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Form 990-PF

Department of the Treasury

Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016, or tax year beginning 12-01-2016, and ending 11-30-2017

Name of foundation

ANDERSON CHILDRENS FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)

1111 E TAHQUITZ CANYON WAY 109

Room/suite

City or town, state or province, country, and ZIP or foreign postal code

PALM SPRINGS, CA 92262

G Check all that apply

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

H Check type of organization

☒ Section 501(c)(3) exempt private foundation

☐ Section 4947(a)(1) nonexempt charitable trust

☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16)

\$ 27,195,488

J Accounting method

☒ Cash

☐ Accrual

☐ Other (specify)

(Part I, column (d) must be on cash basis)

A Employer identification number

23-7089096

B Telephone number (see instructions)

(760) 318-8146

C If exemption application is pending, check here

☐

D 1. Foreign organizations, check here

☐

2. Foreign organizations meeting the 85% test, check here and attach computation

☐

E If private foundation status was terminated under section 507(b)(1)(A), check here

☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

☐

Part I

Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)	
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	9,477	9,477	9,477	
	4 Dividends and interest from securities	140,365	140,365	140,365	
	5a Gross rents	91,693	91,693	91,693	
	b Net rental income or (loss) 28,182				
	6a Net gain or (loss) from sale of assets not on line 10	194,205			
	b Gross sales price for all assets on line 6a 3,664,107				
	7 Capital gain net income (from Part IV, line 2)		194,205		
	8 Net short-term capital gain			17,340	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)	827,043	827,043			
12 Total. Add lines 1 through 11	1,262,783	1,262,783	258,875		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	108,547	54,274		54,273
	14 Other employee salaries and wages	59,605			59,605
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)	4,081	4,081		
	b Accounting fees (attach schedule)	36,760	36,760		
	c Other professional fees (attach schedule)	32,898	32,898		
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	35,868	24,374		11,494
	19 Depreciation (attach schedule) and depletion	12,784	10,506		
	20 Occupancy	22,092	22,092		
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	76,869	69,791		7,078
	24 Total operating and administrative expenses. Add lines 13 through 23	389,504	254,776		132,450
	25 Contributions, gifts, grants paid	1,111,051			1,111,051
26 Total expenses and disbursements. Add lines 24 and 25	1,500,555	254,776		1,243,501	
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-237,772			
	b Net investment income (if negative, enter -0-)		1,008,007		
c Adjusted net income(if negative, enter -0-)			258,875		

For Paperwork Reduction Act Notice, see instructions.

Cat No 11289X

Form 990-PF (2016)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value			
Assets	1	Cash—non-interest-bearing	317,078	391,657	391,657		
	2	Savings and temporary cash investments	835,663	204,299	204,299		
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____					
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____					
	5	Grants receivable					
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)					
	7	Other notes and loans receivable (attach schedule) ▶ _____ 500,000 Less allowance for doubtful accounts ▶ _____		500,000	500,000		
	8	Inventories for sale or use					
	9	Prepaid expenses and deferred charges					
	10a	Investments—U S and state government obligations (attach schedule)	72,791	169,132	164,187		
	b	Investments—corporate stock (attach schedule)	3,160,596	2,521,990	2,936,212		
	c	Investments—corporate bonds (attach schedule)	825,000	1,180,000	1,240,137		
	11	Investments—land, buildings, and equipment basis ▶ _____ 10,764 Less accumulated depreciation (attach schedule) ▶ _____	6,853,420	10,764	10,764		
	12	Investments—mortgage loans					
	13	Investments—other (attach schedule)	-962,672	1,867,467	21,719,072		
	14	Land, buildings, and equipment basis ▶ _____ 99,143 Less accumulated depreciation (attach schedule) ▶ _____ 73,011	1,548	26,132	26,132		
15	Other assets (describe ▶ _____)	3,029	3,028	3,028			
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	11,106,453	6,874,469	27,195,488			
Liabilities	17	Accounts payable and accrued expenses	619	5,644			
	18	Grants payable					
	19	Deferred revenue					
	20	Loans from officers, directors, trustees, and other disqualified persons					
	21	Mortgages and other notes payable (attach schedule).	3,934,376				
	22	Other liabilities (describe ▶ _____)	64,862	1			
	23	Total liabilities (add lines 17 through 22)	3,999,857	5,645			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.						
	24	Unrestricted					
	25	Temporarily restricted					
	26	Permanently restricted					
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.						
	27	Capital stock, trust principal, or current funds					
	28	Paid-in or capital surplus, or land, bldg , and equipment fund					
	29	Retained earnings, accumulated income, endowment, or other funds	7,106,596	6,868,824			
	30	Total net assets or fund balances (see instructions)	7,106,596	6,868,824			
31	Total liabilities and net assets/fund balances (see instructions) .	11,106,453	6,874,469				

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	7,106,596
2	Enter amount from Part I, line 27a	2	-237,772
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	6,868,824
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	6,868,824

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	194,205
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 { }	3	17,340

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	798,084	24,531,037	0 03253
2014	783,684	19,720,132	0 03974
2013	812,531	13,815,988	0 05881
2012	821,627	15,172,802	0 05415
2011	895,844	15,944,993	0 05618

2 Total of line 1, column (d)	2	0 241419
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 048284
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	27,111,035
5 Multiply line 4 by line 3	5	1,309,029
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	10,080
7 Add lines 5 and 6	7	1,319,109
8 Enter qualifying distributions from Part XII, line 4 ,	8	1,243,501

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	20,160
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	20,160
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	20,160
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	13,920
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	13,920
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	13
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9	6,253
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ▶	10	
11	Enter the amount of line 10 to be Credited to 2017 estimated tax ▶ Refunded ▶	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	Yes
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	Yes
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CA _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11	Yes	
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ANDERSONCHILDRENFUNDATION.ORG	13	Yes	
14	The books are in care of ERIC W MARTIN CPA Telephone no (760) 776-4321			

Located at **74000 COUNTRY CLUB DR STE H-2 PALM DESERT CA** ZIP+4 **92260**

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year 15			
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country ▶	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐ 1b			No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? ☐ 1c			No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). ☐ 2b			No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016). ☐ Yes ☒ No 3b			No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a			No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016? 4b			No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No Organizations relying on a current notice regarding disaster assistance check here. ☐ Yes ☒ No c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>	5b	No
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No <i>If "Yes" to 6b, file Form 8870</i>	6b	No
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No	7b	No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
ROBERTA D SCHLESINGER 2 SANTA EUGENIA IRVINE, CA 92606	Trustee 20 00	108,547		
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
NONE				
Total number of other employees paid over \$50,000.				

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ►		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ►	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	4,526,074
b	Average of monthly cash balances.	1b	768,319
c	Fair market value of all other assets (see instructions).	1c	22,229,500
d	Total (add lines 1a, b, and c).	1d	27,523,893
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	27,523,893
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	412,858
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	27,111,035
6	Minimum investment return. Enter 5% of line 5.	6	1,355,552

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	1,355,552
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	20,160
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	20,160
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	1,335,392
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	1,335,392
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	1,335,392

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	1,243,501
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	1,243,501
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	1,243,501

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				1,335,392
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			820,869	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2016				
a From 2011.				
b From 2012.				
c From 2013.				
d From 2014.				
e From 2015.				
f Total of lines 3a through e.				
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ <u>1,243,501</u>				
a Applied to 2015, but not more than line 2a			820,869	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				422,632
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				912,760
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).				
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2012.				
b Excess from 2013.				
c Excess from 2014.				
d Excess from 2015.				
e Excess from 2016.				

Part XIV

- | | |
|----------------|--|
| Part XV | Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.) |
|----------------|--|

Part XV

- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

ANDERSON CHILDREN'S FOUNDATION
1111 E TAHQUITZ CANYON WAY SUITE 10
PALM SPRINGS, CA 92262
(760) 318-8146

- b. The form in which applications should be submitted and information and materials they should include**

FORMAL GRANT APPLICATION

- c Any submission deadlines

MAY 14, 2016

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

ELIGIBILITY - MUST MEET ALL FOUR OF THE FOLLOWING PREREQUISITES 1) ESTABLISHED IN THE COACHELLA VALLEY OF RIVERSIDE COUNTY, CALIFORNIA2) DIRECTLY SERVES THE YOUTH OF THE COACHELLA VALLEY3) NONPROFIT AGENCY OR ORGANIZATION4) NONPROFIT STATUS ACQUIRED THROUGH OFFICIAL MEANSOTHER INFORMATION AVAILABLE AT ORGANIZATION'S WEBSITE
WWW.ANDERSONCHILDRENSFOUNDATION.ORG

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	1,111,051
b <i>Approved for future payment</i>				
Total			3b	

Enter gross amounts unless otherwise indicated

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII

- | | Yes | No |
|-------|-----|----|
| 1a(1) | | No |
| 1a(2) | | No |
| 1b(1) | | No |
| 1b(2) | | No |
| 1b(3) | | No |
| 1b(4) | | No |
| 1b(5) | | No |
| 1b(6) | | No |
| 1c | | No |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

* * * * *

Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
38 MSCI Inc	P	2017-02-23	2017-03-14
14 Watsco Inc	P	2017-02-23	2017-03-15
6 Watsco Inc	P	2016-01-26	2017-03-15
10 Watsco Inc	P	2016-01-25	2017-03-15
3 Watsco Inc	P	2016-01-25	2017-03-16
34 Aspen Technology Inc	P	2017-02-23	2017-03-29
43 Church & Dwight Inc	P	2017-02-23	2017-03-29
57 Fastenal Co	P	2015-07-24	2017-06-23
42 Fastenal Co	P	2017-02-23	2017-06-23
48 Tripadvisor Inc	P	2015-06-19	2017-06-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
3,615		3,607	8
2,070		2,126	-56
887		661	226
1,478		1,093	385
445		328	117
1,972		1,975	-3
2,156		2,135	21
2,448		2,282	166
1,804		2,096	-292
1,754		3,210	-1,456

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			8
			-56
			226
			385
			117
			-3
			21
			166
			-292
			-1,456

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
36 Tripadvisor Inc	P	2017-02-23	2017-06-23
14 Factset Research Systems Inc	P	2008-12-10	2017-06-28
9 Factset Research Systems Inc	P	2017-02-23	2017-06-28
6 Jack Henry & Associates Inc	P	2017-02-23	2017-06-30
9 Jack Henry & Associates Inc	P	2017-02-23	2017-07-03
3 Jack Henry & Associates Inc	P	2017-02-23	2017-07-05
5 Jack Henry & Associates Inc	P	2017-02-23	2017-07-06
80 Church & Dwight Inc	P	2006-02-17	2017-08-04
5 Church & Dwight Inc	P	2017-02-23	2017-08-04
9 Church & Dwight Inc	P	2017-02-23	2017-08-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,316		1,580	-264
2,376		546	1,830
1,528		1,634	-106
624		563	61
937		844	93
312		281	31
515		469	46
4,047		691	3,356
253		248	5
453		447	6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-264
			1,830
			-106
			61
			93
			31
			46
			3,356
			5
			6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
3 Monotype Imaging Holdings Inc	P	2013-11-04	2017-08-15
34 Monotype Imaging Holdings Inc	P	2013-11-04	2017-08-16
29 Monotype Imaging Holdings Inc	P	2015-09-02	2017-08-16
120 Monotype Imaging Holdings Inc	P	2017-02-23	2017-08-16
9 Monotype Imaging Holdings Inc	P	2017-02-23	2017-08-18
11 Monotype Imaging Holdings Inc	P	2017-02-23	2017-08-21
28 Monotype Imaging Holdings Inc	P	2017-02-23	2017-08-22
43 Monotype Imaging Holdings Inc	P	2016-02-19	2017-08-22
16 Monotype Imaging Holdings Inc	P	2016-02-19	2017-08-23
1 Monotype Imaging Holdings Inc	P	2015-09-01	2017-08-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
57		83	-26
633		940	-307
540		612	-72
2,236		2,523	-287
165		189	-24
200		231	-31
511		589	-78
784		1,001	-217
293		372	-79
18		21	-3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-26
			-307
			-72
			-287
			-24
			-31
			-78
			-217
			-79
			-3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
37 Monotype Imaging Holdings Inc	P	2015-09-01	2017-08-24
34 Monotype Imaging Holdings Inc	P	2016-07-29	2017-08-24
13 Monotype Imaging Holdings Inc	P	2016-07-29	2017-08-25
6 Monotype Imaging Holdings Inc	P	2016-08-01	2017-08-25
42 Equifax Inc	P	2013-03-25	2017-09-08
30 Equifax Inc	P	2017-02-23	2017-09-08
2 Berkley WR Corp	P	2017-02-23	2017-10-16
5 CDW Corporation of Delaware	P	2017-02-23	2017-10-16
1 Cooper Cos. Inc	P	2017-02-23	2017-10-16
8 Dentsply Sirona Inc	P	2017-02-23	2017-10-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
675		778	-103
621		654	-33
238		250	-12
110		121	-11
5,246		2,362	2,884
3,747		3,887	-140
136		143	-7
340		298	42
237		196	41
471		499	-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-103
			-33
			-12
			-11
			2,884
			-140
			-7
			42
			41
			-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
4 Manhattan Associates Inc	P	2016-09-28	2017-10-16
2 MSCI Inc	P	2017-02-23	2017-10-16
3 Nordson Corp	P	2017-02-23	2017-10-16
3 Primerica Inc	P	2017-02-23	2017-10-16
3 RBC Bearings Inc	P	2017-02-23	2017-10-16
1 Snap-On Inc	P	2017-02-23	2017-10-16
2 Wabco Holdings Inc	P	2017-02-23	2017-10-16
5 Wynn Resorts Ltd	P	2017-02-23	2017-10-16
18 Dentsply Sirona Inc	P	2017-02-23	2017-10-23
31 Dentsply Sirona Inc	P	2017-02-23	2017-10-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
187		234	-47
248		190	58
367		358	9
263		241	22
383		280	103
151		171	-20
298		225	73
735		469	266
1,113		1,124	-11
1,903		1,935	-32

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-47
			58
			9
			22
			103
			-20
			73
			266
			-11
			-32

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
83 Dentsply Sirona Inc	P	2010-09-20	2017-11-29
9 Amgen Inc	P	2015-10-13	2016-12-01
20 Amgen Inc	P	2015-10-08	2016-12-01
13 Pepsico Inc	P	2016-09-29	2016-12-08
6 Pepsico Inc	P	2010-03-22	2016-12-08
18 Medtronic PLC	P	2016-06-02	2016-12-13
18 Medtronic PLC	P	2016-02-29	2016-12-13
11 Medtronic PLC	P	2016-03-10	2016-12-13
18 Accenture PLC Ireland Class A	P	2011-04-11	2016-12-15
4 Honeywell International Inc	P	2015-04-17	2016-12-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,096		1,709	3,387
1,285		1,363	-78
2,855		2,943	-88
1,332		1,409	-77
615		398	217
1,327		1,481	-154
1,327		1,398	-71
811		837	-26
1,990		894	1,096
465		408	57

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			3,387
			-78
			-88
			-77
			217
			-154
			-71
			-26
			1,096
			57

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
14 Honeywell International Inc	P	2015-01-06	2016-12-16
3 Accenture PLC Ireland Class A	P	2011-04-11	2016-12-20
9 Accenture PLC Ireland Class A	P	2011-10-04	2016-12-20
8 Walgreens Boots Alliance Inc	P	2016-10-20	2016-12-23
3 Walgreens Boots Alliance Inc	P	2014-03-18	2016-12-23
18 Pepsico Inc	P	2010-03-22	2017-01-13
12 Qualcomm Inc	P	2016-09-29	2017-01-18
25 Qualcomm Inc	P	2016-09-01	2017-01-18
3 Qualcomm Inc	P	2016-09-01	2017-01-25
26 Qualcomm Inc	P	2016-03-04	2017-01-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,627		1,383	244
370		168	202
1,109		470	639
673		646	27
253		202	51
1,824		1,193	631
780		800	-20
1,626		1,568	58
170		188	-18
1,471		1,372	99

