306	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	10,000
GREATER MANKATO UNITED WAY 127 S 2ND STREET MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	25,000
Total ▶ 3a				2,633,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
GREATER MPLS CRISIS NURSERY 4544 4TH AVENUE SOUTH MINNEAPOLIS, MN 55419	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	3,000
GREATER TWIN CITIES UNITED WAY 404 S EIGHTH STREET MINNEAPOLIS, MN 55404	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	24,000
GREENWOOD WILDLIFE REHABILITATION CENTER PO BOX 18987 BOULDER, CO 80306	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	2,500
GROVES ACADEMY 3200 HWY 100 SOUTH ST LOUIS PARK, MN 55416	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	15,000
GUTHRIE THEATRE 818 SOUTH 2ND STREET MINNEAPOLIS, MN 55415	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	10,000
Total 				2,633,200
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
HABITAT FOR HUMANITY 1751 BASSETT DRIVE MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS CAPITAL CAMPAIGN FUND	20,000
HAVERFORD COLLEGE 370 LANCASTER AVE HAVERFORD, PA 19041	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	7,000
HIDDEN HARBOR MARINE ENVIRONMENTAL PROJECT 2396 OVERSEAS HWY MARATHON, FL 33050	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	5,000
HOSPITALITY HOUSE YOUTH DIRECTIONS PO BOX 11008 MINNEAPOLIS, MN 55411	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	2,000
HOUSE OF HOPE INC 1429 THIRD AVEUNE MANKATO, MN 56002	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS TRANSITION FUND	10,000
Total ► 3a				2,633,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
HUNGER SOLUTIONS MINNESOTA 555 PARK STREET SUITE 420 ST PAUL, MN 55103	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	3,500
ILLUSION THEATER 528 HENNEPIN AVE STE 704 MINNEAPOLIS, MN 55403	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	10,000
INTERVARSITY CHRISTIAN FELLOWSHIP PO BOX 7895 MADISON, WI 53707	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	5,000
ISLAMORADA FOUNDATION INC PO BOX 1315 ISLAMORADA, FL 33036	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	7,500
JESUS FOOD 711 MAIN STREET GOOD THUNDER, MN 56037	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	5,000
Total ► 3a				2,633,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
JUNIOR ACHIEVEMENT UPPER MIDWEST 1800 WHITE3 BEAR AVENUE NORTH MAPLEWOOD, MN 55109	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS JABIZ TOWN FUND	10,000
JUNIOR LEAGUE MINNEAPOLIS 410 OAK GROVE STREET MINNEAPOLIS, MN 55403	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	1,000
KEY WEST WOMAN'S CLUB 319 DUVAL STREET KEY WEST, FL 33040	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	1,000
LAKE FOREST ACADEMY 1500 W KENNEDY ROAD LAKE FOREST, IL 60045	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	10,000
LAWS OF LIFE - MANKATO 1704 LINDA LANE N MANKATO, MN 56003	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS ESSAY FUND	2,400
Total ▶ 3a				2,633,200


