

Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning NOV 1, 2016, and ending OCT 31, 2017

Name of foundation

FOX FAMILY CHARITABLE FOUNDATION INC

Number and street (or P.O. box number if mail is not delivered to street address)

11 BERKELEY ROAD

Room/suite

City or town, state or province, country, and ZIP or foreign postal code

WESTPORT, CT 06880-1609

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name change

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at end of year

(from Part II, col. (c), line 16)

\$ 2,099,039. (Part I, column (d) must be on cash basis.)

J Accounting method:

☒ Cash☐ Accrual☐ Other (specify)

A Employer identification number

13-4197378

B Telephone number

203-227-2679

C If exemption application is pending, check here ☐D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1 Contributions, gifts, grants, etc., received

2 Check ☒ if the foundation is not required to attach Sch. B

3 Interest on savings and temporary cash investments

40,509.

40,509.

STATEMENT 1

4 Dividends and interest from securities

55,551.

55,551.

STATEMENT 2

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10

b Gross sales price for all assets on line 6a 192,606.

7 Capital gain net income (from Part IV, line 2)

0.

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less: Cost of goods sold

c Gross profit or (loss)

11 Other income

<3,495.>

<3,495.>

STATEMENT 3

12 Total. Add lines 1 through 11

54,475.

92,565.

13 Compensation of officers, directors, trustees, etc

0.

0.

0.

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees

b Accounting fees

c Other professional fees

17 Interest

12.

12.

0.

18 Taxes

STMT 4

4,040.

3,790.

250.

19 Depreciation and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses

STMT 5

195.

0.

195.

24 Total operating and administrative expenses. Add lines 13 through 23

4,247.

3,802.

445.

25 Contributions, gifts, grants paid

134,995.

134,995.

26 Total expenses and disbursements. Add lines 24 and 25

139,242.

3,802.

135,440.

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

<84,767.>

b Net investment income (if negative, enter -0-)

88,763.

c Adjusted net income (if negative, enter -0-)

N/A

29491258030118

928

12

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing					
	2 Savings and temporary cash investments			68,900.	49,455.	49,455.
	3 Accounts receivable ▶					
	Less: allowance for doubtful accounts ▶					
	4 Pledges receivable ▶					
	Less: allowance for doubtful accounts ▶					
	5 Grants receivable					
	6 Receivables due from officers, directors, trustees, and other disqualified persons					
	7 Other notes and loans receivable ▶					
	Less: allowance for doubtful accounts ▶					
	8 Inventories for sale or use					
	9 Prepaid expenses and deferred charges					
	10a Investments - U.S. and state government obligations					
	b Investments - corporate stock	STMT 7		1,393,822.	1,343,337.	1,519,145.
	c Investments - corporate bonds	STMT 8		531,268.	531,268.	530,439.
	11 Investments - land, buildings, and equipment basis ▶					
Less: accumulated depreciation ▶						
12 Investments - mortgage loans						
13 Investments - other						
14 Land, buildings, and equipment: basis ▶						
Less: accumulated depreciation ▶						
15 Other assets (describe ▶)						
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)			1,993,990.	1,924,060.	2,099,039.	
Liabilities	17 Accounts payable and accrued expenses					
	18 Grants payable					
	19 Deferred revenue					
	20 Loans from officers, directors, trustees, and other disqualified persons					
	21 Mortgages and other notes payable					
	22 Other liabilities (describe ▶ LOANS PAYABLE)			14,300.	18,502.	
23 Total liabilities (add lines 17 through 22)			14,300.	18,502.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.					
	24 Unrestricted					
	25 Temporarily restricted					
	26 Permanently restricted					
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31					
	27 Capital stock, trust principal, or current funds			1,913,192.	1,839,060.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			66,498.	66,498.	
	29 Retained earnings, accumulated income, endowment, or other funds			0.	0.	
30 Total net assets or fund balances			1,979,690.	1,905,558.		
31 Total liabilities and net assets/fund balances			1,993,990.	1,924,060.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,979,690.
2 Enter amount from Part I, line 27a	2	<84,767.>
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 6	3	10,635.
4 Add lines 1, 2, and 3	4	1,905,558.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,905,558.