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			244
			202
			639
			27
			51
			631
			-20
			58
			-18
			99

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
27 Qualcomm Inc	P	2016-04-21	2017-01-25
24 Accenture PLC Ireland Class A	P	2011-10-04	2017-01-27
22 Dollar Tree Stores Inc	P	2016-08-25	2017-01-30
18 Bristol Myers Squibb Co	P	2016-08-03	2017-02-01
63 Bristol Myers Squibb Co	P	2016-06-21	2017-02-01
25 United Parcel Service B	P	2011-04-11	2017-02-01
23 United Parcel Service B	P	2011-04-11	2017-02-07
8 Costco Wholesale Corp	P	2016-12-08	2017-02-17
6 Qualcomm Inc	P	2016-04-21	2017-02-17
57 Qualcomm Inc	P	2016-02-19	2017-02-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,527		1,392	135
2,774		1,254	1,520
1,637		1,897	-260
880		1,345	-465
3,080		4,493	-1,413
2,595		1,830	765
2,424		1,684	740
1,405		1,272	133
338		309	29
3,207		2,848	359

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			135
			1,520
			-260
			-465
			-1,413
			765
			740
			133
			29
			359

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
21 United Parcel Service B	P	2011-04-11	2017-02-21
11 Costco Wholesale Corp	P	2011-04-11	2017-03-03
32 Walgreens Boots Alliance Inc	P	2014-03-18	2017-03-21
15 Walgreens Boots Alliance Inc	P	2014-04-03	2017-03-21
14 Mondelez International Inc	P	2016-03-29	2017-03-22
40 Mondelez International Inc	P	2011-04-11	2017-03-22
32 Danaher Corp	P	2016-08-31	2017-04-25
10 Facebook Inc Class A	P	2016-11-17	2017-05-08
23 Medtronic PLC	P	2016-01-27	2017-05-08
1 Alphabet Inc Class A	P	2013-02-01	2017-05-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
2,253		1,537	716
1,875		835	1,040
2,653		2,151	502
1,243		1,005	238
617		561	56
1,762		831	931
2,650		2,602	48
1,510		1,166	344
1,916		1,731	185
946		382	564

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			716
			1,040
			502
			238
			56
			931
			48
			344
			185
			564

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
25 Visa Inc Class A	P	2011-07-01	2017-05-19
18 Monster Beverage Corp	P	2016-09-26	2017-06-01
16 Monster Beverage Corp	P	2016-05-06	2017-06-01
8 Monster Beverage Corp	P	2016-11-08	2017-06-01
13 S&P Global Inc	P	2017-04-03	2017-06-02
43 Fastenal Co	P	2017-01-30	2017-06-13
20 Fastenal Co	P	2017-01-13	2017-06-13
30 TJX Cos Inc	P	2017-03-02	2017-06-19
5 TJX Cos Inc	P	2017-02-23	2017-06-19
11 Celgene Corp	P	2016-08-03	2017-06-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
2,309		435	1,874
915		1,327	-412
814		1,178	-364
407		546	-139
1,863		1,688	175
1,871		2,139	-268
870		961	-91
2,150		2,367	-217
358		391	-33
1,463		1,281	182

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			1,874
			-412
			-364
			-139
			175
			-268
			-91
			-217
			-33
			182

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
12 Celgene Corp	P	2015-04-20	2017-06-21
18 Costco Wholesale Corp	P	2011-04-11	2017-06-22
18 Kraft Heinz Co	P	2016-08-12	2017-07-06
14 Dollar Tree Stores Inc	P	2016-08-25	2017-07-07
7 Dollar Tree Stores Inc	P	2016-09-22	2017-07-07
3 Dollar Tree Stores Inc	P	2015-10-07	2017-07-07
1 Dollar Tree Stores Inc	P	2014-11-18	2017-07-07
27 Fastenal Co	P	2017-01-13	2017-07-12
47 Fastenal Co	P	2017-04-12	2017-07-12
15 Lauder Estee Cos Inc Class A	P	2017-02-17	2017-07-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,596		1,371	225
2,883		1,367	1,516
1,494		1,624	-130
953		1,207	-254
476		560	-84
204		192	12
68		62	6
1,214		1,297	-83
2,114		2,245	-131
1,443		1,283	160

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			225
			1,516
			-130
			-254
			-84
			12
			6
			-83
			-131
			160

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
4 Danaher Corp	P	2016-08-31	2017-07-21
28 Danaher Corp	P	2016-07-13	2017-07-21
3 Danaher Corp	P	2016-07-08	2017-07-21
28 Microsoft Corp	P	2017-01-27	2017-07-21
1 Priceline Group Inc	P	2016-11-18	2017-07-21
1 Facebook Inc Class A	P	2016-11-17	2017-07-26
9 Facebook Inc Class A	P	2016-01-20	2017-07-26
2 Facebook Inc Class A	P	2014-10-16	2017-07-26
14 Medtronic PLC	P	2016-01-27	2017-08-23
20 Medtronic PLC	P	2016-01-29	2017-08-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
331		325	6
2,316		2,269	47
248		242	6
2,066		1,824	242
1,994		1,507	487
166		117	49
1,490		819	671
331		145	186
1,123		1,054	69
1,604		1,495	109

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			6
			47
			6
			242
			487
			49
			671
			186
			69
			109

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
24 Medtronic PLC	P	2016-03-01	2017-08-23
15 Apple Inc	P	2016-10-10	2017-08-30
6 Apple Inc	P	2016-04-13	2017-08-30
18 Apple Inc	P	2016-04-13	2017-09-13
16 Danaher Corp	P	2016-07-08	2017-09-19
28 Danaher Corp	P	2016-07-06	2017-09-19
16 Danaher Corp	P	2016-09-29	2017-09-19
8 Thermo Fisher Scientific Inc	P	2016-10-24	2017-09-25
4 Thermo Fisher Scientific Inc	P	2014-04-10	2017-09-25
22 Walgreens Boots Alliance Inc	P	2014-04-03	2017-10-04

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,925		1,760	165
2,445		1,744	701
978		672	306
2,856		2,015	841
1,400		1,293	107
2,449		2,257	192
1,400		1,240	160
1,490		1,224	266
745		473	272
1,680		1,474	206

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			165
			701
			306
			841
			107
			192
			160
			266
			272
			206

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
24 Walgreens Boots Alliance Inc	P	2014-04-09	2017-10-04
2 Walgreens Boots Alliance Inc	P	2014-03-27	2017-10-04
45 Walgreens Boots Alliance Inc	P	2014-03-27	2017-10-13
1 Celgene Corp	P	2015-04-20	2017-10-27
34 Celgene Corp	P	2015-05-07	2017-10-27
1 Priceline Group Inc	P	2016-11-18	2017-10-27
1 Priceline Group Inc	P	2016-12-23	2017-10-27
21 Celgene Corp	P	2015-05-07	2017-10-30
15 Celgene Corp	P	2016-07-28	2017-10-30
2 Lauder Estee Cos Inc Class A	P	2017-02-17	2017-11-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,832		1,565	267
153		128	25
3,042		2,887	155
96		114	-18
3,264		3,806	-542
1,890		1,507	383
1,890		1,481	409
2,098		2,351	-253
1,499		1,662	-163
246		171	75

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			267
			25
			155
			-18
			-542
			383
			409
			-253
			-163
			75

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
16 Lauder Estee Cos Inc Class A	P	2016-11-10	2017-11-08
24 TJX Cos Inc	P	2017-02-23	2017-11-15
8 TJX Cos Inc	P	2017-05-19	2017-11-15
12 TJX Cos Inc	P	2017-05-19	2017-11-29
40 TJX Cos Inc	P	2011-04-11	2017-11-29
20 Charles Schwab Corp	P	2014-02-04	2016-12-09
39 Charles Schwab Corp	P	2016-03-03	2016-12-09
7 Charles Schwab Corp	P	2016-06-08	2016-12-09
25 Charles Schwab Corp	P	2016-07-01	2016-12-09
347 Charles Schwab Corp	P	2016-08-23	2016-12-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,970		1,259	711
1,618		1,878	-260
539		592	-53
899		888	11
2,995		1,022	1,973
785		481	304
1,531		1,034	497
275		207	68
982		625	357
13,624		10,537	3,087

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			711
			-260
			-53
			11
			1,973
			304
			497
			68
			357
			3,087

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
18 EQT Corp	P	2016-06-14	2017-03-15
62 EQT Corp	P	2016-08-23	2017-03-15
74 Carmax Inc	P	2016-08-23	2017-03-30
7 Liberty Global PLC Lilac Class C	P	2014-06-17	2017-03-30
6 JPMorgan Chase & Co	P	2016-08-23	2017-03-30
22 Monsanto Co	P	2016-08-23	2017-03-31
2 Valeant Pharmaceuticals International Inc	P	2014-03-19	2017-04-03
6 Valeant Pharmaceuticals International Inc	P	2014-05-28	2017-04-03
2 Valeant Pharmaceuticals International Inc	P	2016-03-01	2017-04-03
23 Valeant Pharmaceuticals International Inc	P	2016-03-03	2017-04-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,043		1,338	-295
3,594		4,450	-856
4,473		4,408	65
164		274	-110
532		398	134
2,501		2,363	138
21		281	-260
63		766	-703
21		125	-104
241		1,499	-1,258

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-295
			-856
			65
			-110
			134
			138
			-260
			-703
			-104
			-1,258

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
7 Valeant Pharmaceuticals International Inc	P	2016-06-08	2017-04-03
108 Valeant Pharmaceuticals International Inc	P	2016-08-23	2017-04-03
101 Monsanto Co	P	2016-08-23	2017-05-16
6 Monsanto Co	P	2015-09-21	2017-05-16
31 Monsanto Co	P	2016-03-03	2017-05-16
2 Alphabet Inc Class A	P	2016-08-23	2017-06-13
4 Alphabet Inc Class C	P	2016-08-23	2017-06-13
5 Amazon com Inc	P	2016-08-23	2017-06-13
14 JPMorgan Chase & Co	P	2016-08-23	2017-06-13
8 Visa Inc Class A	P	2017-06-13	2017-07-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
73		167	-94
1,129		3,375	-2,246
11,779		10,849	930
700		526	174
3,615		2,635	980
1,944		1,599	345
3,814		3,097	717
4,878		3,818	1,060
1,218		928	290
752		758	-6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-94
			-2,246
			930
			174
			980
			345
			717
			1,060
			290
			-6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
44 Visa Inc. Class A	P	2016-08-23	2017-07-03
6 UnitedHealth Group Inc.	P	2017-06-13	2017-07-25
32 UnitedHealth Group Inc.	P	2016-08-23	2017-07-25
28 Carmax Inc.	P	2016-08-23	2017-08-18
6 Costco Wholesale Corp.	P	2017-06-13	2017-08-22
48 Costco Wholesale Corp.	P	2016-08-23	2017-08-22
1 Priceline Group Inc.	P	2016-03-03	2017-09-28
3 Priceline Group Inc.	P	2016-08-23	2017-09-28
10 Aetna Inc.	P	2017-06-13	2017-10-19
8 Aetna Inc.	P	2017-01-24	2017-10-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,136		3,590	546
1,147		1,081	66
6,120		4,561	1,559
1,795		1,668	127
953		1,079	-126
7,622		8,075	-453
1,811		1,301	510
5,434		4,292	1,142
1,573		1,490	83
1,259		940	319

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			546
			66
			1,559
			127
			-126
			-453
			510
			1,142
			83
			319

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
16 Ecolab Inc	P	2016-08-23	2017-10-19
24 UnitedHealth Group Inc	P	2016-08-23	2017-10-27
6 UnitedHealth Group Inc	P	2016-03-03	2017-10-27
11 UnitedHealth Group Inc	P	2013-02-06	2017-10-27
25 Ecolab Inc	P	2016-08-23	2017-11-20
8 Ecolab Inc	P	2016-06-08	2017-11-20
3 Ecolab Inc	P	2013-07-10	2017-11-20
9 American Express Company	P	2016-06-08	2017-11-21
41 American Express Company	P	2016-08-23	2017-11-21
26 Delphi Automotive PLC	P	2017-06-13	2017-11-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,104		1,962	142
5,086		3,421	1,665
1,271		725	546
2,331		631	1,700
3,304		3,065	239
1,057		974	83
397		265	132
848		596	252
3,863		2,712	1,151
2,653		2,235	418

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			142
			1,665
			546
			1,700
			239
			83
			132
			252
			1,151
			418

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
14 Delphi Automotive PLC	P	2016-08-23	2017-11-21
43 Visa Inc. Class A	P	2016-08-23	2017-11-21
3 Visa Inc. Class A	P	2016-06-08	2017-11-21
15 Visa Inc. Class A	P	2016-03-03	2017-11-21
8 Visa Inc. Class A	P	2013-04-24	2017-11-21
95 Carmax Inc.	P	2016-08-23	2017-11-22
6 Carmax Inc.	P	2016-06-08	2017-11-22
36 Carmax Inc.	P	2016-03-03	2017-11-22
13 Carmax Inc.	P	2014-03-25	2017-11-22
48 Costco Wholesale Corp.	P	2016-08-23	2017-11-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,428		938	490
4,773		3,508	1,265
333		244	89
1,665		1,113	552
888		333	555
6,465		5,659	806
408		318	90
2,450		1,725	725
885		607	278
8,283		8,075	208

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			490
			1,265
			89
			552
			555
			806
			90
			725
			278
			208

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
17 Costco Wholesale Corp	P	2016-03-03	2017-11-22
1 Costco Wholesale Corp	P	2000-12-26	2017-11-22
10 Costco Wholesale Corp	P	2002-12-18	2017-11-22
102 Occidental Petroleum Corp	P	2016-08-23	2017-11-28
600 Central Fund Canada Ltd Class A	P	2012-10-25	2017-02-22
450 Central Fund Canada Ltd Class A	P	2013-08-19	2017-02-22
150 Central Fund Canada Ltd Class A	P	2013-08-19	2017-02-22
680 828 Fidelity Advisor Ser VII Health Care Fd Class C	P	2015-06-26	2017-02-22
28 377 Fidelity Advisor Ser VII Health Care Fd Class C	P	2015-09-14	2017-02-22
24 045 Fidelity Advisor Ser VII Health Care Fd Class C	P	2015-09-14	2017-02-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,934		2,540	394
173		35	138
1,726		276	1,450
7,024		7,802	-778
7,335		13,596	-6,261
5,501		7,302	-1,801
1,826		2,434	-608
21,112		25,007	-3,895
880		906	-26
746		767	-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			394
			138
			1,450
			-778
			-6,261
			-1,801
			-608
			-3,895
			-26
			-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
20833 333 Virtus Opportunities Multi Sector S/T Bond Fd C	P	2016-02-02	2017-03-15
22 773 Capital World Growth & Income Fund CI F-1	P	2016-06-16	2017-06-12
12 531 Capital World Growth & Income Fund CI F-1	P	2016-09-15	2017-06-12
20 624 Capital World Growth & Income Fund CI F-1	P	2016-12-22	2017-06-12
80 7 Capital World Growth & Income Fund CI F-1	P	2016-12-22	2017-06-12
12 362 Capital World Growth & Income Fund CI F-1	P	2017-03-16	2017-06-12
5 807 Capital World Growth & Income Fund CI F-1	P	2012-03-19	2017-06-12
26 745 Capital World Growth & Income Fund CI F-1	P	2012-06-18	2017-06-12
6 268 Capital World Growth & Income Fund CI F-1	P	2012-09-24	2017-06-12
13 85 Capital World Growth & Income Fund CI F-1	P	2012-12-17	2017-06-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
99,993		97,294	2,699
1,115		972	143
614		560	54
1,010		903	107
3,953		3,535	418
605		578	27
284		208	76
1,310		883	427
307		229	78
678		511	167

