

Form **990-PF****Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2016

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Do not enter social security numbers on this form as it may be made public.
Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2016 or tax year beginning 10/1/2016, and ending 9/30/2017

Name of foundation
JOHN GARWOOD TRUST

Number and street (or P O box number if mail is not delivered to street address) Room/suite
Wells Fargo Bank N.A. Trust Tax Dept - 100 N Main St MAC D4001-117

City or town, state or province, country, and ZIP or foreign postal code
Winston Salem NC 27101

Foreign country name Foreign province/state/country Foreign postal code

A Employer identification number
94-6058648

B Telephone number (see instructions)
(888) 730-4933

C If exemption application is pending, check here ☐

D 1. Foreign organizations, check here ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☒ Address change ☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 445,301 **J Accounting method** ☒ Cash ☐ Accrual
☐ Other (specify) _____ (Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	9,409	9,409		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	11,115			
	b Gross sales price for all assets on line 6a	95,730			
	7 Capital gain net income (from Part IV, line 2)		11,115		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	20,524	20,524	0		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	7,122	6,410		712
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	833			833
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	51	51		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	10			10
	24 Total operating and administrative expenses. Add lines 13 through 23	8,016	6,461	0	1,555
	25 Contributions, gifts, grants paid	12,500			12,500
26 Total expenses and disbursements. Add lines 24 and 25	20,516	6,461	0	14,055	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	8				
b Net investment income (if negative, enter -0-)		14,063			
c Adjusted net income (if negative, enter -0-)			0		

For Paperwork Reduction Act Notice, see instructions.

HTA

Form 990-PF (2016)

SCANNED APR 05 2018

9-27

6

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	10,229	11,707	11,707
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶				
12	Investments—mortgage loans				
13	Investments—other (attach schedule)	329,885	331,547	433,594	
14	Land, buildings, and equipment: basis ▶				
	Less: accumulated depreciation (attach schedule) ▶				
15	Other assets (describe ▶)				
16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	340,114	343,254	445,301	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/>				
	and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>				
	and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	340,114	343,254	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds				
30	Total net assets or fund balances (see instructions)	340,114	343,254		
31	Total liabilities and net assets/fund balances (see instructions)	340,114	343,254		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	340,114
2	Enter amount from Part I, line 27a	2	8
3	Other increases not included in line 2 (itemize) ▶ See Attached Statement	3	3,132
4	Add lines 1, 2, and 3	4	343,254
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	343,254

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Attached Statement				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	11,115
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 }			3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	37,029	415,122	0.089200
2014	18,968	456,981	0.041507
2013	20,596	467,936	0.044015
2012	19,568	444,255	0.044047
2011	18,269	422,057	0.043286
2 Total of line 1, column (d)			2 0.262055
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.052411
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4 426,129
5 Multiply line 4 by line 3			5 22,334
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 141
7 Add lines 5 and 6			7 22,475
8 Enter qualifying distributions from Part XII, line 4			8 14,055

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	281
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	281
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	281
6	Credits/Payments:		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	72
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	72
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	209
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	0
11	Enter the amount of line 10 to be Credited to 2017 estimated tax Refunded	11	0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ (2) On foundation managers. \$		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) CA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	Yes	No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ▶ N/A				
14	The books are in care of ▶ WELLS FARGO BANK N.A. Telephone no. ▶ 888-730-4933			
Located at ▶ 100 N Main St MAC D4001-117 Winston Salem NC ZIP+4 ▶ 27101				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A			
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?		Yes	X No
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?		Yes	X No
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?		Yes	X No
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	X	Yes	No
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?		Yes	X No
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)		Yes	X No
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶	1b		X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?		Yes	X No
If "Yes," list the years ▶ 20 , 20 , 20 , 20				
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?		Yes	X No
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)	3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a** During the year did the foundation pay or incur any amount to
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No
- b** If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No
- Organizations relying on a current notice regarding disaster assistance check here ☐ ☒
- c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No
- If "Yes," attach the statement required by Regulations section 53.4945–5(d).
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
- If "Yes" to 6b, file Form 8870.
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No
- b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

5b	N/A		
6b			X
7b	N/A		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Wells Fargo Bank, N.A. 100 N Main St MAC D4001-117 Winston Salem, NC 27	Trustee SEE ATTACHED	7,122		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐ **0**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
.....		
.....		
.....		
.....		
.....		
.....		
Total number of others receiving over \$50,000 for professional services		▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1 NONE	
.....	
2	
.....	
3	
.....	
4	
.....	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1 NONE	
.....	
2	
.....	
All other program-related investments. See instructions	
3 NONE	
.....	

