

Form 990-PF

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2016

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Do not enter social security numbers on this form as it may be made public.
Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2016 or tax year beginning 10/1/2016, and ending 9/30/2017

Name of foundation

MARIAN & PINKUS HAPP FUND

A Employer identification number

95-6025857

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

Wells Fargo Bank N.A. Trust Tax Dept - 100 N Main St MAC D4001-117

B Telephone number (see instructions)

City or town, state or province, country, and ZIP or foreign postal code

Winston Salem

NC

27101

(888) 730-4933

Foreign country name

Foreign province/state/country

Foreign postal code

G Check all that apply.

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☒ Address change☐ Name changeH Check type of organization. ☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at

J Accounting method. ☒ Cash ☐ Accrual

end of year (from Part II, col. (c),

☐ Other (specify)

line 16) ▶ \$

1,422,860

(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	24,405	24,405		
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	56,340			
b Gross sales price for all assets on line 6a 344,938				
7 Capital gain net income (from Part IV, line 2)		56,340		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less: Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	80,745	80,745	0	
13 Compensation of officers, directors, trustees, etc.	17,463	13,097		4,366
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule)	833			833
c Other professional fees (attach schedule)				
17 Interest				
18 Taxes (attach schedule) (see instructions)	216	216		
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule)	10			10
24 Total operating and administrative expenses. Add lines 13 through 23	18,522	13,313	0	5,209
25 Contributions, gifts, grants paid	57,000			57,000
26 Total expenses and disbursements. Add lines 24 and 25	75,522	13,313	0	62,209
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	5,223			
b Net investment income (if negative, enter -0-)		67,432		
c Adjusted net income (if negative, enter -0-)			0	

For Paperwork Reduction Act Notice, see instructions.

Form 990-PF (2016)

HTA

9-26

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	48,997	46,551	46,551
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)			
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment, basis ▶			
Less: accumulated depreciation (attach schedule) ▶				
12 Investments—mortgage loans				
13 Investments—other (attach schedule)	1,145,058	1,153,498	1,376,309	
14 Land, buildings, and equipment, basis ▶				
Less: accumulated depreciation (attach schedule) ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	1,194,055	1,200,049	1,422,860	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	1,194,055	1,200,049	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	1,194,055	1,200,049	
31 Total liabilities and net assets/fund balances (see instructions)	1,194,055	1,200,049		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,194,055
2 Enter amount from Part I, line 27a	2	5,223
3 Other increases not included in line 2 (itemize) ▶ See Attached Statement	3	797
4 Add lines 1, 2, and 3	4	1,200,075
5 Decreases not included in line 2 (itemize) ▶ MUTUAL FUND TIMING DIFFERENCE	5	26
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,200,049

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Attached Statement			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than 0-) or Losses (from col. (h))
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	56,340
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 }	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	64,818	1,331,348	0.048686
2014	25,282	1,407,718	0.017960
2013	35,193	1,404,540	0.025057
2012	4,778	1,281,106	0.003730
2011	4,363	1,173,671	0.003717

2 Total of line 1, column (d)	2	0.099150
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.019830
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	1,378,849
5 Multiply line 4 by line 3	5	27,343
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	674
7 Add lines 5 and 6	7	28,017
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	62,209

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	674
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	674
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	674
6	Credits/Payments:		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	211
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	211
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	463
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	0
11	Enter the amount of line 10 to be Credited to 2017 estimated tax Refunded	11	0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ (2) On foundation managers. \$		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) CA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	Yes	No X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► WELLS FARGO BANK N.A. Telephone no. ► 888-730-4933 Located at ► 100 N Main St MAC D4001-117 Winston Salem NC ZIP+4 ► 27101			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly):		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	1b		X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years ► 20 ____ , 20 ____ , 20 ____ , 20 ____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20 ____ , 20 ____ , 20 ____ , 20 ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?		Yes	No <input checked="" type="checkbox"/>
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)	3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a** During the year did the foundation pay or incur any amount to:
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No
- b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No
- Organizations relying on a current notice regarding disaster assistance check here ☐
- c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No
- If "Yes," attach the statement required by Regulations section 53.4945–5(d)
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
- If "Yes" to 6b, file Form 8870.
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No
- b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

