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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016, or tax year beginning 12-01-2016 , and ending 11-30-2017

Name of foundation
ROBERT DAVID LION GARDINER
FOUNDATION INC

A Employer identification number
13-3354308

Number and street (or P O box number if mail is not delivered to street address) Room/suite
148 EAST MONTAUK HIGHWAY SUITE 1

B Telephone number (see instructions)
(631) 594-3990

City or town, state or province, country, and ZIP or foreign postal code
HAMPTON BAYS, NY 11946

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)*▶$ 95,111,039

J Accounting method ☑ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	330,111			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	204	204	204	
	4	Dividends and interest from securities	1,302,874	1,302,874	1,302,874	
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	4,827,942			
	b	Gross sales price for all assets on line 6a 86,471,483				
	7	Capital gain net income (from Part IV, line 2)		4,827,942		
	8	Net short-term capital gain			3,054,372	
	9	Income modifications			1,735	
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	1,735		1,735	
	12	**Total.** Add lines 1 through 11	6,462,866	6,131,020	4,360,920	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	330,596			180,596
	14	Other employee salaries and wages	99,798			99,798
	15	Pension plans, employee benefits	38,792			38,792
	16a	Legal fees (attach schedule)	6,469			6,469
	b	Accounting fees (attach schedule)	24,700	7,500		17,200
	c	Other professional fees (attach schedule)	776,912	775,387	775,387	1,525
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	83,563			1,500
	19	Depreciation (attach schedule) and depletion	300			
	20	Occupancy	31,146			31,146
	21	Travel, conferences, and meetings	46,828			46,828
	22	Printing and publications				
	23	Other expenses (attach schedule)	46,554			46,554
	24	**Total operating and administrative expenses.** Add lines 13 through 23	1,485,658	782,887	775,387	470,408
	25	Contributions, gifts, grants paid	4,843,798			4,843,798
	26	**Total expenses and disbursements.** Add lines 24 and 25	6,329,456	782,887	775,387	5,314,206
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	133,410			
	b	**Net investment income** (if negative, enter -0-)		5,348,133		
	c	**Adjusted net income**(if negative, enter -0-)			3,585,533	

Part II	Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets 1	Cash—non-interest-bearing	13		
2	Savings and temporary cash investments	55,943		
3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U S and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)	76,719,302	76,971,862	95,111,039
c	Investments—corporate bonds (attach schedule)			
11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)			
14	Land, buildings, and equipment basis ▶ 6,636 Less accumulated depreciation (attach schedule) ▶ 3,144	569	3,492	
15	Other assets (describe ▶)			
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	76,775,827	76,975,354	95,111,039
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)		66,117	
23	**Total liabilities**(add lines 17 through 22)		66,117	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☑ and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted	76,775,827	76,909,237	
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds			
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	**Total net assets or fund balances** (see instructions)	76,775,827	76,909,237	
31	**Total liabilities and net assets/fund balances** (see instructions)	76,775,827	76,975,354	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	76,775,827
2	Enter amount from Part I, line 27a	2	133,410
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	76,909,237
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	76,909,237

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) — If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7	2	4,827,942
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	3,054,372

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	4,378,553	80,896,286	0 054126
2014	4,525,095	86,869,743	0 052091
2013	1,766,472	86,757,468	0 020361
2012	922,434	30,053,336	0 030693
2011	539,383	1,954,486	0 275972

2	**Total** of line 1, column (d)	2	0 433243
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 086649
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	87,031,343
5	Multiply line 4 by line 3	5	7,541,179
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	53,481
7	Add lines 5 and 6	7	7,594,660
8	Enter qualifying distributions from Part XII, line 4	8	5,314,206

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	106,963
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2.	3	106,963
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	106,963
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016 — 6a: 89,550		
b	Exempt foreign organizations—tax withheld at source — 6b:		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 40,000		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments Add lines 6a through 6d.	7	129,550
8	Enter any **penalty** for underpayment of estimated tax Check here ☑ if Form 2220 is attached	8	73
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	22,514
11	Enter the amount of line 10 to be **Credited to 2017 estimated tax** ▶ 22,514 **Refunded** ▶	11	

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?.	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?.	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation* .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶WWW RDLGFOUNDATION ORG

14 The books are in care of ▶KATHY M CURRAN Telephone no ▶(631) 594-3990

Located at ▶148 EAST MONTAUK HWY SUITE 1 HAMPTON BAYS NY ZIP+4 ▶11946

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. Yes No

1a During the year did the foundation (either directly or indirectly)

(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☑ Yes ☐ No

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No

(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No

b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		No
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))

a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☑ No
If "Yes," list the years ▶ 20____, 20____, 20____, 20____

b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		

c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here
▶ 20____, 20____, 20____, 20____

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No

b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period?*(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016).*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☑ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☑ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☑ No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions)	☐ Yes ☑ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☑ No		
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?		**5b**	
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☑ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		**6b**	No
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☑ No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		**7b**	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
TERRY TIRADO 1 STONY HOLLOW DRIVE RIDGE, NY 11961	ADMINISTRATI 000 00	97,498	14,500	