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			2,699
			143
			54
			107
			418
			27
			76
			427
			78
			167

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
6 272 Capital World Growth & Income Fund CI F-1	P	2013-03-18	2017-06-12
22 23 Capital World Growth & Income Fund CI F-1	P	2013-06-17	2017-06-12
5 436 Capital World Growth & Income Fund CI F-1	P	2013-09-23	2017-06-12
14 929 Capital World Growth & Income Fund CI F-1	P	2013-12-16	2017-06-12
9 825 Capital World Growth & Income Fund CI F-1	P	2014-03-17	2017-06-12
23 268 Capital World Growth & Income Fund CI F-1	P	2014-06-16	2017-06-12
9 036 Capital World Growth & Income Fund CI F-1	P	2014-09-22	2017-06-12
16 942 Capital World Growth & Income Fund CI F-1	P	2014-12-15	2017-06-12
9 842 Capital World Growth & Income Fund CI F-1	P	2015-03-16	2017-06-12
23 694 Capital World Growth & Income Fund CI F-1	P	2015-06-18	2017-06-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
307		247	60
1,089		890	199
266		232	34
731		649	82
481		441	40
1,140		1,099	41
443		428	15
830		772	58
481		456	25
1,160		1,127	33

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			60
			199
			34
			82
			40
			41
			15
			58
			25
			33

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
10 092 Capital World Growth & Income Fund CI F-1	P	2015-09-17	2017-06-12
18 217 Capital World Growth & Income Fund CI F-1	P	2015-12-23	2017-06-12
45 194 Capital World Growth & Income Fund CI F-1	P	2015-12-23	2017-06-12
12 561 Capital World Growth & Income Fund CI F-1	P	2016-03-17	2017-06-12
1794 296 Capital World Growth & Income Fund CI F-1	P	2003-10-31	2017-06-12
750 659 Capital World Growth & Income Fund CI F-1	P	2011-12-19	2017-06-12
8361 204 Federated Prudent DollarBear Fund CI C	P	2012-10-05	2017-06-12
9 003 Federated Prudent DollarBear Fund CI C	P	2012-12-13	2017-06-12
62 773 Federated Prudent DollarBear Fund CI C	P	2013-12-12	2017-06-12
2 516 Goldman Sachs Rising Dividend Growth Fd C	P	2016-06-30	2017-06-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
494		450	44
892		787	105
2,214		1,952	262
615		535	80
87,880		50,006	37,874
36,765		27,838	8,927
77,836		100,005	-22,169
84		106	-22
584		682	-98
55		51	4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			44
			105
			262
			80
			37,874
			8,927
			-22,169
			-22
			-98
			4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
3 216 Goldman Sachs Rising Dividend Growth Fd C	P	2016-09-30	2017-06-12
36 08 Goldman Sachs Rising Dividend Growth Fd C	P	2016-12-12	2017-06-12
0 749 Goldman Sachs Rising Dividend Growth Fd C	P	2016-12-21	2017-06-12
5 751 Goldman Sachs Rising Dividend Growth Fd C	P	2017-03-31	2017-06-12
2050 231 Goldman Sachs Rising Dividend Growth Fd C	P	2014-04-10	2017-06-12
12 488 Goldman Sachs Rising Dividend Growth Fd C	P	2016-03-31	2017-06-12
113 184 Virtus NewFleet Multi Sector S/T Bond Fd Cl C	P	2016-07-01	2017-06-12
112 04 Virtus NewFleet Multi Sector S/T Bond Fd Cl C	P	2016-08-01	2017-06-12
123 176 Virtus NewFleet Multi Sector S/T Bond Fd Cl C	P	2016-09-01	2017-06-12
131 576 Virtus NewFleet Multi Sector S/T Bond Fd Cl C	P	2016-10-03	2017-06-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
70		66	4
784		747	37
16		16	
125		124	1
44,545		40,006	4,539
271		257	14
548		542	6
542		539	3
596		594	2
637		637	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			4
			37
			1
			4,539
			14
			6
			3
			2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
115 41 Virtus NewFleet Multi Sector S/T Bond Fd Cl C	P	2016-11-01	2017-06-12
126 027 Virtus NewFleet Multi Sector S/T Bond Fd Cl C	P	2016-12-01	2017-06-12
140 996 Virtus NewFleet Multi Sector S/T Bond Fd Cl C	P	2017-01-03	2017-06-12
116 819 Virtus NewFleet Multi Sector S/T Bond Fd Cl C	P	2017-02-01	2017-06-12
127 052 Virtus NewFleet Multi Sector S/T Bond Fd Cl C	P	2017-03-01	2017-06-12
112 029 Virtus NewFleet Multi Sector S/T Bond Fd Cl C	P	2017-04-03	2017-06-12
72 917 Virtus NewFleet Multi Sector S/T Bond Fd Cl C	P	2017-05-01	2017-06-12
77 647 Virtus NewFleet Multi Sector S/T Bond Fd Cl C	P	2017-06-01	2017-06-12
32 699 858 Virtus NewFleet Multi Sector S/T Bond Fd Cl C	P	2016-02-02	2017-06-12
88 511 Virtus NewFleet Multi Sector S/T Bond Fd Cl C	P	2016-03-01	2017-06-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
559		557	2
610		601	9
682		675	7
565		562	3
615		612	3
542		540	2
353		353	
376		377	-1
158,261		152,713	5,548
428		412	16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2
			9
			7
			3
			3
			2
			-1
			5,548
			16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
114 028 Virtus NewFleet Multi Sector S/T Bond Fd Cl C	P	2016-04-01	2017-06-12
117 503 Virtus NewFleet Multi Sector S/T Bond Fd Cl C	P	2016-05-02	2017-06-12
109 844 Virtus NewFleet Multi Sector S/T Bond Fd Cl C	P	2016-06-01	2017-06-12
1668 Arch Cap Group 5 25% Pfd	P	2016-09-22	2017-06-13
503 Arch Cap Group 5 25% Pfd	P	2016-09-22	2017-06-13
400 Arch Cap Group 5 25% Pfd	P	2016-09-22	2017-06-13
295 Arch Cap Group 5 25% Pfd	P	2016-09-22	2017-06-13
100 Arch Cap Group 5 25% Pfd	P	2016-09-22	2017-06-13
34 Arch Cap Group 5 25% Pfd	P	2016-09-22	2017-06-13
2000 Enterprise Products Partners	P	2010-12-01	2017-06-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
552		537	15
569		558	11
532		521	11
39,624		41,700	-2,076
11,931		12,575	-644
9,484		10,000	-516
6,994		7,375	-381
2,371		2,500	-129
807		850	-43
53,219		6,521	46,698

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			15
			11
			11
			-2,076
			-644
			-516
			-381
			-129
			-43
			46,698

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
100 IBM Corp	P	2013-04-19	2017-06-13
800 Newmont Mining Corp	P	2016-02-23	2017-06-13
978 938 Nuveen AMT Free Muni Credit Income Fd	P	2015-05-15	2017-06-13
978 062 Nuveen AMT Free Muni Credit Income Fd	P	2016-02-12	2017-06-13
1043 Nuveen AMT Free Muni Credit Income Fd	P	2016-08-31	2017-06-13
26 896 Wells Fargo Asset Allocation Fund Class C	P	2016-12-28	2017-06-13
265 984 Wells Fargo Asset Allocation Fund Class C	P	2012-01-03	2017-06-13
273 689 Wells Fargo Asset Allocation Fund Class C	P	2012-12-31	2017-06-13
74 094 Wells Fargo Asset Allocation Fund Class C	P	2013-12-31	2017-06-13
163 626 Wells Fargo Asset Allocation Fund Class C	P	2014-12-31	2017-06-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
15,339		19,472	-4,133
27,136		20,749	6,387
14,663		13,882	781
14,650		14,794	-144
15,623		17,167	-1,544
360		334	26
3,561		3,061	500
3,664		3,369	295
992		1,004	-12
2,191		2,173	18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-4,133
			6,387
			781
			-144
			-1,544
			26
			500
			295
			-12
			18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
126 261 Wells Fargo Asset Allocation Fund Class C	P	2015-12-14	2017-06-13
172 136 Wells Fargo Asset Allocation Fund Class C	P	2015-12-29	2017-06-13
2405 697 Wells Fargo Asset Allocation Fund Class C	P	2008-12-31	2017-06-13
300 Seneca Foods Corp Class A	P	2001-11-20	2017-08-31
200 Seneca Foods Corp Class A	P	2001-11-20	2017-08-31
1000 Aspen Insurance Holdings 5 625% Pfd	P	2016-09-13	2017-09-11
292 Hercules Cap 6 25% Pfd	P	2016-06-23	2017-11-24
4376 451 Eaton Vance Global Macro Absol Return Fd I	P	2015-12-07	2016-12-08
137 809 Eaton Vance Global Macro Absol Return Fd I	P	2015-12-31	2016-12-08
16 663 Eaton Vance Global Macro Absol Return Fd I	P	2016-01-29	2016-12-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,690		1,540	150
2,305		2,085	220
32,207		27,815	4,392
8,721		3,990	4,731
5,793		2,660	3,133
24,552		25,000	-448
7,300		7,372	-72
39,388		40,176	-788
1,240		1,276	-36
150		153	-3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			150
			220
			4,392
			4,731
			3,133
			-448
			-72
			-788
			-36
			-3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
15 627 Eaton Vance Global Macro Absol Return Fd I	P	2016-02-29	2016-12-08
1982 183 Eaton Vance Global Macro Absol Return Fd I	P	2016-03-15	2016-12-08
24 073 Eaton Vance Global Macro Absol Return Fd I	P	2016-03-31	2016-12-08
23 303 Eaton Vance Global Macro Absol Return Fd I	P	2016-04-29	2016-12-08
24 139 Eaton Vance Global Macro Absol Return Fd I	P	2016-05-31	2016-12-08
23 447 Eaton Vance Global Macro Absol Return Fd I	P	2016-06-30	2016-12-08
1874 062 Eaton Vance Global Macro Absol Return Fd I	P	2016-07-26	2016-12-08
31 09 Eaton Vance Global Macro Absol Return Fd I	P	2016-07-29	2016-12-08
30 963 Eaton Vance Global Macro Absol Return Fd I	P	2016-08-31	2016-12-08
50 714 Eaton Vance Global Macro Absol Return Fd I	P	2016-09-28	2016-12-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
141		140	1
17,840		17,800	40
217		215	2
210		209	1
217		217	
211		211	
16,867		16,979	-112
280		280	
279		281	-2
456		462	-6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1
			40
			2
			1
			-112
			-2
			-6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
24 628 Eaton Vance Global Macro Absol Return Fd I	P	2016-09-30	2016-12-08
24 699 Eaton Vance Global Macro Absol Return Fd I	P	2016-10-31	2016-12-08
25 018 Eaton Vance Global Macro Absol Return Fd I	P	2016-11-30	2016-12-08
857 833 Fidelity Advisor Consumer Discretionary CI I	P	2015-09-01	2016-12-08
4 236 Fidelity Advisor Consumer Discretionary CI I	P	2015-09-14	2016-12-08
28 493 Fidelity Advisor Consumer Discretionary CI I	P	2015-09-14	2016-12-08
119 823 Van Eck Global Hardasset Fund Y	P	2015-12-30	2016-12-08
152 Clearbridge American Energy MLP Fund Inc	P	2015-12-30	2017-03-14
914 711 Fidelity Advisor Biotechnology Fund CI I	P	2016-12-08	2017-03-14
532 First Trust Materials Alphadex ETF	P	2016-12-08	2017-03-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
222		224	-2
222		225	-3
225		225	
19,679		18,032	1,647
97		90	7
654		604	50
4,781		3,107	1,674
1,435		1,049	386
22,557		19,959	2,598
19,907		19,971	-64

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-2
			-3
			1,647
			7
			50
			1,674
			386
			2,598
			-64

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
586 First Trust Indls Prod Durable Alphadex ETF	P	2016-12-08	2017-03-14
444 524 Hennessy Mid Cap 30 Fund Inst	P	2015-12-30	2017-03-14
14 417 Hennessy Mid Cap 30 Fund Inst	P	2015-12-11	2017-03-14
53 555 Hennessy Mid Cap 30 Fund Inst	P	2015-12-07	2017-03-14
437 795 Hennessy Mid Cap 30 Fund Inst	P	2015-09-01	2017-03-14
55 986 Hennessy Japan Fund Investor Class	P	2015-09-01	2017-03-14
3315 847 Hennessy Small Cap Financial Fund Inst	P	2016-12-08	2017-03-14
34 943 Hennessy Small Cap Financial Fund Inst	P	2016-12-30	2017-03-14
5 551 JPMorgan Strategic Income Opps Fund	P	2017-02-27	2017-03-14
4 307 JPMorgan Strategic Income Opps Fund	P	2017-01-30	2017-03-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
20,003		19,947	56
9,224		10,024	-800
299		282	17
1,111		1,077	34
9,084		8,953	131
1,591		1,278	313
50,003		51,893	-1,890
527		553	-26
64		65	-1
50		50	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			56
			-800
			17
			34
			131
			313
			-1,890
			-26
			-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1719 121 JPMorgan Strategic Income Opps Fund	P	2016-12-08	2017-03-14
10 985 JPMorgan Strategic Income Opps Fund	P	2016-12-29	2017-03-14
2326 224 Northern Lights Eagle MLP Strategy Fund I	P	2016-12-08	2017-03-14
42 044 Northern Lights Eagle MLP Strategy Fund I	P	2017-01-31	2017-03-14
18 Tortoise MLP Fund Inc	P	2015-12-30	2017-03-14
27 136 Van Eck Global Hardasset Fund Y	P	2016-07-26	2017-03-14
155 54 Van Eck Global Hardasset Fund Y	P	2016-03-15	2017-03-14
263 986 Van Eck Global Hardasset Fund Y	P	2015-12-30	2017-03-14
34 915 Eaton Vance Global Macro Absol Ret Adv Fd I	P	2016-12-30	2017-03-15
1962 537 Eaton Vance Global Macro Absol Ret Adv Fd I	P	2016-12-08	2017-03-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
19,959		19,959	
128		127	1
19,401		19,959	-558
351		365	-14
349		259	90
946		915	31
5,424		4,450	974
9,205		6,845	2,360
362		354	8
20,371		19,959	412

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1
			-558
			-14
			90
			31
			974
			2,360
			8
			412

