Form 990-PF

EXTENDED TO OCTOBER 15, 2018
Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Treated Associated Treated Treate

Department of the Treasury Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For cale	ndar year 2016 or tax year beginning DEC	1, 2016	, and ending	NOV 30, 2017	
Name o	of foundation			A Employer identification	number
	DAVID M.& BARBARA BALD			13-3391384	·
	and street (or P O box number if mail is not delivered to street	•	Room/suite	B Telephone number	
	RATH, DOYLE & PHAIR, 150			(212)571-2	300
	town, state or province, country, and ZIP or foreign p	ostal code		C If exemption application is p	ending, check here
	YORK, NY 10038	·			,
G Chec	k all that apply Initial return	 1	ormer public charity	D 1. Foreign organizations	s, check here
	Final return	Amended return	f	2. Foreign organizations me	seting the 85% test,
	Address change	Name change		2. Foreign organizations me check here and attach co	pmputation
	k type of organization: X Section 501(c)(3) ex			E If private foundation sta	
		Other taxable private founda		under section 507(b)(1))(A), check here
	1 -	ng method: X Cash	Accrual	F If the foundation is in a	
-	Part II, col (c), line 16)	her (specify)		under section 507(b)(1)(B), check here
▶ \$	8,591,716. (Part I, colu				(4)
Part	Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received			N/A	
2	Check X if the foundation is not required to attach Sch. B				
3	Interest on savings and temporary cash investments	512.	512.		STATEMENT 1
4	Dividends and interest from securities	158,747.	158,747.		STATEMENT 2
58	a Gross rents				
l t	Net rental income or (loss)				
₀ 6	Net gain or (loss) from sale of assets not on line 10	301,424.		P1=	
ž t	Gross sales price for all1,659,320.			DEA	A.C.
Revenue 7	Capital gain net income (from Part IV, line 2)		301,424.		EIAED
œ 8	Net short-term capital/gain			S JUL 3	100
9	Income modifications Gross sales restricts			B JOE 3	U 2018 9
10a	Gross sales less returns and allowances			0000	35
t	Less Cost of goods sold			(OGDE	N. UT
0	Gross profit or (loss)				
11	Other income				
12	Total. Add lines 1 through 11	460,683.	<u>460,683.</u>		
13	Compensation of officers, directors, trustees, etc	24,000.	12,000.		12,000.
14	Other employee salaries and wages				
رم 15 س	Pension plans, employee benefits	<u> </u>			
SS 168	a Legal fees				
्रेष्ट्री ध	Accounting fees STMT 3	6,000.	3,000.		3,000.
M C	Other professional fees STMT 4	35,071.	35,071.		0.
<u>§</u> 17	Interest			ļ	
Operating and Administrative Expension 11, 18 19 20 21 25 25 25 25 25 25 25 25 25 25 25 25 25	Taxes STMT 5	6,749.	2,749.	ļ	0.
CE 19	Depreciation and depletion			<u> </u>	
교통 20	Occupancy				ļ
₹ 21	Travel, conferences, and meetings				
رة, 22	Printing and publications				
(E) 23	Other expenses STMT 6	1,666.	<u> </u>		1,666.
E 24	Total operating and administrative			1	1- 000
) Do	expenses Add lines 13 through 23	73,486.	52,820.		16,666.
	Contributions, gifts, grants paid	177,050.			177,050.
7 26	Total expenses and disbursements	050 505	PA 00-		100 716
-12	Add lines 24 and 25	250,536.	52,820.		193,716.
27	Subtract line 26 from line 12:]
i i	Excess of revenue over expenses and disbursements	210,147.	105 055		
- 1	Net investment income (if negative, enter -0-)		407,863.	37/5	
	Adjusted net income (if negative, enter -0-)			N/A	Form 990-PF (2016)
623501 1	1-23-16 LHA For Paperwork Reduction Act Notice	e. see instructions			Form SSO-F (2018)

Fo	rm 99	0-PF (2016) THE DAVID M.& BARBARA E			INC. 13-3	3391384 Page	2
F	art	Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning		End of		_
<u>-</u>		Column should be for end-di-year amounts only	(a) Book		(b) Book Value	(c) Fair Market Value	_
		Cash - non-interest-bearing		0,581.		92,259.	<u>.</u>
		Savings and temporary cash investments	11	1,977.	109,132.	109,278.	<u>.</u>
	3	Accounts receivable	1				
		Less' allowance for doubtful accounts					_
	4	Pledges receivable ►	1		Ì		
	1	Less: allowance for doubtful accounts					_
	5	Grants receivable					_
	6	Receivables due from officers, directors, trustees, and other					
	}	disqualified persons					_
	7	Other notes and loans receivable	1		ĺ		
	1	Less' allowance for doubtful accounts					_
ets	8	Inventories for sale or use					_
Assets	9	Prepaid expenses and deferred charges	<u> </u>				_
٩	Į.	Investments - U.S. and state government obligations STMT 7	36	4,500.	303,000.	303,000.	
,	1	Investments - corporate stock STMT 8	4,29	9,254.	4,562,068.	8,087,179.	•
	C	Investments - corporate bonds					_
	11	investments - land, buildings, and equipment basis	4				
		Less accumulated depreciation					_
	12	Investments - mortgage loans					_
	13	Investments - other					_
	14	Land, buildings, and equipment: basis	_{				
		Less accumulated depreciation	ļ				
	1	Other assets (describe			ļ		_
	16	Total assets (to be completed by all filers - see the					
_		instructions. Also, see page 1, item I)	$\frac{4,85}{}$	<u>6,312.</u>	5,066,459.	<u>8,591,716.</u>	<u>.</u>
	1	Accounts payable and accrued expenses					
	18	Grants payable					
es	19	Deferred revenue					
Liabilities	20	Loans from officers, directors, trustees, and other disqualified persons					
Lia	21	Mortgages and other notes payable	ļ				
_	22	Other liabilities (describe)	<u> </u>				
	00	Tatal Pakithan (add (and 47 though 00)		•			
	23	Total liabilities (add lines 17 through 22) Foundations that follow SFAS 117, check here	 	0.	0.		
		·	1				
es	24	and complete lines 24 through 26 and lines 30 and 31. Unrestricted	1 05	6,312.	5,066,459.		
anc.	25	Temporarily restricted	4,03	0,312.	3,000,433.		
3ala	i	Permanently restricted					
ğ	20	Foundations that do not follow SFAS 117, check here					
Net Assets or Fund Balances	ļ	and complete lines 27 through 31					
ō	27	Capital stock, trust principal, or current funds					
ets	28	Paid-in or capital surplus, or land, bldg., and equipment fund					
Ass	29	Retained earnings, accumulated income, endowment, or other funds					
et	30	Total net assets or fund balances	4 85	6,312.	5,066,459.		
Z		Total not account of form paramond	=,03	0,312.	3,000,1331		
	31	Total liabilities and net assets/fund balances	4.85	6,312.	5,066,459.		
ГР	art			<u> </u>	7,000,1202		_
=							_
		net assets or fund balances at beginning of year - Part II, column (a), line	e 30			4 056 040	
		t agree with end-of-year figure reported on prior year's return)			1	4,856,312	
		amount from Part I, line 27a			2	210,147	
		increases not included in line 2 (itemize)			3	5 066 450	_
		ines 1, 2, and 3			4	5,066,459	
		eases not included in line 2 (itemize)	- Aluma (L) 1 - 2			0 5,066,459	
6_	TOTAL	net assets or fund balances at end of year (line 4 minus line 5) - Part II, o	Joiumn (b), line 3	10	6_	5,006,439 Form 990-PF (2016	_