Part IV Capital Gains and Losses for Tax on Investment Income SEE ATTACHED STATEMENT

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e	192,606.	230,696.	<38,090.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			<38,090.>

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	<38,090.>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	121,958.	1,969,131.	.061935
2014	110,964.	2,017,310.	.055006
2013			
2012			
2011			

2 Total of line 1, column (d)	2	.116941
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.058471
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	2,028,010.
5 Multiply line 4 by line 3	5	118,580.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	888.
7 Add lines 5 and 6	7	119,468.
8 Enter qualifying distributions from Part XII, line 4	8	135,440.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	888.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	888.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		5	888.
6 Credits/Payments:			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a	2,780.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	2,780.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	1,892.	
11 Enter the amount of line 10 to be: Credited to 2017 estimated tax ☒ 1,892. Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	X	
14 The books are in care of ► <u>KORDISH & COMPANY, LLC</u> Telephone no. ► <u>203-268-1060</u> Located at ► <u>115 TECHNOLOGY DRIVE, SUITE A 305, TRUMBULL, CT</u> ZIP+4 ► <u>06611-6340</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year		
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	N/A	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years ►	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

▶ ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JONATHAN M. FOX 11 BERKELEY ROAD WESTPORT, CT 06880-1609	PRESIDENT	0.00	0.	0.
DOROTHY A. FOX 11 BERKELEY ROAD WESTPORT, CT 06880-1609	CFO	0.00	0.	0.
SUZANNE FOX 239 FORESIDE ROAD FALMOUTH, ME 04105	SECRETARY	0.00	0.	0.
MARJORIE A. FOX 500 WEST END AVENUE NEW YOK, NY 10024	TREASURER	0.00	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,985,774.
b	Average of monthly cash balances	1b	73,119.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	2,058,893.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	2,058,893.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	30,883.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,028,010.
6	Minimum investment return. Enter 5% of line 5	6	101,401.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	101,401.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	888.
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	888.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	100,513.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	100,513.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	100,513.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	135,440.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	135,440.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	888.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	134,552.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				100,513.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012	52,701.			
c From 2013				
d From 2014	12,348.			
e From 2015	25,421.			
f Total of lines 3a through e	90,470.			
4 Qualifying distributions for 2016 from Part XII, line 4: ► \$ 135,440.				
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				100,513.
e Remaining amount distributed out of corpus	34,927.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	125,397.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2017 Subtract lines 7 and 8 from line 6a	125,397.			
10 Analysis of line 9:				
a Excess from 2012	52,701.			
b Excess from 2013				
c Excess from 2014	12,348.			
d Excess from 2015	25,421.			
e Excess from 2016	34,927.			