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
UBS FINANCIAL SERVICES INC 3801 PGB BLVD SUITE 1000 PALM BEACH GARDENS, FL 33410	INVESTMENT MGR	775,387
Total number of others receiving over $50,000 for professional services. . . . . ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 THE FOUNDATION'S DIRECT CHARITABLE ACTIVITIES CONSIST OF PROVIDING FOR SCHOLARSHIPS AND DONATIONS TO EDUCATIONAL FACILITIES & OTHER CHARITABLE ORGANIZATIONS TO EDUCATE AND PRESERVE THE HISTORY AND HISTORICAL ARTIFACTS OF LONG ISLAND, NEW YORK THE FOUNDATION'S PURPOSE ALSO INCLUDES EDUCATION AND INFORMATION TO THE GENERAL PUBLIC IN THE STATE OF NEW YORK, PARTICULARLY IN THE AREA OF THE TOWN OF ISLIP AND MORE GENERALLY IN SUFFOLK COUNTY, CONCERNING THE CULTURE, ART AND TRADITION OF THE LOCALITY, TO CULTIVATE, FOSTER AND PROMOTE INTEREST IN, AND UNDERSTANDING AND APPRECIATION OF THE SOCIETAL HERITAGE OF THE TOWN OF ISLIP, PARTICULARLY DURING THE NINETEENTH CENTURY, TO ENCOURAGE AND SPONSOR THE CREATION AND PERPETUATION BY EXISTING AND FUTURE HISTORICAL SOCIETIES OF COLLECTIONS AND REPOSITORIES OF THE DEPOSIT, COLLECTION AND EXAMINATION OF DOCUMENTS AND ARTIFACTS OF VARIOUS KINDS OF RELEVANT TO SUCH HERITAGE AND TRADITIONS, AND TO SPONSOR AND ENCOURAGE THE PRESERVATION, RESTORATION AND EX	4,842,063
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a	Average monthly fair market value of securities.		1a	87,048,493
b	Average of monthly cash balances.		1b	1,308,200
c	Fair market value of all other assets (see instructions).		1c	0
d	**Total** (add lines 1a, b, and c).		1d	88,356,693
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e		
2	Acquisition indebtedness applicable to line 1 assets.		2	
3	Subtract line 2 from line 1d.		3	88,356,693
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).		4	1,325,350
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	87,031,343
6	**Minimum investment return.** Enter 5% of line 5.		6	4,351,567

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6.			1	4,351,567
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	106,963		
b	Income tax for 2016 (This does not include the tax from Part VI).	2b			
c	Add lines 2a and 2b.			2c	106,963
3	Distributable amount before adjustments Subtract line 2c from line 1.			3	4,244,604
4	Recoveries of amounts treated as qualifying distributions.			4	1,735
5	Add lines 3 and 4.			5	4,246,339
6	Deduction from distributable amount (see instructions).			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.			7	4,246,339

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	5,314,206
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	5,314,206
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	5,314,206

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				4,246,339
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			2,089,002	
b Total for prior years 20____ , 20____ , 20____				
3 Excess distributions carryover, if any, to 2016				
a From 2011.				
b From 2012.				
c From 2013.				
d From 2014.				
e From 2015.				
f **Total** of lines 3a through e.				
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ $ 5,314,206				
a Applied to 2015, but not more than line 2a			2,089,002	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2016 distributable amount.				3,225,204
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2016 *(If an amount appears in column (d), the same amount must be shown in column (a))*				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount —see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				1,021,135
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) . . .				
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2012. . . .				
b Excess from 2013. . . .				
c Excess from 2014. . . .				
d Excess from 2015. . . .				
e Excess from 2016. . . .				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

KATHRYN CURRAN
148 EAST MONTAUK HIGHWAY
HAMPTON BAYS, NY 11946
(631) 594-3990

b The form in which applications should be submitted and information and materials they should include

ALL APPLICANTS MUST COMPLETE THE MAIN APPLICATION FORM, PROPOSAL CHECKLIST AND PARTS A - E, PLUS ATTACHMENTS, AS WELL AS ANY ADDITIONAL FORMS THAT ARE APPLICABLE TO THE PROJECT THE APPLICATION FORMS CAN BE FOUND ON THE FOUNDATION'S WEBSITE

c Any submission deadlines

GRANTS ARE REVIEWED TWICE PER YEAR APPLICATIONS ARE DUE THE SECOND FRIDAY IN APRIL, BY 5P M AND TH

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

THE FOUNDATION GIVES GRANTS UP TO 500,000 AWARD AMOUNTS, AS WELL AS MATCHING LEVELS, VARY DEPENDING ON THE TYPE OF PROJECT THE DIFFERENT CATEGORIES AND FUNDING LIMITS CAN BE FOUND ON THE FOUNDATION'S WEBSITE

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				4,843,798
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	204	
4 Dividends and interest from securities. . . .			14	1,302,874	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			14	588,936	4,239,006
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a RECOVERY OF PRIOR YEAR GRANTS			25	1,735	
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				1,893,749	4,239,006