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2815 594 Invesco European Small Company Fund Y	P	2017-03-14	2017-06-07
25 3 Eaton Vance Global Macro Absol Return Fd I	P	2017-04-28	2017-06-07
25 371 Eaton Vance Global Macro Absol Return Fd I	P	2017-05-31	2017-06-07
25 283 Eaton Vance Global Macro Absol Return Fd I	P	2017-03-31	2017-06-07
8839 188 Eaton Vance Global Macro Absol Return Fd I	P	2017-03-14	2017-06-07
5 166 Fidelity Advisor Consumer Discretionary CI I	P	2016-12-12	2017-06-07
16 755 Fidelity Advisor Consumer Discretionary CI I	P	2017-03-14	2017-06-07
30 931 Fidelity Advisor Consumer Discretionary CI I	P	2016-09-28	2017-06-07
380 993 Fidelity Advisor Consumer Discretionary CI I	P	2016-07-26	2017-06-07
2 636 Fidelity Advisor Consumer Discretionary CI I	P	2015-12-21	2017-06-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
45,613		40,263	5,350
231		230	1
232		231	1
231		230	1
80,702		80,525	177
128		118	10
415		394	21
766		691	75
9,430		8,519	911
65		60	5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			5,350
			1
			1
			1
			177
			10
			21
			75
			911
			5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
16 105 Fidelity Advisor Consumer Discretionary CI I	P	2015-12-30	2017-06-07
9 375 Fidelity Advisor Consumer Discretionary CI I	P	2015-09-14	2017-06-07
421 601 Fidelity Advisor Consumer Discretionary CI I	P	2016-03-15	2017-06-07
3081 327 Fidelity Advisor Financial Services Fund I	P	2017-03-14	2017-06-07
619 292 Hennessy Mid Cap 30 Fund Inst	P	2015-12-30	2017-06-07
6 41 Hennessy Mid Cap 30 Fund Inst	P	2015-12-30	2017-06-07
346 773 Hennessy Mid Cap 30 Fund Inst	P	2016-03-15	2017-06-07
115 347 Hennessy Japan Fund Investor Class	P	2015-09-01	2017-06-07
2816 873 Hennessy Small Cap Financial Fund Inst	P	2017-06-07	2017-09-06
1749 444 JPMorgan Strategic Income Opps Fund	P	2017-06-07	2017-09-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
399		372	27
232		199	33
10,435		8,900	1,535
57,991		60,394	-2,403
13,129		13,965	-836
136		145	-9
7,352		6,627	725
3,633		2,632	1,001
39,971		40,901	-930
20,364		20,451	-87

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			27
			33
			1,535
			-2,403
			-836
			-9
			725
			1,001
			-930
			-87

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
4 62 JPMorgan Strategic Income Opps Fund	P	2017-07-28	2017-09-06
5 857 JPMorgan Strategic Income Opps Fund	P	2017-06-29	2017-09-06
4 671 JPMorgan Strategic Income Opps Fund	P	2017-08-30	2017-09-06
335 Clearbridge American Energy MLP Fund Inc	P	2015-12-30	2017-10-10
340 968 Eaton Vance Global Macro Absol Ret Adv Fd I	P	2017-06-07	2017-10-10
141 489 Fidelity Advisor Consumer Discretionary CI I	P	2017-03-14	2017-10-10
134 First Trust Indls Prod Durable Alphadex ETF	P	2017-06-07	2017-10-10
76 611 Hennessy Mid Cap 30 Fund Inst	P	2016-07-26	2017-10-10
351 814 Hennessy Mid Cap 30 Fund Inst	P	2016-03-15	2017-10-10
236 553 Hennessy Japan Fund Investor Class	P	2015-09-01	2017-10-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
54		54	
68		68	
54		54	
2,909		2,311	598
3,638		3,594	44
3,506		3,328	178
5,077		4,698	379
1,741		1,515	226
7,993		6,723	1,270
7,697		5,398	2,299

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			598
			44
			178
			379
			226
			1,270
			2,299

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
110 67 Hennessy Focus Fund Inst	P	2017-06-07	2017-10-10
299 5 JPMorgan Intrepid European Fund Class L	P	2017-06-07	2017-10-10
532 85 Northern Lights Eagle MLP Strategy Fund I	P	2017-06-07	2017-10-10
159 Tortoise MLP Fund Inc	P	2015-12-30	2017-10-10
260 049 Van Eck Global Hardasset Fund Y	P	2016-09-28	2017-10-10
14 4 Van Eck Global Hardasset Fund Y	P	2016-07-26	2017-10-10
42 119 Blackrock High Yield Bond Port Inst	P	2016-03-15	2016-12-08
48 955 Eaton Vance Global Macro Absol Return Fd I	P	2016-02-29	2016-12-08
6681 514 Eaton Vance Global Macro Absol Return Fd I	P	2016-03-15	2016-12-08
554 324 Eaton Vance Global Macro Absol Return Fd I	P	2016-03-23	2016-12-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
9,582		8,964	618
8,290		7,943	347
4,082		4,007	75
2,909		2,287	622
8,909		9,240	-331
493		486	7
322		301	21
441		437	4
60,134		60,000	134
4,989		5,000	-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			618
			347
			75
			622
			-331
			7
			21
			4
			134
			-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1109 878 Eaton Vance Global Macro Absol Return Fd I	P	2016-03-28	2016-12-08
83 287 Eaton Vance Global Macro Absol Return Fd I	P	2016-03-31	2016-12-08
80 628 Eaton Vance Global Macro Absol Return Fd I	P	2016-04-29	2016-12-08
83 518 Eaton Vance Global Macro Absol Return Fd I	P	2016-05-31	2016-12-08
81 121 Eaton Vance Global Macro Absol Return Fd I	P	2016-06-30	2016-12-08
83 843 Eaton Vance Global Macro Absol Return Fd I	P	2016-07-29	2016-12-08
66 028 Eaton Vance Global Macro Absol Return Fd I	P	2016-09-30	2016-12-08
40 734 Eaton Vance Global Macro Absol Return Fd I	P	2016-10-31	2016-12-08
41 259 Eaton Vance Global Macro Absol Return Fd I	P	2016-11-30	2016-12-08
4785 464 Eaton Vance Global Macro Absol Return Fd I	P	2015-12-07	2016-12-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
9,989		10,000	-11
750		745	5
726		723	3
752		751	1
730		728	2
755		756	-1
594		606	-12
367		370	-3
371		371	
43,069		43,931	-862

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-11
			5
			3
			1
			2
			-1
			-12
			-3
			-862

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
447 076 Eaton Vance Global Macro Absol Return Fd I	P	2015-12-31	2016-12-08
52 2 Eaton Vance Global Macro Absol Return Fd I	P	2016-01-29	2016-12-08
83 502 Eaton Vance Global Macro Absol Return Fd I	P	2016-08-31	2016-12-08
1610 214 Eaton Vance Growth Richard Bernstein Eq Strat	P	2016-03-15	2016-12-08
182 749 Eaton Vance Growth Richard Bernstein Eq Strat	P	2016-03-23	2016-12-08
365 497 Eaton Vance Growth Richard Bernstein Eq Strat	P	2016-03-28	2016-12-08
24 233 Fidelity Advisor Consumer Discretionary CI I	P	2015-09-01	2016-12-08
19 19 Fidelity Advisor Floating Rate High Income CI I	P	2016-09-29	2016-12-08
152 68 Hennessy Mid Cap 30 Fund Inst	P	2015-09-01	2016-12-08
5392 661 JPMorgan Core Bond Fund Select Class	P	2016-09-29	2016-12-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,024		4,140	-116
470		478	-8
752		767	-15
25,119		22,076	3,043
2,851		2,500	351
5,702		5,000	702
556		509	47
185		183	2
3,200		3,122	78
62,285		64,658	-2,373

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-116
			-8
			-15
			3,043
			351
			702
			47
			2
			78
			-2,373

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
10 931 JPMorgan Core Bond Fund Select Class	P	2016-10-28	2016-12-08
11 666 JPMorgan Core Bond Fund Select Class	P	2016-11-29	2016-12-08
41 696 Northern Lights Eagle MLP Strategy Fund I	P	2016-03-15	2016-12-08
95 666 Van Eck Global Hardasset Fund Y	P	2015-12-30	2016-12-08
312 505 Blackrock High Yield Bond Port Inst	P	2016-03-15	2016-12-22
555 949 Eaton Vance Short Duration Strat Income Fd I	P	2016-12-08	2016-12-22
535 214 Eaton Vance Global Macro Absol Ret Adv Fd I	P	2016-12-08	2016-12-22
96 462 Fidelity Advisor Consumer Discretionary CI I	P	2015-09-01	2016-12-22
506 904 Fidelity Advisor Floating Rate High Income CI I	P	2016-09-29	2016-12-22
71 062 Fidelity Advisor Biotechnology Fund I	P	2016-12-08	2016-12-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
126		129	-3
135		135	
358		252	106
3,817		2,481	1,336
2,384		2,234	150
4,103		4,070	33
5,518		5,443	75
2,178		2,028	150
4,887		4,841	46
1,504		1,551	-47

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-3
			106
			1,336
			150
			33
			75
			150
			46
			-47

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
32 First Trust Materials Alphadex ETF	P	2016-12-08	2016-12-22
48 First Trust Indls Prod Durable Alphadex ETF	P	2016-12-08	2016-12-22
203 591 Hennessy Mid Cap 30 Fund Inst	P	2015-09-01	2016-12-22
79 457 Hennessy Japan Fund Investor Class	P	2015-09-01	2016-12-22
174 94 Hennessy Small Cap Financial Fund Inst	P	2016-12-08	2016-12-22
412 012 JPMorgan Strategic Income Opps Fund	P	2016-12-08	2016-12-22
144 921 Nuveen Invt Trust V Pfd Secs Fund Class I	P	2016-12-08	2016-12-22
374 188 Northern Lights Eagle MLP Strategy Fund I	P	2016-03-15	2016-12-22
22 879 Van Eck Global Hardasset Fund Y	P	2015-12-30	2016-12-22
493 238 Blackrock High Yield Bond Port Inst	P	2016-03-15	2017-02-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,160		1,201	-41
1,601		1,633	-32
4,119		4,159	-40
2,149		1,813	336
2,780		2,738	42
4,796		4,783	13
2,439		2,430	9
3,300		2,264	1,036
865		593	272
3,832		3,527	305

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-41
			-32
			-40
			336
			42
			13
			9
			1,036
			272
			305

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
713 276 Eaton Vance Short Duration Strat Income Fd I	P	2016-12-08	2017-02-24
621 456 Eaton Vance Global Macro Absol Ret Adv Fd I	P	2016-12-08	2017-02-24
156 87 Fidelity Advisor Consumer Discretionary CI I	P	2015-09-01	2017-02-24
663 71 Fidelity Advisor Floating Rate High Income CI I	P	2016-09-29	2017-02-24
256 728 Fidelity Advisor Biotechnology Fund I	P	2016-12-08	2017-02-24
110 First Trust Materials Alphadex ETF	P	2016-12-08	2017-02-24
118 First Trust Indls Prod Durable Alphadex ETF	P	2016-12-08	2017-02-24
343 841 Hennessy Mid Cap 30 Fund Inst	P	2015-09-01	2017-02-24
163 546 Hennessy Japan Fund Investor Class	P	2015-09-01	2017-02-24
179 796 Hennessy Small Cap Financial Fund Inst	P	2016-12-08	2017-02-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,271		5,221	50
6,333		6,320	13
3,686		3,297	389
6,411		6,338	73
6,038		5,602	436
4,146		4,127	19
4,084		4,014	70
7,252		7,032	220
4,673		3,732	941
2,785		2,803	-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			50
			13
			389
			73
			436
			19
			70
			220
			941
			-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
591 202 JPMorgan Strategic Income Opps Fund	P	2016-12-08	2017-02-24
221 534 Nuveen Invt Trust V Pfd Secs Fund Class I	P	2016-12-08	2017-02-24
381 369 Northern Lights Eagle MLP Strategy Fund I	P	2016-03-15	2017-02-24
71 387 Van Eck Global Hardasset Fund Y	P	2015-12-30	2017-02-24
18 179 Blackrock High Yield Bond Port Inst	P	2017-03-01	2017-03-14
20 244 Blackrock High Yield Bond Port Inst	P	2017-02-01	2017-03-14
20 327 Blackrock High Yield Bond Port Inst	P	2017-01-03	2017-03-14
15 99 Blackrock High Yield Bond Port Inst	P	2016-12-23	2017-03-14
13 003 Blackrock High Yield Bond Port Inst	P	2016-10-03	2017-03-14
12 586 Blackrock High Yield Bond Port Inst	P	2016-09-01	2017-03-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,917		6,864	53
3,806		3,715	91
3,276		2,195	1,081
2,636		1,851	785
139		141	-2
154		156	-2
155		155	
122		122	
99		99	
96		96	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			53
			91
			1,081
			785
			-2
			-2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
20 891 Blackrock High Yield Bond Port Inst	P	2016-11-01	2017-03-14
20 976 Blackrock High Yield Bond Port Inst	P	2016-12-01	2017-03-14
12 81 Blackrock High Yield Bond Port Inst	P	2016-08-01	2017-03-14
5 176 Blackrock High Yield Bond Port Inst	P	2016-04-01	2017-03-14
12 169 Blackrock High Yield Bond Port Inst	P	2016-05-02	2017-03-14
13 022 Blackrock High Yield Bond Port Inst	P	2016-06-01	2017-03-14
13 261 Blackrock High Yield Bond Port Inst	P	2016-07-01	2017-03-14
1568 553 Blackrock High Yield Bond Port Inst	P	2016-09-29	2017-03-14
174 338 Blackrock High Yield Bond Port Inst	P	2016-03-23	2017-03-14
351 124 Blackrock High Yield Bond Port Inst	P	2016-03-28	2017-03-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
159		158	1
160		159	1
98		96	2
39		37	2
93		89	4
99		96	3
101		97	4
11,968		11,921	47
1,330		1,250	80
2,679		2,500	179

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			1
			1
			2
			2
			4
			3
			4
			47
			80
			179

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1250 04 Blackrock High Yield Bond Port Inst	P	2016-03-15	2017-03-14
4 502 Fidelity Advisor Consumer Discretionary CI I	P	2015-09-01	2017-03-14
8 998 Fidelity Advisor Floating Rate High Income CI I	P	2016-09-29	2017-03-14
70 653 Fidelity Advisor Biotechnology Fund I	P	2016-12-08	2017-03-14
662 66 Hennessy Mid Cap 30 Fund Inst	P	2016-03-15	2017-03-14
10 397 Hennessy Mid Cap 30 Fund Inst	P	2015-12-31	2017-03-14
23 383 Hennessy Mid Cap 30 Fund Inst	P	2015-12-11	2017-03-14
65 589 Hennessy Mid Cap 30 Fund Inst	P	2015-12-07	2017-03-14
537 956 Hennessy Mid Cap 30 Fund Inst	P	2015-09-01	2017-03-14
0 705 Nuveen Invt Trust V Pfd Secs Fund Class I	P	2016-12-08	2017-03-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
9,538		8,938	600
106		95	11
87		86	1
1,742		1,542	200
13,750		12,663	1,087
216		236	-20
485		457	28
1,361		1,319	42
11,163		11,001	162
12		12	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			600
			11
			1
			200
			1,087
			-20
			28
			42
			162

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
48 795 Eaton Vance Short Duration Strat Income Fd I	P	2016-12-08	2017-03-15
79 582 Eaton Vance Global Macro Absol Ret Adv Fd I	P	2016-12-08	2017-03-15
483 012 Eaton Vance Global Macro Absol Ret Adv Fd I	P	2016-12-08	2017-06-07
139 588 Fidelity Advisor Consumer Discretionary CI I	P	2015-09-01	2017-06-07
476 009 Fidelity Advisor Floating Rate High Income CI I	P	2016-09-29	2017-06-07
1097 936 Fidelity Advisor Biotechnology Fund I	P	2016-12-08	2017-06-07
6 First Trust Materials Alphasdex ETF	P	2017-03-14	2017-06-07
727 First Trust Materials Alphasdex ETF	P	2016-12-08	2017-06-07
78 First Trust Indls Prod Durable Alphasdex ETF	P	2016-12-08	2017-06-07
118 738 Hennessy Mid Cap 30 Fund Inst	P	2016-03-15	2017-06-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
364		357	7
826		809	17
5,091		4,912	179
3,455		2,934	521
4,598		4,546	52
25,758		23,957	1,801
228		224	4
27,582		27,277	305
2,733		2,654	79
2,517		2,269	248

