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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016, or tax year beginning 12-01-2016, and ending 11-30-2017

Name of foundation
THE STEINBERG FAMILY FUND INC

A Employer identification number
13-3254493

Number and street (or P.O. box number if mail is not delivered to street address)
A FOX CPA 420 LEXINGTON AVE NO 1733

Room/suite

B Telephone number (see instructions)
(212) 752-6400

City or town, state or province, country, and ZIP or foreign postal code
NEW YORK, NY 10170

C If exemption application is pending, check here

G Check all that apply

Initial return

Initial return of a former public charity

Final return

Amended return

Address change

Name change

D 1. Foreign organizations, check here
2. Foreign organizations meeting the 85% test, check here and attach computation

H Check type of organization

Section 501(c)(3) exempt private foundation

Section 4947(a)(1) nonexempt charitable trust

Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here

I Fair market value of all assets at end of year (from Part II, col (c), line 16)\$ 9,435,344

J Accounting method

Cash

Accrual

Other (specify)

(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)			
	2 Check if the foundation is not required to attach Sch. B			
	3 Interest on savings and temporary cash investments	713	713	
	4 Dividends and interest from securities	199,632	199,632	
	5a Gross rents			
	b Net rental income or (loss)			
	6a Net gain or (loss) from sale of assets not on line 10	-2,114		
	b Gross sales price for all assets on line 6a	656,471		
	7 Capital gain net income (from Part IV, line 2)		0	
	8 Net short-term capital gain			
	9 Income modifications			
	10a Gross sales less returns and allowances			
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)	70,221	70,105		
12 Total. Add lines 1 through 11	268,452	270,450		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0	0	0
	14 Other employee salaries and wages			
	15 Pension plans, employee benefits			
	16a Legal fees (attach schedule)			
	b Accounting fees (attach schedule)	3,500	1,750	1,750
	c Other professional fees (attach schedule)			
	17 Interest			
	18 Taxes (attach schedule) (see instructions)			
	19 Depreciation (attach schedule) and depletion			
	20 Occupancy			
	21 Travel, conferences, and meetings			
	22 Printing and publications			
	23 Other expenses (attach schedule)	275	0	275
	24 Total operating and administrative expenses. Add lines 13 through 23	3,775	1,750	2,025
	25 Contributions, gifts, grants paid	326,000		326,000
26 Total expenses and disbursements. Add lines 24 and 25	329,775	1,750	328,025	
	27 Subtract line 26 from line 12			
	a Excess of revenue over expenses and disbursements	-61,323		
	b Net investment income (if negative, enter -0-)		268,700	
c Adjusted net income (if negative, enter -0-)				

For Paperwork Reduction Act Notice, see instructions.

Cat No 11289X

Form 990-PF (2016)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing	518	518	518
	2	Savings and temporary cash investments	2,542,929	3,477,795	3,477,795
	3	Accounts receivable ▶ <u>630</u>			
		Less allowance for doubtful accounts ▶ _____	630	630	630
	4	Pledges receivable ▶ _____			
		Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____			
		Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	1,688,524	2,528,748	2,155,685
	c	Investments—corporate bonds (attach schedule)	1,756,539	1,002,660	1,062,475
	Liabilities	11	Investments—land, buildings, and equipment basis ▶ _____		
		Less accumulated depreciation (attach schedule) ▶ _____			
12		Investments—mortgage loans			
13		Investments—other (attach schedule)	4,269,402	3,186,868	2,738,241
14		Land, buildings, and equipment basis ▶ _____			
		Less accumulated depreciation (attach schedule) ▶ _____			
15		Other assets (describe ▶ _____)			
16		Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	10,258,542	10,197,219	9,435,344
17		Accounts payable and accrued expenses			
18		Grants payable.			
Net Assets or Fund Balances	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule).			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
		Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
25	Temporarily restricted				
26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.				
27	Capital stock, trust principal, or current funds	7,135,876	7,135,876		
28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0		
29	Retained earnings, accumulated income, endowment, or other funds	3,122,666	3,061,343		
30	Total net assets or fund balances (see instructions)	10,258,542	10,197,219		
31	Total liabilities and net assets/fund balances (see instructions) .	10,258,542	10,197,219		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	10,258,542
2	Enter amount from Part I, line 27a	2	-61,323
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	10,197,219
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	10,197,219

