

**Form 990-PF**

Department of the Treasury  
Internal Revenue Service

## Return of Private Foundation

### **or Section 4947(a)(1) Trust Treated as Private Foundation**

- Do not enter social security numbers on this form as it may be made public.
- Information about Form 990-PF and its instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

2016

## **Open to Public Inspection**

**For calendar year 2016, or tax year beginning 10-01-2016 , and ending 09-30-2017**

Name of foundation HUTTO-PATTERSON CHARITABLE FOUNDATION FOUNDATION		<b>A Employer identification number</b> 95-4181302			
Number and street (or P O box number if mail is not delivered to street address) 13949 VENTURA BLVD SUITE 215		Room/suite			
City or town, state or province, country, and ZIP or foreign postal code SHERMAN OAKS, CA 91423		<b>B Telephone number (see instructions)</b> (818) 921-3980			
<b>G Check all that apply</b>		<b>C If exemption application is pending, check here</b> ► <input type="checkbox"/>			
<input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>D 1. Foreign organizations, check here</b> ► <input type="checkbox"/>			
		<b>2. Foreign organizations meeting the 85% test, check here and attach computation</b> ► <input type="checkbox"/>			
<b>H Check type of organization</b>		<b>E If private foundation status was terminated under section 507(b)(1)(A), check here</b> ► <input type="checkbox"/>			
<input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here</b> ► <input type="checkbox"/>			
<b>I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ► \$ 7,743,368</b>		<b>J Accounting method</b> <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) <i>(Part I, column (d) must be on cash basis)</i>			
<b>Part I Analysis of Revenue and Expenses</b> <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</i>		<b>(a)</b> Revenue and expenses per books	<b>(b)</b> Net investment income	<b>(c)</b> Adjusted net income	<b>(d)</b> Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	<b>1</b> Contributions, gifts, grants, etc., received (attach schedule)				
	<b>2</b> Check ► <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B				
	<b>3</b> Interest on savings and temporary cash investments				
	<b>4</b> Dividends and interest from securities	264,300	264,300		
	<b>5a</b> Gross rents				
	<b>b</b> Net rental income or (loss)				
	<b>6a</b> Net gain or (loss) from sale of assets not on line 10	174,183			
	<b>b</b> Gross sales price for all assets on line 6a	680,423			
	<b>7</b> Capital gain net income (from Part IV, line 2)		174,183		
	<b>8</b> Net short-term capital gain				
	<b>9</b> Income modifications				
	<b>10a</b> Gross sales less returns and allowances				
<b>b</b> Less Cost of goods sold					
<b>c</b> Gross profit or (loss) (attach schedule)					
<b>11</b> Other income (attach schedule)	-10,697	135			
<b>12 Total.</b> Add lines 1 through 11	427,786	438,618			
<b>Operating and Administrative Expenses</b>	<b>13</b> Compensation of officers, directors, trustees, etc	74,412	37,208		37,208
	<b>14</b> Other employee salaries and wages				
	<b>15</b> Pension plans, employee benefits				
	<b>16a</b> Legal fees (attach schedule)				
	<b>b</b> Accounting fees (attach schedule)	15,720	7,860		7,860
	<b>c</b> Other professional fees (attach schedule)	56,780	56,780		
	<b>17</b> Interest	324	324		
	<b>18</b> Taxes (attach schedule) (see instructions)	17,137	17,137		
	<b>19</b> Depreciation (attach schedule) and depletion				
	<b>20</b> Occupancy				
	<b>21</b> Travel, conferences, and meetings	8,636	8,636		
	<b>22</b> Printing and publications				
	<b>23</b> Other expenses (attach schedule)	11,503	11,503		
	<b>24 Total operating and administrative expenses.</b>	184,512	139,448		45,068
<b>25</b> Contributions, gifts, grants paid	758,333			758,333	
<b>26 Total expenses and disbursements.</b> Add lines 24 and 25	942,845	139,448		803,401	
<b>27</b> Subtract line 26 from line 12					
<b>a</b> <b>Excess of revenue over expenses and disbursements</b>	-515,059				
<b>b</b> <b>Net investment income</b> (if negative, enter -0-)		299,170			
<b>c</b> <b>Adjusted net income</b> (if negative, enter -0-)					

	<b>Part II Balance Sheets</b>	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
	<b>1</b> Cash—non-interest-bearing . . . . .		295,630	164,621	164,621
	<b>2</b> Savings and temporary cash investments . . . . .				
	<b>3</b> Accounts receivable ► . . . . .				
	Less allowance for doubtful accounts ► . . . . .				
	<b>4</b> Pledges receivable ► . . . . .				
	Less allowance for doubtful accounts ► . . . . .				
	<b>5</b> Grants receivable . . . . .				
	<b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .				
	<b>7</b> Other notes and loans receivable (attach schedule) ► . . . . .				
	Less allowance for doubtful accounts ► . . . . .				
	<b>8</b> Inventories for sale or use . . . . .				
	<b>9</b> Prepaid expenses and deferred charges . . . . .				
	<b>10a</b> Investments—U S and state government obligations (attach schedule)				
	<b>b</b> Investments—corporate stock (attach schedule) . . . . .		4,869,232	4,500,747	7,578,747
	<b>c</b> Investments—corporate bonds (attach schedule) . . . . .				
	<b>11</b> Investments—land, buildings, and equipment basis ► . . . . .				
	Less accumulated depreciation (attach schedule) ► . . . . .				
	<b>12</b> Investments—mortgage loans . . . . .				
	<b>13</b> Investments—other (attach schedule) . . . . .				
	<b>14</b> Land, buildings, and equipment basis ► . . . . .				
	Less accumulated depreciation (attach schedule) ► . . . . .				
	<b>15</b> Other assets (describe ► . . . . .)				
	<b>16</b> <b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)		5,164,862	4,665,368	7,743,368
	<b>17</b> Accounts payable and accrued expenses . . . . .				
	<b>18</b> Grants payable . . . . .				
	<b>19</b> Deferred revenue . . . . .				
	<b>20</b> Loans from officers, directors, trustees, and other disqualified persons				
	<b>21</b> Mortgages and other notes payable (attach schedule) . . . . .				
	<b>22</b> Other liabilities (describe ► . . . . .)				
	<b>23</b> <b>Total liabilities</b> (add lines 17 through 22) . . . . .		0		
	<b>Foundations that follow SFAS 117, check here ► <input type="checkbox"/></b> <b>and complete lines 24 through 26 and lines 30 and 31.</b>				
	<b>24</b> Unrestricted . . . . .				
	<b>25</b> Temporarily restricted . . . . .				
	<b>26</b> Permanently restricted . . . . .				
	<b>Foundations that do not follow SFAS 117, check here ► <input checked="" type="checkbox"/></b> <b>and complete lines 27 through 31.</b>				
	<b>27</b> Capital stock, trust principal, or current funds . . . . .				
	<b>28</b> Paid-in or capital surplus, or land, bldg , and equipment fund				
	<b>29</b> Retained earnings, accumulated income, endowment, or other funds		5,164,862	4,665,368	
	<b>30</b> <b>Total net assets or fund balances</b> (see instructions) . . . . .		5,164,862	4,665,368	
	<b>31</b> <b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .		5,164,862	4,665,368	