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
LEISURE EDUCATION FOR EXCEPTIONAL PEOPLE 929 NORTH 4TH STREET MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	1,000
LOWER DEPTH THEATRE ENSEMBLE 5250 N LANKERSHIM BLVD 500 NORTH HOLLYWOOD, CA 91604	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	15,000
MACKINTOSH ACADEMY 6717 SOUTH BOULDER ROAD BOULDER, CO 80303	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	27,500
MACKINTOSH ACADEMY 6717 SOUTH BOULDER ROAD BOULDER, CO 80303	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS PROFESSIONAL DEVLOPMENT GRANT FUND	35,000
MACPHAIL CENTER FOR MUSIC 501 SOUTH 2ND STREET MINNEAPOLIS, MN 55401	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	5,000
Total ► 3a				2,633,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MANKATO AREA FOUNDATION 127 S 2ND STREET SUITE 100 MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS VISION VESTOR FUND	7,500
MANKATO AREA YOUTH SYMPHONY ORCHESTRA PO BOX 4311 MANKATO, MN 56002	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	2,000
MANKATO BALLET PO BOX 114 MANKATO, MN 56002	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS TUITION ASSISTANCE FUND	6,500
MANKATO CENTRAL HIGH SCHOOL 110 FULTON STREET MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS INFANT DAYCARE FUND	10,000
MANKATO SYMPHONY ORCHESTRA PO BOX 645 MANKATO, MN 56002	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	15,000
Total ▶ 3a				2,633,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MARINERS HOSPITAL INC 91500 OVERSEAS HWY TAVERNIER, FL 33070	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	10,000
MAYO CLINIC HEATLH SYSTEMMANKATO 1025 MARSH STREET MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS ISJ PALLIATIVE CARE FUND	50,000
MAYO CLINIC HEATLH SYSTEMMANKATO 1025 MARSH STREET MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS HOSPICE FUND	5,000
MICROGRANTS 1035 EAST FRANKLIN AVE MINNEAPOLIS, MN 55404	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	30,000
MINNEAPOLIS COLLEGE OF ART & DESIGN 2501 STEVENS AVENUE SOUTH MINNEAPOLIS, MN 55404	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	20,000
Total ► 3a				2,633,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MINNEAPOLIS COLLEGE OF ART & DESIGN 2501 STEVENS AVENUE SOUTH MINNEAPOLIS, MN 55404	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS CAPITAL CAMPAIGN FUND	200,000
MINNEAPOLIS HEART INSTITUTE FOUNDATION 920 E 28TH STREET STE 100 MINNEAPOLIS, MN 55407	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	20,000
MINNESOTA PUBLIC RADIO 480 CEDAR STREET ST PAUL, MN 55101	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	2,000
MINNESOTA YOUTH SYMPHONIES 790 CLEVELAND AVE S STE 203 ST PAUL, MN 55116	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	5,000
MINNPOSTCOM 900 6TH AVENUE SE STE 220 MINNEAPOLIS, MN 55414	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	25,000
Total ► 3a				2,633,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MIRACLE LEAGUE OF NORTH MANKATO PO BOX 3361 MANKATO, MN 56602	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS PLAYGROUND FUND	50,000
MISSIONARIES OF CHARITY 724 NW 17TH STREET MIAMI, FL 33136	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS FOOD PROGRAM FUND	50,000
MN FILM & TELEVISION BOARD 401 NORTH 3RD ST STE 440 MINNEAPOLIS, MN 55409	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	1,000
MN IND SCHOOL FORUM INC 445 MN STREET SUITE 505 ST PAUL, MN 55101	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	1,000
MN LANDSCAPE ARBORETUM FOUNDATION 3675 ARBORETUM DR CHASKA, MN 55318	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	5,000
Total 				2,633,200
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MN RIVER AREA AGENCY ON AGING INC 201 N BROAD STREET SUITE 102 MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS DEVA NATIONS FUND	55,000
MN STATE UNIVERSITY MANKATO FOUNDATION 121 ALUMNI FOUNDATION CENTER MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS AM INDIGUENOUS STUDIES FUND	5,000
MN STATE UNIVERSITY MANKATO FOUNDATION 121 ALUMNI FOUNDATION CENTER MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS ANDREAS ANTHROPOLOGY ENDOWMENT FUND	15,000
MN STATE UNIVERSITY MANKATO FOUNDATION 121 ALUMNI FOUNDATION CENTER MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS KNSU FUND	5,000
MN STATE UNIVERSITY MANKATO FOUNDATION 121 ALUMNI FOUNDATION CENTER MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS UNIV ACHIEVEMENT FUND	5,000
Total ► 3a				2,633,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
MN STATE UNIVERSITY MANKATO FOUNDATION 121 ALUMNI FOUNDATION CENTER MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS COLLEGE OF BUSINESS FUND	5,000
MN STATE UNIVERSITY MANKATO FOUNDATION 121 ALUMNI FOUNDATION CENTER MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS COLLEGE/SOCIAL & BEHAVIORAL FUND	5,000
MN STATE UNIVERSITY MANKATO FOUNDATION 121 ALUMNI FOUNDATION CENTER MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS PRESIDENTIAL SCHOLARSHIP FUND	5,000
MONROE ASSOC FOR REMARCABLE CITIZENS PO BOX 428 KEY WEST, FL 33041	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	5,000
NAT'L CTRMISSING & EXPLOITED CHILDREN 699 PRINCE STREET ALEXANDRA, VA 22314	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	15,000
Total ► 3a				2,633,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NCVO PO BOX 1140 GRAND CAYMAN, GRAND CAYMAN CJ	N/A	FOREIGN CHARITY	SEE STATEMENT 10	150,000
NORTHEAST COMMUNITY FUND 825 N WATER ST DECATUR, IL 62523	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	100,000
PACT MINISTRIES 105 W LIND ST MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS FOOD PROGRAM FUND	10,000
PAGE EDUCATION FOUNDATION PO BOX 581254 MINNEAPOLIS, MN 55458	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	6,000
PARTNERS FOR AFFORDABLE HOUSEING 151 GOOD COUNSEL DR SUITE 130 MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	45,000
Total ▶ 3a				2,633,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PLANNED PARENTHOOD MNNDSD 671 VANDALIA STREET ST PAUL, MN 55114	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	3,000
SAFEHOUSE PROGRESSIVE ALLIANCE 835 NORTH STREET BOULDER, CO 80304	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	2,500
SCHOLARSHIP AMERICA INC 7900 INTL DRIVE SUITE 500 MINNEAPOLIS, MN 55425	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS MANKATO AREA FUND	3,600
SCHOOL FOR FIELD STUDIES INC 100 CUMMINGS CTR SUITE 534-G BEVERLY, MA 01915	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	5,000
SCIENCE MUSEUM OF MINNESOTA 120 WEST KELLOGG BLVD ST PAUL, MN 55102	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	1,000
Total ▶ 3a				2,633,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment


Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SECOND HARVEST HEARTLAND 1140 GERVAIS AVENUE ST PAUL, MN 55109	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	1,000
SECU FAMILY HOUSE AT UNC 123 OLD MASON FARM ROAD CHAPEL HILL, NC 27517	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	3,000
SHARING AND CARING HANDS 525 N 7TH STREET MINNEAPOLIS, MN 55405	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	10,000
SHATTUCK-ST MARY'S SCHOOL 1000 SHUMWAY AVENUE FARIBAULT, MN 55021	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	10,000
SHATTUCK-ST MARY'S SCHOOL 1000 SHUMWAY AVENUE FARIBAULT, MN 55021	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS CAPITAL CAMPAIGN FUND	200,000
Total ▶ 3a				2,633,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SHERBURNE NATIONAL WILDLIFE REGUFE INC 17076 293RD AVE ZIMMERMAN, MN 55398	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	5,000
SMILE NETWORK INT'L PO BOX 3986 MINNEAPOLIS, MN 55403	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	2,000
SMILES 709 S FRONT ST SUITE 7 MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	2,500
SOUTH CENTRAL COLLEGE 1920 LEE BOULEVARD N MANKATO, MN 56003	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	10,000
SOUTHERN MN INITIATIVE FNDT 525 FLORENCE AVENUE OWATONNA, MN 56060	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	25,000
Total ▶ 3a				2,633,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SPECIAL OLYMPICS MINNESOTA INC 100 WASHINGTON AVE S STE 550 MINNEAPOLIS, MN 55401	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	2,000
TAKE STOCK IN CHILDREN INC 8600 NW 36 STREET SUITE 500 MIAMI, FL 33166	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	2,000
TEE IT UP FOR THE TROOPS 515 W TRAVELERS TRAIL BURNSVILLE, MN 55337	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	1,200
THE NATURE CONSERVANCY 4245 N FAIRFAX DRIVE STE 100 ARLINGTON, VA 22203	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	5,000
THERE WITH CARE 2825 WILDERNESS PLACE ST 100 BOULDER, CO 80304	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	10,000
Total ► 3a				2,633,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THORNE ECOLOGICAL INSTITUTE PO BOX 19107 BOULDER, CO 80308	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	5,000
THORNE ECOLOGICAL INSTITUTE PO BOX 19107 BOULDER, CO 80308	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS OAK THORNE ENDOWMENT FUND	10,000
TWIN CITIES PUBLIC TELEVISION 172 4TH STREET E ST PAUL, MN 55101	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	3,000
TWIN RIVER COUNCIL FOR THE ARTS 523 S 2ND STREET MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	10,000
UPPER KEYS HUMANE SOCIETY 101617 OVERSEAS HWY KEY LARGO, FL 33037	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	10,000
Total 				2,633,200
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
URBAN VENTURES FOUNDATION 2924 4TH AVENUE S MINNEAPOLIS, MN 55408	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	45,000
USO INC 2111 WILSON BLVD SUITE 1200 ARLINGTON, VA 22201	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	5,000
VICTORY JUNCTION GANG CAMP 4500 ADAMS WAY RANDLEMAN, NC 27317	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	10,000
VOICEFLORIDA KEYS CHILDREN INC PO BOX 2018 ISLAMORADA, FL 33036	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	2,000
VOLUNTEERS OF AMERICA - MN 7625 METRO BLVD EDINA, MN 55439	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	5,000
Total ► 3a				2,633,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
WATERSHED SCHOOL 1661 ALPINE AVENUE BOULDER, CO 80304	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	20,000
WESLEY HOUSE FAMILY SERVICE 1304 TRUMAN AVENUE KEY WEST, FL 33040		SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	2,000
YMCA - MANKATO 1401 S RIVERFRONT DRIVE MANKATO, MN 56001		SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS STRONG COMMUNITY CAMPAIGN FUND	10,000
YOUTH FRONTIER 6009 EXCELSIOR BLVD MINNEAPOLIS, MN 55416		SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	2,000
YWCA - MANKATO 127 S 2ND STREET MANKATO, MN 56001		SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GIRLS ON THE RUN FUND	50,000
Total ► 3a				2,633,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
YWCA OF MANKATO 127 S 2ND STREET MANKATO, MN 56001		SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS RACIAL JUSTICE FUND	40,000
Total <div> <div></div> <div>▶</div> </div> 3a				2,633,200