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	-2,114
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	235,590	9,328,481	0 025255
2014	295,775	9,542,650	0 030995
2013	695,799	10,169,250	0 068422
2012	572,269	10,579,315	0 054093
2011	459,608	10,589,194	0 043403
2 Total of line 1, column (d)			2 0 222168
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0 044434
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4 9,440,730
5 Multiply line 4 by line 3			5 419,489
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 2,687
7 Add lines 5 and 6			7 422,176
8 Enter qualifying distributions from Part XII, line 4			8 328,025

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	5,374
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	5,374
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	5,374
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	6,397
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	6,397
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ▶	10	1,023
11	Enter the amount of line 10 to be Credited to 2017 estimated tax ▶ 1,023 Refunded ▶	11	0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	Yes	
14	The books are in care of ARTHUR V FOX CPA PC Telephone no (212) 752-6400			

Located at **420 LEXINGTON AVENUE -1733 NEW YORK NY** ZIP+4 **10170**

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year 15			
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country ▶	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐ 1b			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? ☐ 1c			No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). ☐ 2b			
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016). ☐ Yes ☒ No 3b			
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a			No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016? 4b			No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐	5b		
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No <i>If "Yes" to 6b, file Form 8870</i>	6b		No
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
ROBERT STEINBERG 21 VISTA DRIVE GREENWICH, CT 068307128	PRESIDENT 0 00	0	0	0
SUZANNE STEINBERG 21 VISTA DRIVE GREENWICH, CT 068307128	V P & TREAS 0 00	0	0	0
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	Contributions to employee benefit plans and deferred compensation (d)	Expense account, (e) other allowances
NONE				
Total number of other employees paid over \$50,000. ▶				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ►		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ►	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	8,394,231
b	Average of monthly cash balances.	1b	127,041
c	Fair market value of all other assets (see instructions).	1c	1,063,225
d	Total (add lines 1a, b, and c).	1d	9,584,497
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	9,584,497
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	143,767
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	9,440,730
6	Minimum investment return. Enter 5% of line 5.	6	472,037

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	472,037
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	5,374
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	5,374
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	466,663
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	466,663
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	466,663

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	328,025
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	328,025
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	328,025

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				466,663
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			317,963	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2016				
a From 2011.				
b From 2012.				
c From 2013.				
d From 2014.				
e From 2015.				
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 328,025				
a Applied to 2015, but not more than line 2a			317,963	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				10,062
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				456,601
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2012.				
b Excess from 2013.				
c Excess from 2014.				
d Excess from 2015.				
e Excess from 2016.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test—enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.

c "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

ROBERT STEINBERG

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	326,000
b <i>Approved for future payment</i>				
Total			3b	0

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount		
1 Program service revenue						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments. . . .						
3 Interest on savings and temporary cash investments						713
4 Dividends and interest from securities. . . .						199,632
5 Net rental income or (loss) from real estate						
a Debt-financed property.						
b Not debt-financed property.						
6 Net rental income or (loss) from personal property						
7 Other investment income.						70,221
8 Gain or (loss) from sales of assets other than inventory						-2,114
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal Add columns (b), (d), and (e). .		0		0		268,452
13 Total. Add line 12, columns (b), (d), and (e).						268,452

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII

- | | Yes | No |
|-------|-----|----|
| 1a(1) | | No |
| 1a(2) | | No |
| 1b(1) | | No |
| 1b(2) | | No |
| 1b(3) | | No |
| 1b(4) | | No |
| 1b(5) | | No |
| 1b(6) | | No |
| 1c | | No |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Print/Type preparer's name ARTHUR V FOX	Preparer's Signature	Date	Check if self-employed ► ☐	PTIN P00560060
Firm's name ► ARTHUR V FOX CPAPC				Firm's EIN ► 13-3695368
Firm's address ► 420 LEXINGTON AVENUE NEW YORK, NY 10170				Phone no (212) 752-6400