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			7
			17
			179
			521
			52
			1,801
			4
			305
			79
			248

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
39 749 Hennessy Japan Fund Investor Class	P	2016-03-15	2017-06-07
117 62 Hennessy Japan Fund Investor Class	P	2015-09-01	2017-06-07
55 665 Hennessy Small Cap Financial Fund Inst	P	2016-12-08	2017-06-07
413 596 JPMorgan Strategic Income Opps Fund	P	2016-12-08	2017-06-07
7 506 Nuveen Invt Trust V Pfd Secs Fund Class I	P	2017-06-01	2017-06-07
7 535 Nuveen Invt Trust V Pfd Secs Fund Class I	P	2017-05-01	2017-06-07
7 565 Nuveen Invt Trust V Pfd Secs Fund Class I	P	2017-04-03	2017-06-07
8 519 Nuveen Invt Trust V Pfd Secs Fund Class I	P	2017-03-01	2017-06-07
5 884 Nuveen Invt Trust V Pfd Secs Fund Class I	P	2017-01-03	2017-06-07
8 67 Nuveen Invt Trust V Pfd Secs Fund Class I	P	2017-02-01	2017-06-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,252		972	280
3,705		2,684	1,021
808		871	-63
4,835		4,802	33
132		132	
133		131	2
133		131	2
150		147	3
104		99	5
153		147	6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			280
			1,021
			-63
			33
			2
			2
			3
			5
			6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1579 829 Nuveen Invt Trust V Pfd Secs Fund Class I	P	2016-12-08	2017-06-07
0 515 Van Eck Global Hardasset Fund Y	P	2015-12-30	2017-06-07
1675 925 Hennessy Small Cap Financial Fund Inst	P	2016-12-08	2017-09-06
65 849 Hennessy Small Cap Financial Fund Inst	P	2017-03-14	2017-09-06
20 143 Hennessy Small Cap Financial Fund Inst	P	2016-12-30	2017-09-06
16 833 JPMorgan Strategic Income Opps Fund	P	2017-02-27	2017-09-06
15 355 JPMorgan Strategic Income Opps Fund	P	2017-05-30	2017-09-06
11 467 JPMorgan Strategic Income Opps Fund	P	2017-07-28	2017-09-06
13 058 JPMorgan Strategic Income Opps Fund	P	2017-01-30	2017-09-06
14 447 JPMorgan Strategic Income Opps Fund	P	2017-04-27	2017-09-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
27,805		26,494	1,311
16		13	3
23,781		26,228	-2,447
934		1,004	-70
286		319	-33
196		197	-1
179		179	
133		134	-1
152		152	
168		168	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,311
			3
			-2,447
			-70
			-33
			-1
			-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
14 54 JPMorgan Strategic Income Opps Fund	P	2017-06-29	2017-09-06
11 595 JPMorgan Strategic Income Opps Fund	P	2017-08-30	2017-09-06
14 056 JPMorgan Strategic Income Opps Fund	P	2017-03-30	2017-09-06
27 821 JPMorgan Strategic Income Opps Fund	P	2017-03-14	2017-09-06
33 31 JPMorgan Strategic Income Opps Fund	P	2016-12-29	2017-09-06
4207 824 JPMorgan Strategic Income Opps Fund	P	2016-12-08	2017-09-06
668 417 Eaton Vance Short Duration Strat Income Fd I	P	2016-12-08	2017-10-10
471 874 Eaton Vance Emerging Mkts Local Income Fd I	P	2017-06-07	2017-10-10
453 981 Eaton Vance Global Macro Absol Ret Adv Fd I	P	2016-12-08	2017-10-10
6 919 Fidelity Advisor Consumer Discretionary CI I	P	2015-09-14	2017-10-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
169		169	
135		135	
164		163	1
324		323	1
388		386	2
48,979		48,853	126
4,986		4,893	93
3,034		3,020	14
4,844		4,617	227
171		147	24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1
			1
			2
			126
			93
			14
			227
			24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
25 712 Fidelity Advisor Consumer Discretionary CI I	P	2015-09-14	2017-10-10
59 147 Fidelity Advisor Consumer Discretionary CI I	P	2015-09-01	2017-10-10
504 588 Fidelity Advisor Floating Rate High Income CI I	P	2016-09-29	2017-10-10
112 First Trust Indls Prod Durable Alphadex ETF	P	2016-12-08	2017-10-10
225 898 Fidelity Advisor Real Estate Income Fd Inst	P	2017-06-07	2017-10-10
175 905 Hennessy Mid Cap 30 Fund Inst	P	2016-03-15	2017-10-10
84 006 Hennessy Japan Fund Investor Class	P	2016-03-15	2017-10-10
45 136 Hennessy Focus Fund Inst	P	2017-06-07	2017-10-10
112 22 JPMorgan Intrepid European Fund Class L	P	2017-06-07	2017-10-10
315 394 Northern Lights Eagle MLP Strategy Fund I	P	2016-03-15	2017-10-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
637		545	92
1,466		1,243	223
4,864		4,819	45
4,244		3,810	434
2,763		2,742	21
3,997		3,362	635
2,734		2,055	679
3,908		3,656	252
3,106		2,976	130
2,416		1,815	601

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			92
			223
			45
			434
			21
			635
			679
			252
			130
			601

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
23 78 Van Eck Global Hardasset Fund Y	P	2016-03-15	2017-10-10
73 465 Van Eck Global Hardasset Fund Y	P	2015-12-30	2017-10-10
254 Brookdale Senior Living Inc	P	2016-02-03	2016-12-02
14 Brookdale Senior Living Inc	P	2016-03-02	2016-12-02
111 Brookdale Senior Living Inc	P	2016-11-06	2016-12-02
1 Discover Financial Services	P	2016-02-03	2016-12-02
2 Marathon Petroleum Corp	P	2016-02-03	2016-12-02
121 Voya Financial Inc	P	2016-02-03	2016-12-02
12 Voya Financial Inc	P	2016-04-05	2016-12-02
17 Voya Financial Inc	P	2016-10-03	2016-12-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
815		680	135
2,517		1,905	612
2,879		7,099	-4,220
159		206	-47
1,258		1,334	-76
67		51	16
96		96	
4,772		5,429	-657
473		358	115
670		491	179

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			135
			612
			-4,220
			-47
			-76
			16
			-657
			115
			179

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
55 Regency Centers Corp	P	2016-02-03	2017-01-04
3 Regency Centers Corp	P	2016-02-03	2017-01-04
2 Regency Centers Corp	P	2016-02-03	2017-01-04
6 Regency Centers Corp	P	2016-02-03	2017-01-04
16 Regency Centers Corp	P	2016-02-03	2017-01-04
92 Seritage Growth Properties Class A	P	2016-10-03	2017-01-04
12 Seritage Growth Properties Class A	P	2016-11-03	2017-01-04
7 Seritage Growth Properties Class A	P	2016-12-02	2017-01-04
10 Amphenol Corp Class A	P	2016-02-03	2017-02-02
22 Air Lease Corp Class A	P	2016-02-03	2017-02-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,844		4,099	-255
210		224	-14
140		152	-12
419		416	3
1,118		1,050	68
3,893		4,648	-755
508		526	-18
296		335	-39
670		590	80
803		730	73

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-255
			-14
			-12
			3
			68
			-755
			-18
			-39
			80
			73

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
12 Aramark	P	2016-02-03	2017-02-02
8 Broadcom Ltd	P	2016-02-03	2017-02-02
27 Brookfield Asset Management Inc Class A	P	2016-10-03	2017-02-02
3 Discover Financial Services	P	2016-02-03	2017-02-02
7 Dollar Tree Inc	P	2016-02-03	2017-02-02
15 Dow Chemical Company	P	2016-03-02	2017-02-02
30 Interpublic Group of Cos Inc	P	2016-11-03	2017-02-02
19 Louisiana Pacific Corp	P	2016-02-03	2017-02-02
5 Marathon Petroleum Corp	P	2016-02-03	2017-02-02
2 Marathon Petroleum Corp	P	2016-02-01	2017-02-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
402		402	
1,639		1,061	578
932		938	-6
205		152	53
542		542	
902		745	157
706		656	50
357		314	43
241		182	59
96		91	5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			578
			-6
			53
			157
			50
			43
			59
			5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
10 Microsemi Corp	P	2016-03-02	2017-02-02
20 Newell Brands Inc	P	2016-02-03	2017-02-02
6 PNC Financial Services Group Inc	P	2016-02-03	2017-02-02
6 ServiceNow Inc	P	2016-02-03	2017-02-02
12 ServiceNow Inc	P	2016-02-01	2017-02-02
1 Whirlpool Corp	P	2016-02-03	2017-02-02
21 Brookfield Asset Management Inc Class A	P	2017-01-04	2017-03-02
105 Brookfield Asset Management Inc Class A	P	2016-10-03	2017-03-02
33 Brookfield Asset Management Inc Class A	P	2016-12-02	2017-03-02
32 Louisiana Pacific Corp	P	2016-02-03	2017-03-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
537		357	180
956		853	103
719		567	152
535		353	182
1,070		728	342
171		152	19
761		723	38
3,807		3,721	86
1,197		1,074	123
764		530	234

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			180
			103
			152
			182
			342
			19
			38
			86
			123
			234

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 Aramark	P	2016-11-03	2017-04-04
10 Aramark	P	2017-03-02	2017-04-04
11 Aramark	P	2017-01-04	2017-04-04
6 Aramark	P	2016-04-05	2017-04-04
29 Aramark	P	2016-12-02	2017-04-04
12 Aramark	P	2016-04-03	2017-04-04
105 Aramark	P	2016-02-03	2017-04-04
1 Broadcom Ltd	P	2016-02-03	2017-04-04
1 Dollar Tree Inc	P	2016-02-03	2017-04-04
1 Dow Chemical Company	P	2016-03-02	2017-04-04

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
36		37	-1
365		359	6
401		385	16
219		200	19
1,058		1,015	43
438		421	17
3,830		3,524	306
219		133	86
78		86	-8
63		50	13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-1
			6
			16
			19
			43
			17
			306
			86
			-8
			13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
3 Interpublic Group of Cos Inc	P	2016-11-03	2017-04-04
17 Louisiana Pacific Corp	P	2016-02-03	2017-04-04
1 Mastercard Inc	P	2017-02-02	2017-04-04
3 Gartner Inc	P	2017-04-04	2017-07-06
12 Microsemi Corp	P	2017-01-04	2017-07-06
14 Microsemi Corp	P	2017-03-02	2017-07-06
7 Microsemi Corp	P	2016-12-02	2017-07-06
4 Microsemi Corp	P	2017-04-04	2017-07-06
4 Microsemi Corp	P	2016-04-05	2017-07-06
80 Microsemi Corp	P	2016-03-02	2017-07-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
74		66	8
428		281	147
112		105	7
374		325	49
577		660	-83
673		743	-70
336		371	-35
192		202	-10
192		151	41
3,845		2,852	993

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			8
			147
			7
			49
			-83
			-70
			-35
			-10
			41
			993

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
5 Newell Brands Inc	P	2016-02-03	2017-07-06
8 ServiceNow Inc	P	2016-02-03	2017-07-06
1 Whirlpool Corp	P	2016-02-03	2017-07-06
1 Broadcom Ltd	P	2016-02-03	2017-08-02
41 Interpublic Group of Cos Inc	P	2017-01-04	2017-08-02
20 Interpublic Group of Cos Inc	P	2017-03-02	2017-08-02
16 Interpublic Group of Cos Inc	P	2017-07-06	2017-08-02
175 Interpublic Group of Cos Inc	P	2016-11-03	2017-08-02
15 Interpublic Group of Cos Inc	P	2016-12-02	2017-08-02
42 Boston Properties Inc	P	2017-02-02	2017-09-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
267		213	54
839		485	354
189		152	37
253		133	120
886		966	-80
432		491	-59
346		403	-57
3,780		3,825	-45
324		360	-36
5,088		5,478	-390

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			54
			354
			37
			120
			-80
			-59
			-57
			-45
			-36
			-390

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
4 Boston Properties Inc	P	2017-03-02	2017-09-06
3 Boston Properties Inc	P	2017-08-02	2017-09-06
10 Boston Properties Inc	P	2017-07-06	2017-09-06
15 Amphenol Corp Class A	P	2016-02-03	2017-10-03
34 Air Lease Corp Class A	P	2016-02-03	2017-10-03
18 Discover Financial Services	P	2016-02-03	2017-10-03
23 Dollar Tree Inc	P	2016-02-03	2017-10-03
17 DowDuPont Inc	P	2016-03-02	2017-10-03
4 Gartner Inc	P	2017-04-04	2017-10-03
57 Louisiana Pacific Corp	P	2016-02-03	2017-10-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
485		542	-57
363		365	-2
1,211		1,205	6
1,273		886	387
1,497		1,128	369
1,180		912	268
1,998		1,981	17
1,192		844	348
496		434	62
1,577		943	634

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-57
			-2
			6
			387
			369
			268
			17
			348
			62
			634

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
10 Marathon Petroleum Corp	P	2016-02-03	2017-10-03
9 Mastercard Inc	P	2017-02-02	2017-10-03
6 PNC Financial Services Group Inc	P	2016-02-03	2017-10-03
6 Regency Centers Corp	P	2017-09-06	2017-10-03
11 ServiceNow Inc	P	2016-02-03	2017-10-03
5 Whirlpool Corp	P	2016-02-03	2017-10-03
7 Amphenol Corp Class A	P	2016-02-03	2017-11-02
10 Air Lease Corp Class A	P	2016-02-03	2017-11-02
4 Broadcom Ltd	P	2016-02-03	2017-11-02
7 Discover Financial Services	P	2016-02-03	2017-11-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
562		474	88
1,281		947	334
805		567	238
374		390	-16
1,297		667	630
924		761	163
613		413	200
448		332	116
1,017		530	487
469		355	114

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			88
			334
			238
			-16
			630
			163
			200
			116
			487
			114

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
9 Dollar Tree Inc	P	2016-02-03	2017-11-02
8 DowDuPont Inc	P	2016-03-02	2017-11-02
1 Gartner Inc	P	2017-04-04	2017-11-02
9 Louisiana Pacific Corp	P	2016-02-03	2017-11-02
17 Marathon Petroleum Corp	P	2016-02-03	2017-11-02
5 Mastercard Inc	P	2017-02-02	2017-11-02
4 PNC Financial Services Group Inc	P	2016-02-03	2017-11-02
9 Regency Centers Corp	P	2017-09-06	2017-11-02
6 ServiceNow Inc	P	2016-02-03	2017-11-02
5 Whirlpool Corp	P	2017-08-02	2017-11-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
840		775	65
576		397	179
121		108	13
244		149	95
1,055		751	304
739		526	213
553		378	175
585		585	
746		364	382
811		876	-65

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			65
			179
			13
			95
			304
			213
			175
			382
			-65