	DAVID M.& BARBAI			<u>. II</u>	1C.	<u> 13-339</u>	<u> 1384</u>	Page 3
, !	nd Losses for Tax on In							
(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)				b) How a P - Puri	chase (c) Date acquired (mo., day, yr.)	(d) Dat (mo., da	
		- WILC CO.)		D - Dor	nation	(1110., uay, y1.)	(1110., 0	~,,,,,,
1a FIDUCIARY TRUST					P			
b FIDUCIARY TRUST c CAPITAL GAINS D					P			
d d	11 A T D E M D D							
e								
(e) Gross sales price	(f) Depreciation allowed (or allowable)		st or other basis xpense of sale			(h) Gain or (loss (e) plus (f) minus (
a 9,134.			10,621				-1	,487.
ь 1,642,857.			1,347,275	5.			295	,582.
c 7,329.							7	,329
d								
e			12101100					
Complete only for assets showing	gain in column (h) and owned by the				(I) G	Sains (Col. (h) gain k), but not less thar	mเกบร	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69		cess of col. (1) col. (j), if any			Losses (from col. (h)) 	
a								<u>,487.</u>
<u>b</u>								,582
C							7	,329
d								
<u>e</u>								
Constal gave not secome or (not con	If gain, also enter			} _			201	,424
Capital gain net income or (net cap	(" (),		<i>'</i>	J 2			301	<u>, 424</u>
Net short-term capital gain or (loss		d (6):		<u>م ا</u>				
If gain, also enter in Part I, line 8, co If (loss), enter -0- in Part I, line 8	olumn (c).			P	İ	_ N/A		
Part V Qualification Un	der Section 4940(e) for	Reduced	Tax on Net I	nvestr	nent Inco	me		
For optional use by domestic private f	foundations subject to the section 49	940(a) tax on	net investment inci	ome)				
		o rota) tax on	not invocation into	01110.)				
f section 4940(d)(2) applies, leave this	s part blank.							
Vas the foundation liable for the section	on 4942 tax on the distributable amo	ount of any ve	ar in the base perio	od?			Yes	X No
"Yes," the foundation does not qualify			•					_
Enter the appropriate amount in ea	ch column for each year; see the ins	structions bef	ore making any ent	tries.				
(a) Base period years Calendar year (or tax year beginning	(b) Adjusted qualifying distr	ributions	Net value of none	(c) charitable	-use assets	Dıstrıb (col. (b) dıv	(d) ution ratio ided by col.	. (c))
2015		9,987.		7,63	1,439.		.0	2358
2014		694.			0,866.			3462
2013		3,094.			5,552.		.0	1317
2012	793	3,103.		6,61	5,839.			1987
2011	1,031	1,695.		-5,09	0,975.		.2	0265
Total of line 1, column (d)						2	.3	9391
Average distribution ratio for the 5-	year base period - divide the total or	n line 2 by 5,	or by the number o	f years			_	
the foundation has been in existence	e if less than 5 years					3	.0	7878
Enter the net value of noncharitable	use assets for 2016 from Part X, III	ne 5				4	8,022	,969
Multiply line 4 by line 3						5	632	,074
Enter 1% of net investment income	(1% of Part I, line 27b)					6	4	,079
Add lines 5 and 6						7	636	,153
Enter qualifying distributions from I	Part XII line 4					8		,716
If line 8 is equal to or greater than li	ne 7, check the box in Part VI, line 1	1b, and comp	lete that part using	a 1% tax	rate.		<u> </u>	<u>,,</u>
See the Part VI instructions.						 	orm 990-	DE (004
22521 11-22-18						ŧ	.arm UUI I	