**Part III Analysis of Changes in Net Assets or Fund Balances**

<b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	<b>1</b>	5,164,862
<b>2</b> Enter amount from Part I, line 27a . . . . .	<b>2</b>	-515,059
<b>3</b> Other increases not included in line 2 (itemize) ► . . . . .	<b>3</b>	15,565
<b>4</b> Add lines 1, 2, and 3 . . . . .	<b>4</b>	4,665,368
<b>5</b> Decreases not included in line 2 (itemize) ► . . . . .	<b>5</b>	
<b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	<b>6</b>	4,665,368

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1 a LONG TERM (ATTACHMENT A)</b>			P		
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
<b>a</b> 680,423		506,240	174,183		
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
<b>a</b>			174,183		
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
<b>2</b> Capital gain net income or (net capital loss)		If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	<b>2</b>	174,183	
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		<b>3</b>			

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

 Yes  No

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

**1** Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	1,063,266	7,448,802	0 142743
2014	949,373	8,549,220	0 111048
2013	1,318,149	9,980,676	0 132070
2012	1,116,103	9,426,222	0 118404
2011	1,182,922	8,579,856	0 137872
<b>2</b> Total of line 1, column (d)			<b>2</b> 0 642137
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			<b>3</b> 0 128427
<b>4</b> Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			<b>4</b> 7,424,425
<b>5</b> Multiply line 4 by line 3			<b>5</b> 953,497
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)			<b>6</b> 2,992
<b>7</b> Add lines 5 and 6			<b>7</b> 956,489
<b>8</b> Enter qualifying distributions from Part XIII, line 4			<b>8</b> 803,401

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1	1	5,983
	Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input type="checkbox"/> and enter 1% of Part I, line 27b	1	5,983
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2.	3	5,983
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3 If zero or less, enter -0-	5	5,983
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	2,655
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	9,500
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments Add lines 6a through 6d.	7	12,155
8	Enter any <b>penalty</b> for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	2
9	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	9	
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	10	6,170
11	Enter the amount of line 10 to be <b>Credited to 2017 estimated tax</b> ►	6,170	Refunded ►
		11	

**Part VII-A Statements Regarding Activities**

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b	Yes	No
	<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>			
c	Did the foundation file <b>Form 1120-POL</b> for this year?	1c	Yes	No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year			
(1)	On the foundation ► \$ _____	(2)	On foundation managers ► \$ _____	
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2	Yes	No
	<i>If "Yes," attach a detailed description of the activities</i>			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	Yes	No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	Yes	No
b	If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?	4b	Yes	No
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5	Yes	No
	<i>If "Yes," attach the statement required by General Instruction T</i>			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either <ul style="list-style-type: none"> <li>By language in the governing instrument, or</li> <li>By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?</li> </ul>	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions)			
	►CA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	Yes	No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	Yes	No

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11	No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ►N/A	13	Yes
14	The books are in care of ►HW LLP	Telephone no ►(818) 921-3980	
Located at ►13949 VENTURA BLVD SUITE 215 SHERMAN OAKS CA			ZIP+4 ►91423
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here and enter the amount of tax-exempt interest received or accrued during the year.	► 15	
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ►	16	Yes No

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		
		Yes No
1a	During the year did the foundation (either directly or indirectly)	
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
b	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here.	► <input type="checkbox"/> No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	► <input type="checkbox"/> No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))	
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years ► 20____, 20____, 20____, 20____	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions) ►	2b <input type="checkbox"/> No
c	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____	
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016).	3b <input type="checkbox"/> No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a <input type="checkbox"/> No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b <input type="checkbox"/> No

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)**

- 5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? . . . . .  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). . . . .  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . .  Yes  No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? . . . . . Organizations relying on a current notice regarding disaster assistance check here. . . . . ►

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . .  Yes  No  
*If "Yes," attach the statement required by Regulations section 53.4945–5(d)*

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . .  Yes  No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . . If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? . . . . .

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1. List all officers, directors, trustees, foundation managers and their compensation (see instructions).

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, (e) other allowances
CATHERINE HUTTO GORDON PO BOX 80880 SAN MARINO, CA 91118	PRESIDENT 000 00	18,603	0	0
EILEEN C HUTTO PO BOX 80880 SAN MARINO, CA 91118	VICE PRESIDE 000 00	18,603	0	0
HARRY L HATHAWAY PO BOX 80880 SAN MARINO, CA 91118	TRUSTEE 000 00	18,603	0	0
DOUGLAS JOHNSON PO BOX 80880 SAN MARINO, CA 91118	EMERITUS TRU 000 00	18,603	0	0

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	Contributions to employee benefit plans and deferred (d) compensation	Expense account, (e) other allowances
NONE				
<b>Total</b> number of other employees paid over \$50,000.				

**Total** number of other employees paid over \$50,000. . . . .

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**
**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services. . . . . ►

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1		
2		
3		
4		

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1 N/A		
2		

All other program-related investments. See instructions		
3		

**Total. Add lines 1 through 3 . . . . . ►**

**Part X Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations, see instructions )

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities. . . . .	1a	7,273,496
b	Average of monthly cash balances. . . . .	1b	263,991
c	Fair market value of all other assets (see instructions). . . . .	1c	0
d	<b>Total</b> (add lines 1a, b, and c). . . . .	1d	7,537,487
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	1e	
2	Acquisition indebtedness applicable to line 1 assets. . . . .	2	
3	Subtract line 2 from line 1d. . . . .	3	7,537,487
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	4	113,062
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4	5	7,424,425
6	<b>Minimum investment return.</b> Enter 5% of line 5. . . . .	6	371,221

**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign**Part XI** organizations check here ►  and do not complete this part )