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
3 Whirlpool Corp	P	2017-03-02	2017-11-02
1 Whirlpool Corp	P	2017-01-04	2017-11-02
2 Whirlpool Corp	P	2016-12-02	2017-11-02
2 Whirlpool Corp	P	2017-04-04	2017-11-02
1 Whirlpool Corp	P	2016-11-03	2017-11-02
5 Whirlpool Corp	P	2016-10-03	2017-11-02
17 Whirlpool Corp	P	2016-02-03	2017-11-02
100000 JPMorgan Chase/XLE & XBI, 08/31/2017	P	2016-08-30	2016-12-01
50000 Royal Bank of Canada 9% Linked to Apple	P	2016-01-08	2017-01-12
100000 Royal Bank of Canada/XLV/XLK/XLY/XLP	P	2016-01-14	2017-01-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
487		535	-48
162		187	-25
324		335	-11
324		331	-7
162		151	11
811		808	3
2,757		2,587	170
100,000		100,000	
50,000		50,000	
114,965		100,000	14,965

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-48
			-25
			-11
			-7
			11
			3
			170
			14,965

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
50000 Royal Bank of Canada/S&P Midcap 400 ETF	P	2015-07-20	2017-01-25
50000 Royal Bank of Canada 14%/SPDR Biotech ETF	P	2016-12-21	2017-03-24
100000 Royal Bank of Canada 12 25%/XBI & XLK	P	2016-09-27	2017-03-28
50000 Wells Fargo & Co 8 70%/SPX & SX5E	P	2016-04-26	2017-05-02
100000 Royal Bk of Canada 10 05%/SPDR Biotech ETF	P	2017-03-29	2017-06-29
100000 Royal Bk of Canada 8 57%/BAC,JPM,MS,USB	P	2017-01-27	2017-07-28
100000 Credit Suisse 11 40%/KRE & XBI	P	2017-06-28	2017-09-29
50000 Royal Bk of Canada 16 45%/Gold Miners ETF	P	2016-07-15	2017-10-25
100000 Societe Generale 10 56%/BAC,WFC & GS	P	2017-07-26	2017-10-31
55000 UBC AG 11 20%/XBI + XLE	P	2017-05-16	2017-11-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
55,000		50,000	5,000
50,000		50,000	
100,000		100,000	
50,000		50,000	
100,000		100,000	
100,000		100,000	
100,000		100,000	
45,034		50,000	-4,966
100,000		100,000	
55,000		55,000	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			5,000
			-4,966

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
Capital Gain Dividends			

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
			5,271

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PALM SPRINGS UNIFIED SCHOOL DISTRIC 13144 EL RIO LANE DESERT HOT SPRINGS, CA 92240	NONE	EXEMPT	EDUCATIONAL SERVICES - ART WITH HEART PROGRAMA brings an art class to at-risk and gang kids	25,400
JAMES WORKMAN MIDDLE SCHOOL 69300 30TH AVE CATHEDRAL CITY, CA 92234	NONE	EXEMPT	DIGITAL STORYTELLING IN SPECIAL EDUCATION CLASSROOM	1,250
OLIVE CREST 73-725 EL PASEO SUITE 23C PALM DESERT, CA 92260	NONE	EXEMPT	SAFE FAMILY HOME PROGRAM - innovative program that prevents child abuse by early intervention during crisis or amidst stressors in a parent or caregivers lives	34,000
INDIO HIGH SCHOOL 81-750 AVENUE 46 INDIO, CA 92201	NONE	EXEMPT	INSTRUMENTS FOR IHS BANDS	2,500
PALM SPRINGS HIGH SCHOOL MUSIC BOOS 2401 E BARISTO RD PALM SPRINGS, CA 92262	NONE	EXEMPT	ORCHESTRA INSTRUMENTS	2,494
Total ▶ 3a				1,111,051

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE WELL IN THE DESERT 555 COMMERCIAL WAY SUITE 10 PALM SPRINGS, CA 92262	NONE	EXEMPT	WEEKLY FOOD FOR 300-400 CHILDREN, FEEDING OUR CHILDREN	2,500
WORLD AFFAIRS COUNCIL OF THE DESERT 45-200 CLUB DR SUITE B INDIAN WELLS, CA 92210	NONE	EXEMPT	ACADEMIC WORLD QUEST STUDENT COMPETITION	7,575
THE STEINWAY SOCIETY OF RIVERSIDE C PO BOX 3015 RANCHO MIRAGE, CA 92270	NONE	EXEMPT	SUMMER MUSIC CAMP	9,460
COACHELLA VALLEY HISTORICAL MUSEUM 82616 MILES AVE INDIO, CA 92201	NONE	EXEMPT	JUNIOR HISTORIANS AFTERSCHOOL PROGRAM - provides children in the Coachella Valley hands on learning about history on museum campus	4,520
RAYMOND CREE MIDDLE SCHOOL 1011 VISTA CHINO PALM SPRINGS, CA 92262	NONE	EXEMPT	VOCAL MUSIC PROGRAM grant will help us add a significant amount of unison, 2-part and 3-part choral music to our music library	5,000
Total 3a				1,111,051

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PEGASUS RIDING ACADEMY PO BOX 1378 PALM DESERT, CA 92255	NONE	EXEMPT	RIDING THERAPY FOR DISABLED CHILDREN	10,224
UNITED CEREBRAL PALSY OF INLAND EMP 35325 DATE PALM DR SUITE 139 CATHEDRAL CITY, CA 92234	NONE	EXEMPT	Abilities First Emergency Preparedness Plan/CATHEDRAL CITY SUMMER CAMP	5,710
MCCARTHY FAMILY DEVELOPMENT CENTER 43500 MONTEREY AVE PALM DESERT, CA 92260	NONE	EXEMPT	FAMILY EDUCATION LITERACY PROJECT	4,132
GENERAL PATTON MEMORIAL MUSEUM 62510 CHIRIACO ROAD CHIRIACO SUMMIT, CA 92201	NONE	EXEMPT	Over the next year, the Museum will bring over 1800 students to the Museum and educate them about General Patton, the Desert Training Center, and the men and women who serve our country	25,000
BOYS GIRLS CLUB OF COACHELLA VALLEY 42600 COOK ST STE 202 PALM DESERT, CA 92211	NONE	EXEMPT	SUMMER BRAIN GAIN PROGRAM comprised of modules with fun, themed activities for elementary school, middle school and high school students	5,000
Total 3a				1,111,051

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FOOD IN NEED OF DISTRIBUTION 83-775 CITRUS AVE INDIO, CA 92201	NONE	EXEMPT	KID'S SUMMER FEEDING PROGRAM	3,098
THE GALILEE CENTER 66-101 HAMMOND RD MECCA, CA 92254	NONE	EXEMPT	SUPPLEMENTAL LUNCH PROGRAM	2,500
SHADOW HILLS HIGH SCHOOL BAND BOOST 39-225 JEFFERSON ST INDIO, CA 92203	NONE	EXEMPT	CHROMEBOOKS/DIGITAL MAKERSPACE IN LIBRARY	7,059
COACHELLA VALLEY HOUSING COALITION 45-701 MONROE ST SUITE G INDIO, CA 92201	NONE	EXEMPT	MULTI-DIGITAL MEDIA ARTS PROGRAM FOR YOUTH/ART CLASSES FOR YOUTH	2,780
MCCALLUM THEATRE 73000 FRED WARING DRIVE PALM DESERT, CA 92260	NONE	EXEMPT	EAST VALLEY DANCE PROJECT	3,750
Total ► 3a				1,111,051

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
RED HOT BALLROOM INC 73-500 RED CIRCLE DR PALM DESERT, CA 92260	NONE	EXEMPT	AFTER SCHOOL CHILDREN'S BALLROOM PROGRAM	19,136
ANIMAL SAMARITANS SPCA INC 72-307 RAMON RD THOUSAND PALMS, CA 92276	NONE	EXEMPT	HUMANE EDUCATION PROGRAM	1,825
JOHN F KENNEDY MEMORIAL FOUNDATION 73-555 SAN GORGONIO WAY PALM DESERT, CA 92260	NONE	EXEMPT	OPHEILIA PROJECT - 8TH TO 12TH GRADE GIRLS MENTORING PROGRAM	1,634
LA QUINTA MIDDLE SCHOOL 78900 AVENUE 50 LA QUINTA, CA 92253	NONE	EXEMPT	FITNESS SCHOOL OF CHAMPIONS	1,700
PALM SPRINGS ART MUSEUM 101 MUSEUM DRIVE PALM SPRINGS, CA 92262	NONE	EXEMPT	Eyes On/Hands On is a two hour field trip program designed to inspire and cultivate art appreciation in elementary-aged students from across the Coachella Valley	38,144
Total ► 3a				1,111,051

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BOBBY DUKE MIDDLE SCHOOL 85358 BAGDAD AVE COACHELLA, CA 92236		EXEMPT	ENGINEERING ACADEMY	22,255
TOOLS FOR TOMORROW 75-105 MERLE DR 600 PALM DESERT, CA 92211		EXEMPT	TOOLS FOR TOMORROW THEATRE TROUPE/MUSIC ENRICHMENT PROJECT	14,540
ASSISTANCE LEAGUE - PALM SPRINGS DE PO BOX 3056 RANCHO MIRAGE, CA 92270		EXEMPT	OPERATION SCHOOL BELL PROVIDES NEW SCHOOL CLOTHING	3,739
DR CARREON ACADEMY 47-368 MONROE ST INDIO, CA 92201		EXEMPT	MUSICAL INSTRUMENTS/ROBOTICS & STEM EQUIPMENT	7,203
NOVA ACADEMY EARLY COLLEGE HIGH SCH 52780 FREDERICK ST COACHELLA, CA 92236		EXEMPT	COLLEGE COURSES, INSTRUCTORS & TRAINING	32,000
Total ► 3a				1,111,051

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
GIRL SCOUTS OF SAN GORGONIO COUNCIL 75-180 MEDITERRANEAN PALM DESERT, CA 92211		EXEMPT	CLASSROOM TO CAREER - DEVELOPING TOMORROW'S FEMALE LEADERS	16,120
DESERT ART CENTER COACHELLA VALLEY 550 N PALM CANYON DR PALM SPRINGS, CA 92262	NONE	EOF	ART ENRICHMENT - MIDDLE SCHOOL ART PROGRAM	11,227
THE NARROW DOOR 43052 MADISON ST SUITE 101 INDIO, CA 92201	NONE	EOF	FOOD FOR FAMILIES LIVING AT OR BELOW THE POVERTY LEVEL	2,500
FRIENDS OF PALM SPRINGS LIBRARY 300 S SUNRISE WAY PALM SPRINGS, CA 92262	NONE	EOF	BOOKS FOR KIDS - SUMMER READING PROGRAM	19,000
RANCHO MIRAGE HIGH SCHOOL 31001 RATTLER RD RANCHO MIRAGE, CA 92270	NONE	EOF	NEW INSTRUMENTS FOR INSTRUMENTAL MUSIC PROGRAM	2,500
Total ▶ 3a				1,111,051

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

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BIG BROTHERS BIG SISTERS OF THE DES 42600 COOK ST SUITE 110 PALM DESERT, CA 92211	NONE	EOF	One-to-One Mentoring Program Serving Coachella Valley Youth	18,725
OASIS ELEMENTARY SCHOOL 88-175 74TH AVE THERMAL, CA 92274	NONE	EOF	STEAM & ROBOTICS CLASSROOM/21ST CENTURY CLASSROOM	14,896
OLPH CATHOLIC SCHOOL 82470 BLISS AVE INDIO, CA 92201	NONE	EOF	TECHNOLOGY TO IMPROVE LEARNING - CHROMEBOOKS FOR THE CLASSROOM	6,038
PALM SPRINGS INTERNATIONAL FILM SOC 1700 E TAHQUITZ CYN WAY SUITE 3 PALM SPRINGS, CA 92262	NONE	EOF	select films in the 2018 Festival that contain storylines about or related to important childrens issues	20,000
COACHELLA VALLEY HIGH SCHOOL 83-800 AIRPORT BLVD THERMAL, CA 92274	NONE	EOF	MUSIC ENRICHMENT - BAND/CHORAL RISERS	18,651
Total ▶ 3a				1,111,051

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AYSO SOCCER REGION 80 4365 E MESQUITE AVE PALM SPRINGS, CA 92264	NONE	EOF	TECHNOLOGICAL AND FIELD MARKING EQUIPMENT UPGRADES	1,240
VALLEY VIEW ELEMENTARY SCHOOL 85-270 VALLEY RD COACHELLA, CA 92236	NONE	EOF	STEACHING READING IS ROCKET SCIENCE PROGRAM	12,334
ABRAHAM LINCOLN ELEMENTARY SCHOOL 74-100 RUTLEDGE WAY PALM DESERT, CA 92260	NONE	EOF	HOW TO LEARN MORE MATH & SCIENCE THROUGH MUSIC	11,409
AMELIA EARHART ELEMENTARY SCHOOL 45250 DUNE PALMS RD INDIO, CA 92201	NONE	EOF	ROBOTICS WONDER WORKSHOP	2,479
ANDREW JACKSON ELEMENTARY SCHOOL 82850 KENNER AVE INDIO, CA 92201	NONE	EOF	CHILD-CENTERED APPROACH TO LEARNING MUSIC	2,500
Total 				1,111,051
3a				

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a <i>Paid during the year</i>				
ANGEL VIEW 67625 E PALM CANYON DR SUITE 7A CATHEDRAL CITY, CA 92234	NONE	EOF	OUTREACH PROGRAM - MEET THE UNMET NEED OF CHILDREN WITH DISABILITIES/MEDICAL SUPPLIES	16,250
BRAILLE INSTITUTE RANCHO MIRAGE 70251 RAMON ROAD RANCHO MIRAGE, CA 92270	NONE	EOF	YOUTH & CAREER SERVICES PROGRAM FOR BLIND CHILDREN/Connection Pointe Tech for Youth Services	18,665
CENTRAL VALLEY LITTLE LEAGUE 68760 PANORAMA RD CATHEDRAL CITY, CA 92234	NONE	EOF	SAFETY & PROGRAMMING	11,250
CHRISTOPHER'S CLUBHOUSE PO BOX 9544 LA QUINTA, CA 92248	NONE	EOF	ALWAYS BE SAFE ASSEMBLIES DESIGNED SPECIFICALLY FOR SCHOOLS	2,340
DESERT CENTER FOR LEGAL EDUCATION 45290 FARGO STREET INDIO, CA 92201	NONE	EOF	PROJECT #180 - PUBLIC LEGAL EDUCATION TO AT-RISK YOUTH	24,687
Total ▶ 3a				1,111,051

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Name and address (home or business)				
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DESERT MIRAGE HIGH SCHOOL 86150 66TH AVE THERMAL, CA 92274	NONE	EOF	MUSICAL INSTRUMENTS AND EQUIPMENT FOR BAND	12,500
DESERT RIDGE ACADEMY MIDDLE SCHOOL 79767 AVE 39 INDIO, CA 92203	NONE	EOF	MUSIC DEPARTMENT - DESERT RIDGE ACADEMY MUSIC	2,500
EDGE FOUNDATION 80394 AVENUE 48 SUITE 415 INDIO, CA 92201	NONE	EOF	IN-SCHOOL COACHING & TRAINING PROGRAM	14,275
GILDA'S CLUB DESERT CITIES 73555 ALESSANDRO DRIVE PALM DESERT, CA 92260	NONE	EOF	"NOOGIELAND" PROGRAM SUPPORTING CHILDREN COPING WITH CANCER	3,750
JOHN GLENN MIDDLE SCHOOL 79655 MILES AVE INDIO, CA 92201	NONE	EOF	AFTER SCHOOL SPORTS PROGRAM	2,238
Total 				1,111,051
3a				