Part VII-A Statements Regarding Activities		990-PF (2016) THE DAVID M.& BARBARA BALDWIN FDN., INC. rt VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4940(c))	13-3391 948 - see	384 instru	ction	age 4
b Domestic foundations that meet the section 4947(a) requirements in Part V, check here	1a					
of Part I, line 170 A did ther domestic section 4947(a)(1) tructs and taxable foundations enter 4% of Part I, line 12, col. (b). Tax under section 511 (domestic section 4947(a)(1) tructs and taxable foundations only. Others enter -0-) A did times I and 2 Subbitle (A (notione) bax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 5 Tax based on lavestment income. Subtract line 4 from line 3, if yer or less, enter -0- 5 Tax based on lavestment income. Subtract line 4 from line 3, if yer or less, enter -0- 5 Tax based on lavestment income. Subtract line 4 from line 3, if yer or less, enter -0- 5 Tax based on lavestment income. Subtract line 4 from line 3, if yer or less, enter -0- 5 Tax based on lavestment income. Subtract line 4 from line 3, if yer or less, enter -0- 5 Tax based on lavestment income. Subtract line 4 from line 3, if yer or less, enter -0- 6 Be 6 6, 744. Be 7 Tax because the subtract of the 5 from 8968) 6 Be 6 6, 000. 6 Be 7 Tax because the subtract of extension of time to file (Form 8968) 6 Be 6 6, 000. 6 Tax because the subtract of extension of the subtract line 5 and 8 through 6d 8 Enter any penalty for underpayment of estimated tax. Check here [X] if Form 2220 is attached 7 Total credits and payments. Add lines 6 attractive 4 through 6d 8 Enter any penalty for underpayment of estimated tax. Check here [X] if Form 2220 is attached 9 Tax better in the 10 to be Credited to 2017 settinated tax. Part 10 to 10 t		Date of ruling or determination letter: (attach copy of letter if necessary-see instructions)				
e. All other domestic foundations enter 2% of time 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b). 2 Tax under section 51 (domestic section 4947(a)(1) frusts and taxable foundations only, Others enter -0-) 3 Add lines 1 and 2 3 Subtine A (income) tax (domestic section 4947(a)(1) frusts and taxable foundations only, Others enter -0-) 5 Tax based on investment income. Subtract line 4 from line 3, it zero or less, enter -0- 5 Cordisfar-pyments: 2 2016 estimated tax psyments and 2015 overpayment credited to 2016 8 Each 6, 744. 8 Each 7 Subtraction 1 to 1	b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here and enter 1%	1	8	3,1	<u>57.</u>
2 Tax under section 511 (domestic section 4947(a)(1) frusts and taxable foundations only. Others enter -0-) 2 Add lines 1 and 2 3 Add lines 1 and 2 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 5 Tax based on investment income. Subtract line 4 from line 3.1 zero or less, enter -0- 5 Tax based on investment income. Subtract line 4 from line 3.1 zero or less, enter -0- 5 Tax based on investment income. Subtract line 4 from line 3.1 zero or less, enter -0- 5 Tax based on investment income. Subtract line 4 from line 3.1 zero or less, enter -0- 5 Tax based on investment and 2015 overpayment credited to 2016 6 Scentrate or group organizations -1 sex withheld at source 6 Scentrate or lax past with application for extension of time to the (Form 8868) 6 Scentrate or lax past with application for extension of time to the (Form 8868) 6 Scentrate or lax past with application for extension of time to the (Form 8868) 7 Tax due. If the total of lines 5 and 6 scentrated tax because of the total credits of sines 1 and 1 series or lax past year (and the oldering credits vibrated by 1 and 1		of Part I, line 27b				
3 Add lines 1 and 2 Subhtile A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 5 Tax based on investment income. Subtract line 4 from line 3. If 240 or it isso, enter -0- 6 Credita?Pymonts: 2 2016 estimated tax psyments and 2015 overpayment credited to 2016 b Exempt foreign organizations - tax withheld at source 1 Tax pad with application for extension of time to life (Form 8868) 6 6 6 6, 0.00. 6 7 Total credits and payments. Add lines 68 through 68 6 Total credits and payments. Add lines 68 through 68 7 Total credits and payments. Add lines 68 through 68 8 Total credits and payments. Add lines 68 through 69 1 Total credits and payments. Add lines 58 and 8, more than line 7, enter amount ower by the 10 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	C	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
Subtible A (income) bar (domestic section 447(a)(1) trusts and baselite foundations only. Others enter -0-) 1 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- 1 Circents/Payments. 2 2016 estimated tax payments and 2015 overpayment credited to 2016 2 Exempt foreign organizations - tax withheld at source 1 Ex part with application for extension of time to file (Form 8668) 2 Exempt foreign organizations - tax withheld at source 1 Ex part with application for extension of time to file (Form 8668) 3 Extension and payments. Add lines 8 at through 6d. 3 Tax due. If the total of lines 5 and 8 is more than hine 7, enter amount owed 3 Tax due. If the total of lines 5 and 8 is more than hine 7, enter amount owed 4 Tax due. If the total of lines 5 and 8 is more than hine 7, enter amount owed 5 Tax due. If the total of lines 5 and 8 is more than hine 7, enter amount owed 6 Tax due. If the total of lines 5 and 8 is more than hine 7, enter amount owed 7 Tax due. If the total of lines 5 and 8 is more than hine 7, enter amount owed 8 Tax due. If the total of lines 5 and 8 is more than hine 7, enter amount owed 9 Tax due. If the total of lines 5 and 8 is more than hine 7, enter amount owed 10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 11 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 12 Tax due. If the total of lines 5 and 8 is more than hine 7, enter amount overpaid 13 Tax due. If the total of lines 5 and 8, enter the amount overpaid 14 Total campaign? 15 Dut 15 Tax due. Tax due to the form 15 Tax due to the form 15 Tax due to the definition of the form 15 Tax due to the form 15 Tax due to the definition of the form 15 Tax due to the form 15 Tax due to the definition of the form 15 Tax due to the f	2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2			
5 Tax based on investment income. Subtract line 4 from fine 3. If zero or less, enter-0-6 Credits/Payments: 2 (10 estimated tax payments and 2015 overpayment credited to 2016 5 Exempt foreign organizations - fax withheld at source 1 Tax paid with applicant for extension of time to fide (Form 8689) 6 E d 6,000. 6 E d 7,000. 7 12,744. 8 Enter any penalty for underpayment of estimated tax. Check here [X] if Form 2220 is attached 8 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount ower 1 Towards. The filt filt or 3 more in than total of lines 5 and 8, enter the amount overpaid 1 Enter the amount of line 10 to be: Credited to 2017 estimated tax. State penalts for underpayment of estimated tax. The filt of similar than total of lines 5 and 8, enter the amount overpaid 1 Enter the amount of line 10 to be: Credited to 2017 estimated tax. Statements Regarding Activities 1 During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or interview in any political parapain? 2 Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition? 1 If the answer is "Yes" to 1a or 1b, attach a defailed discorption of the activities and copies of any materials published or distributed by the foundation in connection with the activities. 1 Did the foundation. ► \$ 0. (2) On foundation managers. ► \$ 0. 2 Enter the rembursement (if any) paid by the foundation of urong the year? (1) On the foundation. ► \$ 0. (2) On foundation managers. ► \$ 0. 2 Enter the rembursement (if any) paid by the foundation managers. ► \$ 0. 2 Enter the rembursement (if any) paid by the foundation of urong the year for political expenditure tax imposed on foundation managers. ► \$ 0. 2 Enter the rembursement (if any) paid by the foundation foundation from 1920-193 (and 1930 (and	3	Add lines 1 and 2	3		3,1	57.
6. Credits/Payments: 2 2016 estimated tax payments and 2015 overpayment credited to 2016 6 Exempt foreign organizations - fax withheld at source 6 Tax paid with application for extension of time to file (Form 8668) 6 C G, 000 c. 8 B C G, 000 c. 8 C G, 000 c. 8 C G, 000 c. 8 C G, 000 c. 9 Tax dise. If the training organizations of the training of the training organizations of the training organization of extension of time to file (Form 8668) 6 C G, 000 c. 8 C G, 000 c. 9 Tax dise. If the total of lines 5 and 8 is more than line 7, enter amount owed 10 Overpayment if file 7 is more than the total of lines 5 and 8, enter the amount overpaid 10 Overpayment if file 7 is more than the total of lines 5 and 8, enter the amount overpaid 11 Do 1 C Part VII-A Statements Regarding Activities 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervers in any political campain? 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervers in any political campain? 1a During the sax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervers in any political campain? 1a During the sax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervers in any political campain? 1b Did is spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? 1a X 1b X 1b X 1b 2 or 1b, attach a defaulted description of the activities of the participate or intervers in Yes to a rob, attach a defaulted description of the activities 1c Did the foundation file Form 112-POL (or this year? 1c Enter the reminusement (at any) pad by the foundation during the year for political expenditure tax	4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4			
a 2016 estimated tax payments and 2015 overpayment credited to 2016 b Exempt foreign organizations - tax withheld at source c Tax paid with application for extension of time to fall (Firm 8669) d Backup withholding erroneously withheld T Total credits and payments. All files as through 6d Enter any penalty for underpayment of estimated tax. Check here X if Form 2220 is attached T Total credits and payments. All files 5 and 8 is more than line 7, critic amount ower O toverpayment if life 7 is more than the total of lines 5 and 8, enter the amount overpaid T variet life 7 is more than the total of lines 5 and 8, enter the amount overpaid T variet life 7 is more than the total of lines 5 and 8, enter the amount overpaid T variet life 7 is more than 10 to 0 be. Credited to 2017 estimated tax Y T variet life 8 is more than 100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities D did the foundation in connection with the activities D did the foundation in connection with the activities D did the foundation in variety or political expenditures (section 4955) imposed during the year: D did the foundation in variety or political expenditures (section 4955) imposed during the year. D did the foundation in connection with the activities D did the foundation in political expenditures (section 4955) imposed during the year. D did the foundation made any clinical purposes (see instructions for fundation managers. ► S O. E Enter the rembursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► S O. Hashe the foundation made any clinical purpose of the changes A bash the foundation made any clinical purpose of the changes A bash the foundation made any clinical purpose of the changes A bash the foundation made any clini	5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5		3,1	<u>57.</u>
b Exempl foreign organizations - fax withhelid at source c 1 ax pand with application for extension of time to file (Form 8868) d Backup withholding erroneously withheld 7 Total credits and payments. Add lines 6 at through 6d 7 Total credits and payments. Add lines 6 at through 6d 8 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed 10 Overpayment If line 7 is more than line 10 of lines 5 and 8, enter the amount overpaid 11 Einter the amount of line 10 to be. Credited to 2017 estimated tax ▶ 12 J.744. 12 J.744. 13 Einter mine amount of line 10 to be. Credited to 2017 estimated tax ▶ 14 J. 587. 15 During the tax year, did the foundation arterior to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 15 During the tax year, did the foundation afterior to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 16 Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition?) 17 If the answer is "Yes" to 1a or 1b, attach a defailed description of the activities of bid the foundation (in Form 1120-POL to this year? 18 Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: 19 On the foundation. In \$\infty\$ \$\infty\$ \text{ or the cumulation of the activities} 10 \(\) Extent the emboursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. In \$\infty\$ \$\i	6	Credits/Payments:				
c Tax paid with application for extension of time to file (Form 8868) d Backup withholding erroneously withhold 7 Total credits and payments. Add lines 6a through 6d 8 Enter any penalty for underpayment of estimated tax. Check here [X] if form 2220 is attached 9 Tax due, if the total of lines 5 and 8 is more than line 7, enter amount owed 9 Overpayment if line 7 is more than the total of lines 5 and 8, enter the amount overpaid 10 Overpayment if line 7 is more than the total of lines 5 and 8, enter the amount overpaid 11 Enter the amount of line 10 to be. Tredited to 2017 estimated tax ▶ 12 Lorning the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" for 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation on connection with the activities and copies of any materials published or distributed by the foundation on connection with the activities b Did the spend more than \$100 during the year? It is the answer is "Yes" to 1b this year? It is a state to include the form 1120-POL for this year? It is a state to include the form 1120-POL for this year? It is a state to include the activities of the foundation managers. It is a state to a detailed description of the activities It is a state to include the foundation of the activities of the files, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes It is a state to include the include the surface year and the poverning instrument, or substantial contributions or su	a	2016 estimated tax payments and 2015 overpayment credited to 2016 6 , 744.				
d Backup withholding erroneously withheld 7 Total credits and payments. Add lines 6 at through 6d 8 Enter any penalty for underpayment of estimated tax. Check here ∑ If Form 2220 is attached 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed 10 Verpayment If line 7 is more than the total of lines 5 and 8, enter the amount ower 10 to be: Credited to 2017 estimated tax ▶ 10 4,587. 11 Enter the amount of line 10 to be: Credited to 2017 estimated tax ▶ 4,587 . Refunded ▶ 11 0. Part VII-A Statements Regarding Activities 1a During the tax year, did the doundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campagn? b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities 1b List the foundation file Form 1120-POL for this year? 4 Enter the amount (if any) paid by the foundation during the year for political expenditure is tax miposed on foundation managers. ▶ \$ 0. (2) On foundation managers. ▶ \$ 0. (2) On foundation managers. ▶ \$ 0. (2) Unifoundation managers. ▶ \$ 0. (3) Unifoundation managers. ▶ \$ 0. (4) Unifoundation managers.	b					
To total credits and payments. Add lines 6s through 6d Enter any penalty for underpayment of estimated tax. Check here X if form 2220 is attached Tax due, if the total of lines 5 and 8 is more than line 7, enter amount owed Tax due, if the total of lines 5 and 8 is more than line 7, enter amount owed To overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid Tenter the amount of line 10 to be: Credited to 2017 estimated tax ➤ 4, 587, Refunded ➤ 10 0. Part VII-A Statements Regarding Activities 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities If the toundation fle Form 1120-PDI. for this year? c Enter the rembursement (if any) paid by the foundation during the year (eith or indiation.) ➤ \$ 0. (2) On foundation managers. ➤ \$ 0. Has the foundation. ➤ \$ 0. (2) On foundation managers. ➤ \$ 0. Has the foundation made any changes, not previously reported to the IRS? If "Yes," attach a detailed description of the activities Has the foundation made any changes, not previously reported to the IRS; in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes B Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T. A rethe requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: By language in the poverning instrument? By a state legislation that effectively amends the governing instrument to that no mandatory directions that conflict with the state law remain in the governing instrument? By a state legislation that eff	C	Tax paid with application for extension of time to file (Form 8868) 6,000.				