Total. Add lines 1 through 3

0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes.		
a	Average monthly fair market value of securities	1a	418,143
b	Average of monthly cash balances	1b	14,475
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	432,618
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	432,618
4	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see instructions)	4	6,489
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	426,129
6	Minimum investment return. Enter 5% of line 5	6	21,306

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	21,306
2a	Tax on investment income for 2016 from Part VI, line 5	2a	281
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	281
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	21,025
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	21,025
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	21,025

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	14,055
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	14,055
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	14,055

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				21,025
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			3,076	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				
f Total of lines 3a through e	0			
4 Qualifying distributions for 2016 from Part XII, line 4: ► \$ 14,055				
a Applied to 2015, but not more than line 2a			3,076	
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2016 distributable amount				10,979
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2016. (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions				
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				10,046
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9:				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016				

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Attached Statement				
Total			3a	12,500
b Approved for future payment NONE				
Total			3b	0

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | |
|-----|--|----|
| 1 | <p>Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?</p> | |
| a | <p>Transfers from the reporting foundation to a noncharitable exempt organization of:</p> | |
| (1) | <p>Cash</p> | 1a |
| (2) | <p>Other assets</p> | 1a |
| b | <p>Other transactions</p> | |
| (1) | <p>Sales of assets to a noncharitable exempt organization</p> | 1b |
| (2) | <p>Purchases of assets from a noncharitable exempt organization</p> | 1b |
| (3) | <p>Rental of facilities, equipment, or other assets</p> | 1b |
| (4) | <p>Reimbursement arrangements</p> | 1b |
| (5) | <p>Loans or loan guarantees</p> | 1b |
| (6) | <p>Performance of services or membership or fundraising solicitations</p> | 1b |
| c | <p>Sharing of facilities, equipment, mailing lists, other assets, or paid employees</p> | |
| d | <p>If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received</p> | |

	Yes	No
1a(1)		X
1a(2)		X
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X


[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

 SVP
Signature of officer or trustee

1/5/2018
Date

SVP Wells Fargo Bank N.A.
Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

JOSEPH J. CASTRIANO

Preparer's signature

John

Date	
------	--

1/5/2018

Check ☒ if self-employed

PTIN

P01251603

Firm's name	► PricewaterhouseCoopers, LLP
-------------	-------------------------------

Firm's EIN ► 13-4008324

Firm's address ► 600 GRANT STREET, PITTSBURGH, PA 15219-2777

Phone no	412-355-6000
----------	--------------

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

EBENEZER AME CHURCH

Street

132 N STANISLAUS ST

City

STOCKTON

State

CA

Zip Code

95202

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

150

Name

CONFERENCE CLAIMANTS ENDOWMENT

Street

1341 W ROBINHOOD DR STE A

City

STOCKTON

State

CA

Zip Code

95207

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

11,900

Name

CENTRAL UNITED METHODIST CHURCH

Street

3700 PACIFIC AVE

City

STOCKTON

State

CA

Zip Code

95204

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

300

Name

SECOND BAPTIST CHURCH OF STOCKTON

Street

2350 E MARKET ST

City

STOCKTON

State

CA

Zip Code

95205

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

150

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

[illegible]