1	Minimum investment return from Part X, line 6. . . . .	1	371,221
2a	Tax on investment income for 2016 from Part VI, line 5. . . . .	2a	5,983
b	Income tax for 2016 (This does not include the tax from Part VI). . . . .	2b	
c	Add lines 2a and 2b. . . . .	2c	5,983
3	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	3	365,238
4	Recoveries of amounts treated as qualifying distributions. . . . .	4	
5	Add lines 3 and 4. . . . .	5	365,238
6	Deduction from distributable amount (see instructions). . . . .	6	
7	<b>Distributable amount</b> as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	7	365,238

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	1a	803,401
b	Program-related investments—total from Part IX-B. . . . .	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required). . . . .	3a	
b	Cash distribution test (attach the required schedule). . . . .	3b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	803,401
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	5	
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .	6	803,401
<b>Note:</b> The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

**Part XIII Undistributed Income (see instructions)**

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
<b>1</b> Distributable amount for 2016 from Part XI, line 7				365,238
<b>2</b> Undistributed income, if any, as of the end of 2016				
<b>a</b> Enter amount for 2015 only. . . . .				
<b>b</b> Total for prior years 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2016				
<b>a</b> From 2011. . . . .	763,677			
<b>b</b> From 2012. . . . .	652,424			
<b>c</b> From 2013. . . . .	833,945			
<b>d</b> From 2014. . . . .	530,974			
<b>e</b> From 2015. . . . .	696,182			
<b>f</b> <b>Total</b> of lines 3a through e. . . . .	3,477,202			
<b>4</b> Qualifying distributions for 2016 from Part XII, line 4 ► \$ 803,401				
<b>a</b> Applied to 2015, but not more than line 2a				
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .				
<b>d</b> Applied to 2016 distributable amount. . . . .				365,238
<b>e</b> Remaining amount distributed out of corpus	438,163			
<b>5</b> Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a) )				
<b>6</b> <b>Enter the net total of each column as indicated below:</b>				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	3,915,365			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b. . . . .				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .				
<b>e</b> Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .				
<b>f</b> Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017. . . . .				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . .				
<b>8</b> Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) . . . . .	763,677			
<b>9</b> <b>Excess distributions carryover to 2017.</b> Subtract lines 7 and 8 from line 6a. . . . .	3,151,688			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2012. . . . .	652,424			
<b>b</b> Excess from 2013. . . . .	833,945			
<b>c</b> Excess from 2014. . . . .	530,974			
<b>d</b> Excess from 2015. . . . .	696,182			
<b>e</b> Excess from 2016. . . . .	438,163			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a	If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ►			
b	Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)			
2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.			
b	85% of line 2a.			
c	Qualifying distributions from Part XII, line 4 for each year listed.			
d	Amounts included in line 2c not used directly for active conduct of exempt activities.			
e	Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c.			
3	Complete 3a, b, or c for the alternative test relied upon			
a	"Assets" alternative test—enter			
(1)	Value of all assets.			
(2)	Value of assets qualifying under section 4942(j)(3)(B)(i)			
b	"Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.			
c	"Support" alternative test—enter			
(1)	Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).			
(2)	Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).			
(3)	Largest amount of support from an exempt organization			
(4)	Gross investment income			

**Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)****1 Information Regarding Foundation Managers:**

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ►  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

- a The name, address, and telephone number or email address of the person to whom applications should be addressed
- b The form in which applications should be submitted and information and materials they should include
- c Any submission deadlines
- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

## **Part XV    Supplementary Information (continued)**

### **3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
<b>1</b> Program service revenue					
a					
b					
c					
d					
e					
f					
<b>g</b> Fees and contracts from government agencies					
<b>2</b> Membership dues and assessments.					
<b>3</b> Interest on savings and temporary cash investments					
<b>4</b> Dividends and interest from securities.			14	264,300	
<b>5</b> Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
<b>6</b> Net rental income or (loss) from personal property					
<b>7</b> Other investment income.			1	135	
<b>8</b> Gain or (loss) from sales of assets other than inventory					174,183
<b>9</b> Net income or (loss) from special events					
<b>10</b> Gross profit or (loss) from sales of inventory					
<b>11</b> Other revenue					
a SEE ATTACHED LISTING	900099	-10,832			
b					
c					
d					
e					
<b>12</b> Subtotal Add columns (b), (d), and (e).		-10,832		264,435	174,183
<b>13</b> Total. Add line 12, columns (b), (d), and (e).					427,786

**13 Total:** Add line 12, columns (b), (d), and (e).  
(See worksheet in line 13 instructions to verify calculations.)

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

## Part XVII

## **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a Transfers from the reporting foundation to a noncharitable exempt organization of

(1) Cash. . .

**(1) Sales of assets to a noncharitable exempt organization.**

**(2) Purchases of assets from a noncharitable exempt organization.**

**(3) Rental of facilities, equipment, or other assets.**

**(4) Reimbursement arrangements.**

**(5) Loans or loan guarantees.**

**(6) Performance of services or membership or fundraising solicitations.**

Sharing of facilities, equipment, mailing lists, other assets, or paid employees

	Yes	No
a(1)		No
a(2)		No
b(1)		No
b(2)		No
b(3)		No
b(4)		No
b(5)		No
b(6)		No
1c		No

**d** If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations

described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . . .  Yes  No

**b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign** Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

\* \* \* \* \*

2018-08-07

\*\*\* \* \* \*

May the IRS discuss this return  
with the preparer shown below  
(see instr.)?  Yes  No

**Sign  
Here**

**Paid  
Preparer  
Use Only**

<b>Paid Preparer Use Only</b>	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ► <input type="checkbox"/>	PTIN	
	LOUIS N HAMEL CPA		2018-08-07		P00392169	
	Firm's name ► HW LLP				Firm's EIN ► 45-4205322	
	Firm's address ► 13949 VENTURA BOULEVARD SUITE 215 SHERMAN OAKS, CA 91423				Phone no (818) 921-3980	

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i>				
UOP SCHOOL OF DENTISTRY 155 5TH ST SAN FRANCISCO, CA 94103	NONE	PC	PEDIATRIC DENTISTRY CLINIC	50,000
ART CENTER COLLEGE OF DESIGN 1700 LINDA ST PASADENA, CA 91103	NONE	PC	ART PROGRAM	50,000
HOPE HAVEN WEST 1878 E HATCH RD MODESTO, CA 95351	NONE	PC	WHEELCHAIRS FOR THE DISABLED	500
CALTECH 551 S HILL AVENUE PASADENA, CA 91106	NONE	PC	AID CALTECH'S ONGOING OPERATIONS	3,000
GOOD SAMARITAN HOSPITAL 1225 WILSHIRE BLVD LOS ANGELES, CA 90017	NONE	PC	COMMUNITY PROGRAM	20,000
<b>Total . . . . .</b>			►	758,333
<b>3a</b>				