8 Enter the amount of any of tax on political expenditures (section 4955) imposed during the year? 1 In the rembursement (I dary) paid by the foundation during the excitor the amount of the activities 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 1b Did if it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities 1c Did if the foundation (lef Form 1120-POL for this year? 1d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ S O. 2 (2) On foundation managers. ▶ \$ O. 2 If it remains an addition of the activities and detailed description of the activities 2 Last the foundation and any or tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ O. 2 (2) On foundation managers. ▶ \$ O. 2 If we'ves, "attach a detailed description of the activities 2 Last the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes, "attach a conformed copy of the changes 1a Did the foundation have at excitation, or substantial contraction during the year? 1b If yes, "attach the statement required by General Instruction 7. 2 Note the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: 2 By language in the governing instrument. 3 Last Enter the states to which the foundation furnished a copy of Form 990-PF to the A	d	Backup withholding erroneously withheld 6d				
8 Enter the amount of any of tax on political expenditures (section 4955) imposed during the year? 1 In the rembursement (I dary) paid by the foundation during the excitor the amount of the activities 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 1b Did if it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities 1c Did if the foundation (lef Form 1120-POL for this year? 1d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ S O. 2 (2) On foundation managers. ▶ \$ O. 2 If it remains an addition of the activities and detailed description of the activities 2 Last the foundation and any or tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ O. 2 (2) On foundation managers. ▶ \$ O. 2 If we'ves, "attach a detailed description of the activities 2 Last the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes, "attach a conformed copy of the changes 1a Did the foundation have at excitation, or substantial contraction during the year? 1b If yes, "attach the statement required by General Instruction 7. 2 Note the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: 2 By language in the governing instrument. 3 Last Enter the states to which the foundation furnished a copy of Form 990-PF to the A	7	Total credits and payments. Add lines 6a through 6d	7	12	2,7	44.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed 10 Overpayment if line 7 is more than the total of lines 5 and 8, enter the amount overpaid 11 Enter the amount of line 10 to be Credited to 2017 estimated tax ▶ 4, 587, Refunded ▶ 10 0. Part VII-A Statements Regarding Activities 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? 1b If the answer is "Yes" to 1a or 1b, attach a defauled description of the activities of distributed by the foundation in connection with the activities 0 bid the toundation file Form 1120-POL for this year? 1c Enter the amount (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0. (2) 0n toundation managers. ▶ \$ 0. (3) 0n toundation managers. ▶ \$ 0. (4) 0n toundation managers. ▶ \$ 0. (5) 0n toundation managers. ▶ \$ 0. (6) 0n toundation managers. ▶ \$ 0. (7) 0n toundation managers. ▶ \$ 0. (8) 0n toundation managers. ▶ \$ 0. (9) 0n toundation managers. ▶ \$ 0. (10) 0n the activities 1c	8	Enter any penalty for underpayment of estimated tax. Check here X if Form 2220 is attached	8			
11 Enter the amount of line 10 to be: Credited to 2017 estimated tax ▶ 4 , 5 87 . Refunded ▶ 11	9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9			
11 Enter the amount of line 10 to be: Credited to 2017 estimated tax ▶ 4 , 5 87 . Refunded ▶ 11	10	Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	4	1,5	87.
Part VII-A Statements Regarding Activities 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campagin? b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities c Did the foundation file Form 1120-POL for this year? d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ 0. (2) On foundation managers. ▶ \$ 0. e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0. l Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes 4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year? N/A 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction 7. 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing i	11	Enter the amount of line 10 to be: Credited to 2017 estimated tax	11			0.
any political campaign? b Did if speand more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? if the answer is "Yes" to 1e or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities c Did the foundation file Form 1120-PQL for this year? d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ► \$ 0 (2) On foundation managers. ► \$ 0 e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ 0 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? if "Yes," attach a detailed description of the activities 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a detailed business gross income of \$1,000 or more during the year? 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? If "Yes," attach the statement required by General Instruction T. 5 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the poverning instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV 7 X 8a Enter the states to which the foundation reports or with which it is registered (see instructions) ► NJ b) If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Ins	Pa	rt VII-A Statements Regarding Activities				
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities © Did the foundation in Form 1120-POL for this year? © Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year; (1) On the foundation. ► \$	1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene	n		Yes	No
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities © Did the foundation in Form 1120-POL for this year? © Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year; (1) On the foundation. ► \$		any political campaign?		1a		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities © Did the foundation in Form 1120-POL for this year? © Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year; (1) On the foundation. ► \$	b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)	?	1b		X
distributed by the foundation in connection with the activities c Did the foundation file Form 1120-PQL for this year? d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$						· · ·
c Did the foundation file Form 1120-POL for this year? d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ 0. (2) On foundation managers. ▶ \$ 0. e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0. 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes 4 Did the foundation have unrelated business gross income of \$1,000 or more during the year? If "Yes," has if filed a tax return on Form 990-T for this year? N/A Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T. Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: By language in the governing instrument, or By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument, or By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Altorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Altorney General (or designate) of each state as required b					1	
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ O. (2) On foundation managers. ▶ \$ O. e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ O. 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? 4b If "Yes," has it filed a tax return on Form 990-T for this year? 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T. 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument, or • By state legislation that effectively amends the governing the year? If "Yes," complete Part II, col. (c), and Part XV 7 X 8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NJ b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Altorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation 9 is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV 10 Did	C	•		10	Į	X
(1) On the foundation. (2) On foundation managers. (3) C. (2) On foundation managers. (4) C. (5) C. (6) Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. (6) C. (7) Has the foundation engaged in any activities that have not previously been reported to the IRS? (8) If "Yes," attach a detailed description of the activities (8) Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? (8) If "Yes," attach at exterior not form 990-T for this year? (9) If "Yes," has it filled a tax return on form 990-T for this year? (1) If "Yes," attach the statement required by General Instruction T. (1) A the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: (1) By language in the governing instrument, or (2) By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? (2) Did the foundation have at least \$5,000 in assets at any time during the year? (8) If "Yes," complete Part II, col. (c), and Part XV (9) If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Altorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation (9) Is the foundation claiming status as a private operating foundation within the meaning of section 4942(i)(3) or 4942(i)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV (9) If the any persons become substantial contributors during the tax year? ""Yes," attach a schedule listing their names and addresses (10) Did any persons become substantial contributors during the tax year? ""Yes," attach a schedule listing their names and addresses				J-13-1		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0.2 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? 4b If "Yes," has it filed a tax return on Form 990-T for this year? 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T. 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV 7 X 8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NJ b) If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation 9 is the foundation claiming status as a private operating foundation within the meaning of section 4942(i)(3) or 4942(i)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XV 9 X 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule lissing their names and addresses				1 1		
managers. \$ 0. 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? b if "Yes," has it filed a tax return on Form 990-T for this year? If "Yes," has the filed a tax return on Form 990-T for this year? N/A 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T. 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: By language in the governing instrument, or By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV 7 X 8a Enter the states to which the foundation reports or with which it is registered (see instructions) NJ b if the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation 9 is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV 9 X 10 Did any persons become substantial contributors during the tax year? ir "yes," attach a schedule listing their names and addressess	е					
2 X If "Yes," attach a detailed description of the activities 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? 4b If "Yes," has it filed a tax return on Form 990-T for this year? 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: 8 By language in the governing instrument, or 8 By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV 7 X 8a Enter the states to which the foundation reports or with which it is registered (see instructions) NJ b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation 9 is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV 9 X 10 Did any persons become substantial contributors during the tax year? if "ryes," attach a schedule listing their names and addresses 10						
If "Yes," attach a detailed description of the activities 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? 4a X 4b If "Yes," has it filed a tax return on Form 990-T for this year? 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? 1f "Yes," attach the statement required by General Instruction T. 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument; 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV 7 X 8a Enter the states to which the foundation reports or with which it is registered (see instructions) NJ b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation 9 is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV 9 X 10 Did any persons become substantial contributors during the tax year? it "Yes," attach a specially lesting their names and addresses 10	2			2		Х
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? 5 If "Yes," has it filed a tax return on Form 990-T for this year? 6 Was there a liquidation, termination, dissolution, or substantial contraction during the year? 7 If "Yes," attach the statement required by General Instruction T. 8 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: 9 By language in the governing instrument, or 9 By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV 7 X 8a Enter the states to which the foundation reports or with which it is registered (see instructions) NJ 9 If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation 9 is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV 9 X 10 Did any persons become substantial contributors during the tax year? If "Yes," attach explanation and addresses 10 X						
bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? b If "Yes," has it filed a tax return on Form 990-T for this year? Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T. Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: By language in the governing instrument, or By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV 7 X 8a Enter the states to which the foundation reports or with which it is registered (see instructions) NJ b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation 9 is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)' 9 "Yes," complete Part XIV 9 Did any persons become substantial contributors during the tax year? If "Yes," a complete Part XIV 10 Did any persons become substantial contributors during the tax year? If "Yes," a chedule listing their names and addresses	3			1 1		
4a				3		X
b If "Yes," has it filed a tax return on Form 990-T for this year? Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T. Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: By language in the governing instrument, or By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV The states to which the foundation reports or with which it is registered (see instructions) NJ If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV Did any persons become substantial contributors during the tax year? if "Yes," attach a schedule listing their names and addresses 10	4a					X
5			N/A			
If "Yes," attach the statement required by General Instruction T. 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: ■ By language in the governing instrument, or ■ By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV 7 X 8a Enter the states to which the foundation reports or with which it is registered (see instructions) NJ b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV 9 X 10 Did any persons become substantial contributors during the tax year? if "Yes," attach a schedule listing their names and addresses 10 X		·	,			X
Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: By language in the governing instrument, or By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV 8a Enter the states to which the foundation reports or with which it is registered (see instructions) NJ b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV 9 X 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X						
 By language in the governing instrument, or By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV 8a Enter the states to which the foundation reports or with which it is registered (see instructions) NJ b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV 9 X 10 X 	6					
 By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV 8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NJ b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV 9 X 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 	•					
remain in the governing instrument? 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV 7 X 8a Enter the states to which the foundation reports or with which it is registered (see instructions) NJ b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X			law	}		
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV 8a Enter the states to which the foundation reports or with which it is registered (see instructions) NJ b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X				6	x	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) NJ b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X						
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X	•	ore the foundation have at least 40,000 in assets at any time during the year in 105, Complete Fart II, Con. (c), and Fart XV				
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X	8a	Enter the states to which the foundation reports or with which it is registered (see instructions)				
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X	ŲΔ					
of each state as required by General Instruction G? If "No," attach explanation 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X	h					
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X	U			06	¥	1
year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X	۵		ıdar	00	<u> </u>	 /
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X			iudi			x '
				F		X A
Form 990-PF (2016)	10	בום מון אין אין אין אין אין אין אין אין אין אי			-PF	(2016)