13 **Total.** Add line 12, columns (b), (d), and (e). 13 6,132,755

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	**1a(1)**		No
(2) Other assets.	**1a(2)**		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		No
(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		No
(3) Rental of facilities, equipment, or other assets.	**1b(3)**		No
(4) Reimbursement arrangements.	**1b(4)**		No
(5) Loans or loan guarantees.	**1b(5)**		No
(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2017-06-25	******	May the IRS discuss this return with the preparer shown below (see instr)? ☐ **Yes** ☐ **No**
Signature of officer or trustee	Date	Title	

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
JAMES DANOWSKI CPA		2018-08-01		P00036673
Firm's name ▶ CULLEN & DANOWSKI LLP				Firm's EIN ▶11-2459188
Firm's address ▶ 1650 ROUTE 112 PORT JEFFERSON STA, NY 117763060				Phone no (631) 473-3400

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
3M CO	P	2016-12-19	2017-09-27
BP CAPITAL TWINLINE ENERGY FD I	P	2017-01-20	2017-03-16
EATON VANCE BALANCED I	P	2016-06-27	2017-01-25
HARTFORD BALANCED INCOME FUND	P	2016-04-22	2017-01-25
MFS DIVERSIFIED INCOME FUND CLASS I	P	2016-12-01	2017-05-30
SPDR S&P REGAL BANKING ETF	P	2016-12-22	2017-04-10
ABBVIE, INC	P	2016-04-04	2016-12-12
BROADCOM LTD	P	2017-01-24	2017-06-15
EATON VANCE DIVIDEND BUILDER CL I	P	2016-07-29	2017-03-17
INVESCO COMSTOCK FUND Y	P	2016-12-01	2017-03-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,092,279		1,792,029	300,250
1,930,902		2,009,995	-79,093
1,500,000		1,454,165	45,835
1,000,000		976,014	23,986
2,093,991		2,124,838	-30,847
1,074,777		1,104,877	-30,100
597,319		591,088	6,231
720,872		595,158	125,714
1,600,000		1,577,117	22,883
2,810,996		2,939,128	-128,132

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			300,250
			-79,093
			45,835
			23,986
			-30,847
			-30,100
			6,231
			125,714
			22,883
			-128,132

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
MFS TOTAL RETURN BOND FUND I	P	2016-12-01	2017-03-31
TORTOISE MLP & PIPELINE FUND CLASS I	P	2016-12-01	2017-04-21
ADOBE SYSTEMS INC	P	2015-10-22	2017-06-15
CELGENE CORP	P	2017-03-09	2017-05-03
EATON VANCE DIVIDEND BUILDER CL I	P	2016-12-01	2017-04-21
INVESCO DIVRS DIV FD CL Y	P	2016-01-14	2017-02-15
MFS TOTAL RETURN BOND FUND I	P	2016-12-01	2017-03-31
WSTN DIGITAL CORP	P	2017-01-27	2017-09-15
ADOBE SYSTEMS INC	P	2015-12-30	2017-06-15
CENTENE CORP	P	2017-09-13	2017-10-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
89,565		91,884	-2,319
2,418,066		2,029,039	389,027
478,394		310,402	167,992
1,237,554		1,257,755	-20,201
1,578,368		1,571,876	6,492
1,172,700		1,004,998	167,702
3,007,594		3,019,764	-12,170
637,712		575,035	62,677
478,394		336,701	141,693
925,749		978,964	-53,215

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-2,319
			389,027
			167,992
			-20,201
			6,492
			167,702
			-12,170
			62,677
			141,693
			-53,215

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ENERGY SECTOR SPDR TRUST SHARES	P	2016-11-30	2017-03-01
INVESCO DIVRS DIV FD CL Y	P	2016-12-01	2017-02-15
MICRON TECHNOLOGY, INC	P	2017-03-20	2017-06-15
WSTN DIGITAL CORP	P	2017-01-24	2017-10-31
ADOBE SYSTEMS INC	P	2016-02-01	2017-06-15
CHARTER COMMUNICATIONS	P	2016-12-15	2017-10-24
EXXONMOBIL CORP	P	2016-04-20	2017-01-25
ISHARES CORE S&P SMALL CAP ETF	P	2016-12-20	2017-03-03
OLIN CORP	P	2017-03-29	2017-06-02
ALPS ETF TR SECTR DIV DOGS	P	2016-05-26	2017-04-04

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,414,774		1,498,450	-83,676
1,738,282		1,620,702	117,580
1,234,061		1,047,037	187,024
655,351		575,035	80,316
68,342		45,240	23,102
851,885		729,061	122,824
2,564,344		2,622,391	-58,047
701,293		706,333	-5,040
298,596		333,859	-35,263
430,009		397,687	32,322

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-83,676
			117,580
			187,024
			80,316
			23,102
			122,824
			-58,047
			-5,040
			-35,263
			32,322