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2016 Expenditure Responsibility Statement

Name: L & N ANDREAS FOUNDATION

EIN: 36-3382956

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
NCVO (NATIONAL COUNCIL OF VOLUNTARY ORGANIZATIONS)	PO BOX 1140 GT CAYMAN ISLANDS CJ	2020-03-20	150,000	TO ASSIST NCVO WITH THE NADINE ANDREAS RESIDENTIAL FOSTER HOME AND PRESCHOOL GENERAL FUND AND SPECIAL PROJECTS	150,000	TO THE KNOWLEDGE OF THE GRANTOR NO PART HAS BEEN DIVERTED FROM ITS PURPOSE	FINAL REPORT DECEMBER 15, 2017		L & N ANDREAS FOUNDATION REVIEWED THE GRANT REPORT ON DECEMBER 15, 2017 BUT DID NOT UNDERTAKE ANY VERIFICATION OF THE GRANTEE'S REPORTS AS THERE HAS NOT BEEN ANY REASON TO DOUBT THEIR ACCURACY OR RELIABILITY (REG 53 4945-5(C))

TY 2016 General Explanation Attachment**Name:** L & N ANDREAS FOUNDATION**EIN:** 36-3382956**General Explanation Attachment**

Identifier	Return Reference	Explanation	
1	GRANTS AND CONTRIBUTIONS FOUNDATION STATUS	FORM 990 PF PART XV ITEM 3	990 PF PART XV ITEM 3 GRANTS AND CONTRIBUTIONS FOUNDATION STATUS ALL RECIPIENTS OF GRANTS ARE PUBLIC CHARITIES, ORGANIZATIONS AS DESCRIBED IN SECTION 509 (A) (1), (2) OR (3) NONE ARE TYPE III ORGANIZATIONS ALL DISTRIBUTIONS ARE QUALIFIED