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i>				
USCUNITED FRIENDS OF THE CHILDREN 669 W 34TH ST 220 LOS ANGELES, CA 90089	NONE	PC	FOSTER CARE SUPPORT PROGRAM	62,500
USC OSTROW - MOBILE CLINIC 925 W 34TH ST LOS ANGELES, CA 90089	NONE	PC	PEDIATRIC DENTISTRY	150,000
USC SCHOOL OF SOCIAL WORK 669 W 34TH ST LOS ANGELES, CA 90089	NONE	PC	STUDENT SCHOLARSHIP IN MSW PROGRAM	150,000
MONROVIA SCHOOLS FOUNDATION PO BOX 2447 MONROVIA, CA 91017	NONE	PF	MUSIC PROGRAM	8,333
LIBRARY FOUNDATION OF LOS ANGELES 63 W FIFTH ST LOS ANGELES, CA 90071	NONE	PF	COMMUNITY PROGRAM	60,000
<b>Total . . . . .</b>			►	758,333
<b>3a</b>				

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i>				
UC BERKELEY - HEWLETT CHALLENGE 2080 ADDISON ST BERKELEY, CA 94720	NONE	PC	FACULTY PROGRAM	30,000
UC BERKELEY - BACKUP CHILDCARE 2080 ADDISON ST BERKLEY, CA 94720	NONE	PC	PROFESSIONAL DEVELOPMENT PROGRAM	74,000
UC BERKELEY - CONNECT PROGRAM 2080 ADDISON ST BERKELEY, CA 94720	NONE	PC	STUDENT PROGRAM	100,000
<b>Total . . . . .</b>				758,333
<b>3a</b>				

## TY 2016 Accounting Fees Schedule

**Name:** HUTTO-PATTERSON CHARITABLE FOUNDATION  
**EIN:** 95-4181302

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING AND BOOKKEEPING	15,720	7,860		7,860

## TY 2016 Investments Corporate Stock Schedule

**Name:** HUTTO-PATTERSON CHARITABLE FOUNDATION  
**EIN:** 95-4181302

Name of Stock	End of Year Book Value	End of Year Fair Market Value
COMMON STOCK (SEE ATT A)	4,341,040	7,493,817
MUTUAL FUNDS (SEE ATT A)		
OTHER EQUITY INVESTMENTS (SEE ATT A)	110,907	42,380
PREFERRED SECURITIES (SEE ATT A)	48,800	42,550

## TY 2016 Other Expenses Schedule

**Name:** HUTTO-PATTERSON CHARITABLE FOUNDATION  
**FOUNDATION**

**EIN:** 95-4181302

## Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
MEMBERSHIP DUES	2,942	2,942		
MISCELLANEOUS EXPENSE	1,338	1,338		
REIMBURSEMENT EXPENSE	391	391		
BOARD MEETINGS	6,832	6,832		

## TY 2016 Other Income Schedule

**Name:** HUTTO-PATTERSON CHARITABLE FOUNDATION  
**EIN:** 95-4181302

## Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
TAX REFUND	135	135	
SEE ATTACHED LISTING	-10,832		

## TY 2016 Other Increases Schedule

**Name:** HUTTO-PATTERSON CHARITABLE FOUNDATION  
**EIN:** 95-4181302

Description	Amount
ADJ FOR ACQ TO EQUITY METHOD OF INVEST	15,565

## TY 2016 Other Professional Fees Schedule

**Name:** HUTTO-PATTERSON CHARITABLE FOUNDATION  
**EIN:** 95-4181302

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MANAGEMENT FEES	56,780	56,780		

## TY 2016 Taxes Schedule

**Name:** HUTTO-PATTERSON CHARITABLE FOUNDATION  
**EIN:** 95-4181302

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX WITHHELD	16,262	16,262		
FEDERAL TAXES	800	800		
STATE TAXES	75	75		

Equities	Common stock	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Sep 30 (\$)	Value on Sep 30 (\$)	Unrealized gain or loss (\$)	Holding period
		Holding							
ABBOTT LABS									
Symbol: ABT	Exchange: NYSE	Apr 9, 10	1,000 000	25.685	25,685.89	42.290	42,290.00	16,604.11	LT
EAI \$1,040	Current yield 2.46%	Apr 9, 10	1,000 000	25.685	25,685.89	42.290	42,290.00	16,604.11	LT
ABBVIE INC. COM									
Symbol: ABBV	Exchange: NYSE	Apr 9, 10	200 000	27.854	5,570.82	63.070	12,614.00	7,043.18	LT
EAI \$2,736	Current yield 3.62%	Feb 1, 13	1,000 000	37.512	37,512.00	63.070	63,070.00	25,558.00	LT
Security total			1,200 000	35.902	43,082.82		75,684.00	32,601.18	
									continued next page

**HUTTO-PATTERSON CHARITABLE FOUNDATION**

FEIN: 95-4181302

Form - 990PF

Attachment A

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**Equities****Common stock**

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Sep 29 (\$)	Value on Sep 29 (\$)	Unrealized gain or loss (\$)	Holding period
ABBOTT LABS								
Symbol ABT Exchange NYSE								
EAI \$1,060 Current yield: 1.95%	Apr 9, 10	1,000 000	25.685	25.685 89	53.360	53,360 00	27,674 11	LT
ABBVIE INC CCOM								
Symbol ABBV Exchange NYSE								
EAI \$2,560 Current yield: 2.88%	Feb 1, 13	1,000 000	37.512	37.512 00	88.860	88,860 00	51,348 00	LT
AEGON NV ADR NY SHS								
NETHERLANDS ADR								
Symbol AEG Exchange NYSE								
EAI \$3,556 Current yield: 4.39%	Jan 25, 13	1,000 000	6.825	6,825 58	5.790	5,790 00	-1,035 58	LT
	Feb 15, 13	5,000 000	7.046	35,234.24	5.790	28,950.00	-6,284.24	LT
	Sep 5, 13	4,000 000	7.430	29,720.97	5.790	23,160.00	-6,560.97	LT
	Aug 18, 16	4,000 000	4.028	16,112.00	5.790	23,160.00	7,048.00	LT