	990-PF (2016) THE DAVID M.& BARBARA BALDWIN FDN., INC. 13-339	1384		Page 5
Pi	art VII-A Statements Regarding Activities (continued)		Yes	
44	At any time during the year, did the foundation directly or indirectly own a controlled entire within the manning of	İ	res	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of	1	1	v
13	section 512(b)(13)? If "Yes," attach schedule (see instructions) Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges?	11		_ <u>X</u> _
12	If "Yes," attach statement (see instructions)	12		x
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
	Website address ► N/A			
14	The books are in care of ► MCGRATH, DOYLE & PHAIR Telephone no. ►212-57	71-2	300	
•	Located at ► 150 BROADWAY, SUITE 1212, NEW YORK, NY ZIP+4 ►10			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in fleu of Form 1041 - Check here			
	and enter the amount of tax-exempt interest received or accrued during the year	N	/A	
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank,		Yes	No
	securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the			
_	foreign country	<u> </u>		L
Pa	art VII-B Statements Regarding Activities for Which Form 4720 May Be Required			
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
18	During the year did the foundation (either directly or indirectly).			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from)			
	a disqualified person?			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes X No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? X Yes No No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? Yes X No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No"			
	If the foundation agreed to make a grant to or to employ the official for a period after			
	termination of government service, if terminating within 90 days.)			
ŀ	of fany answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations	1		}
	section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		x
	Organizations relying on a current notice regarding disaster assistance check here			
C	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected			
	before the first day of the tax year beginning in 2016?	10		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation			
	defined in section 4942(j)(3) or 4942(j)(5)):			
8	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning	}		
	before 2016?			
	If "Yes," list the years ,,,,,			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect			1
	valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach			
	statement - see instructions.) N/A	2b		
	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.			ļ
2.	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time	·		
Ja	during the year?		 	
h	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after			
	May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose]	
	of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C,			
	Form 4720, to determine if the foundation had excess business holdings in 2016) N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that	<u> </u>		
	had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	<u></u>	<u>_x</u>
	Fo	rm 99)-PF	(2016)