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
CASH IN LIEU-GGODRICH PETE CORP	P	2011-05-24	2016-12-02
6638 SH QWEST CORP 7%	P	2012-06-14	2017-02-28
3362 SH QWEST CORP 7%	P	2012-06-14	2017-03-01
5000 SH SAFE BUILDERS INC 8%	P	2014-06-23	2017-04-13
2928 SH TEEKAY OFFSHORE PARTNERS 8.5%	P	2015-04-13	2017-04-12
4572 SH TEEKAY OFFSHORE PARTNERS 8.5%	P	2015-04-13	2017-04-13
1800 SH ZTO EXPRESS CAYMAN INC	P	2016-10-27	2017-05-22
1000 SH ROKU INC COM CLASS A	P	2017-09-28	2017-09-28
3000 SH QUDIAN INC ADR	P	2017-10-18	2017-10-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4			4
165,744		165,950	-206
83,816		84,050	-234
96,629		125,000	-28,371
65,996		63,434	2,562
102,567		99,051	3,516
25,715		35,100	-9,385
15,379		14,000	1,379
100,621		72,000	28,621

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			4
			-206
			-234
			-28,371
			2,562
			3,516
			-9,385
			1,379
			28,621

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
WEILL CORNELL 1300 YORK AVE NEW YORK, NY 10065	NONE		THE PURPOSE OF THESE CONTRIBUTIONS IS TO AID THE DONEE PUBLIC ORGANIZATIONS TO CARRY OUT THEIR EXEMPT CHARITABLE FUNCTIONS	25,000
MIDEAST FORUM 1500 WALNUT ST SUITE 1050 PHILADELPHIA, PA 19102	NONE		THE PURPOSE OF THESE CONTRIBUTIONS IS TO AID THE DONEE PUBLIC ORGANIZATIONS TO CARRY OUT THEIR EXEMPT CHARITABLE FUNCTIONS	5,000
INVESTIGATIVE PROJECT ON TERRORISM 5614 CONNECTICUT AVENUE NW NO 341 WASHINGTON, DC 20015	NONE		THE PURPOSE OF THESE CONTRIBUTIONS IS TO AID THE DONEE PUBLIC ORGANIZATIONS TO CARRY OUT THEIR EXEMPT CHARITABLE FUNCTIONS	5,000
GREENWICH HOSPITAL 5 PERRYRIDGE ROAD GREENWICH, CT 06830	NONE		THE PURPOSE OF THESE CONTRIBUTIONS IS TO AID THE DONEE PUBLIC ORGANIZATIONS TO CARRY OUT THEIR EXEMPT CHARITABLE FUNCTIONS	1,000
GREENWICH LIBRARY 101 WEST PUTNAM AVE GREENWICH, CT 06830	NONE		THE PURPOSE OF THESE CONTRIBUTIONS IS TO AID THE DONEE PUBLIC ORGANIZATIONS TO CARRY OUT THEIR EXEMPT CHARITABLE FUNCTIONS	1,000
Total 3a				326,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
YESHIVAT CHOVEVET TORAH 3700 HENRY HUDSON PKWY BRONX, NY 10463	NONE		THE PURPOSE OF THESE CONTRIBUTIONS IS TO AID THE DONEE PUBLIC ORGANIZATIONS TO CARRY OUT THEIR EXEMPT CHARITABLE FUNCTIONS	5,000
TANGLEWOOD 297 WEST STREET LENOX, MA 01240	NONE		THE PURPOSE OF THESE CONTRIBUTIONS IS TO AID THE DONEE PUBLIC ORGANIZATIONS TO CARRY OUT THEIR EXEMPT CHARITABLE FUNCTIONS	3,000
PUTNAM INDIAN FIELD SCHOOL 101 INDIAN FIELD ROAD GREENWICH, CT 06830	NONE		THE PURPOSE OF THESE CONTRIBUTIONS IS TO AID THE DONEE PUBLIC ORGANIZATIONS TO CARRY OUT THEIR EXEMPT CHARITABLE FUNCTIONS	4,000
CHABAD GREENWICH 75 MASON STREET GREENWICH, CT 06830	NONE		THE PURPOSE OF THESE CONTRIBUTIONS IS TO AID THE DONEE PUBLIC ORGANIZATIONS TO CARRY OUT THEIR EXEMPT CHARITABLE FUNCTIONS	25,000
ALLIANCE FOR EATING DISORDERS 1649 FORUM PLACE 2 WEST PALM BEACH, FL 33401	NONE		THE PURPOSE OF THESE CONTRIBUTIONS IS TO AID THE DONEE PUBLIC ORGANIZATIONS TO CARRY OUT THEIR EXEMPT CHARITABLE FUNCTIONS	1,000
Total 3a				326,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FIDO FIXERS PO BOX 4801 GREENWICH, CT 06831	NONE		THE PURPOSE OF THESE CONTRIBUTIONS IS TO AID THE DONEE PUBLIC ORGANIZATIONS TO CARRY OUT THEIR EXEMPT CHARITABLE FUNCTIONS	13,075