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CHARTER COMMUNICATIONS	P	2016-11-25	2017-10-26
FINANCIAL SELECT SECTOR SPDR FUND	P	2016-12-27	2017-04-28
ISHARES S&P SMALL CAP 600 VALUE WTF	P	2016-11-30	2017-03-03
POPLAR FOREST PARTNERS FUND INSTL F	P	2016-03-14	2017-01-27
ALTRIA GROUP, INC	P	2016-03-28	2016-12-05
CHARTER COMMUNICATIONS	P	2016-12-16	2017-10-26
FINANCIAL SELECT SECTOR SPDR FUND	P	2016-12-01	2017-05-02
ISHARES S&P SMALL CAP 600 VALUE WTF	P	2016-12-21	2017-03-10
POPLAR FOREST PARTNERS FUND INSTL F	P	2016-03-14	2017-02-21
ALTRIA GROUP, INC	P	2016-04-20	2016-12-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,385,278		1,928,638	456,640
598,239		593,451	4,788
707,591		685,455	22,136
1,000,000		795,744	204,256
320,725		308,424	12,301
1,363,016		1,179,615	183,401
591,737		593,451	-1,714
691,779		716,051	-24,272
1,000,000		789,353	210,647
320,725		310,140	10,585

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			456,640
			4,788
			22,136
			204,256
			12,301
			183,401
			-1,714
			-24,272
			210,647
			10,585

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CHARTER COMMUNICATIONS	P	2016-12-19	2017-10-26
FREEPORT-MCMORAN, INC	P	2017-01-10	2017-02-24
ISHARES TRUST CORE S&P MID-CAP ETF	P	2016-12-05	2017-04-04
POPLAR FOREST PARTNERS FUND INSTL F	P	2016-12-01	2017-03-29
ALTRIA GROUP, INC	P	2015-10-23	2016-12-05
CHEVRON CORP	P	2017-04-20	2017-03-13
GOLDMAN SACHS GROUP	P	2016-11-16	2017-06-02
JAZZ PHARMACEUTICALS PLC	P	2017-03-27	2017-06-16
POPLAR FOREST PARTNERS FUND INSTL F	P	2016-03-14	2017-03-29
ALTRIA GROUP, INC	P	2016-04-20	2017-08-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
20,445		23,223	-2,778
845,857		947,717	-101,860
855,858		819,264	36,594
3,315,502		3,140,228	175,274
320,710		305,238	15,472
1,379,485		1,270,748	108,737
1,318,651		1,235,762	82,889
734,239		734,151	88
511,625		424,898	86,727
1,005,523		930,333	75,190

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-2,778
			-101,860
			36,594
			175,274
			15,472
			108,737
			82,889
			88
			86,727
			75,190

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CHEVRON CORP	P	2016-12-01	2017-03-17
GOLDMAN SACHS GROUP	P	2016-11-16	2017-07-13
KOPERNIK GLOBAL ALL-CAP FUND I	P	2016-12-01	2017-03-01
PUTNAM SHORT DURATION INCOME FUNC	P	2017-06-13	2017-11-22
ALTRIA GROUP, INC	P	2017-03-29	2017-08-02
COLUMBIA CONTRARIAN CORE FUND	P	2014-07-14	2017-11-16
GUGGENHEIM TOTAL RETURN BOND FUND F	P	2015-01-23	2017-01-09
KOPERNIK GLOBAL ALL-CAP FUND I	P	2016-12-01	2017-03-02
RAYTHEON CO	P	2015-10-23	2016-12-22
AMAZON	P	2015-10-22	2016-12-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,341,649		1,308,930	32,719
1,353,929		984,513	369,416
800,000		825,145	-25,145
1,000,000		1,004,000	-4,000
804,418		879,281	-74,863
750,000		581,452	168,548
500,000		514,420	-14,420
404,294		431,102	-26,808
428,837		356,471	72,366
753,887		620,667	133,220

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			32,719
			369,416
			-25,145
			-4,000
			-74,863
			168,548
			-14,420
			-26,808
			72,366
			133,220

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CSX CORPORATION DE	P	2017-06-01	2017-08-18
HALLIBURTON CO	P	2016-12-01	2017-03-02
LAM RESEARCH CORP	P	2017-03-15	2017-06-15
RAYTHEON CO	P	2015-10-28	2016-12-22
AT&T, INC	P	2016-06-30	2016-12-05
CSX CORPORATION DE	P	2017-06-06	2017-09-20
HALLIBURTON CO	P	2016-12-16	2017-03-23
LOCKHEED MARTIN CORP	P	2016-05-27	2017-04-28
RAYTHEON CO	P	2016-06-01	2016-12-22
AT&T, INC	P	2016-12-01	2017-01-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
368,147		411,410	-43,263
1,334,627		1,176,752	157,875
917,567		747,602	169,965
285,866		240,012	45,854
1,163,184		1,055,733	107,451
391,582		411,410	-19,828
749,323		816,159	-66,836
809,550		728,436	81,114
428,837		393,999	34,838
1,238,038		1,146,903	91,135

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-43,263
			157,875
			169,965
			45,854
			107,451
			-19,828
			-66,836
			81,114
			34,838
			91,135