General Explanation Attachment

Identifier	Return Reference	Explanation	
2	ELECTION UNDER REGULATIONS SECTION 53 4942 (A)-3 (C)(2)(IV)	FORM 990 PF PART XIII UNDISTRIBUTED INCOME LINE 7	ELECTION UNDER REGULATIONS SECTION 53 4942 (A)-3(C)(2)(IV)THE L & N ANDREAS FOUNDATION HEREBY ELECTS TO TREAT AS A CURRENT DISTRIBUTION OUT OF CORPUS \$785,134 THE DISTRIBUTION WAS TREATED UNDER PARAGRAPH (D)(1)(III) OF SECTION 53 4942 (A)-3(C)(2)(IV) AS A DISTRIBUTION OUT OF CORPUS IN THE TAX YEARS ENDING DECEMBER 31 2011 AND 2012

TY 2016 Investments Corporate Bonds Schedule**Name:** L & N ANDREAS FOUNDATION**EIN:** 36-3382956

Name of Bond	End of Year Book Value	End of Year Fair Market Value
BANK OF AMERICA CORPORATION MTN ISIN US06051GET22 2.0000% DUE 01/11/2018	125,430	125,034
BANK OF AMERICA CORPORATION MTN OID STEPPED CPN ISIN US06048WUP30 3.0000% DU	99,356	99,262
BANK OF AMERICA CORPORATION MTN STEPPED CPN ISIN US06048WUZ12 2.7500% DUE 10	99,356	95,879
JPMORGAN CHASE & CO. NTS ISIN US46625HGY09 6.0000% DUE 01/15/2018	113,806	100,470
ROYAL BANK OF CANADA MTN STEPPED CPN ISIN US78008T4S05 3.0000% DUE 04/30/202	198,310	202,612
UBS AG STAMFORD BRANCH MTN ISIN US90261XEM02 5.8750% DUE 12/20/2017	111,745	100,191
WELLS FARGO & COMPANY MTN STEPPED CPN ISIN US94986RZW41 2.5000% DUE 11/20/20	100,006	98,239
BMO HARRIS BANK, NA CHICAGO, IL FDIC # 16571 CERTIFICATE OF DEPOSIT QTRLY M	100,000	99,460
HSBC BANK USA MCLEAN, VA FDIC # 57890 CERTIFICATE OF DEPOSIT MONTHLY MULTI	100,375	100,721
HSBC BANK USA MCLEAN, VA FDIC # 57890 CERTIFICATE OF DEPOSIT SEMI-ANNUAL MU	110,000	110,074
JPMORGAN CHASE BANK NA COLUMBUS, GA FDIC # 628 CERTIFICATE OF DEPOSIT QTRLY	99,750	99,710
RAYMOND JAMES BANK, NA ST.PETERSBURG FL MEMBER FDIC DAILY COMPOUNDED INTERE	100,000	100,000
RAYMOND JAMES BANK, NA ST.PETERSBURG FL MEMBER FDIC DAILY COMPOUNDED INTERE	100,000	100,000
RAYMOND JAMES BANK, NA ST.PETERSBURG FL MEMBER FDIC DAILY COMPOUNDED INTERE	40,020	40,020
WELLS FARGO BK NA SIOUX FALLS, SD FDIC # 3511 CERTIFICATE OF DEPOSIT SEMI-A	100,000	99,982
GOLDMAN SACHS BANK 5Y MOMENTUM BUILDER INDEX MARKET LINKED CD SV 107 FDIC #	105,143	113,205
GOLDMAN SACHS BANK 6.5Y MOMENTUM BUILDER INDEX MARKET LINKED CD FDIC # 33124	26,664	26,748
GOLDMAN SACHS BANK 7Y MOMENTUM BUILDER INDEX ANNUAL INCOME CD FDIC # 33124 C	30,000	29,799
GOLDMAN SACHS BANK 7Y MOMENTUM BUILDER INDEX MARKET LINKED CD FDIC # 33124 C	21,295	22,052
JP MORGAN CHASE BANK NA 5Y ETF EFFICIENTE DS INDEX MARKET LINKED CD FDIC # 6	20,784	19,624