FIDO FIXERS PO BOX 4801 GREENWICH, CT 06831	NONE		THE PURPOSE OF THESE CONTRIBUTIONS IS TO AID THE DONEE PUBLIC ORGANIZATIONS TO CARRY OUT THEIR EXEMPT CHARITABLE FUNCTIONS	600
WILDLIFE CONSERVATION 2300 SOUTHERN BLVD BRONX, NY 10460	NONE		THE PURPOSE OF THESE CONTRIBUTIONS IS TO AID THE DONEE PUBLIC ORGANIZATIONS TO CARRY OUT THEIR EXEMPT CHARITABLE FUNCTIONS	525
PAN MASS JIMMY FUND 77 4TH AVE NEEDHAM, MA 02494	NONE		THE PURPOSE OF THESE CONTRIBUTIONS IS TO AID THE DONEE PUBLIC ORGANIZATIONS TO CARRY OUT THEIR EXEMPT CHARITABLE FUNCTIONS	7,800
YESHIVAT CHOVEVET TORAH 3700 HENRY HUDSON PKWY BRONX, NY 10463	NONE		THE PURPOSE OF THESE CONTRIBUTIONS IS TO AID THE DONEE PUBLIC ORGANIZATIONS TO CARRY OUT THEIR EXEMPT CHARITABLE FUNCTIONS	25,000
Total 3a				326,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CENTER FOR INITIATIVES 45 BROADWAY NEW YORK, NY 10006	NONE		THE PURPOSE OF THESE CONTRIBUTIONS IS TO AID THE DONEE PUBLIC ORGANIZATIONS TO CARRY OUT THEIR EXEMPT CHARITABLE FUNCTIONS	5,000
UNITED WAY 205 E 42ND ST NEW YORK, NY 10017	NONE		THE PURPOSE OF THESE CONTRIBUTIONS IS TO AID THE DONEE PUBLIC ORGANIZATIONS TO CARRY OUT THEIR EXEMPT CHARITABLE FUNCTIONS	1,500
MS SOCIETY 6520 NORTH ANDREWS AVE FORT LAUDERDALE, FL 33309	NONE		THE PURPOSE OF THESE CONTRIBUTIONS IS TO AID THE DONEE PUBLIC ORGANIZATIONS TO CARRY OUT THEIR EXEMPT CHARITABLE FUNCTIONS	500
JEWISH FEDERATION OF PB 4601 COMMUNITY DRIVE WEST PALM BEACH, FL 33417	NONE		THE PURPOSE OF THESE CONTRIBUTIONS IS TO AID THE DONEE PUBLIC ORGANIZATIONS TO CARRY OUT THEIR EXEMPT CHARITABLE FUNCTIONS	10,000
CLOSE-UP FOUNDATION 1330 BRADDOCK PL 400 ALEXANDRIA, VA 22314	NONE		THE PURPOSE OF THESE CONTRIBUTIONS IS TO AID THE DONEE PUBLIC ORGANIZATIONS TO CARRY OUT THEIR EXEMPT CHARITABLE FUNCTIONS	1,000
Total ► 3a				326,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
UNDERGROUND RAILROAD 700 N VALLEY ST STE B ANAHEIM, CA 92801	NONE		THE PURPOSE OF THESE CONTRIBUTIONS IS TO AID THE DONEE PUBLIC ORGANIZATIONS TO CARRY OUT THEIR EXEMPT CHARITABLE FUNCTIONS	5,000
OLAMI 111 JOHN ST STE 1720 NEW YORK, NY 10038	NONE		THE PURPOSE OF THESE CONTRIBUTIONS IS TO AID THE DONEE PUBLIC ORGANIZATIONS TO CARRY OUT THEIR EXEMPT CHARITABLE FUNCTIONS	5,000
AMERICAN FOREST 1220 L STREET NW SUITE 750 WASHINGTON, DC 20005	NONE		THE PURPOSE OF THESE CONTRIBUTIONS IS TO AID THE DONEE PUBLIC ORGANIZATIONS TO CARRY OUT THEIR EXEMPT CHARITABLE FUNCTIONS	25,000
AISH PO BOX 1259 LAKEWOOD, NJ 08701	NONE		THE PURPOSE OF THESE CONTRIBUTIONS IS TO AID THE DONEE PUBLIC ORGANIZATIONS TO CARRY OUT THEIR EXEMPT CHARITABLE FUNCTIONS	1,000
FIDO FIXERS PO BOX 4801 GREENWICH, CT 06831	NONE		THE PURPOSE OF THESE CONTRIBUTIONS IS TO AID THE DONEE PUBLIC ORGANIZATIONS TO CARRY OUT THEIR EXEMPT CHARITABLE FUNCTIONS	20,000
Total 3a				326,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FIDO FIXERS PO BOX 1259 GREENWICH, CT 06831	NONE		THE PURPOSE OF THESE CONTRIBUTIONS IS TO AID THE DONEE PUBLIC ORGANIZATIONS TO CARRY OUT THEIR EXEMPT CHARITABLE FUNCTIONS	130,000
Total ▶ 3a				326,000