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LOVING ALL ANIMALS 44635 SAN RAFAEL AVE PALM DESERT, CA 92260	NONE	EOF	2ND GRADE LANGUAGE ARTS PROGRAM AROUND DOGS	2,500
MARQUEE ACADEMY OF PERFORMING ARTS 441 S PALM CANYON DRIVE PALM SPRINGS, CA 92262	NONE	EOF	YEAR-ROUND MUSIC & PERFORMING ARTS EDUCATION FOR KIDS	23,430
NATIONAL HEART 2 HEART FOUNDATION PO BOX 2991 ROCK HILL, SC 29732	NONE	EOF	CVUSD TRAINING TO PERFORM CPR & USE AEDS	3,316
OSTEOPATHY'S PROMISE TO CHILDREN 3706 RUFFIN ROAD SAN DIEGO, CA 92123	NONE	EOF	FINANCIAL ASSISTANCE FOR CHILDREN WITH ESTABLISHED MEDICALLY NECESSARY NEED/Osteopathic Manipulative Treatment (OMT) Compared With Helmet Therapy In The Treatment of Plagiocephaly - A Pilot Study	23,103
PALM SPRINGS COMMUNITY CONCERT ASSO 3196 CASA BONITA PALM SPRINGS, CA 92264	NONE	EOF	STUDENT CONCERT ENRICHMENT PROGRAM	10,036
Total ▶ 3a				1,111,051

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a <i>Paid during the year</i>				
PALM SPRINGS HIGH SCHOOL 2401 E BARISTA ROAD PALM SPRINGS, CA 92262	NONE	EOF	ACADEMIC BOARD - AP EXAM FEE ASSISTANCE/YOU HAD ME AT CELLO MUSICAL INSTRUMENTS	11,230
PALM SPRINGS UNIFIED SCHOOL DISTRICT 980 TAHQUITZ CANYON WAY PALM SPRINGS, CA 92262	NONE	EOF	WORKABILITY PROGRAM - GRADCON 2018 FOR HIGH SCHOOL SPECIAL EDUCATION STUDENTS	14,994
READ WITH ME VOLUNTEER PROGRAMS PO BOX 1016 RANCHO MIRAGE, CA 92270	NONE	EOF	BOOKS FOR NEEDY CHILDREN IN THE COACHELLA VALLEY	5,500
RONALD MCDONALD HOUSE CHARITIES SO 1250 LYMAN PLACE LOS ANGELES, CA 90029	NONE	EOF	CAMP PROGRAM FOR CHILDREN WITH CANCER	16,250
VALLE DEL SOL ELEMENTARY SCHOOL 51433 EDUCATION WAY COACHELLA, CA 92236	NONE	EOF	MIGRANT STUDENT AFTER SCHOOL COMPUTER CLASS/ASES ROBOTICS	10,030
Total 3a				1,111,051

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AUTISM SOCIETY OF AMERICA - CV 41550 ECLECTIC STREET PALM DESERT, CA 92260	NONE	EOF	PROVIDE AUTISM SUPPORT AND ACTIVITIES FOR CHILDREN AGES 3 TO 18 ON THE SPECTRUM	15,000
BOYS AND GIRLS CLUB OF PALM SPRINGS 450 S SUNRISE WAY PALM SPRINGS, CA 92262	NONE	EOF	SMART GIRLS PROGRAM IS DESIGNED TO MEET DEVELOPMENTAL NEEDS IN GIRLS AGES 9 TO 18	10,836
CAMP OF CHAMPIONS A M INC 64477 SPYGLASS AVE DESERT HOT SPRINGS, CA 92240	NONE	EOF	SUMMER CAMP SERVING SCHOOL AGE YOUTH IN THE COACHELLA VALLEY AND SURROUNDING COMMUNITIES	11,000
CHILDREN'S DISCOVERY MUSEUM OF DESE 71701 GERALD FORD DRIVE RANCHO MIRAGE, CA 92270	NONE	EOF	AMAZING ANIMAL ADAPCTIONS PROGRAM	9,771
CIELO VISTA PARENT TEACHER GROUP 650 S PASEO DOROTEA PALM SPRINGS, CA 92262	NONE	EOF	NEW INSTRUMENTS FOR THE CIELO VISTA CHARTER SCHOOL BAND	8,760
Total ▶ 3a				1,111,051

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COACHELLA VALLEY ART SCENE 73733 FRED WARING DR SUITE 106 PALM DESERT, CA 92260	NONE	EOF	CREATE ELEMENTS IN ART SUMMER PROGRAM - The purpose of the program is to inspire creativity and creative thinking while providing a safe, fun and comfortable environment during the summer months As CREATE Center for the Arts grows, we look forward to offering more summer programs and reach more students	6,545
COACHELLA VALLEY RESCUE MISSION 47470 VAN BUREN ST INDIO, CA 92201	NONE	EOF	EMERGENCY SERVICES FOR MOTHERS AND CHILDREN IN A HOUSING CRISIS	10,061
CORAL MOUNTAIN ACADEMY 51375 VAN BUREN ST COACHELLA, CA 92236	NONE	EOF	ACCELERATED READING PROGRAM - Renaissance Learning is a program designed as a systematic approach to guide each student from second to sixth grade, helping them improve their reading level	10,235
DESERT CHAPEL CHRISTIAN SCHOOL 710 S SUNRISE WAY PALM SPRINGS, CA 92264	NONE	EOF	IMPACTING OUR COMMUNITY - This grant will help Desert Chapel serve even more youth throughout the valley and expand its reach as more children come through its doors	15,000
DESERT LEARNING ACADEMY 2248 E RAMON ROAD PALM SPRINGS, CA 92262	NONE	EOF	PROGRAMMING ROBOT TO EXCITE STUDENT LEARNING	5,475
Total ►				1,111,051
3a				

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DIGICOM LEARNING 551 E INDUSTRIAL PLACE PALM SPRINGS, CA 92264	NONE	EOF	CrossValley High School Digital Story and Screenwriting Intensive PROGRAM	28,436
FRIENDS OF THE DESERT MOUNTAINS 51500 CALIFORNIA HIGHWAY 74 PALM DESERT, CA 92260	NONE	EOF	The Explore Coachella Valley program will provide outdoor science (EcoSTEM) opportunities for Coachella Valley youth, giving them the opportunity to explore their unique and precious local environment	32,165
FUTURENOMIC RESOURCES CVAI PO BOX 2243 PALMS, CA 92277	NONE	EOF	Music & Movement Program, through STEM Education - These youths will learn about vocal anatomy, various sound recordings, and how a studio works, from pre-production to post-production	11,003
GERALD FORD ELEMENTARY SCHOOL 44-210 WARNER TRAIL INDIAN WELLS, CA 92210	NONE	EOF	INSTRUMENTS FOR ALL - The goal of the grant is to increase student investment, motivation, and achievement through full active participation in music	9,907
GREEN ROOM THEATRE COMPANY PO BOX 612 PALM DESERT, CA 92261	NONE	EOF	SUMMER THEATRE CONSERVATORY	11,000
Total ► 3a				1,111,051

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INDIO YOUTH SPORTS ASSOCIATION 81300 DATE AVENUE INDIO, CA 92201	NONE	EOF	Kelseys Heroes is a year round special needs sports program/SNACK BAR	20,541
JEWISH SUNSHINE CIRCLE 73550 SANTA ROSA WAY PALM DESERT, CA 92260	NONE	EOF	Aleph Schoolhouse Educational Enhancement proposal aims to broaden the current educational offerings of Aleph Schoolhouse/Hebrew High is a project of Sunshine Circle that provides the opportunity for teenage students to earn up to 10 foreign language credits (UC accredited) while receiving a meaningful Jewish education	34,921
KINGS SCHOOLS OF THE DESERT 67675 BOLERO ROAD PALM SPRINGS, CA 92264	NONE	EOF	Project Sun Safety will provide a comprehensive curriculum and professional training on sun safety and the importance of proper skin care	27,715
LIVING DESERT 47900 PORTOLA AVE PALM DESERT, CA 92260	NONE	EOF	The Living Desert currently facilitates a free of charge weekly "Me and You at the Zoo" nature program for toddlers and preschoolers	10,000
OAK GROVE CENTER 79733 COUNTRY CLUB DR BERMUDA DUNES, CA 92203	NONE	EOF	WRAPAROUND PROGRAM - rebuild the lives of at-risk children and their families through educating, healing, restoring relationships, building character and instilling hope	5,000
Total ▶ 3a				1,111,051

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a <i>Paid during the year</i>				
OPERA GUILD OF THE DESERT 2150 E TAHQUITZ CYN SUITE 6 PALM SPRINGS, CA 92262	NONE	EOF	Opera in the Schools - The goal of the program is to demystify this multi-faceted art form and positively impact and inspire students by demonstrating that classical music is an accessible, entertaining medium	26,760
OPERATION SAFE HOUSE 9685 HAYES ST RIVERSIDE, CA 92503	NONE	EOF	ART THERAPY/SKILLS LAB - SAFEHOUSE IN THOUSAND PALMS, CA	15,000
PALM DESERT MIDDLE SCHOOL BAND BOOS 74200 RUTLEDGE WAY PALM DESERT, CA 92260	NONE	EOF	INSTRUMENT RECHARGE - help to serve our students wishing to participate in the music program This money will help repair some of our older instruments, purchase new cases to protect these instruments, and purchase new instruments	20,636
PALM SPRINGS HIGH INSTRUMENTAL BAND 2401 E BARISTO ROAD PALM SPRINGS, CA 92262	NONE	EOF	INSTRUMENTS FOR MUSIC - ensuring that students have instruments to perform on and in providing extraordinary experiences for our youth	9,773
RANCHO MIRAGE PUBLIC LIBRARY FOUNDA 71100 HIGHWAY 111 RANCHO MIRAGE, CA 92270	NONE	EOF	MakerSpace Creativity, Confidence, & Innovation where all ages gather to imagine and invent	5,000
Total ► 3a				1,111,051

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RIVERSIDE CNTY SHERIFF EXPLORER POS 4095 LEMON ST RIVERSIDE, CA 92501	NONE	EOF	funds to help support our Explorer Post organization with transportation, lodging and food expenses for Explorer competition events throughout the year	5,000
ST ELIZABETH FOOD PANTRY 66700 PIERSON BLVD DESERT HOT SPRINGS, CA 92240	NONE	EOF	Condensing Unit for Walk-In Freezer	4,500
VOICES FOR CHILDREN 5555 ARLINGTON AVE RIVERSIDE, CA 92504	NONE	EOF	Court Appointed Special Advocates Creating a Brighter Future for Coachella Valley Children	20,000
WESTERN UNIVERSITY OF HEALTH SCIENC 309 E SECOND ST POMONA, CA 91766	NONE	EOF	WesternU We Care Dental was founded with the mission of providing quality care to those with special needs and others who might not have access to dental services Although we maintain that focus for our practice, we are pleased to serve all patients in the Coachella Valley	16,420
Total ▶ 3a				1,111,051

TY 2016 Accounting Fees Schedule**Name:** ANDERSON CHILDRENS FOUNDATION**EIN:** 23-7089096**Software ID:** 16000303**Software Version:** 2016v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ERIC W MARTIN, CPA	36,760	36,760	0	0

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2016 Amortization Schedule

Name: ANDERSON CHILDRENS FOUNDATION

EIN: 23-7089096

Software ID: 16000303

Software Version: 2016v3.0

Description of Amortized Expenses	Date Acquired, Completed, or Expended	Amount Amortized	Deduction for Prior Years	Amortization Method	Current Year Amortization	Net Investment Income	Adjusted Net Income	Total Amount of Amortization
REFINANCE POINTS	2013-10-02	42,553	5,390	25 0000	142			5,532

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2016 Depreciation Schedule

Name: ANDERSON CHILDRENS FOUNDATION

EIN: 23-7089096

Software ID: 16000303

Software Version: 2016v3.0

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
LAKEVIEW APTS (BLDG)	2004-09-30	6,801,850	3,019,474	SL	3 64 %	10,313	10,313		
ROOF REPLACEMENT	2012-07-31	49,056	7,805	SL	3 64 %	74	74		
ROOFING PROJECT	2012-09-30	77,283	11,826	SL	3 64 %	117	117		
REFINANCE COSTS	2013-10-02	1,492	162	SL	3 64 %	2	2		
APPLE COMPUTER	2015-07-08	2,163	613	SL	5 0000	433			
NEW PROJECTOR	2017-03-05	646		SL	5 0000	97			
2017 TOYOTA PRIUS	2017-08-02	26,215		SL	5 0000	1,748			

TY 2016 General Explanation Attachment**Name:** ANDERSON CHILDRENS FOUNDATION**EIN:** 23-7089096**Software ID:** 16000303**Software Version:** 2016v3.0**General Explanation Attachment**

Identifier	Return Reference	Explanation	
1		General Explanation Supplemental Information for Form 990-PF	FORM 990-PF, PART VII-A, LINE 11 ORGANIZATION OWNED 100% OF LAKE VIEW PARK APARTMENTS, LLC 1111 TAHQUITZ CANYON WAY, SUITE 109 PALM SPRINGS, CA 92262 EIN #46-4589446 LAKEVIEW PART APARTMENTS WAS CONTRIBUTED TO THE LLC UPON REFINANCE AT THE LENDER'S DIRECTION

TY 2016 Investments Corporate Bonds Schedule

Name: ANDERSON CHILDRENS FOUNDATION

EIN: 23-7089096

Software ID: 16000303

Software Version: 2016v3.0

Name of Bond	End of Year Book Value	End of Year Fair Market Value
JP MORGAN CHASE CO. -5X5NFE 0% 08/30/18	50,000	60,930
CREDIT SUISSE RUSSELL 2000 0% 07/03/19	100,000	131,830
RBC LINKED TO TORONTO 60 0% 07/25/19	25,000	27,098
RBC LINKED TO XLE & XLF 9.50% 06/01/18	100,000	100,440
WELLS FARGO & CO. 3% 11/21/34	50,000	46,659
BARCLAYS BK PLC 11%/CVX, MPC & COP, 9/19	100,000	102,420
BARCLAYS BK PLC 11.85%/WFC, 10/31/2019	100,000	98,970
CREDIT SUISSE 0%/RUSS 2000,9/3/2019	50,000	50,880
CREDIT SUISSE 0%/XLE, XLF, 1/30/18	100,000	110,250
JPMORGAN CHASE 0%/EEM & EFA, 4/2/20	100,000	104,330
JPMORGAN CHASE 10.5%/ VLO, ETC, 2/28/19	100,000	98,480
SOCIETE GENERALE 10.25%/CDX, ETC., 10/31	50,000	49,335
UBS AG 11.45%/XBI & XLE, 11/26/19	55,000	55,000
UBS AG 10.60%/KRE & XBI, 10/2/2019	100,000	99,890
WELLS FARGO BK LINKED INDU 0% 10/7/2022	100,000	103,625

TY 2016 Investments Corporate Stock Schedule**Name:** ANDERSON CHILDRENS FOUNDATION**EIN:** 23-7089096**Software ID:** 16000303**Software Version:** 2016v3.0