5b	N/A	
6b		X
7b	N/A	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Wells Fargo Bank, N.A. 100 N Main St MAC D4001-117 Winston Salem, NC 27	Trustee SEE ATTACHED	17,463		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	NONE	
2		
3		
4		

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1	NONE	
2		
All other program-related investments See instructions		
3	NONE	

Total. Add lines 1 through 3



0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,319,686
b	Average of monthly cash balances	1b	80,161
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	1,399,847
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	1,399,847
4	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see instructions)	4	20,998
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,378,849
6	Minimum investment return. Enter 5% of line 5	6	68,942

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	68,942
2a	Tax on investment income for 2016 from Part VI, line 5	2a	674
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	674
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	68,268
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	68,268
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	68,268

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	62,209
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	62,209
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	674
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	61,535

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				68,268
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			57,968	
b Total for prior years: 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				
f Total of lines 3a through e	0			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 62,209				
a Applied to 2015, but not more than line 2a			57,968	
b Applied to undistributed income of prior years— (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2016 distributable amount				4,241
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2016 . (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions				
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				64,027
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016				

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

VISTA DEL MAR CHILD AND FAMILY SERVICES

Street

3200 MOTOR AVE

City

LOS ANGELES

State

CA

Zip Code

90034

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

5,000

Name

ABILITYFIRST

Street

1300 E. GREEN STREET

City

PASADENA

State

CA

Zip Code

91106

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

5,000

Name

ORTHOPAEDIC INSTITUTE FOR CHILDREN

Street

403 W ADAMS BLVD

City

LOS ANGELES

State

CA

Zip Code

90007

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

7,000

Name

ST. ANNE'S MATERNITY HOME

Street

155 N OCCIDENTAL BLVD

City

LOS ANGELES

State

CA

Zip Code

90026

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

10,000

Name

JEWISH BIG BROTHERS BIG SISTERS OF LOS ANGELES

Street

6505 WILSHIRE BLVD, #600

City

LOS ANGELES

State

CA

Zip Code

90048

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