HUTTO-PATTERSON CHARITABLE FOUNDATION  
FEIN: 95-4181302

Form - 990PF  
Attachment A  
Page 3 of 12

Your assets, Equities, Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Sep 29 (\$)	Value on Sep 29 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		14,000 000	6 278	87,892.79		81,060.00	-6,832.79	
AIR PROD & CHEMICAL INC								
Symbol APD Exchange: NYSE								
EAI \$2,660 Current yield 2.51%	Aug 8, 13	500 000	99.476	49,738.34	151,220	75,610.00	25,871.66	LT
EAI \$2,444 Current yield 2.73%	Oct 22, 15	200 000	125.096	25,019.26	151,220	30,244.00	5,224.74	LT
Security total		700 000	106.797	74,757.60		105,854.00	31,096.40	
ALLIANZ SE ADR								
Symbol: AZSEY Exchange OTC								
EAI \$2,660 Current yield 2.51%	Jan 19, 07	1,000 000	20.180	20,180.00	22,390	22,390.00	2,210.00	LT
EAI \$2,444 Current yield 2.73%	Mar 18, 09	3,000,000	8.582	25,747.73	22,390	67,170.00	41,422.27	LT
Security total		4,000 000	11.482	45,927.73		89,560.00	43,632.27	
AMERICAN WATER WORKS CO INC								
Symbol: AWK Exchange NYSE								
EAI \$2,450 Current yield 2.05%	Aug 18, 16	1,000 000	77.115	77,115.00	80,910	80,910.00	3,795.00	LT
EAI \$2,450 Current yield 2.05%	Jul 11, 17	500,000	77.703	38,851.75	80,910	40,455.00	1,603.25	ST
Security total		1,500,000	77.311	115,966.75		121,365.00	5,398.25	
AQUA AMER INC								
Symbol: WTR Exchange NYSE								
EAI \$2,048 Current yield 2.47%	Feb 17, 10	2,500 000	13.800	34,500.00	33,190	32,975.00	48,475.00	LT
ASTRAZENECA PLC SPON ADR								
Symbol: AZN Exchange NYSE								
EAI \$4,795 Current yield 4.04%	Feb 3, 12	1,500 000	24.037	36,056.47	33,880	50,820.00	14,763.53	LT
EAI \$4,795 Current yield 4.04%	Aug 17, 12	2,000,000	23.898	47,797.29	33,880	67,760.00	19,962.71	LT
Security total		3,500,000	23.958	83,853.76		118,580.00	34,726.24	
AT&T INC								
Symbol: T Exchange NYSE								
EAI \$3,920 Current yield 5.00%	Dec 29, 09	2,000 000	28.740	57,480.00	39,170	78,340.00	20,860.00	LT
ATMOS ENERGY CORP								
Symbol: ATO Exchange NYSE								
EAI \$3,600 Current yield 2.15%	Aug 10, 10	1,500 000	29.312	43,968.31	83,840	125,760.00	81,791.69	LT
EAI \$3,600 Current yield 2.15%	Jan 25, 12	500 000	32.907	16,453.96	83,840	41,920.00	25,466.04	LT
Security total		2,000 000	30.211	60,422.27		167,680.00	107,257.73	

continued next page

## Your assets, Equities, Common stock (continued)

Your assets - Equities - Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Sep 29 (\$)	Value on Sep 29 (\$)	Unrealized gain or (loss) (\$)	Holding period
Security total		2,000,000	38 050	76,100.27		81,060.00	4,959.73	
BNP PARIBAS SA ADR								
Symbol: BNPQY Exchange: OTC								
EAI: \$3,840 Current yield 3.17%	Apr 15, 09	2,000,000	25 490	50,980.00	40,430	80,860.00	29,880.00	LT
	May 22, 09	1,000,000	32,730	32,730.00	40 430	40,430.00	7,700.00	LT
Security total		3,000,000	27,903	83,710.00		121,290.00	37,580.00	
BRISTOL MYERS SQUIBB CO								
Symbol: BMY Exchange: NYSE								
EAI: \$3,120 Current yield 2.45%	May 3, 04	1,000,000	25,870	25,870.00	63 740	63,740.00	37,870.00	LT
	Dec 5, 07	1,000,000	29,850	29,850.00	63 740	63,740.00	33,890.00	LT
Security total		2,000,000	27,860	55,720.00		127,480.00	71,760.00	
CENTERPOINT ENERGY INC (HLDGS CO)								
Symbol: CNP Exchange: NYSE								
EAI: \$2,140 Current yield 3.66%	Dec 3, 15	2,000,000	16 517	33,034.60	29 210	58,420.00	25,385.40	LT
CHEVRON CORP								
Symbol: CVX Exchange: NYSE								
EAI: \$4,320 Current yield 3.68%	Mar 19, 04	1,000,000	45 060	45,060.00	117,500	117,500.00	72,440.00	LT
CISCO SYSTEMS INC								
Symbol: CSCO Exchange: OTC								
EAI: \$2,320 Current yield 3.45%	Jan 14, 15	2,000,000	28 003	56,007.00	33 630	67,260.00	11,253.00	LT
CLOROX CO								
Symbol: CLX Exchange: NYSE								
EAI: \$2,688 Current yield 2.55%	Sep 17, 12	800,000	70 826	56,661.02	131 910	105,528.00	48,866.98	LT
COCA COLA CO COM								
Symbol: KO Exchange: NYSE								
EAI: \$1,480 Current yield 3.29%	Jan 12, 98	1,000,000	31 585	31,585.00	45,010	45,010.00	13,425.00	LT
COLGATE PALMOLIVE CO								
Symbol: CL Exchange: NYSE								
EAI: \$3,200 Current yield 2.20%	Nov 17, 03	600,000	26 540	15,924.00	72 850	43,710.00	27,786.00	LT
	Mar 19, 04	400,000	27 990	11,196.00	72 850	29,140.00	17,944.00	LT
	May 22, 09	1,000,000	32 830	32,830.00	72 850	72,850.00	40,020.00	LT
Security total		2,000,000	29,975	59,950.00		145,700.00	85,750.00	

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## Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Sep 29 (\$)	Value on Sep 29 (\$)	Unrealized gain or loss (\$)	Holding period
COVANTA HLDG CORP								LT
Symbol CVA Exchange NYSE								
EAI \$3,000 Current yield 6.73%	Oct 31, 14	3,000,000	20.775	62,327.20	14.850	44,550.00	-17,777.20	LT
DOWDUPONT NC								
Symbol DMDP Exchange NYSE								
Dec 29, 09	1,282,000	25.546	32,750.12	69,230	88,752.86	56,002.74	LT	
Apr 7, 10	1,282,000	29.192	37,424.85	69,230	88,752.86	51,328.01	LT	
Apr 9, 10	500,000	31.450	15,725.44	69,230	34,615.00	18,889.56	LT	
Aug 17, 12	1,500,000	30.590	45,885.89	69,230	103,845.00	57,959.11	LT	
Security total		4,564,000	28.875	131,786.30	315,965.72	184,179.42		
EXXON MOBIL CORP								
Symbol XOM Exchange NYSE								
EAI \$4,004 Current yield 3.76%	Mar 30, 94	1,300,000	16.140	20,982.00	81,980	106,574.00	85,592.00	LT
GALLAGHER ARTHUR J & CO								
Symbol AIG Exchange NYSE								
EAI \$3,120 Current yield 2.53%	Jan 19, 07	500,000	29.422	14,711.15	61,550	30,775.00	16,063.85	LT
Apr 9, 10	1,500,000	24.920	37,380.00	61,550	92,325.00	54,945.00	LT	
Security total		2,000,000	26.046	52,091.15	123,100.00	71,008.85		
GENL ELECTRIC CO								
Symbol GE Exchange NYSE								
EAI \$5,760 Current yield 3.97%	Jan 19, 89	800,000	4.270	3,416.00	24,180	19,344.00	15,928.00	LT
Jun 26, 08	2,600,000	37.300	96,980.00	24,180	62,868.00	-34,112.00	LT	
Jan 2, 09	2,600,000	36.046	93,721.92	24,180	62,868.00	-30,853.92	LT	
Security total		6,000,000	32.353	194,117.92	145,080.00	-49,037.92		
GLAXO SMITHKLINE PLC ADR								
Symbol GSK Exchange NYSE								
EAI \$3,600 Current yield 4.93%	Jan 18, 12	800,000	45.946	36,756.94	40,500	32,480.00	-4,276.94	LT
Aug 8, 13	1,000,000	52.535	52,535.13	40,500	40,600.00	-11,935.13	LT	
Security total		1,800,000	49.607	89,292.07	73,080.00	-16,212.07		
HONEYWELL INTL INC								
Symbol HON Exchange NYSE								
EAI \$2,980 Current yield 2.10%	Apr 9, 10	1,000,000	46.234	46,234.67	141,740	141,740.00	95,505.33	LT
							continued next page	

## Your assets, Equities, Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Sep 29 (\$)	Value on Sep 29 (\$)	Unrealized gain or loss (\$)	Holding period
HSBC HOLDINGS PLC NEW GB SPON ADR								ST
Symbol: HSBC Exchange: NYSE								
EAI \$10,932 Current yield 5.53%	Aug 24, 04	500 000	77 330	38,665.00	49 410	24,705.00	-13,960.00	LT
	Jan 19, 07	500 000	93 670	46,835.25	49 410	24,705.00	-22,130.25	LT
	Apr 8, 09	1,250 000	18 490	23,112.50	49 410	61,762.50	38,650.00	LT
	Jul 1, 14	1,750 000	51 395	89,941.25	49.410	86,467.50	-3,473.75	LT
Security total		4,000 000	49 639	198,554.00		197,640.00	-914.00	
ILLUMINA INC	Feb 17, 17	200 000	162 930	32,586.00	199 200	39,840.00	7,254.00	ST
INTL BUSINESS MACH								
Symbol: IBM Exchange: NYSE								
EAI \$3,000 Current yield 4.14%	Jul 7, 95	300 000	25 280	7,584.00	145 080	43,524.00	35,940.00	LT
	Feb 10, 98	200,000	49 440	9,888.00	145 080	29,016.00	19,128.00	LT
Security total		500,000	34 944	17,472.00		72,540.00	55,068.00	
JOHNSON & JOHNSON COM								
Symbol: JNJ Exchange: NYSE								
EAI \$3,360 Current yield 2.58%	Jan 29, 96	400,000	23 910	9,564.00	130,010	52,004.00	42,440.00	LT
	Jan 16, 97	600 000	26 390	15,834.00	130 010	78,006.00	62,172.00	LT
Security total		1,000,000	25 398	25,398.00		130,010.00	104,612.00	
JPMORGAN CHASE & CO								
Symbol: JPM Exchange: NYSE								
EAI \$6,720 Current yield 2.35%	Mar 11, 09	600 000	35 810	21,486.00	95 510	57,306.00	35,820.00	LT
	Mar 18, 09	2,400 000	27 300	65,520.00	95 510	229,224.00	163,704.00	LT
Security total		3,000,000	29,002	87,006.00		286,530.00	199,524.00	
KIMBERLY CLARK CORP								
Symbol: KMB Exchange: NYSE								
EAI \$3,880 Current yield 3.30%	Jul 22, 10	1,000 000	61,120	61,120.43	117,680	117,680.00	56,559.57	LT
KINDER MORGAN INC								
Symbol: KMI Exchange: NYSE								
EAI \$2,000 Current yield 2.61%	Nov 28, 14	2,226 384	41 534	92,472.86	19 180	42,702.05	-49,770.81	LT
	Nov 28, 14	1,773 616	41 535	73,667.14	19 180	34,017.95	-39,649.19	LT
Security total		4,000 000	41 535	166,140.00		76,720.00	-89,420.00	

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**HUTTO-PATTERSON CHARITABLE FOUNDATION**  
**FEIN: 95-4181302**  
**Form - 990PF**  
**Attachment A**  
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**Your assets , Equities , Common stock (continued)**

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Sep 29 (\$)	Value on Sep 29 (\$)	Unrealized gain or loss (\$)	Holding Period
ILLY ELI & CO								LT
Symbol ILY Exchange NYSE EAI \$2,080 Current yield 2.43%	Dec 7, 09	1,000 000	38 190	38,190 00	85 540	85,540 00	47,350 00	LT
MANULIFE FINANCIAL CORP CAD								LT
Symbol MFC Exchange NYSE EAI \$4,009 Current yield 3.29%	Apr 23, 14	4,000 000	18.796	75,186 00	20 290	81,160 00	5,974 00	LT
CAD Exchange rate 1.250655	May 7, 14	2,000 000	18 874	37,749 00	20 290	40,580 00	2,831 00	LT
Security total		6,000 000	18 823	112,935 00		121,740 00	8,805 00	
MERCK & CO INC NEW COM								
Symbol MRK Exchange NYSE EAI \$3,750 Current yield 2.94%	Jan 19, 09	2,000 000	9 990	19,980 00	64 030	128,060 00	108,080 00	LT
METLIFE INC								
Symbol MET Exchange NYSE EAI \$3,200 Current yield 3.08%	Mar 21, 12	2,000 000	35 319	70,639 23	51,950	103,900 00	33,260 77	LT
MICROSOFT CORP								
Symbol MSFT Exchange OTC EAI \$4,200 Current yield 2.26%	Jan 27, 14	2,500 000	36 659	91,649 40	74 490	186,225 00	94,575 60	LT
NATIONAL GRID PLC SPON ADR								
Symbol NGG Exchange NYSE EAI \$1,000 Current yield 2.28%	Oct 1, 10	1,833 000	47 258	86,624 35	62 710	114,947 43	28,323 08	LT
NESTLE S A SPONSORED ADR								
Symbol NESTG REG SHS SWITZ ADR EAI \$4,793 Current yield 2.28%	Jan 16, 97	500 000	10 930	5,465 00	84 010	42,005 00	36,540 00	LT
Security total	Mar 19, 04	2,000 000	25 940	51,880 00	84 010	168,020 00	116,140 00	LT
NOVARTIS AG SPON ADR								
Symbol NVS Exchange NYSE EAI \$4,606 Current yield 2.68%	Jan 11, 06	500 000	55 160	27,580 00	85 850	47,925 00	15,345 00	LT
Security total	Aug 28, 06	500 000	57 864	28,932 11	85 850	42,325 00	13,992 89	LT
NOVARTIS AG SPON ADR								
Symbol NVS Exchange NYSE EAI \$4,606 Current yield 2.68%	May 22, 09	1,000 000	40 980	40,980 00	85 850	85,850 00	44,870 00	LT
Security total		2,000 000	48 746	97,492 11		171,700 00	74,207 89	