Name of Stock	End of Year Book Value	End of Year Fair Market Value
AMERICAN EXPRESS CO.	19,327	30,095
BERKSHIRE HATHAWAY INC. CLASS B	31,858	43,041
WELLS FARGO & CO.	35,384	42,409
LOEWS CORP.	6,416	8,145
MICROSOFT CORP.	6,018	9,175
JACK HENRY & ASSOC INC.	2,283	7,842
BANK OF NEW YORK MELLON CORP.	26,771	37,168
ANSYS INC.	2,249	5,038
LANDSTAR SYSTEMS INC.	3,291	6,502
EXPRESS SCRIPTS INC. CLASS A	9,348	8,278
TEXAS INSTRUMENTS INC.	13,007	18,874
RBC BEARINGS INC.	5,596	14,813
PROLOGIS TRUST REIT	3,665	8,809
PEPSICO INCORPORATED	5,897	10,370
GENERAL MOTORS CO.	26,615	43,090
VISA INC. CLASS A	3,999	15,537
CORE LABORATORIES INC.	9,161	9,068
EXPEDITORS INTERNATIONAL WASH INC.	6,782	9,717
MSCI INC.	2,937	9,266
NORDSON CORP.	6,541	10,526
ORACLE CORP.	4,438	5,446
DOUBLELINE OPPORTUNISTIC CREDIT FUND	25,000	22,240
TICC CAPITAL CORP.	19,920	12,680
MONDELEZ INTERNATIONAL INC.	6,374	11,036
STARBUCKS CORP.	10,078	12,894
SNAP-ON INC.	8,631	10,674
WABCO HOLDINGS INC.	7,297	11,807
JPMORGAN CHASE & CO.	25,544	41,703
PRUDENTIAL GLOBAL SHORT DUR HI YLD FUND	20,000	14,400
PUBLIC STORAGE 5.2% PFD SER W	25,000	25,300

Name of Stock	End of Year Book Value	End of Year Fair Market Value
LAUDER ESTEE COS. INC.	6,054	10,611
SIGNATURE BANK OF NEW YORK	10,270	10,159
AMAZON.COM INC.	34,032	55,307
ENCANA CORP.	18,075	22,460
LIBERTY GLOBAL PLC CLASS C	9,982	9,711
LIBERTY INTERACTIVE CORP.	1,801	1,976
PRAXAIR INC.	10,856	13,853
PIONEER NATURAL RESOURCE CO.	2,188	7,334
DOLLAR TREE STORES INC.	9,615	14,592
FACEBOOK INC. CLASS A	6,137	15,060
THERMO FISHER SCIENTIFIC INC.	6,759	11,180
FIDELITY ADV CONSUMER DISCRETIONARY FD I	19,807	24,852
HENNESSY MID CAP 30 FUND INST	19,632	24,232
HENNESSY JAPAN FUND INV CLASS	17,848	25,404
FIDELITY ADV CONSUMER DISCRETIONARY FD I	16,171	18,261
HENNESSY MID CAP 30 FUND INST	30,682	35,617
HENNESSY JAPAN FUND INV CLASS	26,112	37,373
AIR LEASE CORP. CLASS A	4,755	6,062
AMPHENOL CORP. CLASS A	4,519	6,432
DISCOVER FINANCIAL SERVICES	5,355	6,636
MARATHON PETROLEUM CORP.	4,655	6,326
PNC FINANCIAL SERVICES GROUP INC.	4,592	6,325
SERVICENOW INC.	3,859	6,150
AMGEN INC.	10,395	13,175
GLAXOSMITHKLINE PLC ADR	18,623	14,024
PFIZER INC.	13,512	14,504
CAPITAL ONE FIN CORP. PFD 6.25% SER C	25,482	26,410
GLOBAL X ETF SUPERDIVIDEND	47,658	42,940
ALPHABET INC. CLASS A	15,099	20,723
ALPHABET INC. CLASS C	17,105	24,514

Name of Stock	End of Year Book Value	End of Year Fair Market Value
APACHE CORP.	35,521	28,863
CABOT OIL & GAS	11,363	13,114
CAPITAL ONE FINANCIAL CORP.	24,802	29,440
JOHNSON CONTROLS INTERNATIONAL PLC	18,770	15,621
OCCIDENTAL PETROLEUM CORP.	8,906	8,531
UNITED TECHNOLOGIES CORP.	25,220	28,662
ASPEN TECHNOLOGY INC.	7,113	10,841
BERKLEY W.R. CORP.	6,670	7,672
CDW CORP. OF DELAWARE	7,412	11,552
COOPER COMPANIES INC.	8,199	11,577
PRIMERICA INC.	6,029	10,920
WYNN RESORTS LTD.	5,653	11,224
ALPHABET INC. CLASS A	4,403	15,543
HONEYWELL INTERNATIONAL INC.	7,396	11,853
KRAFT HEINZ CO.	8,707	9,520
MASTERCARD INC. CLASS A	5,579	9,329
MONSTER BEVERAGE CORP.	6,157	10,090
BROADCOM LTD.	3,763	6,671
DOLLAR TREE INC.	5,195	6,885
DOWDUPONT INC.	4,795	6,261
LOUISIANA PACIFIC CORP.	4,417	6,378
NEWELL BRANDS INC.	8,506	6,380
REGENCY CENTERS CORP.	6,301	6,578
FIDELITY ADVISOR FLOATING RATE HIGH INC	46,188	46,554
NORTHERN LIGHTS EAGLE MLP STRATEGY I	20,449	21,451
VAN ECK GLOBAL HARDASSET FUND Y	19,641	22,938
CLEARBRIDGE AMERICAN ENERGY MLP FUND	13,632	14,497
TORTOISE MLP FUND INC.	14,330	14,835
VAN ECK GLOBAL HARDASSET FUND Y	32,687	33,711
BANK OF THE OZARKS INC.	8,893	9,306

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CARLISLE COMPANIES INC.	5,995	7,243
DRIL-QUIP INC.	5,709	4,651
MANHATTAN ASSOCIATES INC.	11,055	9,713
ADIENT PLC	12,325	18,000
AETNA INC.	12,368	19,459
CHUBB LTD.	4,499	5,628
DELPHI AUTOMOTIVE PLC	5,429	8,478
FACEBOOK INC. CLASS A	15,740	21,970
AT&T INC.	36,307	36,380
CHARLES SCHWAB 5.95% PFD SER D	25,000	27,240
EBAY INC. 6% PFD DUE 2/1/2056	50,000	53,700
EXXON MOBIL CORP.	15,394	16,658
HERCULES CAP 6.25% PFD	17,875	17,981
KKR & CO. L.P. 6.75% PFD SER A	47,467	53,700
NEXTERRA ENERGY 5.25% PFD	25,000	25,530
PUBLIC STORAGE 4.95% PFD SER D	50,000	50,240
SENIOR HOUSING 6.25% PFD DUE 02/01/46	37,500	39,930
SOUTHERN CO. 5.25% PFD	50,000	50,820
STARWOOD PROPERTY TRUST INC.	23,160	21,680
SUNCOR ENERGY INC.	28,204	34,760
VERIZON COMMUNICATIONS	50,257	50,890
APPLE INC.	11,254	15,638
EDWARDS LIFESCIENCE CORP.	8,956	11,486
FIDELITY NATIONAL INFORMATION SERVICES	8,017	9,433
INTERCONTINENTAL EXCHANGE INC.	8,535	11,432
MICROSOFT CORP.	11,609	15,992
PRICELINE GROUP INC.	6,509	8,699
UNITEDHEALTH GROUP INC.	12,297	18,710
CELGENE CORP.	8,355	6,352
CERNER CORP.	6,884	6,786

Name of Stock	End of Year Book Value	End of Year Fair Market Value
GARTNER INC.	5,693	6,286
MASTERCARD INC.	4,462	6,320
SYNOPSYS INC.	6,245	6,507
WALT DISNEY CO.	6,216	6,604
BLACKROCK GLOBAL LONG/SHORT CREDIT FD	46,394	46,438
EATON VANCE EMERG MKTS LOCAL INCOME	23,482	23,334
EATON VANCE GLOB MACRO ABSOL RET ADV FD	44,262	46,702
EATON VANCE SHORT DUR STRATEGIC INCOME	45,969	46,636
FIDELITY ADVISOR REAL ESTATE INCOME FD	23,049	23,218
FIRST TRUST INDLS PROD DUR ALPHADDEX ETF	20,856	24,410
HENNESSY FOCUS FUND	21,728	23,877
JPMORGAN INTREPID EUROPEAN FD	22,408	23,244
VAN ECK EMERGING MARKETS FD Y	23,197	23,615
BLACKROCK GLOB LONG/SHORT CREDIT FUND	17,046	17,062
EATON VANCE GLOB MACRO ABSOL RET ADV	16,857	17,161
FIRST TR INDLS PROD DURABLE ALPHADDEX	15,777	17,919
HENNESSY FOCUS FUND	31,937	35,095
JPMORGAN INTREPID EUROPEAN FUND	32,958	34,189
NORTHERN LIGHTS EAGLE MLP STRATEGY FUND	17,056	15,766
VAN ECK EMERGING MARKETS FD Y	34,092	34,707
CHEESECAKE FACTORY INC.	4,813	3,972
COPART INC.	4,769	6,517
COTIVITI HOLDINGS INC.	8,729	7,255
LENNOX INTERNATIONAL	8,196	10,277
POOL CORP.	6,848	7,161
TERADYNE INC.	5,914	7,204
ALIBABA GROUP HOLDINGS ADR LTD.	24,900	23,729
AXALTA COATING SYSTEMS LTD.	9,507	9,625
FEDEX CORP.	10,582	12,499
FIDELITY ADVISOR FLOATING RATE HI INCOME	23,177	23,105

Name of Stock	End of Year Book Value	End of Year Fair Market Value
FIRST TRUST SR FLOATING RATE INCOME II	14,025	12,910
FIRST TR STRATEGIC INCOME ADV OPPTY C/E	30,502	29,740
SKYWEST INC.	18,807	26,025
US FOODS HOLDINGS CORP.	13,130	14,560
AIR PRODUCTS & CHEMICALS INC.	4,204	4,728
ANALOG DEVICES INC.	11,741	12,314
BECTON DICKINSON & CO.	8,735	10,954
EBAY INC.	9,942	9,534
FLEETCOR TECHNOLOGIES INC.	6,049	6,911
ORACLE CORP.	11,264	12,020
S&P GLOBAL INC.	6,843	9,432
CHARLES SCHWAB CORP.	9,193	11,124
SHERWIN WILLIAMS CO.	8,387	9,187
ULTA BEAUTY INC.	2,595	2,217

TY 2016 Investments Government Obligations Schedule**Name:** ANDERSON CHILDRENS FOUNDATION**EIN:** 23-7089096**Software ID:** 16000303**Software Version:** 2016v3.0**US Government Securities - End
of Year Book Value:****US Government Securities - End
of Year Fair Market Value:****State & Local Government
Securities - End of Year Book
Value:**

169,132

**State & Local Government
Securities - End of Year Fair
Market Value:**

164,187

TY 2016 Investments - Land Schedule**Name:** ANDERSON CHILDRENS FOUNDATION**EIN:** 23-7089096**Software ID:** 16000303**Software Version:** 2016v3.0

Category/ Item	Cost/Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
Land	10,764		10,764	10,764

TY 2016 Investments - Other Schedule**Name:** ANDERSON CHILDRENS FOUNDATION**EIN:** 23-7089096**Software ID:** 16000303**Software Version:** 2016v3.0

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
LTD PTSHP - CONTINENTALS BELMONT	AT COST	705,127	3,545,026
LLC INT - C.V. STORAGE, LLC	AT COST	1,347,854	2,822,625
LTD PTSHP INT - TIMBERLANE APTS PTSHP	AT COST	652,025	5,585,587
1ST & MAPLE, L.P.	AT COST	347,120	347,120
HILLCREST VERITAS L.P.	AT COST	71,950	300,000
LAKE VIEW PARK APARTMENTS, LLC	AT COST	2,819,730	9,089,217
GOLDMAN SACHS BANK CD 1.50% 06/01/21	AT COST	29,623	29,497

**TY 2016 Land, Etc.
Schedule****Name:** ANDERSON CHILDRENS FOUNDATION**EIN:** 23-7089096**Software ID:** 16000303**Software Version:** 2016v3.0

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
Auto./Transportation Equip.	26,215	1,748	24,467	24,467
Furniture and Fixtures	35,576	35,576		
Machinery and Equipment	37,352	35,687	1,665	1,665

TY 2016 Legal Fees Schedule**Name:** ANDERSON CHILDRENS FOUNDATION**EIN:** 23-7089096**Software ID:** 16000303**Software Version:** 2016v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MUSICK, PEELER & GARRETT, LLP	4,081	4,081	0	0

TY 2016 Other Assets Schedule**Name:** ANDERSON CHILDRENS FOUNDATION**EIN:** 23-7089096**Software ID:** 16000303**Software Version:** 2016v3.0**Other Assets Schedule**

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
RENT DEPOSIT	2,757	2,757	2,757
WORKERS COMPENSATION DEPOSIT	271	271	271

TY 2016 Other Expenses Schedule**Name:** ANDERSON CHILDRENS FOUNDATION**EIN:** 23-7089096**Software ID:** 16000303**Software Version:** 2016v3.0**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Amortization	142	142		
APPRAISAL FEE	2,500	2,500		
AUTO EXPENSES	751	751		
BANK CHARGES	80	80		
GRANT COMMISSION CONSULTANTS	6,600			6,600
INSURANCE	3,316	3,316		
LICENSES & PERMITS	288	288		
MISCELLANEOUS EXPENSES	25	25		
OFFICE EXPENSES	2,842	2,842		
POSTAGE	66	66		

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PUBLIC RELATIONS	1,077	1,077		
Rental Expenses	52,863	52,863		
REPAIRS AND MAINTENANCE	250	250		
SAFE DEPOSIT BOXES	105	105		
SECURITY EXPENSE	551	551		
SEMINARS	478			478
TELEPHONE	3,606	3,606		
UTILITIES	1,043	1,043		
WEB SITE FEES	286	286		

TY 2016 Other Income Schedule**Name:** ANDERSON CHILDRENS FOUNDATION**EIN:** 23-7089096**Software ID:** 16000303**Software Version:** 2016v3.0**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
MISCELLANEOUS INCOME	40		
Other Investment Income	827,003	827,043	

TY 2016 Other Liabilities Schedule**Name:** ANDERSON CHILDRENS FOUNDATION**EIN:** 23-7089096**Software ID:** 16000303**Software Version:** 2016v3.0

Description	Beginning of Year - Book Value	End of Year - Book Value
Rounding		1

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

**TY 2016 Other
Notes/Loans Receivable
Long Schedule**

Name: ANDERSON CHILDRENS FOUNDATION

EIN: 23-7089096

Software ID: 16000303

Software Version: 2016v3.0

Borrower's Name	Relationship to Insider	Original Amount of Loan	Balance Due	Date of Note	Maturity Date	Repayment Terms	Interest Rate	Security Provided by Borrower	Purpose of Loan	Description of Lender Consideration	Consideration FMV
OSTEOPATHY'S PROMISE TO CHILDR		500,000	500,000	2017-07	2027-08	INTEREST ONLY UNTIL MATURITY	550 00 %	3706 RUFFIN RD, SAN DIEGO, CA			500,000

TY 2016 Other Professional Fees Schedule**Name:** ANDERSON CHILDRENS FOUNDATION**EIN:** 23-7089096**Software ID:** 16000303**Software Version:** 2016v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
RBC WEALTH MANAGEMENT	9,998	9,998	0	0
WELLS FARGO ADVISORS	22,900	22,900	0	0

TY 2016 Taxes Schedule**Name:** ANDERSON CHILDRENS FOUNDATION**EIN:** 23-7089096**Software ID:** 16000303**Software Version:** 2016v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
CALIFORNIA TAXES	150	150		
FEDERAL TAXES	17,879	17,879		
FOREIGN TAXES WITHHELD	285	285		
PAYROLL TAXES	16,972	5,478		11,494
PROPERTY TAXES	582	582		