Name of Bond	End of Year Book Value	End of Year Fair Market Value
GS FINANCE CORP 3.25Y GLOBAL EQUITY ACCELERATED RETURN NOTE NTS ISIN US40054	30,006	32,388
GS FINANCE CORP 5Y S&P 500 COUPON BUFFERED RETURN NOTE SV 2170.06 NTS PAYS A	100,006	106,408
GS FINANCE CORP. 18MO. S&P 500 INDEX BUFFERED RETURN NOTE NTS ISIN US40054KS	125,006	137,300
JP MORGAN CHASE & CO 7Y I ETF EFFICIENTE INDEX ANNUAL INCOME NOTE NTS VARIAB	100,005	101,260
JP MORGAN CHASE FINANCIAL COMPANY LLC 5Y U.S. EQUITY DIGITAL BARRIER NOTE S	50,006	55,425
WELLS FARGO & CO. 5Y CONTINGENT COUPON CONTINGENT PROTECTION NOTE MTN OID PA	30,004	29,928

TY 2016 Investments Corporate Stock Schedule**Name:** L & N ANDREAS FOUNDATION**EIN:** 36-3382956

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ADVISOR'S ASSET MGMT AMERICAN RENAISSANCE PORTFOLIO - A CJO LLC PORTFOLIO,	120,653	131,453
ALLEGiant TRAVEL COMPANY	105,158	136,800
AMERICAN AIRLINES GROUP INCORPORATED	110,620	136,323
APPLE INCORPORATED	64,185	142,636
ARCHER DANIELS MIDLAND COMPANY	2,810,699	4,825,839
AT&T INCORPORATED	132,815	143,701
BANK AMERICA CORPORATION	28,758	104,229
BAXTER INTERNATIONAL INCORPORATED	43,010	94,756
CANTERBURY PARK HOLDING CORPORATION	10,800	27,180
CASTLIGHT HEALTH INCORPORATED CLASS B	64,223	27,300
CELGENE CORPORATION	74,026	98,309
CISCO SYSTEMS INCORPORATED	87,655	186,500
CITIGROUP INCORPORATED COMMON NEW	112,132	192,525
CONSOLIDATED COMM HLDGS INCORPORATED	11,170	31,391
DELTA AIR LINES INCORPORATED DEL COMMON NEW	86,606	142,884
FIDELITY NATIONAL INFORMATION SERVICES	1,732	9,433
FIRST TRUST RICHARD BERNSTEIN TRUST AMERICAN INDUSTRIAL RENOVATION 2017-3 TERM 07/12/2019 REINVESTMENT F	74,986	85,433
GENERAL ELECTRIC COMPANY	211,117	233,198
INTEL CORPORATION	77,901	186,086
JPMORGAN CHASE & COMPANY	87,645	177,684
MACYS INCORPORATED	68,179	83,300
MICRON TECHNOLOGY INCORPORATED	68,670	203,472
MICROSOFT CORPORATION	126,854	399,808
MYLAN NV SHS EURO	112,521	127,855
NORFOLK SOUTHERN CORPORATION	60,095	138,630
PEPSICO INCORPORATED	395,351	873,667
PROCTER AND GAMBLE COMPANY	81,327	134,985
SHIRE PLC SPONSORED ADR	43,899	34,361
SIEMENS AG SPONSORED ADR	19,130	27,233
UNILEVER PLC SPONSORED ADR NEW	35,249	50,832

Name of Stock	End of Year Book Value	End of Year Fair Market Value
UNITED TECHNOLOGIES CORPORATION	72,885	170,030
VERIZON COMMUNICATIONS INCORPORATED	57,075	60,864
VODAFONE GROUP PLC NEW SPONSORED ADR	259,270	236,852