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
DELAWARE VALUE FUND INSTITUTIONAL I	P	2016-12-01	2017-04-28
HALLIBURTON CO	P	2017-01-20	2017-03-28
LOCKHEED MARTIN CORP	P	2015-10-28	2017-04-28
RAYTHEON CO	P	2016-06-30	2016-12-27
BOEING COMPANY	P	2017-03-01	2017-06-15
DREYFUS PREMIER GLOBAL EQUITY I	P	2016-06-30	2016-12-20
HARTFORD BALANCED INCOME FUND	P	2016-04-22	2017-01-09
MFS DIVERSIFIED INCOME FUND CLASS I	P	2016-12-01	2017-05-30
SPDR S&P BIOTECH ETF	P	2016-11-15	2016-12-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,736,266		1,596,710	139,556
747,252		851,022	-103,770
539,700		438,512	101,188
2,854,243		2,846,284	7,959
948,045		921,481	26,564
2,060,300		2,116,999	-56,699
1,000,000		972,509	27,491
76,102		75,098	1,004
3,407,750		3,542,023	-134,273

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			139,556
			-103,770
			101,188
			7,959
			26,564
			-56,699
			27,491
			1,004
			-134,273

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JOSEPH ATTONITO 16 BAYVIEW TERRACE TEQUESTA, FL 33469	PRESIDENT/CH 5 00	30,000	0	0
ROBERT WATKINS 3025 VIA VIEJAS OESTE 3025 VIA VIEJAS ALPINE, CA 91901	VICE PRES /D 1 00	30,000	0	0
KATHRYN M CURRAN 44 DUNE DRIVE RIVERHEAD, NY 11901	EXECUTIVE DI 40 00	130,596	0	0
LYNNE C NOWICK 41 KING ARTHURS COURT ST JAMES, NY 11780	SECRETARY/DI 1 00	80,000	0	0
JENNIFER ATTONITO 3847 NW 5 TERRACE BOCA RATON, FL 33431	DIRECTOR 1 00	30,000	0	0
JUSTICE PETER FOX COHALAN 45 SUNSET DRIVE SAYVILLE, NY 11782	DIRECTOR 1 00	30,000	0	0

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
COLUMBIA UNIVERSITY 116TH ST BROADWAY NEW YORK, NY 10027	NONE	PC	PROFESSORSHIP	752,857
BROOKLYN HISTORICAL SOCIETY 128 PIERREPONT ST BROOKLYN, NY 11201	NONE	PC	CONSERVATION PROJECT FUNDING	447,613
FRIENDS OF RAYNHAM HALL 20 W MAIN ST OYSTER BAY, NY 11771	NONE	PC	RESTORATION PROJECT FUNDING	405,489
MOLLOY COLLEGE 1000 HEMPSTEAD AVE ROCKVILLE CENTRE, NY 11570	NONE	PC	EDUCATIONAL PROJECT FUNDING	394,442
PBS FOUNDATION 2100 CRYSTAL DRIVE THIRD FLOOR ARLINGTON, VA 22202	NONE	PC	AMERICAN EXPERIENCE PROJECT FUNDING	333,334
Total . . . . ▶ **3a**				4,843,798

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
LONG ISLAND UNIVERSITY 700 NORTHERN BLVD BROOKVILLE, NY 11548	NONE	PC	HISTORICAL PROJECT FUNDING	301,666
IONA COLLEGE 715 NORTH AVE NEW ROCHELLE, NY 10801	NONE	PC	EDUCATIONAL PROJECT FUNDING	250,000
NEW YORK HISTORICAL SOCIETY 170 CENTRAL PARK WEST NEW YORK, NY 10024	NONE	PC	SAVING WASHINGTON PROJECT	250,000
HUNTINGTON LIGHTHOUSE PRESERVATION PO BOX 2454 HALESITE, NY 11743	NONE	PC	RESTORATION PROJECT FUNDING	145,000
QUOGUE WILDLIFE REFUGE 3 OLD COUNTRY ROAD QUOGUE, NY 11959	NONE	PC	RESTORATION PROJECT FUNDING	142,778
Total . . . . ▶ 3a				4,843,798

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
OLD WESTBURY GARDENS INC 71 OLD WESTBURY ROAD OLD WESTBURY, NY 11568	NONE	PC	RESTORATION PROJECT FUNDING	131,545
MUSEUM OF DEMOCRACY 1173 2ND AVE 381 NEW YORK, NY 10065	NONE	PC	CONSERVATION PROJECT FUNDING	125,000
STONY BROOK FOUNDATION 230 ADMINISTRATION STONY BROOK UNIVERSITY STONY BROOK, NY 11794	NONE	PC	SCHOLARSHIPS AND FELLOWSHIP	120,000
PARRISH ART MUSEM 279 MONTAUK HWY WATER MILL, NY 11976	NONE	PC	DIGITAL DATABASE PROJECT FUNDING	100,000
NEW YORK UNIVERSITY 25 WEST FOURTH STREET SUITE 201A NEW YORK, NY 10012	NONE	PC	EDUCATIONAL PROJECT FUNDING	100,000
Total . . . . ▶ **3a**				4,843,798