Part VII Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ACHIEVEMENT FIRST INC 495 BLAKE STREET NEW HAVEN, CT 06515	NONE	PUBLIC CHARITY	EDUCATIONAL PROGRAM SUPPORT	4,000.
AMERICARES 88 HAMILTON AVENUE STAMFORD, CT 06902	NONE	PUBLIC CHARITY	PROGRAM SUPPORT	2,000.
ANTI-DEFAMATION LEAGUE 605 3RD AVENUE NEW YORK, NY 10158	NONE	PUBLIC CHARITY	PROGRAM SUPPORT	2,000.
BE THE MATCH FOUNDATION 500 N 5TH STREET MINNEAPOLIS, MN 55401-1206	NONE	PUBLIC CHARITY	PROGRAM SUPPORT	1,000.
BRIDGEPORT HOSPITAL FOUNDATION, INC. 267 GRANT STREET BRIDGEPORT, CT 06610	NONE	PUBLIC CHARITY	PROGRAM SUPPORT	10,000.
Total			SEE CONTINUATION SHEET(S)	134,995.
b Approved for future payment				
NONE				
Total				0.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	1,000 SHS HOSPITALITY 7.125% PFD	P	03/28/12	02/10/17
b	1,000 SHS PS BUSINESS PK 6.45% PFD	P	03/28/12	01/18/17
c	1,000 SHS PUBLIC STORAGE 5.9% PFD	P	03/28/12	07/26/17
d	800 SHS SEADRILL LTD	P	03/28/12	04/05/17
e	2,000 SHS BARCLAYS BK PLC 7.10%	P	09/06/07	03/15/17
f	450 SHS BARCLAYS BK PLC 7.10%	P	07/27/10	03/15/17
g	219 SHS QWEST CORP 7.50%	P	03/28/12	05/09/17
h	US BANCORP VAL PREP PFD	P	05/15/13	04/17/17
i	FROM K-1 - WILLIAMS PARTNERS L.P.	P		
j	FROM K-1 - PLAINS ALL AMERICAN PIPELINE, L.P.	P		
k	FROM K-1 - NUSTAR ENERGY, L.P.	P		
l	CAPITAL GAINS DIVIDENDS			
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 25,124.		25,446.	<322.>
b 25,000.		25,436.	<436.>
c 25,102.		25,586.	<484.>
d 503.		30,586.	<30,083.>
e 50,000.		50,000.	0.
f 11,250.		10,932.	318.
g 5,475.		5,725.	<250.>
h 50,000.		56,806.	<6,806.>
i		174.	<174.>
j 147.			147.
k		5.	<5.>
l 5.			5.
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<322.>
b			<436.>
c			<484.>
d			<30,083.>
e			0.
f			318.
g			<250.>
h			<6,806.>
i			<174.>
j			147.
k			<5.>
l			5.
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	<38,090.>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CARE 151 ELLIS STREET, NE ATLANTA, GA 30303	NONE	PUBLIC CHARITY	PROGRAM SUPPORT	250.
DOCTORS WITHOUT BORDERS 333 7TH AVENUE, 2ND FLOOR NEW YORK, NY 10001	NONE	PUBLIC CHARITY	PROGRAM SUPPORT	3,000.
DOMESTIC VIOLENCE CRISIS CENTER 16 RIVER STREET NORWALK, CT 06850	NONE	PUBLIC CHARITY	PROGRAM SUPPORT	700.
DYSTONIA MEDICAL RESEARCH FOUNDATION ONE EAST WACKER DRIVE, SUITE 1730 CHICAGO, IL 60601-1980	NONE	PUBLIC CHARITY	PROGRAM SUPPORT	500.
EARTHPLACE, THE NATURE DISCOVERY CENTER 10 WOODSIDE LANE WESTPORT, CT 06880	NONE	PUBLIC CHARITY	PROGRAM SUPPORT	250.
FIDELCO GUIDE DOG FOUNDATION 27 CANNON ROAD WILTON, CT 06897	NONE	PUBLIC CHARITY	PROGRAM SUPPORT	750.
FRACTURED ATLAS 248 WEST 35TH STREET, 10TH FLOOR NEW YORK, NY 10001	NONE	PUBLIC CHARITY	PROGRAM SUPPORT	753.
FRIENDS OF CASCO BAY 43 SLOCUM DRIVE SOUTH PORTLAND, ME 04106	NONE	PUBLIC CHARITY	PROGRAM SUPPORT	2,000.
FRIENDS OF PORTLAND ADULT EDUCATION PO BOX 3185 PORTLAND, ME 04104	NONE	PUBLIC CHARITY	PROGRAM SUPPORT	3,000.
FROM K-1 NUSTAR ENERGY LP ATTN TAX DEPT PO BOX 781609 SAN ANTONIO, TX 78278-1609	NONE	UNKNOWN	PROGRAM SUPPORT	6.
Total from continuation sheets				115,995.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
FROM K-1 PLAINS ALL AMERICAN PIPELINE LP 333 CLAY ST., STE. 1600 HOUSTON, TX 77002	NONE	UNKNOWN	PROGRAM SUPPORT	2.
FROM K-1 WILLIAMS PARTNERS L.P. ONE WILLIAMS CENTER TULSA, OK 74172-0172	NONE	UNKNOWN	PROGRAM SUPPORT	3.
FURNITURE FRIENDS 15 SAUNDERS WAY WESTBROOK, ME 04092	NONE	PUBLIC CHARITY	PROGRAM SUPPORT	1,000.
GREATER PORTLAND HEALTH 63 PREBLE STREET PORTLAND, ME 04101	NONE	PUBLIC CHARITY	PROGRAM SUPPORT	1,000.
HABITAT FOR HUMANITY COSTAL FAIRFIELD COUNTY 1542 BARNUM AVENUE BRIDGEPORT, CT 06610	NONE	PUBLIC CHARITY	PROGRAM SUPPORT	1,000.
HAITIAN HEALTH FOUNDATION 97 SHERMAN STREET NORWICH, CT 06360	NONE	PUBLIC CHARITY	PROGRAM SUPPORT	5,000.
HOMES WITH HOPE 49 RICHMONDVILLE AVE #112 WESTPORT, CT 06880	NONE	PUBLIC CHARITY	PROGRAM SUPPORT	250.
IMMIGRANT LEGAL ADVOCACY PROJECT 309 CUMBERLAND AVENUE, SUITE 201, P.O. BOX 17917 PORTLAND, ME 04112	NONE	PUBLIC CHARITY	PROGRAM SUPPORT	10,000.
ITN COASTAL CT 303 LINWOOD AVENUE FAIRFIELD, CT 06824	NONE	PUBLIC CHARITY	PROGRAM SUPPORT	100.
JEWISH HOME FOR THE ELDERLY 4200 PARK AVENUE BRIDGEPORT, CT 06604	NONE	PUBLIC CHARITY	PROGRAM SUPPORT	250.
Total from continuation sheets				



Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
LEUKEMIA & LYMPHOMA SOCIETY 3 INTERNATIONAL DRIVE, SUITE 200 RYE BROOK, NY 10573	NONE	PUBLIC CHARITY	PROGRAM SUPPORT	1,000.
MAINE ISLAND TRAIL ASSOCIATION 100 KENSINGTON STREET PORTLAND, ME 04103	NONE	PUBLIC CHARITY	PROGRAM SUPPORT	500.
MAINE MEDICAL CENTER 22 BRAMHALL STREET PORTLAND, ME 04102	NONE	PUBLIC CHARITY	PROGRAM SUPPORT	4,500.
MAINE WOMEN'S POLICY CENTER 124 SEWALL STREET AUGUSTA, ME 04330	NONE	PUBLIC CHARITY	PROGRAM SUPPORT	2,000.
MARITIME AQUARIUM AT NORWALK 10 N WATER STREET NORWALK, CT 06854	NONE	PUBLIC CHARITY	PROGRAM SUPPORT	1,000.
MERCY LEARNING CENTER 637 PARK AVENUE BRIDGEPORT, CT 06604	NONE	PUBLIC CHARITY	EDUCATIONAL PROGRAM SUPPORT	1,000.
MISCELLANEOUS CHARITIES 11 BEREKELY ROAD WESTPORT, CT 06880	NONE	PUBLIC CHARITY	PROGRAM SUPPORT	600.
NARAL PRO-CHOICE AMERICA 1156 15TH STREET, NW, SUITE 700 WASHINGTON, DC 20005	NONE	PUBLIC CHARITY	PROGRAM SUPPORT	200.
NATIONAL MULTIPLE SCLEROSIS SOCIETY, CONNECTICUT CHAPTER 659 TOWER AVENUE HARTFORD, CT 06112	NONE	PUBLIC CHARITY	PROGRAM SUPPORT	1,000.
NATIONAL WWII MUSEUM 945 MAGAZINE STREET NEW ORLEANS, LA 70130	NONE	PUBLIC CHARITY	PROGRAM SUPPORT	200.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NAVAL WAR COLLEGE FOUNDATION, INC. LUCE HALL, 686 CUSHING ROAD NEWPORT, RI 02841	NONE	PUBLIC CHARITY	PROGRAM SUPPORT	250.
NETWORK FOR GOOD 1140 CONNECTICUT AVE NW #700 WASHINGTON, DC 20036	NONE	PUBLIC CHARITY	PROGRAM SUPPORT	2,575.
NORWALK HOSPITAL FOUNDATION 30 STEVENS STREET NORWALK, CT 06850	NONE	PUBLIC CHARITY	PROGRAM SUPPORT	15,000.
PERSON-TO-PERSON 16864 POST ROAD DARIEN, CT 06820	NONE	PUBLIC CHARITY	PROGRAM SUPPORT	250.
PLANNED PARENTHOOD FEDERATION OF AMERICA, INC. P.O. BOX 97166 WASHINGTON, DC 97166	NONE	PUBLIC CHARITY	PROGRAM SUPPORT	1,500.
PREBLE STREET 38 PREBLE STREET PORTLAND, ME 04101	NONE	PUBLIC CHARITY	PROGRAM SUPPORT	16,000.
REACHING HIGHER NH 40 NORTH MAIN STREET, SUITE 204 CONCORD, NH 03301	NONE	PUBLIC CHARITY	EDUCATIONAL PROGRAM SUPPORT	1,000.
RONALD MCDONALD HOUSE OF CONNECTICUT 860 HOWARD AVENUE NEW HAVEN, CT 06519	NONE	PUBLIC CHARITY	PROGRAM SUPPORT	500.
SAVE THE CHILDREN 501 KINGS HWY E - SUITE 400 FAIRFIELD, CT 06825	NONE	PUBLIC CHARITY	PROGRAM SUPPORT	1,000.
SHEPHERDS INC 299 WASHINGTON AVENUE BRIDGEPORT, CT 06604	NONE	PUBLIC CHARITY	PROGRAM SUPPORT	250.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SPACE GALLERY 538 CONGRESS STREET PORTLAND, ME 04101	NONE	PUBLIC CHARITY	PROGRAM SUPPORT	1,501.
SUSAN FUND 8 HILLY FIELD LANE WESTPORT, CT 06880	NONE	PUBLIC CHARITY	PROGRAM SUPPORT	750.
THE ADVENTURE PROJECT 81 PROSPECT STREET BROOKLYN, NY 11201	NONE	PUBLIC CHARITY	PROGRAM SUPPORT	2,000.
THE ALS ASSOCIATION 1275 K STREET NW ,SUITE 250 WASHINGTON, DC 20005	NONE	PUBLIC CHARITY	PROGRAM SUPPORT	300.
THE HOLE IN THE WALL GANG FUND 555 LONG WHARF DRIVE NEW HAVEN, CT 06511	NONE	PUBLIC CHARITY	PROGRAM SUPPORT	4,000.
THE MACULA FOUNDATION 210 E 64TH STREET NEW YORK, NY 10065	NONE	PUBLIC CHARITY	PROGRAM SUPPORT	2,000.
THE MICHAEL J. FOX FOUNDATION FOR PARKINSON'S RESEARCH GRAND CENTRAL STATION, P.O. BOX 4777 NEW YORK, NY 10163-4777	NONE	PUBLIC CHARITY	PROGRAM SUPPORT	2,750.
THE OPPORTUNITY ALLIANCE 50 LYDIA STREET PORTLAND, ME 04106	NONE	PUBLIC CHARITY	PROGRAM SUPPORT	3,000.
THE YELLOW TULIP PROJECT 239 FORESIDE ROAD FALMOUTH, ME 04105	FAMILY	PUBLIC CHARITY	PROGRAM SUPPORT	5,000.
THIRTEEN / WNET 825 EIGHTH AVENUE NEW YORK, NY 10019	NONE	PUBLIC CHARITY	PROGRAM SUPPORT	300.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
TUFTS UNIVERSITY P.O. BOX 3306 BOSTON, MA 02241-3306	NONE	PUBLIC CHARITY	EDUCATIONAL PROGRAM SUPPORT	5,000.
UNITED SERVICE ORGANIZATIONS P.O. BOX 96860 WASHINGTON, DC 20077-7677	NONE	PUBLIC CHARITY	PROGRAM SUPPORT	1,000.
UNITED WAY OF COASTAL FAIRFIELD COUNTY 855 MAIN STREET BRIDGEPORT, CT 06604	NONE	PUBLIC CHARITY	PROGRAM SUPPORT	250.
VISITING NURSE & HOSPICE OF FAIRIFELD COUNTY 761 MAIN AVENUE, SUITE 114 - ENTRANCE E NORWALK, CT 06851	NONE	PUBLIC CHARITY	PROGRAM SUPPORT	2,000.
WATERAID AMERICA 233 BROADWAY #2750 NEW YORK, NY 10279	NONE	PUBLIC CHARITY	PROGRAM SUPPORT	2,000.
WESTPORT VOLUNTEER EMERGENCY MEDICAL SERVICE 50 JESUP ROAD WESTPORT, CT 06880	NONE	PUBLIC CHARITY	PROGRAM SUPPORT	3,000.
WESTPORT WESTON FAMILY YMCA 14 ALLEN RAYMOND LANE WESTPORT, CT 06880	NONE	PUBLIC CHARITY	PROGRAM SUPPORT	1,000.
WOUNDED WARRIOR PROJECT, INC. 4899 BELFORT ROAD, SUITE 300 JACKSONVILLE, FL 32256	NONE	PUBLIC CHARITY	PROGRAM SUPPORT	5.
Total from continuation sheets				