TY 2016 Investments - Other Schedule

Name: L & N ANDREAS FOUNDATION

EIN: 36-3382956

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
ASG MANAGED FUTURES STRATEGY FUND CLASS A M/F - NATIXIS ADV.	AT COST	25,000	27,201
EQUINOX CAMPBELL STRATEGY FUND CLASS P N/L	AT COST	152,594	141,837
EQUINOX MUTUALHEDGE FUTURES STRATEGY FUND CLASS A M/F	AT COST	1,161,798	1,033,669
WILLIAM BLAIR MACRO ALLOCATION FUND CLASS I N/L	AT COST	125,000	125,210
ALPINE GLOBAL DYNAMIC DIVD FD COM NEW	AT COST	47,415	52,209
BLACKROCK ENHANCED EQT DIV TR	AT COST	275,976	369,177
BLACKROCK ENHANCED GBL DIV TR	AT COST	732,602	881,113
BLACKROCK RES & COMM STRAT TR SHS	AT COST	142,031	170,923
CAPITALA FIN CORPORATION	AT COST	31,340	30,480
EATON VANCE RISK MNGD DIV EQTY	AT COST	50,604	59,670
GABELLI DIVD & INCOME TR	AT COST	283,281	314,563
GUGGENHEIM ENHNCD EQTY INCM FD	AT COST	142,776	149,096
LAZARD GLB TTL RET&INCM FD INCORPORATED	AT COST	66,337	92,507
PCM FUND INCORPORATED	AT COST	109,437	172,899
PIONEER FLOATING RATE TR	AT COST	21,672	24,087
SPROTT PHYSICAL PLAT PALLAD TS UNIT	AT COST	17,797	18,020
JPMORGAN CHASE FINANCIAL COMPANY LLC EUROSTOXX 50 INDEX BUFFERED RETURN NOTE	AT COST	100,000	98,300
BLACKROCK EMERGING MARKETS DIVIDEND FUND CLASS A M/F	AT COST	156,058	185,974
BLACKROCK LATIN AMERICA FUND CLASS A M/F	AT COST	159,161	128,906
BLACKROCK LOW DURATION BOND PORT CLASS A M/F	AT COST	341,621	337,627
COLUMBIA ACORN EMERGING MARKETS FUND CLASS A M/F	AT COST	100,000	104,490
COLUMBIA ACORN FUND CLASS A M/F	AT COST	270,664	278,204
COLUMBIA ACORN INTERNATIONAL FUND CLASS A M/F	AT COST	100,000	106,249
COLUMBIA SELIGMAN COMM & INFORMATION FUND CLASS A M/F	AT COST	79,673	174,812
EATON VANCE GLOBAL MACRO ABSOLUTE RETURN FD CLASS A M/F	AT COST	705,622	663,467
EATON VANCE RICHARD BERNSTEIN ALL ASSET STRATEGY FD CL A M/F	AT COST	50,000	54,539
EATON VANCE SHORT DURATION GOVT INCOME FUND CLASS A M/F	AT COST	99,601	99,037
EATON VANCE WORLDWIDE HEALTH SCIENCES FD CLASS A M/F	AT COST	196,780	199,979
FRANKLIN ADJUSTABLE U.S. GOVT SECURITIES FUND CLASS A M/F	AT COST	360,740	347,812
FRANKLIN BIOTECHNOLOGY DISCOVERY FD CLASS A M/F	AT COST	274,754	294,455