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
FENIMORE ART MUSEUM 5798 NEW YORK 80 COOPERSTOWN, NY 13326	NONE	PC	PROJECT FUNDING	81,079
SOUTHOLD HISTORICAL SOCIETY 54325 NY-25 SOUTHOLD, NY 11971	NONE	PC	BUILDING IMPROVEMENT PROJECT FUNDING	74,745
CRADLE OF AVIATION MUSEUM CHARLES LINDBERGH BLVD GARDEN CITY, NY 11530	NONE		APOLLO 11 PROJECT FUNDING	50,000
GUILD HALL OF EAST HAMPTON INC 158 MAIN ST EAST HAMPTON, NY 11937	NONE	PC	CONSERVATION PROJECT FUNDING	50,000
MUSEUM OF THE CITY OF NEW YORK 5TH AVE 103RD ST NEW YORK, NY 10029	NONE	PC	CONSERVATION PROJECT FUNDING	50,000
Total . . . . ▶ **3a**				4,843,798

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
PRESERVATION LEAGUE OF NEW YORK STA 44 CENTRAL AVE ALBANY, NY 12206	NONE	PC	GRANTS AND OUTREACH PROGRAMS	50,000
THE NEW YORK LANDMARKS CONSERVANCY 1 WHITEHALL ST NEW YORK, NY 10004	NONE	PC	RESTORATION PROJECT FUNDING	50,000
FRANK MELVILLE MEMORIAL PARK 1 OLD FIELD RD SETAUKET, NY 11733	NONE	PF	RESTORATION PROJECT FUNDING	44,330
NORTHPORT HISTORICAL SOCIETY 215 MAIN ST NORTHPORT, NY 11768	NONE	PC	CONSULTANT	37,271
PLANTING FIELDS FOUNDATION 1395 PLANTING FIELDS OYSTER BAY, NY 11771	NONE	PC	GUIDE BOOK PROJECT FUNDING	32,695
Total . . . . ▶ **3a**				4,843,798

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
JAMESPORT MEETING HOUSE 1590 MAIN RD LAUREL, NY 11948	NONE	PC	RESTORATION & IMPROVEMENTS FUNDING	32,125
FRIENDS OF CONNETQUOT INC PO BOX 472 OAKDALE, NY 11769	NONE	PC	RESTORATION PROJECT FUNDING	30,629
ST JOSEPH'S COLLEGE 155 W ROE BLVD PATCHOGUE, NY 11772	NONE	PC	PRESIDENTIAL LECTURE SERIES	25,000
CEMETERY PRESBYTERIAN CHURCH OF SOU 53100 NY-25 SOUTHOLD, NY 11971	NONE	PC	PRESERVATION PROJECT FUNDING	25,000
CAROLINE CHURCH OF BROOKHAVEN 1 DYKE RD SETAUKET, NY 11733	NONE	PC	CONSERVATION PROJECT FUNDING	23,700
Total . . . . ▶ **3a**				4,843,798

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
FIRE ISLAND LIGHTHOUSE PRESERVATION 4640 CAPTREE ISLAND, NY 11702	NONE	PC	WELCOMING BEACON PROJECT FUNDING	22,105
SMITHTOWN HISTORICAL SOCIETY 239 E MAIN ST SMITHTOWN, NY 11787	NONE	PC	WEBSITE AND TECHNOLOGY EQUIPMENT	21,518
NASSAU COMMUNITY COLLEGE 1 EDUCATION DR GARDEN CITY, NY 11530	NONE	PC	EDUCATIONA PROJECT FUNDING	21,039
BETHEL AFRICAN METHODIST EPISCOPAL 291 PARK AVENUE HUNTINGTON, NY 11743	NONE	PC	RESTORATION PROJECT FUNDING	20,000
FRIENDS OF SCIENCE INC PO BOX 552 SHOREHAM, NY 11786	NONE	PC	HISTORIC ASSESSMENT FUNDING	17,500
Total . . . . ▶ **3a**				4,843,798

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CARMAN'S RIVER MARITIME CENTER INC 22 NEWEY LN BROOKHAVEN, NY 11719	NONE	PC	RESTORATION PROJECT FUNDING	14,000
CUTCHOGUE-NEW SUFFOLK HISTORICAL CO MAIN RD CUTCHOGUE, NY 11935	NONE	PC	CONSERVATION PROJECT FUNDING	13,870
WHALING MUSEUM SOCIETY INC 301 MAIN ST COLD SPRING HARBOR, NY 11724	NONE	PC	RESTORATION PROJECT FUNDING	11,900
WILLIAM & MARY 200 STADIUM DR SADLER CENTER WILLIAMSBURG, VA 23185	NONE	PC	SCHOLARSHIP	10,000
HISTORICAL SOCIETY OF GREATER PORT 115 PROSPECT ST PORT JEFFERSON, NY 11777	NONE		CONSERVATION STORAGE FUNDING	9,361
Total . . . . ▶ **3a**				4,843,798