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

UNIT, LINE 9 (3504-T) - Gain/Loss from Sale of Assets Other than Inventory														
Long Term CG Distributions		Amount		Totals										
Short Term CG Distributions		284	0	Capital Gains/Losses				Gross Sales		Cost or Other Basis, Expenses, Depreciation and Adjustments		Net Gain or Loss		
		0		Other sales				95,730	84,615	11,115		0		
Description	CUSIP #	Check "X" if to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
73 HEALTH CARE SELECT SEC	81369Y209	X				8/23/2016	1/18/2017	915	972					-57
74 HEALTH CARE SELECT SEC	81369Y209	X				9/14/2016	1/18/2017	282	303					-21
75 HEALTH CARE SELECT SEC	81369Y209	X				5/31/2016	1/18/2017	915	928					-13
76 HEALTH CARE SELECT SEC	81369Y209	X				8/23/2016	4/20/2017	589	571					18
77 CONSUMER STAPLES SEC	81369Y308	X				8/23/2016	12/1/2016	330	29					29
78 CONSUMER STAPLES SEC	81369Y308	X				11/16/2016	12/1/2016	2,205	2,236					-31
79 CONSUMER STAPLES SEC	81369Y308	X				8/8/2016	1/18/2017	314	334					-20
80 CONSUMER STAPLES SEC	81369Y308	X				9/30/2011	1/18/2017	314	180					134
81 CONSUMER STAPLES SEC	81369Y308	X				9/30/2011	4/20/2017	220	120					100
82 AMEX CONSUMER DISCR SP	81369Y407	X				8/23/2016	10/25/2016	239	246					-7
83 AMEX CONSUMER DISCR SP	81369Y407	X				8/23/2016	1/18/2017	838	819					19
84 AMEX CONSUMER DISCR SP	81369Y407	X				11/16/2016	1/18/2017	168	160					8
85 AMEX CONSUMER DISCR SP	81369Y407	X				9/14/2016	1/18/2017	251	248					3
86 AMEX CONSUMER DISCR SP	81369Y407	X				10/21/2014	1/19/2017	588	460					128
87 AMEX CONSUMER DISCR SP	81369Y407	X				10/21/2014	4/20/2017	440	328					112
88 AMEX ENERGY SELECT SPD	81369Y506	X				10/21/2014	11/16/2016	286	340					-54
89 AMEX ENERGY SELECT SPD	81369Y506	X				10/6/2015	11/16/2016	643	593					50
90 AMEX ENERGY SELECT SPD	81369Y506	X				8/23/2016	11/16/2016	571	562					9
91 AMEX ENERGY SELECT SPD	81369Y506	X				4/30/2010	11/16/2016	1,857	1,573					284
92 AMEX ENERGY SELECT SPD	81369Y506	X				4/30/2010	1/18/2017	447	363					84
93 FINANCIAL SELECT SECTOR	81369Y605	X				8/23/2016	10/25/2016	217	268					-48
94 FINANCIAL SELECT SECTOR	81369Y605	X				8/19/2014	1/18/2017	23	23					0
95 FINANCIAL SELECT SECTOR	81369Y605	X				8/23/2016	1/18/2017	828	869					-41
96 FINANCIAL SELECT SECTOR	81369Y605	X				10/21/2014	1/18/2017	1,190	1,136					54

Part I, Line 16b (990-PF) - Accounting Fees

		833	0	0	833
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	TAX PREP FEES	833			833

Part I, Line 18 (990-PF) - Taxes

		51	51	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	FOREIGN TAXES PAID	51	51		

Part I, Line 23 (990-PF) - Other Expenses

		10	0	0	10
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	STATE AG FEES	10	0		10