TY 2016 Accounting Fees Schedule**Name:** THE STEINBERG FAMILY FUND INC**EIN:** 13-3254493

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	3,500	1,750		1,750

TY 2016 Investments Corporate Bonds Schedule**Name:** THE STEINBERG FAMILY FUND INC**EIN:** 13-3254493

Name of Bond	End of Year Book Value	End of Year Fair Market Value
MORGAN STANLEY SMITH BARNEY	1,002,660	1,062,475

TY 2016 Investments Corporate Stock Schedule**Name:** THE STEINBERG FAMILY FUND INC**EIN:** 13-3254493

Name of Stock	End of Year Book Value	End of Year Fair Market Value
MORGAN STANLEY SMITH BARNEY	2,528,748	2,155,685

TY 2016 Investments - Other Schedule**Name:** THE STEINBERG FAMILY FUND INC**EIN:** 13-3254493

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
MORGAN STANLEY SMITH BARNEY	FMV	719,928	331,925
MORGAN STANLEY SMITH BARNEY	FMV	2,160,816	2,160,816
MORGAN STANLEY-MIDOCEAN ABSOLUTE RETURN	FMV	0	0
MORGAN STANLEY SMITH BARNEY	FMV	306,124	245,500

TY 2016 Other Expenses Schedule**Name:** THE STEINBERG FAMILY FUND INC**EIN:** 13-3254493**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FILING FEE	275	0		275

TY 2016 Other Income Schedule**Name:** THE STEINBERG FAMILY FUND INC**EIN:** 13-3254493**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
UNREALIZED APPRECIATION-NID OCEAN ABSOLUTE RETURN FUND	116	0	116
GAIN ON MIDOCEAN ABSOLUTE FUND	70,105	70,105	70,105