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
MILLER PLACE MOUNT SINAI HISTORICAL 75 N COUNTRY ROAD MILLER PLACE, NY 11764	NONE		WEBSITE UPGRADE PROJECT FUNDING	4,750
SAG HARBOR PARTNERSHIP PO BOX 182 SAG HARBOR, NY 11963	NONE		HISTORICAL PROJECT FUNDING	4,600
EASTVILLE COMMUNITY HISTORICAL SOCI 139 HAMPTON ST SAG HARBOR, NY 11963	NONE	PC	NATIVE AMERICAN EXHIBITION FUNDING	3,476
HAMPTON BAYS LIONS CLUB 5E SQUIRES AVENUE EAST QUOGUE, NY 11942	NONE		FUNDRAISER CONTRIBUTION	3,000
THE LONGWOOD ALLIANCE INC PO BOX 24 MIDDLE ISLAND, NY 11953	NONE		HISTORY BOOKS PROJECT FUNDING	2,923
Total . . . . ▶ **3a**				4,843,798

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SUFFOLK COUNTY COMMUNITY COLLEGE 533 COLLEGE ROAD SELDEN, NY 11784	NONE	PC	SCHOLARSHIP	2,920
ALL MUSEUM SERVICES LLC 19 CIRCUIT ROAD BELLPORT, NY 11713	NONE		TRAINING	2,538
MASTIC PENINSULA HISTORICAL SOCIETY PO BOX 333 MASTIC, NY 11950	NONE		BOOKLET SERIES PROJECT FUNDING	2,000
Total . . . . ▶ **3a**				4,843,798

TY 2016 Accounting Fees Schedule

Name: ROBERT DAVID LION GARDINER FOUNDATION INC

EIN: 13-3354308

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INDIRECT ACCOUNTING FEES	24,700	7,500		17,200

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2016 Depreciation Schedule

Name: ROBERT DAVID LION GARDINER FOUNDATION INC

EIN: 13-3354308

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
TELEPHONE SYSTEM	2011-09-22	1,170	1,170	200DB	7 0000				
LENOVO COMPUTER	2013-12-17	1,284	991	200DB	5 0000	141			
COMPUTER	2014-06-11	959	683	200DB	5 0000	110			
2 APPLE IPADS	2017-10-07	2,559		200DB	5 0000	43			
DELL SERVER	2017-10-17	664		200DB	5 0000	6			

TY 2016 Investments Corporate Stock Schedule

Name: ROBERT DAVID LION GARDINER FOUNDATION INC

EIN: 13-3354308

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ABBVIE INC	1,895,844	3,207,482
ADOBE SYSTEMS INC		
ALIBABA GROUP HLDG LTD	2,251,333	3,577,016
ALPHABET INC	2,598,207	3,084,658
ALPS EFT TR SECTR DIV DOGS		
ALTRIA GROUP INC		
AMAZON.COM INC	3,437,862	4,989,420
AMERICAN CENTURY ALL CAP		
AMERICAN CENTURY EQUITY	1,095,252	1,253,027
AMERICAN CENTURY EMERGING MARKETS	2,000,000	2,003,252
APOLLO GLOBAL MGMT LLC	2,252,390	3,018,503
APPLE INC	1,990,553	2,405,900
APPLIED MATERIALS INC	3,113,153	4,116,060
BOEING COMPANY	1,283,658	1,937,600
BROADCOM LTD	2,469,998	3,335,280
AT&T INC		
CHARTER COMMUNICATIONS INC		
CHEVRON CORP		
CLEARBRIDGE AGGRESSIVE GROWTH		
COLUMBIA CONTRARIAN CORE FUND	1,922,427	2,347,600
COLUMBIA GLOBAL TECHNOLOGY GROWTH		
CTRIP.COM INTL LTD		
DELAWARE EMERGING MARKETS FUND	2,500,000	2,944,767
DELAWARE HEALTHCARE FUND		
DELAWARE INTERNATIONAL SMALL CAP FUN	450,000	486,770
DELAWARE SMID CAP GROWTH	1,500,000	1,807,252
DELAWARE SMALL CAP CORE	1,000,000	1,151,051
DELAWARE US GROWTH FUND		
DELAWARE VALUE FUND INSTITUTIONAL CL		
DOW CHEMICAL		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
DREYFUS PREMIER GLOBAL EQUITY INCOME		
EATON VANCE BALANCED I	2,134,690	2,341,935
EATON VANCE DIVIDEND BUILDER CL I		
EATON VANCE WORLD WIDE HEALTH SCIENC		
EXCON MOBIL CORP		
FACEBOOK INC	3,105,829	3,933,396
FRANKLIN BIOTECH		
GENERAL ELECTRIC CO		
GENL DYNAMICS CORP	1,986,536	2,485,920
GOLDMAN SACHS GROUP INC		
GUGGENHEIM TOTAL RETURN BOND FUND	1,782,966	1,786,099
HALLIBURTON CO		
HARTFORD BALANCED INCOME FUND CLASS	3,275,982	3,552,677
HOME DEPOT	3,288,080	4,360,635
INVESCO DIVRS DIV DD CL Y		
JANUS FORTY FUND		
JANUS GLOBAL LIFE SCIENCES		
LAM RESEARCH CORP	3,303,040	4,649,578
LOCKHEED MARTIN CORP		
LONGBOARD MANAGED FUTURES STRATEGY		
LORD ABBETT GROWTH LEADERS		
LOWES COMPANIES INC		
MFS CORPORATE BOND FUND	3,898,040	3,875,458
MFS DIVERSIFIED INCOME FUND		
MFS EQUITY OPPORTUNITY FUND	2,063,755	2,834,802
MFS GLOBAL EQUITY FUND		
MFS GROWTH	2,000,000	2,150,993
MFS INTERNATIONAL GROWTH FUND		
MFS MASSACHUSETTS INVESTOR		
MFS TOTAL RETURN BOND FUND		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
MFS VALUE FUND CLASS I		
MICRON TECHNOLOGY INC	4,151,036	5,949,437
NEUBERGER BERMAN MULTI-CAP OPP FUND		
NVIDIA CORP	2,186,588	2,207,810
O'REILLY AUTOMOTIVE INC		
PACIFIC FUNDS CORE INCOME FUND	1,000,000	997,199
PIONEER PIONEER FUNDAMENTAL GROWTH	1,126,871	1,311,091
POPLAR FOREST PARTNERS		
PROSHARES S&P 500 DIVID ARISTOCRATS	1,005,000	1,261,600
PUTNAM SHORT DURATION	3,524,495	3,527,978
RAYTHEON CO		
RS SMALL CAP GROWTH FUND		
SALESFORCE.COM		
SENTINEL INTERNALTIONAL EQUITY FUND		
SERVICENOW INC		
SPDR S&P BIOTECH ETF		
STANLEY BLACK & DECKER INC	1,320,233	1,696,300
STARBUCKS CORP		
STERLING CAPITAL SPECIAL OPPORTUNITI		
THE HARTFORD GROWTH OPPORTUNITIES		
TORTOISE MLP & PIPELINE		
TRANSAMERICA CAPITAL GROWTH	3,008,000	3,352,346
VANGUARD INTER TERM CORPORATED BOND	50,044	49,869
VICTORY TRIVALENT INTERNATIONAL	1,000,000	1,120,278
WELLS FARGO ADVANTAGE LG CP CORE		
WELLS FARGO INTERNATIONAL EQUITY		
WELLS FARGO PREMIER LARGE COMPANY GR		