623541 11-23-16

orm 990-PF (2016) THE DAVID M.& BARBARA BA			<u>13-33913</u>	84 Page 6
Part VII-B Statements Regarding Activities for Which	Form 4720 May Be I	Required (contin	ued)	
5a During the year did the foundation pay or incur any amount to:				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section	n 4945(e))?	Y6	s X No	
(2) Influence the outcome of any specific public election (see section 4955);				
any voter registration drive?	,, , , , , , , , , , , , , , , , ,	·	s X No	
(3) Provide a grant to an individual for travel, study, or other similar purposes	.2		s X No	
(4) Provide a grant to an organization other than a charitable, etc., organization			S LALINU	
• •	iii destrined iii section	[] v	. 👽	
4945(d)(4)(A)? (see instructions)			s X No]]
(5) Provide for any purpose other than religious, charitable, scientific, literary	, or educational purposes, or			
the prevention of cruelty to children or animals?			s X No	
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify un	·	ın Regulatıons		
section 53.4945 or in a current notice regarding disaster assistance (see instru	uctions)?		N/A	5b
Organizations relying on a current notice regarding disaster assistance check t	nere			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption t	rom the tax because it mainta	ined		
expenditure responsibility for the grant?	N	√ I/A	s No	
If "Yes," attach the statement required by Regulations section 53.494	5-5(d)			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to	pay premiums on		İ	
a personal benefit contract?		Ye	s X No	
b Did the foundation, during the year, pay premiums, directly or indirectly, on a	personal benefit contract?		· .	Sb X
If "Yes" to 6b, file Form 8870.	orionial bolloni contract		-	
7a At any time during the tax year, was the foundation a party to a prohibited tax	chalter transaction?	□ v _e	s X No	
b if "Yes," did the foundation receive any proceeds or have any net income attrib		16	· . —	7b
Part VIII Information About Officers, Directors, Trust		nagora Highly		<u>u</u>
Paid Employees, and Contractors	ees, roundation wa	mayers, mym	,	
List all officers, directors, trustees, foundation managers and their	compensation			
2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2		(c) Compensation	(d) Contributions to	(e) Expense
(a) Name and address	(b) Title, and average hours per week devoted	(If not paid,	(d) Contributions to employee benefit plans and deferred	account, other
	to position	enter -0-)	compensation	allowances
BARBARA BALDWIN	PRESIDENT			
150 BROADWAY, SUITE 1212		_	_	_
NEW YORK, NY 10038	1.00	0.	0.	0.
CHARLES J. GENGLER	V-PRESIDENT			
150 BROADWAY, SUITE 1212				
NEW YORK, NY 10038	4.00	24,000.	0.	0.
NICHOLAS JACANGELO	SEC./TREASURE	R		
150 BROADWAY, SUITE 1212				
NEW YORK, NY 10038	0.25	0.	0.	0.
			ĺ	
	-	į	į į	
Compensation of five highest-paid employees (other than those inc	luded on line 1). If none.	enter "NONE."		
	(b) Title, and average		(d) Contributions to employee benefit plans	(e) Expense
(a) Name and address of each employee paid more than \$50,000	hours per week devoted to position	(c) Compensation	and deferred compensation	account, other allowances
NONE	devoted to position	ļ	Compensation	штоти
NONE	-			
		 		
	-	1		
	 	 	<u> </u>	
	-			
		 		
	-			
	 	 		
	_			
		<u></u>	<u> </u>	
Total number of other employees paid over \$50,000				0
			Form	990-PF (2016)

Form 990-PF (2016) THE DAVID M.& BARBARA BALDWIN I Part VIII Information About Officers, Directors, Trustees, Foundaries Employees, and Contractors (continued)		3391384 Page 7
3 Five highest-paid independent contractors for professional services. If none, en	iter "NONE."	
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE	(3) 1990 01 00 101	
		
Total number of others receiving over \$50,000 for professional services		• 0
Part IX-A Summary of Direct Charitable Activities		
List the foundation's four largest direct charitable activities during the tax year. Include relevant standard of organizations and other beneficiaries served, conferences convened, research papers p		Expenses
1 N/A		
2		
3		
4		
Part IX-B Summary of Program-Related Investments		
Describe the two largest program-related investments made by the foundation during the tax year	on lines 1 and 2.	Amount
1N/A		
^		
2		
All other program-related investments. See instructions.		
3		
Table Addings 1 through 0		0.
Total. Add lines 1 through 3		Form 990-PF (2016)
		101111 000 " 1 (2010)

P	art.X Minimum Investment Return (All domestic foundations	must c	omplete this part.	Foreign four	ndations,	see instructions)
1	Fair market value of assets not used (or held for use) directly in carrying out charital	ble, etc.	Durdoses.			
a	Average monthly fair market value of securities	1a	8,058,726.			
b	Average of monthly cash balances	1b	86,420.			
С	Fair market value of all other assets				1c	
đ	Total (add lines 1a, b, and c)			ľ	1d	8,145,146.
е	Reduction claimed for blockage or other factors reported on lines 1a and					
	1c (attach detailed explanation)	1e		0.	İ	
2	Acquisition indebtedness applicable to line 1 assets				2	0.
3	Subtract line 2 from line 1d			Ì	3	8,145,146.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amoun	nt, see in	structions)	ļ	4	122,177.
5	Net value of noncharitable-use assets Subtract line 4 from line 3. Enter here and o	on Part V	, line 4		5	8,022,969.
6	Minimum investment return Enter 5% of line 5				6	401,148.
P	art XI Distributable Amount (see instructions) (Section 4942(j)(3)) private operating t	foundations an	d certain	· · · · · · · · · · · · · · · · · · ·
	foreign organizations check here and do not complete this par	t.)			т	
1	Minimum investment return from Part X, line 6				1	401,148.
2a	Tax on investment income for 2016 from Part VI, line 5	2a		8,157.		
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b			Ĭ	
C	Add lines 2a and 2b				2c	8,157.
3	Distributable amount before adjustments. Subtract line 2c from line 1				3	392,991.
4	Recoveries of amounts treated as qualifying distributions				4	0.
5	Add lines 3 and 4				5	392,991.
6	Deduction from distributable amount (see instructions)				6	0.
<u></u>	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Pa	rt XIII, lir	e 1		7	392,991.
P	art XII Qualifying Distributions (see instructions)					
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., pu	irposes:				
а		•		ĺ	1a	193,716.
b	Program-related investments - total from Part IX-B				1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charits	able, etc.	, purposes		2	
3	Amounts set aside for specific charitable projects that satisfy the:		•			
а	Suitability test (prior IRS approval required)				3a	
b	Cash distribution test (attach the required schedule)				3b	
4	· · · · · · · · · · · · · · · · · · ·					193,716.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net inv	vestment				
	income Enter 1% of Part I, line 27b			į	5	0.
6	Adjusted qualifying distributions Subtract line 5 from line 4				6	193,716.
	Note: The amount on line 6 will be used in Part V, column (b), in subsequent years	when ca	culating whether th	e foundation o	ualifies for	the section
	4940(e) reduction of tax in those years.					

Form **990-PF** (2016)

Part-XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI,				
line 7				392,991.
2 Undistributed income, if any, as of the end of 2016		-		
a Enter amount for 2015 only			0.	
b Total for prior years				
, ,	İ	0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011 725,051.				
b From 2012				
c From 2013				
d From 2014				
e From 2015				
f Total of lines 3a through e	725,051.			
4 Qualifying distributions for 2016 from			- ""	
Part XII, line 4: \triangleright \$ 193,716.				
a Applied to 2015, but not more than line 2a	_		0.	
b Applied to undistributed income of prior				
years (Election required - see instructions)		0.		
c Treated as distributions out of corpus		<u> </u>		
(Election required - see instructions)	0.			
d Applied to 2016 distributable amount				193,716.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2016	199,275.			199,275.
(If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
2 Corpus Add lines 3f, 4c, and 4e Subtract line 5	525,776.			
b Prior years' undistributed income. Subtract				
line 4b from line 2b		0.		
c Enter the amount of prior years'				
undistributed income for which a notice of				
deficiency has been issued, or on which the section 4942(a) tax has been previously				
assessed		0.		
d Subtract line 6c from line 6b. Taxable				
amount - see instructions		0.		
e Undistributed income for 2015. Subtract line				
4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract				
lines 4d and 5 from line 1. This amount must				
be distributed in 2017				0.
7 Amounts treated as distributions out of		·		
corpus to satisfy requirements imposed by				
section 170(b)(1)(F) or 4942(g)(3) (Election				
may be required - see instructions)	0.			
8 Excess distributions carryover from 2011	Ì			
not applied on line 5 or line 7	525,776.			
9 Excess distributions carryover to 2017				
Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:	,			
a Excess from 2012				
b Excess from 2013				
c Excess from 2014	\$			
d Excess from 2015				
e Excess from 2016				
				Farm 000 DF (2016)