Part II, Line 13 (990-PF) - Investments - Other

Asset Description		Basis of Valuation	Book Value Beg. of Year	Book Value End of Year	FMV End of Year
1				0	
2	CONSUMER STAPLES SECTOR SPDR TR		0	2,683	5,182
3	AMEX FINANCIAL SELECT SPDR		0	9,771	16,887
4	AMEX MATERIALS SPDR		0	1,965	3,181
5	AMEX TECHNOLOGY SELECT SPDR		0	11,066	26,063
6	AMEX ENERGY SELECT SPDR		0	3,104	3,561
7	MERGER FUND-INST #301		0	8,538	8,750
8	FID ADV EMER MKTS INC- CL I 607		0	24,691	26,166
9	ISHARES TR SMALLCAP 600 INDEX FD		0	5,700	13,953
10	ISHARES S&P MIDCAP 400 VALUE		0	9,922	19,702
11	EATON VANCE GLOBAL MACRO - I		0	12,910	12,872
12	ISHARES S&P MIDCAP 400 GROWTH		0	9,205	20,438
13	AMEX INDUSTRIAL SPDR		0	5,489	12,212
14	AMEX HEALTH CARE SPDR		0	9,286	17,735
15	AMEX CONSUMER DISCR SPDR		0	5,776	14,233
16	T ROWE PR REAL ESTATE-I #432		0	23,094	23,462
17	ASG GLOBAL ALTERNATIVES-Y 1993		0	9,417	8,907
18	REAL ESTATE SELECT SECT SPDR		0	2,911	2,902
19	VANGUARD REIT VIPER		0	2,149	6,315
20	AQR MANAGED FUTURES STR-I		0	13,807	12,011
21	VANGUARD EMERGING MARKETS ETF		0	19,117	28,146
22	VANGUARD INFLAT-PROT SECS-ADM 511		0	2,945	2,908
23	JPMORGAN HIGH YIELD FUND SS 3580		0	5,813	6,143
24	T ROWE PRICE INST FLOAT RATE 170		0	2,427	2,454
25	BLACKROCK GL I/S CREDIT-K #1940		0	15,048	15,277
26	VANGUARD BD INDEX FD INC		0	15,092	15,086
27	SPDR DJ WILSHIRE INTERNATIONAL REA		0	10,711	13,126
28	VANGUARD INTERMEDIATE TERM B		0	40,323	40,298
29	VANGUARD EUROPE PACIFIC ETF		0	27,938	33,339
30	ROBECO BP LNG/SHRT RES-INS		0	5,927	6,670
31	NEUBERGER BERMAN LONG SH-INS #183		0	14,722	15,615

Part III (990-PF) - Changes in Net Assets or Fund Balances

Line 3 - Other increases not included in Part III, Line 2

1	REAL ESTATE SELECT SECT SPDR BOOK ADJ	1	3,101
2	MUTUAL FUND TIMING DIFFERENCE	2	27
3	FEDERAL EXCISE TAX REFUND	3	4
4	Total	4	3,132

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions													Short Term CG Distributions												
AMOUNT													284												
0													0												
Description of Property Sold													Acquisition Method												
CUSIP #													Date Acquired												
Date Sold													Gross Sales Price												
Depreciation Allowed													Adjustments												
Cost or Other Basis Plus Expense of Sale													Gain or Loss												
F M V as of 12/31/69													Adjusted Basis as of 12/31/69												
Excess of FMV Over Adjusted Basis													Gain Minus Excess FMV Over Adj Basis or Losses												
1	AMEX TECHNOLOGY SELECT	81369Y803	8/28/2015	1/18/2017	1,983	0	1,619	364	0	0	0	364													
2	AMEX TECHNOLOGY SELECT	81369Y803	8/28/2015	4/20/2017	899	0	888	211	0	0	0	211													
3	REAL ESTATE SELECT SECT	81369Y860	9/22/2016	10/7/2016	4	0	4	0	0	0	0	0													
4	REAL ESTATE SELECT SECT	81369Y860	9/22/2016	10/7/2016	5	0	5	0	0	0	0	0													
5	REAL ESTATE SELECT SECT	81369Y860	9/22/2016	1/18/2017	241	0	254	-13	0	0	0	-13													
6	REAL ESTATE SELECT SECT	81369Y860	9/7/2016	1/18/2017	4	0	5	-1	0	0	0	-1													
7	REAL ESTATE SELECT SECT	81369Y860	9/7/2016	1/18/2017	6	0	6	0	0	0	0	0													
8	REAL ESTATE SELECT SECT	81369Y860	9/22/2016	1/18/2017	120	0	127	-7	0	0	0	-7													
9	T ROWE PRICE INST FLOAT	77958B402	4/22/2016	1/18/2017	44	0	43	1	0	0	0	1													
10	FINANCIAL SELECT SECTOR	81369Y605	10/21/2014	4/20/2017	139	0	136	3	0	0	0	3													
11	VANGUARD SHORT TERM BC	921937827	10/9/2013	4/20/2017	1,838	0	1,847	-9	0	0	0	-9													
12	VANGUARD SHORT TERM BC	921937827	1/22/2014	4/20/2017	1,279	0	1,281	-2	0	0	0	-2													
13	VANGUARD SHORT TERM BC	921937827	7/22/2015	4/20/2017	479	0	480	-1	0	0	0	-1													
14	VANGUARD SHORT TERM BC	921937827	10/25/2016	4/20/2017	719	0	725	-6	0	0	0	-6													
15	VANGUARD SHORT TERM BC	921937827	6/10/2015	4/20/2017	1,678	0	1,679	-1	0	0	0	-1													
16	VANGUARD SHORT TERM BC	921943858	2/8/2013	10/25/2016	735	0	727	8	0	0	0	8													
17	VANGUARD FTSE DEVELOP	921943858	2/8/2013	4/20/2017	1,369	0	1,272	97	0	0	0	97													
18	VANGUARD FTSE EMERGING	922042858	9/30/2011	4/20/2017	398	0	393	33	0	0	0	33													
19	VANGUARD FTSE EMERGING	922042858	1/18/2017	4/20/2017	238	0	223	15	0	0	0	15													
20	AQR MANAGED FUTURES ST	002031859	8/25/2016	10/25/2016	328	0	337	-9	0	0	0	-9													
21	BLACKROCK GL US CREDIT	091936732	10/27/2015	10/25/2016	513	0	530	-17	0	0	0	-17													
22	CREDIT SUISSE COMM RET	22544R305	2/12/2013	10/25/2016	363	0	624	-241	0	0	0	-241													
23	CREDIT SUISSE COMM RET	22544R305	5/11/2012	1/18/2017	709	0	1,080	-371	0	0	0	-371													
24	CREDIT SUISSE COMM RET	22544R305	2/12/2013	1/18/2017	569	0	898	-329	0	0	0	-329													
25	CREDIT SUISSE COMM RET	22544R305	7/15/2013	1/18/2017	1,121	0	1,604	-483	0	0	0	-483													
26	CREDIT SUISSE COMM RET	22544R305	1/24/2014	1/18/2017	2,245	0	3,159	-914	0	0	0	-914													
27	CREDIT SUISSE COMM RET	22544R305	1/22/2015	1/18/2017	2,713	0	3,089	-376	0	0	0	-376													
28	CREDIT SUISSE COMM RET	22544R305	4/23/2015	1/18/2017	601	0	684	-83	0	0	0	-83													
29	CREDIT SUISSE COMM RET	22544R305	1/22/2016	1/18/2017	867	0	732	135	0	0	0	135													
30	CREDIT SUISSE COMM RET	22544R305	10/27/2015	10/25/2016	420	0	421	-1	0	0	0	-1													
31	EATON VANCE GLOBAL MAC	277923728	8/25/2016	10/25/2016	839	0	839	0	0	0	0	0													
32	FID ADV EMER MKTS INC- CL	315920702	8/25/2016	10/25/2016	847	0	672	175	0	0	0	175													
33	SHARES S&P MID-CAP 400	464287606	9/7/2011	10/25/2016	343	0	196	147	0	0	0	147													
34	SHARES S&P MID-CAP 400	464287606	10/14/2011	4/20/2017	552	0	294	258	0	0	0	258													
35	SHARES S&P MID-CAP 400	464287606	4/23/2014	10/25/2016	282	0	241	21	0	0	0	21													
36	SHARES S&P MID-CAP 400	464287705	8/23/2016	1/18/2017	2,047	0	1,687	360	0	0	0	360													
37	SHARES S&P MID-CAP 400	464287705	8/23/2016	10/25/2016	871	0	871	-23	0	0	0	-23													
38	SHARES CORE S&P SMALL	464287804	8/23/2016	1/18/2017	2,858	0	2,612	246	0	0	0	246													
39	SHARES CORE S&P SMALL	464287804	8/23/2016	1/18/2017	2,081	0	1,866	195	0	0	0	195													
40	SHARES CORE S&P SMALL	464287804	8/23/2016	5/24/2017	2,081	0	1,866	195	0	0	0	195													
41	SHARES CORE S&P SMALL	464287804	4/20/2017	5/24/2017	982	0	963	-1	0	0	0	-1													
42	SHARES CORE S&P SMALL	464287804	1/24/2014	1/18/2017	883	0	901	-18	0	0	0	-18													
43	T ROWE PRICE INST FLOAT	77958B402	4/25/2014	1/18/2017	2,396	0	2,432	-36	0	0	0	-36													
44	T ROWE PRICE INST FLOAT	77958B402	8/25/2016	1/18/2017	1,566	0	1,550	16	0	0	0	16													
45	FINANCIAL SELECT SECTOR	81369Y605	12/1/2016	4/20/2017	463	0	457	6	0	0	0	6													
46	FINANCIAL SELECT SECTOR	81369Y605	8/23/2016	10/25/2016	227	0	236	-9	0	0	0	-9													
47	AMEX INDUSTRIAL SPDR	81369Y704	8/23/2016	1/18/2017	692	0	650	42	0	0	0	42													
48	AMEX INDUSTRIAL SPDR	81369Y704	8/23/2016	1/18/2017	692	0	650	42	0	0	0	42													
49	AMEX INDUSTRIAL SPDR	81369Y704	8/28/2015	4/20/2017	390	0	310	80	0	0	0	80													
50	AMEX TECHNOLOGY SELECT	81369Y803	8/23/2016	10/25/2016	394	0	378	16	0	0	0	16													
51	AMEX TECHNOLOGY SELECT	81369Y803	8/23/2016	1/18/2017	375	0	378	-3	0	0	0	-3													
52	AMEX TECHNOLOGY SELECT	81369Y803	8/23/2016	1/18/2017	1,140	0	1,087	53	0	0	0	53													
53	AMEX TECHNOLOGY SELECT	81369Y803	10/6/2015	4/20/2017	1,505	0	1,318	187	0	0	0	187													
54	VANGUARD FTSE EMERGING	922042858	2/6/2009	10/3/2016	5,727	0	1,889	4,038	0	0	0	4,038													
55	VANGUARD REIT VIPER	922908553	11/2/2020	5/24/2017	10,029	0	4,623	5,406	0	0	0	5,406													
56	SHARES CORE S&P SMALL	464287804	1/24/2014	10/25/2016	565	0	611	-46	0	0	0	-46													
57	JP MORGAN HIGH YIELD FUN	4812C0803	1/24/2014	10/25/2016	52	0	57	-5	0	0	0	-5													
58	JP MORGAN HIGH YIELD FUN	4812C0803	1/24/2014	1/18/2017	7986	0	8,189	-183	0	0	0	-183													
59	JP MORGAN HIGH YIELD FUN	4812C0803	12/19/2014	1/18/2017	748	0	757	-9	0	0	0	-9													
60	JP MORGAN HIGH YIELD FUN	4812C0803	7/24/2015	1/18/2017	3,101	0	3,080	21	0	0	0	21													
61	JP MORGAN HIGH YIELD FUN	4812C0803	8/25/2016	10/25/2016	264	0	267	-3	0	0	0	-3													
62	MERGER FUND-INST #301	589503027	5/4/2010	10/25/2016	217	0	253	-36	0	0	0	-36													
63	ASG GLOBAL ALTERNATIVES	638727885	7/15/2013	4/20/2017	260	0	311	-31	0	0	0	-31													
64	ASG GLOBAL ALTERNATIVES	638727885	6/23/2014	10/25/2016	216	0	220	-4	0	0	0	-4													
65	NEUBERGER BERMAN LONG	64128R608	6/23/2014	4/20/2017	258	0	246	12	0	0	0	12													
66	NEUBERGER BERMAN LONG	64128R608	1/24/2014	10/25/2016	251	0	268	-7	0	0	0	-7													
67	T ROWE PRICE INST FLOAT	77958B402	1/24/2015	10/25/2016	225	0	219	6	0	0	0	6													
68	T ROWE PRICE REAL ESTAT	779919109	10/25/2016	10/25/2016	2,528	0	1,488	1,040	0	0	0	1,040													
69	SPDR DJ WILSHIRE INTERNA	79453X863	2/6/2009	10/3/2016	2,528	0	1,488	1,040	0	0	0	1,040													

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions										Amount											
Short Term CG Distributions										284	0										
Description of Property Sold	CUSIP #	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	10,831	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adjusted Basis	Gain Minus Excess FMV Over Adj. Basis or Losses							
70 SPDR DJ WILSHIRE INTERNA	78463X863		1/18/2017	4/20/2017	344			331													
71 AMEX MATERIALS SPDR	81369Y100		10/28/2014	1/18/2017	304			286													
72 HEALTH CARE SELECT SECT	81369Y209		8/23/2016	10/25/2016	278		21	298													
73 HEALTH CARE SELECT SECT	81369Y209		8/23/2016	1/18/2017	915			972													
74 HEALTH CARE SELECT SECT	81369Y209		9/14/2016	1/18/2017	282			303													
75 HEALTH CARE SELECT SECT	81369Y209		5/31/2016	1/18/2017	915			928													
76 HEALTH CARE SELECT SECT	81369Y209		5/31/2016	4/20/2017	589			571													
77 CONSUMER STAPLES SECT	81369Y308		8/23/2016	12/1/2016	301		29	330													
78 CONSUMER STAPLES SECT	81369Y308		11/16/2016	12/1/2016	2,205			2,236													
79 CONSUMER STAPLES SECT	81369Y308		8/8/2016	1/18/2017	314			334													
80 CONSUMER STAPLES SECT	81369Y308		9/30/2011	1/18/2017	314			180													
81 CONSUMER STAPLES SECT	81369Y308		9/30/2011	4/20/2017	220			120													
82 AMEX CONSUMER DISCR SF	81369Y407		8/23/2016	10/25/2016	239		6	245													
83 AMEX CONSUMER DISCR SF	81369Y407		8/23/2016	1/18/2017	838			819													
84 AMEX CONSUMER DISCR SF	81369Y407		11/16/2016	1/18/2017	168			160													
85 AMEX CONSUMER DISCR SF	81369Y407		9/14/2016	1/18/2017	251			246													
86 AMEX CONSUMER DISCR SF	81369Y407		10/21/2014	1/18/2017	566			460													
87 AMEX CONSUMER DISCR SF	81369Y407		10/21/2014	4/20/2017	440			328													
88 AMEX ENERGY SELECT SPD	81369Y506		10/21/2014	11/16/2016	286			340													
89 AMEX ENERGY SELECT SPD	81369Y506		10/2015	11/16/2016	643			593													
90 AMEX ENERGY SELECT SPD	81369Y506		8/23/2016	11/16/2016	571			562													
91 AMEX ENERGY SELECT SPD	81369Y506		4/30/2010	11/16/2016	1,857			1,573													
92 AMEX ENERGY SELECT SPD	81369Y506		4/30/2010	1/18/2017	447			363													
93 FINANCIAL SELECT SECTOR	81369Y605		8/23/2016	10/25/2016	217			265													
94 FINANCIAL SELECT SECTOR	81369Y605		7/19/2014	1/18/2017	23			23													
95 FINANCIAL SELECT SECTOR	81369Y605		8/23/2016	1/18/2017	828			889													
96 FINANCIAL SELECT SECTOR	81369Y605		10/21/2014	1/18/2017	1,150			1,136													

Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

Name		Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Avg Hrs Per Week	Compensation	Benefits	Expense Account
1	Wells Fargo Bank N A Trust Tax D	X	100 N Main St MAC D4001-117	Winston Salem	NC	27101		TRUSTEE	SEE ATTAC	7,122		
										7,122	0	0

Part VI, Line 6a (990-PF) - Estimated Tax Payments

	Date		Amount
1 Credit from prior year return		1	72
2 First quarter estimated tax payment		2	
3 Second quarter estimated tax payment		3	
4 Third quarter estimated tax payment		4	
5 Fourth quarter estimated tax payment		5	
6 Other payments		6	
7 Total		7	72

Part XIII, Line 2a, Column C (990-PF) - Prior Year Undistributed Income

1	Distributable amounts for 2015 that remained undistributed at the beginning of the 2016 tax year . . .	1	3,076
2		2	
3		3	
4		4	
5		5	
6		6	
7		7	
8		8	
9		9	
10	Total	10	3,076