10,000

Name

TOGETHER WE RISE CORPORATION

Street

580 W LAMBERT RD, #A

City

BREA

State

CA

Zip Code

92821

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

10,000

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

MCKINLEY CHILDREN'S CENTER

Street

762 CYPRESS ST

City

SAN DIMAS

State

CA

Zip Code

91773

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

10,000

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Long Term CG Distributions												23,717		Amount	
Short Term CG Distributions												0			
Description	CUSIP #	Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss	
1 BLACKROCK GL US CREDIT	091936732	X				10/27/2015	10/25/2016	1,760	1,820				0	-60	
2 CREDIT SUISSE COMM RET	22544R305	X				10/23/2014	10/25/2016	966	1,399				0	-433	
3 CREDIT SUISSE COMM RET	22544R305	X				1/23/2014	1/18/2017	3,027	4,255				0	-1,228	
4 CREDIT SUISSE COMM RET	22544R305	X				1/22/2015	1/18/2017	7,549	8,594				0	-1,045	
5 CREDIT SUISSE COMM RET	22544R305	X				1/10/2015	1/18/2017	1,941	1,824				0	117	
6 CREDIT SUISSE COMM RET	22544R305	X				1/22/2015	1/18/2017	893					0	164	
7 DODGE & COX INTL STOCK	256206103	X				1/19/2011	10/25/2016	1,651					0	-175	
8 DODGE & COX INTL STOCK	256206103	X				1/19/2011	7/25/2017	909	703				0	206	
9 DODGE & COX INTL STOCK	256206103	X				1/22/2016	7/25/2017	4,084	2,948				0	1,136	
10 DODGE & COX INCOME FD	256210105	X				10/5/2016	4/20/2017	7,320	7,379				0	-59	
11 DODGE & COX INCOME FD	256210105	X				5/11/2016	4/20/2017	1,104	1,099				0	5	
12 EATON VANCE GLOBAL MAC	277923728	X				10/27/2015	10/25/2016	1,333	1,337				0	-4	
13 FID ADV EMER MKTS INC-CL	315920702	X				8/25/2016	10/25/2016	2,880	2,854				0	-26	
14 HARBOR CAPITAL APRTION	411511504	X				8/21/2015	10/3/2016	4,906	5,028				122	0	
15 HARBOR CAPITAL APRTION	411511504	X				8/28/2015	10/3/2016	491	500				70	0	
16 HARBOR CAPITAL APRTION	411511504	X				8/22/2015	10/25/2016	4,895	5,023				0	-128	
17 INV BALANCE RISK COMM ST	00888Y508	X				8/25/2016	10/25/2016	1,099	1,092				0	17	
18 INV BALANCE RISK COMM ST	00888Y508	X				8/25/2016	1/18/2017	13,196	13,064				132	0	
19 AMER CENT SMALL CAP GRN	025083320	X				7/24/2015	1/18/2017	3,585	3,628				0	-63	
20 AMER CENT SMALL CAP GRN	025083320	X				7/24/2015	5/24/2017	21,585	20,491				0	1,094	
21 AMER CENT SMALL CAP GRN	025083320	X				7/24/2015	7/25/2017	1,090	974				0	116	
22 ARTISAN INTERNATIONAL FC	04314H402	X				8/25/2009	4/20/2017	43,272	29,683				0	13,589	
23 ARTISAN INTERNATIONAL FC	04314H402	X				10/2/2009	4/20/2017	4,124	2,866				0	1,258	
24 ARTISAN INTERNATIONAL FC	04314H402	X				1/18/2017	4/20/2017	2,072	1,945				0	127	
25 ARTISAN MID CAP FUND-INS	4812CB083	X				6/18/2014	7/25/2017	678	970				0	-52	
26 ARTISAN MID CAP FUND-INS	4812CB083	X				1/22/2015	7/25/2017	4,012	4,151				0	-139	
27 HARBOR CAPITAL APRTION	411511504	X				8/28/2015	10/25/2016	2,284	2,314				0	-50	
28 HARBOR CAPITAL APRTION	411511504	X				8/28/2015	1/18/2017	3,631	3,857				0	-226	
29 HARBOR CAPITAL APRTION	411511504	X				8/29/2015	1/18/2017	471	500				0	-29	
30 HARBOR CAPITAL APRTION	411511504	X				8/25/2016	1/18/2017	1,372	1,414				0	-42	