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
NUSTAR ENERGY LP	67.	67.	
PLAINS ALL AMERICAN PIPELINE, L.P.	26.	26.	
WELLS FARGO CLEARING SERVICES, LLC	40,416.	40,416.	
TOTAL TO PART I, LINE 3	40,509.	40,509.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
NUSTAR ENERGY, L.P.	17.	0.	17.	17.	
PLAINS ALL AMERICAN PIPELINE, L.P.	1.	0.	1.	1.	
WELLS FARGO CLEARING SERVICES, LLC	55,537.	5.	55,532.	55,532.	
WILLIAMS PARTNERS L.P.	1.	0.	1.	1.	
TO PART I, LINE 4	55,556.	5.	55,551.	55,551.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
FROM K-1 - WILLIAMS PARTNERS L.P.	<2,363.>	<2,363.>	
FROM K-1 - PLAINS ALL AMERICAN PIPELINE L.P.	<898.>	<898.>	
FROM K-1 - NUSTAR ENERGY, L.P.	<237.>	<237.>	
FROM K-1 - WILLIAMS PARTNERS L.P.	3.	3.	
TOTAL TO FORM 990-PF, PART I, LINE 11	<3,495.>	<3,495.>	

FORM 990-PF

TAXES

STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES WITHHELD	790.	790.		0.
NY CHAR500 FILING FEE	250.	0.		250.
FEDERAL EXCISE TAX	3,000.	3,000.		0.
TO FORM 990-PF, PG 1, LN 18	4,040.	3,790.		250.

FORM 990-PF

OTHER EXPENSES

STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MEMBERSHIP FEES	195.	0.		195.
TO FORM 990-PF, PG 1, LN 23	195.	0.		195.

FORM 990-PF

OTHER INCREASES IN NET ASSETS OR FUND BALANCES

STATEMENT 6

DESCRIPTION	AMOUNT
COST BASIS ADJUSTMENTS FOR PTP ACTIVITY	3,887.
PTP DISTRIBUTIONS	5,020.
NONDIVIDEND DISTRIBUTIONS	1,728.
TOTAL TO FORM 990-PF, PART III, LINE 3	10,635.

FORM 990-PF

CORPORATE STOCK

STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
WELLS FARGO CLEARING SERVICES, LLC	1,343,337.	1,519,145.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,343,337.	1,519,145.

FORM 990-PF

CORPORATE BONDS

STATEMENT 8

DESCRIPTIONBOOK VALUEFAIR MARKET
VALUE

WELLS FARGO CLEARING SERVICES, LLC

531,268.

530,439.

TOTAL TO FORM 990-PF, PART II, LINE 10C

531,268.

530,439.

FORM 990-PF

PART XV - LINE 1A
LIST OF FOUNDATION MANAGERS

STATEMENT 9

NAME OF MANAGER

JONATHAN M. FOX

DOROTHY A. FOX