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
FRANKLIN GOLD & PRECIOUS METALS FUND CLASS A M/F	AT COST	21,230	12,873
FRANKLIN MUTUAL GLOBAL DISCOVERY FUND CLASS A M/F	AT COST	548,575	619,104
INVESCO BALANCED RISK ALLOCATION FUND CLASS A M/F	AT COST	1,443,644	1,341,249
LOOMIS SAYLES GLOBAL ALLOCATION FUND CLASS A M/F - NATIXIS ADVISOR	AT COST	250,000	285,915
MFS GLOBAL EQUITY FUND CLASS A M/F	AT COST	978,383	1,585,612
OPPENHEIMER DEVELOPING MARKETS FUND CLASS A M/F	AT COST	732,888	1,141,404
OPPENHEIMER GLOBAL ALLOCATION FUND CLASS Y N/L	AT COST	325,565	350,690
OPPENHEIMER GOLD & SPECIAL MINERALS FUND CLASS A M/F	AT COST	232,157	139,639
PIMCO ALL ASSET ALL AUTHORITY FUND CLASS P N/L	AT COST	1,002,921	885,505
PIMCO GLOBAL MULTI ASSET FUND CLASS P N/L	AT COST	738,932	806,667
PIMCO INCOME FUND CLASS P N/L	AT COST	811,167	819,349
PIMCO MORTGAGE OPPORTUNITIES FUND CLASS P N/L	AT COST	152,641	154,831
PIMCO SHORT TERM FUND CLASS P N/L	AT COST	250,494	251,765
TEMPLETON EMERGING MARKETS SMALL CAP FUND CLASS A M/F	AT COST	25,691	30,932
TEMPLETON FOREIGN FUND CLASS A M/F	AT COST	200,048	215,438
TEMPLETON GLOBAL SMALLER COMPANIES FUND CLASS A M/F	AT COST	193,597	278,755
THORNBURG DEVELOPING WORLD FUND CLASS A M/F	AT COST	166,615	192,305
THORNBURG GLOBAL OPPORTUNITIES FD CL A M/F	AT COST	366,861	466,668
THORNBURG LIMITED TERM INCOME FUND CLASS A M/F	AT COST	471,143	485,719
FIRST TRUST NYSE ARCA BIOTECHNOLOGY INDEX FUND	AT COST	17,520	25,076
GUGGENHEIM S&P 500 EQUAL WEIGHT CONSUMER DISCRETIONARY ETF	AT COST	83,879	97,664
ISHARES TR CORE S&P MCP ETF	AT COST	266,048	978,401
ISHARES TR CORE S&P500 ETF	AT COST	490,622	807,342
ISHARES TR RUS MD CP GR ETF	AT COST	69	198
ISHARES TR S&P 500 GRWT ETF	AT COST	90,275	144,600
ISHARES TR S&P MC 400GR ETF	AT COST	126,380	260,268
SPDR GOLD TR GOLD SHS	AT COST	127,285	102,935
SPDR SERIES TRUST S&P DIVID ETF	AT COST	142,876	288,960
WISDOMTREE EMERGING MARKETS EQUITY INCOME FUND	AT COST	36,364	43,400
WISDOMTREE TRUST INTL SMALLCAP DIVID FD	AT COST	167,666	255,697

TY 2016 Other Expenses Schedule**Name:** L & N ANDREAS FOUNDATION**EIN:** 36-3382956**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT FEES	26,193	26,193		0
MISCELLANEOUS	307	307		0

TY 2016 Other Liabilities Schedule**Name:** L & N ANDREAS FOUNDATION**EIN:** 36-3382956

Description	Beginning of Year - Book Value	End of Year - Book Value
EXCISE TAX PAYABLE	-29,919	-24,099
CALLS ON STOCKS	25,298	4,300

**TY 2016 Substantial Contributors
Schedule****Name:** L & N ANDREAS FOUNDATION**EIN:** 36-3382956

Name	Address
DAVID ANDREAS	123 VILLABELLA DRIVE ISLAMORADA, FL 33036
LOWELL ANDREAS ESTATE	ANDREAS OFFICE PO BOX 3584 MANKATO, MN 56002
ANDREAS LEE	2155 EMERALD ROAD BOULDER, CO 80304

TY 2016 Taxes Schedule**Name:** L & N ANDREAS FOUNDATION**EIN:** 36-3382956

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXCISE TAX	21,901	0		0

Schedule B (Form 990, 990-EZ, or 990-PF) <small>Department of the Treasury Internal Revenue Service</small>	Schedule of Contributors ▶ Attach to Form 990, 990-EZ, or 990-PF ▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at <u>www.irs.gov/form990</u>	OMB No 1545-0047 2016
	Name of the organization L & N ANDREAS FOUNDATION	Employer identification number 36-3382956

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	<input type="checkbox"/> 501(c)() (enter number) organization
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	<input type="checkbox"/> 527 political organization
Form 990-PF	<input checked="" type="checkbox"/> 501(c)(3) exempt private foundation
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation
	<input type="checkbox"/> 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. . . . ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization L & N ANDREAS FOUNDATION	Employer identification number 36-3382956
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Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	DAVID ANDREAS ANDREAS OFFICE MANKATO, MN560023584	\$ 965	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization L & N ANDREAS FOUNDATION	Employer identification number 36-3382956
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Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	17,250 SHARES OF ARCHER DANIELS MIDLAND (ADM)	\$ 785 134	2016-12-08
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	

Name of organization L & N ANDREAS FOUNDATION	Employer identification number 36-3382956
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Part III	Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ _____ Use duplicate copies of Part III if additional space is needed
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(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	