31 HARBOR CAPITAL APRTION	411511504	X				10/28/2014	4/20/2017	5,030	4,872				0	158	
32 HARBOR CAPITAL APRTION	411511504	X				10/28/2014	7/25/2017	6,602	7,471				0	-1,131	
33 HARBOR CAPITAL APRTION	411511504	X				10/24/2014	7/25/2017	5,842	5,017				0	825	
34 JPMORGAN HIGH YIELD FUND	4812CB083	X				1/16/2014	10/25/2016	1,533	1,671				0	-138	
35 JPMORGAN HIGH YIELD FUND	4812CB083	X				12/12/2014	10/25/2016	737					0	-18	
36 JPMORGAN HIGH YIELD FUND	4812CB083	X				12/19/2014	1/18/2017	23,945	24,494				0	-549	
37 JPMORGAN HIGH YIELD FUND	4812CB083	X				7/24/2015	1/18/2017	3,306	3,346				0	-40	
38 JPMORGAN HIGH YIELD FUND	4812CB083	X				8/25/2016	1/18/2017	7,539	7,488				0	51	
39 JPMORGAN HIGH YIELD FUND	4812CB083	X				12/12/2014	1/18/2017	96	99				0	-3	
40 JPMORGAN HIGH YIELD FUND	4812CB083	X				4/22/2016	1/18/2017	2,088	1,995				0	93	
41 MFS VALUE FUND-CLASS I #	552983694	X				8/25/2016	10/3/2016	687	900				12	-1	
42 MFS VALUE FUND-CLASS I #	552983694	X				7/24/2015	1/18/2017	6,500	6,287				0	213	
43 MFS VALUE FUND-CLASS I #	552983694	X				8/25/2016	1/18/2017	5,560	5,464				0	96	
44 MFS VALUE FUND-CLASS I #	552983694	X				8/18/2014	1/18/2017	7,207	6,984				0	343	
45 MFS VALUE FUND-CLASS I #	552983694	X				8/18/2014	7/25/2017	4,580	4,012				0	548	
46 ASG GLOBAL ALTERNATIVES	638721885	X				7/12/2013	1/18/2017	1,245	1,392				0	-147	
47 NEUBERGER BERMAN LONG	84129R608	X				5/24/2017	7/25/2017	988	963				0	25	
48 OPPENHEIMER DEVELOPING	683974604	X				2/17/2011	10/25/2016	2,854	2,849				0	5	
49 OPPENHEIMER DEVELOPING	683974604	X				7/12/2013	10/25/2016	584	580				0	4	
50 OPPENHEIMER DEVELOPING	683974604	X				7/12/2013	4/20/2017	4,384	4,165				0	199	
51 OPPENHEIMER DEVELOPING	683974604	X				7/12/2013	7/25/2017	3,868	3,191				0	321	
52 OPPENHEIMER DEVELOPING	683974604	X				3/7/2012	7/25/2017	3,866					0	675	
53 OPPENHEIMER DEVELOPING	683974604	X				8/10/2012	7/25/2017	1,178	960				0	218	
54 BOSTON P LONGSHIRE RES-IN	74925K561	X				7/12/2013	1/18/2017	843	719				0	124	
55 T ROWE PRICE OVERSEAS STO	77958H435	X				4/24/2017	7/25/2017	2,533	2,348				0	185	
56 T ROWE PRICE INST FLOAT	77958H402	X				1/23/2014	1/18/2017	1,846	1,886				0	-40	
57 T ROWE PRICE INST FLOAT	77958H402	X				4/22/2014	1/18/2017	1,979	1,105				0	874	
58 T ROWE PRICE INST FLOAT	77958H402	X				8/25/2016	1/18/2017	3,313	3,280				0	33	
59 T ROWE PRICE INST FLOAT	77958H402	X				1/16/2014	1/18/2017	1,424	1,454				0	-30	
60 T ROWE PRICE INST FLOAT	77958H402	X				4/22/2016	1/18/2017	1,255	1,231				0	24	
61 SPDR DJ WILSHIRE INTERNA	78463X863	X				9/30/2009	10/3/2016	6,630	5,720				0	910	
62 SPDR DJ WILSHIRE INTERNA	78463X863	X				11/20/2009	10/3/2016	2,237	1,914				0	323	
63 SPDR DJ WILSHIRE INTERNA	78463X863	X				11/20/2009	4/20/2017	1,072	993				0	79	
64 STERLING CAPITAL STRAT	85917K546	X				6/18/2014	1/18/2017	2,975	2,804				0	171	
65 STERLING CAPITAL STRAT	85917K546	X				4/24/2017	5/24/2017	1,584	1,562				0	2	
66 VANGUARD REIT VIPER	922908553	X				9/30/2009	10/3/2016	5,043	2,186				0	1,544	
67 VANGUARD REIT VIPER	922908553	X				8/21/2009	10/3/2016	13,335	5,342				0	2,857	
68 VANGUARD REIT VIPER	922908553	X				8/21/2009	10/3/2016	13,335	5,342				0	7,993	

Part I, Line 16b (990-PF) - Accounting Fees

		833	0	0	833
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	TAX PREP FEES	833			833

Part I, Line 18 (990-PF) - Taxes

		216	216	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	FOREIGN TAX WITHHELD	216	216		

Part I, Line 23 (990-PF) - Other Expenses

		10	0	0	10
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	STATE AG FEES	10	0		10

Part II, Line 13 (990-PF) - Investments - Other

Asset Description		Basis of Valuation	Book Value Beg. of Year	Book Value End of Year	FMV End of Year
1				0	
2	MERGER FUND-INST #301		0	27,492	28,115
3	ARTISAN MID CAP FUND-INSTL 1333		0	54,235	62,824
4	MET WEST TOTAL RETURN BOND CL I 51		0	124,099	122,869
5	FID ADV EMER MKTS INC- CL I 607		0	79,653	84,717
6	EATON VANCE GLOBAL MACRO - I		0	42,084	41,963
7	DODGE & COX INT'L STOCK FD 1048		0	33,317	50,671
8	AMER CENT SMALL CAP GRWTH INST 33		0	17,414	21,782
9	MFS VALUE FUND-CLASS I 893		0	111,472	156,329
10	JP MORGAN MID CAP VALUE-I 758		0	44,511	64,490
11	STERLING CAPITAL STRATTON SMALL CA		0	18,721	22,450
12	T ROWE PR OVERSEAS STOCK-I #521		0	45,514	50,798
13	T ROWE PR REAL ESTATE-I #432		0	76,626	76,851
14	ASG GLOBAL ALTERNATIVES-Y 1993		0	29,258	28,682
15	VANGUARD REIT VIPER		0	9,058	20,191
16	AQR MANAGED FUTURES STR-I		0	47,794	42,037
17	VANGUARD INFLAT-PROT SECS-ADM 511		0	9,296	9,105
18	JPMORGAN HIGH YIELD FUND SS 3580		0	18,117	19,165
19	T ROWE PRICE INST FLOAT RATE 170		0	8,426	8,507
20	BLACKROCK GL L/S CREDIT-K #1940		0	48,612	49,399
21	OPPENHEIMER DEVELOPING MKT-I 799		0	66,575	87,447
22	SPDR DJ WILSHIRE INTERNATIONAL REA		0	37,759	40,913
23	ROBECO BP LNG/SHRT RES-INS		0	19,256	21,550
24	NEUBERGER BERMAN LONG SH-INS #183		0	46,364	49,395
25	DODGE & COX INCOME FD COM 147		0	54,746	56,702
26	HARBOR CAPITAL APRCQION-INST 2012		0	83,099	159,357

Part III (990-PF) - Changes in Net Assets or Fund Balances

Line 3 - Other increases not included in Part III, Line 2

1	<u>FEDERAL EXCISE TAX REFUND</u>	1	<u>790</u>
2	<u>ROUNDING</u>	2	<u>7</u>
3	<u>Total</u>	3	<u>797</u>

Line 5 - Decreases not included in Part III, Line 2

1	<u>MUTUAL FUND TIMING DIFFERENCE</u>	1	<u>26</u>
2	<u>Total</u>	2	<u>26</u>

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions		23,717	Short Term CG Distributions		0	Amount		0	321,221	0	144	288,742	32,623	0	Excess of FMV Over Adjusted Basis	0	Adjusted Basis as of 12/31/69	F M V as of 12/31/69	Gain or Loss	Cost or Other Basis Plus Expense of	Depreciation Allowed	Adjustments	Gross Sales Price	Date Sold	Acquisition Method	Date Acquired	CUSIP #	Description of Property Sold
1	BLACKROCK GL US CREDIT	091937732							1,760			1,820	-60		0		0	0	-60	1,820				10/25/2016	10/25/2016		091937732	BLACKROCK GL US CREDIT
2	CREDIT SUISSE COMM RET	22544R305							966			1,399	-433		0		0	0	-433	1,399				10/25/2016	10/25/2016		22544R305	CREDIT SUISSE COMM RET
3	CREDIT SUISSE COMM RET	22544R305							3,027			4,255	-1,228		0		0	0	-1,228	4,255				1/18/2017	1/18/2017		22544R305	CREDIT SUISSE COMM RET
4	CREDIT SUISSE COMM RET	22544R305							7,549			8,594	-1,045		0		0	0	-1,045	8,594				1/18/2017	1/18/2017		22544R305	CREDIT SUISSE COMM RET
5	CREDIT SUISSE COMM RET	22544R305							1,941			1,824	117		0		0	0	117	1,824				1/18/2017	1/18/2017		22544R305	CREDIT SUISSE COMM RET
6	CREDIT SUISSE COMM RET	22544R305							1,057			893	164		0		0	0	164	893				1/18/2017	1/18/2017		22544R305	CREDIT SUISSE COMM RET
7	DODGE & COX INTL STOCK	256206103							1,826			1,651	175		0		0	0	175	1,651				10/25/2016	10/25/2016		256206103	DODGE & COX INTL STOCK
8	DODGE & COX INTL STOCK	256206103							909			703	206		0		0	0	206	703				7/25/2017	7/25/2017		256206103	DODGE & COX INTL STOCK
9	DODGE & COX INTL STOCK	256206103							4,064			2,948	1,136		0		0	0	1,136	2,948				4/20/2017	4/20/2017		256206103	DODGE & COX INTL STOCK
10	DODGE & COX INCOME FD C	256210105							7,320			7,379	-59		0		0	0	-59	7,379				10/25/2016	10/25/2016		256210105	DODGE & COX INCOME FD C
11	DODGE & COX INCOME FD C	256210105							1,104			1,099	5		0		0	0	5	1,099				4/20/2017	4/20/2017		256210105	DODGE & COX INCOME FD C
12	EATON VANCE GLOBAL MAC	277923728							1,333			1,337	-4		0		0	0	-4	1,337				10/25/2016	10/25/2016		277923728	EATON VANCE GLOBAL MAC
13	FID ADV EMER MKTS INC. CL	315920702							2,880			2,854	26		0		0	0	26	2,854				10/25/2016	10/25/2016		315920702	FID ADV EMER MKTS INC. CL
14	HARBOR CAPITAL APRTION	41151504							4,906			5,028	-122		0		0	0	-122	5,028				10/3/2016	10/3/2016		41151504	HARBOR CAPITAL APRTION
15	HARBOR CAPITAL APRTION	41151504							491			500	-9		0		0	0	-9	500				10/3/2016	10/3/2016		41151504	HARBOR CAPITAL APRTION
16	HARBOR CAPITAL APRTION	41151504							4,895			5,023	-128		0		0	0	-128	5,023				10/25/2016	10/25/2016		41151504	HARBOR CAPITAL APRTION
17	INV BALANCE RISK COMM S	00888Y508							1,099			1,082	17		0		0	0	17	1,082				10/25/2016	10/25/2016		00888Y508	INV BALANCE RISK COMM S
18	INV BALANCE RISK COMM S	00888Y508							13,196			13,064	132		0		0	0	132	13,064				1/18/2017	1/18/2017		00888Y508	INV BALANCE RISK COMM S
19	AMER CENT SMALL CAP GRV	025083320							3,555			3,628	-63		0		0	0	-63	3,628				1/18/2017	1/18/2017		025083320	AMER CENT SMALL CAP GRV
20	AMER CENT SMALL CAP GRV	025083320							21,585			20,491	1,094		0		0	0	1,094	20,491				5/24/2017	5/24/2017		025083320	AMER CENT SMALL CAP GRV
21	AMER CENT SMALL CAP GRV	025083320							1,090			974	116		0		0	0	116	974				7/25/2017	7/25/2017		025083320	AMER CENT SMALL CAP GRV
22	ARTISAN INTERNATIONAL FC	04314H402							43,272			28,683	13,589		0		0	0	13,589	28,683				4/20/2017	4/20/2017		04314H402	ARTISAN INTERNATIONAL FC
23	ARTISAN INTERNATIONAL FC	04314H402							4,124			2,866	1,258		0		0	0	1,258	2,866				4/20/2017	4/20/2017		04314H402	ARTISAN INTERNATIONAL FC
24	ARTISAN INTERNATIONAL FC	04314H402							2,072			1,945	127		0		0	0	127	1,945				4/20/2017	4/20/2017		04314H402	ARTISAN INTERNATIONAL FC
25	ARTISAN MID CAP FUND-INS	04314H600							878			970	-92		0		0	0	-92	970				7/25/2017	7/25/2017		04314H600	ARTISAN MID CAP FUND-INS
26	ARTISAN MID CAP FUND-INS	04314H600							4,012			4,151	-139		0		0	0	-139	4,151				7/25/2017	7/25/2017		04314H600	ARTISAN MID CAP FUND-INS
27	HARBOR CAPITAL APRTION	41151504							2,264			2,314	-50		0		0	0	-50	2,314				10/25/2016	10/25/2016		41151504	HARBOR CAPITAL APRTION
28	HARBOR CAPITAL APRTION	41151504							3,631			3,657	-26		0		0	0	-26	3,657				1/18/2017	1/18/2017		41151504	HARBOR CAPITAL APRTION
29	HARBOR CAPITAL APRTION	41151504							471			500	-29		0		0	0	-29	500				1/18/2017	1/18/2017		41151504	HARBOR CAPITAL APRTION
30	HARBOR CAPITAL APRTION	41151504							1,372			1,414	-42		0		0	0	-42	1,414				1/18/2017	1/18/2017		41151504	HARBOR CAPITAL APRTION
31	HARBOR CAPITAL APRTION	41151504							5,030			4,872	158		0		0	0	158	4,872				4/20/2017	4/20/2017		41151504	HARBOR CAPITAL APRTION
32	HARBOR CAPITAL APRTION	41151504							8,602			7,471	1,131		0		0	0	1,131	7,471				7/25/2017	7/25/2017		41151504	HARBOR CAPITAL APRTION
33	HARBOR CAPITAL APRTION	41151504							5,842			5,017	825		0		0	0	825	5,017				10/24/2014	10/24/2014		41151504	HARBOR CAPITAL APRTION
34	JPMORGAN HIGH YIELD FUND	4812C0803							1,533			1,671	-138		0		0	0	-138	1,671				1/16/2014	10/25/2016		4812C0803	JPMORGAN HIGH YIELD FUND
35	JPMORGAN HIGH YIELD FUND	4812C0803							719			737	-18		0		0	0	-18	737				10/25/2016	10/25/2016		4812C0803	JPMORGAN HIGH YIELD FUND
36	JPMORGAN HIGH YIELD FUND	4812C0803							23,945			24,494	-549		0		0	0	-549	24,494				1/18/2017	1/18/2017		4812C0803	JPMORGAN HIGH YIELD FUND
37	JPMORGAN HIGH YIELD FUND	4812C0803							3,306			3,346	-40		0		0	0	-40	3,346				1/18/2017	1/18/2017		4812C0803	JPMORGAN HIGH YIELD FUND
38	JPMORGAN HIGH YIELD FUND	4812C0803							7,539			7,488	51		0		0	0	51	7,488				1/18/2017	1/18/2017		4812C0803	JPMORGAN HIGH YIELD FUND
39	JPMORGAN HIGH YIELD FUND	4812C0803							96			99	-3		0		0	0	-3	99				1/18/2017	1/18/2017		4812C0803	JPMORGAN HIGH YIELD FUND
40	JPMORGAN HIGH YIELD FUND	4812C0803							2,068			1,995	73		0		0	0	73	1,995				4/22/2016	1/18/2017		4812C0803	JPMORGAN HIGH YIELD FUND
41	MFS VALUE FUND-CLASS I #	552983694							887			900	-13		0		0	0	-13	900				10/3/2016	10/3/2016		552983694	MFS VALUE FUND-CLASS I #
42	MFS VALUE FUND-CLASS I #	552983694							8,500			8,281	219		0		0	0	219	8,281				1/18/2017	1/18/2017		552983694	MFS VALUE FUND-CLASS I #
43	MFS VALUE FUND-CLASS I #	552983694							5,560			5,464	96		0		0	0	96	5,464				8/25/2016	1/18/2017		552983694	MFS VALUE FUND-CLASS I #
44	MFS VALUE FUND-CLASS I #	552983694							7,307			6,964	343		0		0	0	343	6,964				6/19/2014	1/18/2017		552983694	MFS VALUE FUND-CLASS I #
45	MFS VALUE FUND-CLASS I #	552983694							4,560			4,012	548		0		0	0	548	4,012				1/25/2017	1/25/2017		552983694	MFS VALUE FUND-CLASS I #
46	ASSG GLOBAL ALTERNATIVES	638727885							1,245			1,392	-147		0		0	0	-147	1,392				1/18/2017	1/18/2017		638727885	ASSG GLOBAL ALTERNATIVES
47	NEUBERGER BERMAN LONG	64128R608							988			983	5		0		0	0	5	983				5/24/2017	7/25/2017		64128R608	NEUBERGER BERMAN LONG
48	OPPENHEIMER DEVELOPING	683974604							2,849			2,849	0		0		0	0	0	2,849				2/17/2011	10/25/2016		683974604	OPPENHEIMER DEVELOPING
49	OPPENHEIMER DEVELOPING	683974604							584			580	4		0		0	0	4	580				7/12/2013	10/25/2016		683974604	OPPENHEIMER DEVELOPING
50	OPPENHEIMER DEVELOPING	683974604							4,364			4,165	199		0		0	0	199	4,165				7/12/2013	4/20/2017		683974604	OPPENHEIMER DEVELOPING
51	OPPENHEIMER DEVELOPING	683974604							2,324			2,003	321		0		0	0	321	2,003				7/12/2013	7/25/2017		683974604	OPPENHEIMER DEVELOPING
52	OPPENHEIMER DEVELOPING	683974604							3,866			3,191	675		0		0	0	675	3,191				3/7/2012	7/25/2017		683974604	OPPENHEIMER DEVELOPING
53	OPPENHEIMER DEVELOPING	683974604							1,178			960	218		0		0	0	218	960				8/10/2012	7/25/2017		683974604	OPPENHEIMER DEVELOPING
54	BOSTON P LONG/SHRT RES-H	74925K581							843			719	124		0		0	0	124	719				1/18/2017	1/18/2017		74925K581	BOSTON P LONG/SHRT RES-H
55	T ROWE PR OVERSEAS STO	77956H435							2,533			2,348	185		0		0	0	185	2,348				4/24/2017	7/25/2017		77956H435	T ROWE PR OVERSEAS STO
56	T ROWE PRICE INST FLOAT	77956B402							1,846			1,866	-40		0		0	0	-40	1,866				1/18/2017	1/18/2017		77956B402	T ROWE PRICE INST FLOAT
57	T ROWE PRICE INST FLOAT	77956B402							7,979			8,105	-126		0		0	0	-126	8,105								

Part VI, Line 6a (990-PF) - Estimated Tax Payments

	Date	1	Amount
1 Credit from prior year return		1	211
2 First quarter estimated tax payment		2	
3 Second quarter estimated tax payment		3	
4 Third quarter estimated tax payment		4	
5 Fourth quarter estimated tax payment		5	
6 Other payments		6	
7 Total		7	211

Part XIII, Line 2a, Column C (990-PF) - Prior Year Undistributed Income

1	Distributable amounts for 2015 that remained undistributed at the beginning of the 2016 tax year	1	57,968
2		2	
3		3	
4		4	
5		5	
6		6	
7		7	
8		8	
9		9	
10	Total	10	57,968