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**HUTTO-PATTERSON CHARITABLE FOUNDATION**  
**FEIN: 95-4181302**  
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**Your assets • Equities • Common stock (continued)**

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Sep 29 (\$)	Value on Sep 29 (\$)	Unrealized gain or loss (\$)	Holding period
OWENS & MINOR INC NEW								
Symbol OML Exchange NYSE								
EAI \$1,030 Current yield 3.53%	Mar 16, 17	1,000,000	35.340	35,340.00	29.200	29,200.00	-6,140.00	ST
<b>Pfizer Inc</b>								
Symbol PFE Exchange NYSE								
EAI \$4,480 Current yield 3.59%	Jan 19, 07	500,000	27.793	13,896.71	35.700	17,850.00	3,953.29	LT
	Dec 5, 07	1,000,000	24.280	24,280.36	35.700	35,700.00	11,419.64	LT
	Oct 16, 09	985,000	17.520	17,257.20	35.700	35,164.50	17,907.30	LT
	Apr 7, 10	1,015,000	17.430	17,691.45	35.700	36,235.50	18,544.05	LT
Security total		3,500,000	20,893	73,125.72		124,950.00	51,824.28	
<b>Prologis Inc COM</b>								
Symbol PLD Exchange NYSE								
EAI \$3,520 Current yield 2.77%	Feb 15, 11	1,339,000	35.168	47,090.88	63.460	84,972.94	37,882.06	LT
	Aug 8, 13	661,000	38.432	25,403.68	63.460	41,947.06	16,543.38	LT
Security total		2,000,000	36,247	72,494.56		126,920.00	54,425.44	
<b>Raytheon Co NEW</b>								
Symbol RTN Exchange NYSE								
EAI \$1,276 Current yield 1.71%	Feb 10, 98	400,000	23.762	9,504.97	186.580	74,632.00	65,127.03	LT
<b>Realty Income Corp MD SBI</b>								
Symbol O Exchange: NYSE								
EAI \$7,632 Current yield 4.45%	Aug 8, 13	1,000,000	41.480	41,480.63	57.190	57,190.00	15,709.37	LT
	Jun 19, 14	2,000,000	42.917	85,835.77	57.190	114,380.00	28,544.23	LT
Security total		3,000,000	42,439	127,316.40		171,570.00	44,253.60	
<b>Rio Tinto PLC Spon ADR</b>								
Symbol RIO Exchange NYSE								
EAI \$2,366 Current yield 5.01%	Aug 18, 16	1,000,000	32.445	32,445.00	47.190	47,190.00	14,745.00	LT
<b>Roche Holdings Ltd Spon ADR SWITZ ADR</b>								
Symbol RHHBY Exchange: OTC								
EAI \$3,384 Current yield 2.64%	Apr 11, 08	800,000	22.765	18,212.00	32.000	25,600.00	7,388.00	LT
	Apr 9, 10	1,200,000	20.795	24,954.00	32.000	38,400.00	13,446.00	LT
	Oct 16, 13	1,000,000	34.319	34,319.47	32.000	32,000.00	-2,319.47	LT
	Apr 19, 16	1,000,000	33.090	33,090.00	32.000	32,000.00	-1,090.00	LT
Security total		4,000,000	27,644	110,575.47		128,000.00	17,424.53	

*continued next page*

## Your assets - Equities - Common stock (continued):

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Sep 29 (\$)	Value on Sep 29 (\$)	Unrealized gain or loss (\$)	Holding period
ROYAL BANK OF CANADA CAD SPON ADR								LT
Symbol: RY Exchange: NYSE EAI: \$5,793 Current yield: 3.74% CAD Exchange rate: 1.25065	Aug 17, 11	2,000,000	52.902	105,805.41	77.350	154,700.00	48,894.59	LT
ROYAL DUTCH SHELL PLC CL A SPON ADR								LT
Symbol: RDS A Exchange: NYSE EAI: \$9,588 Current yield: 5.28%	May 22, 09	1,000,000	52.690	52,690.00	60.580	60,580.00	7,890.00	LT
	Sep 24, 10	2,000,000	60.772	121,544.26	60.580	121,160.00	-384.26	LT
Security total		3,000,000	58.078	174,234.26		181,740.00	7,505.74	
SEMPRA ENERGY SPON ADR								LT
Symbol: SRE Exchange: NYSE EAI: \$2,303 Current yield: 2.88%	May 18, 05	700,000	32.280	22,595.00	11.130	79,891.00	57,295.00	LT
SOUTHERN CO SPON ADR								LT
Symbol: SO Exchange: NYSE EAI: \$6,960 Current yield: 4.72%	Mar 19, 04	1,000,000	31.041	31,041.17	49.140	49,140.00	18,098.83	LT
	Mar 30, 04	600,000	30.970	18,582.00	49.140	29,484.00	10,902.00	LT
	Mar 30, 04	400,000	30.950	12,380.00	49.140	19,656.00	7,276.00	LT
	Sep 5, 13	1,000,000	41.373	41,373.03	49.140	49,140.00	7,766.97	LT
Security total		3,000,000	34.459	103,376.20		147,420.00	44,043.80	
SWISS RE LTD SPON ADR								LT
Symbol: SREY Exchange: OTC EAI: \$4,805 Current yield: 4.41%	Dec 22, 11	2,800,000	12.365	34,623.39	22.680	63,504.00	28,880.61	LT
	Jan 25, 12	2,000,000	14.205	28,412.59	22.680	45,360.00	16,947.41	LT
Security total		4,800,000	13.132	63,035.98		108,864.00	45,828.02	
TEVA PHARMACEUTICALS IND LTD ISRAEL ADR								LT
Symbol: TEVA Exchange: OTC EAI: \$1,409 Current yield: 5.34%	Apr 14, 16	500,000	55.833	27,916.60	17.600	8,800.00	-19,116.60	LT
	Jul 11, 17	1,000,000	30.976	30,976.70	17.600	17,600.00	-13,376.70	ST
Security total		1,500,000	39.262	58,893.30		26,400.00	-32,493.30	

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HUTTO-PATTERSON CHARITABLE FOUNDATION  
FEIN: 95-4181302

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Your assets - Equities - Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Sep 29 (\$)	Value on Sep 29 (\$)	Unrealized gain or loss (\$)	Holding period
W P CAREY INC REIT Symbol: WPC Exchange: NYSE EAR: \$10,050 Current yield: 5.97%	Feb 10, 12 Jun 19, 14	1,500,000 1,000,000 2,500,000	43.646 64.017 51.795	65,469.50 64,017.87 129,487.37	67.390 67.390	101,085.00 67,390.00 168,475.00	35,615.50 3,372.13 38,987.63	LT LT
<b>Security total</b>								
WALT DISNEY CO (HOLDING CO) DISNEY COM Symbol: DIS Exchange: NYSE EAR: \$3,120 Current yield: 1.58%	Jan 19, 89	2,000,000	5.740	11,480.00	98.570	197,140.00	185,660.00	LT
3M CO Symbol: MMM Exchange: NYSE EAR: \$3,290 Current yield: 2.24%	Mar 18, 09	700,000	50.320	35,224.00	209.900	146,930.00	111,706.00	LT
<b>Total</b>				<b>\$4,341,039.56</b>		<b>\$7,493,816.87</b>	<b>\$3,152,777.31</b>	
<b>Total estimated annual income:</b> <b>\$222,363</b>								
<b>Preferred securities</b>								
Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Sep 29 (\$)	Value on Sep 29 (\$)	Unrealized gain or loss (\$)	Holding period
KINDER MORGAN INC 9.750% DUE 10/26/18 SER A Symbol: KMI PRA Exchange: NYSE EAR: \$4,875 Current yield: 11.46%	Oct 28, 15	1,000,000	48.800	48,800.00	42.550	42,550.00	-\$6,250.00	LT

## Your assets : Equities (continued)

### Other equity investments

**Cost basis** and gains and losses have not been adjusted automatically for return of capital payments. Restricted at the end of the investment term for informational purposes. See **Important information about you**.

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Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately calculated. Cost basis has been adjusted for recent capital gains/losses.

ESCROW GENL MOTORS CORP	DEFAULTED	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Sep 29 (\$)	Value on Sep 29 (\$)	Unrealized gain or loss (\$)	Holding period
									RATE 07 200% MATURES 01/15/11

Preferred Securities						Holding period			
ESCROW GENERAL MOTORS	Exchange OTC	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)		Price per share on Sep 29 (\$)	Value on Sep 29 (\$)	Unrealized gain or loss (\$)
			5,000,000	—	—	—	—	—	0.001

HUTTO-PATTERSON CHARITABLE FOUNDATION  
 FEIN: 95-4181302  
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 Attachment B  
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Hutto Patterson Charitable Foundation  
 Realized Gains and Losses  
 For the fiscal year ended September 30, 2017

<u>Security Description</u>	<u>Quantity or Face Value</u>	<u>Purchase Date</u>	<u>Sale Date</u>	<u>Term</u>	<u>Sale Amount</u>	<u>Cost Basis</u>	<u>Gain (Loss)</u>
<b>Long term realized gains and losses</b>							
ADVANSIX INC	40,000	4/9/2010	10/5/2016	LT	572.39	246.07	326.32
ABBYIE INC COM	200,000	4/9/2010	12/7/2016	LT	11,902.76	5,570.82	6,331.94
AQUA AMER INC	625,000	2/17/2010	12/7/2016	LT	18,662.15	8,625.00	10,037.15
OMEGA HEALTHCARE INVS INC	3,500,000	VARIOUS	12/7/2016	LT	107,102.15	99,446.18	7,655.97
TOTAL S.A. FRANCE SPON ADR	2,000,000	9/24/2010	12/7/2016	LT	98,284.66	103,262.57	(4,977.91)
VERSUM MATLS INC	400,000	VARIOUS	12/7/2016	LT	10,278.46	7,738.82	2,539.64
AIR PROD & CHEMICAL INC	100,000	9/10/2012	2/17/2017	LT	14,150.19	7,731.07	6,419.12
ATMOS ENERGY CORP	500,000	8/10/2010	2/17/2017	LT	37,770.98	14,656.10	23,114.88
BANK OF AMER CORP	1,000,000	VARIOUS	2/17/2017	LT	24,491.57	14,250.54	10,241.03
BANK OF NOVA SCOTIA CANADA C	500,000	3/23/2004	2/17/2017	LT	31,231.12	13,375.00	17,856.12
CLOROX CO	200,000	9/17/2012	2/17/2017	LT	26,701.62	14,165.25	12,536.37
EXXON MOBIL CORP	200,000	3/30/1994	2/17/2017	LT	16,318.34	3,228.00	13,090.34
LILLY ELI & CO	200,000	12/9/2009	2/17/2017	LT	16,040.37	7,638.00	8,402.37
SEPRA ENERGY	800,000	5/18/2005	2/17/2017	LT	84,282.16	25,824.00	58,458.16
3M CO	100,000	3/18/2009	2/17/2017	LT	18,301.70	5,032.00	13,269.70
ADVISORY RESEARCH MLP &							
ENERGY INCOME FUND CLASS I	15,935,613	VARIOUS	3/9/2017	LT	154,256.74	154,591.99	(335.25)
NATIONAL GRID PLC SPON ADR	0.333	10/1/2010	5/23/2017	LT	23.38	15.75	7.63
BRIGHTHOUSE FINANCIAL INC	181.818	3/21/2012	VARIOUS	LT	10,052.10	8,167.12	1,884.98
CHANGE OF BASIS	N/A	VARIOUS	VARIOUS	LT	-	12,676.08	(12,676.08)
<b>Total</b>					<b>680,422.84</b>	<b>506,240.36</b>	<b>174,182.48</b>
<b>Net long term realized gains and losses</b>							<b>174,182.48</b>
<b>Total realized gains and losses</b>					<b>680,422.84</b>	<b>506,240.36</b>	<b>174,182.48</b>