Form 990-PF (2016) Part XIV Private Operating Fo	D M.& BARB	ARA BALDWI	N FDN., IN		391384 Page 1
				N/A	
1 a If the foundation has received a ruling or o					
foundation, and the ruling is effective for 2			▶	1040()(0) == []	10101151
b Check box to indicate whether the foundar		ig foundation described		4942(j)(3) or 4	1942(1)(5)
2 a Enter the lesser of the adjusted net	Tax year	(h) 2015	Prior 3 years	(4) 2012	(a) Total
income from Part I or the minimum	(a) 2016	(b) 2015	(c) 2014	(d) 2013	(e) Total
investment return from Part X for			1		
each year listed					
b 85% of line 2a			<u> </u>		<u> </u>
c Qualifying distributions from Part XII,		•		- 1 P	
line 4 for each year listed					
d Amounts included in line 2c not					
used directly for active conduct of	1		A COMP		
exempt activities	ļ				
e Qualifying distributions made directly					
for active conduct of exempt activities.	[Jacob .		
Subtract line 2d from line 2c	,				1
3 Complete 3a, b, or c for the			 		
alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets			<u> </u>		-
(2) Value of assets qualifying				•	
under section 4942(j)(3)(B)(i)	<i>f</i>	<u></u>			
b "Endowment" alternative test - enter 2/3 of minimum investment return					
shown in Part X, line 6 for each year					
listed					
c "Support" alternative test - enter:					
(1) Total support other than gross					}
investment income (interest,					1
dividends, rents, payments on securities loans (section	}				
512(a)(5)), or royalties	[
(2) Support from general public			†	+ -	
and 5 or more exempt					1
and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from	<u> </u>		 		
aprexempt organization					
			 		
Part XV Supplementary Inform	nation (Complet	to this part only	if the foundation	on had \$5 000 or m	oro in accete
at any time during the			ii tiie iouilaati) ii iiau \$5,000 oi ii	iore in assers
1 Information Regarding Foundation					
a List any managers of the foundation who l		han 20% of the total con	tributions received by	the foundation before the cl	nce of any tay
year (but only if they have contributed mo	re than \$5.000). (See se	ection 507(d)(2).)	u loudons received by	ine loundation before the or	USE OF ATTY TAX
NONE					
	- 400/		<u> </u>		
b List any managers of the foundation who other entity) of which the foundation has a	OWN 10% OF MORE OF INC	e stock of a corporation	(or an equally large po	irtion of the ownership of a	partnership or
	1 10 70 of greater interes				
NONE					
2 Information Regarding Contribution					
Check here ► X if the foundation only	y makes contributions t	o preselected charitable	organizations and doe	s not accept unsolicited rec	juests for funds. If
the foundation makes gifts, grants, etc. (se	ee instructions) to indivi	iduals or organizations	under other conditions	, complete items 2a, b, c, ar	<u>id d</u>
a The name, address, and telephone numbe	r or e-mail address of th	ne person to whom app	lications should be add	ressed:	
b The form in which applications should be	submitted and informat	ion and materials they s	thould include:		
The fermion approaches a final as a					
c Any submission deadlines:					
d Any restrictions or limitations on awards,	such as hy gaggraphics	l areas Charitable fields	kinds of institutions	or other factors	
2 - my room on or miniations on awards, :	suon as by geographilica	i arcas, charitable helus	, kaida di mantundia, (or other lactors.	

Form **990-PF** (2016)

Enter gross amounts unless otherwise indicated.	Unrelated business income			ed by section 512, 513, or 514	(e)
<u> </u>	(a) (b)		(C) Exclu-	(d)	Related or exempt
1 Program service revenue:	Business	Amount	sion	Amount	function income
a					
b			7		
С					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash					
investments			14	512. 158,747.	
4 Dividends and interest from securities			14	158,747.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal	1		1 1	Ì	
property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	301,424.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a		_			
b					
C					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)).	460,683.	0.
13 Total Add line 12, columns (b), (d), and (e)				13	460,683.
(See worksheet in line 13 instructions to verify calculations.)					

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Form **990-PF** (2016)

Form 99	90-PF (2	2016) THE D	AVID M.&	BARBAR	RA BALDWI	N FD	ON., INC.	13-3	339 <u>1</u> 384	Pad	ge 13
Part	XVII	Information Re	egarding Tran								
1 Dr	d the or	Exempt Organ ganization directly or indi		v of the follows	ng with any other o	roanizatii	On described in sec	non 501(c) of		Yes	No
		(other than section 501(c				-					
a Tr	ansfers	from the reporting found	ation to a noncharit	able exempt or	ganization of:	_					
(1) Cash								1a(1)		X
(2) Other	assets							1a(2)		X
b Ot	ther tran	sactions:									
(1) Sales	of assets to a noncharita	ble exempt organiza	ation					1b(1)		<u>X</u>
•	•	nases of assets from a no	=	organization					1b(2)		X
	•	al of facilities, equipment,							1b(3)		X
	•	bursement arrangements							1b(4)		X
•	-	s or loan guarantees							1b(5)		X
		rmance of services or me							1b(6)		X
		f facilities, equipment, ma				المالية المالية					X
or	service	wer to any of the above is s given by the reporting f i) the value of the goods,	oundation. If the fol	undation receiv	red less than fair ma					ets,	
(a) Line		(b) Amount involved	,		e exempt organizati	ion	(d) Descriptio	of transfers, transaction	ns, and sharing an	andemer	nts
``		··	 	N/A							
				··							
											
											
			L				l				
		ndation directly or indirec				pt organı	izations described				٦
		501(c) of the Code (other	•	c)(3)) or in sec	tion 527?				Yes	LX	No
p ir	Yes," co	omplete the following sch			(h) Tune of organ		· · · · · · · · · · · · · · · · · · ·	(a) Department of rel	ationahin		
		(a) Name of org	anization		(b) Type of organ	nzation		(c) Description of rel	ationship		
		N/A_									
							-				
	 -										
					 						
	Under	penalty of perjury, I declare	that I have examined th	nis return, includir	ng accompanying sche	dules and	statements, and to the	best of my knowledge			_
Sign	and be	lief, true, correct, and con	Bete Declaration of p	reparer (other tha	n taxpayer) is based or	all inform	nation of which prepare	r has any knowledge	May the IRS of return with the	prepar	er
Here		Merry	3 /		1112	lir	VICE-1	PRESIDENT	shown below X Yes	(see insi]]No
	Sign	ature of officer or trustee			Date		Title	REDIDENT			
	_1	Print/Type preparer's na		Preparer's,s			Date /	Check If	PTIN		
				1. //	7.H.	Ĭ,	/ /	self- employed			
Paid		NICHOLAS J	ACANGELO	Kecke	Kyacenon	/h	7/18/18		P01452	1 0 5	
Prep	arer	Firm's name ► MCG		YLE & P	HAIR		 _ •	Firm's EIN ► 13			

Form **990-PF** (2016)

(212) 571-2300

Use Only

Firm's address ► 150 BROADWAY, SUITE 1212 NEW YORK, NY 10038-4499

	FORM 990-PF INTERE	ST ON SAVIN	GS AND TEM	PORARY	CASH IN	IVESTMENTS	STATEMENT	1
### TOTAL TO PART I, LINE 3	SOURCE	REVE	REVENUE		VESTMENT	ADJUSTED		
FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT CAPITAL	FIDUCIARY TRUST CO			512.		512.		
CAPITAL (A) (B) (C)	TOTAL TO PART I, LI	NE 3		512.		512.		
SOURCE GROSS GAINS REVENUE NET INVEST- ADJUSTED MENT INCOME NET INCOME DIVIDENDS - CORP. STOCK 166,076. 7,329. 158,747. 158,747. TO PART I, LINE 4 166,076. 7,329. 158,747. 158,747. FORM 990-PF ACCOUNTING FEES STATEMENT (A) (B) (C) (D) (D) (D) (D) (D) (D) (D) (D) (D) (D	FORM 990-PF	DIVIDENDS	AND INTER	EST FRO	M SECUR	ITIES	STATEMENT	2
### STOCK	SOURCE		GAINS	RE	VENUE	NET INVEST	r- adjust:	
FORM 990-PF ACCOUNTING FEES STATEMENT (A) (B) (C) (D) EXPENSES NET INVEST- ADJUSTED CHARITAB PER BOOKS MENT INCOME NET INCOME PURPOSE ACCOUNTING FEE 6,000. 3,000. 3,000 TO FORM 990-PF, PG 1, LN 16B 6,000. 3,000. 3,000 FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT (A) (B) (C) (D) EXPENSES NET INVEST- ADJUSTED CHARITAB PER BOOKS MENT INCOME NET INCOME PURPOSE INVESTMENT FEES 35,071. 35,071.		166,076.	7,32	9. 1	.58,747.	158,74	7.	
(A)	TO PART I, LINE 4	166,076.	7,32	9. 158,747.		158,74	7.	
DESCRIPTION EXPENSES NET INVEST- ADJUSTED CHARITAB PER BOOKS MENT INCOME NET INCOME PURPOSE ACCOUNTING FEE 6,000. 3,000. 3,000 TO FORM 990-PF, PG 1, LN 16B 6,000. 3,000. 3,000 FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT (A) (B) (C) (D) EXPENSES NET INVEST- ADJUSTED CHARITAB PER BOOKS MENT INCOME NET INCOME PURPOSE INVESTMENT FEES 35,071. 35,071.	FORM 990-PF		ACCOUNTI	NG FEES			STATEMENT	3
TO FORM 990-PF, PG 1, LN 16B 6,000. 3,000. 3,000. 3,000 FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT (A) (B) (C) (D) EXPENSES NET INVEST- ADJUSTED CHARITAB PER BOOKS MENT INCOME NET INCOME PURPOSE INVESTMENT FEES 35,071. 35,071.	DESCRIPTION		EXPENSES	NET IN	VEST-	ADJUSTED	CHARITA	
FORM 990-PF OTHER PROFESSIONAL FEES (A) EXPENSES NET INVEST- ADJUSTED CHARITAB PER BOOKS MENT INCOME NET INCOME PURPOSE INVESTMENT FEES 35,071. 35,071.	ACCOUNTING FEE		6,000.		3,000.		3,0	00.
(A) (B) (C) (D) EXPENSES NET INVEST- ADJUSTED CHARITAB PER BOOKS MENT INCOME NET INCOME PURPOSE INVESTMENT FEES 35,071. 35,071.	TO FORM 990-PF, PG	1, LN 16B	6,000.		3,000.		3,0	00.
DESCRIPTION PER BOOKS MENT INCOME NET INCOME PURPOSE INVESTMENT FEES 35,071. 35,071.	FORM 990-PF	OT	HER PROFES	SIONAL	FEES		STATEMENT	4
	DESCRIPTION		EXPENSES	NET IN	VEST-	ADJUSTED	CHARITA	
TO FORM 990-PF, PG 1, LN 16C 35,071. 35,071.	INVESTMENT FEES		35,071.	3	5,071.			0.
·	TO FORM 990-PF, PG	1, LN 16C	35,071.	3	5,071.			0.

FORM 990-PF	TAX	ES		STATEMENT 5		
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVE MENT INC		(C) ADJUSTED NET INCOM	-	
FOREIGN TAXES WITHHELD EXCISE TAX	2,749. 4,000.	2,	749. 0.		0.	
TO FORM 990-PF, PG 1, LN 18	6,749.	2,	749.		0.	
FORM 990-PF	OTHER E	XPENSES			STATEMENT 6	
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVE MENT INC		(C) ADJUSTED NET INCOM		
D&O INSURANCE EXPONENT PHILANTHROPY BANK FEES NJ FILING FEES	750. 750. 113. 53.		0. 0. 0.		750. 750. 113. 53.	
TO FORM 990-PF, PG 1, LN 23	1,666.		0.		1,666.	
FORM 990-PF U.S. AND S	STATE/CITY G	OVERNMENT	OBLI	GATIONS	STATEMENT 7	
DESCRIPTION	U.S GOV''		вос	OK VALUE	FAIR MARKET VALUE	
STIP U.S. TREASURY	X			303,000.	303,000.	
TOTAL U.S. GOVERNMENT OBLIGAT	rions			303,000.	303,000.	
TOTAL STATE AND MUNICIPAL GOV	VERNMENT OBL	IGATIONS		· · · · · · · · · · · · · · · · · · ·		
TOTAL TO FORM 990-PF, PART I	I, LINE 10A	•		303,000.	303,000.	

FORM 990-PF CORPORATE STO	CK	STATEMENT 8
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE SCHEDULE ATTACHED 8,600 SHS. CELGENE CORP.	4,480,049.	7,220,041. 867,138.
TOTAL TO FORM 990-PF, PART II, LINE 10B	4,562,068.	8,087,179.

THE DAVID M AND BARBARA BALDWIN FOUNDATION SCHEDULE OF GRANTS FISCAL YEAR ENDING 12/31/17

<u>Name</u>	Address	Amount
Boys & Girls Clubs of IRC	P.O. Box 3068, Vero Beach, FL 32964	\$ 1,300.00
Christopher Reeve Paralysis Foundation	Springfield, NJ	2,000.00
Community Church of Vero Beach	1901 23rd Street, Vero Beach, FL 32960	20,000 00
Dasie Bridgewater Hope Center (Schwerin)	8445 64th Avenue, Eabasso, FL 32970	500 00
Greenwater Gardens	274 Old Short Hills Road, NJ 07078	250.00
Humane Society of Vero Beach	P.O. Box 644, Vero Beach, FL 32961	500.00
Indian River IMPACT (IRC Found)	P O. Box 643968, Vero Beach, FL 32964	1,000.00
Indian River County Volunteer Ambulance Squad	P.O Box 2240, Vero Beach, FL 32961-2240	50 00
Indian River Frat. Police #79	6001 North A1A, P.O. Box 8055, Indian River Shores	100.00
Indian River Hosp. Found	1000 37th Place, Vero Beach, FL 32960-9987	2,000.00
Indian River Land Trust	350 US Hway 1, Vero Beach, FL 32962	1,000 00
John's Island Community Service	P.O. Box 8133, Indian River Shores, FL 32963	800.00
John's Island Foundation	P.O. Box 8323, Indian River Shores, FL 32963	1,000.00
The Learning Alliance (Indian River Community)	P.O Box 643968, Vero Beach, FL 32964	500.00
McKee Botanical Garden	350 US Hway #1, Vero Beach, FL 32962	1,500.00
Mental Health Association	820 37th Place, Vero Beach, FL 32960	500 00
MSHVFAS	P O. Box 226, Millburn, NJ 07041	250.00
Overlook Hospital Foundation	36 Upper Overlook Road, Summit, NJ 07902	2,500.00
Paper Mill Playhouse (& Guild)	Brookside Drive, Mıllburn, NJ 07041	1,500.00
Rider University (Gengler)	2083 Lawrenceville Road, Lawrenceville, NJ 08648	250.00
Riverside Theater	P O. Box 3788, Vero Beach, FL 32964	117,425.00
Salvation Army	120 West 14th Street, New York, NY 10114-0225	100.00
Ship (Gengler)	P.O. Box 452, Raritan, NJ 08869	250.00
Skidmore College	Saratoga Springs, NY 12866-1632	5,175 00
Southhampton Hospital Foundation	240 Meeting House Lane, Southampton, NY 11968	2,000.00
St Mary's on Stony Hill (Gengler)	Mountain Blvd., Watchung, NJ	750.0 0
St. Peter's Boys H.S (Gengler)	Henderson Avenue, Staten Island, NY	625.0 0
Township Beautification League	P.O. Box 46, Millburn, NJ	125 0 0
United Way-Indian River	710 Manatee Cove, Vero Beach, FL 32963	500.0 O
University of Notre Dame (Gengler)	Notre Dame, IN 46556	250 0 0
Vero Beach Museum Art	3001 Riverside Park Dr , Vero Beach, FL 32963	11,350 0 0
VNA Hospice House	1110 35th Lane, Vero Beach, FL 32960	1,000.00
TOTAL GRANTS PAID OUT		\$ 177,050.0 0