

For calendar year 2016, or tax year beginning 11-01-2016, and ending 10-31-2017

Name of foundation GILES W & ELISE G MEAD FOUNDATION		A Employer identification number 95-6040921	
Number and street (or P O box number if mail is not delivered to street address) PO BOX 1728		Room/suite	B Telephone number (see instructions) (425) 452-1425
City or town, state or province, country, and ZIP or foreign postal code GIG HARBOR, WA 98335			
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☒ Address change ☐ Name change		D 1. Foreign organizations, check here 2. Foreign organizations meeting the 85% test, check here and attach computation	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 21,307,281	J Accounting method ☐ Cash ☐ Accrual ☒ Other (specify) <u>MODIFIED CASH</u> (Part I, column (d) must be on cash basis)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	851	851		
	4 Dividends and interest from securities	469,885	332,567		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	280,343			
	b Gross sales price for all assets on line 6a				
		4,407,453			
	7 Capital gain net income (from Part IV, line 2)		311,242		
	8 Net short-term capital gain				
	9 Income modifications				
Operating and Administrative Expenses	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	-88,426	-85,646		
	12 Total. Add lines 1 through 11	662,653	559,014		
	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits	2,550	383		2,243
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	23,771	11,886		11,885
	c Other professional fees (attach schedule)	40,286	40,286		0
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	10,516	0		0
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	3,935	0		3,935
	22 Printing and publications	44	0		44
	23 Other expenses (attach schedule)	45,614	23,171		45,614
	24 Total operating and administrative expenses. Add lines 13 through 23	126,716	75,726		63,721
	25 Contributions, gifts, grants paid	667,635			825,885
	26 Total expenses and disbursements. Add lines 24 and 25	794,351	75,726		889,606
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-131,698			
	b Net investment income (if negative, enter -0-)		483,288		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	111,423	667,105	667,105
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	13,004,275	15,849,654	15,849,654
	c Investments—corporate bonds (attach schedule)	4,160,000	4,649,358	4,649,358
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	2,624,205	141,164	141,164
	14 Land, buildings, and equipment basis ▶ _____ 830 Less accumulated depreciation (attach schedule) ▶ 830			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	19,899,903	21,307,281	21,307,281	
Liabilities	17 Accounts payable and accrued expenses	76		
	18 Grants payable	558,250	400,000	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)	558,326	400,000	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	16,547,958	16,547,958	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29 Retained earnings, accumulated income, endowment, or other funds	2,793,619	4,359,323	
30 Total net assets or fund balances (see instructions)	19,341,577	20,907,281		
31 Total liabilities and net assets/fund balances (see instructions) .	19,899,903	21,307,281		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	19,341,577
2 Enter amount from Part I, line 27a	2	-131,698
3 Other increases not included in line 2 (itemize) ▶ _____	3	1,856,047
4 Add lines 1, 2, and 3	4	21,065,926
5 Decreases not included in line 2 (itemize) ▶ _____	5	158,645
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	20,907,281

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a MARKETABLE SECURITIES	P	2016-11-01	2017-10-31
b CAPITAL GAIN DISTRIBUTIONS	P		
c PASS THROUGH FROM INVESTMENT K-1S	P		
d PACIFIC DIVERSIFIED STRATEGIES, LLC	P	2010-01-01	2017-07-13
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,234,775		2,277,109	-42,334
b			137,433
c			9,271
d 2,172,678		1,965,806	206,872
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			-42,334
b			137,433
c			9,271
d			206,872
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	311,242
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	958,127	19,558,762	0 048987
2014	1,178,482	21,875,219	0 053873
2013	1,148,814	22,407,239	0 051270
2012	1,089,570	21,579,469	0 050491
2011	881,749	19,878,646	0 044357

2 Total of line 1, column (d)	2	0 248978
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 049796
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	20,430,558
5 Multiply line 4 by line 3	5	1,017,360
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	4,833
7 Add lines 5 and 6	7	1,022,193
8 Enter qualifying distributions from Part XII, line 4	8	889,606

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	9,666
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	9,666
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	9,666
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	3,631
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	3,631
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	148
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9	6,183
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ▶	10	
11	Enter the amount of line 10 to be Credited to 2017 estimated tax ▶ Refunded ▶	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ 0 (2) On foundation managers ▶ \$ _____ 0		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____ 0		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CA _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address WWW.GILESWMEADFOUNDATION.ORG	13	Yes	
14	The books are in care of FRIHET GROUP Telephone no (206) 445-7211			

Located at **PO BOX 1728 GIG HARBOR WA**ZIP+4 **98335**

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year 15			
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country ▶	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐ Organizations relying on a current notice regarding disaster assistance check here. ☐	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? ☐	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). ☐	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016). ☐	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? 5b Organizations relying on a current notice regarding disaster assistance check here. ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? 6b <i>If "Yes" to 6b, file Form 8870</i>			No
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? 7b			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
See Additional Data Table				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
NONE				
Total number of other employees paid over \$50,000. ▶				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	18,706,637
b	Average of monthly cash balances.	1b	331,806
c	Fair market value of all other assets (see instructions).	1c	1,703,240
d	Total (add lines 1a, b, and c).	1d	20,741,683
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	20,741,683
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	311,125
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	20,430,558
6	Minimum investment return. Enter 5% of line 5.	6	1,021,528

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	1,021,528
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	9,666
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	9,666
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	1,011,862
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	1,011,862
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	1,011,862

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	889,606
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	889,606
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	889,606

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				1,011,862
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2016				
a From 2011.				
b From 2012.				39,029
c From 2013.				62,584
d From 2014.				112,483
e From 2015.				
f Total of lines 3a through e.	214,096			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 889,606				
a Applied to 2015, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				889,606
e Remaining amount distributed out of corpus				0
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	122,256			122,256
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	91,840			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	91,840			
10 Analysis of line 9				
a Excess from 2012.				
b Excess from 2013.				
c Excess from 2014.				91,840
d Excess from 2015.				
e Excess from 2016.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶					
b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)					
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

GILES W ELISE G MEAD FOUNDATION
PO BOX 2218
NAPA, CA 94558
(206) 452-1425

b The form in which applications should be submitted and information and materials they should include

THE FOUNDATION GENERALLY SUPPORTS ORGANIZATIONS THAT EMPHASIZE FORESTRY, FISHERIES AND THE SUSTAINABLE USE OF NATURAL RESOURCES IN WESTERN NORTH AMERICA. SUPPORT TO SCIENTIFIC/MEDICAL ORGANIZATIONS IS GENERALLY LIMITED TO PROPOSALS INITIATED BY BOARD MEMBERS. THE BOARD GENERALLY MEETS IN MAY AND OCTOBER. INQUIRIES SHOULD BE INITIATED 5 MONTHS PRIOR TO THE MEETINGS. A COMPLETE PROPOSAL, IF REQUESTED, INCLUDES THE FOLLOWING: 1. A DETAILED DESCRIPTION OF THE PROJECT (3-5 PAGES); 2. PROJECT BUDGET AND TIMEFRAME; 3. OTHER FUNDING SOURCES; 4. A COPY OF IRS QUALIFIED TAX EXEMPTION LETTER; 5. A LIST OF STAFF AND DIRECTORS ASSOCIATED WITH THE PROJECT; 6. CURRENT FINANCIAL STATEMENTS AND AN ANNUAL PROGRAM REPORT, IF AVAILABLE; 7. PROPOSALS MUST BE ON LETTERHEAD, DATED AND SIGNED BY A SENIOR OFFICER.

c Any submission deadlines

PROPOSALS WHICH HAVE BEEN INVITED FOR CONSIDERATION SHOULD BE RECEIVED BY APRIL 1 AND/OR SEPT 1

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

N/A

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	825,885
b <i>Approved for future payment</i>				
Total			3b	0

Enter gross amounts unless otherwise indicated

(See worksheet in line 13 instructions to verify calculations)

(See worksheet in line 13 instructions to verify calculations)

[illegible]

Part XVII

- | | Yes | No |
|-------|-----|----|
| 1a(1) | | No |
| 1a(2) | | No |
| 1b(1) | | No |
| 1b(2) | | No |
| 1b(3) | | No |
| 1b(4) | | No |
| 1b(5) | | No |
| 1b(6) | | No |
| 1c | | No |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

May the IRS discuss this return with the preparer shown below
(see instr.)? ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
JANE W MEAD	VICE PRESIDENT 1 00	0	0	0
PO BOX 2218 NAPA, CA 94558				
PARRY MEAD MURRAY	VICE PRESIDENT 1 00	0	0	0
PO BOX 2218 NAPA, CA 94558				
CALDER M MACKAY	CFO/TREASURER 1 00	0	0	0
PO BOX 2218 NAPA, CA 94558				
RICHARD N MACKAY	PRESIDENT 1 00	0	0	0
PO BOX 2218 NAPA, CA 94558				
GILES W MEAD	SECRETARY 1 00	0	0	0
PO BOX 2218 NAPA, CA 94558				
KATHERINE CONE KECK	DIRECTOR 1 00	0	0	0
PO BOX 2218 NAPA, CA 94558				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CONVALESCENT AID SOCIETY 3255 E FOOTHILL BLVD PASADENA, CA 911073110	N/A	PC 501(C) (3)	SUPPORT THE EFFORT OF IMPROVING THE QUALITY OF LIFE OF THE CLIENTS BY PROVIDING THE FREE LOAN OF DURABLE MEDICAL EQUIPMENT FOR IN-HOME USE	10,000
BRaille INSTITUTE OF AMERICA INC 741 N VERMONT AVE LOS ANGELES, CA 900293514	N/A	PC 501(C) (3)	SUPPORT THE CORE COMPONENTS OF THE ADULT EDUCATION PROGRAM	10,000
STONE BRIDGE SCHOOL 1680 LOS CARNEROS AVE NAPA, CA 94558	N/A	PC 501(C) (3)	PURCHASE A LARGE OUTDOOR, WALK-IN REFRIGERATOR FOR SCHOOL GARDEN TO LUNCH PROGRAM	10,000
CENTRAL ARIZONA DENTAL SOCIETY FOUNDATION 5300 N CENTRAL AVE 200 PHOENIX, AZ 85012	N/A	PC 501(C) (3)	SUPPORT THE ARIZONA DENTAL MISSION OF MERCY PROGRAM WHICH IS A 2 DAY EVENT THAT PROVIDES FREE DENTAL HEALTHCARE SERVICES TO THOSE WHO ARE NOT ABLE TO ACCESS AFFORDABLE DENTAL CARE	10,000
ARIZONA MUSEUM OF NATURAL HISTORY 53 NORTH MCDONALD MESA, AZ 85201	N/A	PC 501(C) (3)	SUPPORT THE VOLUNTEER AND EDUCATIONAL PROGRAMS AT THE MAIN MUSEUM AND AT THE MESA GRANDE	10,000
Total ▶ 3a				825,885

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CALIFORNIA NATIVE PLANT SOCIETY 2707 K STREET SUITE 1 SACRAMENTO, CA 958165113	N/A	PC 501(C) (3)	SUPPORT THE EFFORT TO INCREASE UNDERSTANDING OF CALIFORNIA'S NATIVE FLORA AND TO PRESERVE THE RICH RESOURCE FOR FUTURE GENERATIONS	7,000
RAND CORPORATION 1776 MAIN STREET SANTA MONICA, CA 90401	N/A	PC 501(C) (3)	TO EXTEND THE IMPACT OF RECENT RESEARCH ON ROBUST WATER PLANNING IN SOUTHERN CA AND THE COLORADO RIVER BASIN	20,000
OLE HEALTH 1100 TRANCAS STREET SUITE 300 NAPA, CA 94558	N/A	PC 501(C) (3)	SUPPORT A NEW SOUTH NAPA MEDICAL BUILDING SOUTH NAPA CAMPUS WILL INCLUDE SPACES DIRECTLY LINKED TO SERVING OUR PATIENTS WITH DIABETES	50,000
STATE UNIVERSITY OF IOWA FOUNDATION PO BOX 4550 IOWA CITY, IA 52244	N/A	PC 501(C) (3)	TO HONOR THE MEMORY OF JAMES ALAN MCPHERSON THROUGH THE SUPPORT OF THE JAMES ALAN MCPHERSON MEMORIAL SCHOLARSHIP	50,000
NAPA WILDLIFE RESCUE PO BOX 2571 NAPA, CA 94558	N/A	PC 501(C) (3)	NEW REHABILITATIONS HABITATS, REPLACEMENT OF HABITATS AND FIXING OF HABITATS AND FUNDING OF THE OPERATING BUDGET TO SUPPORT FOOD, MEDICINE, AND STAFF COSTS	25,000
Total ► 3a				825,885

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
TRANSITIONS - MENTAL HEALTH ASSOCIATION 784 HIGH STREET SAN LUIS OBISPO, CA 93401	N/A	PC 501(C) (3)	SUPPORT THE GROWING GROUNDS FARM WITH THE PURCHASE OF AN AUTOMATIC FILLER WITH A ONE YARD MIZER AND BALE BREAKER	23,635
POINT REYES BIRD OBSERVATORY 3820 CYPRESS DRIVE 11 PETALUMA, CA 94954	N/A	PC 501(C) (3)	THE PROJECT WOULD HELP TO CONSERVE THE INTEGRITY OF THE MARINE ECOSYSTEM TO HELP ENSURE HEALTHY, DIVERSE POPULATIONS OF MARINE TOP PREDATORS AND SUSTAINABLE USES FOR HUMANS	50,000
INSTITUTE CONSERVATION ADVOCACY R & EDUC 2495 ATLAS PEAK ROAD NAPA, CA 94558	N/A	PC 501(C) (3)	EDUCATE THE PUBLIC AND RESOURCE AGENCIES ABOUT HEALTHY STREAM FLOWS AND GROUNDWATER TO SUSTAIN NATURE AND PEOPLE AND TO PROMOTE THE PUBLIC RIGHT FOR ALL BENEFICIAL USES INCLUDING THE RIGHT TO FISH, SWIM, RECREATE IN STREAMS AND RIVERS STATEWIDE	25,000
EARTHWATCH INSTITUTE 114 WESTERN AVENUE BOSTON, MA 02134	N/A	PC 501(C) (3)	SUPPORT OF CRITICAL RESEARCH ADDRESSING MARINE HEALTH OFF OF SOUTHERN CALIFORNIA'S COASTLINE	50,000
INSTOVE PO BOX 368 COTTAGE GROVE, OR 97424	N/A	PC 501(C) (3)	DESIGN A LOW-COST WASTE PLASTIC-TO-FUEL OIL RETORT FOR USE WITH THE ROCKET STOVES CURRENTLY PRODUCED AND DISTRIBUTED BY INSTOVE	10,000
Total ► 3a				825,885

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
LOS ANGELES PHILHARMONIC ASSOCIATION 151 S GRAND AVENUE LOS ANGELES, CA 90012	N/A	PC 501(C) (3)	TO EXPAND ITS COLLECTION TO INCLUDE THE COMPLETE WORKS NUMEROUS COMPOSERS, WHICH IN THE LONG RUN WILL REDUCE THE MUSIC RENTAL AND LICENSING COSTS	20,000
ROLLING HILLS PREPARATORY SCHOOL ONE ROLLING HILLS PREP WAY SAN PEDRO, CA 90732	N/A	PC 501(C) (3)	CREATE CONDITIONS FAVORABLE TO THE PALOS VERDES BLUE BUTTERFLY AND EDUCATE SCHOOL AND LOCAL COMMUNITIES IN IMPORTANCE OF HABITAT PRESERVATION	41,000
GUIDE DOGS FOR THE BLIND PO BOX 151200 SAN RAFAEL, CA 949151200	N/A	PC 501(C) (3)	NEW ULTRASOUND MACHINE AND EQUIPMENT FOR NEW PUPPIES	36,000
BOWERS MUSEUM 2002 NORTH MAIN STREET SANTA ANA, CA 92706	N/A	PC 501(C) (3)	PROVIDE BUS TRANSPORTATION FOR ROUGHLY 40 CLASSES WHICH EQUATES TO POSITIVELY AFFECTING ABOUT 1,300 LOW- INCOME STUDENTS	10,000
OCEAN INSTITUTE 24200 DANA POINT HARBOR DRIVE DANA POINT, CA 92629	N/A	PC 501(C) (3)	SUPPORT THE ADOPT-A-CLASS PROGRAM AT THE OCEAN INSTITUTE	25,000
Total ► 3a				825,885

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FRED HUTCHINSON CANCER RESEARCH 1100 FAIRVIEW AVENUE N PO BOX 19024 SEATTLE, WA 981091024	N/A	PC 501(C) (3)	SUPPORT FOR RESEARCH TO AID IN EARLY DETECTION, DIAGNOSIS AND TREATMENT OF PANCREAS CANCER	200,000
HUNTINGTON LIBRARY 1151 OXFORD ROAD SAN MARINO, CA 91108	N/A	PC 501(C) (3)	FUND TWO FELLOWS NEIL FOLEY AND POLLYANNA RHEE	33,250
INTREPID FALLEN HEROS FUND W 46TH STREET 12TH AVENUE NEW YORK, NY 10036	N/A	PC 501(C) (3)	HELP BUILD AND EQUIP THE CENTER, GIVING THE MILITARY AND THEIR FAMILIES BACK THE LIFE THEY WANT AND DESERVE	50,000
AMERICAN UNIVERSITY 4400 MASSACHUSETTS AVE NW WASHINGTON, DC 200168017	N/A	PC 501(C) (3)	RESEARCH AND REPORTING ON PROJECT REGARDING THE ENVIRONMENT, BANKING, GOVERNMENT TRANSPARENCY, IMMIGRATION, CRIMINAL JUSTICE AND OTHER ISSUES	35,000
PEPPERDINE UNIVERSITY 24255 PACIFIC COAST HIGHWAY MALIBU, CA 902634151	N/A	PC 501(C) (3)	SUPPORTING DR JOE FRITSCH'S BIODEGRADABLE PLASTICS RESEARCH	15,000
Total ► 3a				825,885

TY 2016 Accounting Fees Schedule**Name:** GILES W & ELISE G MEAD FOUNDATION**EIN:** 95-6040921

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	23,771	11,886		11,885

TY 2016 Investments Corporate Bonds Schedule**Name:** GILES W & ELISE G MEAD FOUNDATION**EIN:** 95-6040921

Name of Bond	End of Year Book Value	End of Year Fair Market Value
LEGG MASON BW GLOBAL	675,961	675,961
PIMCO TOTAL RETURN FUND	2,688,259	2,688,259
TEMPLETON GLOBAL BOND	783,047	783,047
METROPOLITAN WEST	502,091	502,091

TY 2016 Investments Corporate Stock Schedule**Name:** GILES W & ELISE G MEAD FOUNDATION**EIN:** 95-6040921

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ABERDEEN EMERGING	968,009	968,009
AMG TIMES SQUARE MID CAP	975,549	975,549
ARTISAN INTL FUND INV	1,187,267	1,187,267
CAUSEWAY INTERNATIONAL	1,385,002	1,385,002
DODGE & COX STOCK FUND	1,885,960	1,885,960
LAZARD EMRG MKTS EQTY	1,027,414	1,027,414
PIMCO COMMODITY REAL	344,287	344,287
VAUGHAN NELSON VALUE	883,289	883,289
WESTWOOD INCOME OPPTY	884,893	884,893
VAN ECK GLOBAL HARD	558,104	558,104
VANGUARD 500 INDEX FD	3,830,698	3,830,698
WELLS FARGO ABSOLUTE	902,403	902,403
BMO PYRFORD INTL	506,752	506,752
PRINCIPAL DIVERSIFIED	510,027	510,027

TY 2016 Investments - Other Schedule**Name:** GILES W & ELISE G MEAD FOUNDATION**EIN:** 95-6040921

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
BHC INTERIM FUNDING II, L.P.	FMV	21,423	21,423
BHC INTERIM FUNDING III, L.P.	FMV	68,609	68,609
L-R GLOBAL LIQUIDATING FUND	FMV	50,311	50,311
OIL LEASES	FMV	821	821

TY 2016 Other Decreases Schedule

Name: GILES W & ELISE G MEAD FOUNDATION

EIN: 95-6040921

Description	Amount
PRIOR PERIOD ADJUSTMENTS	158,645

TY 2016 Other Expenses Schedule**Name:** GILES W & ELISE G MEAD FOUNDATION**EIN:** 95-6040921**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
GENERAL ADMINISTRATIVE EXPENSE	15,150	0		15,150
GRANT ADMINISTRATION	28,864	0		28,864
DEDUCTIONS FROM K-1'S	0	23,171		0
INSURANCE	1,575	0		1,575
STATE REGISTRATION FEE	25	0		25

TY 2016 Other Income Schedule**Name:** GILES W & ELISE G MEAD FOUNDATION**EIN:** 95-6040921**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
INVESTMENT PARTNERSHIP INCOME	-88,485	-85,646	-88,485
MISCELLANEOUS INCOME	59		59

TY 2016 Other Increases Schedule

Name: GILES W & ELISE G MEAD FOUNDATION
EIN: 95-6040921

Description	Amount
UNREALIZED GAINS	1,856,047

TY 2016 Other Professional Fees Schedule**Name:** GILES W & ELISE G MEAD FOUNDATION**EIN:** 95-6040921

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT FEES	40,286	40,286		0

TY 2016 Taxes Schedule**Name:** GILES W & ELISE G MEAD FOUNDATION**EIN:** 95-6040921

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL TAXES PAID	10,516	0		0