TY 2016 Land, Etc. Schedule

Name: ROBERT DAVID LION GARDINER FOUNDATION INC

EIN: 13-3354308

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
EQUIPMENT	6,636	3,144	3,492	

TY 2016 Legal Fees Schedule

Name: ROBERT DAVID LION GARDINER FOUNDATION INC

EIN: 13-3354308

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INDIRECT LEGAL FEES	6,469			6,469

TY 2016 Other Expenses Schedule

Name: ROBERT DAVID LION GARDINER FOUNDATION INC

EIN: 13-3354308

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
INSURANCE	15,696			15,696
SUPPLIES	9,138			9,138
WEBSITE DESIGN	8,325			8,325
CLEANING & MAINTENANCE	5,596			5,596
OFFICE IMPROVEMENTS	3,862			3,862
UTILITIES	2,946			2,946
WORKERS COMP INSURANCE	991			991

TY 2016 Other Income Schedule

Name: ROBERT DAVID LION GARDINER FOUNDATION INC

EIN: 13-3354308

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
RECOVERY OF PRIOR YEAR GRANTS	1,735		1,735

TY 2016 Other Liabilities Schedule

Name: ROBERT DAVID LION GARDINER FOUNDATION INC

EIN: 13-3354308

Description	Beginning of Year - Book Value	End of Year - Book Value
CASH DEFICIT		66,117

TY 2016 Other Professional Fees Schedule

Name: ROBERT DAVID LION GARDINER FOUNDATION INC

EIN: 13-3354308

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OTHER CONTRACT SERVICES	1,525			1,525
INVESTMENT ADVISORY FEES	775,387	775,387	775,387	

TY 2016 Taxes Schedule

Name: ROBERT DAVID LION GARDINER FOUNDATION INC

EIN: 13-3354308

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
STATE FILING FEE	1,500			1,500
US FILING FEE	82,063			

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Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, 990-EZ, or 990-PF**
▶ **Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at** ***www irs gov/form990***

OMB No 1545-0047

2016

Name of the organization	**Employer identification number**
ROBERT DAVID LION GARDINER FOUNDATION INC	13-3354308

Organization type (check one)

Filers of: **Section:**

Form 990 or 990-EZ
- ☐ 501(c)( ) (enter number) organization
- ☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- ☐ 527 political organization

Form 990-PF
- ☑ 501(c)(3) exempt private foundation
- ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
- ☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year . . . . ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization	Employer identification number
ROBERT DAVID LION GARDINER FOUNDATION INC	13-3354308

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ROBERT D L GARDINER ESTATE 440 ROYAL PALM WAY 3RD FLOOR PALM BEACH, FL33480	$ 330,111	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization	Employer identification number
ROBERT DAVID LION GARDINER FOUNDATION INC	13-3354308

Part II Noncash Property

(see instructions) Use duplicate copies of Part II if additional space is needed

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization	Employer identification number
ROBERT DAVID LION GARDINER FOUNDATION INC	13-3354308

Part III *Exclusively* religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns **(a)** through **(e) and** the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **$1,000 or less** for the year. (Enter this information once. See instructions.) ▶ $ ____________

Use duplicate copies of Part III if additional space is needed

(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee