

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

► Do not enter social security numbers on this form as it may be made public.
► Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.1710
2016

Open to Public Inspection

For calendar year 2016 or tax year beginning

NOV 1, 2016

, and ending

OCT 31, 2017

Name of foundation

ROBERT AND MARJORIE ALPERN FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)

184 COUNTY HIGHWAY 52

A Employer identification number

38-6162747

City or town, state or province, country, and ZIP or foreign postal code

COOPERSTOWN, NY 13326

G Check all that apply.

<input type="checkbox"/> Initial return	<input type="checkbox"/> Initial return of a former public charity
<input type="checkbox"/> Final return	<input type="checkbox"/> Amended return
<input type="checkbox"/> Address change	<input type="checkbox"/> Name change

H Check type of organization Section 501(c)(3) exempt private foundation Section 4947(a)(1) nonexempt charitable trust Other taxable private foundationI Fair market value of all assets at end of year J Accounting method Cash Accrual

(from Part II, col. (c), line 16)

► \$ 1,009,351. (Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received				
2	Check ► <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments	6.	6.	6.	STATEMENT 1
4	Dividends and interest from securities	21,375.	21,375.	21,375.	STATEMENT 2
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	5,703.			
b	Gross sales price for all assets on line 6a	22,846.			
7	Capital gain net income (from Part IV, line 2)		5,703.		
8	Net short-term capital gain			N/A	
9	Income modifications			100,000.	
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss)				
11	Other income				
12	Total. Add lines 1 through 11	27,084.	27,084.	121,384.	YTD
13	Compensation of officers, directors, trustees, etc	10,000.	5,000.	0.	0,000.
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees				
b	Accounting fees				
c	Other professional fees	2,883.	2,883.	0.	0.
17	Interest				
18	Taxes				
19	Depreciation and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses				
24	Total operating and administrative expenses. Add lines 13 through 23	12,883.	7,883.	0.	5,000.
25	Contributions, gifts, grants paid	83,014.			83,014.
26	Total expenses and disbursements Add lines 24 and 25	95,897.	7,883.	0.	88,014.
27	Subtract line 26 from line 12	<68,813. >			
a	Excess of revenue over expenses and disbursements				
b	Net investment income (if negative, enter -0-)		19,201.		
c	Adjusted net income (if negative, enter -0-)			121,381.	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing				
	2 Savings and temporary cash investments		8,251.	17,397.	17,397.
	3 Accounts receivable ►				
	Less allowance for doubtful accounts ►				
	4 Pledges receivable ►				
	Less allowance for doubtful accounts ►				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons				
	7 Other notes and loans receivable ►				
	Less allowance for doubtful accounts ►				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10a Investments - U S and state government obligations				
	b Investments - corporate stock				
	c Investments - corporate bonds				
	11 Investments - land, buildings, and equipment basis	►			
	Less accumulated depreciation	►			
	12 Investments - mortgage loans				
	13 Investments - other	STMT 6	764,362.	786,403.	991,954.
	14 Land, buildings, and equipment basis ►				
	Less accumulated depreciation	►			
	15 Other assets (describe ►)				
	16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)		772,613.	803,800.	1,009,351.
Liabilities	17 Accounts payable and accrued expenses				
	18 Grants payable				
	19 Deferred revenue				
	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable				
	22 Other liabilities (describe ►)				
Net Assets or Fund Balances	23 Total liabilities (add lines 17 through 22)		0.	0.	
	Foundations that follow SFAS 117, check here ► <input type="checkbox"/>				
	and complete lines 24 through 26 and lines 30 and 31.				
	24 Unrestricted				
	25 Temporarily restricted				
	26 Permanently restricted				
	Foundations that do not follow SFAS 117, check here ► <input checked="" type="checkbox"/>				
	and complete lines 27 through 31				
	27 Capital stock, trust principal, or current funds		1,830,048.	1,830,048.	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund		0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds		<1,057,435.►	<1,026,248.►	
	30 Total net assets or fund balances		772,613.	803,800.	
	31 Total liabilities and net assets/fund balances		772,613.	803,800.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1 772,613.
2 Enter amount from Part I, line 27a	2 <68,813.►
3 Other increases not included in line 2 (itemize) ►	3 100,000.
4 Add lines 1, 2, and 3	4 803,800.
5 Decreases not included in line 2 (itemize) ►	5 0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6 803,800.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 365.623 SHS VANGUARD DEVELOPED MARKETS INDEX				
b ADMIRAL		P	07/30/14	08/07/17
c 19.544 SHS GOLDMAN SACHS STRATEGIC INCOME		P	VARIOUS	10/06/17
d 1185.748 SHS GOLDMAN SACHS STRATEGIC INCOME		P	VARIOUS	10/06/17
e CAPITAL GAINS DIVIDENDS				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b 5,010.		4,965.		45.
c 187.		188.		<1. >
d 11,354.		11,990.		<636. >
e 6,295.				6,295.
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a				
b				45.
c				<1. >
d				<636. >
e				6,295.
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	5,703.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8			3	<1. >

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

 Yes No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	75,212.	881,547.	.085318
2014	935,935.	1,715,109.	.545700
2013	351,969.	2,416,319.	.145663
2012	44,545.	2,061,064.	.021613
2011	50,670.	1,944,280.	.026061

2 Total of line 1, column (d)

2 .824355

3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years

3 .164871

4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5

4 966,800.

5 Multiply line 4 by line 3

5 159,397.

6 Enter 1% of net investment income (1% of Part I, line 27b)

6 192.

7 Add lines 5 and 6

7 159,589.

8 Enter qualifying distributions from Part XII, line 4

8 88,014.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate
See the Part VI instructions

Part VI | Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter 'N/A' on line 1	(attach copy of letter if necessary-see instructions)	1	384 .
Date of ruling or determination letter _____			2	0 .
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input type="checkbox"/> and enter 1% of Part I, line 27b			3	384 .
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			4	0 .
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			5	384 .
3 Add lines 1 and 2				
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)				
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-				
6 Credits/Payments				
a 2016 estimated tax payments and 2015 overpayment credited to 2016		6a	3,026 .	
b Exempt foreign organizations - tax withheld at source		6b		
c Tax paid with application for extension of time to file (Form 8868)		6c		
d Backup withholding erroneously withheld		6d		
7 Total credits and payments Add lines 6a through 6d		7	3,026 .	
8 Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached		8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	2,642 .	
11 Enter the amount of line 10 to be Credited to 2017 estimated tax ►		11	0 .	

Part VII-A | Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	Yes	No
1b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		
1c	Did the foundation file Form 1120-POL for this year?		
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ► \$ _____ 0 . (2) On foundation managers ► \$ _____ 0 .		
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ _____ 0 .		
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2	X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	X
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year? b If "Yes," has it filed a tax return on Form 990-T for this year?	4a	X
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	4b	
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	5	X
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	N/A	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ► <u>MI</u>	6	X
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	7	X
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	8b	X
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	9	X
		10	X

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X
14 The books are in care of ► KATHRYN LENTER Located at ► 32500 TELEGRAPH RD, STE 104, BINGHAM FARMS, MI Telephone no ► 248-855-4943 ZIP+4 ► 48025		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	► 15	N/A
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	16	Yes No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	►
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1b	
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years ►	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?
 Yes No
 (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?
 Yes No
 (3) Provide a grant to an individual for travel, study, or other similar purposes?
 Yes No
 (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)
 Yes No
 (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?
 Yes No

Yes No
 Yes No
 Yes No
 Yes No
 Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

►

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

Yes No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

Yes No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

Yes No

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
WAYNE ALPERN 450 WEST END AVE APT #C NEW YORK, NY 10024	CO-TRUSTEE 5.00	4,000.	0.	0.
HARLAN ALPERN 184 COUNTY HWY 52 COOPERSTOWN, NY 13326	CO-TRUSTEE 6.00	6,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

►

0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**
3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

Expenses

1	N/A	
2		
3		
4		

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1	N/A	
2		
3	All other program-related investments. See instructions	
4		
5		
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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	936,235.
b	Average of monthly cash balances	1b	45,288.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	981,523.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	981,523.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	14,723.
5	Net value of noncharitable-use assets . Subtract line 4 from line 3 Enter here and on Part V, line 4	5	966,800.
6	Minimum Investment return . Enter 5% of line 5	6	48,340.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► and do not complete this part)

1	Minimum investment return from Part X, line 6	1	48,340.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	384.
b	Income tax for 2016 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	384.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	47,956.
4	Recoveries of amounts treated as qualifying distributions	4	100,000.
5	Add lines 3 and 4	5	147,956.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	147,956.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes	1a	88,014.
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1b	0.
b	Program-related investments - total from Part IX-B	2	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	3a	
3	Amounts set aside for specific charitable projects that satisfy the	3b	
a	Suitability test (prior IRS approval required)	4	88,014.
b	Cash distribution test (attach the required schedule)	5	0.
4	Qualifying distributions . Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	6	88,014.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b		
6	Adjusted qualifying distributions . Subtract line 5 from line 4		

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				147,956.
2 Undistributed income, if any, as of the end of 2016			0.	
a Enter amount for 2015 only				
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2016				
a From 2011				
b From 2012				
c From 2013	235,525.			
d From 2014	851,081.			
e From 2015	31,608.			
f Total of lines 3a through e	1,118,214.			
4 Qualifying distributions for 2016 from Part XII, line 4 ► \$ 88,014.			0.	
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2016 distributable amount				88,014.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2016 (if an amount appears in column (d), the same amount must be shown in column (a))	59,942.			59,942.
6 Enter the net total of each column as indicated below:	1,058,272.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			0.	
d Subtract line 6c from line 6b Taxable amount - see instructions			0.	
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount - see instr				0.
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)		0.		
8 Excess distributions carryover from 2011 not applied on line 5 or line 7		0.		
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	1,058,272.			
10 Analysis of line 9				
a Excess from 2012				
b Excess from 2013	175,583.			
c Excess from 2014	851,081.			
d Excess from 2015	31,608.			
e Excess from 2016				

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
ALL CONTRIBUTIONS LISTED WERE PAID TO EXEMPT ORGANIZATIONS		PUBLICLY SUPPORTED CHARITIES	EXEMPT PURPOSE	83,014.
Total				► 3a 83,014.
b Approved for future payment				
NONE				
Total				► 3b 0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514	(e) Related or exempt function income
	(a) Business code	(b) Amount		
1 Program service revenue				
a				
b				
c				
d				
e				
f				
g Fees and contracts from government agencies				
2 Membership dues and assessments				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities			14	6.
5 Net rental income or (loss) from real estate			14	21,375.
a Debt-financed property				
b Not debt-financed property				
6 Net rental income or (loss) from personal property				
7 Other investment income				
8 Gain or (loss) from sales of assets other than inventory			14	6,295.
9 Net income or (loss) from special events				
10 Gross profit or (loss) from sales of inventory				
11 Other revenue				
a				
b				
c				
d				
e				
12 Subtotal Add columns (b), (d), and (e)		0.	27,676.	<592. >
13 Total. Add line 12, columns (b), (d), and (e)			13	27,084.
(See worksheet in line 13 instructions to verify calculations)				

Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes)
100% INVESTMENT INCOME—PRODUCED TO ENABLE FOUNDATION TO MAKE MORE CHARITABLE DONATIONS	

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

Yes	No
-----	----

a Transfers from the reporting foundation to a noncharitable exempt organization

1a(1)	X
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1a(2)	X
-------	---

(1) Cash
(2) Other assets

1b(1)	X
-------	---

b Other transactions

1b(2)	X
-------	---

1b(3)	X
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1b(4)	X
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1b(5)	X
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1b(6)	X
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1c	X
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(1) Sales of assets to a noncharitable exempt organization

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FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
UBS BANK	6.	6.	6.
TOTAL TO PART I, LINE 3	6.	6.	6.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
CHARLES SCHWAB	11,730.	1,630.	10,100.	10,100.	10,100.
UBS FINANCIAL SERVICES	15,940.	4,665.	11,275.	11,275.	11,275.
TO PART I, LINE 4	27,670.	6,295.	21,375.	21,375.	21,375.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGER	2,883.	2,883.	0.	0.
TO FORM 990-PF, PG 1, LN 16C	2,883.	2,883.	0.	0.

FOOTNOTES

STATEMENT 4

ROBERT AND MARJORIE ALPERN FOUNDATION

38-6162747

FORM 990-PF OTHER INCREASES IN NET ASSETS OR FUND BALANCES STATEMENT 5

DESCRIPTION	AMOUNT
REFUND OF CHARITABLE CONTRIBUTION MADE IN A PRIOR YEAR	100,000.
TOTAL TO FORM 990-PF, PART III, LINE 3	100,000.

FORM 990-PF OTHER INVESTMENTS STATEMENT 6

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
	COST		
MUTUAL FUNDS		786,403.	991,954.
TOTAL TO FORM 990-PF, PART II, LINE 13		786,403.	991,954.

Charitable Contributions
11/01/2016 through 10/31/2017

Page 1

02/02/2018

Date Num Description Amount

<u>Alley Car Allies</u>	1920 New Columbia Ave, Ste 400 Bethesda, MD 20814	-50.00
02/15/2017 1154	Alley Car Allies	-50.00
<u>Alzheimer's Association Of NE NY</u>	A Pinehurst Plaza, Ste 405 Albany, NY 12005	-200.00
12/19/2016 460	Alzheimer's Association Of NE NY	-200.00
<u>American Cancer Society</u>	P.O. Box 22478 Oklahoma City, OK 73123	-200.00
12/19/2016 468	American Cancer Society	-200.00
<u>American Diabetes Association</u>	2451 Crystal Dr., Ste 900 Arlington, VA 22202	-200.00
12/19/2016 464	American Diabetes Association	-200.00
<u>American Heart Association</u>	P.O. Box 3049 Suzanne, NY 13220	-200.00
12/19/2016 467	American Heart Association	-200.00
<u>Angel Network Of Cooperstown</u>	70, Box 1182 Cooperstown, NY 13326	-200.00
12/19/2016 424	Angel Network Of Cooperstown	-200.00
<u>ASPCA</u>	184 S. 9th St. New York, NY 10028	-1,000.00
02/07/2017 1152	ASPCA	-1,000.00
<u>Care</u>	151 S. 11th St. NY, Atlanta, GA 30303	-150.00
12/19/2016 461	Care	-200.00
<u>Center For Continuous Adult Learning</u>	31 Maple St. P.O. Box 5246 Oneonta, NY 13820	-200.00
04/12/2017 476	Center For Continuous Adult Learning	-200.00
<u>Chokgyur Lingpa Foundation</u>	100 Parktown, NY	-2,000.00
12/19/2016 416	Chokgyur Lingpa Foundation	-2,000.00
<u>Cooperstown Art Association</u>	22 Main St. Cooperstown, NY 13326	-300.00
12/19/2016 420	Cooperstown Art Association	-300.00
<u>Cooperstown Fire Department Fund</u>	70 Box 1 Cooperstown, NY 13326	-100.00
12/19/2016 451	Cooperstown Fire Department Fund	-100.00
<u>Cooperstown Food Bank</u>	25 Main St. Cooperstown, NY 13326	-2,000.00
12/19/2016 422	Cooperstown Food Bank	-2,000.00
<u>Experience Children's Museum</u>	480 French St. Erie, PA 16507	-1,000.00
12/19/2016 417	Experience Children's Museum	-1,000.00
<u>Fenimore Art Museum</u>	5798 State St. 80, Cooperstown, NY 13326	-250.00
10/20/2017 483	Fenimore Art Museum	-250.00
<u>Fly Creek Methodist Church</u>	852 County Hwy 85 Fly Creek, NY 13337	-100.00
02/25/2017 471	Fly Creek Methodist Church	-100.00
<u>Franklin Stage Theatre</u>	P.O. Box 191 Franklin Stage Theatre, Franklin, NY 13775	-100.00
08/27/2017 480	Franklin Stage Theatre	-100.00
<u>Glimmerglass Festival</u>	P.O. Box 191 Cooperstown, NY 13326	-100.00
08/29/2017 481	Glimmerglass Festival	-100.00
<u>Humane Society Of United States</u>	1855 23rd St. NW, Ste 450 Washington DC 20037	-35.00

Charitable Contributions

11/01/2016 through 10/31/2017

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02/02/2018

Date	Num	Description	Amount
11/21/2016	1142	Human Society Of United States 241 W. 10th St Apt 2D New York, NY 10035	-35.00
12/06/2016	1145	Institute For Creative Music Institute For Creative Music	-46,500.00
02/27/2017	1155	Institute For Creative Music	-5,000.00
05/23/2017	1162	Institute For Creative Music	-1,500.00
<u>Jones Foundation</u>	<u>2501 W 18th Ave, Ste D</u>	<u>Sandora, 652 Colle601</u>	<u>-40,000.00</u>
01/13/2017	1148	Jones Foundation	-100.00
<u>March Of Dimes</u>	<u>P.O. Box 6734677 Newark, NJ 3000</u>	<u>-100.00</u>	
12/19/2016	466	March Of Dimes	-200.00
<u>Norman Rockwell Museum</u>	<u>9 Glendale Rd Apt 183 Stockbridge, MA 01262</u>	<u>-535.00</u>	
05/18/2017	1160	Norman Rockwell Museum	-450.00
10/04/2017	1161	Norman Rockwell Museum	-85.00
<u>Oberlin College</u>	<u>220 Wood St. Oberlin, OH 44074</u>	<u>-500.00</u>	
01/09/2017	1149	Oberlin College	-500.00
<u>Otsego 2000 Foundation</u>	<u>101 Main St Cooperstown, NY 13326</u>	<u>-100.00</u>	
12/19/2016	455	Otsego 2000 Foundation	-100.00
<u>Otsego County Conservation Association</u>	<u>P.O. Box 921 Cooperstown, NY 13326</u>	<u>-200.00</u>	
12/19/2016	453	Otsego County Conservation Association	-200.00
<u>Oxfam America</u>	<u>2246 Broadway St, 5th Fl 1001, Boston, MA 02114</u>	<u>-200.00</u>	
12/19/2016	456	Oxfam America	-200.00
<u>Paralyzed Veterans Of America</u>	<u>801 2nd Ave, NY 10017 Washington, DC 20004</u>	<u>-200.00</u>	
12/19/2016	459	Paralyzed Veterans Of America	-200.00
<u>Portlandville Methodist Church</u>	<u>P.O. Box 306 Portlandville, NY 13834</u>	<u>-500.00</u>	
02/14/2017	470	Portlandville Methodist Church	-500.00
<u>PPFA</u>	<u>123 William St, 10th Fl 1000 New York, NY 10038</u>	<u>-150.00</u>	
02/15/2017	1153	PPFA	-750.00
<u>Rescue Mission Of Utica, NY</u>	<u>212 Madison St Utica, NY 13501</u>	<u>-300.00</u>	
12/19/2016	452	Rescue Mission Of Utica, NY	-300.00
<u>Richfield Springs Veterans Club</u>	<u>13 Lake St, Richfield Springs, NY 13439</u>	<u>-6,000.00</u>	
02/02/2017	469	Richfield Springs Veterans Club	-3,000.00
08/09/2017	479	Richfield Springs Veterans Club	-2,000.00
12/07/2016	415	Richfield Veterans Club	-2,000.00
<u>Ronald McDonald House</u>	<u>One McDonald House, Oakbrook, IL 60523</u>	<u>-70.00</u>	
11/22/2016	1144	Ronald McDonald House	-70.00
<u>Salvation Army</u>	<u>25 Main St, Oneonta, NY 13820</u>	<u>-300.00</u>	
12/19/2016	418	Salvation Army	-300.00
<u>SEVA Foundation</u>	<u>178 1/2 4th St, Bakersfield, CA 93301</u>	<u>-2,000.00</u>	

Charitable Contributions

11/01/2016 through 10/31/2017

02/02/2018

Page 3

Date	Num	Description	Amount
12/19/2016	454	SEVA Foundation	-2,000.00
<u>Smithy Pioneer Gallery</u>	<u>55 Pioneer St., Livingston, NY 13386</u>		<u>-100.00</u>
12/19/2016	421	Smithy Pioneer Gallery	-100.00
<u>Susquehanna Animal Shelter</u>	<u>85th St. Hwy 26 (Doverston, NY 13326</u>		<u>-1,485.00</u>
11/01/2016	414	Susquehanna Animal Shelter	-500.00
12/19/2016	419	Susquehanna Animal Shelter	-300.00
03/24/2017	473	Susquehanna Animal Shelter	-685.00
<u>The Field</u>	<u>75 Main Ln. #906 New York, NY 10038</u>		<u>400.00</u>
02/23/2017	1156	The Field	-400.00
<u>The Jerusalem Foundation</u>	<u>AQD Lexington Ave #160, New York, NY 10177</u>		<u>-10,000.00</u>
10/11/2017	1165	The Jerusalem Foundation	-10,000.00
<u>Thirteen WNET</u>	<u>825 Columbus Ave New York, New York 10019</u>		<u>-200.00</u>
01/06/2017	1147	Thirteen WNET	-200.00
<u>Tom Gold Dance</u>	<u>51 West 81st St., NY 10024</u>		<u>-100.00</u>
03/21/2017	1157	Tom Gold Dance	-100.00
<u>UNICEF</u>	<u>185 Maiden Lane, 11th floor New York, NY 10038</u>		<u>-200.00</u>
12/19/2016	465	UNICEF	-200.00
<u>United Way Otsego Delaware Counties</u>	<u>P.O. Box 631 Oneonta, NY 13820</u>		<u>-500.00</u>
12/19/2016	423	United Way Otsego Delaware Counties	-500.00
<u>University Of Michigan</u>	<u>500 S. State St., Ann Arbor, MI 48109</u>		<u>-100.00</u>
06/01/2017	478	University Of Michigan	-100.00
<u>Village Of Cooperstown Library</u>	<u>22 West St., Cooperstown, NY 13326</u>		<u>-300.00</u>
12/19/2016	425	Village Of Cooperstown Library	-300.00
<u>WCNY Television Syracuse</u>	<u>500 Old Hwy 200, 70 Park 2400, Syracuse, NY 13202</u>		<u>-250.00</u>
05/17/2017	477	WCNY Television Syracuse	-250.00
<u>Wikimedia Foundation</u>	<u>149 New Montgomery St., Floor 6, San Francisco, CA 94105</u>		<u>-100.00</u>
12/19/2016	457	Wikimedia Foundation	-100.00
<u>WNYC Radio</u>	<u>160 Lenox St., New York, NY 10013</u>		<u>-470.00</u>
01/12/2017	1146	WNYC Radio	-120.00
02/07/2017	1151	WNYC Radio	-100.00
04/19/2017	1158	WNYC Radio	-125.00
06/05/2017	1164	WNYC Radio	-125.00
<u>World Wildlife Fund</u>	<u>1250 24th St., Washington, DC 20037</u>		<u>-169.00</u>
11/23/2016	1143	World Wildlife Fund	-75.00
05/26/2017	1163	World Wildlife Fund	-94.00
<u>Wounded Warrior Project</u>	<u>1829 3rd St., Ste 300 Jacksonville, FL 32205</u>		<u>-200.00</u>
12/19/2016	458	Wounded Warrior Project	-200.00
<u>Ymca Oneonta Scholarship Fund</u>	<u>20 - 26 2nd Avenue Oneonta, NY 13820</u>		<u>-300.00</u>

Charitable Contributions
11/01/2016 through 10/31/2017

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Amount
-300.00
-83,014.00

OVERALL TOTAL

02/02/2018 Date Num Description

12/19/2016 463 Ymca Oneonta Scholarship Fund